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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

For calendar year 2013, or tax year beginning , 2013, and ending

Name of foundation 20TH CENTURY TRENDS INSTITUTE INC		A Employer identification number 06-6098560
Number and street (or P.O. box number if mail is not delivered to street address) C/O CURTIS BRINKERHOFF BARRETT 666 SUMMER ST		B Telephone number (see the instructions) (203) 324-6777
Room/suite WFC		
City or town, state or province, country, and ZIP or foreign postal code STAMFORD CT 06901		
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial Return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		C If exemption application is pending, check here. <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 402,110.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE	1 Contributions, gifts, grants, etc., received (att sch) . . .				
	2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments.				
	4 Dividends and interest from securities	10,988.	10,988.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		1,054.		
	8 Net short-term capital gain				
	9 Income modifications.				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
THRU K-1S	-19.	-19.			
12 Total. Add lines 1 through 11.	10,969.	12,023.			
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule). L-16a Stmt.	6,675.	6,675.		2,200.
	b Accounting fees (attach sch). L-16b Stmt.	4,215.			
	c Other prof fees (attach sch) . L-16c Stmt.	3,309.	3,309.		
	17 Interest.				
	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	228.	197.		
	19 Depreciation (attach sch) and depletion				
	20 Occupancy.				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
23 Other expenses (attach schedule) THRU K-1S	107.	107.			
24 Total operating and administrative expenses. Add lines 13 through 23	14,534.	10,288.		2,200.	
25 Contributions, gifts, grants paid	26,000.			26,000.	
26 Total expenses and disbursements. Add lines 24 and 25	40,534.	10,288.		28,200.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-29,565.				
b Net Investment Income (if negative, enter -0-).		1,735.			
c Adjusted net income (if negative, enter -0-).					

6-14 15

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing		0.	0.	0.
	2	Savings and temporary cash investments		2,739.	11,819.	11,819.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule) L-10.a. Stmt . .		10,000.	0.	0.
	b	Investments — corporate stock (attach schedule) L-10.b. Stmt . .		201,398.	185,378.	346,358.
	c	Investments — corporate bonds (attach schedule) L-10.c. Stmt . .		49,145.	39,495.	43,933.
	11	Investments — land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation (attach schedule) ▶					
12	Investments — mortgage loans					
13	Investments — other (attach schedule)					
14	Land, buildings, and equipment: basis ▶ 6,612.					
	Less: accumulated depreciation (attach schedule) L-14. Stmt . . ▶ 6,612.		0.	0.	0.	
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I).		263,282.	236,692.	402,110.	
LIABILITIES	17	Accounts payable and accrued expenses		0.	0.	
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here ▶ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ X and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		300,890.	300,890.	
	28	Paid-in or capital surplus, or land, building, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		-37,608.	-64,198.	
	30	Total net assets or fund balances (see instructions)		263,282.	236,692.	
	31	Total liabilities and net assets/fund balances (see instructions)		263,282.	236,692.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	263,282.
2	Enter amount from Part I, line 27a	2	-29,565.
3	Other increases not included in line 2 (itemize) ▶ See Other Increases Stmt	3	3,107.
4	Add lines 1, 2, and 3	4	236,824.
5	Decreases not included in line 2 (itemize) ▶ See Other Decreases Stmt	5	132.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	236,692.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a	10000 FEDERAL FARM CRDIT BANK	P	01/30/09	01/04/13
b	250 HANCOCK HOLDING CO	P	03/04/12	04/03/13
c	600 CITIGROUP CAPITAL VII PFD	P	07/23/01	04/16/13
d	10000 GOLDMAN SACHS GROUP	P	07/30/03	07/15/13
e	See Columns (a) thru (d)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 10,604.		10,000.	604.
b 7,272.		8,835.	-1,563.
c 15,000.		15,000.	0.
d 10,000.		9,650.	350.
e See Columns (e) thru (h)		8,423.	1,663.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			604.
b			-1,563.
c			0.
d			350.
e See Columns (i) thru (l)			1,663.

