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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation SBM CHARITABLE FOUNDATION INC		A Employer identification number  06-1574365	
Number and street (or P O box number if mail is not delivered to street address) 935 MAIN STREET LEVEL C NO B-101		B Telephone number (see instructions)  (860) 533-0355	
City or town, state or province, country, and ZIP or foreign postal code MANCHESTER, CT 06040		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 40,043,759	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities. . . . .	751,183	751,183		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	627,846			
	b Gross sales price for all assets on line 6a 8,972,480				
	7 Capital gain net income (from Part IV, line 2) . . .		627,846		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	1,379,029	1,379,029		
	13 Compensation of officers, directors, trustees, etc	80,493	0		80,493
	14 Other employee salaries and wages . . . . .	88,188	0		86,809
	15 Pension plans, employee benefits . . . . .	50,657	0		50,246
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	8,675	3,000		4,675
	c Other professional fees (attach schedule) . . . . .	9,739	0		9,739
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions)	12,305	0		0
	19 Depreciation (attach schedule) and depletion . . .	7,356	0		
	20 Occupancy . . . . .	8,229	0		8,229
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	168,801	141,131		27,670
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	434,443	144,131		267,861
	25 Contributions, gifts, grants paid . . . . .	1,551,776			2,109,543
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	1,986,219	144,131		2,377,404
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	-607,190			
	b <b>Net investment income</b> (if negative, enter -0-)		1,234,898		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	300	300	300
	2	Savings and temporary cash investments . . . . .	924,596	3,062,478	3,062,478
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .	1,870	1,870	1,870
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .			
	c	Investments—corporate bonds (attach schedule). . . . .			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	36,782,678	36,852,378	36,852,378
	14	Land, buildings, and equipment basis ▶ _____ 193,409 Less accumulated depreciation (attach schedule) ▶ _____ 66,676	134,089	126,733	126,733
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	37,843,533	40,043,759	40,043,759	
Liabilities	17	Accounts payable and accrued expenses . . . . .	23,387	27,205	
	18	Grants payable . . . . .	1,192,767	635,000	
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .	1,216,154	662,205	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .	36,627,379	39,381,554	
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	36,627,379	39,381,554	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	37,843,533	40,043,759	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	36,627,379
2	Enter amount from Part I, line 27a . . . . .	2	-607,190
3	Other increases not included in line 2 (itemize) ▶ _____	3	3,361,365
4	Add lines 1, 2, and 3 . . . . .	4	39,381,554
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	39,381,554

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	627,846
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	2,308,581	36,186,177	0 063797
2011	2,409,997	37,205,029	0 064776
2010	1,865,800	36,548,956	0 051049
2009	1,981,067	32,832,786	0 060338
2008	2,294,550	40,051,729	0 057290

2	Total of line 1, column (d). . . . .	2	0 297250
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 059450
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .	4	38,229,196
5	Multiply line 4 by line 3. . . . .	5	2,272,726
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	12,349
7	Add lines 5 and 6. . . . .	7	2,285,075
8	Enter qualifying distributions from Part XII, line 4. . . . .	8	2,377,404

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

<b>Part VI    Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)</b>		
<b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	12,349
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b> Add lines 1 and 2. . . . .	<b>3</b>	12,349
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b> <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	12,349
<b>6</b> Credits/Payments		
<b>a</b> 2013 estimated tax payments and 2012 overpayment credited to 2013	<b>6a</b>	11,000
<b>b</b> Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b> Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	1,500
<b>d</b> Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b> Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	12,500
<b>8</b> Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	<b>8</b>	
<b>9</b> <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b> <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	151
<b>11</b> Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> 151 <b>Refunded</b> . . . . .	<b>11</b>	0

<b>Part VII-A    Statements Regarding Activities</b>			
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	<b>Yes</b>	<b>No</b>
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	<b>1b</b>		<b>No</b>
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>		<b>No</b>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation <input type="checkbox"/> \$ 0 <b>(2)</b> On foundation managers <input type="checkbox"/> \$ 0			
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0			
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	<b>2</b>		<b>No</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>		<b>No</b>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>		<b>No</b>
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	<b>5</b>		<b>No</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>		<b>No</b>
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	<b>7</b>	<b>Yes</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT			
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	<b>8b</b>	<b>Yes</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>		<b>No</b>
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	<b>10</b>		<b>No</b>

