Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
KDK CHARITABLE TRUST
C/O UNTRACHT EARLY LLC

A Employer identification number
06-6455317

Number and street (or P O box number if mail is not delivered to street address): 325 COLUMBIA TURNPIKE — Room/suite: 202

B Telephone number
(973)408-6700

City or town, state or province, country, and ZIP or foreign postal code
FLORHAM PARK, NJ 07932

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 4,749,981.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

SCANNED AUG 06 2014

Part I Analysis of Revenue and Expenses
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	85,021.	85,021.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	300,525.			
	b Gross sales price for all assets on line 6a 2,150,451.				
	7 Capital gain net income (from Part IV, line 2)		300,525.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	-18,044.	-18,044.		STATEMENT 2
	12 **Total** Add lines 1 through 11	367,502.	367,502.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	6,000.	0.		6,000.
	c Other professional fees				
	17 Interest	23.	23.		0.
	18 Taxes STMT 4	818.	818.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	26,108.	26,108.		0.
	24 **Total operating and administrative expenses** Add lines 13 through 23	32,949.	26,949.		6,000.
	25 Contributions, gifts, grants paid	168,831.			168,831.
	26 **Total expenses and disbursements** Add lines 24 and 25	201,780.	26,949.		174,831.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	165,722.			
	b **Net investment income** (if negative, enter -0-)		340,553.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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323501 10-10-13 LHA For Paperwork Reduction Act Notice, see instructions

KDK CHARITABLE TRUST

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)	Beginning of year	End of year	
	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	4,478.	23,585.	23,585.
2 Savings and temporary cash investments	122,908.		
3 Accounts receivable ▶			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons	1,669.	100.	100.
7 Other notes and loans receivable ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U.S. and state government obligations			
b Investments - corporate stock STMT 6	3,363,500.	3,317,638.	4,298,254.
c Investments - corporate bonds STMT 7	0.	321,163.	358,101.
11 Investments - land, buildings, and equipment: basis ▶			
Less accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other STMT 8	0.	58,660.	69,941.
14 Land, buildings, and equipment: basis ▶			
Less accumulated depreciation ▶			
15 Other assets (describe ▶ DUE FROM BROKER)	62,869.	0.	0.
16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	3,555,424.	3,721,146.	4,749,981.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds	3,555,424.	3,721,146.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 **Total net assets or fund balances**	3,555,424.	3,721,146.	
31 **Total liabilities and net assets/fund balances**	3,555,424.	3,721,146.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,555,424.
2 Enter amount from Part I, line 27a	2	165,722.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,721,146.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,721,146.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	2,150,451.		1,849,926.	300,525.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				
b				
c				
d				
e				300,525.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	300,525.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	209,803.	3,752,165.	.055915
2011	83,820.	3,784,502.	.022148
2010	75,120.	3,584,104.	.020959
2009	328,179.	3,356,444.	.097776
2008	151,625.	4,577,194.	.033126

2	Total of line 1, column (d)	2	.229924
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.045985
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,099,856.
5	Multiply line 4 by line 3	5	96,562.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,406.
7	Add lines 5 and 6	7	99,968.
8	Enter qualifying distributions from Part XII, line 4	8	174,831.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary-see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	3,406.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	3,406.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-		5	3,406.
6	Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a 1,357.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 9,000.		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	10,357.
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	6,951.
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 6,951. **Refunded** ▶		11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes " attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ UNTRACHT EARLY LLC Telephone no. ▶ (973) 408-6700
Located at ▶ 325 COLUMBIA TPKE, SUITE 202, FLORHAM PARK, NJ ZIP+4 ▶ 07932

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DENNIS J. KEEGAN C/O UNTRACHT EARLY, 325 COLUMBIA TPKE FLORHAM PARK, NJ 07932	TRUSTEE 2.00	0.	0.	0.
KAREN S. KEEGAN C/O UNTRACHT EARLY, 325 COLUMBIA TPKE FLORHAM PARK, NJ 07932	TRUSTEE 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	0.
2	
All other program-related investments. See instructions 3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	2,089,726.
b	Average of monthly cash balances		1b	42,107.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	2,131,833.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	2,131,833.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	31,977.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	2,099,856.
6	**Minimum investment return** Enter 5% of line 5		6	104,993.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	104,993.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 3,406.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	3,406.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	101,587.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	101,587.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	101,587.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	174,831.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	174,831.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	3,406.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	171,425.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				101,587.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009 59,002.				
c From 2010				
d From 2011				
e From 2012 24,569.				
f **Total** of lines 3a through e	83,571.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 174,831.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				101,587.
e Remaining amount distributed out of corpus	73,244.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below.**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	156,815.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	156,815.			
10 Analysis of line 9:				
a Excess from 2009 59,002.				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012 24,569.				
e Excess from 2013 73,244.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon. a "Assets" alternative test - enter. (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

DENNIS J. KEEGAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ALZHEIMER'S ASSOC. GREENWICH CHAPTER 607 MAIN AVENUE NORWALK, CT 06851	NONE	PUBLIC	GENERAL UNRESTRICTED	1,000.
ANNUAL BISHOP'S APPEAL 40 N. MAIN AVENUE ALBANY, NY 12203	NONE	PUBLIC	GENERAL UNRESTRICTED	2,000.
BOWERY MISSION 227 BOWERY NEW YORK, NY 10002	NONE	PUBLIC	GENERAL UNRESTRICTED	360.
BRUCE MUSEUM OF ARTS AND SCIENCE 1 MUSEUM DRIVE GREENWICH, CT 06830	NONE	PUBLIC	GENERAL UNRESTRICTED	3,200.
BRUNSWICK SCHOOL 100 MAHER AVE GREENWICH, CT 06831	NONE	PUBLIC	GENERAL UNRESTRICTED	250.
Total SEE CONTINUATION SHEET(S)			▶ 3a	168,831.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Form **990-PF** (2013)

323611
10-10-13

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	85,021.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	900000	-18,044.			
8 Gain or (loss) from sales of assets other than inventory			18	300,525.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		-18,044.		385,546.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 367,502.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ [signature] 7-28-14 ▶ TRUSTEE

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
TRACEY B. EARLY	TRACEY B. EARLY	06/20/14		P00161567

Firm's name ▶ UNTRACHT EARLY LLC — Firm's EIN ▶ 22-3754856

Firm's address ▶ 325 COLUMBIA TURNPIKE, SUITE 202
FLORHAM PARK, NJ 07932 — Phone no. 973-408-6700

