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Form 990-PF



Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation CAPITAL ONE FOUNDATION INC		A Employer identification number 11-3276603	
Number and street (or P O box number if mail is not delivered to street address) 1680 CAPITAL ONE DRIVE		Room/suite	B Telephone number (see instructions) (703) 720-1000
City or town, state or province, country, and ZIP or foreign postal code MCLEAN, VA 22102		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 44,227,843		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	101,828			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	72,138	72,138		
	4 Dividends and interest from securities.	757,334	757,334		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	2,824,269			
	b Gross sales price for all assets on line 6a 39,654,858				
	7 Capital gain net income (from Part IV, line 2) . . .		2,824,269		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	3,755,569	3,653,741		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).				
	c Other professional fees (attach schedule)	 172,599	86,300		86,299
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	 36,537	0		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).	 151,218	75,609		75,609
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	360,354	161,909		161,908
	25 Contributions, gifts, grants paid	7,586,614			7,586,614
	26 Total expenses and disbursements. Add lines 24 and 25	7,946,968	161,909		7,748,522
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-4,191,399			
	b Net investment income (if negative, enter -0-)		3,491,832		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
					(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			0	1,243,755	1,243,755
	2	Savings and temporary cash investments					
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges			9,492	23,908	23,908
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)					
	c	Investments—corporate bonds (attach schedule).					
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)			46,703,238	42,951,443	42,951,443
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
Liabilities	15	Other assets (describe ▶ _____)			46,844	8,737	8,737
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)			46,759,574	44,227,843	44,227,843
	17	Accounts payable and accrued expenses					
	18	Grants payable			188,041	188,041	
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)			33,822	0	
	23	Total liabilities (add lines 17 through 22)			221,863	188,041	
		Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted			46,537,711	44,039,802	
	25	Temporarily restricted					
	26	Permanently restricted					
		Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg , and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
	30	Total net assets or fund balances (see page 17 of the instructions)			46,537,711	44,039,802	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)			46,759,574	44,227,843	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	46,537,711
2	Enter amount from Part I, line 27a	2	-4,191,399
3	Other increases not included in line 2 (itemize) ▶ _____	3	1,693,490
4	Add lines 1, 2, and 3	4	44,039,802
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	44,039,802

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,824,269
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,855,000	45,200,884	0 041039
2011	4,269,000	44,367,966	0 096218
2010	3,216,171	44,698,530	0 071953
2009	4,107,977	39,658,194	0 103585
2008	3,946,475	34,339,481	0 114925

2	Total of line 1, column (d).	2	0 427720
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 085544
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	46,022,663
5	Multiply line 4 by line 3.	5	3,936,963
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	34,918
7	Add lines 5 and 6.	7	3,971,881
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	7,748,522

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	34,918
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)					
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	34,918
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	34,918
6		Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	18,756		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	40,000		
d	Backup withholding erroneously withheld	6d			
7		Total credits and payments Add lines 6a through 6d.		7	58,756
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	320
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	23,518
11		Enter the amount of line 10 to be Credited to 2014 estimated tax 23,518 Refunded		11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		No
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0 (2) On foundation managers \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of THE FOUNDATION Telephone no (703) 720-1000 Located at 1680 CAPITAL ONE DRIVE MCLEAN VA ZIP+4 22102			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

YesNo

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

YesNo

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

YesNo

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

YesNo

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

YesNo

5b

If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

YesNo

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

YesNo

6b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

YesNo

7b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

0

Form 990-PF (2013)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
JANICE HIROTA AND ASSOCIATES 545 WEST 111 STREET 6J NEW YORK, NY 10025	CONSULTING SERVICES	161,563
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1Y-PLAN NATIONAL YEAR 2 CONNECTING EDUCATION AND COMMUNITY DEVELOPMENT TO ALIGN FORCES, LEVERAGE RESOURCES, AND MEASURE RESULTS Y-PLAN NATIONAL YEAR TWO PROJECT PROPOSAL AIMS TO BUILDS ON YEAR ONE ACCOMPLISHMENTS AND LESSONS LEARNED IDENTIFYING THREE MAIN GOALS (1) NATIONAL YPLAN WEBSITE AND TOOLKIT Y-PLAN FULL CURRICULUM DIGITIZED, PUBLIC AND PRIVATE FELLOW AND RESEARCH PORTALS COMPLETED, BADGES EXPLORED THIS WILL INCLUDE THE COMPLETION OF THE Y-PLAN WEBSITE AND DIGITAL TOOLS DEVELOPMENT AND CULTIVATING NATIONAL PARTNERSHIP CAPACITY AND ENGAGEMENT (2) EVIDENCE OF STUDENT OUTCOMES AND IMPACT SURVEY INSTRUMENTS WILL BE DEVELOPED AND PILOTED, SELECTED RESEARCH SITES UTILIZED SURVEYED, RESULTS OF YEAR 2 Y-PLAN PROJECTS AND OUTCOMES ANALYZED ACROSS STUDENT, ADULT ALLY/CIVIC PARTNER AND COMMUNITY IMPACT, AND (3) DOCUMENT AND PUBLISH RESULTS OF Y-PLAN NATIONAL INITIATIVE TO LEVERAGE ADDITIONAL FUNDING AND PARTNERSHIP DEVELOPMENT	350,000
2YEAR UP HAS A CURRENT ALUMNI NETWORK OF NEARLY 3,500 YOUNG ADULTS IN TEN CITIES ACROSS THE COUNTRY BY THE END OF 2016, THIS NUMBER WILL MORE THAN DOUBLE TO 7,500 EACH OF OUR EIGHT CORE SITES, AND OUR TWO SITES CURRENTLY LOCATED AT COMMUNITY COLLEGES, HAS A CAREER AND ALUMNI SERVICES (CAS) DEPARTMENT FOCUSED ON PROVIDING ONGOING SUPPORT AND SERVICES TO THEIR ALUMNI OTHERWISE, OUR COMMUNICATION METHODS TO-DATE ARE SOMEWHAT FRACTURED AND DO NOT EFFECTIVELY ENGAGE OR TRACK OUR ALUMNI TO ADDRESS THIS MISSED OPPORTUNITY, YEAR UP IS BUILDING A NATIONAL ALUMNI ENGAGEMENT PORTAL THAT WILL PROVIDE A SINGLE PLATFORM FOR ALUMNI TO COME TOGETHER TO BUILD NETWORKS, CONTINUE THEIR CAREER DEVELOPMENT, AND TO ENGAGE IN MEANINGFUL DISCUSSIONS ABOUT THE OPPORTUNITY DIVIDE IN ADDITION, THIS PORTAL WILL BRING TOGETHER EMPLOYERS LOOKING FOR HIGHLY QUALIFIED AND TRAINED ENTRY-LEVEL TALENT WITH THE YEAR UP GRADUATES WHO CAN MEET THEIR PRESSING NEED FOR A TALENT PIPELINE	200,000
3BREAKTHROUGH ALUMNI HUB BREAKTHROUGH'S HIGH-SCHOOL AND COLLEGE STUDENTS, WHO SERVE AS "INTERN TEACHERS," SPEND 9 WEEKS TEACHING AND LEADING MIDDLE-SCHOOL STUDENTS DURING INTENSIVE 6-WEEK SUMMER SESSIONS SINCE 1978, 16,000 INTERN TEACHERS HAVE LED THEIR OWN CLASSROOMS WHILE 35% OF OUR INTERN TEACHERS RETURN FOR ADDITIONAL SUMMERS, OVER 5,000 NEW APPLICANTS BEGIN OUR APPLICATION EACH YEAR EVERY SUMMER, WE HAVE A NEW COHORT OF ASPIRING TEACHERS WHO JOIN THE RANKS OF OUR LARGE AND DIVERSE ALUMNI BASE	200,000
4THE CULINARY JOB TRAINING PROGRAM GO-TEAM IN THE FIRST 9 MONTHS OF 2013, WE SOUGHT TO LAY THE GROUNDWORK FOR A "GO-TEAM" OF CULINARY TRAINERS TO IMPLEMENT OUR PROVEN MODEL OF VOCATIONAL TRAINING AND SOCIAL SUPPORT SERVICES UP TO 4 TIMES EACH YEAR AT OTHER NONPROFITS AND AGENCIES ACROSS THE DC REGION WE SUCCESSFULLY CONDUCTED ONE CLASS IN NEARBY ARLINGTON, VA, PRODUCING 7 GRADUATES WITH A 100% EMPLOYMENT RATE, AND STARTED A SECOND OFF-SITE CLASS OF 9 STUDENTS AT AN AREA WOMEN'S SHELTER ON AUGUST 19 OUR WORK ESTABLISHING MANUALS, INSTALLING KEY STAFF, AND BUILDING PARTNERSHIPS WITH POTENTIAL HOST SITES AND CLIENT REFERRAL AGENCIES HAS POSITIONED US TO BUILD A CONTINUOUS AND EFFECTIVE GO-TEAM TRAINING PROGRAM	200,000

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	44,797,356
b	Average of monthly cash balances.	1b	1,926,160
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	46,723,516
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	46,723,516
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	700,853
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	46,022,663
6	Minimum investment return. Enter 5% of line 5.	6	2,301,133

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	2,301,133
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	34,918
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	34,918
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	2,266,215
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	2,266,215
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	2,266,215

