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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No. 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

| | | | | | | | | |
|--|--|---|--|---------------------------------------|---|---|--------------------------------------|---|
| Name of foundation R T VANDERBILT TRUST | | A Employer identification number 06-6040981 | | | | | | |
| Number and street (or P.O. box number if mail is not delivered to street address) | Room/suite | B Telephone number (see instructions) 336-747-8186 | | | | | | |
| 1525 WEST WT HARRIS BLVD | | | | | | | | |
| City or town, state or province, country, and ZIP or foreign postal code CHARLOTTE, NC 28262 | | | | | | | | |
| G Check all that apply: <table border="1"> <tr> <td><input type="checkbox"/> Initial return</td> <td><input type="checkbox"/> Initial return of a former public charity</td> </tr> <tr> <td><input type="checkbox"/> Final return</td> <td><input type="checkbox"/> Amended return</td> </tr> <tr> <td><input type="checkbox"/> Address change</td> <td><input type="checkbox"/> Name change</td> </tr> </table> | | <input type="checkbox"/> Initial return | <input type="checkbox"/> Initial return of a former public charity | <input type="checkbox"/> Final return | <input type="checkbox"/> Amended return | <input type="checkbox"/> Address change | <input type="checkbox"/> Name change | C If exemption application is pending, check here <input type="checkbox"/> D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |
| <input type="checkbox"/> Initial return | <input type="checkbox"/> Initial return of a former public charity | | | | | | | |
| <input type="checkbox"/> Final return | <input type="checkbox"/> Amended return | | | | | | | |
| <input type="checkbox"/> Address change | <input type="checkbox"/> Name change | | | | | | | |
| H Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | | | | | | | |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 9,207,617. | | J Accounting method. <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.) | | | | | | |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|--|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 176,143. | 175,686. | | STMT 1 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 312,139. | | | |
| | b Gross sales price for all assets on line 6a | 2,127,227. | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 312,139. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit or (loss) (attach schedule) | | | | | |
| 11 Other income (attach schedule) | | | | | |
| 12 Total. Add lines 1 through 11 | 488,282. | 487,825. | | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc. | | | | |
| | 14 Other employee salaries and wages | | NONE | NONE | |
| | 15 Pension plans, employee benefits | | NONE | NONE | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) STMT 3 | 3,000. | NONE | NONE | 3,000. |
| | c Other professional fees (attach schedule) STMT 4 | 66,597. | 66,597. | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) STMT 5 | 20,432. | 2,430. | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | NONE | NONE | |
| | 22 Printing and publications | | NONE | NONE | |
| | 23 Other expenses (attach schedule) STMT 6 | 13,000. | | | 13,000. |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 103,029. | 69,027. | NONE | 16,000. |
| 25 Contributions, gifts, grants paid | 424,933. | | | 424,933. | |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 527,962. | 69,027. | NONE | 440,933. | |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | -39,680. | | | | |
| b Net investment income (if negative, enter -0-) | | 418,798. | | | |
| c Adjusted net income (if negative, enter -0-) | | | | | |

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | | Beginning of year | End of year | |
|-----------------------------|---|---|------------|-------------------|----------------|-----------------------|
| | | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash - non-interest-bearing | | 13,350. | | |
| | 2 | Savings and temporary cash investments | | 357,088. | 222,782. | 222,782. |
| | 3 | Accounts receivable ▶ | | | | |
| | | Less allowance for doubtful accounts ▶ | | | | |
| | 4 | Pledges receivable ▶ | | | | |
| | | Less allowance for doubtful accounts ▶ | | | | |
| | 5 | Grants receivable | | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | | |
| | | Less allowance for doubtful accounts ▶ | NONE | | | |
| | 8 | Inventories for sale or use | | | | |
| | 9 | Prepaid expenses and deferred charges | | | | |
| | 10 a | Investments - U S and state government obligations (attach schedule) . | | | | |
| | b | Investments - corporate stock (attach schedule) . STMT 7 . | | 3,378,673. | 3,657,579. | 5,443,109. |
| | c | Investments - corporate bonds (attach schedule) . STMT 11 . | | 171,140. | 2,449,086. | 2,530,360. |
| | 11 | Investments - land, buildings, and equipment basis ▶ | | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | | | |
| 12 | Investments - mortgage loans | | | | | |
| 13 | Investments - other (attach schedule) STMT 12 . | | 2,725,744. | 285,962. | 1,011,366. | |
| 14 | Land, buildings, and equipment basis ▶ | | | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | | | |
| 15 | Other assets (describe ▶) | | | | | |
| 16 | Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | | 6,645,995. | 6,615,409. | 9,207,617. | |
| Liabilities | 17 | Accounts payable and accrued expenses | | | | |
| | 18 | Grants payable | | | | |
| | 19 | Deferred revenue | | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons . | | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | | |
| | 22 | Other liabilities (describe ▶) | | | | |
| 23 | Total liabilities (add lines 17 through 22) | | | | NONE | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | | | |
| | 24 | Unrestricted | | | | |
| | 25 | Temporarily restricted | | | | |
| | 26 | Permanently restricted | | | | |
| | Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31. | | | | | |
| | 27 | Capital stock, trust principal, or current funds | | 6,645,995. | 6,615,409. | |
| | 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds . . | | | | |
| | 30 | Total net assets or fund balances (see instructions) | | 6,645,995. | 6,615,409. | |
| | 31 | Total liabilities and net assets/fund balances (see instructions) | | 6,645,995. | 6,615,409. | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|--|---|------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 6,645,995. |
| 2 | Enter amount from Part I, line 27a | 2 | -39,680. |
| 3 | Other increases not included in line 2 (itemize) ▶ MUTUAL FUND TAX EFFECTIVE DATE BEFORE TYE | 3 | 16,141. |
| 4 | Add lines 1, 2, and 3 | 4 | 6,622,456. |
| 5 | Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 13 | 5 | 7,047. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 6,615,409. |

