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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation SORENSEN-PEARSON FAMILY FNDTN INC		A Employer identification number 06-6099725	
Number and street (or P O box number if mail is not delivered to street address) 51 WEST ROAD		B Telephone number (see instructions) (860) 693-6441	
City or town, state or province, country, and ZIP or foreign postal code CANTON, CT 06019		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 4,463,209	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	71,287	71,287	71,287	
	4 Dividends and interest from securities.	113,802	113,802	113,802	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	213,882			
	b Gross sales price for all assets on line 6a 1,497,940				
	7 Capital gain net income (from Part IV, line 2)		5,400		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	398,971	190,489	185,089	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	98	98		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	2,664	2,550		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	2,762	2,648		0
	25 Contributions, gifts, grants paid	220,000			220,000
	26 Total expenses and disbursements. Add lines 24 and 25	222,762	2,648		220,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	176,209			
	b Net investment income (if negative, enter -0-)		187,841		
	c Adjusted net income (if negative, enter -0-)			185,089	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	77,244	177,114	177,114
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,525,821	 2,336,502	3,593,251
	c	Investments—corporate bonds (attach schedule).	1,417,831	 662,212	692,844
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,020,896	3,175,828	4,463,209	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	3,020,896	3,175,828	
	30	Total net assets or fund balances (see page 17 of the instructions)	3,020,896	3,175,828	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	3,020,896	3,175,828	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	3,020,896
2	Enter amount from Part I, line 27a	2	176,209
3	Other increases not included in line 2 (itemize) ▶ 	3	15,000
4	Add lines 1, 2, and 3	4	3,212,105
5	Decreases not included in line 2 (itemize) ▶ 	5	36,277
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,175,828

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	5,400
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	205,494	4,207,927	0 048835
2011	193,000	4,040,293	0 047769
2010	174,000	3,712,041	0 046874
2009	153,000	3,462,321	0 044190
2008	213,641	4,101,095	0 052094
2 Total of line 1, column (d).			
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.			
5 Multiply line 4 by line 3.			
6 Enter 1% of net investment income (1% of Part I, line 27b).			
7 Add lines 5 and 6.			
8 Enter qualifying distributions from Part XII, line 4.			
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)					
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	1,878		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)					
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)					
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)				2	
3 Add lines 1 and 2.				3	1,878
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4			
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	1,878		
6 Credits/Payments		7	1,900		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a			1,900	
b Exempt foreign organizations—tax withheld at source	6b				
c Tax paid with application for extension of time to file (Form 8868)	6c				
d Backup withholding erroneously withheld	6d				
7 Total credits and payments Add lines 6a through 6d.		7	1,900		
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	22		
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 22 Refunded		11			

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
	1a		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			No
	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of WENDY PEARSON Telephone no (860) 693-6441 Located at 51 WEST ROAD CANTON CT ZIP+4 06109			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WENDY S PEARSON 51 WEST ROAD CANTON,CT 06019	PRESIDENT 1 00	0	0	0
RICHARD W SORENSON 6540 SE HARBOR CIRCLE STUART,FL 34996	SECRETARY 1 00	0	0	0
EDWARD F ROSENTHAL ESQ 977 FARMINGTON AVE STE 200 WEST HARTFORD,CT 06107	VICE PRES 1 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

NONE

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

1 NOT APPLICABLE

Part IX-B

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1 N/A

2

All other program-related investments See page 24 of the instructions

3

Total. Add lines 1 through 3

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	3,917,871
b	Average of monthly cash balances.	1b	156,493
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	4,074,364
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	4,074,364
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	61,115
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,013,249
6	Minimum investment return. Enter 5% of line 5.	6	200,662

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	200,662
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	1,878
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,878
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	198,784
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	198,784
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	198,784

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	220,000
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	220,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	1,878
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	218,122
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				198,784
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008. 5,801				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.	5,801			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 220,000				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				198,784
e Remaining amount distributed out of corpus	21,216			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	27,017			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	5,801			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	21,216			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . . 21,216				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

SORENSEN-PEARSON FAMILY FOUNDATION
51 WEST ROAD
CANTON,CT 06019
(860) 693-6441

b

The form in which applications should be submitted and information and materials they should include

