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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation The Ettinger Foundation Inc		A Employer identification number 06-6038938	
% c/o Foundation Source		B Telephone number (see instructions)	
Number and street (or P O box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd Suite 123			
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (<i>from Part II, col. (c), line 16</i>)▶ \$ 20,121,391		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ▶ <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	332	332		
	4 Dividends and interest from securities.	194,317	194,317		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,021,625			
	b Gross sales price for all assets on line 6a 3,371,803				
	7 Capital gain net income (from Part IV, line 2) . . .		1,021,625		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	126,401	127,671		
	12 Total. Add lines 1 through 11	1,342,675	1,343,945		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	52,572	40,020	0	12,552
	b Accounting fees (attach schedule)	29,000	0	0	29,000
	c Other professional fees (attach schedule)	104,344	104,344		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	19,267	8,667		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	104,018	65,859		36,742
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	309,201	218,890	0	78,294
	25 Contributions, gifts, grants paid	522,500			522,500
	26 Total expenses and disbursements. Add lines 24 and 25	831,701	218,890	0	600,794
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	510,974			
	b Net investment income (if negative, enter -0-)		1,125,055		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	1,423,978	552,972	552,972
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	7,755,100	8,744,216	12,329,115
	c	Investments—corporate bonds (attach schedule).	77,410	282,458	278,664
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	6,028,836	6,216,652	6,960,640
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	15,285,324	15,796,298	20,121,391	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	15,285,324	15,796,298	
	30	Total net assets or fund balances (see page 17 of the instructions)	15,285,324	15,796,298	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	15,285,324	15,796,298		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 15,285,324
2	Enter amount from Part I, line 27a	2 510,974
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 15,796,298
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 15,796,298

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Publicly-traded Securities			
b	Passthrough K1 Capital Gain			
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,371,803		2,678,162	693,641
b			327,984
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			693,641
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,021,625
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,360,218	17,178,886	0 07918
2011	1,264,615	17,560,448	0 072015
2010	1,202,624	17,407,786	0 069085
2009	1,137,704	16,101,711	0 070657
2008	1,662,123	21,655,524	0 076753

2 Total of line 1, column (d).	2	0 36769
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 073538
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	18,746,642
5 Multiply line 4 by line 3.	5	1,378,591
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	11,251
7 Add lines 5 and 6.	7	1,389,842
8 Enter qualifying distributions from Part XII, line 4.	8	600,794

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	22,501
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3 Add lines 1 and 2.	3	22,501
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	22,501
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	12,600
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	16,329
d Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d.	7	28,929
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,428
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 6,428 Refunded	11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a	Yes	
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b	Yes	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) DE _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of <div>co Foundation Source</div> Telephone no <div>(800) 839-1754</div> <div>Located at 501 Silverside Road Suite 123 Wilmington DE</div> ZIP +4 <div>198091377</div>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1b		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____	1c		No
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	2b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?			
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	3b		
		4a		No
		4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☒

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BNY Mellon Bank 200 park avenue 54th fl NEW YORK, NY 10166	Investment Mgmt	95,564
Robinson & Cole LLP 280 trumbull st HARTFORD, CT 06103		
	Legal services	52,572
Total number of others receiving over \$50,000 for professional services.		


Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	11,204,974
b	Average of monthly cash balances.	1b	991,494
c	Fair market value of all other assets (see instructions).	1c	6,835,656
d	Total (add lines 1a, b, and c).	1d	19,032,124
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	19,032,124
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	285,482
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	18,746,642
6	Minimum investment return. Enter 5% of line 5.	6	937,332

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	937,332
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	22,501
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	22,501
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	914,831
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	914,831
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	914,831

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	600,794
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	600,794
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	600,794
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				914,831
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 2011 , 2010 , 2009				
3 Excess distributions carryover, if any, to 2013				
a From 2008.	583,781			
b From 2009.	331,120			
c From 2010.	340,069			
d From 2011.	398,449			
e From 2012.	504,502			
f Total of lines 3a through e.	2,157,921			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 600,794				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				600,794
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	314,037			314,037
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,843,884			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	269,744			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	1,574,140			
10 Analysis of line 9				
a Excess from 2009. . . .	331,120			
b Excess from 2010. . . .	340,069			
c Excess from 2011. . . .	398,449			
d Excess from 2012. . . .	504,502			
e Excess from 2013. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	522,500
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated


Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)


Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Jerry Babicka	Dir 1 0	0		
Foundation Source 501 Silverside Rd Wilmington,DE 198091377				
Lynn P Babicka	Dir 1 0	0		
Foundation Source 501 Silverside Rd Wilmington,DE 198091377				
Barbara P Ettinger	VP / Dir 1 0	0		
Foundation Source 501 Silverside Rd Wilmington,DE 198091377				
Chnstian Ettinger	Treas 1 0	0		
Foundation Source 501 Silverside Rd Wilmington,DE 198091377				
Heidi P Ettinger	Pres / Dir 1 0	0		
Foundation Source 501 Silverside Rd Wilmington,DE 198091377				
Wendy W P Ettinger	Dir / Sec 1 0	0		
Foundation Source 501 Silverside Rd Wilmington,DE 198091377				
John Powers	VP / Dir 1 0	0		
Foundation Source 501 Silverside Rd Wilmington,DE 198091377				


