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Form **990-PF**

Return of Private Foundation

OMB No 1545-0052

or Section 4947(a)(1) Trust Treated as Private Foundation

2013

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 Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

For calendar year 2013, or tax year beginning 01-01-2013, and ending 12-31-2013

Name of foundation RITA AND HENRY KAPLAN FOUNDATION		A Employer identification number 11-3134230
Number and street (or P O box number if mail is not delivered to street address) Room/suite 269-10 GRAND CENTRAL PARKWAY ROOM/SUITE 30A		B Telephone number (see instructions) (718) 530-9166
City or town, state or province, country, and ZIP or foreign postal code FLORAL PARK, NY 11005		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input checked="" type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,201,215	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	45,754	45,754	45,754	
	4 Dividends and interest from securities	61,702	61,702	61,702	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<input checked="" type="checkbox"/> -12,570			
	b Gross sales price for all assets on line 6a 135,781				
	7 Capital gain net income (from Part IV, line 2)		1,346		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	94,886	108,802	107,456		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	<input checked="" type="checkbox"/> 625	625		625
	b Accounting fees (attach schedule)	<input checked="" type="checkbox"/> 2,000	2,000		2,000
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	<input checked="" type="checkbox"/> 1,741	1,741		1,741
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	<input checked="" type="checkbox"/> 89,960	110		110
	24 Total operating and administrative expenses. Add lines 13 through 23	94,326	4,476		4,476
	25 Contributions, gifts, grants paid	77,160			77,160
26 Total expenses and disbursements. Add lines 24 and 25	171,486	4,476		81,636	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-76,600				
b Net investment income (if negative, enter -0-)		104,326			
c Adjusted net income (if negative, enter -0-)			107,456		

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Part II Balance Sheets		Beginning of year			End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	10,228	7,509	7,509			
	2 Savings and temporary cash investments						
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____						
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____						
	5 Grants receivable						
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)						
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____						
	8 Inventories for sale or use						
	9 Prepaid expenses and deferred charges						
	10a Investments—U S and state government obligations (attach schedule)		<input checked="" type="checkbox"/>	71,195	70,908		
	b Investments—corporate stock (attach schedule)	1,042,500	<input checked="" type="checkbox"/>	958,735	888,726		
	c Investments—corporate bonds (attach schedule).	284,030	<input checked="" type="checkbox"/>	228,079	234,072		
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____						
	12 Investments—mortgage loans						
	13 Investments—other (attach schedule)						
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____						
15 Other assets (describe ▶ _____)							
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,336,758		1,265,518	1,201,215			
Liabilities	17 Accounts payable and accrued expenses						
	18 Grants payable						
	19 Deferred revenue						
	20 Loans from officers, directors, trustees, and other disqualified persons						
	21 Mortgages and other notes payable (attach schedule)						
22 Other liabilities (describe ▶ _____)	<input checked="" type="checkbox"/>	560	<input checked="" type="checkbox"/>	5,920			
23 Total liabilities (add lines 17 through 22)	560		5,920				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24 Unrestricted						
	25 Temporarily restricted						
	26 Permanently restricted						
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.						
	27 Capital stock, trust principal, or current funds						
	28 Paid-in or capital surplus, or land, bldg, and equipment fund						
	29 Retained earnings, accumulated income, endowment, or other funds	1,336,198		1,259,598			
30 Total net assets or fund balances (see page 17 of the instructions)	1,336,198		1,259,598				
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,336,758		1,265,518				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,336,198
2	Enter amount from Part I, line 27a	2	-76,600
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	1,259,598
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,259,598

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,346
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			
	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	68,969	1,246,583	0.055326
2011	57,771	1,173,824	0.049216
2010	47,485	1,127,751	0.042106
2009	48,755	944,957	0.051595
2008	47,610	972,997	0.048931