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Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.) | | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|---|---|--------------------------------------|----------------------------------|
| 1a PUBLICLY TRADED SECURITIES | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | | |
| a 2,127,227. | | 1,815,088. | 312,139. | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | | | |
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) | | |
| a | | | 312,139. | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 2 Capital gain net income or (net capital loss) | | | 2 | 312,139. | |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): | | | 3 | | |
| If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | | | | | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|--|--|---|
| 2012 | 437,433. | 8,712,530. | 0.050207 |
| 2011 | 428,103. | 8,897,093. | 0.048117 |
| 2010 | 515,740. | 8,560,484. | 0.060247 |
| 2009 | 398,465. | 6,544,339. | 0.060887 |
| 2008 | 499,346. | 8,902,778. | 0.056089 |
| 2 Total of line 1, column (d) | | | 2 0.275547 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | | 3 0.055109 |
| 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | | | 4 8,900,027. |
| 5 Multiply line 4 by line 3 | | | 5 490,472. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 6 4,188. |
| 7 Add lines 5 and 6 | | | 7 494,660. |
| 8 Enter qualifying distributions from Part XII, line 4 | | | 8 440,933. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|---|----|---------|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions) | | 1 | 8,376. |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | | | |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | |
| 3 Add lines 1 and 2 | | 3 | 8,376. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | NONE |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 8,376. |
| 6 Credits/Payments | | | |
| a 2013 estimated tax payments and 2012 overpayment credited to 2013 | 6a | 12,676. | |
| b Exempt foreign organizations - tax withheld at source | 6b | NONE | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | NONE | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments. Add lines 6a through 6d | 7 | 12,676. | |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | | |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | | |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 4,300. | |
| 11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> 4,300. Refunded <input type="checkbox"/> | 11 | | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ _____ (2) On foundation managers. <input type="checkbox"/> \$ _____ | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ _____ | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation. | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV. | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | X |

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Part VII-A Statements Regarding Activities (continued)

| | | | | |
|-------------------------------------|---|----|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |
| Website address ▶ <u>N/A</u> | | | | |
| 14 | The books are in care of ▶ <u>WELLS FARGO BANK NA</u> Telephone no. ▶ <u>(336) 747-8186</u> | | | |
| | Located at ▶ <u>1W 4TH STREET, WINSTON SALEM, NC</u> ZIP+4 ▶ <u>27101</u> | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ <input type="checkbox"/> | | | |
| | and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u> | | | |
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | Yes | No |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶ | | | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|--|-----|----|
| 1a During the year did the foundation (either directly or indirectly) | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | |
| Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/> | | |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | |
| a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| If "Yes," list the years ▶ _____ | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) | 2b | X |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ _____ | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) | 3b | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | X |

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐ **5b** ☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ NoIf "Yes," attach the statement required by Regulations section 53.4945-5(d) **STMT. 14****6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** ☒

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---------------------------------------|
| Hugh B Vanderbilt Jr 30 Winfield St, Norwalk, CT 06855 | Co-Trustee 5 | -0- | -0- | -0- |
| Paul Vanderbilt 30 Winfield St, Norwalk, CT 06855 | Co-Trustee 5 | -0- | -0- | -0- |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | NONE | NONE | NONE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000 ☐

NONE

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Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3. Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|--|---------------------|------------------|
| NONE | | NONE |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services | | NONE |

Part IX-A. Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

| | |
|--------|--|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B. Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

| | |
|---|--|
| 1 NONE | |
| 2 | |
| All other program-related investments See instructions. | |
| 3 NONE | |
| Total. Add lines 1 through 3 | |

Form 990-PF (2013)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 8,768,906. |
| b | Average of monthly cash balances | 1b | 266,654. |
| c | Fair market value of all other assets (see instructions) | 1c | NONE |
| d | Total (add lines 1a, b, and c) | 1d | 9,035,560. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 | Subtract line 2 from line 1d | 3 | 9,035,560. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 135,533. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4. | 5 | 8,900,027. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 445,001. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|----|---|----|----------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 445,001. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a | 8,376. |
| b | Income tax for 2013. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 8,376. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | 3 | 436,625. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | NONE |
| 5 | Add lines 3 and 4 | 5 | 436,625. |
| 6 | Deduction from distributable amount (see instructions) | 6 | NONE |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | 7 | 436,625. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|---|--|----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 440,933. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | NONE |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | NONE |
| b | Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4. | 4 | 440,933. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | N/A |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 440,933. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 436,625. |
| 2 Undistributed income, if any, as of the end of 2013 | | | | |
| a Enter amount for 2012 only | | | 406,521. | |
| b Total for prior years 20 <u>11</u> , 20 <u> </u> , 20 <u> </u> | | NONE | | |
| 3 Excess distributions carryover, if any, to 2013 | | | | |
| a From 2008 | NONE | | | |
| b From 2009 | NONE | | | |
| c From 2010 | NONE | | | |
| d From 2011 | NONE | | | |
| e From 2012 | NONE | | | |
| f Total of lines 3a through e | NONE | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>440,933.</u> | | | | |
| a Applied to 2012, but not more than line 2a | | | 406,521. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | NONE | | |
| c Treated as distributions out of corpus (Election required - see instructions) | NONE | | | |
| d Applied to 2013 distributable amount | | | | 34,412. |
| e Remaining amount distributed out of corpus | NONE | | | |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).) | NONE | | | NONE |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | NONE | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | NONE | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | NONE | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | NONE | | |
| e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions | | | | |
| f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 | | | | 402,213. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | NONE | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) | NONE | | | |
| 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a | NONE | | | |
| 10 Analysis of line 9. | | | | |
| a Excess from 2009 | NONE | | | |
| b Excess from 2010 | NONE | | | |
| c Excess from 2011 | NONE | | | |
| d Excess from 2012 | NONE | | | |
| e Excess from 2013 | NONE | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**NOT APPLICABLE**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

