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Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury  
Internal Revenue Service

- ▶ Do not enter Social Security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2013, or tax year beginning , 2013, and ending ,

Alma Gibbs Donchian Charitable  
Foundation, Inc.  
c/o FCSN 1700 E Putnam Avenue #406  
Greenwich, CT 06870

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization.

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year  
(from Part II, column (c), line 16)

▶ \$ 6,158,342.

J Accounting method ☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

A Employer identification number

06-1514400

B Telephone number (see the instructions)

203-661-1000

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Ck ☒ if the foundation is not required to attach Schedule B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 1,150,820.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (attach schedule)

11 Other income (attach schedule)

See Statement 1

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule) See St 2

b Accounting fees (attach schedule)

c Other prof fees (attach schedule) See St 3

17 Interest

18 Taxes (attach schedule) (see instructions) See Stm 4

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

See Statement 5

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid Part XV

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

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OGDEN, UT

SCANNED MAY 29 2014

ADMINISTRATIVE AND OPERATING EXPENSES

914 25

| Part II Balance Sheets      |                                                                                                                             | Beginning of year<br>(a) Book Value | End of year    |                       |
|-----------------------------|-----------------------------------------------------------------------------------------------------------------------------|-------------------------------------|----------------|-----------------------|
|                             |                                                                                                                             |                                     | (b) Book Value | (c) Fair Market Value |
| ASSETS                      | 1 Cash — non-interest-bearing                                                                                               |                                     |                |                       |
|                             | 2 Savings and temporary cash investments                                                                                    | 338,393.                            | 231,567.       | 231,567.              |
|                             | 3 Accounts receivable                                                                                                       |                                     |                |                       |
|                             | Less: allowance for doubtful accounts                                                                                       |                                     |                |                       |
|                             | 4 Pledges receivable                                                                                                        |                                     |                |                       |
|                             | Less: allowance for doubtful accounts                                                                                       |                                     |                |                       |
|                             | 5 Grants receivable                                                                                                         |                                     |                |                       |
|                             | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)   |                                     |                |                       |
|                             | 7 Other notes and loans receivable (attach sch)                                                                             |                                     |                |                       |
|                             | Less: allowance for doubtful accounts                                                                                       |                                     |                |                       |
|                             | 8 Inventories for sale or use                                                                                               |                                     |                |                       |
|                             | 9 Prepaid expenses and deferred charges                                                                                     |                                     |                |                       |
|                             | 10a Investments — U.S. and state government obligations (attach schedule)                                                   | 111,136.                            |                |                       |
|                             | b Investments — corporate stock (attach schedule) Statement 6                                                               | 3,015,672.                          | 3,315,036.     | 4,932,884.            |
|                             | c Investments — corporate bonds (attach schedule) Statement 7                                                               | 1,213,388.                          | 979,470.       | 993,891.              |
|                             | 11 Investments — land, buildings, and equipment: basis                                                                      |                                     |                |                       |
| LIABILITIES                 | Less: accumulated depreciation (attach schedule)                                                                            |                                     |                |                       |
|                             | 12 Investments — mortgage loans                                                                                             |                                     |                |                       |
|                             | 13 Investments — other (attach schedule)                                                                                    |                                     |                |                       |
|                             | 14 Land, buildings, and equipment: basis                                                                                    |                                     |                |                       |
|                             | Less: accumulated depreciation (attach schedule)                                                                            |                                     |                |                       |
|                             | 15 Other assets (describe )                                                                                                 |                                     |                |                       |
|                             | 16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I.)                           | 4,678,589.                          | 4,526,073.     | 6,158,342.            |
|                             | 17 Accounts payable and accrued expenses                                                                                    |                                     |                |                       |
|                             | 18 Grants payable                                                                                                           |                                     |                |                       |
|                             | 19 Deferred revenue                                                                                                         |                                     |                |                       |
| NET ASSETS OR FUND BALANCES | 20 Loans from officers, directors, trustees, & other disqualified persons                                                   |                                     |                |                       |
|                             | 21 Mortgages and other notes payable (attach schedule)                                                                      |                                     |                |                       |