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No		
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No		
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►WWW.SBMFOUNDATION.ORG	13	Yes			
14	The books are in care of ►DOREEN DOWNHAM Telephone no ►(860) 533-0355 Located at ►935 MAIN STREET SUITE B-101 LEVEL C MANCHESTER CT ZIP +4 ►06040					
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ► <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ► <table><tr><td>15</td><td></td></tr></table>	15				
15						
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ►	16	Yes	No		

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ► <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?. . . . .	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	2b		
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> ). . . . .	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

5b

If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

0

Form 990-PF (2013)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
PRIME BUCHHOLZ & ASSOCIATES INC 273 CORPORATE DR 250 PORTSMOUTH,NH 03801	INVESTMENT MANAGEMENT	75,000
Total number of others receiving over \$50,000 for professional services. . . . .		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE FOUNDATION PROVIDES GRANTS AND SCHOLARSHIPS TO ORGANIZATIONS AND INDIVIDUALS THAT MEET THE ORGANIZATION'S EXEMPT PURPOSE CRITERIA	2,377,404
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . .	0



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	38,664,278
b	Average of monthly cash balances. . . . .	1b	147,088
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	38,811,366
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	38,811,366
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	582,170
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	38,229,196
6	Minimum investment return. Enter 5% of line 5. . . . .	6	1,911,460

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	1,911,460
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	12,349
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	12,349
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	1,899,111
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	1,899,111
6	Deduction from distributable amount (see instructions). . . . .	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	1,899,111

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	2,377,404
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,377,404
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	12,349
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	2,365,055
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,899,111
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only. . . . .			232,567	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008. . . . .				
b From 2009. . . . .				
c From 2010. . . . .				
d From 2011. . . . .				
e From 2012. . . . .				
f Total of lines 3a through e. . . . .	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 2,377,404				
a Applied to 2012, but not more than line 2a			232,567	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
c Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
d Applied to 2013 distributable amount. . . . .				1,899,111
e Remaining amount distributed out of corpus	245,726			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	245,726			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	245,726			
10 Analysis of line 9				
a Excess from 2009. . . . .				
b Excess from 2010. . . . .				
c Excess from 2011. . . . .				
d Excess from 2012. . . . .				
e Excess from 2013. . . . .	245,726			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

KELLEY GUNTHER FOUNDATION AND SCHOL  
935 MAIN STREET SUITE B-101 LEVEL C  
MANCHESTER,CT 06040  
(860) 533-1067

b

The form in which applications should be submitted and information and materials they should include

COMPLETION OF THE "REQUEST FOR GRANT" FORM LOCATED ON THE ORGANIZATION'S WEB SITE

c

Any submission deadlines

SCHOLARSHIPS ARE ACCEPTED FROM JANUARY THROUGH MARCH FOR THE FOLLOWING FALL SEMESTER

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GRANTS AND SCHOLARSHIPS LIMITED TO THE GEOGRAPHICAL AREAS INDICATED ON THE WEB SITE

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	2,109,543
b Approved for future payment See Additional Data Table				
Total . . . . .			3b	635,000

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
CITY OF LONDON	P		
US BANK			
ARCHSTONE	P		
FORESTER CAPITAL	P		
WELLINGTON TRUST COMPANY	P		
AEW	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
873,528		1,000,000	-126,472
2,568,520		2,504,931	63,589
1,350,000		990,868	359,132
1,250,000		866,209	383,791
2,878,623		2,982,626	-104,003
51,809			51,809




Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-126,472
			63,589
			359,132
			383,791
			-104,003
			51,809


Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LAURENCE P RUBINOW	CHAIRMAN 0 50	0	0	0
935 MAIN STREET LEVEL C SUITE B-101 MANCHESTER, CT 06040				
RICHARD P MEDUSKI	PRESIDENT 0 50	0	0	0
935 MAIN STREET LEVEL C SUITE B-101 MANCHESTER, CT 06040				
BRIAN A ORENSTEIN	TREASURER 0 50	0	0	0
935 MAIN STREET LEVEL C SUITE B-101 MANCHESTER, CT 06040				
DOUGLAS K ANDERSON	VICE PRESIDENT 0 50	0	0	0
935 MAIN STREET LEVEL C SUITE B-101 MANCHESTER, CT 06040				
SHEILA B FLANAGAN	TRUSTEE 0 50	0	0	0
935 MAIN STREET LEVEL C SUITE B-101 MANCHESTER, CT 06040				
TIMOTHY MOYNIHAN	TRUSTEE 0 50	0	0	0
935 MAIN STREET LEVEL C SUITE B-101 MANCHESTER, CT 06040				
TIMOTHY J DEVANNEY	TRUSTEE 0 50	0	0	0
935 MAIN STREET LEVEL C SUITE B-101 MANCHESTER, CT 06040				
JOHN D LABELLE JR	TRUSTEE 0 50	0	0	0
935 MAIN STREET LEVEL C SUITE B-101 MANCHESTER, CT 06040				
JON L NORRIS	TRUSTEE 0 50	0	0	0
935 MAIN STREET LEVEL C SUITE B-101 MANCHESTER, CT 06040				
GREGORY S WOLFF	TRUSTEE 0 50	0	0	0
935 MAIN STREET LEVEL C SUITE B-101 MANCHESTER, CT 06040				
MICHAEL J HARTL	TRUSTEE 0 50	0	0	0
935 MAIN STREET LEVEL C SUITE B-101 MANCHESTER, CT 06040				
LINDA S KLEIN	TRUSTEE 0 50	0	0	0
935 MAIN STREET LEVEL C SUITE B-101 MANCHESTER, CT 06040				
RICHARD SUSKI	TRUSTEE 0 50	0	0	0
935 MAIN STREET LEVEL C SUITE B-101 MANCHESTER, CT 06040				
CHARLES L PIKE	VICE PRESIDENT 0 50	0	0	0
935 MAIN STREET LEVEL C SUITE B-101 MANCHESTER, CT 06040				
DOREEN DOWNHAM	EX DIR/SECRETARY 40 00	80,493	4,138	0
935 MAIN STREET LEVEL C SUITE B-101 MANCHESTER, CT 06040				
HARRY S GAUCHER	TRUSTEE 0 50	0	0	0
935 MAIN STREET LEVEL C SUITE B-101 MANCHESTER, CT 06040				


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
AHM YOUTH SERVICES INC 25 PENDLETON DRIVE HEBRON,CT 06082			GENERAL USE GRANT	4,700
ALTRUSA INTERNATIONAL INC 332 S MICHIGAN AVE CHICAGO,IL 60604			GENERAL USE GRANT	4,500
ARTISTS IN REAL TIME INC PO BOX 1138 HARTFORD,CT 06143			GENERAL USE GRANT	2,000
CENTER CHURCH CAMP ASTO WAMAH 42WRT 87 COLUMBIA,CT 06237			GENERAL USE GRANT	2,000
CONNECTICUT COMMUNITY CARE INC 43 ENTERPRISE DRIVE BRISTOL,CT 06101			GENERAL USE GRANT	750
CONNECTICUT WOMEN'S HALL OF FAME 320 FITCH STREET NEW HAVEN,CT 065151355			GENERAL USE GRANT	1,000
BOY SCOUTS OF AMERICA CRC 60 DARLIN ST PO BOX EAST HARTFORD,CT 061072105			GENERAL USE GRANT	40,000
EAST COAST GREENWAY CONNECTICUT COMM 525 GARDNER STREET MANCHESTER,CT 06040			GENERAL USE GRANT	4,450
EAST HARTFORD SCHOOL BUSINESS PARTNERSHIP 734 TOLLAND STREET EAST HARTFORD,CT 06108			GENERAL USE GRANT	2,500
CAMP CARE INC 106 ROUTE 66 COLUMBIA,CT 06266			GENERAL USE GRANT	5,000
CATHOLIC CHARITIES 331 MAIN STREET NORWICH,CT 06360			GENERAL USE GRANT	5,000
EDUCATION RESOURCES FOR CHILDREN INC 50 POST OFFICE RD ENFIELD,CT 06082			GENERAL USE GRANT	1,000
CENTRAL CONNECTICUT STATE UNIVERSITY 1615 STANLEY ST NEW BRITAIN,CT 060504010			SCHOLARSHIPS	18,500
CHILDREN'S LAW CENTER 30 ARBOR STREET NORTH HARTFORD,CT 061191437			GENERAL USE GRANT	18,000
COMMUNITY CHILD GUIDANCE CLINIC 317 NORTH MAIN STREET MANCHESTER,CT 06042			GENERAL USE GRANT	25,000
<b>Total . . . . .</b>  <b>3a</b>				2,109,543