| Tax year | Prior 3 years | | | | (e) Total |
|---|---------------|----------|----------|----------|-----------|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i). | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | | |
| (3) Largest amount of support from an exempt organization. | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| <div>Recipient</div> <div>Name and address (home or business)</div> | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|----------|
| a Paid during the year SEE STATEMENT 40 | | | | 424,933. |
| Total | | | ▶ 3a | 424,933. |
| b Approved for future payment | | | | |
| Total | | | ▶ 3b | |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|--|---|-----------------------------|
| ----- | ----- | ----- |
| AMERICAN EXPRESS CO COM | 180. | 180. |
| APPLE COMPUTER INC COM | 2,274. | 2,274. |
| BRISTOL-MYERS SQUIBB CO COM | 611. | 611. |
| CARDINAL HLTH INC COM | 289. | 289. |
| CELANESE CORP | 270. | 270. |
| CHUBB CORP COM | 1,858. | 1,858. |
| COACH INC COM W/RTS ATTACHED EXP 5/2/201 | 126. | 126. |
| COLGATE-PALMOLIVE CO COM | 505. | 505. |
| COMCAST CORP NEW CL A | 972. | 972. |
| COMPANHIA DE BEBIDAS-PR ADR COM | 202. | 202. |
| EXXON MOBIL CORP COM | 1,845. | 1,845. |
| FEDERATED STRAT VAL DIV FD IS #662 | 6,381. | 6,381. |
| FLEMING CAP MUTUAL FUND GROUP J P MORGAN | 10,524. | 10,524. |
| FORTUNE BRANDS HOME & SECURITY | 351. | 351. |
| GENERAL ELEC CO COM | 570. | 570. |
| GENERAL MILLS INC COM W/RTS EXP 02/01/20 | 376. | 376. |
| HARBOR FD INTL FD | 7,965. | 7,965. |
| HOME DEPOT INC COM | 2,496. | 2,496. |
| ING REAL ESTATE FUND CL-I #2190 | 3,740. | 3,740. |
| INTEL CORP COM | 1,017. | 1,017. |
| INTERCONTINENTALEXCHANGE GROUPINC | 124. | 124. |
| INTL BUSINESS MACHINES CORP COM | 4,070. | 4,070. |
| JP MORGAN CHASE & CO COM | 245. | 245. |
| JOHNSON & JOHNSON COM | 3,885. | 3,885. |
| KEELEY SMALL CAP VAL FD CL I #2251 | 2,050. | 2,050. |
| LAS VEGAS SANDS CORP | 973. | 516. |
| LAUDER ESTEE COS INC CL A | 289. | 289. |
| MCDONALDS CORP COM | 1,248. | 1,248. |
| MCKESSON HBOC INC COM | 100. | 100. |
| MICROSOFT CORP COM | 2,522. | 2,522. |
| MONDELEZ INTERNATIONAL INC | 540. | 540. |
| MONSANTO CO NEW COM | 289. | 289. |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|--|---|-----------------------------|
| ----- | ----- | ----- |
| ORACLE CORP COM | 93. | 93. |
| PIMCO FDS PAC INVT MGMT SER LOW DURATION | 9,552. | 9,552. |
| PIMCO FDS PAC INVT MGMT SER HIGH YLD FD | 16,577. | 16,577. |
| PIMCO TOTAL RETURN III-INSTL #105 | 27,025. | 27,025. |
| PIMCO EMERG MKTS BD-INST #137 | 9,193. | 9,193. |
| POLARIS INDS INC COM W/RIGHTS ATTACHED E | 470. | 470. |
| PRAXAIR INC COM | 1,680. | 1,680. |
| PRECISION CASTPARTS CORP COM W/RIGHTS AT | 39. | 39. |
| QUALCOMM INC COM W/RTS ATTACHED | 928. | 928. |
| RALPH LAUREN CORP | 180. | 180. |
| ROSS STORES INC COM | 1,326. | 1,326. |
| SSGA EMERGING MARKETS FD S #1510 | 10,148. | 10,148. |
| SANDISK CORP COM W/RTS ATTACHED EXP 04/2 | 279. | 279. |
| SCHLUMBERGER LTD COM | 478. | 478. |
| STARBUCKS CORP COM | 228. | 228. |
| TEXAS INSTRS INC COM | 1,926. | 1,926. |
| TRACTOR SUPPLY CO COM | 740. | 740. |
| UNION PAC CORP COM | 792. | 792. |
| UNITED TECHNOLOGIES CORP COM | 2,195. | 2,195. |
| VANGUARD SHORT TERM BOND ETF | 1,365. | 1,365. |
| VANGUARD INFLAT-PROT SECS-ADM #5119 | 2,525. | 2,525. |
| WELLS FARGO ADV S/T H/Y-ADM #3769 | 5,851. | 5,851. |
| WFA SHRT TRM HI YLD-INS #3170 | 3,772. | 3,772. |
| WHOLE FOODS MKT INC COM | 220. | 220. |
| ACCENTURE PLC | 783. | 783. |
| ENSCO PLC-CL A | 395. | 395. |
| RT VANDERBILT COMPANY, INC. | 18,468. | 18,468. |
| FEDERATED TREAS OBL FD 68 | 28. | 28. |
| TOTAL | 176,143. | 175,686. |
| | ===== | ===== |

