



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



# Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury  
Internal Revenue Service

- Do not enter Social Security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2013, or tax year beginning , 2013, and ending

Richard D. Donchian Charitable  
Foundation, Inc.  
c/o FCSN 1700 East Putnam Avenue #406  
Old Greenwich, CT 06870

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☒ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)  
\$ 9,841,305.  
J Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify) (Part I, column (d) must be on cash basis.)

A Employer identification number  
06-1514402  
B Telephone number (see the instructions)  
203-661-1000  
C If exemption application is pending, check here ☐  
D 1 Foreign organizations, check here ☐  
2 Foreign organizations meeting the 85% test, check here and attach computation ☐  
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐  
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc. received (att sch)			
2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B			
3 Interest on savings and temporary cash investments		N/A	
4 Dividends and interest from securities	233,173.	233,173.	
5a Gross rents			
b Net rental income or (loss)			
6a Net gain/(loss) from sale of assets not on line 10	235,969.		
b Gross sales price for all assets on line 6a		235,969.	
7 Capital gain net income (from Part IV, line 2)			
8 Net short-term capital gain			
9 Income modifications			
10a Gross sales less returns and allowances			
b Less cost of goods sold			
c Gross profit/(loss) (att sch)			
11 Other income (attach schedule)	1,744.	46.	
12 Total. Add lines 1 through 11	470,886.	469,188.	
13 Compensation of officers, directors, trustees, etc.	103,625.	15,544.	88,081.
14 Other employee salaries and wages			
15 Pension plans, employee benefits			
16a Legal fees (attach schedule) See St 2	2,598.	2,598.	
b Accounting fees (attach sch)			
c Other prof fees (attach sch) See St 3	70,812.	68,937.	1,875.
17 Interest			
18 Taxes (attach schedule)(see instrs) See Stm 4	12,216.		
19 Depreciation (attach sch) and depletion			
20 Occupancy			
21 Travel, conferences, and meetings			
22 Printing and publications			
23 Other expenses (attach schedule) See Statement 5	3,407.	65.	2,484.
24 Total operating and administrative expenses. Add lines 13 through 23	192,658.	87,144.	92,440.
25 Contributions, gifts, grants paid Part XV	423,500.		423,500.
26 Total expenses and disbursements. Add lines 24 and 25	616,158.	87,144.	515,940.
27 Subtract line 26 from line 12:			
a Excess of revenue over expenses and disbursements	-145,272.		
b Net investment income (if negative, enter -0-)		382,044.	
c Adjusted net income (if negative, enter -0-)			

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	577,346.	425,900.	425,900.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)	166,703.	168,401.	195,411.
	b Investments — corporate stock (attach schedule)	4,662,474.	4,980,830.	7,576,042.
	c Investments — corporate bonds (attach schedule)	2,045,127.	1,731,246.	1,643,952.
	11 Investments — land, buildings, and equipment: basis			
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)				
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe ▶ See Statement 6 )		1.		
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	7,451,650.	7,306,378.	9,841,305.	
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ )			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
NET FUND ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	7,451,650.	7,306,378.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	7,451,650.	7,306,378.		
31 Total liabilities and net assets/fund balances (see instructions)	7,451,650.	7,306,378.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,451,650.
2 Enter amount from Part I, line 27a	2	-145,272.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	7,306,378.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	7,306,378.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a Increases on Sales per Schedule A-2 (Exhibit 5)		P	Various	Various
b Decreases on Sales per Schedule B-1 (Exhibit 6)		P	Various	Various
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 931,734.		635,509.	296,225.
b 513,684.		573,940.	-60,256.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			296,225.
b			-60,256.
c			
d			
e			

2 Capital gain net income or (net capital loss)	[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]		2	235,969.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	[ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 ]		3	0.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	518,806.	8,847,069.	0.058642
2011	546,652.	8,864,997.	0.061664
2010	522,482.	8,303,675.	0.062922
2009	501,565.	7,493,842.	0.066930
2008	537,210.	9,254,486.	0.058049

2 Total of line 1, column (d)	2	0.308207
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.061641
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	9,312,850.
5 Multiply line 4 by line 3	5	574,053.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,820.
7 Add lines 5 and 6	7	577,873.
8 Enter qualifying distributions from Part XII, line 4	8	515,940.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

BAA

Form 990-PF (2013)

**Part VI Excise Tax Based on Investment Income** (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	7,641.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	7,641.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	7,641.
6 Credits/Payments:			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	9,472.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	9,472.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,831.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax 1,831. Refunded	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CT		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

BAA

Form 990-PF (2013)

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>www.rddonchian.org</u>	13	X	
14	The books are in care of <u>Fogarty Cohen Selby &amp; Nemiroff</u> Telephone no <u>203-661-1000</u> Located at <u>1700 East Putnam Avenue, Suite 406 Old Green</u> ZIP + 4 <u>06870</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country	16		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1 b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

BAA

Form 990-PF (2013)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Geoffrey M. Parkinson c/o FCSN 1700 East Putnam Ave Old Greenwich, CT 06870	Pres/Directo 5.00	46,875.	0.	0.
Leland C. Selby c/o FCSN 1700 East Putnam Ave Old Greenwich, CT 06870	Sec/Treas/Di 5.00	33,000.	0.	0.
Geoffrey M. Parkinson, Jr. c/o FCSN 1700 East Putnam Ave Old Greenwich, CT 06870	Director 4.00	23,750.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0





**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b> Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b> Average monthly fair market value of securities	<b>1 a</b>	8,819,277.
<b>b</b> Average of monthly cash balances	<b>1 b</b>	635,393.
<b>c</b> Fair market value of all other assets (see instructions)	<b>1 c</b>	
<b>d Total</b> (add lines 1a, b, and c)	<b>1 d</b>	9,454,670.
<b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1 e</b>	0.
<b>2</b> Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b> Subtract line 2 from line 1d	<b>3</b>	9,454,670.
<b>4</b> Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	141,820.
<b>5 Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	9,312,850.
<b>6 Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	465,643.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b> Minimum investment return from Part X, line 6		<b>1</b>	465,643.
<b>2 a</b> Tax on investment income for 2013 from Part VI, line 5	<b>2 a</b>	7,641.	
<b>b</b> Income tax for 2013. (This does not include the tax from Part VI)	<b>2 b</b>		
<b>c</b> Add lines 2a and 2b	<b>2 c</b>	7,641.	
<b>3</b> Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	458,002.	
<b>4</b> Recoveries of amounts treated as qualifying distributions	<b>4</b>		
<b>5</b> Add lines 3 and 4	<b>5</b>	458,002.	
<b>6</b> Deduction from distributable amount (see instructions)	<b>6</b>		
<b>7 Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	458,002.	

**Part XII** Qualifying Distributions (see instructions)

<b>1</b> Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b> Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	<b>1 a</b>	515,940.
<b>b</b> Program-related investments — total from Part IX-B	<b>1 b</b>	
<b>2</b> Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b> Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b> Suitability test (prior IRS approval required)	<b>3 a</b>	
<b>b</b> Cash distribution test (attach the required schedule)	<b>3 b</b>	
<b>4 Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	515,940.
<b>5</b> Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>6 Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	515,940.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

BAA

Form 990-PF (2013)

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				458,002.
<b>2</b> Undistributed income, if any, as of the end of 2013:				
<b>a</b> Enter amount for 2012 only			0.	
<b>b</b> Total for prior years: 20____, 20____, 20____		0.		
<b>3</b> Excess distributions carryover, if any, to 2013				
<b>a</b> From 2008	82,916.			
<b>b</b> From 2009	130,593.			
<b>c</b> From 2010	115,162.			
<b>d</b> From 2011	116,856.			
<b>e</b> From 2012	85,925.			
<b>f</b> Total of lines 3a through e	531,452.			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 515,940.				
<b>a</b> Applied to 2012, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required — see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required — see instructions)	0.			
<b>d</b> Applied to 2013 distributable amount				458,002.
<b>e</b> Remaining amount distributed out of corpus	57,938.			
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	589,390.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b Taxable amount — see instructions		0.		
<b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
<b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	82,916.			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	506,474.			
<b>10</b> Analysis of line 9.				
<b>a</b> Excess from 2009	130,593.			
<b>b</b> Excess from 2010	115,162.			
<b>c</b> Excess from 2011	116,856.			
<b>d</b> Excess from 2012	85,925.			
<b>e</b> Excess from 2013	57,938.			



**Part VII Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> See attached Schedule B-3 (Exhibit 7)			see attached	423,500.
<b>Total</b>			<b>3 a</b>	423,500.
<i>b Approved for future payment</i> See Statement 8				
<b>Total</b>			<b>3 b</b>	70,000.





2013

## Federal Statements

Page 1

Client RDONCHIA

Richard D. Donchian Charitable  
Foundation, Inc.

06-1514402

5/15/14

12 40PM

Statement 1  
Form 990-PF, Part I, Line 11  
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Class Action Proceeds	\$ 46.	\$ 46.	
USTreas Infl Indx	1,698.		
Total	<u>\$ 1,744.</u>	<u>\$ 46.</u>	<u>\$ 0.</u>

Statement 2  
Form 990-PF, Part I, Line 16a  
Legal Fees

	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Fogarty Cohen Selby & Nemiroff LLC	\$ 2,598.	\$ 2,598.		
Total	<u>\$ 2,598.</u>	<u>\$ 2,598.</u>		<u>\$ 0.</u>

Statement 3  
Form 990-PF, Part I, Line 16c  
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
BNY Affiliated Funds Adjustment	\$ 73.	\$ 73.		
BNY Investment Advisory Fees	66,989.	66,989.		
Foundation Services LLC	3,750.	1,875.		\$ 1,875.
Total	<u>\$ 70,812.</u>	<u>\$ 68,937.</u>		<u>\$ 1,875.</u>

Statement 4  
Form 990-PF, Part I, Line 18  
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
2012 balance due	\$ 2,744.			
2013 1st quarter	2,368.			
2013 2nd quarter	2,368.			
2013 3rd quarter	2,368.			
2013 4th quarter	2,368.			
Total	<u>\$ 12,216.</u>	<u>\$ 0.</u>		<u>\$ 0.</u>

2013

## Federal Statements

Page 2

Client RDONCHIA

Richard D. Donchian Charitable  
Foundation, Inc.

06-1514402

5/15/14

12:40PM

Statement 5  
Form 990-PF, Part I, Line 23  
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Assoc. of Small Foundations	\$ 725.			
FCS&N - disbursements	83.			
Hagendoorn & Emond Ins. - D&O Ins	2,484.			\$ 2,484.
Lacerte - computer fee for tax prep				
	65.	\$ 65.		
Secretary of State - annual report	50.			
Total	<u>\$ 3,407.</u>	<u>\$ 65.</u>		<u>\$ 2,484.</u>

Statement 6  
Form 990-PF, Part II, Line 10a  
Investments - U.S. and State Government Obligations

<u>U.S. Government Obligations</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Government Bonds (Exhibit 4)	Mkt Val	\$ 168,401.	\$ 195,411.
		\$ 168,401.	\$ 195,411.
	Total	<u>\$ 168,401.</u>	<u>\$ 195,411.</u>

Statement 7  
Form 990-PF, Part II, Line 10b  
Investments - Corporate Stocks

<u>Corporate Stocks</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Corporate Stocks (Exhibit 4)	Mkt Val	\$ 4,980,830.	\$ 7,576,042.
	Total	<u>\$ 4,980,830.</u>	<u>\$ 7,576,042.</u>

Statement 8  
Form 990-PF, Part II, Line 10c  
Investments - Corporate Bonds

<u>Corporate Bonds</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Corporate Bonds (Exhibit 4)	Mkt Val	\$ 1,731,246.	\$ 1,643,952.
	Total	<u>\$ 1,731,246.</u>	<u>\$ 1,643,952.</u>



Client RDONCHIA

Richard D. Donchian Charitable  
Foundation, Inc.