2	Total of line 1, column (d).		2	0.247174
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3	0.049435
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.		4	1,257,565
5	Multiply line 4 by line 3.		5	62,168
6	Enter 1% of net investment income (1% of Part I, line 27b).		6	1,043
7	Add lines 5 and 6.		7	63,211
8	Enter qualifying distributions from Part XII, line 4.		8	81,636

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1,043
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	1,043
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	1,043
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	863
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	1,044
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	1
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	No
c Did the foundation file Form 1120-POL for this year?.	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14 The books are in care of RITA HENRY KAPLAN Telephone no (718) 530-9166 Located at 269-10 GCP APT30A FLORAL PARK NY ZIP +4 11005			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15			
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country N/A	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a During the year did the foundation (either directly or indirectly)				
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c			
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 20___, 20___, 20___, 20___				
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b			
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20___, 20___, 20___, 20___				
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b			
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a			No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b			No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No



b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RITA KAPLAN  269-10 GCP APT30A FLORAL PARK, NY 11005	SECRETARY 50 00	0	0	0
HENRY KAPLAN  269-10 GCP APT30A FLORAL PARK, NY 11005	TREASURER 50 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,271,000
b	Average of monthly cash balances.	1b	5,716
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,276,716
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	1,276,716
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	19,151
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,257,565
6	Minimum investment return. Enter 5% of line 5.	6	62,878

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	62,878
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	1,043
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,043
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	61,835
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	61,835
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	61,835

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	81,636
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	81,636
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	1,043
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	80,593

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				61,835
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012. 5,188				
f Total of lines 3a through e.	5,188			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 81,636				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				61,835
e Remaining amount distributed out of corpus	19,801			
5 Excess distributions carryover applied to 2013 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	24,989			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a.	24,989			
10 Analysis of line 9				
a Excess from 2009.				
b Excess from 2010.				
c Excess from 2011.				
d Excess from 2012. 5,188				
e Excess from 2013. 19,801				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

RITA HENRY KAPLAN
269-10 GCP
APT 30A
FLORAL PK, NY 11005
(347) 836-8284

b The form in which applications should be submitted and information and materials they should include

APPLICANT SHOULD CONTACT INDIVIDUALS IN 2(A) IN WRITING

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE ATTACHED 269-10 GRAND CENTRAL PKWY FLORAL PARK, NY 11005			CHARITABLE CONTRIBUTION	77,160
Total ▶ 3a				77,160
b <i>Approved for future payment</i>				
Total ▶ 3b				

TY 2013 Accounting Fees Schedule

Name: RITA AND HENRY KAPLAN FOUNDATION

EIN: 11-3134230

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	2,000	2,000		2,000

TY 2013 Compensation Explanation

Name: RITA AND HENRY KAPLAN FOUNDATION

EIN: 11-3134230

Person Name	Explanation
RITA KAPLAN	
HENRY KAPLAN	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: RITA AND HENRY KAPLAN FOUNDATION

EIN: 11-3134230

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
191 SH CBTCS-GOLDMAN	2005-01	PURCHASE	2013-05		4,775	4,775				
1750 SH EATON VANCE	2007-02	PURCHASE	2013-11		17,145	27,893			-10,748	
1250 SH EATON VANCE	2006-11	PURCHASE	2013-11		13,331	20,457			-7,126	
25000SH GENL MOTORS	2005-01	PURCHASE	2013-09		25,000	25,000				
25000 SH GENWORTH	2011-08	PURCHASE	2013-09		28,896	24,938			3,958	
600 SH JP MORGAN CHASE	2008-08	PURCHASE	2013-09		15,000	15,000				
1000 SH SELECTIVE INS GRP	2006-09	PURCHASE	2013-03		25,000	25,000				
25000 SH COUNTRYWIDE	2005-09	PURCHASE	2013-12		5,288	5,288				