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
CONCORACONNECTICUT CHORAL ARTISTS INC 233 PEAR STREET HARTFORD,CT 06103			GENERAL USE GRANT	5,000
CONNECTICUT COLLEGE 270 MOHEGAN AVENUE NEWLONDON,CT 06320			SCHOLARSHIPS	2,000
CONNECTICUT AUDUBON SOCIETY 1316 MAIN STREET GLASTONBURY,CT 06106			GENERAL USE GRANT	53,764
CONNECTICUT COUNCIL FOR PHILANTHROPY 221 MAIN STREET HARTFORD,CT 06106			GENERAL USE GRANT	3,900
CONNECTICUT FARMLAND TRUST 77 BUCKINGHAM STREET HARTFORD,CT 06238			GENERAL USE GRANT	4,000
CONNECTICUT LANDMARKS 2299 SOUTH STREET COVENTRY,CT 06103			GENERAL USE GRANT	50,000
CONNECTICUT PUBLIC BROADCASTING NETWORK 1049 ASYLUM AVE HARTFORD,CT 06103			GENERAL USE GRANT	5,000
CONNECTICUT RADIO INFORMATION SYSTEMS 515 NORTH EAGLEVILLE ROAD STORRS,CT 06268			GENERAL USE GRANT	500
CONNECTICUT SCIENCE CENTER 250 COLUMBUS BLVD HARTFORD,CT 06106			GENERAL USE GRANT	103,000
CONNECTICUT STORYTELLING CENTER 270 MOHEGAN AVENUE NEWLONDON,CT 06320			GENERAL USE GRANT	5,000
CONNECTICUT YOUTH LEADERSHIP PROJECT INC 202 HIGHWOOD DRIVE SOUTH GLASTONBURY,CT 060661436			GENERAL USE GRANT	2,000
CORNERSTONE SOUP KITCHEN PO BOX 2036 ROCKVILLE,CT 06002			GENERAL USE GRANT	3,500
COVENANT SOUP KITCHEN 220 VALLEY STREET WILLIMANTIC,CT 06226			GENERAL USE GRANT	5,000
COVENANT TO CARE FOR CHILDREN 120 MOUNTAIN AVENUE BLOOMFIELD,CT 06238			GENERAL USE GRANT	3,000
DANCES WITH WOOD INC 80 OLDE ORCHARD ROAD CLINTON,CT 06413			GENERAL USE GRANT	3,000
Total . . . . .  3a				2,109,543


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
DOWNTOWN MANCHESTER SPECIAL SERVDISTRICT 983 MAIN STREET SUITE 10 MANCHESTER,CT 06108			GENERAL USE GRANT	1,000
EAST HARTFORD CHILDPLAN INC 50 CHAPMAN PLACE EAST HARTFORD,CT 06095			GENERAL USE GRANT	5,292
GLASTONBURY BOARD OF EDUCATION 628 HEBRON AVE GLASTONBURY,CT 06033			GENERAL USE GRANT	5,000
HARTFORD SYMPHONY ORCHESTRA 100 PEARL STREET 2ND FLOOR EAST TOWER HARTFORD,CT 06106			GENERAL USE GRANT	12,000
EASTERN CONNECTICUT STATE UNIVERSITY 83 WINDHAM STREET WILLIMANTIC,CT 06040			SCHOLARSHIPS	19,100
EASTERN CONNECTICUT STATE UNIVERSITY 83 WINDHAM STREET WILLIMANTIC,CT 06040			GENERAL USE GRANT	50,000
EASTERN CT STATE UNIVERSITY FOUNDATION 83 WINDHAM STREET WILLIMANTIC,CT 06040			SCHOLARSHIPS	13,186
ECHN COMMUNITY HEALTHCARE FOUNDATION INC 71 HAYNES STREET MANCHESTER,CT 060830544			GENERAL USE GRANT	5,000
FAIRFIELD UNIVERSITY 1073 N BENSON RD FAIRFIELD,CT 064305195			SCHOLARSHIPS	1,000
FIDELCO GUIDE DOG FOUNDATION 103 OLD IRON ORE ROAD BLOOMFIELD,CT 06002			GENERAL USE GRANT	500
FOODSHARE 450 WOODLAND AVE BLOOMFIELD,CT 06226			GENERAL USE GRANT	11,500
MANCHESTER COMMUNITY COLLEGE GREAT PATH - PO BOX 1046 MANCHESTER,CT 06040			GENERAL USE GRANT	1,000
GENERATIONS FAMILY HEALTH CENTER INC 1315 MAIN STREET WILLIMANTIC,CT 06033			GENERAL USE GRANT	43,750
GIRL SCOUTS OF CT 340 WASHINGTON STREET HARTFORD,CT 06106			GENERAL USE GRANT	43,189
GLASTONBURY COUNCIL OF PTO 305 MAY ROAD EAST HARTFORD,CT 06118			GENERAL USE GRANT	500
<b>Total . . . . .</b>  <b>3a</b>				2,109,543