06-1514402

5/15/14

12:40PM

**Statement 9**  
**Form 990-PF, Part II, Line 15**  
**Other Assets**

	<u>Book Value</u>	<u>Fair Market Value</u>
Rounding	\$ 1.	
Total	\$ 1.	\$ 0.

**Statement 10**  
**Form 990-PF, Part XV, Line 2a-d**  
**Application Submission Information**

Name of Grant Program:  
 Name: Richard D Donchian Charitable Foundation  
 Care Of: Foundation Services LLC  
 Street Address: 640 West Putnam Avenue, 3rd floor  
 City, State, Zip Code: Greenwich, CT 06830  
 Telephone:  
 E-Mail Address:  
 Form and Content: Any applicant should submit an application form and certification as to its tax exempt status. The application form is available from the website.  
 Submission Deadlines: None  
 Restrictions on Awards: Mission Statement and Guidelines attached as Exhibit 8.

**Statement 11**  
**Form 990-PF, Part XV, Line 3b**  
**Recipient Approved for Future Payment**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
The Bowery Mission 132 Madison Avenue New York, NY 10016	none	Public	Employment Readiness for Formerly Homeless Men	\$ 25,000.
Inspirica 850 Seventh Avenue New York, NY 10019	none	Public	Jumpstart Career Program	10,000.
Junior Achievement One Landmark Square Stamford, CT 06901	none	Public	Preparing SW CT Elementary School Students for Successful Futures	3,000.
Learning through Expanded Arts Program 441 West End Avenue, Suite 2G New York, NY 10024	none	Public	Active Learning Leads to Literacy (ALLL)	10,000.

2013

## Federal Statements

Page 4

Client RDONCHIA

Richard D. Donchian Charitable  
Foundation, Inc.

06-1514402

5/15/14

12 40PM

Statement 11 (continued)  
Form 990-PF, Part XV, Line 3b  
Recipient Approved for Future Payment

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Medical Missions for Children 810 Main Street Paterson, NJ 07503	none	Public	Armenia Pediatric Telemedicine and Continuing Medical Education Project	\$ 15,000.
Saint Joseph's University 5600 City Avenue Philadelphia, PA 19131	none	Public	Business Ethics Development	7,000.
Total				\$ <u>70,000.</u>

2013

Federal Supporting Detail

Page 1

Client RDONCHIA

Richard D. Donchian Charitable  
Foundation, Inc.

06-1514402

5/14/14

03:13PM

**Other Income Producing Activities**  
**Dividends/interest from securities.**

Dividends - Schedule A-3 (Exhibit 1)	\$	150,316.
Interest - Schedule A-4 (Exhibit 2)		81,539.
Other Income - Schedule A-5 (Exhibit 3)		<u>1,318.</u>
Total	\$	<u><u>233,173.</u></u>

Schedule A-3  
DIVIDENDS RECEIVED

Accenture Ltd Class A

05/15/13	Dividend Rec'd on	1,500	Shs.	\$1,215.00	
11/15/13	Dividend Rec'd on	1,500	Shs.	<u>1,395.00</u>	\$2,610.00

Air Products & Chemicals Inc.

02/11/13	Dividend Rec'd on	800	Shs.	\$512.00	
05/13/13	Dividend Rec'd on	800	Shs.	<u>568.00</u>	1,080.00

AON PLC

11/15/13	Dividend Rec'd on	500	Shs.		87.50
----------	-------------------	-----	------	--	-------

Apple Inc

02/14/13	Dividend Rec'd on	350	Shs.	\$927.50	
05/16/13	Dividend Rec'd on	350	Shs.	1,067.50	
08/15/13	Dividend Rec'd on	350	Shs.	1,067.50	
11/14/13	Dividend Rec'd on	350	Shs.	<u>1,067.50</u>	4,130.00

AT&T Inc.

02/01/13	Dividend Rec'd on	1,000	Shs.	\$450.00	
05/01/13	Dividend Rec'd on	1,000	Shs.	450.00	
08/01/13	Dividend Rec'd on	1,650	Shs.	742.50	
11/01/13	Dividend Rec'd on	1,650	Shs.	<u>742.50</u>	2,385.00

Avago Technologies Ltd

12/31/13	Dividend Rec'd on	1,000	Shs.		250.00
----------	-------------------	-------	------	--	--------

BB&T Corporation

02/01/13	Dividend Rec'd on	2,000	Shs.	\$400.00	
03/01/13	Dividend Rec'd on	2,000	Shs.	<u>460.00</u>	860.00

BNY Mellon International-M

01/14/13	Dividend Rec'd on	20,809.249	Shs.	\$106.29	
02/12/13	Dividend Rec'd on	20,809.249	Shs.	109.50	
03/11/13	Dividend Rec'd on	20,809.249	Shs.	100.00	
04/15/13	Dividend Rec'd on	20,809.249	Shs.	111.75	
05/15/13	Dividend Rec'd on	20,809.249	Shs.	109.95	
06/17/13	Dividend Rec'd on	20,809.249	Shs.	119.90	
07/15/13	Dividend Rec'd on	20,809.249	Shs.	112.34	

Schedule A-3 (Cont'd)

BNY Mellon International-M  
(Cont'd)

08/12/13	Dividend Rec'd on	20,809.249	Shs.	\$119.37	
09/16/13	Dividend Rec'd on	20,809.249	Shs.	121.83	
10/15/13	Dividend Rec'd on	20,809.249	Shs.	120.50	
11/12/13	Dividend Rec'd on	20,809.249	Shs.	129.22	
12/16/13	Dividend Rec'd on	20,809.249	Shs.	126.81	
12/18/13	Dividend Rec'd on	20,809.249	Shs.	<u>4,174.34</u>	\$5,561.80

BNY Mellon Emerging Mkts-M

01/14/13	Dividend Rec'd on	56,561.086	Shs.	\$336.56	
02/12/13	Dividend Rec'd on	56,561.086	Shs.	351.29	
03/11/13	Dividend Rec'd on	56,561.086	Shs.	313.52	
04/15/13	Dividend Rec'd on	56,561.086	Shs.	340.82	
05/15/13	Dividend Rec'd on	56,561.086	Shs.	322.42	
06/17/13	Dividend Rec'd on	56,561.086	Shs.	343.48	
07/15/13	Dividend Rec'd on	56,561.086	Shs.	302.90	
08/12/13	Dividend Rec'd on	56,561.086	Shs.	307.90	
09/16/13	Dividend Rec'd on	56,561.086	Shs.	308.36	
10/15/13	Dividend Rec'd on	56,561.086	Shs.	315.64	
11/12/13	Dividend Rec'd on	56,561.086	Shs.	342.55	
12/16/13	Dividend Rec'd on	56,561.086	Shs.	328.48	
12/19/13	Dividend Rec'd on	56,561.086	Shs.	<u>6,018.10</u>	9,932.02

BNY Mellon M/C Multi-Strategy Fund

01/14/13	Dividend Rec'd on	27,087.011	Shs.	\$154.61	
02/12/13	Dividend Rec'd on	27,087.011	Shs.	161.15	
03/11/13	Dividend Rec'd on	27,087.011	Shs.	151.49	
04/15/13	Dividend Rec'd on	27,087.011	Shs.	171.21	
05/15/13	Dividend Rec'd on	27,087.011	Shs.	165.81	
06/17/13	Dividend Rec'd on	27,087.011	Shs.	179.00	
07/15/13	Dividend Rec'd on	27,087.011	Shs.	161.57	
08/12/13	Dividend Rec'd on	27,087.011	Shs.	174.35	
09/16/13	Dividend Rec'd on	27,087.011	Shs.	177.13	
10/15/13	Dividend Rec'd on	27,087.011	Shs.	173.91	
11/12/13	Dividend Rec'd on	27,087.011	Shs.	185.24	
12/16/13	Dividend Rec'd on	27,087.011	Shs.	184.20	
12/17/13	Dividend Rec'd on	27,087.011	Shs.	<u>1,462.70</u>	3,502.37

Bristol-Myers Squibb Co.

11/01/13	Dividend Rec'd on	1,500	Shs.		525.00
----------	-------------------	-------	------	--	--------

Schedule A-3 (Cont'd)

Broadcom Corp Cl A

03/04/13	Dividend Rec'd on	1,500	Shs.	\$165.00	
06/17/13	Dividend Rec'd on	1,500	Shs.	165.00	
09/16/13	Dividend Rec'd on	1,500	Shs.	<u>165.00</u>	\$495.00

Capital One Financial Corp

08/15/13	Dividend Rec'd on	650	Shs.	\$195.00	
11/21/13	Dividend Rec'd on	650	Shs.	<u>195.00</u>	390.00

Carnival Corp.

03/15/13	Dividend Rec'd on	1,300	Shs.	\$325.00	
06/14/13	Dividend Rec'd on	1,300	Shs.	<u>325.00</u>	650.00

Caterpillar Inc

05/20/13	Dividend Rec'd on	750	Shs.	\$390.00	
08/20/13	Dividend Rec'd on	750	Shs.	450.00	
11/20/13	Dividend Rec'd on	750	Shs.	<u>450.00</u>	1,290.00

Chevron Corporation

03/11/13	Dividend Rec'd on	600	Shs.	\$540.00	
06/10/13	Dividend Rec'd on	600	Shs.	600.00	
09/10/13	Dividend Rec'd on	600	Shs.	600.00	
12/10/13	Dividend Rec'd on	600	Shs.	<u>600.00</u>	2,340.00

Coca Cola Co.