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MORGAN STANLEY ST #4607 - SEE ATTACHED	P	VARIOUS	12/31/13
b	EL PASO PIPELINE PARTNERS LP	P	VARIOUS	05/15/13
c	MORGAN STANLEY LT #4607 - SEE ATTACHED	P	VARIOUS	12/31/13
d	MORGAN STANLEY LT #4607 - SEE ATTACHED	P	VARIOUS	12/31/13
e	AIR PROD & CHEMICAL INC	P	01/07/13	02/25/13
f	APACHE CORP	P	12/10/12	01/07/13
g	BED BATH & BEYOND	P	VARIOUS	07/07/13
h	BROADCOM CORP	P	08/15/12	04/18/13
i	CBS CORP	P	12/03/12	04/18/13
j	COLGATE PALMOLIVE CO	P	12/03/12	02/08/13
k	EXPRESS SCRIPTS HOLD CO	P	12/03/12	04/18/13
l	MICROSOFT CORP	P	12/10/12	02/25/13
m	OCCIDENTAL PETROLEUM CRP	P	VARIOUS	02/06/13
n	SUNTRUST BANKS INC	P	12/03/12	04/18/13
o	SYMANTEC CORP	P	01/07/13	04/18/13

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	423,898.		370,092.	53,806.
b	140,749.		119,089.	21,660.
c	344,358.		293,715.	50,643.
d	231,689.		140,394.	91,295.
e	4,566.		4,571.	-5.
f	1,300.		1,200.	100.
g	87,127.		91,225.	-4,098.
h	12,229.		13,138.	-909.
i	2,140.		1,697.	443.
j	77,974.		77,307.	667.
k	5,541.		5,359.	182.
l	1,867.		1,798.	69.
m	21,398.		19,245.	2,153.
n	1,429.		1,395.	34.
o	2,850.		2,361.	489.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				53,806.
b				21,660.
c				50,643.
d				91,295.
e				-5.
f				100.
g				-4,098.
h				-909.
i				443.
j				667.
k				182.
l				69.
m				2,153.
n				34.
o				489.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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05-01-13

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g , real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TIME WARNER INC	P	02/25/13	04/18/13
b	UBS #1532 ST - SEE ATTACHED	P	VARIOUS	12/31/13
c	PLAINS ALL AMERICAL PIPELINE	P	VARIOUS	12/31/13
d	FANNIE MAE 8.250%	P	08/25/08	05/15/13
e	GNMA PL	P	VARIOUS	02/11/13
f	THE BLACKSTONE GROUP LP	P	VARIOUS	12/31/13
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,416.		1,272.	144.
b	556,931.		476,121.	80,810.
c	103,655.		80,501.	23,154.
d	27,161.		50,458.	-23,297.
e	102,025.		98,988.	3,037.
f	148.			148.
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				144.
b				80,810.
c				23,154.
d				-23,297.
e				3,037.
f				148.
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	300,525.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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05-01-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILDREN OF FALLEN PATRIOTS FOUNDATION 419 THIRD STREET NORTH JACKSONVILLE, FL 32250	NONE	PUBLIC	GENERAL UNRESTRICTED	2,500.
COMMUNITY ANSWERS, INC. 101 WEST PUTNAM AVENUE GREENWICH, CT 06830	NONE	PUBLIC	GENERAL UNRESTRICTED	250.
DARTMOUTH COLLEGE DARTMOUTH COLLEGE HANOVER, NH 03755	NONE	PUBLIC	GENERAL UNRESTRICTED	50,021.
FAMILY CENTER INCORPORATED 366 SOMERVILLE AVENUE SOMERVILLE, MA 02143	NONE	PUBLIC	GENERAL UNRESTRICTED	500.
GREENWICH ACADEMY 200 NORTH MAPLE AVENUE GREENWICH, CT 06830	NONE	PUBLIC	GENERAL UNRESTRICTED	2,650.
GREENWICH PRESERVATION TRUST PO BOX 4719 GREENWICH, CT 06831	NONE	PUBLIC	GENERAL UNRESTRICTED	250.
HARRISBURG CHRISTIAN SCHOOL 2000 BLUE MOUNTAIN PARKWAY HARRISBURG, PA 17112	NONE	PUBLIC	GENERAL UNRESTRICTED	100.
REACH PREP 2777 SUMMER ST #209B STAMFORD, CT 06905	NONE	PUBLIC	GENERAL UNRESTRICTED	1,000.
THE JULIE & MICHAEL TRACY FAMILY FOUNDATION PO BOX 2579 GLENVIEW, IL 60026	NONE	PUBLIC	GENERAL UNRESTRICTED	2,000.
LENNOX HILL NEIGHBORHOOD HOUSE 331 E. 70TH STREET NEW YORK, NY 10021	NONE	PUBLIC	GENERAL UNRESTRICTED	250.
Total from continuation sheets				162,021.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SOLDIER SOX 1127 HIGH RIDGE ROAD, #124 STAMFORD, CT 06905	NONE	PUBLIC	GENERAL UNRESTRICTED	200.
SOUND WATERS 1281 COVE ROAD STAMFORD, CT 06902	NONE	PUBLIC	GENERAL UNRESTRICTED	2,000.
ST. PAULS SCHOOL 325 PLEASWANT STREET CONCORD, NH 03301	NONE	PUBLIC	GENERAL UNRESTRICTED	150.
UCLA ANDERSON SCHOOL 110 WESTWOOD PLAZA LOS ANGELES, CA 90024	NONE	PUBLIC	GENERAL UNRESTRICTED	30,000.
UCLA FOUNDATION 10920 WILSHIRE BLVD LOS ANGELES, CA 90024	NONE	PUBLIC	GENERAL UNRESTRICTED	30,000.
UNITED WAY OF GREENWICH 1 LAFAYETTE COURT GREENWICH, CT 06830	NONE	PUBLIC	GENERAL UNRESTRICTED	27,250.
YWCA GREENWICH 259 E. PUTNAM AVE GREENWICH, CT 06830	NONE	PUBLIC	GENERAL UNRESTRICTED	12,900.
Total from continuation sheets				

323631
05-01-13

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
THROUGH UBS FINANCIAL SERVICES	85,021.	0.	85,021.	85,021.	
TO PART I, LINE 4	85,021.	0.	85,021.	85,021.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
ORDINARY LOSS FROM K-1 GREENHAVEN CONTINUOUS COMMODITIY INDEX FUND	-18,044.	-18,044.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-18,044.	-18,044.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	6,000.	0.		6,000.
TO FORM 990-PF, PG 1, LN 16B	6,000.	0.		6,000.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	818.	818.		0.
TO FORM 990-PF, PG 1, LN 18	818.	818.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	25,975.	25,975.		0.
BANK FEES	133.	133.		0.
TO FORM 990-PF, PG 1, LN 23	26,108.	26,108.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
THROUGH UBS FINANCIAL SERVICES #11532	0.	0.
THROUGH UBS FINANCIAL SERVICES #11544	0.	0.
THROUGH MORGAN STANLEY #4607 - SEE ATTACHED	3,170,197.	4,195,204.
THROUGH MORGAN STANLEY #4606 - SEE ATTACHED	147,441.	103,050.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,317,638.	4,298,254.