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
GOODSPEED MUSICALS 6 MAIN STREET PO BOX A EAST HADDAM,CT 06117			GENERAL USE GRANT	30,000
HANDS ON HARTFORD 330 MAIN STREET 3RD FLOOR HARTFORD,CT 06106			GENERAL USE GRANT	5,000
HARTFORD ART SCHOOL 200 BLOOMFIELD AVE WEST HARTFORD,CT 06103			GENERAL USE GRANT	17,488
HARTFORD CONSORTIUM FOR HIGHER EDUCATION 95 MAIN STREET SUITE 314 HARTFORD,CT 06103			GENERAL USE GRANT	5,000
HARTFORD GAY & LESBIAN HEALTH COLLECTIVE 1841 BROAD STREET HARTFORD,CT 06114			GENERAL USE GRANT	5,000
NUTMEG BIG BROTHERS BIG SISTERS 30 LAUREL STREET SUITE 3 HARTFORD,CT 06106			GENERAL USE GRANT	3,000
HARTFORD JEWISH FEDERATION 335 BLOOMFIELD AVE SUITE C WEST HARTFORD,CT 06117			GENERAL USE GRANT	10,000
HARTFORD STAGE COMPANY 50 CHURCH STREET HARTFORD,CT 061031629			GENERAL USE GRANT	69,439
HARTFORD'S CAMP COURANT 285 BROAD STREET HARTFORD,CT 06032			GENERAL USE GRANT	15,000
HILL-STEAD MUSEUM 35 MOUNTAIN RD FARMINGTON,CT 06002			GENERAL USE GRANT	27,500
HOCKANUM VALLEY COMMUNITY COUNCIL INC 155 WEST MAIN STREET VERNON,CT 06226			GENERAL USE GRANT	39,700
HOLY FAMILY SHELTER PO BOX 884 WILLIMANTIC,CT 06002			GENERAL USE GRANT	5,000
HORIZON'S INC 127 BABCOCK HILL RD SOUTH WINDHAM,CT 06266			GENERAL USE GRANT	74,264
INTERVAL HOUSE PO BOX 340207 HARTFORD,CT 06117			GENERAL USE GRANT	5,000
OPERA NEW ENGLAND OF NE CT INC PO BOX 75 POMFRET,CT 06258			GENERAL USE GRANT	2,000
<b>Total . . . . .</b> ▶ <b>3a</b>				2,109,543