04/01/13	Dividend Rec'd on	2,000	Shs.	\$560.00	
07/01/13	Dividend Rec'd on	2,000	Shs.	560.00	
10/01/13	Dividend Rec'd on	2,000	Shs.	560.00	
12/16/13	Dividend Rec'd on	2,000	Shs.	<u>560.00</u>	2,240.00

Comcast Corporation New Class A

01/23/13	Dividend Rec'd on	1,500	Shs.	\$243.75	
04/24/13	Dividend Rec'd on	1,500	Shs.	292.50	
07/24/13	Dividend Rec'd on	1,500	Shs.	292.50	
10/23/13	Dividend Rec'd on	1,500	Shs.	<u>292.50</u>	1,121.25

Costco Whsl Corp New

02/22/13	Dividend Rec'd on	300	Shs.	\$82.50	
05/31/13	Dividend Rec'd on	300	Shs.	93.00	
08/23/13	Dividend Rec'd on	300	Shs.	93.00	

Schedule A-3 (Cont'd)

Costco Whsl Corp New  
(Cont'd)

11/29/13	Dividend Rec'd on	300	Shs.	<u>\$93.00</u>	\$361.50
----------	-------------------	-----	------	----------------	----------

Covidien PLC

05/03/13	Dividend Rec'd on	1,000	Shs.	\$260.00	
08/15/13	Dividend Rec'd on	1,000	Shs.	260.00	
11/05/13	Dividend Rec'd on	1,000	Shs.	<u>320.00</u>	840.00

Dreyfus Pre Strat Inter ST-I

01/14/13	Dividend Rec'd on	14,629.883	Shs.	\$107.91	
02/12/13	Dividend Rec'd on	14,629.883	Shs.	109.44	
03/11/13	Dividend Rec'd on	14,629.883	Shs.	99.14	
04/15/13	Dividend Rec'd on	14,629.883	Shs.	111.54	
05/15/13	Dividend Rec'd on	14,629.883	Shs.	108.70	
06/17/13	Dividend Rec'd on	14,629.883	Shs.	115.19	
07/15/13	Dividend Rec'd on	14,629.883	Shs.	105.09	
08/12/13	Dividend Rec'd on	14,629.883	Shs.	110.53	
09/16/13	Dividend Rec'd on	14,629.883	Shs.	112.34	
10/15/13	Dividend Rec'd on	14,629.883	Shs.	111.47	
11/12/13	Dividend Rec'd on	14,629.883	Shs.	116.84	
12/16/13	Dividend Rec'd on	14,629.883	Shs.	112.91	
12/31/13	Dividend Rec'd on	14,629.883	Shs.	<u>3,357.56</u>	4,678.66

Dreyfus/Newton Intern Equity-I

01/14/13	Dividend Rec'd on	5,446.623	Shs.	\$47.96	
02/12/13	Dividend Rec'd on	5,446.623	Shs.	49.80	
03/11/13	Dividend Rec'd on	5,446.623	Shs.	46.02	
04/15/13	Dividend Rec'd on	5,446.623	Shs.	51.55	
05/15/13	Dividend Rec'd on	5,446.623	Shs.	51.10	
06/17/13	Dividend Rec'd on	5,446.623	Shs.	54.91	
07/15/13	Dividend Rec'd on	5,446.623	Shs.	50.71	
08/12/13	Dividend Rec'd on	5,446.623	Shs.	53.59	
09/16/13	Dividend Rec'd on	5,446.623	Shs.	54.29	
10/15/13	Dividend Rec'd on	5,446.623	Shs.	53.17	
11/12/13	Dividend Rec'd on	5,446.623	Shs.	56.52	
12/13/13	Dividend Rec'd on	5,446.623	Shs.	1,994.55	
12/16/13	Dividend Rec'd on	5,446.623	Shs.	<u>54.78</u>	2,618.95

Dreyfus Opportunistic S/C

01/14/13	Dividend Rec'd on	3,114.294	Shs.	\$52.87	
02/12/13	Dividend Rec'd on	3,114.294	Shs.	56.95	
03/11/13	Dividend Rec'd on	3,114.294	Shs.	53.53	

Schedule A-3 (Cont'd)

Dreyfus Opportunistic S/C  
(Cont'd)

04/15/13	Dividend Rec'd on	3,114.294 Shs.	\$61.19	
05/15/13	Dividend Rec'd on	3,114.294 Shs.	57.55	
06/17/13	Dividend Rec'd on	3,114.294 Shs.	63.44	
07/15/13	Dividend Rec'd on	3,114.294 Shs.	62.99	
08/12/13	Dividend Rec'd on	3,114.294 Shs.	69.88	
09/16/13	Dividend Rec'd on	3,114.294 Shs.	71.63	
10/15/13	Dividend Rec'd on	3,114.294 Shs.	69.67	
11/12/13	Dividend Rec'd on	3,114.294 Shs.	74.72	
12/16/13	Dividend Rec'd on	3,114.294 Shs.	<u>73.41</u>	\$767.83

Dreyfus High Yield-I

01/02/13	Dividend Rec'd on	20,424.836 Shs.	\$769.08	
01/14/13	Dividend Rec'd on	20,424.836 Shs.	65.35	
02/01/13	Dividend Rec'd on	20,424.836 Shs.	765.66	
02/12/13	Dividend Rec'd on	20,424.836 Shs.	66.31	
03/01/13	Dividend Rec'd on	20,424.836 Shs.	692.62	
03/11/13	Dividend Rec'd on	20,424.836 Shs.	59.58	
04/01/13	Dividend Rec'd on	27,832.243 Shs.	713.44	
04/15/13	Dividend Rec'd on	27,832.243 Shs.	71.78	
05/01/13	Dividend Rec'd on	27,832.243 Shs.	1,086.25	
05/15/13	Dividend Rec'd on	27,832.243 Shs.	87.93	
06/03/13	Dividend Rec'd on	27,832.243 Shs.	1,010.52	
06/17/13	Dividend Rec'd on	27,832.243 Shs.	91.68	
07/01/13	Dividend Rec'd on	27,832.243 Shs.	936.16	
07/15/13	Dividend Rec'd on	27,832.243 Shs.	85.74	
08/01/13	Dividend Rec'd on	27,832.243 Shs.	1,068.75	
08/12/13	Dividend Rec'd on	27,832.243 Shs.	88.69	
09/03/13	Dividend Rec'd on	27,832.243 Shs.	1,001.65	
09/16/13	Dividend Rec'd on	27,832.243 Shs.	88.51	
10/01/13	Dividend Rec'd on	27,832.243 Shs.	1,022.06	
10/15/13	Dividend Rec'd on	27,832.243 Shs.	85.73	
11/01/13	Dividend Rec'd on	27,832.243 Shs.	986.24	
11/12/13	Dividend Rec'd on	27,832.243 Shs.	89.70	
12/04/13	Dividend Rec'd on	27,832.243 Shs.	932.34	
12/16/13	Dividend Rec'd on	27,832.243 Shs.	<u>87.32</u>	11,953.09

Dreyfus Select Mgr S/C VI-I

12/16/13	Dividend Rec'd on	985.415 Shs.	\$10.47	
12/31/13	Dividend Rec'd on	985.415 Shs.	<u>144.36</u>	154.83

Dreyfus Select Mgr S/C Gr-I

12/16/13	Dividend Rec'd on	946.253 Shs.		10.34
----------	-------------------	--------------	--	-------



Schedule A-3 (Cont'd)

Dreyfus Floating Rate Inc-I

12/03/13	Dividend Rec'd on	7,942.812	Shs.	\$222.40	
12/16/13	Dividend Rec'd on	7,942.812	Shs.	5.26	
12/31/13	Dividend Rec'd on	7,942.812	Shs.	<u>262.11</u>	\$489.77

Dover Corp.

03/15/13	Dividend Rec'd on	800	Shs.	\$280.00	
06/17/13	Dividend Rec'd on	800	Shs.	280.00	
09/16/13	Dividend Rec'd on	800	Shs.	300.00	
12/16/13	Dividend Rec'd on	800	Shs.	<u>300.00</u>	1,160.00

Duke Energy Corp.

03/18/13	Dividend Rec'd on	1,000	Shs.	\$765.00	
06/17/13	Dividend Rec'd on	1,000	Shs.	765.00	
09/16/13	Dividend Rec'd on	1,000	Shs.	780.00	
12/16/13	Dividend Rec'd on	1,000	Shs.	<u>780.00</u>	3,090.00

Eaton Corp PLC

03/22/13	Dividend Rec'd on	1,450	Shs.	\$609.00	
05/24/13	Dividend Rec'd on	1,450	Shs.	609.00	
08/26/13	Dividend Rec'd on	1,200	Shs.	504.00	
11/22/13	Dividend Rec'd on	1,200	Shs.	<u>504.00</u>	2,226.00

E I DuPont DeNemours

03/14/13	Dividend Rec'd on	1,000	Shs.	\$430.00	
06/12/13	Dividend Rec'd on	1,000	Shs.	450.00	
09/12/13	Dividend Rec'd on	1,000	Shs.	450.00	
12/13/13	Dividend Rec'd on	1,000	Shs.	<u>450.00</u>	1,780.00

Exxon Mobil Corporation

03/11/13	Dividend Rec'd on	2,000	Shs.	\$1,140.00	
06/10/13	Dividend Rec'd on	2,000	Shs.	1,260.00	
09/10/13	Dividend Rec'd on	1,675	Shs.	1,055.25	
12/10/13	Dividend Rec'd on	1,675	Shs.	<u>1,055.25</u>	4,510.50

Family Dir Stores, Inc.

07/15/13	Dividend Rec'd on	800	Shs.	\$208.00	
10/15/13	Dividend Rec'd on	800	Shs.	<u>208.00</u>	416.00

Schedule A-3 (Cont'd)

Freeport McMoran Cooper & Gold  
Income Class B

02/01/13	Dividend Rec'd on	2,800	Shs.	\$875.00	
05/01/13	Dividend Rec'd on	2,800	Shs.	875.00	
07/01/13	Dividend Rec'd on	2,800	Shs.	2,800.00	
08/01/13	Dividend Rec'd on	2,800	Shs.	875.00	
11/01/13	Dividend Rec'd on	2,800	Shs.	<u>875.00</u>	\$6,300.00

General Electric Company

01/25/13	Dividend Rec'd on	3,200	Shs.	\$608.00	
04/25/13	Dividend Rec'd on	3,200	Shs.	608.00	
07/25/13	Dividend Rec'd on	3,200	Shs.	608.00	
10/25/13	Dividend Rec'd on	3,200	Shs.	<u>608.00</u>	2,432.00

Glaxo Smithkline PLC

10/03/13	Dividend Rec'd on	800	Shs.		442.57
----------	-------------------	-----	------	--	--------

Halliburton Co.

03/27/13	Dividend Rec'd on	1,000	Shs.	\$125.00	
06/26/13	Dividend Rec'd on	1,000	Shs.	125.00	
09/25/13	Dividend Rec'd on	1,000	Shs.	125.00	
12/26/13	Dividend Rec'd on	1,000	Shs.	<u>150.00</u>	525.00

Home Depot

03/28/13	Dividend Rec'd on	1,400	Shs.	\$546.00	
06/20/13	Dividend Rec'd on	1,400	Shs.	546.00	
09/19/13	Dividend Rec'd on	1,400	Shs.	546.00	
12/19/13	Dividend Rec'd on	1,400	Shs.	<u>546.00</u>	2,184.00

Honeywell International Inc

03/11/13	Dividend Rec'd on	1,550	Shs.	\$635.50	
06/10/13	Dividend Rec'd on	1,550	Shs.	635.50	
09/10/13	Dividend Rec'd on	1,225	Shs.	502.25	
12/10/13	Dividend Rec'd on	1,225	Shs.	<u>551.25</u>	2,324.50

Ingersoll-Rand PLC

03/29/13	Dividend Rec'd on	1,000	Shs.	\$210.00	
06/28/13	Dividend Rec'd on	1,000	Shs.	210.00	
09/30/13	Dividend Rec'd on	1,000	Shs.	210.00	
11/15/13	Dividend Rec'd on	1,000	Shs.	<u>210.00</u>	840.00

Schedule A-3 (Cont'd)

Intel Corporation

03/01/13	Dividend Rec'd on	3,400	Shs.	\$765.00	
06/03/13	Dividend Rec'd on	3,400	Shs.	765.00	
09/03/13	Dividend Rec'd on	3,400	Shs.	<u>765.00</u>	\$2,295.00

International Business Machines

03/11/13	Dividend Rec'd on	650	Shs.	\$552.50	
06/10/13	Dividend Rec'd on	650	Shs.	617.50	
09/10/13	Dividend Rec'd on	650	Shs.	617.50	
12/10/13	Dividend Rec'd on	650	Shs.	<u>617.50</u>	2,405.00

Invesco Ltd.

06/07/13	Dividend Rec'd on	1,600	Shs.	\$360.00	
09/06/13	Dividend Rec'd on	1,600	Shs.	360.00	
12/09/13	Dividend Rec'd on	1,600	Shs.	<u>360.00</u>	1,080.00

Johnson CTLS Inc.