|                             | 22 Other liabilities (describe )                                                                                            |                                     |                |                       |
|                             | 23 Total liabilities (add lines 17 through 22)                                                                              | 0.                                  | 0.             |                       |
|                             | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/> |                                     |                |                       |
|                             | 24 Unrestricted                                                                                                             |                                     |                |                       |
|                             | 25 Temporarily restricted                                                                                                   |                                     |                |                       |
|                             | 26 Permanently restricted                                                                                                   |                                     |                |                       |
|                             | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>   |                                     |                |                       |
|                             | 27 Capital stock, trust principal, or current funds                                                                         | 4,678,589.                          | 4,526,073.     |                       |
|                             | 28 Paid-in or capital surplus, or land, building, and equipment fund                                                        |                                     |                |                       |
|                             | 29 Retained earnings, accumulated income, endowment, or other funds                                                         |                                     |                |                       |
|                             | 30 Total net assets or fund balances (see instructions)                                                                     | 4,678,589.                          | 4,526,073.     |                       |
|                             | 31 Total liabilities and net assets/fund balances (see instructions)                                                        | 4,678,589.                          | 4,526,073.     |                       |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                            |   |            |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 | Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 4,678,589. |
| 2 | Enter amount from Part I, line 27a                                                                                                                         | 2 | -152,516.  |
| 3 | Other increases not included in line 2 (itemize)                                                                                                           | 3 |            |
| 4 | Add lines 1, 2, and 3                                                                                                                                      | 4 | 4,526,073. |
| 5 | Decreases not included in line 2 (itemize)                                                                                                                 | 5 |            |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30                                                      | 6 | 4,526,073. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company) |                                        | (b) How acquired<br>P — Purchase<br>D — Donation | (c) Date acquired<br>(month, day, year) | (d) Date sold<br>(month, day, year) |
|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|--------------------------------------------------|-----------------------------------------|-------------------------------------|
| 1a                                                                                                                                       | Increases per Schedule A-2 (Exhibit 5) | P                                                | Various                                 | Various                             |
| b                                                                                                                                        | Decreases per Schedule B-1 (Exhibit 6) | P                                                | Various                                 | Various                             |
| c                                                                                                                                        |                                        |                                                  |                                         |                                     |
| d                                                                                                                                        |                                        |                                                  |                                         |                                     |
| e                                                                                                                                        |                                        |                                                  |                                         |                                     |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 663,544.            |                                            | 487,309.                                        | 176,235.                                     |
| b 487,276.            |                                            | 548,746.                                        | -61,470.                                     |
| c                     |                                            |                                                 |                                              |
| d                     |                                            |                                                 |                                              |
| e                     |                                            |                                                 |                                              |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                                     | (i) Gains (Column (h)<br>gain minus column (k), but not less<br>than -0-) or Losses (from column (h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| (i) Fair Market Value<br>as of 12/31/69                                                     | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of column (i)<br>over column (j), if any |                                                                                                       |
| a                                                                                           |                                      |                                                     | 176,235.                                                                                              |
| b                                                                                           |                                      |                                                     | -61,470.                                                                                              |
| c                                                                                           |                                      |                                                     |                                                                                                       |
| d                                                                                           |                                      |                                                     |                                                                                                       |
| e                                                                                           |                                      |                                                     |                                                                                                       |