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment


Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
JUNIOR ACHIEVEMENT OF SOUTHWEST NEW ENGLAND INC 11 ASYLUM STREET SUITE 601 HARTFORD, CT 06103			GENERAL USE GRANT	9,518
KIDSAFE CT 19 ELM ST ROCKVILLE, CT 06066			GENERAL USE GRANT	15,000
LAWYERS FOR CHILDREN AMERICA 151 FARMINGTON AVE HARTFORD, CT 06040			GENERAL USE GRANT	2,500
MALTA HOUSE OF CARE FOUNDATION 1 STATE STREET SUITE HARTFORD, CT 060453084			GENERAL USE GRANT	20,000
MANCHESTER AREA CONFERENCE OF CHURCHES 466 MAIN STREET MANCHESTER, CT 06045			GENERAL USE GRANT	30,000
MANCHESTER BOARD OF EDUCATION 45 NORTH SCHOOL STREET MANCHESTER, CT 06040			GENERAL USE GRANT	2,165
MANCHESTER COMMUNITY COLLEGE GREAT PATH - PO BOX 1046 MANCHESTER, CT 060401046			SCHOLARSHIPS	2,000
MANCHESTER COMMUNITY COLLEGE FOUNDATION GREAT PATHS MS 6 PO MANCHESTER, CT 06042			GENERAL USE GRANT	50,000
MANCHESTER REGIONAL POLICE FIRE PIPEBAND 71 LINDWOOD DRIVE EAST HARTFORD, CT 06108			GENERAL USE GRANT	4,000
MANCHESTER RING OF CHAMPIONS SOCIETY 52 MAIN STREET MANCHESTER, CT 06042			GENERAL USE GRANT	500
MANCHESTER ROAD RACE 41 CENTER STREET MANCHESTER, CT 06040			GENERAL USE GRANT	1,000
MANCHESTER SYMPHONY ORCHESTRA AND CHORALE PO BOX 861 MANCHESTER, CT 06040			GENERAL USE GRANT	5,000
MARC INC OF MANCHESTER 376R WEST MIDDLE TPKE MANCHESTER, CT 060451373			GENERAL USE GRANT	25,000
MAYOR'S PROGRAM FOR MANCHESTER CHILDREN PO BOX 1373 MANCHESTER, CT 06045			GENERAL USE GRANT	12,500
MERCY HOUSING AND SHELTER CORPORATION 211 WETHERSFIELD AVE HARTFORD, CT 06033			GENERAL USE GRANT	5,000
<b>Total . . . . .</b>  <b>3a</b>				2,109,543

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MY SISTERS' PLACE 102 PLINY STREET HARTFORD,CT 06120			GENERAL USE GRANT	32,500
NATIONAL CONFERENCE FOR COMM & JUSTICE 1095 DAY HILL ROAD SUITE 100 WINDSOR,CT 06095			GENERAL USE GRANT	2,000
NEW BRITAIN MUSEUM OF AMERICAN ART 56 LEXINGTON STREET NEW BRITAIN,CT 06050			GENERAL USE GRANT	15,000
NEW ENGLAND AIR MUSEUM 36 PERIMETER RD WINDSOR LOCKS,CT 06096			GENERAL USE GRANT	25,000
NUTMEG STATE GAMES 290 ROBERTS STREET EAST HARTFORD,CT 06108			GENERAL USE GRANT	2,500
OPEN HEARTH ASSOCIATION PO BOX 1077 HARTFORD,CT 06226			GENERAL USE GRANT	30,000
PATHFINDERS ASSOC OF MANCHESTER INC 102 NORMAN STREET MANCHESTER,CT 06040			GENERAL USE GRANT	64,000
QUINEBAUG VALLEY COMMUNITY COLLEGE 729 MAIN STREET WILLIMANTIC,CT 06226			SCHOLARSHIPS	1,500
QUINNIPIAC UNIVERSITY 27 MOUNT CARMEL AVENUE HAMDEN,CT 065181908			SCHOLARSHIPS	6,500
READ TO GROW INC 53 SCHOOL GROUND RD BRANFORD,CT 06450			GENERAL USE GRANT	9,000
REBUILDING TOGETHER - MANCHESTER 41 CENTER STREET PO BOX MANCHESTER,CT 06040			GENERAL USE GRANT	50,000
RIVERFRONT RECAPTURE INC 50 COLUMBUS BLVD HARTFORD,CT 06106			GENERAL USE GRANT	5,000
SACRED HEART UNIVERSITY 5151 PARK AVE FAIRFIELD,CT 064321000			SCHOLARSHIPS	2,500
SALVATION ARMY 855 ASYLUM AVE HARTFORD,CT 06066			GENERAL USE GRANT	4,000
SAXTON B LITTLE FREE LIBRARY INC 319 CONNECTICUT 87 COLUMBIA,CT 06237			GENERAL USE GRANT	5,000
<b>Total . . . . .</b> ▶ <b>3a</b>				2,109,543




Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
SEA RESEARCH FOUNDATION 55 COOGAN BLVD MYSTIC,CT 06355			GENERAL USE GRANT	20,450
SOUTH WINDSOR CULTURAL ARTS PO BOX 401 SOUTH WINDSOR,CT 06074			GENERAL USE GRANT	500
SOUTH WINDSOR HISTORICAL SOCIETY PO BOX 216 SOUTH WINDSOR,CT 06074			GENERAL USE GRANT	500
SOUTHERN CONNECTICUT STATE UNIVERSITY 501 CRESCENT ST NEW HAVEN,CT 065151355			SCHOLARSHIPS	4,900
TEAM PARAGON ROBOTICS INC PO BOX 356 WINDSOR,CT 06095			GENERAL USE GRANT	500
ST FRANCIS HOSPITAL & MEDICAL CENTER 114 WOODLAND STREET HARTFORD,CT 06105			GENERAL USE GRANT	45,000
THE BRIDGE FAMILY CENTER INC 1022 FARMINGTON AVENUE WEST HARTFORD,CT 060451268			GENERAL USE GRANT	3,000
THE CHILDREN'S MUSEUM 950 TROUT BROOK DR WEST HARTFORD,CT 06095			GENERAL USE GRANT	20,000
THE DISCOVERY CENTER INC 790 FARMINGTON AVE FARMINGTON,CT 06030			GENERAL USE GRANT	27,030
UCONN DIVISION OF ATHLETICS 2390 ALUMNI DRIVE UNIT 3206 STORRS,CT 06269			GENERAL USE GRANT	125,000
TOWN OF MANCHESTER 41 CENTER STREET MANCHESTER,CT 06040			GENERAL USE GRANT	10,000
TOWN OF MANSFIELD 41 CENTER STREET STORRSMANSFIELD,CT 06268			GENERAL USE GRANT	500
TOWN OF SOUTH WINDSOR 1540 SULLIVAN AVE SOUTH WINDSOR,CT 06074			GENERAL USE GRANT	1,500
TOWN OF VERNON 14 PARK PLACE VERNON ROCKVILLE,CT 06066			GENERAL USE GRANT	800
WINDHAM HOSPITAL FOUNDATION 112 MANSFIELD AVE WILLIMANTIC,CT 06226			GENERAL USE GRANT	28,731
<b>Total . . . . .</b>  <b>3a</b>				2,109,543

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
UNIVERSITY OF CONNECTICUT 2131 HILLSIDE RD - UNIT 3088 STORRS,CT 062693088			SCHOLARSHIPS	71,700
UNIVERSITY OF CONNECTICUT FOUNDATION 2131 HILLSIDE ROAD STORRS,CT 06269			GENERAL USE GRANT	75,000
UNIVERSITY OF CT ATHLETIC DEVELOPMENT FUND 2111 HILLSIDE RD UNIT 3078 STORRS,CT 06269			GENERAL USE GRANT	50,000
UNIVERSITY OF HARTFORD 200 BLOOMFIELD AVE WEST HARTFORD,CT 061171599			SCHOLARSHIPS	9,250
UNIVERSITY OF HARTFORD 200 BLOOMFIELD AVE WEST HARTFORD,CT 061171599			GENERAL USE GRANT	2,000
UNIVERSITY OF NEW HAVEN 300 BOSTON POST ROAD WEST HAVEN,CT 06516			SCHOLARSHIPS	6,500
UNIVERSITY OF SAINT JOSEPH 1678 ASYLUM AVENUE WEST HARTFORD,CT 06117			SCHOLARSHIPS	17,750
VERNON BOARD OF EDUCATION 30 PARK STREET VERNON ROCKVILLE,CT 06066			GENERAL USE GRANT	500
VILLAGE FOR FAMILIES AND CHILDREN 1680 ALBANY AVENUE HARTFORD,CT 06105			GENERAL USE GRANT	5,000
VISITING NURSE & HEALTH SVC OF CT 8 KEYNOTE DRIVE VERNON,CT 06066			GENERAL USE GRANT	87,050
YWCA HARTFORD REGION 160 JEWEL STREET HARTFORD,CT 06103			GENERAL USE GRANT	2,000
WESLEYAN UNIVERSITY 70 WYLLYS AVENUE MIDDLETOWN,CT 06459			SCHOLARSHIPS	4,000
WESTERN CONNECTICUT STATE UNIVERSITY 181 WHITE STREET DANBURY,CT 06810			SCHOLARSHIPS	2,500
WINDHAM AREA INTERFAITH MINISTRY 866 MAIN STREET WILLIMANTIC,CT 06226			GENERAL USE GRANT	5,000
WINDHAM NO FREEZE HOSPITALITY CENTER PO BOX 46 WILLIMANTIC,CT 06226			GENERAL USE GRANT	5,000
<b>Total . . . . .</b>			<b>3a</b>	2,109,543