FORM 990-PF	CORPORATE BONDS	STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
THROUGH MORGAN STANLEY #4606 - SEE ATTACHED	321,163.	358,101.
TOTAL TO FORM 990-PF, PART II, LINE 10C	321,163.	358,101.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
PLAINS ALL AMERICAN PIPELINE	COST	58,660.	69,941.
TOTAL TO FORM 990-PF, PART II, LINE 13		58,660.	69,941.

Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient

DENNIS J KEEGAN & KAREN S KEEGAN
CO-TTEE KDK CHARITABLE TRUST U/A
DTD 12/19/1997
22 LAKEVIEW DR
RIVERSIDE CT 06878-1111

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: 06-6455317
Account Number: 761 084607 451

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities
(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ANADARKO PETE	CUSIP: 032511107		Symbol (Box 1d): APC					
	474 000	02/15/12	08/21/13	$42,088.62	$41,704.41	$0.00	$384.21	$0.00
APPLE INC	CUSIP: 037833100		Symbol (Box 1d): AAPL					
	55 000	11/08/11	08/21/13	$27,633 71	$22,219.70	$0.00	$5,414.01	$0.00
BROADCOM CORP CL A	CUSIP: 111320107		Symbol (Box 1d): BRCM					
	2,080 000	08/15/12	08/21/13	$52,498.49	$72,294.56	$0.00	($19,796.07)	$0.00
EBAY INC	CUSIP: 278642103		Symbol (Box 1d): EBAY					
	1,141 000	05/04/11	08/21/13	$58,444 20	$37,498.97	$0.00	$20,945.23	$0.00
EMC CORP MASS	CUSIP: 268648102		Symbol (Box 1d): EMC					
	190 000	08/15/12	08/21/13	$4,902.54	$4,911.17	$0.00	($8 63)	$0.00
	1,448.000	08/15/12	11/13/13	$34,986.55	$37,428.29	$0.00	($2,441.74)	$0.00
Security Subtotal	1,638.000			$39,889.09	$42,339.46	$0.00	($2,450.37)	$0.00
GILEAD SCIENCE	CUSIP: 375558103		Symbol (Box 1d): GILD					
	549.000	07/15/11	09/17/13	$34,443.55	$11,336.16	$0 00	$23,107.39	$0.00
NOVARTIS AG ADR	CUSIP: 66987V109		Symbol (Box 1d): NVS					
	70.000	03/09/12	08/21/13	$5,209 30	$3,807.76	$0.00	$1,401.54	$0.00
SUNTRUST BKS	CUSIP: 867914103		Symbol (Box 1d): STI					
	1,430.000	12/03/12	12/04/13	$50,220.72	$38,349 44	$0.00	$11,871.28	$0.00
U S BANCORP COM NEW	CUSIP: 902973304		Symbol (Box 1d): USB					
	921 000	01/10/11	08/21/13	$33,930 61	$24,164 09	$0 00	$9,766.52	$0.00
Total Long Term Covered Securities				**$344,358.29**	**$293,714.55**	**$0.00**	**$50,643.74**	**$0.00**

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

007192 MSGODD01 041071

Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient

DENNIS J KEEGAN & KAREN S KEEGAN
CO-TTEE KDK CHARITABLE TRUST U/A
DTD 12/19/1997
22 LAKEVIEW DR
RIVERSIDE CT 06878-1111

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: 06-6455317
Account Number: 761 084607 451

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
GILEAD SCIENCE	CUSIP: 375558103		Symbol (Box 1d): GILD					
	137.000	09/24/08	08/21/13	$7,995.63	$3,137.83	$0.00	$4,857.80	$0.00
	63.000	11/05/08	08/21/13	$3,676.82	$1,433.18	$0.00	$2,243.64	$0.00
	179.000	11/05/08	09/17/13	$11,230.23	$4,072.05	$0.00	$7,158.18	$0.00
	332.000	03/11/09	09/17/13	$20,829.25	$7,303.63	$0.00	$13,525.62	$0.00
	500.000	10/27/09	09/17/13	$31,369.35	$10,791.58	$0.00	$20,577.77	$0.00
Security Subtotal	1,211.000			$75,101.28	$26,738.27	$0.00	$48,363.01	$0.00
GOOGLE INC-CL A	CUSIP: 38259P508		Symbol (Box 1d): GOOG					
	10.000	11/29/10	08/21/13	$8,687.14	$5,812 45	$0.00	$2,874.69	$0.00
	25.000	11/29/10	11/13/13	$25,274.56	$14,531.11	$0.00	$10,743.45	$0.00
Security Subtotal	35.000			$33,961.70	$20,343.56	$0.00	$13,618.14	$0.00
JPMORGAN CHASE & CO	CUSIP: 46625H100		Symbol (Box 1d): JPM					
	1,315 000	04/19/10	08/21/13	$67,856.76	$59,744.21	$0.00	$8,112.55	$0.00
ORACLE CORP	CUSIP: 68389X105		Symbol (Box 1d): ORCL					
	797.000	03/24/08	08/21/13	$25,881.00	$16,601 51	$0.00	$9,279.49	$0.00
	48.000	04/17/08	08/21/13	$1,558.71	$996.48	$0.00	$562.23	$0.00
Security Subtotal	845.000			$27,439.71	$17,597.99	$0.00	$9,841.72	$0.00
QUALCOMM INC	CUSIP: 747525103		Symbol (Box 1d): QCOM					
	250.000	03/24/08	08/21/13	$16,645.51	$9,932.50	$0.00	$6,713.01	$0.00
	122.000	03/24/08	11/13/13	$8,467 88	$4,847.06	$0.00	$3,620.82	$0.00
	6.000	04/17/08	11/13/13	$416.45	$250.50	$0.00	$165.95	$0.00
Security Subtotal	378.000			$25,529.84	$15,030.06	$0.00	$10,499.78	$0.00

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2013   Copy B For Recipient

DENNIS J KEEGAN & KAREN S KEEGAN
CO-TTEE KDK CHARITABLE TRUST U/A
DTD 12/19/1997
22 LAKEVIEW DR
RIVERSIDE CT 06878-1111

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: 06-6455317
Account Number: 761 084607 451

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
THERMO FISHER SCIENTIFIC INC	CUSIP: 883556102	Symbol (Box 1d): TMO						
	20.000	02/05/10	08/21/13	$1,799.96	$939 97	$0.00	$859.99	$0.00
Total Long Term Noncovered Securities				$231,689.25	$140,394.06	$0.00	$91,295.19	$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Security Mark at Right

Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient

DENNIS J KEEGAN & KAREN S KEEGAN
CO-TTEE KDK CHARITABLE TRUST U/A
DTD 12/19/1997
22 LAKEVIEW DR
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Continued)

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
EBAY INC	CUSIP: 278642103	Symbol (Box 1d): EBAY						
	350.000	12/03/12	08/21/13	$17,927.67	$18,324.60	$0.00	($396.93)	$0.00
	49 000	12/10/12	08/21/13	$2,509.87	$2,516.15	$0.00	($6.28)	$0.00
	41.000	04/18/13	08/21/13	$2,100.10	$2,214.82	$0.00	($114.72)	$0.00
Security Subtotal	440.000			$22,537.64	$23,055.57	$0.00	($517.93)	$0.00
MCGRAW HILL FINANCIAL INC COM	CUSIP: 580645109	Symbol (Box 1d): MHFI						
	911.000	05/13/13	11/13/13	$64,478.27	$50,565.51	$0.00	$13,912.76	$0.00
	367.000	05/15/13	11/13/13	$25,975.33	$20,445.82	$0.00	$5,529.51	$0.00
Security Subtotal	1,278.000			$90,453.60	$71,011.33	$0.00	$19,442.27	$0.00
MCKESSON CORP	CUSIP: 58155Q103	Symbol (Box 1d): MCK						
	100.000	02/06/13	11/13/13	$15,706.57	$10,230.00	$0.00	$5,476 57	$0.00
TJX COS INC NEW	CUSIP: 872540109	Symbol (Box 1d): TJX						
	70.000	04/18/13	08/21/13	$3,779 23	$3,289 52	$0.00	$489.71	$0.00
	161.000	04/18/13	11/13/13	$10,041.70	$7,565.89	$0.00	$2,475.81	$0.00
Security Subtotal	231.000			$13,820.93	$10,855.41	$0.00	$2,965.52	$0.00
U S BANCORP COM NEW	CUSIP: 902973304	Symbol (Box 1d): USB						
	28.000	12/10/12	08/21/13	$1,031.55	$893.76	$0 00	$137.79	$0.00
	110.000	04/18/13	08/21/13	$4,052.52	$3,538.24	$0.00	$514.28	$0.00
Security Subtotal	138.000			$5,084.07	$4,432.00	$0.00	$652.07	$0.00
Total Short Term Covered Securities				**$423,897.85**	**$370,091.75**	**$0.00**	**$53,806.10**	**$0.00**

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Security Mark at Right

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AIR PROD & CHEMICAL INC	FIFO	797 000	Jan 30, 12	Feb 25, 13	68,655 08	70,073 16		-1,418 08	
	FIFO	24 000	Dec 10, 12	Feb 25, 13	2,067 41	2,129.36	137 36	-61 95	
ANADARKO PETROLEUM CORP	FIFO	19 000	Feb 15, 12	Apr 18, 13	1,505.58	1,671 70		-166 12	

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
APACHE CORP	FIFO	502 000	Jul 22, 10	Jan 07, 13	40,786 13	45,023 97		-4,237.84	
APPLE INC	FIFO	104 000	Oct 25, 11	May 13, 13	47,122 91	41,767 94			5,354.9[illegible]
	FIFO	45.000	Nov 08, 11	May 13, 13	20,389.72	18,179 75			2,209.[illegible]
CHECK POINT SOFTWARE TECH LTD ILS	FIFO	1,038 000	May 18, 11	Feb 14, 13	53,005 63	56,100.79		-3,095.16	
	FIFO	31 000	Dec 10, 12	Feb 14, 13	1,583.02	1,699.36	252.90	-116 34	
COCA COLA CO COM	FIFO	275 000	Apr 21, 11	Apr 18, 13	11,633 04	9,315.63			2,317.41
GILEAD SCIENCES INC	FIFO	354.000	Apr 17, 08	Apr 18, 13	18,238 00	8,839 38			9,398.62
	FIFO	601 000	Sep 24, 08	Apr 18, 13	30,963 38	13,765 25			17,198.13
MICROSOFT CORP	FIFO	78 000	Sep 24, 08	Feb 25, 13	2,173.81	2,003.82			169.99
	FIFO	338 000	Mar 11, 09	Feb 25, 13	9,419.85	5,697 94			3,721.91
	FIFO	200 000	Sep 11, 09	Feb 25, 13	5,573.88	4,995 50			578.38
	FIFO	360.000	Mar 05, 10	Feb 25, 13	10,032 97	10,240 52		-207.55	
	FIFO	1,269.000	May 28, 10	Feb 25, 13	35,366.24	32,803 65			2,562 59
OCCIDENTAL PETROLEUM CRP	FIFO	30 000	Oct 27, 09	Feb 06, 13	2,652.65	2,424 90			227.75
	FIFO	64 000	Aug 10, 10	Feb 06, 13	5,658 97	4,952.32			706 65
	FIFO	465.000	Nov 05, 10	Feb 06, 13	41,116 00	39,193 35			1,922.65
ORACLE CORP	FIFO	170 000	Mar 24, 08	Apr 18, 13	5,539 33	3,541.10			1,998 2[illegible]
SYMANTEC CORP	FIFO	38.000	Dec 15, 10	Jan 25, 13	834 61	652 00			182[illegible]
	FIFO	1,891.000	Dec 15, 10	Apr 18, 13	44,541 76	32,445 78			12,095 98
	FIFO	2,000 000	Jul 15, 11	Apr 18, 13	47,109 21	38,010 00			9,099 21
	FIFO	380 000	Jan 30, 12	Apr 18, 13	8,950 75	6,475 20			2,475 55
THERMO FISHER SCIENTIFIC INC	FIFO	56 000	Oct 27, 09	Apr 18, 13	4,521 56	2,557 94			1,963.62
	FIFO	421 000	Feb 05, 10	Apr 18, 13	33,992 47	19,786 37			14,206 10
WALT DISNEY CO (HOLDING CO) DISNEY COM	FIFO	58 000	Apr 17, 08	Apr 18, 13	3,496 61	1,774.63			1,721.98
Total					**$556,930.57**	**$476,121.31**		**-$9,303.04**	**$90,112.30**
Net long-term capital gains or losses									**$80,809.26**

Account Detail

Portfolio Management Active Assets Account
761-084607-451

DENNIS J KEEGAN & KAREN S KEEGAN
CO-TTEE KDK CHARITABLE TRUST U/A
Nickname· MANAGED
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Investment Objectives †: Capital Appreciation, Income

Investment Advisory Account

† See the Expanded Disclosures for more information about investment objectives. Please review for accuracy and inform us if your investment objectives change.