FORM 990PF, PART I - ACCOUNTING FEES
=====

| DESCRIPTION ----- | REVENUE AND EXPENSES PER BOOKS ----- | NET INVESTMENT INCOME ----- | ADJUSTED NET INCOME ----- | CHARITABLE PURPOSES ----- |
|--------------------------------|--|--------------------------------------|------------------------------------|---------------------------------|
| TAX PREPARATION FEE (NON-ALLOC | 3,000. | | | 3,000. |
| TOTALS | 3,000. | NONE | NONE | 3,000. |
| | ===== | ===== | ===== | ===== |

FORM 990PF, PART I - OTHER PROFESSIONAL FEES
=====

| DESCRIPTION ----- | REVENUE AND EXPENSES PER BOOKS ----- | NET INVESTMENT INCOME ----- |
|-------------------------|--|--------------------------------------|
| WELLS FARGO - MGMT FEES | 66,597. | 66,597. |
| | ----- | ----- |
| TOTALS | 66,597. | 66,597. |
| | ===== | ===== |

FORM 990PF, PART I - TAXES
=====

| DESCRIPTION ----- | REVENUE AND EXPENSES PER BOOKS ----- | NET INVESTMENT INCOME ----- |
|--------------------------------|--|--------------------------------------|
| FEDERAL TAX PAYMENT - PRIOR YE | 5,326. | |
| FEDERAL ESTIMATES - PRINCIPAL | 12,676. | |
| FOREIGN TAXES ON QUALIFIED FOR | 1,789. | 1,789. |
| FOREIGN TAXES ON NONQUALIFIED | 641. | 641. |
| | ----- | ----- |
| TOTALS | 20,432. | 2,430. |
| | ===== | ===== |

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FORM 990PF, PART I - OTHER EXPENSES

=====

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | CHARITABLE PURPOSES |
|-------------|---|------------------------|
| ----- | ----- | ----- |

GRANT ADMINISTRATIVE FEES

13,000.

13,000.

TOTALS

13,000.

13,000.

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FORM 990PF, PART II - CORPORATE STOCK

=====

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|--------------------------------|----------------------|---------------|
| ----- | ----- | --- |
| 364760108 GAP INC | | |
| 580135101 MCDONALDS CORP | | |
| 654106103 NIKE INC | 24,692. | 38,812. |
| 778296103 ROSS STORES INC | | |
| 194162103 COLGATE PALMOLIVE CO | 37,060. | 146,114. |
| 370334104 GENERAL MILLS INC | 12,394. | 24,780. |
| 487836108 KELLOGG CO | | |
| 742718109 PROCTER & GAMBLE CO | | |
| 166764100 CHEVRON CORP | | |
| 171798101 CIMAREX ENERGY | | |
| 25179M103 DEVON ENERGY CORP | | |
| 30231G102 EXXON MOBIL CORPORAT | 51,614. | 75,900. |
| 674599105 OCCIDENTAL PETE CORP | | |
| 001055102 AFLAC INC | | |
| 025816109 AMERICAN EXPRESS CO | | |
| 03076C106 AMERIPRISE FINL | | |
| 037389103 AON CORP | | |
| 171232101 CHUBB CORP | 41,432. | 101,945. |
| 46625H100 JPMORGAN CHASE & CO | | |
| 693475105 PNC FINANCIAL SVC | | |
| 857477103 STATE STREET CORP | | |
| 91529Y106 UNUM GROUP | | |
| 110122108 BRISTOL MYERS SQUIBB | | |
| 14149Y108 CARDINAL HEALTH INC | | |
| 478160104 JOHNSON & JOHNSON | | |
| 58405U102 MEDCO HEALTH SOLUTIO | 3,006. | 137,385. |
| 91324P102 UNITEDHEALTH GROUP I | | |
| 94973V107 WELLPOINT INC | | |
| 369604103 GENERAL ELECTRIC CO | | |

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FORM 990PF, PART II - CORPORATE STOCK

=====

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|--------------------------------|----------------------|---------------|
| ----- | ----- | --- |
| 502424104 L-3 COMMUNICATIONS | | |
| 913017109 UNITED TECHNOLOGIES | 29,150. | 113,800. |
| 88579Y101 3M CO | | |
| 17275R102 CISCO SYSTEMS INC | | |
| 428236103 HEWLETT PACKARD | | |
| 458140100 INTEL CORP | 8,599. | 29,329. |
| 459200101 INTERNATIONAL BUSINE | 83,677. | 206,327. |
| 594918104 MICROSOFT CORP | 67,103. | 97,266. |
| 882508104 TEXAS INSTRUMENTS IN | 46,260. | 79,038. |
| 74005P104 PRAXAIR INC COM | 34,438. | 91,021. |
| 81211K100 SEALED AIR CORP | | |
| 156700106 CENTURYLINK, INC | | |
| H0023R105 ACE LIMITED | | |
| 22544R305 CREDIT SUISSE COMM R | 135,000. | 136,227. |
| 44981V706 ING REAL ESTATE FUND | 69,033. | 157,504. |
| 057224107 BAKER HUGHES INC COM | | |
| 14040H105 CAPITAL ONE FINANCIA | | |
| 20030N101 COMCAST CORP CLASS A | 27,386. | 67,555. |
| 26203E836 DREYFUS/THE BOSTON C | | |
| 26203E851 DREYFUS/THE BOSTON C | 173,602. | 206,977. |
| 314172560 FEDERATED STRAT VAL | | |
| 345370860 FORD MOTOR COMPANY | | |
| 38142Y401 GOLDMAN SACHS GRTH O | | |
| 437076102 HOME DEPOT INC | 59,776. | 131,744. |
| 438516106 HONEYWELL INTERNATIO | | |
| 637071101 NATIONAL OILWELL INC | | |
| 744573106 PUBLIC SVC ENTERPRIS | | |
| 747525103 QUALCOMM INC | 30,236. | 42,694. |
| 784924425 SSGA EMERGING MARKET | 301,159. | 327,554. |