REQUEST BY LETTER

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

VARIES

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	220,000
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALZHEIMER'S ASSOC CT CHAP 2075 SILAS DEANE HIGHWAY ROCKY HILL,CT 06067		501(C)(3)	GENERAL USE GRANT	1,000
AMERICAN CANCER SOCIETY PO BOX 22718 OKLAHOMA CITY,OK 73123		501(C)(3)	GENERAL USE GRANT	1,000
AMERICAN FDTN FOR AIDS 120 WALL STREET NEWYORK,NY 10005		501(C)(3)	GENERAL USE GRANT	1,000
AMERICAN HEART ASSN 5 BROOKSIDE DRIVE WALLINGFORD,CT 06492		501(C)(3)	GENERAL USE GRANT	1,000
AMERICAN SCH FOR THE DEAF 139 N MAIN ST WEST HARTFORD,CT 06107		501(C)(3)	GENERAL USE GRANT	5,000
ARNOLD ARBORETUM 125 ARBORWAY BOSTON,MA 02130		501(C)(3)	GENERAL USE GRANT	2,000
ARTHRITUS FOUNDATION 35 COLD SPRING ROAD ROCKY HILL,CT 06067		501(C)(3)	GENERAL USE GRANT	1,000
BOYS&GIRLS CLUBS OF HTFD 170 SIGOURNEY ST HARTFORD,CT 06105		501(C)(3)	GENERAL USE GRANT	5,000
BUSHNELL 166 CAPITOL AVE HARTFORD,CT 06106		501(C)(3)	GENERAL USE GRANT	5,000
CAMP COURANT 285 BROAD ST HARTORD,CT 06115		501(C)(3)	GENERAL USE GRANT	5,000
CARLOS OTIS STRATTON MTN 78 FOUNDERS HILL ROAD STRATTON,VT 05360		501(C)(3)	GENERAL USE GRANT	5,000
CHILDRENS HOME 58 MISSIONARY RD CROMWELL,CT 06416		501(C)(3)	GENERAL USE GRANT	5,000
CITYSINGERS OF HARTFORD 591 PARK ROAD WEST HARTFORD,CT 06107		501(C)(3)	GENERAL USE GRANT	2,000
CT CANINE SEARCH & RESCUE PO BOX 6 KENSINGTON,CT 06037		501(C)(3)	GENERAL PURPOSE GRANT	2,000
CT COLLEGE 270 MOHEGAN AVE NEW LONDON,CT 06320		501(C)(3)	GENERAL USE GRANT	1,000
Total  3a				220,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CT CHILDREN'S MEDICAL CEN 282 WASHINGTON STREET HARTFORD,CT 06106		501(C)(3)	GENERAL USE GRANT	5,000
CPTV 1049 ASYLUM AVE HARTFORD,CT 06105		501(C)(3)	GENERAL USE GRANT	1,000
EMANUEL LUTHERAN CHURCH 311 CAPITAL AVE HARTFORD,CT 06106		501(C)(3)	GENERAL USE GRANT	5,000
FARMINGTON RIVER WATERSHE 749 HOPMEADOW ST SIMSBURY,CT 06070		501(C)(3)	GENERAL USE GRANT	1,000
FIDELCO GUIDE DOG FDTN 103 VISIONS WAY BLOOMFIELD,CT 06002		501(C)(3)	GENERAL USE GRANT	10,000
FRIENDS OF ELIZABETH PARK 150 WALBRIDGE RD WEST HARTFORD,CT 06119		501(C)(3)	GENERAL USE GRANT	1,000
FRIENDS OF HEUBLEIN TOWER PO BOX 991 AVON,CT 06001		501(C)(3)	GENERAL USE GRANT	1,000
RIVERFRONT RECAPUTRE 50 COLUMBUS BLVD HARTFORD,CT 06106		501(C)(3)	GENERAL USE GRANT	2,000
GOODSPEED OPERA FDTN PO BOX A EAST HADDAM,CT 06423		501(C)(3)	GENERAL USE GRANT	2,000
HARTFORD HOSPITAL ANNUAL 80 SEYMOUR STREET HARTFORD,CT 06102		501(C)(3)	GENERAL USE GRANT	10,000
INTERVAL HOUSE PO BOX 340207 HARTFORD,CT 06134		501(C)(3)	GENERAL USE GRANT	3,000
HARTFORD SYMPHONY 100 PEARL STREET HARTFORED,CT 06103		501(C)(3)	GENERAL USE GRANT	3,000