04/02/13	Dividend Rec'd on	1,300	Shs.	\$247.00	
07/02/13	Dividend Rec'd on	1,300	Shs.	247.00	
10/02/13	Dividend Rec'd on	1,300	Shs.	<u>247.00</u>	741.00

JPMorgan Chase & Co.

01/31/13	Dividend Rec'd on	1,825	Shs.	\$547.50	
04/30/13	Dividend Rec'd on	1,825	Shs.	547.50	
07/31/13	Dividend Rec'd on	1,825	Shs.	693.50	
10/31/13	Dividend Rec'd on	1,825	Shs.	<u>693.50</u>	2,482.00

Kimberly Clark Corporation

01/03/13	Dividend Rec'd on	800	Shs.	\$592.00	
04/02/13	Dividend Rec'd on	800	Shs.	648.00	
07/02/13	Dividend Rec'd on	800	Shs.	648.00	
10/02/13	Dividend Rec'd on	800	Shs.	<u>648.00</u>	2,536.00

K L A - Tencor Corp

12/02/13	Dividend Rec'd on	600	Shs.		270.00
----------	-------------------	-----	------	--	--------

Kraft Foods Group Inc

01/14/13	Dividend Rec'd on	433	Shs.	\$216.50	
04/12/13	Dividend Rec'd on	433	Shs.	216.50	
07/12/13	Dividend Rec'd on	433	Shs.	216.50	

Schedule A-3 (Cont'd)

Kraft Foods Group Inc  
(Cont'd)

10/25/13	Dividend Rec'd on	433	Shs.	<u>\$227.33</u>	\$876.83
----------	-------------------	-----	------	-----------------	----------

Lilly Eli & Co.

03/08/13	Dividend Rec'd on	1,500	Shs.	\$735.00	
06/10/13	Dividend Rec'd on	1,500	Shs.	<u>735.00</u>	1,470.00

Marathon Oil Corp.

03/11/13	Dividend Rec'd on	1,100	Shs.	\$187.00	
06/10/13	Dividend Rec'd on	1,100	Shs.	187.00	
09/10/13	Dividend Rec'd on	1,100	Shs.	209.00	
12/10/13	Dividend Rec'd on	1,100	Shs.	<u>209.00</u>	792.00

Mead Johnson Nutrition

01/03/13	Dividend Rec'd on	450	Shs.	\$135.00	
04/01/13	Dividend Rec'd on	450	Shs.	<u>153.00</u>	288.00

Merck & Co. Inc.

01/08/13	Dividend Rec'd on	1,300	Shs.		559.00
----------	-------------------	-------	------	--	--------

Metlife Inc.

03/13/13	Dividend Rec'd on	800	Shs.	\$148.00	
06/13/13	Dividend Rec'd on	800	Shs.	220.00	
09/13/13	Dividend Rec'd on	800	Shs.	220.00	
12/13/13	Dividend Rec'd on	800	Shs.	<u>220.00</u>	808.00

Mondelez International-W/I

01/14/13	Dividend Rec'd on	1,300	Shs.	\$169.00	
04/15/13	Dividend Rec'd on	1,300	Shs.	169.00	
07/15/13	Dividend Rec'd on	1,300	Shs.	169.00	
10/15/13	Dividend Rec'd on	1,300	Shs.	<u>182.00</u>	689.00

National Oilwell Varco Inc.

09/27/13	Dividend Rec'd on	450	Shs.	\$117.00	
12/20/13	Dividend Rec'd on	450	Shs.	<u>117.00</u>	234.00

Nucor Corp.

11/08/13	Dividend Rec'd on	700	Shs.		257.25
----------	-------------------	-----	------	--	--------

Schedule A-3 (Cont'd)

Occidental Petroleum Corp.

04/15/13	Dividend Rec'd on	500	Shs.	\$320.00	
07/15/13	Dividend Rec'd on	500	Shs.	320.00	
10/15/13	Dividend Rec'd on	500	Shs.	<u>320.00</u>	\$960.00

Pepsico Inc

01/02/13	Dividend Rec'd on	1,800	Shs.	\$967.50	
03/29/13	Dividend Rec'd on	1,800	Shs.	967.50	
06/28/13	Dividend Rec'd on	1,800	Shs.	1,021.50	
09/30/13	Dividend Rec'd on	1,800	Shs.	<u>1,021.50</u>	3,978.00

Pfizer Inc

03/05/13	Dividend Rec'd on	4,000	Shs.	\$960.00	
06/04/13	Dividend Rec'd on	4,000	Shs.	960.00	
09/04/13	Dividend Rec'd on	4,000	Shs.	960.00	
12/03/13	Dividend Rec'd on	4,000	Shs.	<u>960.00</u>	3,840.00

Philip Morris International, Inc.

01/11/13	Dividend Rec'd on	1,200	Shs.	\$1,020.00	
04/12/13	Dividend Rec'd on	1,200	Shs.	1,020.00	
07/12/13	Dividend Rec'd on	1,200	Shs.	1,020.00	
10/11/13	Dividend Rec'd on	1,200	Shs.	<u>1,128.00</u>	4,188.00

PNC Financial Services Group

02/05/13	Dividend Rec'd on	1,000	Shs.	\$400.00	
05/06/13	Dividend Rec'd on	1,000	Shs.	440.00	
08/05/13	Dividend Rec'd on	1,000	Shs.	440.00	
11/05/13	Dividend Rec'd on	1,000	Shs.	<u>440.00</u>	1,720.00

Procter & Gamble Company

02/15/13	Dividend Rec'd on	1,400	Shs.	\$786.80	
05/15/13	Dividend Rec'd on	1,400	Shs.	842.10	
08/15/13	Dividend Rec'd on	1,400	Shs.	842.10	
11/15/13	Dividend Rec'd on	1,400	Shs.	<u>842.10</u>	3,313.10

Qualcomm Inc

03/27/13	Dividend Rec'd on	750	Shs.	\$187.50	
06/26/13	Dividend Rec'd on	750	Shs.	<u>262.50</u>	450.00

Schedule A-3 (Cont'd)

Schlumberger Limited

01/11/13	Dividend	Rec'd on	1,500	Shs.	\$412.50	
04/12/13	Dividend	Rec'd on	1,500	Shs.	468.75	
07/12/13	Dividend	Rec'd on	1,500	Shs.	468.75	
10/11/13	Dividend	Rec'd on	1,100	Shs.	<u>343.75</u>	\$1,693.75

Sempra Energy

01/15/13	Dividend	Rec'd on	1,000	Shs.	\$600.00	
04/15/13	Dividend	Rec'd on	1,000	Shs.	630.00	
07/15/13	Dividend	Rec'd on	1,000	Shs.	630.00	
10/15/13	Dividend	Rec'd on	1,000	Shs.	<u>630.00</u>	2,490.00

Shire PLC

04/09/13	Dividend	Rec'd on	450	Shs.	\$197.10	
10/03/13	Dividend	Rec'd on	450	Shs.	<u>40.50</u>	237.60

State Street Corp.

01/15/13	Dividend	Rec'd on	750	Shs.	\$180.00	
04/12/13	Dividend	Rec'd on	750	Shs.	195.00	
07/16/13	Dividend	Rec'd on	750	Shs.	195.00	
10/15/13	Dividend	Rec'd on	750	Shs.	<u>195.00</u>	765.00

TCW Emerging Markets Income  
Fund-I

01/02/13	Dividend	Rec'd on	15,188.335	Shs.	\$683.48	
02/01/13	Dividend	Rec'd on	15,188.335	Shs.	683.48	
03/01/13	Dividend	Rec'd on	15,188.335	Shs.	683.48	
03/29/13	Dividend	Rec'd on	15,188.335	Shs.	683.48	
05/01/13	Dividend	Rec'd on	15,188.335	Shs.	683.48	
06/03/13	Dividend	Rec'd on	15,188.335	Shs.	683.48	
07/01/13	Dividend	Rec'd on	15,188.335	Shs.	683.48	
08/02/13	Dividend	Rec'd on	15,188.335	Shs.	683.48	
09/03/13	Dividend	Rec'd on	15,188.335	Shs.	531.59	
10/02/13	Dividend	Rec'd on	15,188.335	Shs.	531.59	
11/04/13	Dividend	Rec'd on	15,188.335	Shs.	531.59	
12/02/13	Dividend	Rec'd on	15,188.335	Shs.	531.59	
12/31/13	Dividend	Rec'd on	15,188.335	Shs.	<u>531.59</u>	8,125.79

TEVA Pharmaceuticals

03/07/13	Dividend	Rec'd on	1,000	Shs.	\$313.95	
03/07/13	Dividend	Rec'd on	1,000	Shs.		
	Foreign Tax Withheld				47.09-	

Schedule A-3 (Cont'd)

TEVA Pharmaceuticals  
(Cont'd)

06/03/13	Dividend Rec'd on	1,000	Shs.	\$315.59	
06/03/13	Dividend Rec'd on	1,000	Shs.		
	Foreign Tax Withheld			47.34-	
09/03/13	Dividend Rec'd on	1,000	Shs.	321.95	
09/03/13	Dividend Rec'd on	1,000	Shs.		
	Foreign tax withheld			48.29-	
12/03/13	Dividend Rec'd on	1,000	Shs.	326.52	
12/03/13	Dividend Rec'd on	1,000	Shs.		
	Foreign tax withheld			<u>48.98-</u>	\$1,086.31

The Travelers Companies Inc.

06/28/13	Dividend Rec'd on	400	Shs.	\$200.00	
09/30/13	Dividend Rec'd on	400	Shs.	200.00	
12/31/13	Dividend Rec'd on	400	Shs.	<u>200.00</u>	600.00

Union Pacific Corp.

01/02/13	Dividend Rec'd on	550	Shs.	\$379.50	
04/01/13	Dividend Rec'd on	550	Shs.	379.50	
07/01/13	Dividend Rec'd on	550	Shs.	379.50	
10/01/13	Dividend Rec'd on	550	Shs.	<u>434.50</u>	1,573.00

United Technologies Corporation

03/11/13	Dividend Rec'd on	900	Shs.	\$481.50	
06/10/13	Dividend Rec'd on	900	Shs.	481.50	
09/10/13	Dividend Rec'd on	900	Shs.	481.50	
12/10/13	Dividend Rec'd on	900	Shs.	<u>531.00</u>	1,975.50

US Bancorp

01/15/13	Dividend Rec'd on	1,150	Shs.		224.25
----------	-------------------	-------	------	--	--------

Valero Energy Corp New

03/13/13	Dividend Rec'd on	1,500	Shs.	\$300.00	
06/19/13	Dividend Rec'd on	1,500	Shs.	300.00	
09/11/13	Dividend Rec'd on	1,500	Shs.	337.50	
12/18/13	Dividend Rec'd on	1,500	Shs.	<u>337.50</u>	1,275.00

Verizon Communications

02/01/13	Dividend Rec'd on	1,225	Shs.	\$630.88	
05/01/13	Dividend Rec'd on	1,225	Shs.	630.88	

Schedule A-3 (Cont'd)

Verizon Communications  
(Cont'd)

08/01/13	Dividend Rec'd on	1,225	Shs.	\$630.88	
11/01/13	Dividend Rec'd on	1,225	Shs.	<u>649.25</u>	\$2,541.89

Wells Fargo & Company

03/01/13	Dividend Rec'd on	850	Shs.	\$212.50	
06/03/13	Dividend Rec'd on	850	Shs.	255.00	
09/03/13	Dividend Rec'd on	850	Shs.	255.00	
12/02/13	Dividend Rec'd on	850	Shs.	<u>255.00</u>	977.50

Yum! Brands Inc.