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
1000 FRIENDS OF FLORIDA INC PO BOX 5948 TALLAHASSEE,FL 32314	N/A	PC	protecting the Indian River Lagoon	1,000
ADVOCATES FOR CHILDREN OF NY INC 151 W 30TH ST FL 5 NEW YORK,NY 10001	N/A	PC	General & Unrestricted	1,000
ALLIANCE FOR SUSTAINABLE COLORADO 1536 WYNKOOP ST STE 100 DENVER,CO 80202	N/A	PC	General & Unrestricted	75,300
AMERICAN HUMANE ASSOCIATION 1400 16TH ST NW WASHINGTON,DC 20036	N/A	PC	General & Unrestricted	1,000
AUSTEN RIGGS CENTER INC PO BOX 962 STOCKBRIDGE,MA 01262	N/A	PC	Financial Aid for Patient Care	1,000
AUTOIMMUNE ENCEPHALITIS ALLIANCE INC 920 URBAN AVE DURHAM,NC 27701	N/A	PC	General & Unrestricted	1,000
BERKELEY-CARROLL STREET SCHOOL 152 STERLING PL BROOKLYN,NY 11217	N/A	PC	General & Unrestricted	4,575
BIG BROTHERS AND BIG SISTERS OF GREATER MIAMI 701 SW 27TH AVE MIAMI,FL 33135	N/A	PC	General & Unrestricted	1,000
BOYS & GIRLS CLUB OF INDIAN RIVER COUNTY INC 1729 17TH AVE VERO BEACH,FL 32960	N/A	PC	General & Unrestricted	1,000
BRIC ARTS MEDIA BKLYN INC 647 FULTON ST BROOKLYN,NY 11217	N/A	PC	Charitable Event	500
BY KIDS INC 330 WEND AVE STE 7A NEW YORK,NY 10023	N/A	PC	General & Unrestricted	2,000
CENTER FOR HEALTH ENVIRONMENT AND JUSTICE 150 S WASHINGTON ST STE 300 FALLS CHURCH,VA 22046	N/A	PC	Charitable Event	500
CENTER FOR INTERNATIONAL POLICY INC 2000 M ST NW STE 720 WASHINGTON,DC 20036	N/A	PC	Bill Hartung's Arms Security	8,100
CHAPEL HILL CARRBORO PUBLIC SCHOOL FOUNDATION PO BOX 877 CARRBORO,NC 27510	N/A	SO III FI	Empowerment Scholarship Fund	3,000
CHILD ADVOCATES INCORPORATED 2401 PORTSMOUTH STE 210 HOUSTON,TX 77098	N/A	PC	General & Unrestricted	2,500
Total  3a				522,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
COLORADO ASSOCIATION OF FUNDERS 600 S CHERRY ST STE 1200 DENVER,CO 80246	N/A	PC	General & Unrestricted	1,000
COLORADO ROCKY MOUNTAIN SCHOOL 1493 106 RD CARBONDALE,CO 81623	N/A	PC	General & Unrestricted	1,000
COLUMBIA COUNTY COUNCIL ON THE ARTS INC 209 WARREN ST HUDSON,NY 12534	N/A	PC	Basilica Film and Tree	5,000
COLUMBIA MEMORIAL HOSPITAL 71 PROSPECT AVE HUDSON,NY 12534	N/A	PC	General & Unrestricted	1,000
COLUMBIA MEMORIAL HOSPITAL 71 PROSPECT AVE HUDSON,NY 12534	N/A	PC	smart pump Fund	3,500
COMMON THREADS 500 N DEARBORN ST CHICAGO,IL 60654	N/A	PC	Common Threads Summer Camp 2014	5,000
CONNECTICUT NARAL FOUNDATION INC 839 CHAPEL ST NEW HAVEN,CT 06510	N/A	PC	Crisis Pregnancy Center Educational Campaign	11,000
CONSERVATION INTERNATIONAL FOUNDATION 2011 CRYSTAL DR STE 500 ARLINGTON,VA 22202	N/A	PC	General & Unrestricted	5,000
CUSHING ACADEMY TRUSTEES 39 SCHOOL ST ASHBURNHAM,MA 01430	N/A	PC	Fine Arts	2,000
DEFENDERS OF WILDLIFE 1130 17TH ST NW WASHINGTON,DC 20036	N/A	PC	to help protect Manatees and restore seagrass to their habitat	2,900
DOCTORS WITHOUT BORDERS USA INC 333 7TH AVE NEWYORK,NY 10001	N/A	PC	Philippines relief effort	5,000
EARTH ISLAND INSTITUTE INC 2150 ALLSTON WAY STE 460 BERKELEY,CA 94704	N/A	PC	Project Survival Media	5,000
EARTHJUSTICE 50 CALIFORNIA ST SAN FRANCISCO,CA 94111	N/A	PC	renewable energy and clean water in Florida	3,000
ECHOING GREEN FOUNDATION 494 8TH AVE 2 NEWYORK,NY 10001	N/A	PC	General & Unrestricted	5,000
EDIBLE SCHOOLYARD NEWYORK 55 WASHINGTON ST STE 257 BROOKLYN,NY 11201	N/A	PC	General & Unrestricted	2,000
Total  3a				522,500


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ENORMOUS CHANGES INC 1520 LYON ST SAN FRANCISCO,CA 94115	N/A	PC	General & Unrestricted	5,000
ENVIRONMENTAL GRANTMAKERS ASSOCIATION 475 RIVERSIDE DR RM 900 NEWYORK,NY 10115	N/A	PC	General & Unrestricted	2,700
FLORIDA ASSOC OF PLANNED PARENTHOOD AFFILIATES 2300 N FLORIDA MANGO RD WEST PALM BEACH,FL 33409	N/A	PC	General & Unrestricted	6,200
FLORIDA WILDLIFE FEDERATION PO BOX 6870 TALLAHASSEE,FL 32314	N/A	PC	to protect the Indian River Lagoon	1,000
FOOD & WATER WATCH 1616 P ST NW STE 300 WASHINGTON,DC 20036	N/A	PC	General & Unrestricted	1,000
FORWARD TOGETHER 1440 BROADWAY STE 301 OAKLAND,CA 94612	N/A	PC	General & Unrestricted	2,000
FUND FOR CONSTITUTIONAL GOVERNMENT 122 MARYLAND AVE NE WASHINGTON,DC 20002	N/A	PC	Peace and Security Funders Group	2,000
FUNDRS NTWK FoR SMART GROWTH & LIVaBLE COMMUnities 1500 SAN REMO AVE CORAL GABLES,FL 33146	N/A	PC	General & Unrestricted	1,000
GARRISON ART CENTER PO BOX 4 GARRISON,NY 10524	N/A	PC	General & Unrestricted	2,500
GARRISON INSTITUTE PO BOX 532 GARRISON,NY 10524	N/A	PC	General & Unrestricted	5,000
GAY & LESBIAN COMMUNITY SERVICES CTR OF ORANGE co 1605 N SPURGEON ST SANTA ANA,CA 92701	N/A	PC	General & Unrestricted	1,000
GUTTMACHER INSTITUTE INC 125 MAIDEN LN FL 7 NEWYORK,NY 10038	N/A	PC	General & Unrestricted	10,000
HAMPSHIRE COLLEGE TRUSTEES 893 WST AMHERST,MA 01002	N/A	PC	Civil Liberties and Public Policy	2,000
HARBOR BRANCH OCEANOGRAPHIC INSTITUTION FOUNDATION 5600 US 1 N FT PIERCE,FL 34946	N/A	PC	Florida's Land and Water Legacy Coalition and protection of the Indian River Lagoon	1,000
HARTLEY FILM FOUNDATION INC 49 RICHMONDVILLE AVE STE 204 WESTPORT,CT 06880	N/A	PC	The Amichai Film Project	2,000
Total  3a				522,500