2 Capital gain net income or (net capital loss).	[If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]		2	1,054.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	[If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8]		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	35,369.	382,981.	0.092352
2011	21,950.	394,998.	0.055570
2010	28,427.	381,714.	0.074472
2009	28,397.	366,871.	0.077403
2008	21,502.	464,427.	0.046298

2 Total of line 1, column (d)	2	0.346095
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.069219
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	385,534.
5 Multiply line 4 by line 3	5	26,686.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	17.
7 Add lines 5 and 6	7	26,703.
8 Enter qualifying distributions from Part XII, line 4	8	28,200.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VII Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary — see Instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	17.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	17.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	17.
6 Credits/Payments:			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a		
b Exempt foreign organizations — tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7		
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		17.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		0.
11 Enter the amount of line 10 to be Credited to 2014 estimated tax	11		
	Refunded		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation . . . \$ (2) On foundation managers . . . \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers . . . \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV.	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) NY — New York		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ <u>WARD F CLEARY ESQ</u> Telephone no. ▶ <u>(203) 624-6777</u>			
	Located at ▶ <u>666 SUMMER ST</u> <u>STAMFORD</u> <u>CT</u> ZIP + 4 ▶ <u>06901</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here			<input type="checkbox"/>
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1 a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1 b	
Organizations relying on a current notice regarding disaster assistance check here	▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).			
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If 'Yes,' list the years ▶ 20 __ , 20 __ , 20 __ , 20 __ .			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions.)		2 b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 __ , 20 __ , 20 __ , 20 __ .			
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)		3 b	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?. ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARK TRAVER 45 GROVE ST NEW YORK NY 10014	DIRECTOR 0.00	0.	0.	0.
JOHN H GILBERT 4911 WARNER AVE STE 210 HUNTINGTON BEACH CA 92649	PRESIDENT 0.00	0.	0.	0.
WARD F CLEARY ESQ 666 SUMMER ST STAMFORD CT 06901	VP, SEC, TREAS 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

None

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

Part IX-A Summary of Direct Charitable Activities

Expenses

Part IX-B Summary of Program-Related Investments (see instructions)

Amount

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	378,607.
b Average of monthly cash balances	1 b	12,798.
c Fair market value of all other assets (see instructions)	1 c	0.
d Total (add lines 1a, b, and c)	1 d	391,405.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	391,405.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	5,871.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	385,534.
6 Minimum investment return. Enter 5% of line 5	6	19,277.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	19,277.
2 a Tax on investment income for 2013 from Part VI, line 5	2 a	17.
b Income tax for 2013. (This does not include the tax from Part VI.)	2 b	
c Add lines 2a and 2b	2 c	17.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	19,260.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	19,260.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	19,260.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	28,200.
b Program-related investments — total from Part IX-B.	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	28,200.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	17.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	28,183.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				19,260.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2013				
a From 2008	0.			
b From 2009	0.			
c From 2010	0.			
d From 2011	818.			
e From 2012	16,282.			
f Total of lines 3a through e	17,100.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 28,200.				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2013 distributable amount				19,260.
e Remaining amount distributed out of corpus	8,940.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	26,040.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	26,040.			
10 Analysis of line 9.				
a Excess from 2009	0.			
b Excess from 2010	0.			
c Excess from 2011	818.			
d Excess from 2012	16,282.			
e Excess from 2013	8,940.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a 'Assets' alternative test — enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c 'Support' alternative test — enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

WARD F CLEARY ESQ
666 SUMMER ST
STAMFORD CT 06901 (203) 324-6777

b The form in which applications should be submitted and information and materials they should include:

LETTER - SPECIFIC REQUEST FOR EDUCATIONAL MATERIALS

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

UPEER OR MIDDLE SCHOOL EDUCATIONAL MATERIALS

Part XIV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
NYU TISCH SCHOOL OF THE ARTS 721 BROADWAY NEW YORK NY 10003		501C3	EDUCATION	6,000.
THE LIONHEART FOUNDATION 629 HIGHLAND AVENUE NEEDHAM MA 02494		501C3	EDUCATION	20,000.
Total			3 a	26,000.
b Approved for future payment				
Total			3 b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies . .					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					10,988.
5	Net rental income or (loss) from real estate.					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory . . .					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory . . .					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)					10,988.
13	Total. Add line 12, columns (b), (d), and (e)					10,988.