FRIENDS OF HILDENE INC 1005 HILDENE ROAD MANCHESTER,VT 05254		501(C)(3)	GENERAL USE GRANT	1,000
HILL STEAD MUSEUM 35 MOUNTAIN ROAD FARMINGTON,CT 06032		501(C)(3)	GENERAL USE GRANT	5,000
HOLE-IN-THE-WALL GANG FUN 555 LONG WHARF DRIVE NEW HAVEN,CT 06511		501(C)(3)	GENERAL USE GRANT	5,000
Total  3a				220,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INSTITUTE OF LIVING 200 RETREAT AVE HARTFORD,CT 06114		501(C)(3)	GENERAL USE GRANT	5,000
JIMMY FUND PO BOX 849168 BOSTON,MA 02284		501(C)(3)	GENERAL USE GRANT	3,000
KINGSWOOD OXFORD SCHOOL 170 KINGSWOOD ROAD WEST HARTFORD,CT 06119		501(C)(3)	GENERAL USE GRANT	1,000
KNOX PARKS FDTN 75 LAUREL ST HARTFORD,CT 06106		501(C)(3)	GENERAL USE GRANT	1,000
LEUKEMIA SOC OF AMER-CT 321 RESEARCH PARKWAY MERIDEN,CT 06450		501(C)(3)	GENERAL USE GRANT	1,000
LITERACY VOLUNTEERS OF GR 30 ARBOR ST HARTFORD,CT 06106		501(C)(3)	GENERAL USE GRANT	2,000
MARK TWAIN MEMORIAL 351 FARMINGTON AVE HARTFORD,CT 06105		501(C)(3)	GENERAL USE GRANT	4,000
MYSTIC SEAPORT 75 GREENMANVILLE AVE MYSTIC,CT 06355		501(C)(3)	GENERAL USE GRANT	1,000
MCLEAN HOME 75 GREAT POND ROAD SIMSBURY,CT 06070		501(C)(3)	GENERAL USE GRANT	40,000
NAT'L MS SOCIETY-CT CH 659 TOWER AVE HARTFORD,CT 06112		501(C)(3)	GENERAL USE GRANT	1,000
NATURE CONSERVANCY OF CT 33 PENT RD WESTON,CT 06883		501(C)(3)	GENERAL USE GRANT	1,000
NEW BRITAIN MUSEUM OF FAME 56 LEXINGTON ST NEW BRITAIN,CT 06052		501(C)(3)	GENERAL USE GRANT	5,000
NOAH WEBSTER FDTN 227 SOUTH MAIN ST WEST HARTFORD,CT 06107		501(C)(3)	GENERAL USE GRANT	3,000
OAK HILL SCHOOL 120 HOLCOMB ST HARTFORD,CT 06112		501(C)(3)	GENERAL USE GRANT	5,000
OPEN HEART ASSN 437 SHELDON ST HARTFORD,CT 06106		501(C)(3)	GENERAL USE GRANT	3,000
Total  3a				220,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ROARING BROOK NATURE CTR 70 GRACEY RD CANTON,CT 06019		501(C)(3)	GENERAL USE GRANT	1,000
SALVATION ARMY 217 WASHINGTON ST HARTFORD,CT 06106		501(C)(3)	GENERAL USE GRANT	5,000
STRATTON FOUNDATION PO BOX 523 STRATTON MOUNTAIN,VT 05155		501(C)(3)	GENERAL USE GRANT	5,000
UNITED WAY OF THE CAPITAL 30 LAUREL STREET HARTFORD,CT 06106		501(C)(3)	GENERAL USE GRANT	5,000
UNIV OF CT HEALTH CENTER 263 FARMINGTON AVE FARMINGTON,CT 06030		501(C)(3)	GENERAL USE GRANT	2,000
VNA HEALTH CARE INC 1290 SILAS DEANE HWY WETHERSFIELD,CT 06109		501(C)(3)	GENERAL USE GRANT	5,000
WADSWORTH ATHENEUM 600 MAIN ST HARTFORD,CT 06103		501(C)(3)	GENERAL USE GRANT	12,000
WALKS FDTN PO BOX 155 WEST GRANBY,CT 06090		501(C)(3)	GENERAL USE GRANT	5,000
WFCR PUBLIC RADIO 131 COUNTY CIRCLE AMHERST,MA 01003		501(C)(3)	GENERAL USE GRANT	1,000
WEBB-DEANE-STEAVENS MUSEUM 211 MAIN ST WETHERSFIELD,CT 06109		501(C)(3)	GENERAL PURPOSE	6,000
Total  3a				220,000