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	7,748,522
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	7,748,522
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	34,918
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	7,713,604
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,266,215
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.	2,254,744			
b From 2009.	2,198,826			
c From 2010.	1,054,037			
d From 2011.	2,111,849			
e From 2012.	1,302,847			
f Total of lines 3a through e.	8,922,303			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 7,748,522				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				2,266,215
e Remaining amount distributed out of corpus	5,482,307			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	14,404,610			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	2,254,744			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	12,149,866			
10 Analysis of line 9				
a Excess from 2009. . . .	2,198,826			
b Excess from 2010. . . .	1,054,037			
c Excess from 2011. . . .	2,111,849			
d Excess from 2012. . . .	1,302,847			
e Excess from 2013. . . .	5,482,307			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	7,586,614
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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GUGGENHEIM MANAGED FUTURES STRATEGY FUND CL H #275	P	2010-08-03	2013-10-28
GUGGENHEIM MANAGED FUTURES STRATEGY FUND CL H #275	P	2011-04-07	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2008-12-12	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2008-12-16	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2009-01-02	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2009-02-02	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2009-03-02	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2009-03-13	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2009-04-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2009-05-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2009-06-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2009-07-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2009-08-03	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2009-09-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2009-10-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2009-11-02	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2009-12-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2010-01-04	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2010-02-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2010-03-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2010-04-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2010-05-03	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2010-06-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2010-07-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2010-08-02	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2010-09-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2010-10-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2010-11-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2010-12-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2011-01-03	2013-10-28

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WESTERN ASSET CORE BOND FUND CL I #227	P	2011-02-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2011-03-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2011-04-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2011-05-02	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2011-06-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2011-07-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2011-08-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2011-09-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2011-10-03	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2011-11-02	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2011-12-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2012-01-03	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2012-02-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2012-03-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2012-04-02	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2012-05-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2012-06-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2012-07-02	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2012-08-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2012-09-04	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2012-10-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2012-10-17	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2012-11-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2012-12-03	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2013-01-02	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2013-02-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2013-03-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2013-04-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2013-05-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2013-06-03	2013-10-28

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WESTERN ASSET CORE BOND FUND CL I #227	P	2013-07-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2013-08-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2013-09-02	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2013-10-01	2013-10-28
WESTERN ASSET CORE BOND FUND CL I #227	P	2013-08-05	2013-10-28
BLACKROCK GLOBAL ALLOCATION FUND CL I #390	P	2013-07-19	2013-11-11
BLACKROCK GLOBAL ALLOCATION FUND CL I #390	P	1990-12-25	2013-11-11
BLACKROCK GLOBAL ALLOCATION FUND CL I #390	P	1990-12-25	2013-11-11
BLACKROCK GLOBAL ALLOCATION FUND CL I #390	P	1990-12-25	2013-11-11
BLACKROCK GLOBAL ALLOCATION FUND CL I #390	P	1990-12-25	2013-11-11
BLACKROCK GLOBAL ALLOCATION FUND CL I #390	P	1990-12-25	2013-11-11
BLACKROCK GLOBAL ALLOCATION FUND CL I #390	P	1990-12-25	2013-11-11
BLACKROCK GLOBAL ALLOCATION FUND CL I #390	P	1990-12-25	2013-11-11
BLACKROCK GLOBAL ALLOCATION FUND CL I #390	P	1990-12-25	2013-11-11
BLACKROCK GLOBAL ALLOCATION FUND CL I #390	P	1990-12-25	2013-11-11
COHEN & STEERS INTERNATIONAL REALTY FUND CL I #1396	P	2008-12-16	2013-11-18
COHEN & STEERS INTERNATIONAL REALTY FUND CL I #1396	P	2009-01-12	2013-11-18
COHEN & STEERS INTERNATIONAL REALTY FUND CL I #1396	P	2009-02-12	2013-11-18
COHEN & STEERS INTERNATIONAL REALTY FUND CL I #1396	P	2009-03-13	2013-11-18
COHEN & STEERS INTERNATIONAL REALTY FUND CL I #1396	P	2009-07-02	2013-11-18
COHEN & STEERS INTERNATIONAL REALTY FUND CL I #1396	P	2009-10-20	2013-11-18
COHEN & STEERS INTERNATIONAL REALTY FUND CL I #1396	P	2009-12-21	2013-11-18
COHEN & STEERS INTERNATIONAL REALTY FUND CL I #1396	P	2010-07-02	2013-11-18
COHEN & STEERS INTERNATIONAL REALTY FUND CL I #1396	P	2010-12-20	2013-11-18
COHEN & STEERS INTERNATIONAL REALTY FUND CL I #1396	P	2011-07-05	2013-11-18
COHEN & STEERS INTERNATIONAL REALTY FUND CL I #1396	P	2011-12-16	2013-11-18
COHEN & STEERS INTERNATIONAL REALTY FUND CL I #1396	P	2012-07-02	2013-11-18
COHEN & STEERS INTERNATIONAL REALTY FUND CL I #1396	P	2012-12-14	2013-11-18
COHEN & STEERS INTERNATIONAL REALTY FUND CL I #1396	P	2013-07-01	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2008-12-12	2013-11-18

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COHEN & STEERS REALTY SHARES #1262	P	2008-12-16	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2008-12-23	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2009-01-12	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2009-02-12	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2009-03-13	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2009-04-02	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2009-07-02	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2009-10-02	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2009-10-20	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2009-11-25	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2009-12-21	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2010-04-05	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2010-07-02	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2010-10-04	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2010-12-20	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2011-04-04	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2011-07-05	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2011-10-04	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2011-12-16	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2012-04-02	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2012-07-02	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2012-07-02	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2012-10-01	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2012-12-14	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2012-12-14	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2012-12-14	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2013-04-01	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2013-07-01	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2013-07-01	2013-11-18
COHEN & STEERS REALTY SHARES #1262	P	2013-07-01	2013-11-18

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COHEN & STEERS REALTY SHARES #1262	P	2013-09-30	2013-11-18
PERKINS MID CAP VALUE FUND CLASS I #1167	P	2008-12-12	2013-11-20
PERKINS MID CAP VALUE FUND CLASS I #1167	P	2008-12-16	2013-11-20
PERKINS MID CAP VALUE FUND CLASS I #1167	P	2009-01-12	2013-11-20
PERKINS MID CAP VALUE FUND CLASS I #1167	P	2009-02-12	2013-11-20
PERKINS MID CAP VALUE FUND CLASS I #1167	P	2009-03-13	2013-11-20
PERKINS MID CAP VALUE FUND CLASS I #1167	P	2009-06-26	2013-11-20
PERKINS MID CAP VALUE FUND CLASS I #1167	P	2009-10-19	2013-11-20
PIMCO UNCONSTRAINED BOND FUND - INS #1863	P	1990-01-01	2013-11-20
PIMCO UNCONSTRAINED BOND FUND - INS #1863	P	1990-01-01	2013-11-20
PIMCO UNCONSTRAINED BOND FUND - INS #1863	P	1990-01-01	2013-11-20
PIMCO UNCONSTRAINED BOND FUND - INS #1863	P	1990-01-01	2013-11-20
PIMCO UNCONSTRAINED BOND FUND - INS #1863	P	2010-10-29	2013-11-20
MFS EMERGING MARKETS DEBT FUND CL I #833	P	2013-01-16	2013-11-20
HARDING LOEVNER FUNDS INC INTERNATIONAL EQUITY PORTFOLIO #201	P	2012-04-25	2013-12-06
PIMCO REAL RETURN FUND INST #122	P	1990-01-01	2013-12-06
PIMCO REAL RETURN FUND INST #122	P	1990-01-01	2013-12-06
PIMCO REAL RETURN FUND INST #122	P	1990-01-01	2013-12-06
PIMCO REAL RETURN FUND INST #122	P	1990-01-01	2013-12-06
PIMCO REAL RETURN FUND INST #122	P	2008-12-12	2013-12-06
PIMCO REAL RETURN FUND INST #122	P	2008-12-16	2013-12-06
PIMCO REAL RETURN FUND INST #122	P	2013-08-01	2013-12-06
PIMCO REAL RETURN FUND INST #122	P	2013-08-01	2013-12-06
PIMCO REAL RETURN FUND INST #122	P	2013-09-02	2013-12-06
PIMCO REAL RETURN FUND INST #122	P	2013-10-01	2013-12-06
PIMCO REAL RETURN FUND INST #122	P	2013-11-01	2013-12-06
PIMCO REAL RETURN FUND INST #122	P	2013-12-01	2013-12-06
HARDING LOEVNER FUNDS INC INTERNATIONAL EQUITY PORTFOLIO #201	P	2012-04-25	2013-12-17
PERKINS MID CAP VALUE FUND CLASS I #1167	P	2009-10-19	2013-12-17
PERKINS MID CAP VALUE FUND CLASS I #1167	P	2009-12-22	2013-12-17