HOLDINGS

This section reflects positions purchased/sold on a trade date basis, and will include positions purchased and omit positions sold in the current month. "Market Value" and "Unrealized Gain/(Loss)" are representative values as of the last business day of the statement period indicated, may not reflect the value that could be obtained in the market. See "Pricing of Securities" in the Expanded Disclosures. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated annual income and estimated yield for certain securities may include return of principal or capital gains which could overstate such estimates. Actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment and, it does not reflect changes in its price, which may fluctuate. Structured products appear in various statement product categories and are identified as "Structured Products" in the Security Description column. Estimated accrued interest, estimated annual income and estimated yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest If you hold structured products, see "Special Considerations Regarding Structured Products" in the Disclosures. New Treasury regulations require that we report on Form 1099-B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns. Refer to the Expanded Disclosures for additional information.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
MORGAN STANLEY BANK N.A. #	$12,096.14	$6 00	—	0 050

	Percentage of Assets %	Market Value	Estimated Annual Income Accrued Interest
CASH, BDP, AND MMFs	0.3%	$12,096.14	$6.00 $0.00

 # Bank Deposits are held at either. (1) Morgan Stanley Bank, N.A., and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC, or (2) Citibank, N.A., each a national bank and FDIC member.

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ABBVIE INC COM (ABBV)	9/17/13	1,931 000	$47.173	$91,090.48	$101,976.11	$10,885.63 ST		
	11/13/13	88.000	47 450	4,175.60	4,647.28	471 68 ST		
	Total	2,019.000		95,266 08	106,623.39	11,357.31 ST	3,230.40	3.02
Share Price. $52.810, Next Dividend Payable 02/2014								
APPLE INC (AAPL)	11/8/11	10 000	403.994	4,039.94	5,610 20	1,570 26 LT A		
	3/19/12	150.000	593.610	89,041 50	84,153.00	(4,888 50) LT A		
	12/10/12	12.000	531.170	6,374 04	6,732.24	358.20 LT A		

061020 MSGDD22C 029512

Account Detail

Portfolio Management Active Assets Account
761-084607-451

DENNIS J KEEGAN & KAREN S KEEGAN
CO-TTEE KDK CHARITABLE TRUST U/A
Nickname. MANAGED
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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	12/17/12	5 000	512.370	2,561 85	2,805.10	243 25 LT A		
	4/18/13	8 000	394.210	3,153 68	4,488 16	1,334 48 ST A		
	Total	185 000		105,171 01	**103,788.70**	(2,716.79) LT 1,334 48 ST	2,257.00	2 17
Share Price. $561.020, Next Dividend Payable 02/2014								
AUTODESK INC DELAWARE (ADSK)	4/18/13	1,660 000	36 210	60,108 77	**83,529.54**	23,420 77 ST A	—	—
Share Price $50 319								
CARDINAL HEALTH INC (CAH)	8/21/13	1,721 000	50.445	86,815 84	**114,980.01**	28,164 17 ST	2,082 41	1.81
Share Price $66.810, Next Dividend Payable 01/15/14								
CBS CORP NEW CL B SHRS (CBS)	12/3/12	1,413 000	36 107	51,018 48	90,064 62	39,046 14 LT A		
	12/10/12	45 000	35 910	1,615 95	2,868.30	1,252 35 LT A		
	1/7/13	413 000	38 296	15,816.25	26,324.62	10,508.37 ST A		
	8/21/13	315 000	50.836	16,013.43	20,078.10	4,064.67 ST		
	Total	2,186 000		84,464 11	**139,335.64**	40,298 49 LT 14,573 04 ST	1,049 28	0 75
Share Price. $63.740; Next Dividend Payable 01/01/14								
COCA COLA CO (KO)	4/21/11	1 325 000	33 875	44,884 37	54,735.75	9,851.38 LT A		
	12/3/12	1,140 000	37 667	42,939 92	47,093 40	4,153 48 LT A		
	12/10/12	83.000	37.710	3,129 92	3,428 73	298.81 LT A		
	1/7/13	186 000	37.240	6,926 64	7,683 66	757 02 ST A		
	8/21/13	250 000	38 497	9,624 15	10,327.50	703 35 ST		
	9/30/13	90.000	37 800	3,402 00	3,717.90	315.90 ST		
	11/13/13	48.000	39 750	1,908 00	1,982.88	74 88 ST		
	Total	3,122 000		112,815 00	**128,969.82**	14,303.67 LT 1,851 15 ST	3,496 64	2.71
Share Price· $41.310, Next Dividend Payable 03/2014								
COLGATE PALMOLIVE CO (CL)	12/3/12	574 000	54 315	31,176 81	37,430 54	6,253 73 LT A		
	12/10/12	64 000	53 545	3,426.88	4 173.44	746 56 LT A		
	1/7/13	218 000	53.919	11,754 36	14,215 78	2,461.42 ST A		
	4/18/13	6 000	59 315	355 89	391.26	35.37 ST A		
	8/21/13	20 000	58 770	1,175 40	1,304.20	128 80 ST		
	Total	882 000		47,889 34	**57,515.22**	7,000 29 LT 2,625 59 ST	1,199.52	2.08
Share Price· $65.210; Next Dividend Payable 02/2014								

Security Mark at Right

Account Detail

Portfolio Management Active Assets Account
761-084607-451

DENNIS J KEEGAN & KAREN S KEEGAN
CO-TTEE KDK CHARITABLE TRUST U/A
Nickname. MANAGED
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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
EMC CORP MASS (EMC)	8/15/12	1,313.000	25 848	33,938 78	33,021 95	(916 83) LT A		
	12/3/12	1,136.000	24.747	28,112.02	28,570.40	458.38 LT A		
	12/10/12	122 000	25.010	3,051.22	3,068.30	17.08 LT A		
	1/7/13	1,600 000	24.347	38,955.20	40 240.00	1,284 80 ST A		
	4/18/13	989.000	22.586	22,337 75	24,873 35	2,535 60 ST A		
	9/30/13	150.000	25.607	3,840 98	3 772 50	(68.48) ST		
	Total	5,310 000		130,235.95	**133,546.50**	(441 37) LT 3,751 92 ST	2,124.00	1.59
Share Price. $25.150, Next Dividend Payable 01/2014								
EXPRESS SCRIPTS HLDG CO COM (ESRX)	12/3/12	2,350.000	53 594	125,945.88	165,064 00	39,118 12 LT A		
	12/10/12	75.000	54.316	4,073 70	5,268 00	1,194 30 LT A		
	1/7/13	158.000	54.946	8,681 39	11,097 92	2,416.53 ST A		
	5/15/13	231.000	60 917	14,071 87	16,225.44	2,153 57 ST A		
	9/30/13	70.000	61.750	4,322 50	4,916.80	594 30 ST		
	Total	2,884 000		157,095.34	**202,572.16**	40,312.42 LT 5,164.40 ST	—	—
Share Price: $70 240								
FIFTH 3RD BANCORP OHIO (FITB)	8/21/13	4 295 000	18 980	81,516.95	90,323.85	8,806 90 ST		
	9/30/13	70.000	18 030	1,262 10	1,472 10	210 00 ST		
	Total	4,365 000		82,779 05	**91,795.95**	9,016.90 ST	2,095 20	2 28
Share Price $21.030; Next Dividend Payable 01/23/14								
GILEAD SCIENCE (GILD)	7/15/11	687 000	20.649	14,185.69	51,593.70	37,408.01 LT A		
	12/3/12	900.000	37.466	33,719.72	67,590 00	33,870.28 LT A		
	12/10/12	134 000	37 240	4,990 16	10,063 40	5,073.24 LT A		
	1/7/13	156 000	38 380	5,987.28	11,715.60	5,728 32 ST A		
	Total	1,877 000		58,882.85	**140,962.70**	76,351.53 LT 5,728.32 ST	—	—
Share Price. $75.100								
GOOGLE INC-CL A (GOOG)	11/29/10	129.000	581.245	74,980 55	144,571.59	69,591.04 LT A		
	12/6/10	16.000	579 170	9,266.72	17,931.36	8,664.64 LT A		
	1/30/12	12 000	578 950	6 947.40	13,448.52	6,501.12 LT A		
	12/10/12	6 000	688 250	4,129.50	6,724.26	2.594.76 LT A		
	4/18/13	26 000	774 160	20 128.16	29,138 46	9,010.30 ST A		
	Total	189 000		115,452.33	**211,814 19**	87,351.56 LT 9 010.30 ST	—	—
Share Price: $1,120.710								