**TY 2013 Investments Corporate
Bonds Schedule**

Name: RITA AND HENRY KAPLAN FOUNDATION

EIN: 11-3134230

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	228,079	234,072

**TY 2013 Investments Corporate
Stock Schedule****Name:** RITA AND HENRY KAPLAN FOUNDATION**EIN:** 11-3134230

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCK	958,735	888,726

**TY 2013 Investments Government
Obligations Schedule**

Name: RITA AND HENRY KAPLAN FOUNDATION

EIN: 11-3134230

**US Government Securities - End of
Year Book Value:**

**US Government Securities - End of
Year Fair Market Value:**

**State & Local Government
Securities - End of Year Book
Value:**

71,195

**State & Local Government
Securities - End of Year Fair
Market Value:**

70,908

TY 2013 Legal Fees Schedule

Name: RITA AND HENRY KAPLAN FOUNDATION

EIN: 11-3134230

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS DEPT OF LAW	250	250		250
TED ROSENTHAL	375	375		375

TY 2013 Other Expenses Schedule

Name: RITA AND HENRY KAPLAN FOUNDATION

EIN: 11-3134230

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
LEGAL AD	60	60		60
BANK CHARGES	50	50		50
UNREALIZED LOSSES	89,850			

TY 2013 Other Liabilities Schedule

Name: RITA AND HENRY KAPLAN FOUNDATION

EIN: 11-3134230

Description	Beginning of Year - Book Value	End of Year - Book Value
DUE TO HENRY KAPLAN	560	620
		5,300

TY 2013 Taxes Schedule

Name: RITA AND HENRY KAPLAN FOUNDATION

EIN: 11-3134230

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
IRS PAYMENT-2013	863	863		863
IRS PAYMENT-2012	878	878		878

RITA AND HENRY KAPLAN FOUNDATION
SCHEDULE OF CONTRIBUTIONS
2013
FED ID 11-3134230

AMER ASSOC BEN GURION UNIV	2,000 00
AMERICAN FRIENDS HEBREW UNIV	1,000 00
AMERICAN FRIENDS OF MAGEN DAVID	500 00
AMERICAN FRIENDS OF TEL AVIV	500 00
AMERICAN INDIAN COLLEGE FUND	500 00
AMERICAN INST CANCER RESEARCH	500 00
AMERICAN JEWISH COMMITTEE	500 00
AMERICAN JEWISH WORLD SERVICE	1,000 00
AMERICAN SOCIETY HAIFA	500 00
AMERICAN TECH SOCIETY	2,000.00
AMNESTY INTL	1,000 00
ANTI DEFAMATION SOC	2,000 00
ART AMERICAN FDT	500 00
ASPCA	250 00
BNAI BRITH EA PROGRAM	2,000 00
BNAI ZION FDN	500 00
BRILLE INST	500 00
BREAD FOR THE WORLD	250 00
CAMERA COMMITTEE	250 00
CANCER CARE	500 00
CANINE COMPANION	250 00
CARE	1,000 00
CENTER FOR JEWISH HISTORY	250 00
CENTER FOR SCIENCE OF PI	250 00
CHABAD CHERNOBYL	500 00
CHANNEL THIRTEEN	2,500 00
CHECK	250 00
CHILDRENS CANCER	500 00
CITY HARVEST	1,000 00
CITY MEALS ON WHEELS	1,000 00
COALITION FOR THE HOMELESS	500 00
COMMON CAUSE	500 00
COMPASSION & CHOICES	250 00
CORPORATE ACCOUNTABILITY INTL	250 00
DORAT	750 00
ED FUND	250 00
EDU THRU MUSIC	150 00
EMILY'S LIST	100 00
ENGENDER HEALTH	500 00
ETHICAL HUMANIST	250 00
FD FORCE	250 00
FEDERATION JEWISH COMM	1,000 00
FINCA INTERNATIONAL	500 00
FIRST STEP	500 00
FOOD BANK NY CITY	500 00
FOOD & WATER WATCH	500 00
FORWARD NEWSPAPER	60 00
FRIENDS SERV	250 00
FRESH AIR FUND	500 00
GEORGE LONDON FDN	1,000 00
GIVAT HAVIVA EDUC FDTN	250 00
GOODWILL IND	250 00
GUIDE DOG FDN FOR THE BLIND	500 00
HABITAT FOR HUMANITY	500 00
HEBREW FREE BURIAL ASSN	500 00
HEIFER INTERNATIONAL	500 00
HELEN KELLER INTL	250 00
HOLOCAUST MEM CTR	500 00
HOPKINS AMER INDIAN HOSP	250 00
HUMAN RIGHTS WATCH	500 00
INTL RESCUE COMM	500 00
ISRAEL GUIDE DOG CENTER	500 00
JERUSALEM FOUNDATION	250 00
JEWISH BLIND INTL	500 00
JEWISH FDN FOR RIGHTEOUS	250 00