|                                                                                 |                                                                                                                                                                                                           |  |   |          |
|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|---|----------|
| 2 Capital gain net income or (net capital loss)                                 | <div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7<br/>           If (loss), enter -0- in Part I, line 7         </div>                                 |  | 2 | 114,765. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). | <div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-<br/>           in Part I, line 8         </div> |  | 3 | 0.       |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years<br>Calendar year (or tax year<br>beginning in) | (b) Adjusted qualifying distributions | (c) Net value of<br>noncharitable-use assets | (d) Distribution ratio<br>(column (b) divided by column (c)) |
|----------------------------------------------------------------------|---------------------------------------|----------------------------------------------|--------------------------------------------------------------|
| 2012                                                                 | 363,673.                              | 5,587,251.                                   | 0.065090                                                     |
| 2011                                                                 | 367,132.                              | 5,720,229.                                   | 0.064181                                                     |
| 2010                                                                 | 349,920.                              | 5,412,781.                                   | 0.064647                                                     |
| 2009                                                                 | 348,263.                              | 4,884,434.                                   | 0.071301                                                     |
| 2008                                                                 | 365,232.                              | 5,972,107.                                   | 0.061156                                                     |

|                                                                                                                                                                                |   |            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 Total of line 1, column (d)                                                                                                                                                  | 2 | 0.326375   |
| 3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.065275   |
| 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5                                                                                                 | 4 | 5,756,308. |
| 5 Multiply line 4 by line 3                                                                                                                                                    | 5 | 375,743.   |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   | 6 | 2,068.     |
| 7 Add lines 5 and 6                                                                                                                                                            | 7 | 377,811.   |
| 8 Enter qualifying distributions from Part XII, line 4                                                                                                                         | 8 | 355,483.   |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income** (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

|                                                                                                                                                                                                                                     |     |        |        |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|--------|--------|
| 1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs) |     |        |        |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                  |     | 1      | 4,137. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)                                                                                                         |     |        |        |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                         |     | 2      | 0.     |
| 3 Add lines 1 and 2                                                                                                                                                                                                                 |     | 3      | 4,137. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                       |     | 4      | 0.     |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                           |     | 5      | 4,137. |
| 6 Credits/Payments:                                                                                                                                                                                                                 |     |        |        |
| a 2013 estimated tax prmts and 2012 overpayment credited to 2013                                                                                                                                                                    | 6 a | 2,521. |        |
| b Exempt foreign organizations – tax withheld at source                                                                                                                                                                             | 6 b |        |        |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                               | 6 c |        |        |
| d Backup withholding erroneously withheld                                                                                                                                                                                           | 6 d |        |        |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                               | 7   | 2,521. |        |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached                                                                                                      | 8   | 6.     |        |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                     | 9   | 1,622. |        |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                        | 10  |        |        |
| 11 Enter the amount of line 10 to be. Credited to 2014 estimated tax                                                                                                                                                                | 11  |        |        |
| Refunded                                                                                                                                                                                                                            |     |        |        |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                  | Yes | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                         |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?<br><br>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                           |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation \$ 0. (2) On foundation managers \$ 0.                                                                                                                                                                             |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.                                                                                                                                                                                                     |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If 'Yes,' attach a detailed description of the activities.                                                                                                                                                                                  |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes                                                                                                                     |     | X  |
| 4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                  |     | X  |
| b If 'Yes,' has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                               | N/A |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If 'Yes,' attach the statement required by General Instruction T                                                                                                                                                                             |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?              | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV                                                                                                                                                                                                            | X   |    |
| 8 a Enter the states to which the foundation reports or with which it is registered (see instructions)<br>CT                                                                                                                                                                                                                                     |     |    |
| b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation                                                                                                                                    | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV                                                                                           |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses                                                                                                                                                                                                            |     | X  |

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Form 990-PF (2013)

**Part VII-A Statements Regarding Activities (continued)**

|    |                                                                                                                                                                                                      |    |   |   |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)              | 11 |   | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes', attach statement (see instructions)             | 12 |   | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address <u>www.agdonchian.org</u>                                     | 13 | X |   |
| 14 | The books are in care of <u>Fogarty Cohen Selby &amp; Nemiroff</u> Telephone no <u>203-661-1000</u><br>Located at <u>1700 E Putnam Avenue, Suite 406 Old Greenwich</u> ZIP + 4 <u>06870</u>          |    |   |   |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here<br>and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> N/A |    |   |   |
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?            | 16 |   | X |