(See worksheet in line 13 instructions to verify calculations.)

Part XVIII Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
FOREIGN TAX ON DIVIDENDS	97.	97.		
NYS DEPT OF LAW	100.	100.		
US TREASURY	31.			
Total	<u>228.</u>	<u>197.</u>		

Form 990-PF, Page 2, Part III, Line 3

Other Increases Stmt

CAPITAL GAINS	1,054.
BOOK VS TAX ADJUSTMENTS ON INVESTMENTS/ACCTG	2,053.
Total	<u>3,107.</u>

Form 990-PF, Page 2, Part III, Line 5

Other Decreases Stmt

CAPITAL LOSSES	0.
BOOK VS TAX ADJUSTMENTS ON INVESTMENTS/ACCTG	132.
Total	<u>132.</u>

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
300 ENCANA	P	04/29/04	11/06/13
200 TENN VALLEY AU 3.95%	P	04/16/03	12/04/13
THRU K-1S	P	01/01/96	12/31/13

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,574.		3,071.	2,503.
4,416.		5,352.	-936.
96.		0.	96.
Total		<u>8,423.</u>	<u>1,663.</u>

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned
 by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (column (h) gain minus column (k), but not less than -0-) or losses (from column (h))
			2,503.
			-936.
			96.
Total			1,663.

Form 990-PF, Page 1, Part I
Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CURTIS BRINKERHOFF BARRETT	LEGAL & CONSULTING	6,675.	6,675.		2,200.
Total		6,675.	6,675.		2,200.

Form 990-PF, Page 1, Part I
Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ARTHUR FALLER CPA	ACCOUNTING & TAX	4,215.			
Total		4,215.			

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NEVILLE RODIE & SHAW	INVESTMENT ADVISORY	2,917.	2,917.		
BANK OF NY	CUSTODY FEES	392.	392.		
Total		3,309.	3,309.		

Form 990-PF, Page 2, Part II, Line 10a

L-10a Stmt

Line 10a - Investments - US and State Government Obligations:	End of Year		End of Year	
	State and Local Obligations Book Value	State and Local Obligations FMV	US Government Obligations Book Value	US Government Obligations FMV
US OBLIGATIONS	0.	0.	0.	0.
Total	<u>0.</u>	<u>0.</u>	<u>0.</u>	<u>0.</u>

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
PREFERRED STOCK HOLDINGS	0.	0.
COMMON STOCK HOLDINGS	185,378.	346,358.
Total	<u>185,378.</u>	<u>346,358.</u>

Form 990-PF, Page 2, Part II, Line 10c

L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
CORPORATE BOND HOLDINGS	39,495.	43,933.
Total	<u>39,495.</u>	<u>43,933.</u>

Form 990-PF, Page 2, Part II, Line 14

L-14 Stmt

Line 14b - Description of Land, Buildings, and Equipment	(a) Cost/Other Basis	(b) Accumulated Depreciation	(c) Book Value
OFFICE EQUIPMENT	6,612.	6,612.	0.
Total	<u>6,612.</u>	<u>6,612.</u>	<u>0.</u>

Asset Holdings

December 31, 2013

20th Century Trends Institute, Inc.

82675

Security Description	Units	Avg Cost	Total Cost	Market Price	Market Value	% of Portfolio	Unrealized Gain/Loss	Estimated Annual Income	Yield
CASH EQUIVALENTS									
Bank Of Ny Cash Reserve			11,819		11,819	2.9	0	1	
EQUITIES									
MATERIALS									
Dupont E I De Nemours Co	250	42.90	10,725	64.97	16,243	4.0	5,518	450	2.8
CONSUMER DISCRETIONARY									
Gnc Holdings Inc Class A	150	58.89	8,833	58.45	8,768	2.2	(66)	90	1.0
Pvh Corp	100	79.86	7,986	136.02	13,602	3.4	5,616	15	0.1
TOTAL			16,820		22,370	5.6	5,550	105	
ENERGY									
Exxon Mobil Corp	300	14.65	4,395	101.20	30,360	7.6	25,965	756	2.5
Kinder Morgan Energy Ptnrs	150	30.27	4,540	80.66	12,099	3.0	7,559	810	6.7
Suncor Energy Inc	300	35.32	10,595	35.05	10,515	2.6	(80)	240	2.3
TOTAL			19,530		52,974	13.2	33,444	1,806	

Neville, Rodie & Shaw

Asset Holdings

December 31, 2013

20th Century Trends Institute, Inc.