RITA AND HENRY KAPLAN FOUNDATION
SCHEDULE OF CONTRIBUTIONS
2013
FED ID 11-3134230

JEWISH GUILD FOR BLIND	1,000 00
JEWISH MUSEUM	1,000 00
JEWISH NATIONAL FUND	500 00
LEAGUE OF WOMEN VOTERS	250 00
LEARNING ALLEY	500 00
LOWER EAST SIDE MUSEUM	100 00
MEIR PANIM FDTN	500 00
METRO OPERA	500 00
MUSCULAR DYSTROPHY ASSN	250 00
MUSEUM AT ELDRIDGE ST	100 00
MUSEUM OF JEWISH HERITAGE	1,000 00
NARAL PRO-CHOICE AMERICA	500 00
NATL CANCER COALITION	500 00
NATL COM PRESERVE SS & MED	250.00
NATL COUNCIL JEWISH POVERTY	500 00
NATL MULTIPLE SCLEROSIS SOC	500 00
NATIONAL ORG FOR WOMEN	100 00
NATL PLANNED PARENTHOOD	1,000 00
NATL URBAN LEAGUE	500 00
NATURAL RESOURCES DEFENSE COL	250 00
NJ TIMES NEEDIEST CASES	500.00
NYC LIBERTY UNION	500 00
NYC RESCUE MISSION	500 00
ORBIS INTL	250 00
OXFAM AMERICA	250 00
PARKINSONS DISEASE	500 00
PATRON NETWORK	2,500 00
PEF ISRAEL ENDWT FUND	3,500 00
PEOPLE FOR AMERICAN WAY	250 00
PETA	250 00
PLANNED GIVING	250 00
PLANNED PARENTHOOD	1,000 00
POPULATION INSTITUTE	250 00
PROJECT HOPE	500 00
PUBLIC CITIZEN	250 00
QUEENS CENTER FOR PROGRESS	400 00
RADIO STATION	100 00
RICHARD TUCKER MUSIC FDN	1,000 00
SCHOMBURG CENTER	250 00
SHAARE ZEDEK	500 00
SIMON WIESENTHAL CENTER	500 00
SMILE TRAIN	1,000 00
SMITHSONIAN	500 00
SOUTHERN INST	500 00
SOUTHERN POVERTY LAW CENTER	1,000 00
ST JUDE'S HOSPITAL	1,500 00
T MAP INTL	500 00
UJA FEDERATION	3,500 00
UNICEF	1,000 00
UNION OF CONCERNED SCIENTISTS	250 00
UNITED CHARITY INST JERUSALEM	500 00
UNITED NEGRO COLLEGE FUND	500 00
US HOLOCAUST MUSEUM	1,000 00
WELLSTONE ACTION	250 00
WENDY DAVIS FOR GOV	50 00
WHEELCHAIR FDN	500 00
WOMEN MAKE MOVIES	250 00
WORLD JEWISH FED	500 00
YAD VASHEM	500 00
YIVO INST	250 00
ZIONISTS OF AMERICA	500 00
	<u>77,160 00</u>