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PERKINS MID CAP VALUE FUND CLASS I #1167	P	2010-12-22	2013-12-17
PERKINS MID CAP VALUE FUND CLASS I #1167	P	2011-04-07	2013-12-17
PERKINS MID CAP VALUE FUND CLASS I #1167	P	2011-12-23	2013-12-17
PERKINS MID CAP VALUE FUND CLASS I #1167	P	2011-12-23	2013-12-17
PERKINS MID CAP VALUE FUND CLASS I #1167	P	2011-12-23	2013-12-17
PERKINS MID CAP VALUE FUND CLASS I #1167	P	2012-12-21	2013-12-17
PERKINS MID CAP VALUE FUND CLASS I #1167	P	2012-12-21	2013-12-17
PIMCO UNCONSTRAINED BOND FUND - INS #1863	P	2010-10-29	2013-12-17
PIMCO UNCONSTRAINED BOND FUND - INS #1863	P	2013-07-31	2013-12-17
PIMCO UNCONSTRAINED BOND FUND - INS #1863	P	2013-08-01	2013-12-17
PIMCO UNCONSTRAINED BOND FUND - INS #1863	P	2013-09-02	2013-12-17
PIMCO UNCONSTRAINED BOND FUND - INS #1863	P	2013-10-01	2013-12-17
PIMCO UNCONSTRAINED BOND FUND - INS #1863	P	2013-11-01	2013-12-17
PIMCO UNCONSTRAINED BOND FUND - INS #1863	P	2013-12-01	2013-12-17
PIMCO UNCONSTRAINED BOND FUND - INS #1863	P	2013-12-12	2013-12-17
PIMCO UNCONSTRAINED BOND FUND - INS #1863	P	2013-12-12	2013-12-17
ASG GLOBAL ALTERNATIVES FUND CLASS Y	P	2010-08-05	2013-12-17
ASG GLOBAL ALTERNATIVES FUND CLASS Y	P	2011-01-04	2013-12-17
ASG GLOBAL ALTERNATIVES FUND CLASS Y	P	2011-01-04	2013-12-17
ASG GLOBAL ALTERNATIVES FUND CLASS Y	P	2011-04-07	2013-12-17
ASG GLOBAL ALTERNATIVES FUND CLASS Y	P	2011-04-14	2013-12-17
ASG GLOBAL ALTERNATIVES FUND CLASS Y	P	2011-04-14	2013-12-17
ASG GLOBAL ALTERNATIVES FUND CLASS Y	P	2013-04-10	2013-12-17
ASG GLOBAL ALTERNATIVES FUND CLASS Y	P	2013-04-10	2013-12-17
ASG GLOBAL ALTERNATIVES FUND CLASS Y	P	2013-04-10	2013-12-17
MFS EMERGING MARKETS DEBT FUND CL I #833	P	2013-01-16	2013-12-17
MFS EMERGING MARKETS DEBT FUND CL I #833	P	2013-01-16	2013-12-17
MFS EMERGING MARKETS DEBT FUND CL I #833	P	2013-02-01	2013-12-17
MFS EMERGING MARKETS DEBT FUND CL I #833	P	2013-02-10	2013-12-17
MFS EMERGING MARKETS DEBT FUND CL I #833	P	2013-03-01	2013-12-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MFS EMERGING MARKETS DEBT FUND CL I #833	P	2013-04-01	2013-12-17
MFS EMERGING MARKETS DEBT FUND CL I #833	P	2013-07-01	2013-12-17
MFS EMERGING MARKETS DEBT FUND CL I #833	P	2013-09-01	2013-12-17
MFS EMERGING MARKETS DEBT FUND CL I #833	P	2013-10-01	2013-12-17
MFS EMERGING MARKETS DEBT FUND CL I #833	P	2013-11-01	2013-12-17
MFS EMERGING MARKETS DEBT FUND CL I #833	P	2013-12-01	2013-12-17
MFS EMERGING MARKETS DEBT FUND CL I #833	P	2013-12-10	2013-12-17
MFS EMERGING MARKETS DEBT FUND CL I #833	P	2013-12-10	2013-12-17
MFS EMERGING MARKETS DEBT FUND CL I #833	P	2013-08-05	2013-12-17
MFS EMERGING MARKETS DEBT FUND CL I #833	P	2013-08-05	2013-12-17
MAINSTAY LARGE CAP GROWTH FUND CL I #1483	P		2013-12-04
WELLS FARGO ADVANTAGE SHORT-TERM HIGH YIELD BOND FUND - ADM #3769	P		2013-12-10
WELLS FARGO ADVANTAGE SHORT-TERM HIGH YIELD BOND FUND - ADM #3769	P		2013-12-10
MFS EMERGING MARKETS DEBT FUND CL I #833	P		2013-12-10
MFS EMERGING MARKETS DEBT FUND CL I #833	P		2013-12-10
LEGG MASON BW INTERNATIONAL OPPORTUNITIES BOND FUND CL I #4000	P		2013-12-11
PIMCO UNCONSTRAINED BOND FUND - INS #1863	P		2013-12-12
PIMCO UNCONSTRAINED BOND FUND - INS #1863	P		2013-12-12
PIMCO LOW DURATION FUND #36	P		2013-12-12
PIMCO TOTAL RETURN FUND INST #35	P		2013-12-12
PIMCO TOTAL RETURN FUND INST #35	P		2013-12-12
MORGAN STANLEY MID CAP GROWTH FUND CL I	P		2013-12-16
MORGAN STANLEY MID CAP GROWTH FUND CL I	P		2013-12-16
HARBOR SMALL CAP VALUE FUND INST #2022	P		2013-12-17
HARBOR SMALL CAP VALUE FUND INST #2022	P		2013-12-17
LOOMIS SAYLES BOND INSTITUTIONAL #1162	P		2013-12-17
ALGER RETIREMENT FD SMALL CP RTM PF	P		2013-12-18
ALGER RETIREMENT FD SMALL CP RTM PF	P		2013-12-18
NATIXIS LOOMIS SAYLES INVESTMENT GRADE BOND FUND CL Y #1456	P		2013-12-18
NATIXIS LOOMIS SAYLES INVESTMENT GRADE BOND FUND CL Y #1456	P		2013-12-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
STONE HARBOR LOCAL MARKET FUND	P		2013-12-18
STONE HARBOR LOCAL MARKET FUND	P		2013-12-18
LAZARD EMERGING MARKETS EQUITY PORT - INSTL #638	P		2013-12-24
VANGUARD BD INDEX FD INC TOTAL BD MARKET ETF	P		2013-12-31
FIDELITY INST MMKT FUND 2014	P		2013-12-31
VARIOUS	P		2013-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
583,436		671,953	-88,517
78,797		100,000	-21,203
1,141,056		826,052	315,004
205,543		150,000	55,543
46,605		35,294	11,311
9,188		6,828	2,360
13,478		9,487	3,991
43,181		30,000	13,181
12,692		9,114	3,578
13,554		10,162	3,392
12,499		9,820	2,679
11,741		9,400	2,341
12,214		10,197	2,017
10,914		9,276	1,638
10,067		8,808	1,259
10,528		9,395	1,133
10,919		9,763	1,156
32,857		29,103	3,754
10,635		9,659	976
11,188		10,180	1,008
12,194		11,167	1,027
11,843		11,063	780
10,856		10,041	815
11,429		10,705	724
10,700		10,138	562
8,139		7,806	333
8,573		8,266	307
8,997		8,712	285
8,449		8,118	331
8,804		8,386	418

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,715		7,400	315
7,498		7,223	275
8,041		7,739	302
7,322		7,120	202
7,237		7,105	132
7,348		7,164	184
8,101		7,979	122
7,631		7,529	102
7,917		7,818	99
6,977		6,913	64
6,973		6,851	122
7,392		7,312	80
6,315		6,315	0
6,223		6,249	-26
7,179		7,173	6
6,039		6,084	-45
6,154		6,231	-77
6,077		6,158	-81
5,670		5,831	-161
6,471		6,660	-189
5,052		5,216	-164
1,018,568		1,050,000	-31,432
6,786		7,024	-238
8,547		8,839	-292
7,426		7,662	-236
7,011		7,180	-169
7,278		7,466	-188
8,588		8,795	-207
7,675		7,919	-244
6,874		6,960	-86

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,891		5,837	54
1,795		1,776	19
7,906		7,761	145
7,061		6,991	70
1,834		1,814	20
15,349		14,881	468
1,074,638		914,548	160,090
10,915		9,289	1,626
21,720		18,484	3,236
755,725		643,144	112,581
26,082		22,197	3,885
35,034		29,815	5,219
31,331		26,664	4,667
22,990		19,565	3,425
15,967		13,588	2,379
16,144		11,462	4,682
38,996		27,000	11,996
45,177		27,000	18,177
63,897		33,850	30,047
11,734		9,216	2,518
291,511		292,000	-489
63,693		54,884	8,809
13,806		10,623	3,183
43,109		38,845	4,264
10,916		10,248	668
9,870		7,338	2,532
11,315		9,437	1,878
36,537		33,719	2,818
9,124		8,367	757
155,447		80,611	74,836

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
91,735		52,000	39,735
23,623		12,883	10,740
70,823		34,000	36,823
77,208		34,000	43,208
85,610		33,850	51,760
26,345		9,989	16,356
15,824		7,702	8,122
10,922		7,152	3,770
116,295		75,000	41,295
333,587		220,000	113,587
13,536		9,300	4,236
10,206		7,880	2,326
10,337		7,551	2,786
7,964		6,510	1,454
9,649		8,061	1,588
6,068		5,675	393
5,232		5,057	175
8,015		6,457	1,558
10,940		9,560	1,380
7,443		7,480	-37
6,927		7,113	-186
1,951		2,003	-52
5,291		5,406	-115
46,841		44,178	2,663
31,017		29,253	1,764
7,689		7,252	437
6,257		6,506	-249
391		398	-7
7,436		7,561	-125
391		398	-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,102		6,994	108
141,660		78,909	62,751
64,887		37,500	27,387
42,868		24,400	18,468
43,908		24,375	19,533
47,483		24,375	23,108
5,423		3,336	2,087
53,487		40,464	13,023
56,006		57,456	-1,450
18,747		18,477	270
56,006		55,199	807
1,063		1	1,062
769,132		775,987	-6,855
601,950		671,120	-69,170
718,816		595,903	122,913
38,669		43,274	-4,605
122,865		125,496	-2,631
38,669		39,498	-829
458		1	457
217,810		179,315	38,495
89,628		75,000	14,628
112		114	-2
32		33	-1
533		533	0
442		450	-8
496		508	-12
500		506	-6
497,435		418,518	78,917
25,745		19,536	6,209
3,019		2,262	757

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,095		7,770	1,325
107,772		100,000	7,772
79,799		61,831	17,968
11,285		8,744	2,541
7,584		5,876	1,708
24,469		20,198	4,271
5,182		4,278	904
936,833		950,265	-13,432
59		60	-1
219		221	-2
1,206		1,207	-1
817		823	-6
813		819	-6
1,080		1,085	-5
749		748	1
2,861		2,856	5
752,688		676,652	76,036
18,376		16,723	1,653
15,662		14,252	1,410
42,797		40,000	2,797
3,166		2,930	236
1,964		1,818	146
15,153		14,047	1,106
5,387		4,993	394
3,125		2,897	228
736,138		824,677	-88,539
5,500		6,132	-632
2,687		2,979	-292
5,478		5,889	-411
5,591		6,168	-577