061020 MSGDD22C 029513

Account Detail

Portfolio Management Active Assets Account
761-084607-451

DENNIS J KEEGAN & KAREN S KEEGAN
CO-TTEE-KDK CHARITABLE TRUST U/A
Nickname: MANAGED
INTERESTED PARTY COPY

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
JPMORGAN CHASE & CO (JPM)	4/19/10	225 000	45 433	10,222 39	13,158.00	2,935.61 LT A		
	1/10/11	248.000	43 609	10,814.91	14,503.04	3,688 13 LT A		
	8/11/11	450 000	36 017	16,207.83	26,316.00	10,108.17 LT A		
	12/3/12	507 000	41 147	20,861.28	29,649.36	8,788.08 LT A		
	12/10/12	81 000	42 117	3,411 46	4,736.88	1,325 42 LT A		
	4/18/13	72 000	47 146	3,394 50	4,210.56	816.06 ST A		
	11/13/13	670 000	53.365	35,754.62	39,181 60	3,426.98 ST		
	12/4/13	829.000	57 127	47,358 03	48,479 92	1,121 89 ST		
	Total	3,082 000		148,025.02	**180,235.36**	26,845.41 LT 5,364 93 ST	4,684.64	2 59
Share Price $58 480; Next Dividend Payable 01/2014								
MARKWEST ENGY PTNRS LP (MWE)	8/21/13	1,620 000	68.577	99,168 39	**107,130.60**	7,962.21	5,508 00	5 14
Share Price $66.130, Next Dividend Payable 02/2014								
MCGRAW HILL FINANCIAL INC COM (MHFI)	5/15/13	1,014.000	55 711	56,490 62	79,294 80	22,804.18 ST A		
	8/21/13	685 000	59 636	40,850 93	53,567 00	12,716 07 ST		
	Total	1,699 000		97,341 55	**132,861.80**	35,520 25 ST	1,902.88	1 43
Share Price: $78.200; Next Dividend Payable 03/2014								
MCKESSON CORP (MCK)	2/6/13	240.000	102 300	24,552.00	38,736 00	14,184.00 ST A		
	2/8/13	456 000	103.760	47,314 51	73,598.40	26,283.89 ST A		
	2/14/13	341.000	104.731	35,713 24	55,037 40	19,324.16 ST A		
	4/18/13	175.000	106 265	18,596 45	28,245 00	9,648 55 ST A		
	8/21/13	195 000	122.691	23,924.65	31,473 00	7,548 35 ST		
	Total	1 407 000		150,100 85	**227,089.80**	76,988.95 ST	1,350 72	0.59
Share Price· $161.400, Next Dividend Payable 01/02/14								
NEXTERA ENERGY INC COM (NEE)	11/13/13	1 800 000	84.935	152,883 00	**154,116.00**	1,233 00 ST	4,752 00	3 08
Share Price: $85.620, Next Dividend Payable 03/2014								
NIKE INC B (NKE)	11/13/13	1.824.000	77.014	140,474.08	**143,439.36**	2.965.28 ST	1,751 04	1.22
Share Price. $78.640, Next Dividend Payable 01/06/14								
NOVARTIS AG ADR (NVS)	3/9/12	1,124 000	54.397	61,141 67	90,347.12	29,205.45 LT A		
	3/19/12	250 000	55 036	13,759 00	20,095 00	6,336 00 LT A		
	12/3/12	130 000	62 487	8,123 36	10,449 40	2,326 04 LT A		
	12/10/12	49 000	62 560	3,065 44	3,938 62	873 18 LT A		
	1/7/13	175 000	64.080	11,214 00	14,066.50	2,852 50 ST A		
	1/31/13	449 000	68 013	30,537 84	36,090.62	5.552.78 ST A		
	4/18/13	67 000	72 106	4,831 07	5,385 46	554.39 ST A		

Account Detail

Portfolio Management Active Assets Account
761-084607-451

DENNIS J KEEGAN & KAREN S KEEGAN
CO-TTEE KDK CHARITABLE TRUST U/A
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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	2,244 000		132,672 38	**180,372.72**	38,740.67 LT 8,959 67 ST	4,615.91	2.55
Share Price: $80 380, Next Dividend Payable 04/2014								
ORACLE CORP (ORCL)	4/17/08	1,131 000	20 760	23,479 56	43,272 06	19,792.50 LT A		
	9/24/08	357 000	20.160	7,197.12	13,658.82	6,461.70 LT A		
	11/5/08	178.000	18.110	3 223.58	6,810 28	3,586.70 LT A		
	3/11/09	93 000	15 168	1,410 61	3,558.18	2,147.57 LT A		
	9/11/09	200 000	22 820	4,564.00	7,652.00	3,088 00 LT A		
	3/5/10	200.000	24 888	4,977 50	7,652 00	2,674 50 LT A		
	8/10/10	204 000	24 088	4,913 85	7,805 04	2,891.19 LT A		
	12/15/10	300.000	30 400	9,119.87	11,478 00	2,358.13 LT A		
	12/10/12	112.000	32 036	3,588 05	4,285 12	697 07 LT A		
	1/7/13	878 000	34 317	30,129 89	33,592 28	3,462.39 ST A		
	Total	3,653 000		92,604 03	**139,763.78**	43,697 36 LT 3,462 39 ST	1,753.44	1 25
Share Price: $38 260; Next Dividend Payable 01/2014								
PATTERN ENERGY INC CLS A (PEGI)	11/13/13	4,981 000	24.233	120,706 57	**150,974 11**	30,267.54 ST	6,226.25	4.12
Share Price. $30.310; Next Dividend Payable 01/30/14								
QUALCOMM INC (QCOM)	4/17/08	494 000	41.750	20,624.50	36,679.50	16,055.00 LT A		
	9/24/08	238 000	45.780	10,895 64	17,671.50	6,775 86 LT A		
	11/5/08	63 000	35 300	2,223.90	4,677 75	2,453.85 LT A		
	10/27/09	330 000	41 038	13,542.38	24,502 50	10,960.12 LT A		
	12/3/12	830.000	63 797	52,951 10	61,627 50	8,676.40 LT A		
	12/10/12	70.000	63 960	4,477.20	5,197.50	720 30 LT A		
	12/17/12	47.000	61.410	2,886 27	3,489.75	603.48 LT A		
	2/27/13	207 000	65 120	13,479 84	15,369 75	1,889.91 ST A		
	4/18/13	45.000	64 266	2,891.97	3,341.25	449.28 ST A		