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FORM 990PF, PART II - CORPORATE STOCK
=====

| DESCRIPTION ----- | ENDING BOOK VALUE ----- | ENDING FMV --- |
|----------------------------------|-------------------------------|----------------------|
| 87612E106 TARGET CORP | | |
| 882508104 TEXAS INSTRUMENTS IN | | |
| 94984B348 WELLS FARGO ADV INTL | | |
| 983134107 WYNN RESORTS LTD | | |
| 023135106 AMAZON COM INC COM | 53,426. | 85,740. |
| 037833100 APPLE INC | 103,363. | 117,814. |
| 04314H105 ARTISAN FDS INC SMAL | 167,000. | 247,203. |
| 148887102 CATAMARAN CORP | 42,655. | 39,393. |
| 339128100 JP MORGAN MID CAP VA | 445,000. | 584,591. |
| 375558103 GILEAD SCIENCES INC | 25,949. | 76,602. |
| 411511306 HARBOR INTERNATIONAL F | 314,922. | 358,663. |
| 58155Q103 MCKESSON CORP | 4,499. | 8,877. |
| 741503403 PRICELINE COM INC | 32,910. | 58,120. |
| 80004C101 SANDISK CORP COM | 27,865. | 43,735. |
| 892356106 TRACTOR SUPPLY CO CO | 68,920. | 117,146. |
| G1151C101 ACCENTURE PLC | 26,194. | 36,999. |
| H89128104 TYCO INTERNATIONAL L | | |
| 015351109 ALEXION PHARMACEUTIC | 18,414. | 22,590. |
| 150870103 CELANESE CORP | 24,472. | 28,485. |
| 151020104 CELGENE CORP COM | 37,580. | 66,742. |
| 156782104 CERNER CORP COM | 24,645. | 33,444. |
| 169656105 CHIPOTLE MEXICAN GRI | 25,241. | 45,286. |
| 20605P101 CONCHO RESOURCES INC | 17,860. | 21,060. |
| 30303M102 FACEBOOK INC | 31,790. | 34,429. |
| 34964C106 FORTUNE BRANDS HOME | 28,683. | 37,017. |
| 38259P508 GOOGLE INC | 75,332. | 112,071. |
| 45866F104 INTERCONTINENTALEXCH | 24,406. | 42,735. |
| 487300808 KEELEY SMALL CAP VAL | 350,000. | 420,674. |
| 517834107 LAS VEGAS SANDS CORP | 36,383. | 54,815. |

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FORM 990PF, PART II - CORPORATE STOCK
=====

| DESCRIPTION ----- | ENDING BOOK VALUE ----- | ENDING FMV --- |
|--------------------------------|-------------------------------|----------------------|
| 518439104 ESTEE LAUDER COMPANI | 24,812. | 29,375. |
| 609207105 MONDELEZ INTERNATION | 36,882. | 47,655. |
| 61166W101 MONSANTO CO NEW | 24,373. | 28,555. |
| 611740101 MONSTER BEVERAGE COR | 31,366. | 39,307. |
| 731068102 POLARIS INDS INC COM | 24,526. | 40,779. |
| 740189105 PRECISION CASTPARTS | 61,620. | 87,523. |
| 806857108 SCHLUMBERGER LTD | 37,331. | 45,956. |
| 855244109 STARBUCKS CORP COM | 19,360. | 26,261. |
| 858912108 STERICYCLE INC COM | 24,235. | 29,623. |
| 87817A107 TEAM HEALTH HOLDINGS | 18,397. | 21,636. |
| 907818108 UNION PACIFIC CORP | 47,778. | 61,320. |
| 966244105 WHITEWAVE FOODS CO | 21,062. | 20,531. |
| 966837106 WHOLE FOODS MKT INC | 24,315. | 31,807. |
| G50871105 JAZZ PHARMACEUTICALS | 18,396. | 26,578. |
| | ----- | ----- |
| TOTALS | 3,657,579. | 5,443,109. |
| | ===== | ===== |

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FORM 990PF, PART II - CORPORATE BONDS
=====

| DESCRIPTION ----- | ENDING BOOK VALUE ----- | ENDING FMV --- |
|--------------------------------|-------------------------------|----------------------|
| 04315J209 ARTIO TOTAL RTN BD | | |
| 51855Q655 LAUDUS MONDRAN INTL | 119,104. | 117,123. |
| 693390841 PIMCO HIGH YIELD FD- | 205,016. | 279,179. |
| 693390304 PIMCO LOW DURATION F | 525,494. | 536,884. |
| 693390866 PIMCO TOTAL RETURN I | 938,394. | 923,837. |
| 922031737 VANGUARD INFLAT-PROT | 127,394. | 136,567. |
| 921937850 VANGUARD SHORT TERM | | |
| 94975P843 WELLS FARGO ADV S/T | | |
| 949917744 WELLS FARGO ADVTG | | |
| 693391559 PIMCO EMERG MKTS BD- | 150,268. | 149,569. |
| 26203E836 DREYFUS/THE BOSTON | | |
| 26203E851 DREYFUS/THE BOSTON | | |
| 38142Y401 GOLDMAN SACHS GRWTH | | |
| 784924425 SSGA EMERGING MKTS | | |
| 94984B348 WELLS FARGO ADVTG | | |
| 921937827 VANGUARD SHORT TERM | 112,276. | 113,900. |
| 94987W752 WFA SHRT TRM HI YLD- | 271,140. | 273,301. |
| | ----- | ----- |
| | 2,449,086. | 2,530,360. |
| | ===== | ===== |
| TOTALS | | |