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,586		6,096	-510
5,730		5,813	-83
5,636		5,551	85
5,528		5,562	-34
5,439		5,592	-153
4,799		4,805	-6
3,672		3,665	7
4		4	0
1,452		1,476	-24
1,452		1,475	-23
209,869			209,869
896			896
219			219
3,665			3,665
4			4
185			185
748			748
2,856			2,856
676			676
19,474			19,474
3,722			3,722
34,891			34,891
6,692			6,692
117,768			117,768
10,962			10,962
4,667			4,667
122,787			122,787
20,031			20,031
26,627			26,627
179			179

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
207			207
311			311
9,673			9,673
360			360
17,544,519		17,544,519	0
7,488,858		6,763,616	725,242

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-88,517
			-21,203
			315,004
			55,543
			11,311
			2,360
			3,991
			13,181
			3,578
			3,392
			2,679
			2,341
			2,017
			1,638
			1,259
			1,133
			1,156
			3,754
			976
			1,008
			1,027
			780
			815
			724
			562
			333
			307
			285
			331
			418

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			315
			275
			302
			202
			132
			184
			122
			102
			99
			64
			122
			80
			0
			-26
			6
			-45
			-77
			-81
			-161
			-189
			-164
			-31,432
			-238
			-292
			-236
			-169
			-188
			-207
			-244
			-86

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			54
			19
			145
			70
			20
			468
			160,090
			1,626
			3,236
			112,581
			3,885
			5,219
			4,667
			3,425
			2,379
			4,682
			11,996
			18,177
			30,047
			2,518
			-489
			8,809
			3,183
			4,264
			668
			2,532
			1,878
			2,818
			757
			74,836

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			39,735
			10,740
			36,823
			43,208
			51,760
			16,356
			8,122
			3,770
			41,295
			113,587
			4,236
			2,326
			2,786
			1,454
			1,588
			393
			175
			1,558
			1,380
			-37
			-186
			-52
			-115
			2,663
			1,764
			437
			-249
			-7
			-125
			-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			108
			62,751
			27,387
			18,468
			19,533
			23,108
			2,087
			13,023
			-1,450
			270
			807
			1,062
			-6,855
			-69,170
			122,913
			-4,605
			-2,631
			-829
			457
			38,495
			14,628
			-2
			-1
			0
			-8
			-12
			-6
			78,917
			6,209
			757

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,325
			7,772
			17,968
			2,541
			1,708
			4,271
			904
			-13,432
			-1
			-2
			-1
			-6
			-6
			-5
			1
			5
			76,036
			1,653
			1,410
			2,797
			236
			146
			1,106
			394
			228
			-88,539
			-632
			-292
			-411
			-577

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-510
			-83
			85
			-34
			-153
			-6
			7
			0
			-24
			-23
			209,869
			896
			219
			3,665
			4
			185
			748
			2,856
			676
			19,474
			3,722
			34,891
			6,692
			117,768
			10,962
			4,667
			122,787
			20,031
			26,627
			179

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			207
			311
			9,673
			360
			0
			725,242

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN G FINNERAN	CHAIR 0 50	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
RICHARD WOODS	VICE CHAIR 0 50	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
CAROLYN BERKOWITZ	PRESIDENT 1 00	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
ROBERT MAGNANO	DIRECTOR 0 50	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
KENNETH KIDO	DIRECTOR 0 50	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
HEATHER COX	DIRECTOR 0 50	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
MICHAEL SLOCUM	DIRECTOR 0 50	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
CATHERINE FOCA	VICE PRESIDENT 2 00	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
STEVE PETTI	TREASURER 1 00	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
PETE AUGUSTERFER	ASSISTANT TREADURER 1 00	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
KRISTINE WELLMAN	SECRETARY 0 50	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
SARAH O'KEIFF	ASSISTANT SECRETARY 1 00	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ABYSSINIAN DEVELOPMENT CORPORATION 4 W 125TH ST NEW YORK,NY 100274567	NONE	NON-PROFIT PUBLIC CH	HARLEM ECONOMIC LITERACY PROGRAM	15,000
ACCION INTERNATIONAL 56 ROLAND STREET SUFFOLK,MA 02129	NONE	NON-PROFIT PUBLIC CH	ACCION MICROLOAN PRODUCT AND SERVICE DEVELOPMENT PHASE II	160,000
ASIAN AMERICANS FOR EQUALITY 108 NORFOLK STREET NEW YORK,NY 100026103	NONE	NON-PROFIT PUBLIC CH	AAFE YOUTH FINANCIAL HEALTH PROGRAM	50,000
ASPEN INSTITUTE ONE DUPONT CIRCLE NW SUITE 700 DISTRICT OF COLUMBIA,DC 20036	NONE	NON-PROFIT PUBLIC CH	EMERGING LEADERS IN MICROENTERPRISE (SQUARED)	170,000
ASPEN INSTITUTE ONE DUPONT CIRCLE NW SUITE 700 DISTRICT OF COLUMBIA,DC 20036	NONE	NON-PROFIT PUBLIC CH	HUMAN CAPITAL INNOVATION FUND (HCIF)	164,414
ASSOCIATION FOR NEIGHBORHOOD AND HOUSING DEVELOPMENT 50 BROAD ST SUITE 1125 NEW YORK,NY 10004	NONE	NON-PROFIT PUBLIC CH	REAL AFFORDABILITY IN NYC HOUSING	50,000
AUSTIN PARTNERS IN EDUCATION 1601 RIO GRANDE ST STE 300A TRAVIS,TX 787011149	NONE	NON-PROFIT PUBLIC CH	APIE ADOPT-A-SCHOOL PORTAL	10,000
BANANA KELLY CIA INC 863 PROSPECT AVE BRONX,NY 10459	NONE	NON-PROFIT PUBLIC CH	COMMUNITY OUTREACH AND ENGAGEMENT	5,000
BEULAH HDFC INC 94 BRANDT PLACE BRONX,NY 104537071	NONE	NON-PROFIT PUBLIC CH	GENERAL OPERATING FUNDS	5,000
BEYOND 12 901 MISSION STREET NO 205 SAN FRANCISCO,CA 941032905	NONE	NON-PROFIT PUBLIC CH	ALUMNI TRACKING AND SUPPORT THROUGH SOCIAL UTILITY TOOLS	25,000
BLUE ENGINE INC 150 COURT ST STE 2 KINGS,NY 112016274	NONE	NON-PROFIT PUBLIC CH	WASHINGTON HEIGHTS EXPEDITIONARY LEARNING SCHOOL PROGRAM	35,000
BOTTOM LINE 26 COURT STREET SUITE 1303 KINGS,NY 11242	NONE	NON-PROFIT PUBLIC CH	BOTTOM LINE COLLEGE SUCCESS SUPPORT	40,000
BRAND NEW DAY INC 176 FIRST STREET 1 UNION,NJ 072061665	NONE	NON-PROFIT PUBLIC CH	CONTINUING TO ADDRESS THE FORECLOSURE AFTER THE STORM	15,000
BREAKTHROUGH COLLABORATIVE 545 SANSOME ST STE 700 SAN FRANCISCO,CA 941112913	NONE	NON-PROFIT PUBLIC CH	BREAKTHROUGH ALUMNI HUB	200,000
BREAKTHROUGH COLLABORATIVE 545 SANSOME ST STE 700 SAN FRANCISCO,CA 941112913	NONE	NON-PROFIT PUBLIC CH	BREAKTHROUGH COLLABORATIVE AND YEAR UP COLLABORATIVE PROJECT	31,000
Total  3a				7,586,614