02/01/13	Dividend Rec'd on	700	Shs.	\$234.50	
05/03/13	Dividend Rec'd on	700	Shs.	234.50	
08/02/13	Dividend Rec'd on	700	Shs.	234.50	
11/01/13	Dividend Rec'd on	700	Shs.	<u>259.00</u>	<u>962.50</u>

TOTAL SCHEDULE A-3

\$150,315.75  
=====



Schedule A-4

INTEREST RECEIVED

Astrazeneca PLC

5.4% Due 06/01/14

06/03/13	Interest Rec'd on	\$50,000.00	\$1,350.00	
12/02/13	Interest Rec'd on	\$50,000.00	<u>1,350.00</u>	\$2,700.00

AT&T Inc.

5.8% Due 02/15/19

02/15/13	Interest Rec'd on	\$75,000.00	\$2,175.00	
08/15/13	Interest Rec'd on	\$75,000.00	<u>2,175.00</u>	4,350.00

Barclays Bank PLC

5% Due 09/22/16

03/22/13	Interest Rec'd on	\$75,000.00	\$1,875.00	
09/23/13	Interest Rec'd on	\$75,000.00	<u>1,875.00</u>	3,750.00

Berkshire Hathaway Inc.

3.4% Due 01/31/22

07/31/13	Interest Rec'd on	\$75,000.00	\$1,275.00	
03/28/13	Less: Interest Paid on Purchase		<u>410.83-</u>	864.17

Blackrock Inc

6.25% Due 09/15/17

03/15/13	Interest Rec'd on	\$75,000.00	\$2,343.75	
09/16/13	Interest Rec'd on	\$75,000.00	<u>2,343.75</u>	4,687.50

Boeing Capital Corp.

3.25% Due 10/27/14

04/29/13	Interest Rec'd on	\$75,000.00	\$1,218.75	
10/28/13	Interest Rec'd on	\$75,000.00	<u>1,218.75</u>	2,437.50

Cisco Systems Inc.

1.625% Due 03/14/14

03/14/13	Interest Rec'd on	\$75,000.00	\$609.38	
09/16/13	Interest Rec'd on	\$75,000.00	<u>609.38</u>	1,218.76

Conocophillips

4.75% Due 02/01/14

02/01/13	Interest Rec'd on	\$14,000.00	\$332.50	
----------	-------------------	-------------	----------	--

Schedule A-4 (Cont'd)

Conocophillips

4.75% Due 02/01/14

(Cont'd)

08/01/13	Interest Rec'd on	\$14,000.00	\$332.50	
12/02/13	Interest Rec'd on	\$14,000.00	<u>223.51</u>	\$888.51

CRA 12 (BNY Mellon, NA, Member  
FDIC)

01/02/13	Interest Rec'd		\$29.76	
02/01/13	Interest Rec'd		4.77	
03/01/13	Interest Rec'd		<u>3.60</u>	38.13

Credit Suisse Fb USA

5.125% Due 08/15/15

02/15/13	Interest Rec'd on	\$75,000.00	\$1,921.88	
08/15/13	Interest Rec'd on	\$75,000.00	<u>1,921.88</u>	3,843.76

Deutsche Bank AG Lond

3.45% Due 03/30/15

04/02/13	Interest Rec'd on	\$75,000.00	\$1,293.75	
09/30/13	Interest Rec'd on	\$75,000.00	<u>1,293.75</u>	2,587.50

General Electric Capital Corp.

5.625% Due 05/01/18

05/01/13	Interest Rec'd on	\$75,000.00	\$2,109.38	
11/01/13	Interest Rec'd on	\$75,000.00	<u>2,109.38</u>	4,218.76

Goldman Sachs Group Inc

5.25% Due 10/15/13

04/15/13	Interest Rec'd on	\$150,000.00	\$3,937.50	
10/15/13	Interest Rec'd on	\$150,000.00	<u>3,937.50</u>	7,875.00

HSBC Finance Corp

5% Due 06/30/15

07/01/13	Interest Rec'd on	\$75,000.00	\$1,875.00	
12/30/13	Interest Rec'd on	\$75,000.00	<u>1,875.00</u>	3,750.00

Intel Corp.

1.95% Due 10/01/16

04/01/13	Interest Rec'd on	\$75,000.00	\$731.25	
----------	-------------------	-------------	----------	--

Schedule A-4 (Cont'd)

Intel Corp.

1.95% Due 10/01/16

(Cont'd)

10/01/13	Interest Rec'd on	\$75,000.00	<u>\$731.25</u>	\$1,462.50
----------	-------------------	-------------	-----------------	------------

John Deere Capital Corp.

5.1% Due 01/15/13

01/15/13	Interest Rec'd on	\$50,000.00		1,275.00
----------	-------------------	-------------	--	----------

JP Morgan Chase & Co

5.25% Due 05/01/15

05/01/13	Interest Rec'd on	\$100,000.00	\$2,625.00	
11/01/13	Interest Rec'd on	\$100,000.00	2,625.00	
12/02/13	Interest Rec'd on	\$50,000.00	<u>226.04</u>	5,476.04

Lloyds Tsb Bank PLC

4.2% Due 03/28/17

03/28/13	Interest Rec'd on	\$75,000.00	\$1,575.00	
09/30/13	Interest Rec'd on	\$75,000.00	<u>1,575.00</u>	3,150.00

Merrill Lynch

6.875% Due 11/15/18

05/15/13	Interest Rec'd on	\$75,000.00	\$2,578.13	
11/15/13	Interest Rec'd on	\$75,000.00	<u>2,578.13</u>	5,156.26

Morgan Stanley

5.3% Due 03/01/13

03/01/13	Interest Rec'd on	\$100,000.00		2,650.00
----------	-------------------	--------------	--	----------

Oracle Corp.

5.25% Due 01/15/16

01/15/13	Interest Rec'd on	\$50,000.00	\$1,312.50	
07/15/13	Interest Rec'd on	\$50,000.00	<u>1,312.50</u>	2,625.00

Rabobank Nederland

2.125% Due 10/13/15

04/15/13	Interest Rec'd on	\$75,000.00	\$796.88	
10/15/13	Interest Rec'd on	\$75,000.00	<u>796.88</u>	1,593.76

Schedule A-4 (Cont'd)

Shell International

3.25% Due 09/22/15

03/22/13	Interest	Rec'd on	\$75,000.00	\$1,218.75	
09/23/13	Interest	Rec'd on	\$75,000.00	<u>1,218.75</u>	\$2,437.50

Total Capital SA

2.3% Due 03/15/16

03/15/13	Interest	Rec'd on	\$75,000.00	\$862.50	
09/16/13	Interest	Rec'd on	\$75,000.00	<u>862.50</u>	1,725.00

Treasury Inflation IX

2% Due 01/15/26

01/16/13	Interest	Rec'd on	174,820.5	Units	\$1,744.44	
07/16/13	Interest	Rec'd on	175,741.5	Units	<u>1,758.78</u>	3,503.22

Verizon Global Fdg Cor.

4.9% Due 09/15/15

03/15/13	Interest	Rec'd on	\$75,000.00	\$1,837.50	
09/16/13	Interest	Rec'd on	\$75,000.00	<u>1,837.50</u>	3,675.00

Wachovia Bank NA

4.8% Due 11/01/14

05/01/13	Interest	Rec'd on	\$75,000.00	\$1,800.00	
11/01/13	Interest	Rec'd on	\$75,000.00	<u>1,800.00</u>	<u>3,600.00</u>

TOTAL SCHEDULE A-4

\$81,538.87  
=====

Schedule A-5

OTHER INCOME RECEIVED

BNY Mellon Small/Mid Cap Fund-M

01/14/13	Income Rec'd on	6,779.66 Units	\$55.38	
02/12/13	Income Rec'd on	6,779.66 Units	58.28	
03/11/13	Income Rec'd on	6,779.66 Units	54.45	
04/15/13	Income Rec'd on	6,779.66 Units	61.61	
05/15/13	Income Rec'd on	6,779.66 Units	58.98	
06/17/13	Income Rec'd on	6,779.66 Units	64.20	
07/15/13	Income Rec'd on	6,779.66 Units	61.53	
08/12/13	Income Rec'd on	6,779.66 Units	66.80	
09/16/13	Income Rec'd on	6,779.66 Units	67.32	
10/15/13	Income Rec'd on	6,779.66 Units	65.60	
11/12/13	Income Rec'd on	6,779.66 Units	69.81	
12/16/13	Income Rec'd on	6,779.66 Units	68.38	
12/17/13	Income Rec'd on	6,779.66 Units	<u>566.10</u>	<u>\$1,318.44</u>

TOTAL SCHEDULE A-5

\$1,318.44  
=====

Schedule B-4

ASSETS ON HAND AS OF 12/31/13

<u>Bonds, Notes, etc.</u>	<u>Market Value</u>	<u>Inventory Value</u>
Astrazeneca PLC 5.4% Due 06/01/14 \$50,000.00	\$51,046.50	\$52,564.00
AT&T Inc. 5.8% Due 02/15/19 \$75,000.00	85,977.00	80,137.50
Barclays Bank PLC 5% Due 09/22/16 \$75,000.00	82,570.50	80,700.75
Berkshire Hathaway Inc. 3.4% Due 01/31/22 \$75,000.00	74,601.75	78,842.25
Blackrock Inc 6.25% Due 09/15/17 \$75,000.00	87,020.25	82,518.00
Boeing Capital Corp. 3.25% Due 10/27/14 \$75,000.00	76,743.75	80,036.50
Cisco Systems Inc. 1.625% Due 03/14/14 \$75,000.00	75,190.50	76,466.50
Credit Suisse Fb USA 5.125% Due 08/15/15 \$75,000.00	80,370.75	82,323.75
Deutsche Bank AG Lond 3.45% Due 03/30/15 \$75,000.00	77,402.25	78,092.25
General Electric Capital Corp. 5.625% Due 05/01/18 \$75,000.00	86,127.75	89,176.50
HSBC Finance Corp 5% Due 06/30/15 \$75,000.00	79,254.00	77,762.25

Schedule B-4 (Cont'd)

<u>Bonds, Notes, etc. (Cont'd)</u>	<u>Market Value</u>	<u>Inventory Value</u>
Intel Corp. 1.95% Due 10/01/16 \$75,000.00	\$77,100.00	\$78,682.50
JP Morgan Chase & Co 5.25% Due 05/01/15 \$50,000.00	52,773.00	50,872.00
Lehman Brothers Hldgs Inc 4.5% Due 07/26/10 \$200,000.00	42,500.00	157,759.28
Lloyds Tsb Bank PLC 4.2% Due 03/28/17 \$75,000.00	80,934.00	82,872.75
Merrill Lynch 6.875% Due 11/15/18 \$75,000.00	89,163.75	71,528.25
Oracle Corp. 5.25% Due 01/15/16 \$50,000.00	54,580.00	48,156.00
Rabobank Nederland 2.125% Due 10/13/15 \$75,000.00	76,933.50	76,622.25
Shell International 3.25% Due 09/22/15 \$75,000.00	78,495.00	75,402.75
Total Capital SA 2.3% Due 03/15/16 \$75,000.00	77,273.25	78,382.50
Treasury Inflation IX 2% Due 01/15/26 176,518.5 Units	195,411.28	168,401.48
Verizon Global Fdg Cor. 4.9% Due 09/15/15 \$75,000.00	80,109.75	73,596.00