See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country ▶

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Yes | No  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
| 1 a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                          |     |     |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                       |     |     |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                               |     |     |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                   |     |     |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                         |     |     |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                |     |     |
| (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                               |     |     |
| b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>                                                                                                                                                             | 1 b | X   |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                                                                        | 1 c | X   |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).                                                                                                                                                                                                                                                                                                                 |     |     |
| a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If 'Yes,' list the years ▶ 20 __ , 20 __ , 20 __ , 20 __                                                                                                                                                                                                              |     |     |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)                                                                                                                                                                                      | 2 b | N/A |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 __ , 20 __ , 20 __ , 20 __                                                                                                                                                                                                                                                                                                                                                |     |     |
| 3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                               |     |     |
| b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) | 3 b | N/A |
| 4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                              | 4 a | X   |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                              | 4 b | X   |

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Form 990-PF (2013)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5 a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address                                                           | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--------------------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| Geoffrey M. Parkinson<br>c/o FCSN 1700 E Putnam Ave<br>Old Greenwich, CT 06870 | Pres/Treas/D<br>5.00                                      | 45,000.                                   | 0.                                                                    | 0.                                    |
| Leland C. Selby<br>c/o FCSN 1700 E Putnam Ave<br>Old Greenwich, CT 06870       | V Pres/Sec/D<br>5.00                                      | 28,500.                                   | 0.                                                                    | 0.                                    |
| Holly Hitchcock<br>c/o FCSN 1700 E Putnam Ave<br>Old Greenwich, CT 06870       | Director<br>3.00                                          | 15,000.                                   | 0.                                                                    | 0.                                    |
|                                                                                |                                                           |                                           |                                                                       |                                       |
|                                                                                |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| None                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

| (a) Name and address of each person paid more than \$50,000              | (b) Type of service | (c) Compensation |
|--------------------------------------------------------------------------|---------------------|------------------|
| None                                                                     |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
| Total number of others receiving over \$50,000 for professional services |                     | 0                |

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|       | Expenses |
|-------|----------|
| 1 N/A |          |
|       |          |
|       |          |
| 2     |          |
|       |          |
|       |          |
| 3     |          |
|       |          |
|       |          |
| 4     |          |
|       |          |
|       |          |

**Part IX-B** Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| 1 N/A                                                                                                            |        |
|                                                                                                                  |        |
|                                                                                                                  |        |
| 2                                                                                                                |        |
|                                                                                                                  |        |
|                                                                                                                  |        |
| All other program-related investments See instructions.                                                          |        |
| 3                                                                                                                |        |
|                                                                                                                  |        |
|                                                                                                                  |        |
| Total. Add lines 1 through 3                                                                                     | 0.     |

BAA

Form 990-PF (2013)

**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|                                                                                                               |     |            |
|---------------------------------------------------------------------------------------------------------------|-----|------------|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |     |            |
| a Average monthly fair market value of securities                                                             | 1 a | 5,452,863. |
| b Average of monthly cash balances                                                                            | 1 b | 391,105.   |
| c Fair market value of all other assets (see instructions)                                                    | 1 c |            |
| d Total (add lines 1a, b, and c)                                                                              | 1 d | 5,843,968. |
| e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1 e | 0.         |
| 2 Acquisition indebtedness applicable to line 1 assets                                                        | 2   | 0.         |
| 3 Subtract line 2 from line 1d                                                                                | 3   | 5,843,968. |
| 4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)   | 4   | 87,660.    |
| 5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4        | 5   | 5,756,308. |
| 6 Minimum investment return. Enter 5% of line 5                                                               | 6   | 287,815.   |

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|                                                                                                      |     |          |
|------------------------------------------------------------------------------------------------------|-----|----------|
| 1 Minimum investment return from Part X, line 6                                                      | 1   | 287,815. |
| 2a Tax on investment income for 2013 from Part VI, line 5                                            | 2 a | 4,137.   |
| b Income tax for 2013. (This does not include the tax from Part VI.)                                 | 2 b |          |
| c Add lines 2a and 2b                                                                                | 2 c | 4,137.   |
| 3 Distributable amount before adjustments. Subtract line 2c from line 1                              | 3   | 283,678. |
| 4 Recoveries of amounts treated as qualifying distributions                                          | 4   |          |
| 5 Add lines 3 and 4                                                                                  | 5   | 283,678. |
| 6 Deduction from distributable amount (see instructions)                                             | 6   |          |
| 7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7   | 283,678. |