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

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a Paid during the year				
BREAKTHROUGH COLLABORATIVE 545 SANSOME ST STE 700 SAN FRANCISCO,CA 941112913	NONE	NON-PROFIT PUBLIC CH	THE BREAKTHROUGH PORTAL	200,000
BRIDGE STREET DEVELOPMENT CORPORATION 460 NOSTRAND AVE KINGS,NY 112161747	NONE	NON-PROFIT PUBLIC CH	BSDC'S TENANT ORGANIZING	10,000
CAMBA INC 1720 CHURCH AVE KINGS,NY 112262630	NONE	NON-PROFIT PUBLIC CH	CAMBA HOUSING VENTURES, INC , PRE-DEVELOPMENT PIPELINE	25,000
CAMPUS MICROFINANCE ALLIANCE 109 CHURCH STREET MIDDLESEX,NJ 089014012	NONE	NON-PROFIT PUBLIC CH	LEND FOR AMERICA	50,000
CATHOLIC MIGRATION SERVICES INC 1258 65TH STREET KINGS,NY 11219	NONE	NON-PROFIT PUBLIC CH	IMMIGRANT TENANT ADVOCACY PROJECT	10,000
CENTER FOR ECONOMIC PROGRESSNATIONAL COMMUNITY TAX COALITION 29 E MADISON ST STE 900 COOK,IL 606024537	NONE	NON-PROFIT PUBLIC CH	SCHEDULE C INITIATIVE	50,000
CENTRAL ISLIP CIVIC COUNCIL INC 68 WHEELER RD SUFFOLK,NY 117222129	NONE	NON-PROFIT PUBLIC CH	CENTRAL ISLIP AFFORDABLE HOUSING INITIATIVE	5,000
CFE FUND AT LIVING CITIES 1040 AVENUE OF THE AMERICAS NO 17 NEWYORK,NY 100183703	NONE	NON-PROFIT PUBLIC CH	CFE FUND MULTICITY HACKATHON	125,000
CFED-CORPORATION FOR ENTERPRISE DEVELOPMENT 1200 G ST NW STE 400 DISTRICT OF COLUMBIA,DC 200053832	NONE	NON-PROFIT PUBLIC CH	BUILDING FINANCIAL CAPABILITY AND GREATER FINANCIAL SECURITY AMONG THE LOW-INCOME SELF-EMPLOYED AND MICROBUSINESSES OWNERS	175,000
CHHAYA CDC 37-43 77TH STREET 2ND FLOOR QUEENS,NY 11372	NONE	NON-PROFIT PUBLIC CH	BASEMENTS APARTMENTS SAFE FOR EVERYONE CAMPAIGN	15,000
CITIZENS HOUSING & PLANNING COUNCIL 42 BROADWAY STE 2010 NEWYORK,NY 100043854	NONE	NON-PROFIT PUBLIC CH	EFFICIENCIES OF PRIVATE ACTIVITY BONDS IN NEW YORK CITY AND STATE HOUSING PRODUCTION PROGRAMS	10,000
CLAYTON YOUTH ENRICHMENT SERVICES 1215 COUNTRY CLUB LN TARRANT,TX 761122304	NONE	NON-PROFIT PUBLIC CH	SCHOLARSHIPS FOR STUDENTS FROM LOW INCOME FAMILIES FOR AFTER SCHOOL YOUTH ENRICHMENT SERVICES	10,000
COLLEGE SUMMIT INC 1763 COLUMBIA RD NW STE 2 DISTRICT OF COLUMBIA,DC 200092834	NONE	NON-PROFIT PUBLIC CH	ALUMNI ENGAGEMENT- DESIGN PHASE	200,000
COLLEGE SUMMIT INC 1763 COLUMBIA RD NW STE 2 DISTRICT OF COLUMBIA,DC 200092834	NONE	NON-PROFIT PUBLIC CH	ALUMNI ENGAGEMENT & DESIGN PHASE YEAR 2	200,000
COLLEGE SUMMIT INC 1763 COLUMBIA ROAD NW 2ND FLOOR DISTRICT OF COLUMBIA,DC 20009	NONE	NON-PROFIT PUBLIC CH	INCREASING COLLEGE ENROLLMENT OF LOW- INCOME STUDENTS IN PGCPS	20,000
Total  3a				7,586,614

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COMMIT 3963 MAPLE AVE SUITE 310 DALLAS,TX 75219	NONE	NON-PROFIT PUBLIC CH	SUPPORT OF THE COMMITI PARTNERSHIP	25,000
COMMON GROUND COMMUNITIES 14 E 28TH STREET PH NEWYORK,NY 10016	NONE	NON-PROFIT PUBLIC CH	FAMILY LINK - STABILIZING AT-RISK PUBLIC HOUSING FAMILIES	20,000
COMMON GROUND COMMUNITIES 14 E 28TH STREET PH NEWYORK,NY 10016	NONE	NON-PROFIT PUBLIC CH	100,000 HOMES CAMPAIGN KNOWLEDGE CAPTURE	50,000
COMMUNITIES IN SCHOOLS - NATIONAL OFFICE 277 WASHINGTON STREET SUITE 210 ALEXANDRIA CITY,VA 22314	NONE	NON-PROFIT PUBLIC CH	BUILDING THE COMMUNITIES IN SCHOOLS ALUMNI NETWORK FOR SERVICE, LEADERSHIP DEVELOPMENT, AND MUTUAL SUPPORT	191,200
COMMUNITIES IN SCHOOLS DALLAS REGION INC (CISDR) 8700 N STEMMONS FWY STE 125 DALLAS,TX 752473725	NONE	NON-PROFIT PUBLIC CH	CISDR PROGRAM AT LAMAR MIDDLE SCHOOL AND BOWMAN MIDDLE SCHOOL	45,000
COMMUNITIES IN SCHOOLS HOUSTON INC 2150 W 18TH ST STE 100 HARRIS,TX 770081283	NONE	NON-PROFIT PUBLIC CH	CIS DROPOUT PREVENTION	35,000
COMMUNITIES IN SCHOOLS OF CENTRAL TEXAS 3000 S IH 35 SUITE 200 TRAVIS,TX 787046536	NONE	NON-PROFIT PUBLIC CH	CIS DROPOUT PREVENTION SERVICES AT ALLISON ELEMENTARY SCHOOL	10,000
COMMUNITIES IN SCHOOLS INC 277 S WASHINGTON ST STE 210 ALEXANDRIA CITY,VA 223143675	NONE	NON-PROFIT PUBLIC CH	BUILDING THE COMMUNITIES IN SCHOOLS ALUMNI NETWORK FOR SERVICE, LEADERSHIP DEVELOPMENT, AND MUTUAL SUPPORT	180,000
COMMUNITIES IN SCHOOLS INC 277 S WASHINGTON ST STE 210 ALEXANDRIA CITY,VA 223143675	NONE	NON-PROFIT PUBLIC CH	BEST PRACTICES IN COMMUNITIES IN SCHOOLS NETWORK FUND DEVELOPMENT A RESEARCH AND DISSEMINATION PROJECT	50,000
COMMUNITY DEVELOPMENT CORPORATION OF LONG ISLAND 2100 MIDDLE COUNTRY ROAD SUFFOLK,NY 11720	NONE	NON-PROFIT PUBLIC CH	HOMEOWNER PRESERVATION SERVICES (HPS)	50,000
COMMUNITY HOUSING INNOVATIONS INC 190 E POST RD STE 401 WESTCHESTER,NY 106014922	NONE	NON-PROFIT PUBLIC CH	FIRST-TIME HOMEBUYER COUNSELING, EDUCATION AND GRANTS PROGRAM	7,500
COMMUNITY LOAN FUND OF NEW JERSEY INC 16 W LAFAYETTE ST 18 MERCER,NJ 086082002	NONE	NON-PROFIT PUBLIC CH	RESTART	30,000
CREDIT BUILDERS ALLIANCE 1701 K STREET NW SUITE 1000 10TH FLOOR DISTRICT OF COLUMBIA,DC 20006	NONE	NON-PROFIT PUBLIC CH	CBA CREDIT BUILDER MEMBER WEBSITE AND SECURED CREDIT CARD FEATURE	75,000
CRENULATED CO LTD DBA NEW SETTLEMENT APARTMENTS 1512 TOWNSEND AVENUE BRONX,NY 10452	NONE	NON-PROFIT PUBLIC CH	COLLEGE SUCCESS PROGRAM	35,000
CYPRESS HILLS LOCAL DEVELOPMENT CORPORATION 625 JAMAICA AVE KINGS,NY 112081203	NONE	NON-PROFIT PUBLIC CH	CYPRESS HILLS AFFORDABLE HOUSING	40,000
Total  3a				7,586,614