TY 2013 Compensation Explanation

Name: SORENSON-PEARSON FAMILY FNDTN INC

EIN: 06-6099725

Person Name	Explanation
WENDY S PEARSON	
RICHARD W SORENSON	
EDWARD F ROSENTHAL ESQ	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: SORENSON-PEARSON FAMILY FNDTN INC

EIN: 06-6099725

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
CITIGROUP INC	2013-05	PURCHASE	2013-08		48,816	57,367			-8,551	
CITIGROUP INC	2013-05	PURCHASE	2013-08		48,816	57,122			-8,306	
ENCANA CORP7/24/12	2012-07	PURCHASE	2013-07		53,317	62,111			-8,794	
GENERAL ELEC CAP CORP	2012-09	PURCHASE	2013-08		51,232	54,855			-3,623	
GLAXO SMITHKLINE PLC	2012-08	PURCHASE	2013-03		62,918	65,534			-2,616	
KINDER MORGAN ENERGY	2013-03	PURCHASE	2013-09		49,372	57,827			-8,455	
LORILLARD INC	2013-02	PURCHASE	2013-06		64,722	59,418			5,304	
ONEOK PARTNERS LP	2012-10	PURCHASE	2013-07		54,437	63,431			-8,994	
OPPENHEIMER INTL BOND FD	2012-12	PURCHASE	2013-06		4,727	4,949			-222	
ASTRAZENECA PLC	2011-07	PURCHASE	2013-01		47,576	49,906			-2,330	
BELLSOUTH TELECOMMUNICTNS	2011-11	PURCHASE	2013-07		54,830	63,674			-8,844	
BELLSOUTH TELECOMMUNCTNS	2010-08	PURCHASE	2013-12		53,657	56,503			-2,846	
COMWLTH EDISON CO 1ST	2011-10	PURCHASE	2013-10		84,481	88,615			-4,134	
CSX CORP	2012-05	PURCHASE	2013-10		56,295	60,954			-4,659	
EXXON MOBIL CORP	1993-02	PURCHASE	2013-02		89,465	5,651			83,814	
EXXON MOBIL CORP	1993-02	PURCHASE	2013-02		88,547	5,651			82,896	
FIRSTENERGY CORP	1998-05	PURCHASE	2013-11		21,445	20,646			799	
FIRSTENERGY CORP	2011-03	PURCHASE	2013-11		27,073	31,293			-4,220	
GTE CORP	2010-11	PURCHASE	2013-09		55,148	58,062			-2,914	
KINDER MORGAN ENERGY	2012-02	PURCHASE	2013-07		52,399	54,303			-1,904	
OPPENHEIMER INTL BOND FD	2011-01	PURCHASE	2013-06		105,729	113,224			-7,495	
PLUM CREEK TIMBER CO INC	2006-03	PURCHASE	2013-11		43,522	37,118			6,404	
PLUM CREEK TIMBER CO INC	2007-05	PURCHASE	2013-11		10,880	10,109			771	
PRUDENTIAL FINANCIAL INC	2012-07	PURCHASE	2013-12		53,863	55,267			-1,404	
ROYAL DUTCH SHELL PLC	1993-02	PURCHASE	2013-04		63,333	8,913			54,420	
ROYAL DUTCH SHELL PLC	1993-02	PURCHASE	2013-04		64,290	8,914			55,376	
SOUTHERN CO	2010-02	PURCHASE	2013-06		21,830	15,990			5,840	
SOUTHWESTERN ELEC PWR CO	2010-07	PURCHASE	2013-03		59,820	56,651			3,169	

**TY 2013 Investments Corporate
Bonds Schedule**

Name: SORENSON-PEARSON FAMILY FNDTN INC

EIN: 06-6099725

Name of Bond	End of Year Book Value	End of Year Fair Market Value
	662,212	692,844

**TY 2013 Investments Corporate
Stock Schedule**

Name: SORENSON-PEARSON FAMILY FNDTN INC
EIN: 06-6099725

Name of Stock	End of Year Book Value	End of Year Fair Market Value
	2,336,502	3,593,251

TY 2013 Other Decreases Schedule

Name: SORENSON-PEARSON FAMILY FNDTN INC

EIN: 06-6099725

Description	Amount
PRIOR YEAR CHECKS CLEARED	24,000
OTHER DECREASES	12,277

TY 2013 Other Increases Schedule

Name: SORENSON-PEARSON FAMILY FNDTN INC

EIN: 06-6099725

Description	Amount
2013 CHECKS WRITTEN BUT NOT CASHED	15,000

TY 2013 Other Professional Fees Schedule

Name: SORENSON-PEARSON FAMILY FNDTN INC

EIN: 06-6099725

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT OTHER PROFESSIONAL FEES	98	98		

TY 2013 Taxes Schedule**Name:** SORENSON-PEARSON FAMILY FNDTN INC**EIN:** 06-6099725

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL INCOME TAXES	114			
WITHHOLDING AND TAXES PAID	2,550	2,550		