Account Detail

Portfolio Management Active Assets Account
761-084607-451

DENNIS J KEEGAN & KAREN S KEEGAN
CO-TTEE KDK CHARITABLE TRUST U/A
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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	2,324 000		123,972 80	172,557.00	46,245 01 LT 2,339 19 ST	3,253.60	1 88
Share Price. $74.250, Next Dividend Payable 03/2014								
SUNTRUST BKS (STI)	12/3/12	2,738 000	26 818	73,427 11	100,785.78	27,358 67 LT A		
	12/10/12	126 000	27 120	3,417 12	4,638.06	1,220.94 LT A		
	3/1/13	290 000	27.540	7,986 60	10,674 90	2,688 30 ST A		
	8/21/13	110 000	33 917	3,730 86	4 049.10	318 24 ST		
	9/30/13	70 000	32.360	2,265 20	2,576 70	311.50 ST		
	11/13/13	160.000	35 057	5,609 04	5,889.60	280.56 ST		
	Total	3,494 000		96,435 93	128,614.14	28,579 61 LT 3,598 60 ST	1,397.60	1.08
Share Price· $36.810, Next Dividend Payable 03/2014								
THERMO FISHER SCIENTIFIC INC (TMO)	2/5/10	107 000	46 999	5,028 84	11,914.45	6,885.61 LT A		
	8/10/10	62 000	45 560	2,824 72	6,903 70	4,078.98 LT A		
	4/21/11	272 000	56.759	15,438 50	30,287.20	14,848.70 LT A		
	12/3/12	37.000	64.060	2,370.22	4,119 95	1,749 73 LT A		
	12/10/12	29 000	64 830	1,880 07	3,229 15	1,349 08 LT A		
	1/7/13	400.000	65 646	26,258.24	44,540 00	18,281.76 ST A		
	Total	907.000		53,800 59	100,994.45	28,912.10 LT 18,281.76 ST	544.20	0 53
Share Price. $111.350, Next Dividend Payable 01/15/14								
TIME WARNER INC NEW (TWX)	2/25/13	1,791.000	52 999	94,920 53	124,868 52	29,947 99 ST A		
	8/21/13	10 000	61.600	616 00	697.20	81.20 ST		
	Total	1,801.000		95 536 53	125,565.72	30,029 19 ST	2,071.15	1.64
Share Price $69.720, Next Dividend Payable 03/2014								
TJX COS INC NEW (TJX)	4/18/13	2,521 000	46 993	118,469 58	160,663.33	42,193.75 ST A	1,462.18	0.91
Share Price: $63.730, Next Dividend Payable 03/2014								
TWENTY-FIRST CENTURY FOX CL A (FOXA)	8/21/13	4,090 000	31.730	129,775 29	143,845.30	14,070 01 ST	1,022.50	0.71
Share Price· $35.170; Next Dividend Payable 04/2014								
UNITED PARCEL SERVICE INC CL-B (UPS)	12/3/12	1,500 000	72 637	108,954 90	157,620 00	48,665 10 LT A		
	12/10/12	48 000	73 817	3,543 21	5,043.84	1,500 63 LT A		
	12/17/12	10,000	73 097	730.97	1,050 80	319 83 LT A		
	1/7/13	74 000	76.550	5,664 70	7,775 92	2,111 22 ST A		
	4/18/13	22 000	82.370	1,812 14	2,311.76	499 62 ST A		
	8/21/13	105 000	86 108	9,041.29	11,033.40	1,992.11 ST		

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Account Detail

Portfolio Management Active Assets Account
761-084607-451

DENNIS J KEEGAN & KAREN S KEEGAN
CO-TTEE KDK CHARITABLE TRUST U/A
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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	1,759 000		129,747.21	**184,835.72**	50,485.56 LT 4,602.95 ST	4,362.32	2.36
Share Price: $105.080, Next Dividend Payable 03/2014								
UNITED TECHNOLOGIES CORP (UTX)	12/3/12	1,100.000	80.081	88,088.88	125,180.00	37,091.12 LT A		
	12/10/12	36.000	80.960	2,914.56	4,096.80	1,182.24 LT A		
	12/17/12	10.000	79.890	798.90	1,138.00	339.10 LT A		
	1/7/13	138 000	84.793	11,701.49	15,704.40	4,002.91 ST A		
	4/18/13	8 000	92 174	737 39	910 40	173.01 ST A		
	11/13/13	26 000	106 420	2,766 92	2 958.80	191.88 ST		
	Total	1,318.000		107,008 14	**149,988.40**	38,612.46 LT 4,367.80 ST	3,110 48	2.07
Share Price $113 800; Next Dividend Payable 03/2014								
WALT DISNEY CO HLDG CO (DIS)	4/17/08	256 000	30.597	7,832 83	19,558.40	11,725 57 LT A		
	10/30/08	56.000	25 120	1,406.72	4,278.40	2,871.68 LT A		
	11/5/08	250 000	24.470	6,117.50	19,100 00	12,982.50 LT A		
	8/10/10	122.000	34 999	4,269.89	9,320 80	5,050 91 LT A		
	12/15/10	400.000	36 978	14,791 36	30,560 00	15,768 64 LT A		
	12/10/12	35.000	49 360	1,727 60	2,674 00	946 40 LT A		
	1/7/13	67.000	51.407	3,444 24	5,118.80	1,674.56 ST A		
	8/21/13	80.000	61 360	4,908 79	6,112 00	1,203 21 ST		
	Total	1,266 000		44,498.93	**96,722.40**	49,345 70 LT 2,877 77 ST	1,088.76	1 12
Share Price. $76 400; Next Dividend Payable 01/16/14								