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CYPRESS HILLS LOCAL DEVELOPMENT CORPORATION 625 JAMAICA AVE KINGS,NY 112081203	NONE	NON-PROFIT PUBLIC CH	CYPRESS HILLS STUDENT SUCCESS CENTER	75,000
DALLAS EDUCATION FOUNDATION 3700 ROSS AVENUE BOX 108 DALLAS,TX 75219	NONE	NON-PROFIT PUBLIC CH	PROJECT AMPLIFY	10,000
DC CENTRAL KITCHEN 425 SECOND STREET DISTRICT OF COLUMBIA,DC 20001	NONE	NON-PROFIT PUBLIC CH	THE CULINARY JOB TRAINING PROGRAM GO-TEAM	200,000
DC COLLEGE ACCESS PROGRAM 1029 VERMONT AVE NW STE 400 DISTRICT OF COLUMBIA,DC 200053517	NONE	NON-PROFIT PUBLIC CH	PROGRAMMATIC SUPPORT	180,000
EDUCATION IS FREEDOM 2711 N HASKELL AVE SUITE 2070 DALLAS,TX 752042911	NONE	NON-PROFIT PUBLIC CH	EIF HIGH SCHOOL PROGRAM	5,000
ENTERPRISE COMMUNITY PARTNERS INC 10227 WINCOPIN CIRCLE HOWARD,MD 21044	NONE	NON-PROFIT PUBLIC CH	MOST VULNERABLE POPULATIONS	125,000
FIFTH AVENUE COMMITTEE 621 DEGRAW ST KINGS,NY 112173120	NONE	NON-PROFIT PUBLIC CH	HOUSING RECOVERY & REBUILDING IN SOUTH BROOKLYN	42,500
FINANCIAL INNOVATIONS CENTER INC 611 BROADWAY SUITE 510 NEWYORK,NY 10012	NONE	NON-PROFIT PUBLIC CH	FINANCIAL CAPABILITY INNOVATION FUND, ORGANIZATIONAL DEVELOPMENT,& UNDERBANKED FORUM SPONSORSHIP	95,000
FORDHAM BEDFORD HOUSING CORPORATION 2751 GRAND CONCOURSE BRONX,NY 104683001	NONE	NON-PROFIT PUBLIC CH	COMMUNITY EFFICIENT HOUSING	30,000
FUTURES AND OPTIONS INC 120 BROADWAY STE 913 NEWYORK,NY 102713399	NONE	NON-PROFIT PUBLIC CH	FUTURES AND OPTIONS CAREER ESSENTIALS AND INTERNSHIP PROGRAM	40,000
GODDARD RIVERSIDE COMMUNITY CENTER 593 COLUMBUS AVE NEWYORK,NY 100241904	NONE	NON-PROFIT PUBLIC CH	OPTIONS COLLEGE SUCCESS PROGRAM	45,000
GREATER NEW ORLEANS FOUNDATION 1055 ST CHARLES AVE STE 100 ORLEANS,LA 701303981	NONE	NON-PROFIT PUBLIC CH	NEW ORLEANS WORKS	200,000
HABITAT FOR HUMANITY NEW YORK CITY INC 111 JOHN ST FL 23 NEWYORK,NY 100383109	NONE	NON-PROFIT PUBLIC CH	SINGLE FAMILY HOMES IN QUEENS	10,000
HABITAT FOR HUMANITY OF TRENTON 601 N CLINTON AVE MERCER,NJ 08638	NONE	NON-PROFIT PUBLIC CH	7-PLEX BUILD IN 500 BLOCK OF N CLINTON AVE	5,000
HARLEM EDUCATIONAL ACTIVITIES FUND INC 2090 SEVENTH AVENUE 10TH FLOOR NEWYORK,NY 10027	NONE	NON-PROFIT PUBLIC CH	COLLEGE QUEST AND ONWARD	50,000
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HIGHER ACHIEVEMENT PROGRAM INC 1701 K STREET NO 625 DISTRICT OF COLUMBIA,DC 200061503	NONE	NON-PROFIT PUBLIC CH	CLOSING THE MIDDLE SCHOOL ACHIEVEMENT GAP IN WASHINGTON, DC AND BALTIMORE, MD	80,000
HOURL CHILDREN INC 36-11 A 12TH STREET QUEENS,NY 111065001	NONE	NON-PROFIT PUBLIC CH	HOURL CHILDREN'S HOURL APARTMENT HOUSE III	5,000
HOUSING ACTION COUNCIL INC 55 SOUTH BROADWAY WESTCHESTER,NY 105914000	NONE	NON-PROFIT PUBLIC CH	HOUSING DEVELOPMENT SERVICES PROGRAM	30,000
HOUSING AND COMMUNITY DEVELOPMENT NETWORK OF NJ 145 WHANOVER ST MERCER,NJ 086184823	NONE	NON-PROFIT PUBLIC CH	STRENGTHENING LOCAL CAPACITY TO STRENGTHEN PEOPLE AND PLACE	50,000
HOUSING CONSERVATION COORDINATORS INC 777 10TH AVE NEW YORK,NY 100195027	NONE	NON-PROFIT PUBLIC CH	LEGAL SERVICES PROGRAM	10,000
HOUSING HELP INC 91 BROADWAY SUFFOLK,NY 117401385	NONE	NON-PROFIT PUBLIC CH	COMMUNITY OUTREACH FOR AFFORDABLE HOUSING SERVICES	5,000
I HAVE A DREAM FOUNDATION - NEW YORK 330 SEVENTH AVE 20TH FL NEW YORK,NY 100015010	NONE	NON-PROFIT PUBLIC CH	COLLEGE PREP AND MENTORING AT THE "I HAVE A DREAM"-NY DEHOSTOS-WISE PROGRAM	35,000
JOBSFIRSTNYC 11 PARK PL RM 1602 NEW YORK,NY 100072840	NONE	NON-PROFIT PUBLIC CH	YOUNG ADULT SECTORAL EMPLOYMENT PROJECT (YASEP)	200,000
KIPP DC 910 17TH STREET NW DISTRICT OF COLUMBIA,DC 20006	NONE	NON-PROFIT PUBLIC CH	KIPP THROUGH COLLEGE	20,000
KIPP INC 10711 KIPP WAY DR HARRIS,TX 770992675	NONE	NON-PROFIT PUBLIC CH	KIPP THROUGH COLLEGE	20,000
LA CASA DE DON PEDRO 75 PARK AVE ESSEX,NJ 071041034	NONE	NON-PROFIT PUBLIC CH	PROJECT RESTART HOUSING COUNSELING	15,000
LEAP INC 621 DEGRAW ST KINGS,NY 112173120	NONE	NON-PROFIT PUBLIC CH	PROGRAM SUPPORT FOR RED HOOK ON THE ROAD AND NEW YORK DRIVES	25,000
LEAP INC 621 DEGRAW ST KINGS,NY 112173120	NONE	NON-PROFIT PUBLIC CH	BROOKLYN WORKFORCE COLLABORATION CONNECTING LOW-INCOME AND UNEMPLOYED NEW YORKERS TO EMERGING CAREERS AT THE BROOKLYN NAVY YARD	200,000
LOCAL INTIATIVES SUPPORT CORPORATION NEW YORK CITY 501 SEVENTH AVE 7TH FLOOR NEW YORK,NY 10018	NONE	NON-PROFIT PUBLIC CH	LISC RESEARCH AND DEVELOPMENT FUND	100,000
LONG ISLAND HOUSING PARTNERSHIP INC 180 OSER AVENUE SUFFOLK,NY 117883736	NONE	NON-PROFIT PUBLIC CH	MORTGAGE COUNSELING	50,000
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LOW INCOME INVESTMENT FUND 350 BROADWAY SUITE 701 NEW YORK, NY 10013	NONE	NON-PROFIT PUBLIC CH	SUPPORT FOR LIIF'S NEW YORK AFFORDABLE HOUSING INVESTMENT INITIATIVE	20,000
LOW INCOME INVESTMENT FUND 350 BROADWAY SUITE 701 NEW YORK, NY 10013	NONE	NON-PROFIT PUBLIC CH	AFFORDABLE HOUSING PRESERVATION INITIATIVE (AHPI)	100,000
NASSAU-SUFFOLK COALITION FOR THE HOMELESS INC 38 OLD COUNTRY RD NASSAU, NY 115301532	NONE	NON-PROFIT PUBLIC CH	AFFORDABLE HOUSING TECHNICAL ASSISTANCE PROGRAM	5,000
NATIONAL ASSOCIATION OF WORKFORCE BOARDS 1133 19TH ST NW STE 400 DISTRICT OF COLUMBIA, DC 200363631	NONE	NON-PROFIT PUBLIC CH	FORUM DIALOGUE FOR WORKFORCE EXCELLENCE	35,000
NATIONAL COMMUNITY STABILIZATION TRUST 910 17TH ST NW STE 1030 DISTRICT OF COLUMBIA, DC 200062623	NONE	NON-PROFIT PUBLIC CH	NCST NEIGHBORHOOD DIAGNOSTICS HELPING COMMUNITIES USE DATA TO SEE CHALLENGES AND FIND OPPORTUNITY	50,000
NATIONAL COUNCIL OF LA RAZA 1126 16TH ST NW 6TH FL DISTRICT OF COLUMBIA, DC 200364845	NONE	NON-PROFIT PUBLIC CH	FINANCIAL COACHING AND REBUILDING CREDIT PILOT IN WASHINGTON MARKET AREA	125,000
NATIONAL LOW INCOME HOUSING COALITION 727 15TH ST NW 6TH FLOOR DISTRICT OF COLUMBIA, DC 200052168	NONE	NON-PROFIT PUBLIC CH	THE ALIGNMENT PROJECT	50,000
NATIONAL URBAN LEAGUE 120 WALL STREET NEW YORK, NY 100053904		NON-PROFIT PUBLIC CH	NUL PRE- AND POST-PURCHASE HOUSING COUNSELING	75,000
NEIGHBORHOOD HOUSING SERVICES OF JAMAICA INC 89-70 162ND ST QUEENS, NY 114325072	NONE	NON-PROFIT PUBLIC CH	CONSTRUCTION MANAGEMENT PROJECT	15,000
NEIGHBORHOOD HOUSING SERVICES OF NEW YORK CITY INC 307 W 36TH ST FL 12 NEW YORK, NY 100186495	NONE	NON-PROFIT PUBLIC CH	THE NHSNYC FORECLOSURE INTERVENTION INITIATIVE	30,000
NEIGHBORHOOD REINVESTMENT CORPORATION 1325 G ST NW STE 800 DISTRICT OF COLUMBIA, DC 200053104	NONE	NON-PROFIT PUBLIC CH	TRAINING AND CAPACITY BUILDING IN AFFORDABLE HOUSING AND COMMUNITY STABILIZATION	175,000
NEW JERSEY COMMUNITY DEVELOPMENT CORPORATION (NJCDC) 32 SPRUCE STREET PASSAIC, NJ 07501	NONE	NON-PROFIT PUBLIC CH	PARK CORNER PLAZA	15,000
NEW LEADERS INC 30 WEST 26TH STREET 9TH FLOOR NEW YORK, NY 10010	NONE	NON-PROFIT PUBLIC CH	NEW YORK CITY/NEWARK FY 14	35,000