82675

Security Description	Units	Avg Cost	Total Cost	Market Price	Market Value	% of Portfolio	Unrealized Gain/Loss	Estimated Annual Income	Yield
HEALTH CARE									
Ishares Nasdaq Biotech Index	125	78.19	9,773	227.08	28,383	7.1	18,609	9	
Johnson & Johnson	150	66.27	9,941	91.59	13,739	3.4	3,797	398	2.9
TOTAL			19,714		42,121	10.5	22,407	405	

INDUSTRIALS

General Electric Co	400	35.19	14,076	28.03	11,212	2.8	(2,864)	352	3.1
Hubbell Inc Cl B	200	22.33	4,467	108.90	21,780	5.4	17,313	400	1.8
Norfolk Southern Corp	200	33.44	6,688	92.83	18,568	4.6	11,878	416	2.2
TOTAL			25,230		51,558	12.8	26,328	1,168	

INFORMATION TECHNOLOGY

Apple Inc	15	553.40	8,301	561.02	8,415	2.1	114	183	2.2
Emc Corp	300	25.26	7,578	25.15	7,545	1.9	(33)	120	1.6
Intel Corp	300	14.35	4,306	25.96	7,787	1.9	3,481	270	3.5
Qualcomm Inc	150	45.82	6,873	74.25	11,138	2.8	4,265	210	1.9
TOTAL			27,058		34,884	8.7	7,827	783	

Neville, Rodie & Shaw

Asset Holdings

December 31, 2013

20th Century Trends Institute, Inc.

82675

Security Description	Units	Avg Cost	Total Cost	Market Price	Market Value	% of Portfolio	Unrealized Gain/Loss	Estimated Annual Income	Yield
TELECOMMUNICATION SERVICES									
Verizon Communications	400	24.06	9,625	49.14	19,656	4.9	10,031	848	4.3
FINANCIALS									
Alliance Bernstein Hldgs Lp	400	4.51	1,803	21.34	8,536	2.1	6,733	840	7.5
Bank Of New York Mellon	400	10.09	4,038	34.94	13,976	3.5	9,939	240	1.7
Universal Health/Realty Sbl	600	17.10	10,260	40.06	24,036	6.0	13,776	1,212	5.0
Wells Fargo	200	37.03	7,406	45.40	9,080	2.3	1,675	240	2.6
TOTAL			23,508		55,628	13.8	32,122	2,332	
UTILITIES									
Nextera Energy Inc	300	66.13	19,839	85.62	25,686	6.4	5,847	782	3.1
Piedmont Natural Gas	800	16.99	10,192	33.16	19,896	4.9	9,704	744	3.7
Spectra Energy Corp	150	20.93	3,139	35.62	5,343	1.3	2,204	183	3.4
TOTAL			33,170		50,925	12.7	17,755	1,719	
TOTAL EQUITIES			185,378		346,358	86.1	160,880	9,618	

Neville, Rodie & Shaw

Asset Holdings

December 31, 2013

20th Century Trends Institute, Inc.

82675

Security Description	Units	Avg Cost	Total Cost	Market Price	Market Value	% of Portfolio	Unrealized Gain/Loss	Estimated Annual Income	Yield
FIXED INCOME									
CORPORATE BONDS									
General Electric Cap	25,000	98.25	24,563	108.07	27,018	6.7	2,455	1,250	4.6
5.000% 01/08/16									
Georgia Power Co Ser 2008b	15,000	99.55	14,933	112.77	16,915	4.2	1,983	810	4.8
5.400% 06/01/18									
TOTAL			39,496		43,933	10.9	4,438	2,060	

Grand Total

236,693

402,111

165,418

11,877

Accrued Income

942

Grand Total Plus Accrual

\$403,053

Neville, Rodie & Shaw