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Harvest Food & Outreach Center 2050 40TH AVE STE 10 VERO BEACH,FL 32960	N/A	PC	General & Unrestricted	5,000
HIBISCUS CHILDRENS CENTER FOUNDATION INC PO BOX 305 JENSEN BEACH,FL 34958	N/A	PC	General & Unrestricted	2,500
HOBART AND WILLIAM SMITH COLLEGES 615 S MAIN ST GENEVA,NY 14456	N/A	PC	General & Unrestricted	3,575
HOMES FOR OUR TROOPS INC 6 MAIN ST TAUNTON,MA 02780	N/A	PC	General & Unrestricted	10,000
HOMES FOR OUR TROOPS INC 6 MAIN ST TAUNTON,MA 02780	N/A	PC	building home Project	5,000
HORIZONS FOUNDATION 550 MONTGOMERY ST STE 700 SAN FRANCISCO,CA 94111	N/A	PC	General & Unrestricted	1,200
HUDSON HIGHLANDS LAND TRUST INC PO BOX 226 GARRISON,NY 10524	N/A	PC	General & Unrestricted	5,000
HUDSON HIGHLANDS NATURE MUSEUM PO BOX 451 CORNWALL HUDSON,NY 12518	N/A	PC	General & Unrestricted	5,000
HUDSON VALLEY SHAKESPEARE FESTIVAL INC 140 MAIN ST COLD SPRING,NY 10516	N/A	PC	General & Unrestricted	2,000
HUDSON VALLEY SHAKESPEARE FESTIVAL INC 140 MAIN ST COLD SPRING,NY 10516	N/A	PC	Artistic Director transition costs	7,500
HUMANE FARMING ASSOCIATION PO BOX 3577 SAN RAFAEL,CA 94912	N/A	PC	General & Unrestricted	1,000
HUMANE SOCIETY OF THE UNITED STATES 2100 L ST NW WASHINGTON,DC 20037	N/A	PC	General & Unrestricted	1,000
INDIAN RIVER HOSPITAL FOUNDATION INC 1000 36TH ST VERO BEACH,FL 32960	N/A	PC	Campaign for Excellence in Cancer Care	10,000
INTERNATIONAL PRIMATE PROTECTION LEAGUE PO BOX 766 SUMMERVILLE,SC 29484	N/A	PC	General & Unrestricted	2,000
JOSES HAND INC 7854 NW 188TH LN HIALEAH,FL 33015	N/A	PC	General & Unrestricted	4,000
Total ▶ 3a				522,500


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
JUDICIAL WATCH INC 425 3RD ST SW STE 800 WASHINGTON,DC 20024	N/A	PC	General & Unrestricted	8,600
KENT SCHOOL CORPORATION PO BOX 2006 KENT,CT 06757	N/A	PC	General & Unrestricted	1,000
LEAGUE OF WOMEN VOTERS OF FLORIDA EDUCATION FUND 540 BEVERLY COURT TALLAHASSEE,FL 32301	N/A	PC	General & Unrestricted	1,000
MARDY FISH FOUNDATION INC PO BOX 648158 VERO BEACH,FL 32964	N/A	PC	Facilitator for a strategic planning process	10,000
MATTHEW SHEPARD FOUNDATION 301 THELMA DR CASPER,WY 82609	N/A	PC	General & Unrestricted	1,500
MEDICAL STUDENTS FOR CHOICE PO BOX 40188 PHILADELPHIA,PA 19106	N/A	PC	General & Unrestricted	10,000
NARAL PRO-CHOICE AMERICA FOUNDATION 1156 15TH ST NW WASHINGTON,DC 20005	N/A	PC	General & Unrestricted	5,000
NATIONAL ABORTION FEDERATION 1660 L ST NW WASHINGTON,DC 20036	N/A	PC	General & Unrestricted	5,000
NATIONAL COUNCIL FOR RESEARCH ON WOMEN INC 11 HANOVER SQ FL 20 NEW YORK,NY 10005	N/A	PC	Charitable Event	1,000
NATURAL RESOURCES DEFENSE COUNCIL INC 40 W 20TH ST NEW YORK,NY 10011	N/A	PC	saving marine mammals and promoting alternative energy	10,000
NEW YORK EYE & EAR INFIRMARY 310 E 14TH ST NEW YORK,NY 10003	N/A	PC	Project to Cure Exfoliation Syndrome	10,000
NEW YORK THEATRE WORKSHOP INC 79 E 4TH ST NEW YORK,NY 10003	N/A	PC	General & Unrestricted	2,000
NORTH CAROLINA COMMUNITY FOUNDATION INC 4601 SIX FORKS RD STE 524 RALEIGH,NC 27609	N/A	PC	Charitable Event	1,500
NORTH CAROLINA COMMUNITY FOUNDATION INC 4601 SIX FORKS RD STE 524 RALEIGH,NC 27609	N/A	PC	Chapel Hill-Carrboro Youth Service Initiative	2,500
NORTH CAROLINA COMMUNITY FOUNDATION INC 4601 SIX FORKS RD STE 524 RALEIGH,NC 27609	N/A	PC	North Carolina Network of Grantmakers	3,000
Total  3a				522,500