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FORM 990PF, PART II - OTHER INVESTMENTS
=====

| DESCRIPTION ----- | COST/ FMV C OR F ----- | ENDING BOOK VALUE ----- | ENDING FMV --- |
|--------------------------------|---------------------------------|-------------------------------|----------------------|
| 81 RT VANDERBILT VOTING | C | | |
| 51855Q655 LAUDUS MONDRIAN INTL | C | 23,830. | 88,290. |
| 693390304 PIMCO LOW DURATION F | C | | |
| 693390841 PIMCO HIGH YIELD FD- | C | | |
| 693390866 PIMCO TOTAL RETURN I | C | | |
| 693391559 PIMCO EMERG MKTS BD- | C | | |
| 921937827 VANGUARD SHORT TERM | C | | |
| 922031737 VANGUARD INFLAT-PROT | C | 262,132. | 923,076. |
| 891 RT VANDERBILT NON-VOTING | C | | |
| TOTALS | | 285,962. | 1,011,366. |
| | | ===== | ===== |

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

=====

DESCRIPTION

AMOUNT

MUTUAL FUND TAX EFFECTIVE DATE AFTER TYE
ROUNDING7,045.
2.

TOTAL

7,047.

=====

FORM 990PF, PART VII-B, LN 5(c) EXPENDITURE RESPONSIBILITY STATEMENT

NAME:

MIDDLE PATENT CEMETERY

ADDRESS:

BANKSVILLE ROAD

BEDFORD, NY 10506

GRANT DATE: 11/20/2013

GRANT AMOUNT 2,500.

GRANT PURPOSE:

MOWING AND TREE WORK ON CEMETERY GROUNDS

ANY DIVERSION BY GRANTEE:

NO FUNDS HAVE BEEN DIVERTED TO ANY ACTIVITY OTHER THAN THE
ACTIVITY FOR WHICH THE GRANT WAS ORIGINALLY MADE.

DATES OF REPORTS BY GRANTEE:

12/2/2013

DATE OF VERIFICATION: 12/02/2013

RESULTS OF VERIFICATION:

THE FOUNDATION HAS NO REASON TO DOUBT THE ACCURACY
OR RELIABILITY OF INFORMATION SUPPLIED BY THE GRANTEE.

NAME:

SILVER SHIELD ASSOCIATION

ADDRESS:

PO BOX 1123

GREENWICH, CT 06836

GRANT DATE: 11/19/2013

GRANT AMOUNT 1,000.

GRANT PURPOSE:

BICYCLE SAFETY HELMETS FOR CHILDREN AND CAR SEATS FOR FAMILIES WITHOUT
FUNDS TO PURCHASE THEM.

ANY DIVERSION BY GRANTEE:

NO FUNDS HAVE BEEN DIVERTED TO ANY ACTIVITY OTHER THAN THE
ACTIVITY FOR WHICH THE GRANT WAS ORIGINALLY MADE.

DATES OF REPORTS BY GRANTEE:

12/3/2013

DATE OF VERIFICATION: 12/03/2013

RESULTS OF VERIFICATION:

THE FOUNDATION HAS NO REASON TO DOUBT THE ACCURACY OR
RELIABILITY OF INFORMATION SUPPLIED BY THE GRANTEE.

FORM 990PF, PART VII-B, LN 5(c) EXPENDITURE RESPONSIBILITY STATEMENT

=====

NAME:

BETHEL VOLUNTEER FIRE DEPARTMENT

ADDRESS:

38 S STREET

BETHEL, CT 06801

GRANT DATE: 11/19/2013

GRANT AMOUNT

600.

GRANT PURPOSE:

SEVEN FIREFIGHTERS TO ATTEND EMS TRAINING

ANY DIVERSION BY GRANTEE:

NO FUNDS HAVE BEEN DIVERTED TO ANY ACTIVITY OTHER THAN THE
ACTIVITY FOR WHICH THE GRANT WAS ORIGINALLY MADE.

DATES OF REPORTS BY GRANTEE:

02/14/2014

DATE OF VERIFICATION: 02/14/2014

RESULTS OF VERIFICATION:

THE FOUNDATION HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY
OF INFORMATION SUPPLIED BY THE GRANTEE.

RECIPIENT NAME:
TOWN OF RIDGEFIELD
ADDRESS:
400 MAIN STREET
RIDGEFIELD, CT 06877
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
HOLIDAY TRUST FUND/PROFESSIONAL FIREFIGHT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,500.

RECIPIENT NAME:
HOSPICE OF SOUTHERN MAINE
ADDRESS:
180 US ROUTE ONE
SCARBOROUGH, ME 04074
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
MUSIC FOR YOUTH INC
ADDRESS:
PO BOX 403
WESTPORT, CT 06881
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 15,000.