NEW YORK CARES INC 65 BROADWAY 19TH FLOOR NEW YORK, NY 10006	NONE	NON-PROFIT PUBLIC CH	NEW YORK CARES FAFSA PROGRAM	35,000
NEW YORK MORTGAGE COALITION 50 BROAD ST STE 1125 NEW YORK, NY 100042376	NONE	NON-PROFIT PUBLIC CH	NEW YORK MORTGAGE COALITION HOMEOWNERSHIP COUNSELING	55,000
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NEW YORK NEEDS YOU 589 8TH AVE 5TH FLOOR NEW YORK,NY 10018	NONE	NON-PROFIT PUBLIC CH	NEW JERSEY NEEDS YOU FELLOWS PROGRAM	25,000
NEW YORK UNIVERSITY SCHOOL OF LAW - FURMAN CENTER 139 MACDOUGAL STREET 2ND FLOOR NEW YORK,NY 10012	NONE	NON-PROFIT PUBLIC CH	SUBSIDIZED HOUSING INFORMATION PROJECT AND STATE OF THE CITY'S HOUSING AND NEIGHBORHOODS REPORT	15,000
NORTHFIELD COMMUNITY LDC 160 HEBERTON AVENUE RICHMOND,NY 103021403	NONE	NON-PROFIT PUBLIC CH	PARKSIDE CONSTRUCTION MONITORING	5,000
NORTHWEST BRONX COMMUNITY & CLERGY COALITION 103 E 196TH ST BRONX,NY 104683637	NONE	NON-PROFIT PUBLIC CH	PEOPLE OVER PROFITS END PRIVATE EQUITY IN THE BRONX NOW	15,000
PARODNECK FOUNDATION FOR SELF-HELP HOUSING & COMMUNITY DEVELOPMENT INC 121 SIXTH AVENUE SUITE 501 NEW YORK,NY 100131510	NONE	NON-PROFIT PUBLIC CH	CATCH COMMUNITY ORGANIZER	15,000
PHI 400 EAST FORDHAM ROAD 11TH FLOOR BRONX,NY 10458	NONE	NON-PROFIT PUBLIC CH	STRENGTHENING HOME CARE EMPLOYMENT EXPANDING OPPORTUNITIES FOR ECONOMIC SECURITY IN LOW-INCOME NEW YORK CITY COMMUNITIES	25,000
PLANO ISD EDUCATION FOUNDATION 2700 W 15TH STREET COLLIN,TX 75075	NONE	NON-PROFIT PUBLIC CH	PLANO ISD AVID COLLEGE READINESS PROGRAM	10,000
PRATT AREA COMMUNITY COUNCIL 201 DEKALB AVE KINGS,NY 11205	NONE	NON-PROFIT PUBLIC CH	DISTRESSED PROPERTIES PROGRAM	40,000
PRATT INSTITUTE 200 WILLOUGHBY AVENUE KINGS,NY 112053802	NONE	NON-PROFIT PUBLIC CH	FAIRNESS COALITION OF QUEENS	15,000
PREPARED 4 LIFE 1800 WEST LOOP S STE 1875 HARRIS,TX 770273209	NONE	NON-PROFIT PUBLIC CH	LEMONADE DAY - HOUSTON	25,000
QUEENS COMMUNITY HOUSE 108-25 62ND DR QUEENS,NY 113751217	NONE	NON-PROFIT PUBLIC CH	NEIGHBORHOOD PRESERVATION AND HOUSING ORGANIZING	20,000
RED HOOK INITIATIVE 767 HICKS ST KINGS,NY 112312547	NONE	NON-PROFIT PUBLIC CH	COLLEGE ACCESS AND JOB READINESS SUPPORT FOR RED HOOK YOUTH	40,000
REEL STORIES TEEN FILMMAKING 540 PRESIDENT ST STE 2F KINGS,NY 112150000	NONE	NON-PROFIT PUBLIC CH	REEL WORKS PRODUCTIONS	30,000
RESTORED HOMES HDFC 150 BROADWAY RM 2101 NEW YORK,NY 100384484	NONE	NON-PROFIT PUBLIC CH	GENERAL OPERATING SUPPORT - REO PROGRAM	25,000
SOUTHERN METHODIST UNIVERSITY 6116 N CENTRAL EXPRESSWAY SUITE 1410 DENTON,TX 76206	NONE	NON-PROFIT PUBLIC CH	THE SCHOOL ZONE	15,000
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ST NICKS ALLIANCE 11 CATHERINE STREET KINGS,NY 112112706	NONE	NON-PROFIT PUBLIC CH	NORTH BROOKLYN HOUSING PRESERVATION	30,000
SUMMER SEARCH 90 BROAD STREET SUITE 701 NEWYORK,NY 10004	NONE	NON-PROFIT PUBLIC CH	SUMMER SEARCH	35,000
THE CORPORATION FOR SUPPORTIVE HOUSING 61 BROADWAY SUITE 2300 NEWYORK,NY 10006	NONE	NON-PROFIT PUBLIC CH	DEEPENING PARTNERSHIPS WITH PUBLIC HOUSING AUTHORITIES (PHAS) AND ENCOURAGING INCREASED INVESTMENT AND INVOLVEMENT IN SUPPORTIVE HOUSING	100,000
THE CRENULATED CO LTD DBA NEW SETTLEMENT APARTMENTS 1512 TOWNSEND AVENUE BRONX,NY 10452	NONE	NON-PROFIT PUBLIC CH	COMMUNITY ORGANIZING TO PRESERVE AFFORDABLE AND SAFE HOUSING FOR LOW AND MODERATE INCOME FAMILIES AND INDIVIDUALS	15,000
THE DOOR - A CENTER OF ALTERNATIVES INC 121 AVE OF THE AMER SUITE 506 NEWYORK,NY 100131535	NONE	NON-PROFIT PUBLIC CH	THE DOOR'S EPOCH CAREER AND EDUCATION PROGRAM	25,000
THE DOOR - A CENTER OF ALTERNATIVES INC 121 AVE OF THE AMER SUITE 506 NEWYORK,NY 100131535	NONE	NON-PROFIT PUBLIC CH	THE DOOR & A CENTER OF ALTERNATIVES, INC 'S YOUNG ADULT RETAIL CAREER PATHWAYS PROJECT	200,000
THE FORTUNE SOCIETY 2976 NORTHERN BLVD QUEENS,NY 111012822	NONE	NON-PROFIT PUBLIC CH	"THE CASTLE" PEER-TO-PEER PROGRAM	15,000
THE FORTUNE SOCIETY INC 29-76 NORTHERN BOULEVARD QUEENS,NY 11101	NONE	NON-PROFIT PUBLIC CH	FORTUNE'S CULINARY TRAINING & EXPANDED QEDC PARTNERSHIP	25,000
THE HOUSING PARTNERSHIP NETWORK INC 160 STATE STREET SUFFOLK,MA 02109	NONE	NON-PROFIT PUBLIC CH	EXPANSION OF THE MORTGAGE RESOLUTION FUND AND RESOLUTION SPECIALIST PLATFORM	50,000
THE OPPORTUNITY NETWORK INC 55 EXCHANGE PL STE 503 NEWYORK,NY 100053303	NONE	NON-PROFIT PUBLIC CH	SENIORS TAKE FLIGHT LAUNCH TO COLLEGE AND CAREER	35,000
THE REGENTS OF THE UNIVERSITY OF CALIFONIA UNIVERSITY OF CALIFORNIA BERKELEY 2150 SHATTUCK AVE STE 313 ALAMEDA,CA 947045940	NONE	NON-PROFIT PUBLIC CH	Y--PLAN NATIONAL YEAR 2 CONNECTING EDUCATION AND COMMUNITY DEVELOPMENT TO ALIGN FORCES, LEVERAGE RESOURCES, AND MEASURE RESULTS	350,000
TRI-CITY PEOPLES CORPORATION 55 WASHINGTON STREET ESSEX,NJ 07017	NONE	NON-PROFIT PUBLIC CH	AFFORDABLE HOUSING EDUCATION INITIATIVE	10,000
TRINITY RIVER MISSION 2060 SINGLETON BLVD SUITE 104 DALLAS,TX 752122807	NONE	NON-PROFIT PUBLIC CH	TRM PROGRAM SERVICES	10,000
TRIPLE C HOUSING INC 1 DISTRIBUTION WAY MIDDLESEX,NJ 08852	NONE	NON-PROFIT PUBLIC CH	AFFORDABLE HOUSING DEVELOPMENT CAPACITY BUILDING	10,000
UNCOMMON SCHOOLS FBO NORTH STAR ACADEMY 826 BROADWAY FL 9 NEWYORK,NY 100034826	NONE	NON-PROFIT PUBLIC CH	COLLEGE READINESS PROGRAMS AT NORTH STAR ACADEMY COLLEGE PREPARATORY HIGH SCHOOL	45,000
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UNITED WAY OF METROPOLITAN DALLAS 1800 N LAMAR ST DALLAS,TX 75202	NONE	NON-PROFIT PUBLIC CH	DESTINATION GRADUATION	20,000
UNITED WAY OF METROPOLITAN DALLAS 1800 N LAMAR ST DALLAS,TX 75202	NONE	NON-PROFIT PUBLIC CH	GREATER DALLAS WORKFORCE COLLABORATIVE	150,000
URBAN LEAGUE OF ESSEX COUNTY 508 CENTRAL AVE ESSEX,NJ 071071430	NONE	NON-PROFIT PUBLIC CH	COMMUNITY REVITALIZATION	10,000
VOLUNTEERS OF AMERICA - GREATER NEWYORK INC 205 WEST MILTON AVENUE UNION,NJ 07065	NONE	NON-PROFIT PUBLIC CH	BEHAVIORAL HEATH SERVICES RESPONDING TO THE CHANGE TO FEE FOR SERVICE IN NEW JERSEY	10,000
WEST SIDE FEDERATION FOR SENIOR AND SUPPORTIVE HOUSING INC 2345 BROADWAY 2ND FL NEWYORK,NY 100243213	NONE	NON-PROFIT PUBLIC CH	WSFSSH LIVING GREEN CHALLENGE RESIDENT ENGAGEMENT PROJECT	20,000
WESTCHESTER RESIDENTIAL OPPORTUNITIES INC 470 MAMARONECK AVE SUITE 410 WESTCHESTER,NY 106051830	NONE	NON-PROFIT PUBLIC CH	RESIDENTIAL STABILITY	5,000
WYANDANCH COMMUNITY DEVELOPMENT CORPORATION 59 CUMBERBACH ST SUFFOLK,NY 117984506	NONE	NON-PROFIT PUBLIC CH	COMPREHENSIVE HOUSING COUNSELING	5,000
YEAR UP 93 SUMMER ST SUFFOLK,MA 021101207	NONE	NON-PROFIT PUBLIC CH	ALUMNI ENGAGEMENT PORTAL	200,000
YEAR UP 93 SUMMER ST SUFFOLK,MA 021101207	NONE	NON-PROFIT PUBLIC CH	ALUMNI ENGAGEMENT TO ACTION	175,000
YOUNG KOREAN AMERICAN SERVICE AND EDUCATION CENTER INC 136-19 41ST AVENUE 3FL QUEENS,NY 113552442	NONE	NON-PROFIT PUBLIC CH	FLUSHING HOUSING PROTECTION PROJECT	15,000
Total  3a				7,586,614