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NORTH STAR FUND INC 520 8TH AVE 22ND FL NEWYORK,NY 10018	N/A	PC	General & Unrestricted	1,000
OCEANA INC 1350 CONNECTICUT AVE NW FL 5 WASHINGTON,DC 20036	N/A	PC	General & Unrestricted	2,000
PARK SCHOOL OF BALTIMORE INC 2425 OLD COURT RD BALTIMORE,MD 21208	N/A	PC	General & Unrestricted	900
PHILIPSTOWN DEPOT THEATRE DEVELOPEMENT CORPORATION PO BOX 221 GARRISON,NY 10524	N/A	PC	General & Unrestricted	2,000
PHYSICIANS FOR REPRODUCTIVE HEALTH INC 55 W 39TH ST FL 10 NEWYORK,NY 10018	N/A	PC	General & Unrestricted	13,000
PLANNED PARENTHOOD OF CENTRAL NORTH CAROLINA INC 1765 DOBBINS DR CHAPEL HILL,NC 27514	N/A	PC	General & Unrestricted	5,000
PLANNED PARENTHOOD OF GREATER TEXAS 7424 GREENVILLE AVE STE 206 DALLAS,TX 75231	N/A	PC	General & Unrestricted	1,500
PLAYWRIGHTS HORIZONS INC 416 W 42ND ST NEWYORK,NY 10036	N/A	PC	General & Unrestricted	1,000
PRISON POLICY INITIATIVE INC PO BOX 127 NORTHAMPTON,MA 01061	N/A	PC	the Prison based gerrymandering Division	3,000
REEF RELIEF INC PO BOX 430 KEY WEST,FL 33041	N/A	PC	General & Unrestricted	1,000
RIVERSIDE THEATRE INC 3250 RIVERSIDE PARK DR VERO BEACH,FL 32963	N/A	PC	General & Unrestricted	4,000
ROCKEFELLER UNIVERSITY 1230 YORK AVE NEWYORK,NY 10065	N/A	PC	Parents & Science Initiative	5,000
SAILORS FOR THE SEA INC 449 THAMES ST STE 300D NEWPORT,RI 02840	N/A	PC	General & Unrestricted	12,000
SAN FRANCISCO FRIENDS SCHOOL 250 VALENCIA ST SAN FRANCISCO,CA 94103	N/A	PC	General & Unrestricted	10,000
SAN FRANCISCO FRIENDS SCHOOL 250 VALENCIA ST SAN FRANCISCO,CA 94103	N/A	PC	Building Friends Fund	5,000
Total  3a				522,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SAN FRANCISCO LGBT COMMUNITY CENTER 1800 MARKET ST SAN FRANCISCO,CA 94102	N/A	PC	General & Unrestricted	1,000
SAN JOSE POLICE FOUNDATION 101 W SANTA CLARA ST SAN JOSE,CA 95113	N/A	PC	General & Unrestricted	5,000
SARAH LAWRENCE COLLEGE 1 MEAD WAY BRONXVILLE,NY 10708	N/A	PC	the Ray Serdelman Fund	3,000
SAVE THE MANATEE CLUB INC 500 N MAITLAND AVE STE 210 MAITLAND,FL 32751	N/A	PC	General & Unrestricted	1,000
SIERRA CLUB FOUNDATION 85 2ND ST SAN FRANCISCO,CA 94105	N/A	PC	Florida's Land and Water Legacy Coalition, specifically in Vero Beach	2,000
SOUL DOG RESCUE PO BOX 270534 ST LOUIS,MO 63126	N/A	PC	General & Unrestricted	1,000
SOUTHERN VERMONT ARTS CENTER INCORPORATED PO BOX 617 MANCHESTER,VT 05254	N/A	PC	General & Unrestricted	500
SPARK MICROGRANTS 575 MAIN ST APT N1909 NEW YORK,NY 10044	N/A	PC	General & Unrestricted	5,400
STONE SOUP FILMS ENDOWMENT 2325 DULLES CORNER BLVD STE 670 HERNDON,VA 20171	N/A	PC	General & Unrestricted	2,000
STORM KING ART CENTER PO BOX 280 OLD PLEASANT HILL RD MOUNTAINVILLE,NY 10953	N/A	PC	General & Unrestricted	5,000
SUMMER SEARCH 500 SANSOME ST STE 350 SAN FRANCISCO,CA 94111	N/A	PC	General & Unrestricted	2,000
SUNDANCE INSTITUTE PO BOX 684429 PARK CITY,UT 84068	N/A	PC	General & Unrestricted	1,000
THE AGENDA PROJECT INC 594 BROADWAY RM 1012 NEW YORK,NY 10012	N/A	PC	Top Wonks	3,000
THE FLEA THEATER INC 41 WHITE ST NEW YORK,NY 10013	N/A	PC	General & Unrestricted	5,000
THE PAINTED TURTLE 1300 4TH ST STE 300 SANTA MONICA,CA 90401	N/A	PC	General & Unrestricted	2,000
Total  3a				522,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE PEACE EDUCATION FUND 2201 BROADWAY STE 321 OAKLAND,CA 94612	N/A	PC	voter engagement	3,000
THIRD SECTOR NEW ENGLAND INC LINCOLN PLZ 89 S ST NO BOSTON,MA 02111	N/A	PC	Nonprofit Centers Network	20,000
TIDES FOUNDATION PO BOX 29903 SAN FRANCISCO,CA 94129	N/A	PC	Chicken & Egg	10,000
TIDES FOUNDATION PO BOX 29903 SAN FRANCISCO,CA 94129	N/A	PC	Chicken and Egg	5,000
TIDES FOUNDATION PO BOX 29903 SAN FRANCISCO,CA 94129	N/A	PC	Threshold Foundation	1,800
TRINITY COLLEGE 300 SUMMIT ST HARTFORD,CT 06106	N/A	PC	General & Unrestricted	6,250
UNIVERSITY OF DENVER - DANIELS COLLEGE OF BUSINESS 2101 S UNIVERSITY BLVD STE 335D DENVER,CO 80208	N/A	PC	the PRI/MRI research project	20,000
URBAN GREENWORKS 345 SW 32ND RD MIAMI,FL 33129	N/A	PC	General & Unrestricted	2,000
WITNESS INC 80 HANSON PL 5TH FL BROOKLYN,NY 11217	N/A	PC	Charitable Event	1,000
WORLD WILDLIFE FUND INC 1250 24TH ST NW WASHINGTON,DC 20090	N/A	PC	General & Unrestricted	1,000
XS TENNIS AND EDUCATION FOUNDATION 7211 S PAXTON AVE CHICAGO,IL 60649	N/A	PC	Scholarship fund	5,000
Total  3a				522,500