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

BRUNSWICK SCHOOL INC

ADDRESS:

100 MAHER AVE

GREENWICH, CT 06830

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:

RIDGEFIELD VISITING NURSE ASSOC

ADDRESS:

90 EAST RIDGE

RIDGEFIELD, CT 06877

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

FAMILY CENTERS INC

ADDRESS:

PO BOX 7550

GREENWICH, CT 06836

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

STATEMENT 17

RECIPIENT NAME:
GREENWICH COUNTRY DAY SCHOOL
ADDRESS:
PO BOX 623
GREENWICH, CT 06836
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CAPITAL CAMPAIGN
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:
GREENWICH HOSPITAL
ADDRESS:
5 PERRYRIDGE ROAD
GREENWICH, CT 06830
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 3,000.

RECIPIENT NAME:
GREENWICH ACADEMY INC
ADDRESS:
200 NORHT MAPLE AVE
GREENWICH, CT 06830
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 4,000.

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

THE CONNECTICUTT AUDIBON SOCIETY

ADDRESS:

2325 BURR ST

FAIRFIELD, CT 06430

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 113,000.

RECIPIENT NAME:

CONNECTICUT HUMANE SOCIETY

ADDRESS:

701 RUSSELL ROAD

NEWINGTON, CT 06111

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME:

RIDGEFIELD LIBRARY ASSOCIATION

ADDRESS:

472 MAIN STREET

RIDGEFIELD, CT 06877

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,000.

STATEMENT 19

=====

RECIPIENT NAME:
MIDDLE PATENT RURAL CEMETERY ASSOC
ADDRESS:
4 BEDFORD BANKVILLE ROAD
BEDFORD, NY 10506
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
NC
AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME:
AMERICAN RED CROSS
ADDRESS:
209 FARMINGTON AVE
FARMINGTON, CT 06032
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CT VICTIMS OF HURRICANE SANDY
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 16,000.

RECIPIENT NAME:
BETHEL VOLUNTEER FIRE DEPARTMENT
ADDRESS:
38 S STREET
BETHEL, CT 06801
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 600.

RECIPIENT NAME:
GREENWICH LAND TRUST INC
ADDRESS:
132 EAST PUTNAM AVE
COS COB, CT 06807
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
CALL A RIDE OF GREENWICH
ADDRESS:
37 LAFAYETTE PLACE
GREENWICH, CT 06830
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
PTSA CONNECTICUT INC
ADDRESS:
381 NORTH STREET
GREENWICH, CT 06830
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
NSS PTA
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

SILVER SHIELD ASSOCIATION

ADDRESS:

PO BOX 1123

GREENWICH, CT 06836

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

NC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

PATHWAYS INC

ADDRESS:

175 MILBANK AVE

GREENWICH, CT 06830

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

THE MARITIME AQUARIUM AT

NORWALK INC

ADDRESS:

10 N WATER STREET

NORWALK, CT 06854

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

STATEMENT 22

RECIPIENT NAME:
EAGLE HILL FOUNDATION
ADDRESS:
45 GLENVILLE RD
GREENWICH, CT 06831
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 20,000.

RECIPIENT NAME:
HOLE IN THE WALL GANG
ADDRESS:
555 LONG WHARF DRIVE
NEW HAVEN, CT 06511
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:
SOUND WATERS INC
ADDRESS:
COVE ISLAND PARK
STAMFORD, CT 06902
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:
ABILIS COMMUNITY FOUNDATION INC
ADDRESS:
50 GLENVILLE STREET
GREENWICH, CT 06831
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:
GREENWICH HISTORICAL SOCIETY INC
ADDRESS:
39 STRICKLAND ROAD
COS COB, CT 06807
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 68,000.

RECIPIENT NAME:
RIDGEFIELD PLAYHOUSE
ADDRESS:
80 E RIDGE
RIDGEFIELD, CT 06877
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORTQ
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:
RIDGEFILED OPERATION FOR
ANIMAL RESCUE INC
ADDRESS:
45 SOUTH STREET
RIDGEFIELD, CT 06877
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
AMOGERONE VOLUNTEER FIRE CO #1
ADDRESS:
PO BOX 121
GREENWICH, CT 06836
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
NEWTOWN HISTORICAL SOCIETY INC
ADDRESS:
PO BOX 189
NEWTOWN, CT 06470
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

GARDEN EDUCATION CENTER OF
GREENWICH INC

ADDRESS:

PO BOX 1600
COS COB, CT 06807

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 700.

RECIPIENT NAME:

METROPOLITAN MUSEUM OF ART

ADDRESS:

1000 FIFTH AVE
NEW YORK, NY 100028

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EDUCATION

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 9,000.

RECIPIENT NAME:

PLANNED PARENTHOOD FEDERATION OF
AMERICA INC

ADDRESS:

434 W 33RD ST
NEW YORK, NY 10001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 45,755.

RECIPIENT NAME:
WILDLIFE CONSERVATION SOCIETY
ADDRESS:
2300 SOUTHERN BLVD
BRONX, NY 10460
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:
GALAPAGOS CONSERVANCY INC
ADDRESS:
11150 FAIRFAX BOULEVARD
FAIRFAX, VA 22030
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:
WOLF CONSERVATION CENTER INC
ADDRESS:
PO BOX 421
SOUTH SALEM, NY 10590
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:
SEVENTH REGIMENT ARMORY
CONSERVANCY INC
ADDRESS:
643 PARK AVE
NEW YORK, NY 10065
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 3,000.

RECIPIENT NAME:
HYDE HALL INC
ADDRESS:
PO BOX 721
COOPERSTOWN, NY 13326
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 4,000.