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
STOCKS	99.7%	3,170,196.54	4,195,203 81	1,025,007.27		

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Account Detail

Active Assets Account
761-084606-451

DENNIS J KEEGAN & KAREN S KEEGAN
CO-TTEE KDK CHARITABLE TRUST U/A

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CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
JPMORGAN CHASE 7.90% FIXED DIV	8/21/08	50,000 000	$91 611	$45,805.25			$3,950 00	7.16
4/30/18 FLOATS THEREAFTER			$91 611	$45,805.25	$55,125.00	$9,319.75 LT A	$658.33	
CUSIP 46625HHA1								
Unit Price: $110.250, Coupon Rate 7.900%; Perpetual Maturity; Int. Semi-Annually Apr/Oct 30, Callable $100 00 on 04/30/18, Yield to Maturity 7.123%, Floater, Moody BA1 S&P BBB, Issued 04/23/08								
WACHOVIA CAP TRUST II	10/6/10	50,000 000	89 661	44,830 25			2,784.80	6.08
CUSIP 92978AAA0			89 661	44,830.25	45,750.00	919.75 LT A	123.77	
Unit Price· $91 500; Coupon Rate 5 569%, Perpetual Maturity, Interest Paid Quarterly Mar 15; Callable $100.00 on 01/31/14, Yield to Maturity 6 142%; Floater, Moody BAA3 S&P BBB+; Issued 02/01/06								
WELLS FARGO & CO 7.98% FIXED D IV TO	8/28/08	65,000 000	76.458	49,697.75			5,187 00	7 15
3/2018 FLOATS THEREAFTER			76.458	49,697.75	72,475.00	22,777.25 LT A	1,527.28	
CUSIP 949746PM7								
Unit Price: $111.500; Coupon Rate 7.980%, Perpetual Maturity; Int. Semi-Annually Mar/Sep 15; Callable $100.00 on 03/15/18; Yield to Call 4 917%; Floater; Moody BAA3 S&P BBB+, Issued 02/08/08								
WILLIS NORTH AMERICA INC	10/19/10	50,000.000	107 865	53,932 25			3,100.00	5.71
CUSIP 970648AD3			104 253	52,126 64	54,275.00	2,148 36 LT A	800.83	
Unit Price: $108.550; Coupon Rate 6 200%, Matures 03/28/2017, Int Semi-Annually Mar/Sep 28, Yield to Maturity 3.391%, Moody BAA3 S&P BBB-, Issued 03/28/07								
MORGAN STANLEY	11/2/10	50,000.000	100 000	50,000.00			1,550 00	3.01
CUSIP 61745ET76			100 000	50,000.00	51,491.00	1,491.00 LT A	223.88	
Unit Price: $102.982, Coupon Rate 3.100%; Matures 11/09/2018; Interest Paid Quarterly Nov 09; Yield to Maturity 2.445%; Floater, Moody BAA2 S&P A-, Issued 11/09/10								
PANHANDLE EAST PIPE LINE	7/30/10	40 000 000	118 101	47,240 45			3,250.00	6.77
CUSIP 698455AC6			112.101	44,840.36	47,984.80	3,144 44 LT A	270 83	
Unit Price. $119.962, Coupon Rate 8.125%; Matures 06/01/2019, Int Semi-Annually Jun/Dec 01, Yield to Maturity 3.990%, Moody BAA3 S&P BBB-; Issued 06/02/09								
FPL GROUP CAPT INC 6.35% FIXED TO	2/19/10	31,000 000	95.667	29,656.75			1,968.50	6.35
10/2016 FLOATS THEREAFTER			95.667	29,656.75	31,000.00	1,343.25 LT A	492.12	
CUSIP 302570AW6								
Unit Price. $100.000; Coupon Rate 6.350%, Matures 10/01/2066; Int. Semi-Annually Apr/Oct 01, Callable $100 00 on 10/01/16; Yield to Call 6 345%, Floater, Moody BAA2 S&P BBB, Issued 09/19/06								

	Face Value Percentage of Assets %	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
CORPORATE FIXED INCOME	336,000.000	$321,162.70			$21,790.30	6.08%
		$316,957.00	$358,100.80	$41,143.80 LT	$4,097.04	
TOTAL CORPORATE FIXED INCOME (incl.accr int)	77.5%		$362,197.84			

Security Mark at Right

Account Detail

Active Assets Account
761-084606-451

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CO-TTEE KDK CHARITABLE TRUST U/A

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GOVERNMENT SECURITIES

SOVEREIGN SECURITIES

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj. Unit Cost	Orig. Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
REPUBLIC OF BRAZIL	10/20/09	250,000 000	$58 977	$147,441 24			—	—
CUSIP 105756BN9			$58 977	$147,441.24	$103,050.00	$(44,391 24) LT A	—	
FX Rate .4238635 BRL —		—	—	—	243,120 71	—	— —	

Unit Price. USD. 41.220, BRL· 97 248, Coupon Rate 10.25%; Matures 01/10/2028; Int. Semi-Annually Jan/Jul 10, Yield to Maturity 26 090%; Trading Flat, Moody BAA2 S&P BBB, Issued 02/14/07

	Face Value / Percentage of Assets %	Orig. Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
GOVERNMENT SECURITIES	250,000.000	$147,441.24			$0.00	—
	22.0%	$147,441 24	$103,050.00	$(44,391.24) LT	$0.00	

Multiple Currency Reporting. Fixed income securities that are denominated in any currency other than U S. dollars display the representative price, value, income and interest amounts in both (1) U.S dollars equivalent amounts calculated using a reference FX rate as of the end of day close of the last business day in the statement period, and (2) the foreign currency in which the security is denominated. We may charge certain fees for conversion of FX, hence the exact U.S dollar amount you will need to purchase non U.S dollar denominated securities may be more than the price displayed and the exact U S dollar amount you will receive upon the sale of non U S. dollar denominated securities may be less than the value displayed For further information on these reference FX rates go to http://www.morganstanleyindividual.com/CustomerService/Disclosures.

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
TOTAL MARKET VALUE	100.0%	$464,398.24	$463,525 30	$(3,247.44) LT	$21,791.30	4.66%
					$4,097.04	

TOTAL VALUE (includes accrued interest)	$467,622.34

A - You executed this transaction elsewhere and later transferred the security to your Morgan Stanley Smith Barney LLC Account. Another financial institution has provided us with the transaction detail for this position

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

ACTIVITY

CASH FLOW ACTIVITY BY DATE

Transaction Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
12/1	12/1	Interest Income	PANHANDLE EAST 8125 19JN01	CUSIP· 698455AC6			$1,625.00
12/16	12/16	Dividend	WACHOVIA CAP TRST 5569 *53DE16				703.95

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