TY 2013 Accounting Fees Schedule

Name: The Ettinger Foundation Inc

EIN: 06-6038938

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Audit Support	29,000			29,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: The Ettinger Foundation Inc

EIN: 06-6038938

TY 2013 Investments Corporate

Bonds Schedule

Name: The Ettinger Foundation Inc

EIN: 06-6038938

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ADVANCED MICRO DEVICES INC - 6	3,966	4,180
AMERICAN EXPRESS CO NT - 6.150	6,894	6,922
AMGEN INC NOTE - 02.500% - 11/	7,356	7,245
ARROW ELECTRONICS INC - 6.875%	7,984	7,931
BB&T CORPORATION SR NT - 5.700	7,409	7,121
BLACKROCK INC NOTE - 3.500% -1	7,368	7,205
BOSTON PROPERTIES LPNOTE - 4.1	6,242	6,130
BOSTON SCIENTIFIC CORP 6.00% 0	6,817	6,888
BURLINGTN NORTH SANTA - 3.0% -	6,525	6,519
CENTURYLINK INC NOTE - 6.450%	8,160	8,320
CINCINNATI BELL INC NEW 8.375%	8,576	8,660
CITY NATIONAL CORP NOTE - 5.25	7,574	7,507
CLOROX CO DEL SR NT - 5.950% -	8,334	7,992
CREDIT SUISSE USA - 5.125% - 0	7,668	7,501
CVS CAREMARK CORP NOTE - 4.125	7,327	7,256
DEAN FOODS CO SR NT - 6.900% -	7,587	7,560
EXPRESS SCRIPTS HLDG CO - 4.75	6,885	6,340
GATX CORP NOTE - 4.750% - 05/1	7,446	7,355
GENWORTH FINANCIAL MTN - 6.515	8,829	9,099
HEWLETT-PACKARD CO NOTES - 5.5	7,840	7,785
ICAHN ENTERPRISES - 8.000% - 0	7,416	7,280
INT'L LEASE FIN CORP - 5.750%	7,120	7,499
JEFFERIES GROUP INC - 6.875% -	7,910	7,973
JPM CHASE - 4.400% - 07/22/202	1,089	1,075
JPMORGAN CHASE & CO SR NT - 4.	6,600	6,360
KROGER CO SR NT - 3.400% - 04/	7,206	6,790
MASCO CORP BD - 6.125% - 10/03	8,506	8,960
MERRILL LYNCH & CO - 6.500% -	7,983	8,211
NASDAQ OMX GROUP - 4.000% - 01	7,301	7,183
NISOURCE FINANCE CORP - 6.800%	9,482	9,309
OWENS CORNING INC - 9.000% - 0	7,821	7,407
PITNEY BOWES - 4.7500% - 01/15	7,433	7,380
TARGET CORP - 3.875% - 07/15/2	7,806	7,380
TELEFONAKTIEBOLAGET LM ERICSS	7,251	6,804
THOMSON-REUTERS CO 04.700% 10/	6,864	6,493
VERIZON COMMUNICATIONS INC - 4	4,295	4,282
VORNADO RLTY L P NT - 4.250% -	7,379	7,219
XEROX CORP SR NT - 6.400% - 03	7,926	7,737
ZURICH REINS CENTRE HLDG INC -	8,283	7,806

**TY 2013 Investments Corporate
Stock Schedule**

Name: The Ettinger Foundation Inc
EIN: 06-6038938

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AARON'S INC.	33,704	50,539
ABB LTD.	32,139	37,317
ACCENTURE PLC	70,242	97,513
ACI WORLDWIDE INC	11,618	19,500
AEGON N V ORD AMER REG	13,731	14,798
AEROVIRONMENT, INC.	8,268	8,742
AGL RESOURCES INC.	10,990	12,988
AGRIUM INC.	76,953	137,220
AIR PRODS & CHEM INC.	27,882	37,446
ALBEMARLE CP	20,015	19,968
ALLEGION PLC	8,540	14,583
ALLERGAN INC.	62,680	78,089
AMAZON COM	35,353	133,196
AMERICA MOVIL SA	15,656	16,359
AMERICAN EXPRESS CO	19,228	68,229
AMERICAN STATES WATER CO	10,437	12,929
APPLE INC.	108,375	180,647
APTAR GROUP INC	13,951	18,648
ARTISAN PARTNERS ASSET MANAGEM	8,560	10,104
ASTELLAS PHARMA INC	8,768	13,504
ASTRAZENECA	21,063	26,717
AXA ADS	11,977	11,156
BAKER HUGHES INTL	67,055	80,403
BANCO DO BRASIL S A SPONSORED	7,926	6,643
BANCO SANTANDER BRASIL	11,527	9,455
BANK OF AMERICA CORP	36,759	37,446
BANK OF HAWAII CORP	14,791	19,221
BARCLAYS PLC ADR	11,315	16,371
BASF AG SPONS ADR	40,295	69,525
BED BATH & BEYOND INC.	45,093	61,590