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
WINDHAM REGIONAL ARTS COUNCIL PO BOX 847 WILLIMANTIC,CT 06226			GENERAL USE GRANT	1,771
WINDHAM REGIONAL COMMUNITY COUNCIL 872 MAIN STREET WILLIMANTIC,CT 06226			GENERAL USE GRANT	4,256
WOOD MEMORIAL LIBRARY 783 MAIN STREET SOUTH WINDSOR,CT 06074			GENERAL USE GRANT	5,000
YALE UNIVERSITY 246 CHURCH STREET NEW HAVEN,CT 06520			SCHOLARSHIPS	14,000
YMCA OF GREATER HARTFORD 160 JEWELL STREET HARTFORD,CT 06103			GENERAL USE GRANT	100,000
ASNUNTUCK COMMUNITY COLLEGE 170 ELM STREET ENFIELD,CT 06082			SCHOLARSHIPS	500
THREE RIVERS COMMUNITY COLLEGE 574 NEW LONDON TNPk NORWICH,CT 06360			SCHOLARSHIPS	2,000
TRINITY COLLEGE 300 SUMMIT STREET HARTFORD,CT 06106			SCHOLARSHIPS	1,200
HARC 900 ASYLUM AVE HARTFORD,CT 06105			GENERAL USE GRANT	2,000
<b>Total . . . . .</b>  <b>3a</b>				2,109,543

## TY 2013 Accounting Fees Schedule

**Name:** SBM CHARITABLE FOUNDATION INC

**EIN:** 06-1574365

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	8,675	3,000		4,675

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2013 Depreciation Schedule**

**Name:** SBM CHARITABLE FOUNDATION INC

**EIN:** 06-1574365

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
BUILDING	2004-04-01	158,400	34,980		0 %	0	0		
OFFICE EQUIPMENT	2004-04-01	18,777	13,137		0 %	0	0		
FURNITURE AND FIXTURES	2004-04-01	16,232	11,203		0 %	0	0		

## TY 2013 Investments - Other Schedule

**Name:** SBM CHARITABLE FOUNDATION INC

**EIN:** 06-1574365

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FIXED INCOME FUNDS	FMV	5,483,664	5,483,664
EQUITY FUNDS	FMV	20,231,366	20,231,366
OTHER INVESTMENTS	FMV	11,137,348	11,137,348

**TY 2013 Land, Etc. Schedule****Name:** SBM CHARITABLE FOUNDATION INC**EIN:** 06-1574365

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
BUILDINGS	158,400	34,980	123,420	
FURNITURE & FIXTURES	16,232	11,203	5,029	
MACHINERY & OTHER EQUIPMENT	18,777	13,137	5,640	

**TY 2013 Other Expenses Schedule****Name:** SBM CHARITABLE FOUNDATION INC**EIN:** 06-1574365

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	6,678	0		6,678
OFFICE EXPENSE	15,174	0		15,174
INVESTMENT FEES	141,131	141,131		0
MISCELLANEOUS	5,409	0		5,409
EDUCATION	409	0		409



TY 2013 Other Increases Schedule

**Name:** SBM CHARITABLE FOUNDATION INC

**EIN:** 06-1574365

Description	Amount
UNREALIZED GAINS ON INVESTMENTS	3,361,365

**TY 2013 Other Professional Fees Schedule****Name:** SBM CHARITABLE FOUNDATION INC**EIN:** 06-1574365

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES	9,739	0		9,739
OTHER CONSULTING	0	0		0

## TY 2013 Taxes Schedule

**Name:** SBM CHARITABLE FOUNDATION INC

**EIN:** 06-1574365

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	12,305	0		0