RECIPIENT NAME:
TREETOPS CHAMBER MUSIC SOCIETY
ADDRESS:
PO BOX 8151
STAMFORD, CT 06905
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:
UNIVERSITY OF NOTRE DAME
ANNUAL FUND
ADDRESS:
1100 GRACE HALL
NOTRE DAME, IN 46556
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:
GREENWICH EMERGENCY MEDICAL
SERVICE
ADDRESS:
1111 EAST PUTNAM AVE
RIVERSIDE, CT 06878
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
CAPE ELIZABETH LAND TRUST
ADDRESS:
330 OCEAN HOUSE ROAD
CAPE ELIZABETH, ME 04107
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 2,000.

=====

RECIPIENT NAME:

AMVETS DEPT OF CONNECTICUT
SERVICE FOUNDATION

ADDRESS:

PO BOX 772
LITCHFIELD, CT 06759

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

GETTYSBURG COLLEGE

ADDRESS:

300 N WASHINGTON STREET
GETTYSBURG, PA 17325

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:

ST LUKES FOUNDATION

ADDRESS:

377 NORTH WILTON ROAD
NEW CANAAN, CT 06840

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

=====

RECIPIENT NAME:

SPECIAL OLYMPICS CONNECTICUT INC

ADDRESS:

2666 STATE STREET

HAMDEN, CT 06517

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

BEST FRIENDS ANIMAL SOCIETY

ADDRESS:

5001 ANGEL CANYON ROAD

KANAB, UT 84741

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:

MEALS ON WHEELS OF RIDGEFIELD

ADDRESS:

25 GILBERT STREET

RIDGEFIELD, CT 068777

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

MAMANOSCO LAKE IMPROVEMENT FUND

ADDRESS:

PO BOX 246

RIDGEFIELD, CT 068777

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

SKYS THE LIMIT FUND

ADDRESS:

4546 EL CAMINO REAL STE 282

LOS ALTOS, CA 94022

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,000.

RECIPIENT NAME:

CAMPING & EDUCATION FOUNDATION

ADDRESS:

3515 MICHIGAN AVENUE

CINCINNATI, OH 45208

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,000.

STATEMENT 32

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

FOUNDERS HALL

ADDRESS:

193 DANBURY ROAD

RIDGEFIELD, CT 068777

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PF

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

OUR TIME THEATRE COMPANY

ADDRESS:

330 W 42ND STREET

NEW YORK, NY 10036

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

WG MALLET SCHOOL

ADDRESS:

116 MIDDLE STREET

FARMINGTON, ME 04938

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,000.

STATEMENT 33

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

MAYO CLINIC ROCHESTER

ADDRESS:

200 FIRST ST SW

ROCHESTER, MN 55905

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 9,000.

RECIPIENT NAME:

ST JOSEPHS INDIAN SCHOOL

ADDRESS:

1301 N MAIN STREET

CHAMBERLAIN, SD 57326

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

WILLA CATHER PIONEER MEMORIAL

AND EDUCATIONAL FDN

ADDRESS:

413 NORTH WEBSTER ST

RED CLOUD, NE 68970

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
CHILDREN OF TANZANIA
ADDRESS:
3 LITTLE COVE LANE
OLD GREENWICH, CT 06870
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
HOUSANTONIC RESOURCE RECOVERY
AUTHORITY
ADDRESS:
162 WHISCONIER ROAD
BROOKFIELD, CT 06804
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 800.

RECIPIENT NAME:
ROLLINS COLLEGE
ADDRESS:
1000 HOLT AVE
WINTER PARK, FL 32789
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 5,000.

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

MURRAY CALLOWAY NEED LINE ASSOC

ADDRESS:

638 S 4TH STREET

MURRAY, KY 42071

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

ANGELS CIOMMUNITY CLINIC

ADDRESS:

1005 POPLAR STREET

MURRAY, KY 42071

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

HOP A LING HOLLOW RABBIT RESCUE

AND ADOPTION INC

ADDRESS:

1 WOODSIDE AVE

NORWALK, CT 06855

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

STATEMENT 36

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

SAINT MATTHEW CHURCH

ADDRESS:

216 SCRIBNER AVENUE

NORWALK, CT 06854

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 6,578.

RECIPIENT NAME:

OUR LADY OF THE LAKE FOUNDATION

ADDRESS:

5000 HENNESSY BLVD

BATON ROUGE, LA 70808

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,000.

RECIPIENT NAME:

THEATRE ASPEN

ADDRESS:

110 E HALLAM STREET

ASPEN, CO 81611

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

UNIVERSITY OF DENVER

ADDRESS:

2190 EAST ASBURY AVE

DENVER, CO 80208

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GREATER UNIVERSITY FUND

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

CHALLENGE ASPEN

ADDRESS:

PO BOX 6639

SNOWMASS VILLAGE, CO 81615

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:

RIDGEFIELD COMMUNITY CENTER

ADDRESS:

316 MAIN STREET

RIDGEFIELD, CT 06877

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

STATEMENT 38

RECIPIENT NAME:
SANTA CRUZ ART LEAGUE INC
ADDRESS:
526 BROADWAY
SANTA CRUZ, CA 95060
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:
HOLY FAMILY PARISH
ADDRESS:
700 OLD STRATFIELD ROAD
FAIRFIELD, CT 06825
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 6,000.

RECIPIENT NAME:
UC SAN DIEGO FOUNDATION
ADDRESS:
9500 GILMAN DRIVE
LA JOLLA, CA 92093
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CENTER FOR MARINE BIODIVERSITY AND CONSRVTIO
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

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RECIPIENT NAME:

AMERICAN UNIVERSITY OF PARIS

ADDRESS:

950 S CHERRY STREET

DENVER, CO 80246

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 5,000.

TOTAL GRANTS PAID:

424,933.

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STATEMENT 40