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BELDEN INC	9,535	14,090
BERKSHIRE HATHAWAY INC. CLASS	93,685	147,251
BEST BUY INC.	67,784	149,948
BGC PARTNERS INC	12,415	13,310
BIO-REFERENCE LABORATORIES, IN	12,300	10,216
BIOMED RLTY TR INC	8,770	7,701
BLACKBAUD INC	4,197	6,589
BOB EVANS FARMS, INC.	9,116	10,118
BOINGO WIRELESS, INC	9,219	7,051
BOK FINANCIAL CORP.	5,700	6,632
BONANZA CREEK ENERGY INC	13,563	26,082
BORG WARNER INC.	37,746	81,629
BRIGHT HORIZONS FAMILY SOLUTIO	11,774	11,941
BROADRIDGE FINANCIAL SOLUTIONS	3,823	7,904
BROOKFIELD ASSET MANAGEMENT CL	12,047	18,444
BRUKER CORPORATION	11,253	15,322
CALAVO GROWERS, INC	16,091	21,182
CALGON CARBON CP	9,756	13,885
CAMERON INTERNATIONAL CORP	67,210	62,507
CANADIAN NATL RAILWAY CO	74,380	257,729
CANADIAN PACIFIC RAILWAY LTD	80,666	220,170
CANON INC.	19,092	19,200
CAPITALSOURCE INC	26,256	58,917
CARBO CERAMICS INC	9,748	14,566
CARDINAL FINANCIAL CORPORATION	6,558	7,196
CARMAX INC	31,427	72,458
CARREFOUR S.A.	12,051	23,025
CASH AMER INTL INC	27,447	27,959
CBIZ, INC.	26,888	34,656
CBRE GROUP INC	56,678	65,619

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CELGENE CORP	24,836	61,167
CEMEX SA DE CV A ADS	7,465	10,505
CHARLES SCHWAB CORP NEW	133,257	194,401
CHART INDUSTRIES, INC.	7,119	9,564
CINEMARK HOLDINGS INC	12,908	16,665
CITY NATL CP	4,301	5,942
CLARCOR INC	11,785	16,088
CME GROUP, INC	40,786	62,846
CNH INDUSTRIAL NV	23,086	32,586
COACH INC	63,450	59,891
COHEN & STEERS INC	6,054	8,012
COHERENT INC CAL	4,628	7,439
COLUMBIA BANKING SYSTEM, INC.	17,941	23,367
COLUMBIA SPORTSWEAR CO.	16,097	20,869
COMMERCE BANCSHARES, INC.	8,766	11,138
COMMERCIAL METALS	7,647	10,673
COMMVAULT SYSTEMS, INC.	6,848	7,486
CONVERGYS CP	28,198	42,100
CORE LABRATORIES	36,674	114,570
CORESITE REALTY CORPORATION	5,238	6,438
CROWN CASTLE INTL	29,856	52,135
CULLEN FROST BANKERS INC	7,159	9,304
DAI NIPPON PRINTING LTD JAPANS	7,513	10,959
DAIICHI SANKYO CO LTD SPONSORE	16,627	17,664
DARLING INTERNATIONAL INC	7,556	8,874
DENBURY RESOURCES INC	15,916	15,609
DENTSPLY INTERNATIONAL INC NEW	11,755	13,332
DEUTSCHE TELE AG ADS	12,204	15,016
DIAGEO PLC ADS	54,371	102,626
DONALDSON CO. INC.	14,491	18,471

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DORMAN PRODUCTS INC COMMON STO	11,661	16,812
DRESSER-RAND GROUP	5,947	5,963
DSW INC.	13,739	17,092
DUPONT FABROS TECHNOLOGY INC C	10,311	11,737
EAST WEST BANCORP, INC.	12,036	19,234
EATON CORP PLC	125,885	176,521
EATON VANCE CORP COM	8,593	11,767
ECOLAB INC	52,503	90,402
EDWARDS LIFESCIENCES	71,911	68,127
ENCORE CAPITAL GROUP INC	16,977	17,591
ENI S.P.A.	21,463	22,305
ENSCO PLC	67,096	64,328
ENSIGN RESOURCE SERVICE GROUP	25,164	23,246
EPR PROPERTIES	15,414	19,664
ERICSSON L M TEL CO	13,463	16,157
EXPRESS SCRIPTS HOLDING CO.	108,915	141,042
F5 NETWORKS, INC.	5,115	6,815
FACEBOOK INC	76,664	162,965
FACTSET RESH SYST INC.	9,311	10,858
FINNING INTL INC	3,435	3,218
FIRST PAC CO LTD SPON ADR	10,543	11,840
FLEXTRONICS INTERNATIONAL	12,928	12,743
FORTINET INC	9,291	10,043
FORUM ENERGY TECHNOLOGIES INC	14,273	13,424
FOSTER WHEELER AG	17,859	18,975
FRANKLIN ELECTRIC CO., INC	6,970	10,267
FRANKLIN RES INC	39,707	72,740
FUJIFILM HOLDINGS	16,847	14,510
GENERAL MOTORS	42,262	54,234
GENESEE	9,463	12,006

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GENTHERM INC	21,434	29,491
GEOSPACE TECHNOLOGIES CORP	10,583	11,830
GLAXOSMITHKLINE PLC	21,718	21,890
GLOBAL CASH ACS HLDG	13,598	11,988
GLOBUS MEDICAL INC	14,366	20,180
GOOGLE INC	182,221	392,248
GRAINGER W W INC.	36,050	61,556
GROUPE DANONE ADS	18,751	22,942
H LUNDBECK A/S UNSPON/ADR	6,149	10,176
HAEMONETICS CP	8,238	8,426
HELEN OF TROY LIMITED	7,830	14,814
HERCULES TECHNOLOGY GROWTH	13,108	21,320
HIBBETT SPORTS, INC.	13,009	15,109
HONDA MOTOR CO LTD	8,719	10,751
HOWARD HUGHES CORPORATION	27,722	42,035
HSBC HOLDINGS PLC	15,381	16,043
HUB GROUP, INC.	6,595	7,976
HUBBELL CLASS B	66,708	78,517
IAC/INTERACTIVE CORP	25,639	54,920
ICU MEDICAL, INC.	8,878	9,557
IDEX CP	5,619	9,231
INGERSOL-RAND PLC	33,642	60,984
INT'L FLAVORS & FRAGRANCES INC	15,144	17,196
INTERDIGITAL COMMUNICATIONS CO	35,826	27,278
INTERNATIONAL BUSINESS MACHINE	43,308	53,082
INTESA SANPAOLO S.P.A	24,686	24,817
IPG PHOTONICS CORP	12,862	17,462
ITALCEMENTI FABRICHERIUNITE SP	26,904	20,069
J SAINSBURY PLC S/ADR NEW	10,634	11,553
J2 GLOBAL INC	17,643	37,508