Schedule B-4 (Cont'd)

<u>Bonds, Notes, etc. (Cont'd)</u>	<u>Market Value</u>	<u>Inventory Value</u>
Wachovia Bank NA 4.8% Due 11/01/14 \$75,000.00	\$77,784.75	\$79,351.50
<u>Stock and Common Funds</u>		
Accenture Ltd Class A 1,500 Shs.	123,330.00	35,985.57
Actavis PLC 600 Shs.	100,800.00	36,469.02
Adobe Systems Inc 950 Shs.	56,885.05	42,320.60
Advantage Global Alpha-I 10,295.128 Shs.	150,617.72	150,000.00
Allegion PLC 333 Shs.	14,715.27	8,365.99
Amazon.Com Inc. 225 Shs.	89,727.75	44,222.90
AON PLC 500 Shs.	41,945.00	34,269.95
Apple Inc 350 Shs.	196,357.00	32,831.80
AT&T Inc. 1,650 Shs.	58,014.00	57,394.84
Avago Technologies Ltd 1,000 Shs.	52,879.00	45,040.00
Berkshire Hathaway Inc. Del B 1,750 Shs.	207,480.00	34,148.97
BNY Mellon Small/Mid Cap Fund-M 6,779.66 Units	108,067.78	100,000.00
BNY Mellon International-M 20,809.249 Shs.	260,115.61	180,000.00



Schedule B-4 (Cont'd)

<u>Stock and Common Funds (Cont'd)</u>	<u>Market Value</u>	<u>Inventory Value</u>
BNY Mellon Emerging Mkts-M 56,561.086 Shs.	\$562,217.19	\$500,000.00
BNY Mellon M/C Multi-Strategy Fund 27,087.011 Shs.	392,761.66	325,000.00
Bristol-Myers Squibb Co. 1,500 Shs.	79,725.00	65,550.00
Capital One Financial Corp 650 Shs.	49,796.50	40,384.44
Caterpillar Inc 750 Shs.	68,107.50	22,889.93
Chevron Corporation 600 Shs.	74,946.00	38,668.62
Coca Cola Co. 2,000 Shs.	82,620.00	65,493.10
Comcast Corporation New Class A 1,500 Shs.	77,947.50	50,880.00
Costco Whsl Corp New 300 Shs.	35,706.00	28,520.07
Covidien PLC 1,000 Shs.	68,100.00	50,246.23
Dreyfus Pre Strat Inter ST-I 14,629.883 Shs.	227,933.58	180,000.00
Dreyfus/Newton Intern Equity-I 5,446.623 Shs.	110,784.31	100,000.00
Dreyfus Opportunistic S/C 3,114.294 Shs.	111,616.30	100,000.00
Dreyfus High Yield-I 27,832.243 Shs.	187,867.64	175,000.00
Dreyfus Select Mger S/C VI-I 985.415 Shs.	24,467.85	25,000.00

Schedule B-4 (Cont'd)

<u>Stock and Common Funds (Cont'd)</u>	<u>Market Value</u>	<u>Inventory Value</u>
Dreyfus Select Mger S/C Gr-I 946.253 Shs.	\$24,763.44	\$25,000.00
Dreyfus Floating Rate Inc-I 7,942.812 Shs.	100,079.43	100,000.00
Dover Corp. 800 Shs.	77,232.00	44,525.12
Duke Energy Corp. 1,000 Shs.	69,010.00	55,875.00
Eaton Corp PLC 1,200 Shs.	91,344.00	47,093.82
E I DuPont DeNemours 1,000 Shs.	64,970.00	41,510.00
Excelsior Private Equity Fund 25 Shs. Valued as of 12/31/09	260.00	15,042.21
Express Scripts Holding Co 1,000 Shs.	70,240.00	52,670.20
Exxon Mobil Corporation 1,675 Shs.	169,510.00	68,183.54
Family Dir Stores, Inc. 800 Shs.	51,976.00	48,243.76
Freeport McMoran Cooper & Gold Income Class B 2,800 Shs.	105,672.00	37,350.99
General Electric Company 3,200 Shs.	89,696.00	35,382.38
Gilead Sciences Inc 1,400 Shs.	105,140.00	32,469.92
Glaxo Smithkline PLC 800 Shs.	42,712.00	42,983.68

Schedule B-4 (Cont'd)

<u>Stock and Common Funds (Cont'd)</u>	<u>Market Value</u>	<u>Inventory Value</u>
Halliburton Co. 1,000 Shs.	\$50,750.00	\$36,259.90
Home Depot 1,400 Shs.	115,276.00	44,392.85
Honeywell International Inc 1,225 Shs.	111,928.25	40,655.68
Ingersoll-Rand PLC 1,000 Shs.	61,600.00	32,576.04
International Business Machines 650 Shs.	121,920.50	59,467.56
Invesco Ltd. 1,600 Shs.	58,240.00	45,407.84
Johnson CTLS Inc. 1,300 Shs.	66,690.00	21,045.88
JPMorgan Chase & Co. 1,825 Shs.	106,726.00	73,444.33
Kimberly Clark Corporation 800 Shs.	83,568.00	52,022.72
K L A - Tencor Corp 600 Shs.	38,676.00	39,576.00
Kraft Foods Group Inc 433 Shs.	23,343.03	10,351.29
Marathon Oil Corp. 1,100 Shs.	38,830.00	32,501.59
Metlife Inc. 800 Shs.	43,136.00	23,698.80
Mondelez International-W/I 1,300 Shs.	45,890.00	19,162.70
National Oilwell Varco Inc. 450 Shs.	35,788.50	32,346.00

Schedule B-4 (Cont'd)

<u>Stock and Common Funds (Cont'd)</u>	<u>Market Value</u>	<u>Inventory Value</u>
Nucor Corp. 700 Shs.	\$37,366.00	\$32,542.93
Occidental Petroleum Corp. 500 Shs.	47,550.00	41,329.20
Ocwen Financial Corp. 800 Shs.	44,360.00	41,733.20
Pepsico Inc 1,800 Shs.	149,292.00	97,048.20
Pfizer Inc 4,000 Shs.	122,520.00	97,197.80
Philip Morris International, Inc. 1,200 Shs.	104,556.00	56,919.49
PNC Financial Services Group 1,000 Shs.	77,580.00	56,785.75
Procter & Gamble Company 1,400 Shs.	113,974.00	41,006.14
Salesforce.com Inc. 1,000 Shs.	55,190.00	26,658.15
Schlumberger Limited 1,100 Shs.	99,121.00	37,401.40
Sempra Energy 1,000 Shs.	89,760.00	52,628.00
Shire PLC 450 Shs.	63,580.50	40,124.30
Southwestern Energy Co. 1,200 Shs.	47,196.00	41,296.20
State Street Corp. 750 Shs.	55,042.50	30,828.90
Taiwan Semiconductor Mfg Co 2,000 Shs.	34,880.00	33,420.00

Schedule B-4 (Cont'd)

<u>Stock and Common Funds (Cont'd)</u>	<u>Market Value</u>	<u>Inventory Value</u>
TCW Emerging Markets Income Fund-I 15,188.335 Shs.	\$127,582.01	\$125,000.00
TEVA Pharmaceuticals 1,000 Shs.	40,080.00	38,090.00
The Travelers Companies Inc. 400 Shs.	36,216.00	33,524.08
Union Pacific Corp. 550 Shs.	92,400.00	25,375.14
United Technologies Corporation 900 Shs.	102,420.00	40,932.80
Valero Energy Corp New 1,500 Shs.	75,600.00	26,973.40
Verizon Communications 1,225 Shs.	60,196.50	42,815.03
Vmware Inc. - Class A 400 Shs.	35,884.00	34,809.20
Walt Disney Co. 1,200 Shs.	91,680.00	50,242.92
Wells Fargo & Company 850 Shs.	38,590.00	20,015.63
Yum! Brands Inc. 700 Shs.	52,927.00	37,610.65
 <u>Cash and Cash Equivalents</u>		
Cash	<u>425,900.48</u>	<u>425,900.48</u>
 TOTAL SCHEDULE B-4	 \$9,841,305.63 =====	 \$7,306,378.33 =====

Schedule A-2

INCREASE ON SALE OR REDEMPTION OF ASSETS

	<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Bonds, Notes, etc.</u>			
John Deere Capital Corp. 5.1% Due 01/15/13			
01/15/13 \$50,000.00	\$50,000.00	\$48,980.00	\$1,020.00
JP Morgan Chase & Co 5.25% Due 05/01/15			
12/02/13 \$50,000.00	52,948.00	50,872.00	2,076.00
<u>Stock and Common Funds</u>			
Air Products & Chemicals Inc.			
04/29/13 800 Shs.	68,518.70	52,654.22	15,864.48
Allegion PLC			
12/10/13 0.3333 Shs.	13.56	8.37	5.19
BB&T Corporation			
04/19/13 2,000 Shs.	60,394.05	50,539.52	9,854.53
Berkshire Hathaway Inc. Del B			
03/27/13 750 Shs.	76,711.20	14,635.28	62,075.92
BNY Mellon Small/Mid Cap Fund-M			
12/17/13 6,779.66 Units			
Received long term capital gain distribution - Increase recognized on receipt	5,882.03	.00	5,882.03
12/17/13 6,779.66 Units			
Received short term capital gain distribution - Increase recognized on receipt	369.49	.00	369.49

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
BNY Mellon M/C Multi-Strategy Fund					
12/17/13	27,087.011 Shs.				
Received long term capital gain distribution - Increase recognized on receipt			\$7,085.96	\$ .00	\$7,085.96
12/17/13	27,087.011 Shs.				
Received short term capital gain distribution - Increase recognized on receipt			11,587.82	.00	11,587.82
ConocoPhillips					
01/18/13	1,000 Shs.		58,732.48	49,672.90	9,059.58
CST Brands Inc.					
05/23/13	0.6667 Shs.		22.12	9.70	12.42
05/30/13	166 Shs.		5,502.95	2,415.70	3,087.25
Dreyfus Opportunistic S/C					
12/12/13	3,114.294 Shs.				
Received long term capital gain distribution - Increase recognized on receipt			12,408.28	.00	12,408.28
12/12/13	3,114.294 Shs.				
Received short term capital gain distribution - Increase recognized on receipt			1,307.07	.00	1,307.07
Dreyfus Select Mger S/C VI-I					
12/31/13	985.415 Shs.				
Received long term capital gain distribution - Increase recognized on receipt			1,095.40	.00	1,095.40
12/31/13	985.415 Shs.				
Received short term capital gain distribution - Increase recognized on receipt			1,000.98	.00	1,000.98

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Dreyfus Select Mger S/C Gr-I</u>					
12/05/13	946.253	Shs.			
Received long term capital gain distribution - Increase recognized on receipt			\$764.29	\$ .00	\$764.29
12/09/13	946.253	Shs.			
Received short term capital gain distribution - Increase recognized on receipt			483.44	.00	483.44
 <u>Eaton Corp PLC</u>					
06/07/13	250	Shs.	16,514.01	9,811.21	6,702.80
 <u>Exxon Mobil Corporation</u>					
01/18/13	200	Shs.	17,817.60	8,141.32	9,676.28
06/07/13	325	Shs.	29,486.77	13,229.64	16,257.13
 <u>Honeywell International Inc</u>					
06/07/13	325	Shs.	25,443.84	10,786.20	14,657.64
 <u>Intel Corporation</u>					
11/05/13	3,400	Shs.	83,230.55	55,705.71	27,524.84
 <u>Lilly Eli &amp; Co.</u>					
08/09/13	1,500	Shs.	80,788.60	63,596.25	17,192.35
 <u>Mallinckrodt PLC</u>					
07/29/13	125	Shs.	5,475.08	4,813.67	661.41
 <u>Mead Johnson Nutrition</u>					
04/09/13	450	Shs.	34,159.36	30,737.79	3,421.57



Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Merck &amp; Co. Inc.</u>					
01/18/13	1,300	Shs.	\$55,937.88	\$49,582.00	\$6,355.88
<u>Qualcomm Inc</u>					
08/05/13	750	Shs.	48,329.16	41,175.00	7,154.16
<u>Schlumberger Limited</u>					
08/09/13	400	Shs.	33,067.42	13,600.51	19,466.91
<u>TCW Emerging Markets Income Fund-I</u>					
01/03/13	15,188.335	Shs.			
Received short term capital gain distribution - Increase recognized on receipt			3,265.49	.00	3,265.49
<u>Teradata Corporation</u>					
03/12/13	800	Shs.	45,961.77	40,117.92	5,843.85
<u>US Bancorp</u>					
01/24/13	1,150	Shs.	<u>37,428.44</u>	<u>24,424.51</u>	<u>13,003.93</u>
TOTAL SCHEDULE A-2			<u>\$931,733.79</u> =====	<u>\$635,509.42</u> =====	<u>\$296,224.37</u> =====

Schedule B-1

DECREASE ON SALE OR REDEMPTION OF ASSETS

		<u>Inventory Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Bonds, Notes, etc.</u>				
Conocophillips				
4.75% Due 02/01/14				
12/02/13	\$14,000.00	\$15,207.50	\$14,093.66	\$1,113.84
Goldman Sachs Group Inc				
5.25% Due 10/15/13				
10/15/13	\$150,000.00	152,571.00	150,000.00	2,571.00
Lehman Brothers Hldgs Inc				
4.5% Due 07/26/10				
04/04/13	Liquidation Distribution			
	Rec'd - See Schedule C	10,007.44	10,007.44	.00
10/03/13	Liquidation Distribution			
	Rec'd - See Schedule C	11,552.51	11,552.51	.00
Morgan Stanley				
5.3% Due 03/01/13				
03/01/13	\$100,000.00	102,934.00	100,000.00	2,934.00
<u>Stock and Common Funds</u>				
Broadcom Corp Cl A				
10/09/13	1,500 Shs.	48,119.85	39,864.96	8,254.89
Carnival Corp.				
07/05/13	1,300 Shs.	46,373.60	45,069.95	1,303.65
EMC Corp				
06/04/13	2,300 Shs.	63,058.87	57,223.00	5,835.87

Schedule B-1 (Cont'd)

			<u>Inventory Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Equinix Inc.</u>					
10/10/13	160	Shs.	\$34,115.18	\$26,684.79	\$7,430.39
<u>Highbridge Dynamic Comm Strat Fund-E</u>					
01/16/13	4,203.643	Shs.	<u>90,000.00</u>	<u>59,187.29</u>	<u>30,812.71</u>
TOTAL SCHEDULE B-1			\$573,939.95 =====	\$513,683.60 =====	\$60,256.35 =====

Schedule B-3

DISTRIBUTIONS

	<u>Inventory Value</u>	<u>Distribution Value</u>
American School for the Deaf 139 North Main Street West Hartford, CT 06107		
12/03/13 Cash		
Literacy Initiative	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
American School for the Deaf 139 North Main Street West Hartford, CT 06107	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
Association of Community Employment 598 Broadway, 7th Floor New York, NY 10012		
12/03/13 Cash		
Project Comeback	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Association of Community Employment 598 Broadway, 7th Floor New York, NY 10012	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
Association of Small Foundations 1720 N Street NW Washington, DC 20036		
06/04/13 Cash		
Spring Appeal	<u>\$500.00</u>	<u>\$500.00</u>
Total Distributions		
Association of Small Foundations 1720 N Street NW Washington, DC 20036	<u>\$500.00</u> =====	<u>\$500.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Bowery Mission 132 Madison Avenue New York, NY 10002		
06/04/13 Cash		
Employment Rediness Program (1 of 2)	\$25,000.00	\$25,000.00
06/04/13 Cash		
In Support of the Broadway Comes to Greenwich Benefit	<u>5,000.00</u>	<u>5,000.00</u>
Total Distributions Bowery Mission 132 Madison Avenue New York, NY 10002	\$30,000.00 =====	\$30,000.00 =====
Bridgeport Rescue Mission 1088 Fairfield Avenue Bridgeport, CT 06605-1116		
03/08/13 Cash		
New Life Discipleship Program	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions Bridgeport Rescue Mission 1088 Fairfield Avenue Bridgeport, CT 06605-1116	\$5,000.00 =====	\$5,000.00 =====
Catholic Medical Mission Board Inc. 10 West 17th Street New York, NY 10011-5765		
12/03/13 Cash		
Taking Care in the First 1000 Days Project (1 of 3)	<u>\$10,000.00</u>	<u>\$10,000.00</u>
Total Distributions Catholic Medical Mission Board Inc. 10 West 17th Street New York, NY 10011-5765	\$10,000.00 =====	\$10,000.00 =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Cancer Care Inc. 275 Seventh Avenue New York, NY 10001		
06/04/13 Cash		
Crafting Ways to Cope	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Cancer Care Inc. 275 Seventh Avenue New York, NY 10001	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 Caroline House Inc. 574 Stillman Street Bridgeport, CT 06608		
06/04/13 Cash		
Mother and Child Literacy Program	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Caroline House Inc. 574 Stillman Street Bridgeport, CT 06608	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 Child Guidance Center of Southern Connecticut Inc. 196 Greyrock Place Stamford, CT 06901		
09/10/13 Cash		
Child Sexual Abuse Response Team	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Child Guidance Center of Southern Connecticut Inc. 196 Greyrock Place Stamford, CT 06901	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Children of Tanzania, Inc. 3 Little Cove Lane Old Greenwich, CT 06870		
12/03/13 Cash		
General Purposes	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Children of Tanzania, Inc. 3 Little Cove Lane Old Greenwich, CT 06870	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
Citikids Foundation Inc. 57 Leonard Street New York, NY 10013		
12/03/13 Cash		
Citikids University	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Citikids Foundation Inc. 57 Leonard Street New York, NY 10013	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
CLICC Powell Library Building PO Box 951450 Los Angeles, CA 90095-1450		
12/03/13 Cash		
National Model Curriculum for CLICC (2 of 2)	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
CLICC Powell Library Building PO Box 951450 Los Angeles, CA 90095-1450	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Community Centers, Inc. 61 East Putnam Avenue Greenwich, CT 06830		
09/10/13  Cash		
Youth Development Resiliency Project	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions Community Centers, Inc. 61 East Putnam Avenue Greenwich, CT 06830	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
Connecticut Friends of Bosnia Inc. 65 Perkins Road Greenwich, CT 06830		
12/03/13  Cash		
Education & Scholarship Program	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions Connecticut Friends of Bosnia Inc. 65 Perkins Road Greenwich, CT 06830	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
Convent of the Sacred Heart 1177 King Street Greenwich, CT 06830		
12/03/13  Cash		
Annual Fund	<u>\$3,500.00</u>	<u>\$3,500.00</u>
Total Distributions Convent of the Sacred Heart 1177 King Street Greenwich, CT 06830	<u>\$3,500.00</u> =====	<u>\$3,500.00</u> =====



Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Davidson College Davidson, NC 28035 <u>07/18/13</u> Cash		
Lectures on Ethics in Professional Life	<u>\$8,000.00</u>	<u>\$8,000.00</u>
Total Distributions Davidson College Davidson, NC 28035	<u>\$8,000.00</u> =====	<u>\$8,000.00</u> =====
 English Speaking Union of the United States 144 East 39th Street New York, NY 10016 <u>12/03/13</u> Cash		
Middle School Debate Leagues	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions English Speaking Union of the United States 144 East 39th Street New York, NY 10016	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 Episcopal Relief and Development 815 Second Avenue New York, NY 10017 <u>12/03/13</u> Cash		
General Purposes	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions Episcopal Relief and Development 815 Second Avenue New York, NY 10017	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Family Reentry 9 Mott Avenue, Suite 104 Norwalk. CT 06850		
<u>09/10/13</u> Cash		
Youth Mentoring Programs	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Family Reentry 9 Mott Avenue, Suite 104 Norwalk. CT 06850	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 Food for the Poor, Inc. 6401 Lyons Road Coconut Creek, FL 33073		
<u>12/03/13</u> Cash		
Madriz Greenhouse Nursery Project	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Food for the Poor, Inc. 6401 Lyons Road Coconut Creek, FL 33073	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 George Jackson Academy 104 St. Mark's Place New York, NY 10009		
<u>09/10/13</u> Cash		
General Operations & Scholarships	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
George Jackson Academy 104 St. Mark's Place New York, NY 10009	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Greenwich Country Day School P.O. Box 623 Old Church Road Greenwich, CT 06836-0623		
12/03/13 Cash		
Annual Fund	<u>\$4,000.00</u>	<u>\$4,000.00</u>
Total Distributions		
Greenwich Country Day School P.O. Box 623 Old Church Road Greenwich, CT 06836-0623	<u>\$4,000.00</u> =====	<u>\$4,000.00</u> =====
Harlem Junior Tennis Program Inc. 40 West 143rd Street New York, NY 10037		
06/04/13 Cash		
Academic Creative Enrichment (ACE)	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Harlem Junior Tennis Program Inc. 40 West 143rd Street New York, NY 10037	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
Horizons Student Enrichment Program 545 Ponus Ridge Road New Canaan, CT 06840		
06/04/13 Cash		
Summer Program	<u>\$20,000.00</u>	<u>\$20,000.00</u>
Total Distributions		
Horizons Student Enrichment Program 545 Ponus Ridge Road New Canaan, CT 06840	<u>\$20,000.00</u> =====	<u>\$20,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
I Lead Charter School 200 North 8th Street <u>Reading, PA 19601</u> 03/08/13 Cash I-Lead Literacy Interventions	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions I Lead Charter School 200 North 8th Street Reading, PA 19601	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 Inspirica 850 Seventh Avenue <u>New York, NY 10019</u> 06/04/13 Cash Jumpstart Career Program (1 of 2)	     <u>\$10,000.00</u>	     <u>\$10,000.00</u>
Total Distributions Inspirica 850 Seventh Avenue New York, NY 10019	<u>\$10,000.00</u> =====	<u>\$10,000.00</u> =====
 Jacob A. Riis Neighborhood 10-25 41st Avenue <u>Long Island City, NY 11101</u> 12/03/13 Cash Education Coordinator	     <u>\$5,000.00</u>	     <u>\$5,000.00</u>
Total Distributions Jacob A. Riis Neighborhood 10-25 41st Avenue Long Island City, NY 11101	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Junior Achievement of Southwest Connecticut, Inc. One Landmark Square Stamford, CT		
06/04/13 Cash		
Preparing Students	<u>\$3,000.00</u>	<u>\$3,000.00</u>
Total Distributions		
Junior Achievement of Southwest Connecticut, Inc. One Landmark Square Stamford, CT	<u>\$3,000.00</u> =====	<u>\$3,000.00</u> =====
Kids in Crisis One Salem Street Cos Cob, CT 06807		
12/03/13 Cash		
Safe Haven for Kids	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Kids in Crisis One Salem Street Cos Cob, CT 06807	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
Learning Through An Expanded Arts Program, Inc. 441 West End Avenue, Suite 2G New York, NY 10024		
03/08/13 Cash		
Active Learning leads to Literacy	<u>\$10,000.00</u>	<u>\$10,000.00</u>
Total Distributions		
Learning Through An Expanded Arts Program, Inc. 441 West End Avenue, Suite 2G New York, NY 10024	<u>\$10,000.00</u> =====	<u>\$10,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
The Marshall Legacy Institute 2425 Wilson Blvd Suite 240 Arlington, VA 22201		
03/15/13 Cash Champs Connecticut Campaigns	\$2,000.00	\$2,000.00
12/20/13 Cash Mdd "Rachel" Campaign	<u>2,500.00</u>	<u>2,500.00</u>
Total Distributions The Marshall Legacy Institute 2425 Wilson Blvd Suite 240 Arlington, VA 22201	\$4,500.00 =====	\$4,500.00 =====
Lupus Foundation of America 2000 L Street NW Suite 710 Washington, DC 20036		
12/03/13 Cash General Purposes	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions Lupus Foundation of America 2000 L Street NW Suite 710 Washington, DC 20036	\$2,500.00 =====	\$2,500.00 =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
MAG America Inc. 1750 K Street, NW Suite 350 Washington, DC 20006		
03/08/13 Cash		
Community Liason Work In Moxico Province, Angola	<u>\$10,000.00</u>	<u>\$10,000.00</u>
Total Distributions		
MAG America Inc. 1750 K Street, NW Suite 350 Washington, DC 20006	<u>\$10,000.00</u> =====	<u>\$10,000.00</u> =====
Manhattan Christian Academy 401 W 205th Street New York, NY 10034		
12/03/13 Cash		
General Purposes	<u>\$3,000.00</u>	<u>\$3,000.00</u>
Total Distributions		
Manhattan Christian Academy 401 W 205th Street New York, NY 10034	<u>\$3,000.00</u> =====	<u>\$3,000.00</u> =====
Medical Missions for Children 810 Main Street Paterson, NJ 07503		
06/04/13 Cash		
Int'l Virtual Medical Conference	<u>\$20,000.00</u>	<u>\$20,000.00</u>
Total Distributions		
Medical Missions for Children 810 Main Street Paterson, NJ 07503	<u>\$20,000.00</u> =====	<u>\$20,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
New Life of New York City, Inc. 87 Columbia Street, #13G New York, NY		
12/03/13 Cash		
New Life of New York City, Inc (1 of 2)	<u>\$25,000.00</u>	<u>\$25,000.00</u>
Total Distributions		
New Life of New York City, Inc. 87 Columbia Street, #13G New York, NY	<u>\$25,000.00</u> =====	<u>\$25,000.00</u> =====
 New York City Rescue Mission 90 Lafayette Street New York, NY 10013		
03/08/13 Cash		
General Operating Support	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
New York City Rescue Mission 90 Lafayette Street New York, NY 10013	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 The Order of St. John 1875 K Street NW, Suite 603 Washington, DC 20006-1251		
12/03/13 Cash		
St. John of Jerusalem Eye Hospital	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
The Order of St. John 1875 K Street NW, Suite 603 Washington, DC 20006-1251	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====



Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Partnership for Resource Development Inc. 448 Thistley Lane Chesapeake, VA 23322		
12/03/13 Cash		
General Purposes	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Partnership for Resource Development Inc. 448 Thistley Lane Chesapeake, VA 23322	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
Pathways, Inc. 175 Milbank Avenue Greenwich, CT 06830		
12/03/13 Cash		
General Purposes	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Pathways, Inc. 175 Milbank Avenue Greenwich, CT 06830	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
Person to Person Inc. 2113 Central Avenue NE Minneapolis, MN 55418		
12/03/13 Cash		
Emergency Assistance Program	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Person to Person Inc. 2113 Central Avenue NE Minneapolis, MN 55418	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
ProLiteracy Worldwide 1320 Jamesville Avenue Syracuse, NY 13210		
06/04/13 Cash		
National Book Fund (3 of 3)	<u>\$50,000.00</u>	<u>\$50,000.00</u>
Total Distributions		
ProLiteracy Worldwide 1320 Jamesville Avenue Syracuse, NY 13210	<u>\$50,000.00</u> =====	<u>\$50,000.00</u> =====
 The Reading Team 2090 Adam Clayton Powell, Jr. Blvd. Suite 100 New York, NY 10027		
12/03/13 Cash		
The Reading Team at PS 36	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
The Reading Team 2090 Adam Clayton Powell, Jr. Blvd. Suite 100 New York, NY 10027	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 Row New York 10-27 46th Avenue Suite 101 Long Island City, NY 11101		
12/03/13 Cash		
Empowerment Through Rowing and Academics	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Row New York 10-27 46th Avenue Suite 101 Long Island City, NY 11101	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Sacred Heart University, Inc. 5151 Park Avenue Fairfield, CT 06825		
12/03/13 Cash		
Horizons At Sacred Heart University	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Sacred Heart University, Inc. 5151 Park Avenue Fairfield, CT 06825	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 Saint Joseph's University 5600 City Ave. Philadelphia, PA 19131		
03/08/13 Cash		
Business Ethics Development	<u>\$7,000.00</u>	<u>\$7,000.00</u>
Total Distributions		
Saint Joseph's University 5600 City Ave. Philadelphia, PA 19131	<u>\$7,000.00</u> =====	<u>\$7,000.00</u> =====
 The Salvation Army P. O. Box 270848 Tampa, FL 33688		
12/03/13 Cash		
Emergency Disaster Services Program Enhancement	<u>\$10,000.00</u>	<u>\$10,000.00</u>
Total Distributions		
The Salvation Army P. O. Box 270848 Tampa, FL 33688	<u>\$10,000.00</u> =====	<u>\$10,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Special Olympics Connecticut, Inc. 2666 State Street Suite 1 Hamden, CT 06517-2232		
09/10/13  Cash		
2013 Annual Southwest Region Bowling Qualifier	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Special Olympics Connecticut, Inc. 2666 State Street Suite 1 Hamden, CT 06517-2232	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 Stanwich Congregational Church 237 Taconic Road Greenwich, CT 06830		
12/20/13  Cash		
Missions Fund	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Stanwich Congregational Church 237 Taconic Road Greenwich, CT 06830	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 Summer on the Hill Horace Mann School, 4440 Tibbett Ave., Riverdale, NY 10471		
03/08/13  Cash		
2013 Grades 3-12 Programs	<u>\$25,000.00</u>	<u>\$25,000.00</u>
Total Distributions		
Summer on the Hill Horace Mann School, 4440 Tibbett Ave., Riverdale, NY 10471	<u>\$25,000.00</u> =====	<u>\$25,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
University of Virginia Law School 580 Massie Road Charlottesville, VA 22903-1738		
03/08/13 Cash		
In Memoriam of Clark Whittemore	\$25,000.00	\$25,000.00
12/03/13 Cash		
General Purposes	<u>10,000.00</u>	<u>10,000.00</u>
Total Distributions		
University of Virginia Law School 580 Massie Road Charlottesville, VA 22903-1738	\$35,000.00 =====	\$35,000.00 =====
University of Virginia P. O. Box 400800 Charlottesville, VA 22904		
12/03/13 Cash		
Annual Fund - College of Arts & Sciences	<u>\$2,000.00</u>	<u>\$2,000.00</u>
Total Distributions		
University of Virginia P. O. Box 400800 Charlottesville, VA 22904	\$2,000.00 =====	\$2,000.00 =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Visiting Nurse & Hospice of Fairfield County, Inc. P.O. Box 489 Wilton, CT 06897		
09/10/13  Cash		
Respite Care	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Visiting Nurse & Hospice of Fairfield County, Inc. P.O. Box 489 Wilton, CT 06897	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
Yankee Institute For Public Policy, Inc. P.O. Box 260660 Hartford, CT 06126-0660		
12/03/13  Cash		
General Purposes	<u>\$3,000.00</u>	<u>\$3,000.00</u>
Total Distributions		
Yankee Institute For Public Policy, Inc. P.O. Box 260660 Hartford, CT 06126-0660	<u>\$3,000.00</u> =====	<u>\$3,000.00</u> =====
Your Grandmothers Cupboard 173A Rt 37 West Toms River, NJ 08755		
12/03/13  Cash		
YGC Mobile Partnership Assistance Program	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Your Grandmothers Cupboard 173A Rt 37 West Toms River, NJ 08755	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
YWCA		
259 East Putnam Avenue		
<u>Greenwich, CT 06830</u>		
09/10/13 Cash		
Domestic Abuse Services Child Counseling	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
YWCA		
259 East Putnam Avenue		
<u>Greenwich, CT 06830</u>	<u>\$5,000.00</u>	<u>\$5,000.00</u>
	=====	=====
TOTAL SCHEDULE B-3	<u>\$423,500.00</u>	<u>\$423,500.00</u>
	=====	=====

## RICHARD D. DONCHIAN FOUNDATION, INC.

### MISSION STATEMENT AND GUIDELINES

#### MISSION

The Richard Davoud Donchian Foundation provides funds to nonprofit organizations whose programs result in the strengthening of the human spirit and the enhancement of personal integrity. The Foundation channels most of its financial resources toward charitable organizations whose attention is concentrated on character development through leadership training, literacy, primary education, business integrity, spiritual enrichment and ethics.

It is the express belief of the Donchian Foundation that every individual can become physically, intellectually, emotionally and spiritually stronger, resulting in the culmination of a more confident and scrupulous lifestyle. The primary objective of the Donchian Foundation's grant making activities is to ensure that its ultimate recipients become empowered to strengthen and build up their families and communities - passing onto others that which was given to them.

#### GUIDING PRINCIPLES

The Donchian Foundation's goal is to help effective organizations advance their mission and meet their charitable objectives. Through its endorsement, the Foundation's secondary objective is to create a ripple effect that leverages new partners and greater visibility. Leveraging is a principle that the Foundation believes in, and one that is applied to its efforts toward strategic philanthropy. Whether it is leveraging matching funds through challenge grants, or motivating like-minded organizations to participate in a foundation-initiated project, the ultimate intention is to foster the best possible results.

Qualities sought by the Donchian Foundation in its partner organizations are: effective and dynamic personnel; passionate leadership; a bias against bureaucracy; prudent management and governance practices; and commitment to results and to the documented assessment of program impact.

The Foundation focuses its grant making in three key areas: Business Integrity and Leadership, Literacy and Education, and Ethics and Personal Development.

#### Business Integrity and Leadership

- To support the development of grassroots leaders -- individuals who are rooted in their communities, accountable to their fellow residents, and working toward results that improve the lives of people struggling in low-wealth circumstances. The Foundation also supports initiatives that ensure the highest degrees of integrity, honesty and ethical behavior in the workplace.

#### Literacy and Education

- With an interest in rethinking and reconfiguring curriculum, pedagogy, and the other academic resources in schools and communities, the Foundation focuses on programs that work toward long-term improvement in all aspects of education, but with an emphasis on literacy.

#### Ethics and Personal Development

- To enhance the moral, ethical, spiritual and physical well-being and progress of mankind. The Foundations' grant making activities are centered around the concept of social purpose enterprise that seeks to raise the standards of ethical excellence in society, moral character in the community, and faith in the family.