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization CAPITAL ONE FOUNDATION INC	Employer identification number 11-3276603
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Employer identification number

11-3276603

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CAPITAL ONE NATIONAL ASSOCIATION 1680 CAPITAL ONE DRIVE MCLEAN, VA 22102	\$ 101,828	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization CAPITAL ONE FOUNDATION INC	Employer identification number 11-3276603
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization CAPITAL ONE FOUNDATION INC	Employer identification number 11-3276603
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		

TY 2013 General Explanation Attachment**Name:** CAPITAL ONE FOUNDATION INC**EIN:** 11-3276603

Identifier	Return Reference	Explanation
INFORMATION REGARDING CONTRIBUTION, GRANT, LOAN, SCHOLARSHIP, ETC , PROGRAMS	FORM 990-PF, PART XV, LINE 2	THE FOUNDATION FOCUS AREAS INCLUDE EDUCATION, WORKFORCE DEVELOPMENT, AFFORDABLE HOUSING AND COMMUNITY DEVELOPMENT BELOW IS THE PROCESS IN WHICH A DISBURSEMENT IS MADE- THE FOUNDATION'S GEOGRAPHIC FOOTPRINT SERVES - VA - DC METRO AREA - LA GREATER NEW ORLEANS - TX GREATER DALLAS AND GREATER HOUSTON - NY NEW YORK CITY (FIVE BOROUGHES AND LONG ISLAND) - NJ NORTHERN AND MID NEW JERSEY - NATIONAL - WITHIN EACH GEOGRAPHIC LOCATION ARE RELATIONSHIP MANAGERS WHO WORK DIRECTLY WITH THE NON-PROFIT PARTNERS IN THE SPECIFIC FOCUS AREAS THAT HAVE PROGRAMMING ACROSS THE FOUNDATION'S FOOTPRINT - GRANTS ARE ONLY GIVEN TO 501(C)'S - WHEN RELATIONSHIP MANAGERS HAVE AN APPROPRIATE OPPORTUNITY FOR THE FOUNDATION, THEY GO TO AN ONLINE GRANT APPLICATION MANAGEMENT SYSTEM, CYBERGRANTS, WHERE INFORMATION IS COLLECTED FROM THE ORGANIZATION THAT INCLUDES THE PURPOSE OF THE PROGRAM, MISSION OF THE ORGANIZATION, GOALS AND PROGRAM METRICS TO ACCESS THE FOUNDATION'S CYBERGRANTS SYSTEM GO TO WWW.CYBERGRANTS.COM/CAPITALONE/FOUNDATION - NOTE THE GRANT APPLICATION SERVER IS USERNAME AND PASSWORD PROTECTED FOR ACCESS OTHER THAN SIMPLY FILLING OUT AN APPLICATION PER INQUIRY WITH MARY JOHNSON FAIN, THE PASSWORDS ARE NOT CHANGED ON A REGULAR BASIS, IF AT ALL THE SYSTEM DOES NOT PROMPT/REQUIRE CHANGES - ALL APPLICATIONS ARE ACCEPTED AND REVIEWED ON A ROLLING BASIS, NOT DEADLINES ARE PUBLISHED - THE FOUNDATION DOES NOT HAVE ANY GRANT AMOUNT RESTRICTIONS, EACH GRANT IS RECOMMENDED BY THE RELATIONSHIP OWNER AND APPROVED VIA THE SIGNING AUTHORITY POLICY

TY 2013 Investments - Other Schedule

Name: CAPITAL ONE FOUNDATION INC
EIN: 11-3276603

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
EATON VANCE FLOATING RATE FUND	FMV	1,596,110	1,596,110
EATON VANCE GLOBAL MACRO ABSOLUTE	FMV	793,839	793,839
FEDERATED INSTITUTIONAL HIGH YIELD	FMV	100,338	100,338
LEGG MASON BW INTERNATIONAL	FMV	100,195	100,195
LOOMIS SAYLES BOND INSTITUTIONAL	FMV	1,070,227	1,070,227
NATIXIS LOOMIS SAYLES INVESTMENT	FMV	1,044,156	1,044,156
PIMCO LOW DURATION FUND #36	FMV	1,059,849	1,059,849
PIMCO TOTAL RETURN FUND INST #35	FMV	3,499,690	3,499,690
STONE HARBOR LOCAL MARKET FUND	FMV	98,957	98,957
WELLS FARGO ADVANTAGE SHORT-TERM	FMV	702,757	702,757
ALBEMARLE CORP COM	FMV	68,720	68,720
ALGER RETIREMENT FD	FMV	864,493	864,493
ALLIANZGI NFJ DIVIDEND VALUE FUND	FMV	4,427,869	4,427,869
ALTRIA GROUP INC COM	FMV	59,082	59,082
APACHE CORP COM	FMV	42,970	42,970
BERKSHIRE HATHAWAY INC DEL CL B NEW	FMV	101,962	101,962
BLACKROCK INC COM	FMV	79,118	79,118
BRISTOL MYERS SQUIBB CO COM	FMV	85,616	85,616
BROWN FORMAN CORP CL B	FMV	21,915	21,915
CARMAX INC COM	FMV	84,166	84,166
CARNIVAL CORP PAIRED CTF	FMV	87,169	87,169
CHEVRON CORP NEW COM	FMV	72,448	72,448
CISCO SYS INC COM	FMV	47,552	47,552
COCA COLA CO COM	FMV	50,398	50,398
CONOCOPHILLIPS COM	FMV	58,640	58,640
CORNING INC COM	FMV	61,835	61,835
DODGE & COX INTERNATIONAL STOCK	FMV	2,559,668	2,559,668
E M C CORP MASS COM	FMV	62,372	62,372
EATON VANCE CORP COM NON VTG	FMV	71,031	71,031
EATON VANCE PARAMETRIC EMERGING	FMV	538,840	538,840

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ENERGIZER HLDGS INC COM	FMV	63,862	63,862
FEDEX CORP COM	FMV	40,298	40,298
FIDELITY INST MMKT FUND 2014	FMV	2,586,477	2,586,477
GENERAL DYNAMICS CORP COM	FMV	73,574	73,574
HARBOR SMALL CAP VALUE FUND INST	FMV	884,016	884,016
HARDING LOEVNER FUNDS INC	FMV	1,037,371	1,037,371
HERSHEY CO	FMV	24,308	24,308
INTEL CORP COM	FMV	48,536	48,536
INTERNATIONAL BUSINESS MACHS CORP	FMV	50,644	50,644
ISHARES MSCI EAFE ETF	FMV	1,006,425	1,006,425
ISHARES RUSSELL MID-CAP ETF	FMV	369,851	369,851
ISHARES RUSSELL 2000 ETF	FMV	164,157	164,157
JP MORGAN HIGHBRIDGE STATISTICAL	FMV	751,677	751,677
KINDER MORGAN MANAGEMENT LLC SHS	FMV	19,293	19,293
LAZARD EMERGING MARKETS EQUITY	FMV	528,307	528,307
LOWES COS INC COM	FMV	64,911	64,911
MAINSTAY LARGE CAP GROWTH FUND CL I	FMV	4,606,681	4,606,681
MEADWESTVACO CORP COM	FMV	35,453	35,453
MICROSOFT CORP COM	FMV	34,043	34,043
MORGAN STANLEY MID CAP GROWTH FUND	FMV	756,402	756,402
NEWMARKET CORP COM	FMV	102,251	102,251
PFIZER INC COM	FMV	44,720	44,720
PHILIP MORRIS INTL INC COM	FMV	27,302	27,302
SPDR S&P MIDCAP 400 ETF TR UNIT SER	FMV	734,730	734,730
UBS ALERIAN MLP INDEX ETN	FMV	315,440	315,440
VANGUARD BD INDEX FD INC TOTAL BD	FMV	472,135	472,135
VANGUARD FTSE EMERGING MARKETS ETF	FMV	710,611	710,611
VANGUARD INDEX FDS REIT ETF	FMV	516,480	516,480
VANGUARD INDEX FDS S&P 500 ETF SHS	FMV	4,063,321	4,063,321
VANGUARD INTL EQUITY INDEX FDS	FMV	217,920	217,920

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
VERIZON COMMUNICATIONS INC COM	FMV	45,700	45,700
VISA INC COM CL A	FMV	86,845	86,845
WAL MART STORES INC COM	FMV	45,913	45,913
WELLS FARGO & CO NEW COM	FMV	83,082	83,082
WHITE MOUNTAINS INSURANCE GP	FMV	72,370	72,370
MONEY MARKET FUNDS	FMV	2,754,355	2,754,355

TY 2013 Other Assets Schedule

Name: CAPITAL ONE FOUNDATION INC

EIN: 11-3276603

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DUE FROM RELATED PARTY	15,000	303	303
DIVIDENDS RECEIVABLE	31,844	8,434	8,434

TY 2013 Other Expenses Schedule**Name:** CAPITAL ONE FOUNDATION INC**EIN:** 11-3276603

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADMINISTRATIVE EXPENSES	92,777	46,389		46,388
OTHER EXPENSES	58,441	29,220		29,221

TY 2013 Other Increases Schedule

Name: CAPITAL ONE FOUNDATION INC

EIN: 11-3276603

Description	Amount
UNREALIZED GAINS ON INVESTMENTS	1,693,490

TY 2013 Other Liabilities Schedule

Name: CAPITAL ONE FOUNDATION INC

EIN: 11-3276603

Description	Beginning of Year - Book Value	End of Year - Book Value
BANK CLEARING ACCOUNT	33,822	0

TY 2013 Other Professional Fees Schedule

Name: CAPITAL ONE FOUNDATION INC

EIN: 11-3276603

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONSULTING FEES	172,599	86,300		86,299

TY 2013 Taxes Schedule

Name: CAPITAL ONE FOUNDATION INC

EIN: 11-3276603

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	36,537	0		0