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JONES LANG LASALLE	16,882	20,478
JP MORGAN CHASE & CO	62,163	74,270
KAMAN CORPORATION	29,003	39,730
KANSAS CITY SOUTHERN	65,719	65,630
KAR AUCTION SERVICES INC	25,320	53,190
KINDER MORGAN INC	96,547	115,596
KONINKLIJKE AHOLD	8,876	15,813
L BRANDS, INC.	58,633	71,993
LIBBEY INC.	11,799	12,600
LIFE TIME FITNESS	9,074	9,400
LIGAND PHARMACEUTICALS INCORPO	26,136	26,300
LIMONEIRA CO	13,971	21,272
LINCOLN ELECTRIC HOLDING INC.	9,789	14,197
LINDSAY CORP	9,947	10,344
LIQUIDITY SERVICES INC	39,950	24,926
LORAL SPACE AND COMMUNICATIONS	60,721	72,882
LOWES COMPANIES INC.	49,037	89,735
LPL FINANCIAL HOLDINGS INC	5,557	5,881
MANULIFE FIN CORP	20,928	15,291
MARKETAXESS HOLDINGS, INC.	9,290	18,406
MARKS & SPENCER GRP ADR	10,982	14,473
MARRIOTT INTERNATIONAL INC. CL	72,742	103,832
MASTERCARD INC	24,101	157,901
MCKESSON CORP	48,580	48,097
MDC PARTNERS INC A	13,372	47,194
MEDICAL PROPERTIES TRUST, INC	24,990	29,328
MEDNAX, INC.	12,370	16,014
MELLANOX TECHNOLOGIES, LTD.	10,829	8,993
MERCK & CO INC.	20,369	36,537
MERIDIAN BIOSCIENCE INC.	9,165	11,939

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MICROSOFT CORPORATION	81,199	105,758
MIDDLEBY CORPORATION (THE)	6,871	11,986
MINERAL TECH INC	11,190	18,021
MITSUBISHI TOKYO FIN	23,009	13,026
MONDELEZ INTERNATIONAL INC	15,367	38,406
MS&AD INS GROUP ADR	32,058	20,904
MYRIAD GENETICS, INC	6,667	5,245
N J RESOURCES CP	6,615	6,936
NABORS INDUSTRIES LTD	48,432	37,973
NELNET INC	9,936	21,070
NEXSTAR BROADCASTING GROUP, IN	16,103	16,719
NIKE INC-CL B	40,162	66,372
NIPPON TELEPHONE ADR	16,939	20,010
NISSAN MOTOR LTD ASR	29,321	23,856
NMI HOLDINGS, INC.	21,667	20,368
NOBLE CORPORATION	72,555	64,636
NORDSON CP	9,516	11,145
OASIS PETROLEUM CORP	8,842	14,091
OCCIDENTAL PETROLEUM CORP	54,004	68,377
OMNICELL, INC.	6,864	7,659
ORANGE	32,123	19,587
OWENS CORNING (NEW)	55,812	77,857
PARTNERRE LTD. COM	30,041	40,591
PAX WORLD HIGH YIELD BOND-IN	200,000	199,206
PEPSICO INC	33,006	44,041
PFIZER INC.	23,770	41,136
PINNACLE FOODS INC	6,751	8,238
PLANTRONICS INC.	8,718	11,613
POLYCOM, INC.	7,910	8,423
POLYPORE INTERNATIONAL INC.	8,407	7,780

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PORTUGAL TELECOM SGPS	10,956	7,646
POTASH CORPORATION OF SASKATCH	85,575	80,422
POWER INTEGRATIONS, INC.	6,491	11,164
PRAXAIR INC.	37,753	57,863
PRECISION CASTPARTS	77,770	137,343
PREMIERE GLOBAL SVCS	6,270	6,954
PROGRESS SOFTWARE CORP	17,868	20,664
PURE CYCLE CORPORATION	24,285	37,347
QLIK TECHNOLOGIES	86,502	74,511
QUALCOMM INC	44,144	79,373
QUESTAR CP	16,019	17,817
REGENERON PHARMACEUTICALS, INC	29,147	32,478
REGIONAL MANAGEMENT CORP	18,078	20,358
REGIONS FINANCIAL CORP	35,024	52,140
RIVERBED TECHNOLOGY, INC.	8,252	9,040
ROADRUNNER TRANSPORTATION SYST	29,865	38,404
ROCKVILLE FINANCIAL INC	11,779	11,368
ROGERS COMM CL B	51,250	58,961
RPC INC	4,087	5,801
SANOFI-AVENTIS SPONSORED ADR	19,829	23,061
SAPIENT CORPORATION	8,513	13,454
SCHLUMBERGER LTD	66,684	73,890
SEI INVESTMENTS	9,377	13,892
SIGMA ALDRICH CORP	46,468	64,021
SIGNATURE BANK	14,531	21,484
SILGAN HOLDINGS, INC. - COMMON	21,569	47,060
SIX FLAGS ENTERTAINMENT CORPOR	15,125	36,820
SKYWORKS SOLUTIONS, INC	18,615	25,704
SODASTREAM INTERNATIONAL LTD	9,668	11,169
SOLARWINDS INC	6,145	6,620

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SOUTHWESTERN ENERGY	55,854	57,343
STARBUCKS CORP COM	99,782	113,744
STARWOOD PROPERTY TRUST INC	17,284	22,160
SUEZ ENVIRONNEMENT C	10,477	16,706
SVB FINANCIAL GROUP	12,126	20,972
SWISS RE LTD ADR	9,809	15,705
SYNTEL INC	7,756	11,369
T. ROWE PRICE ASSOCIATES	88,907	120,294
TAKEDA PHARMACEUTICAL CO LTD	8,423	8,517
TE CONNECTIVITY LTD	6,726	10,471
TECHNE CORPORATION	5,151	7,100
TELECOM ITALIA ADR	34,301	23,755
TELEFONICA BRASIL, S.A.	10,442	9,226
TELEFONICA S A ADR	9,265	10,458
TEMPLETON GLOBAL BD	391,251	382,311
TENARIS SA-ADR	79,426	82,574
TENNECO INC	15,320	16,971
TESCO PLC S ADR	13,441	14,988
TEXAS CAPITAL BANCSHARES, INC.	6,979	9,330
TEXAS ROADHOUSE, INC.	6,191	6,255
THORATEC LABS CORPORATION	10,179	10,065
TIBCO SOFTWARE	12,950	12,364
TIM PARTICIPACOES S A SPONSORE	10,269	13,382
TIME WARNER CABLE INC	23,857	51,626
TJX COMPANIES INC	16,001	79,790
TOKIO MARINE HOLDINGS INC	25,593	17,896
TOLL BROTHERS INC	89,666	92,870
TOYOTA MTR CORP	7,305	12,192
TRINITY BIOTECH PLC	12,991	15,084
TRIPADVISOR LLC	59,263	78,771

Name of Stock	End of Year Book Value	End of Year Fair Market Value
TWO HARBORS INVESTMENT CORP.	14,622	14,848
UBS AG	9,130	7,026
UMB FINANCIAL CORPORATION	6,700	9,642
UNIFIRST CP	5,284	5,350
UNILEVER N V N Y	69,939	86,495
UNILEVER PLC AMER	10,422	16,315
UNITED FINANCIAL BANCORP	11,574	11,334
UNITED NATURAL FOODS, INC	7,920	11,309
UNITED RENTALS INC	39,606	61,113
UNITED TECHNOLOGIES CORP	34,269	70,556
VALUECLICK INC	11,912	16,359
VERITAS SOFTWARE CO.	11,050	11,003
VISA INC	168,977	323,330
VITAMIN SHOPPE INC	16,916	16,903
VODAFONE GROUP PLC	6,881	12,186
WABTEC	12,096	20,424
WALT DISNEY HOLDINGS CO.	28,618	106,654
WATSON WYATT & CO. HOLDINGS	58,446	69,803
WATTS WATER TECHNOLOGIES INC	13,089	18,561
WEATHERFORD INTL NEW	93,779	75,514
WELLPOINT INC	44,378	85,553
WELLS FARGO & CO.	94,407	157,719
WEST PHARMA SVCS INC	11,201	18,398
WEX, INC.	10,909	14,855
WHITEWAVE FOODS COMPANY	12,669	15,485
WHOLE FOODS MARKET, INC.	86,507	115,776
WILLIAMS SONOMA INC.	71,068	90,917
WM MORRISON UNSPON ADR	21,859	22,526
WOLVERINE WORLDWIDE	12,704	19,527
WORLD FUEL SVCS CP	24,494	34,528

Name of Stock	End of Year Book Value	End of Year Fair Market Value
YARA INTERNATIONAL ASA	22,160	27,261

TY 2013 Investments - Land Schedule

Name: The Ettinger Foundation Inc

EIN: 06-6038938

TY 2013 Investments - Other Schedule**Name:** The Ettinger Foundation Inc**EIN:** 06-6038938

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ALT INV INSTITUTIONAL LP		1,729,793	1,872,071
F&C EMERGING EQUITY ESG FUND		1,302,890	1,226,921
GENERATION IM GLOBAL EQ FUND L		2,582,736	3,263,969
LIN MEDIA LLC		13,724	25,839
NORTH SKY CLEANTECH VENTURES L		587,509	571,840

TY 2013 Land, Etc. Schedule**Name:** The Ettinger Foundation Inc**EIN:** 06-6038938

TY 2013 Legal Fees Schedule**Name:** The Ettinger Foundation Inc**EIN:** 06-6038938

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GENERAL GOVERNANCE & COUNSEL	12,552			12,552
INTERNAL INVESTIGATION	27,745	27,745		
RESPONSE TO IRS INQUIRY	12,275	12,275		

TY 2013 Other Expenses Schedule

Name: The Ettinger Foundation Inc

EIN: 06-6038938

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	36,717			36,717
Bank Charges	1,188	1,188		
K-1 Exp ALT INV INSTITUTIONAL	12,812	12,812		
K-1 Exp ELLINGTON FINANCIAL LL	234	69		
K-1 Exp GENERATION IM GLOBAL E	33,116	31,977		
K-1 Exp LIN MEDIA LLC	10	10		
K-1 Exp NORTH SKY CLEANTECH VE	19,916	19,803		
State or Local Filing Fees	25			25

TY 2013 Other Income Schedule**Name:** The Ettinger Foundation Inc**EIN:** 06-6038938

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
K-1 Inc/Loss ALT INV INSTITUTIONAL LP	80,158	80,158	
K-1 Inc/Loss ELLINGTON FINANCIAL LLC	1,900	571	
K-1 Inc/Loss GENERATION IM GLOBAL EQ FUND LLC	41,650	41,650	
K-1 Inc/Loss NORTH SKY CLEANTECH VENTURES LP	-342	2,257	
Foreign Tax Refund	145	145	
Income from f&c emerging equity esg fd	2,890	2,890	

TY 2013 Other Professional Fees Schedule**Name:** The Ettinger Foundation Inc**EIN:** 06-6038938

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	95,184	95,184		
CONSULTING - INV REAL ESTATE	9,160	9,160		

TY 2013 Taxes Schedule

Name: The Ettinger Foundation Inc

EIN: 06-6038938

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ESTIMATED TAX FOR 2013	10,600			
FOREIGN TAX	8,667	8,667		