**Part XIII** Qualifying Distributions (see instructions)

|                                                                                                                                                        |     |          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----------|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                                           |     |          |
| a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26                                                                        | 1 a | 355,483. |
| b Program-related investments — total from Part IX-B                                                                                                   | 1 b |          |
| 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                            | 2   |          |
| 3 Amounts set aside for specific charitable projects that satisfy the                                                                                  |     |          |
| a Suitability test (prior IRS approval required)                                                                                                       | 3 a |          |
| b Cash distribution test (attach the required schedule)                                                                                                | 3 b |          |
| 4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                           | 4   | 355,483. |
| 5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5   |          |
| 6 Adjusted qualifying distributions. Subtract line 5 from line 4                                                                                       | 6   | 355,483. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

BAA

Form 990-PF (2013)

**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2013 from Part XI, line 7                                                                                                                       |               |                            |             | 283,678.    |
| <b>2</b> Undistributed income, if any, as of the end of 2013                                                                                                                      |               |                            |             |             |
| <b>a</b> Enter amount for 2012 only                                                                                                                                               |               |                            | 0.          |             |
| <b>b</b> Total for prior years. 20____, 20____, 20____                                                                                                                            |               | 0.                         |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2013:                                                                                                                         |               |                            |             |             |
| <b>a</b> From 2008                                                                                                                                                                | 71,445.       |                            |             |             |
| <b>b</b> From 2009                                                                                                                                                                | 106,401.      |                            |             |             |
| <b>c</b> From 2010                                                                                                                                                                | 83,935.       |                            |             |             |
| <b>d</b> From 2011                                                                                                                                                                | 87,157.       |                            |             |             |
| <b>e</b> From 2012                                                                                                                                                                | 89,848.       |                            |             |             |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 438,786.      |                            |             |             |
| <b>4</b> Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 355,483.                                                                                                   |               |                            |             |             |
| <b>a</b> Applied to 2012, but not more than line 2a                                                                                                                               |               |                            | 0.          |             |
| <b>b</b> Applied to undistributed income of prior years (Election required — see instructions)                                                                                    |               | 0.                         |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required — see instructions)                                                                                            | 0.            |                            |             |             |
| <b>d</b> Applied to 2013 distributable amount                                                                                                                                     |               |                            |             | 283,678.    |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | 71,805.       |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)                                        | 0.            |                            |             | 0.          |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| <b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                        | 510,591.      |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| <b>d</b> Subtract line 6c from line 6b Taxable amount — see instructions                                                                                                          |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount — see instructions                                                                          |               |                            | 0.          |             |
| <b>f</b> Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014                                                              |               |                            |             | 0.          |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)                                  | 0.            |                            |             |             |
| <b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)                                                                              | 71,445.       |                            |             |             |
| <b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a                                                                                              | 439,146.      |                            |             |             |
| <b>10</b> Analysis of line 9                                                                                                                                                      |               |                            |             |             |
| <b>a</b> Excess from 2009                                                                                                                                                         | 106,401.      |                            |             |             |
| <b>b</b> Excess from 2010                                                                                                                                                         | 83,935.       |                            |             |             |
| <b>c</b> Excess from 2011                                                                                                                                                         | 87,157.       |                            |             |             |
| <b>d</b> Excess from 2012                                                                                                                                                         | 89,848.       |                            |             |             |
| <b>e</b> Excess from 2013                                                                                                                                                         | 71,805.       |                            |             |             |

## N/A

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(j)(3) or
- ☐
- 4942(j)(5)

- (4) Gross investment income**

[illegible]

## 1 Information Regarding Foundation Managers:

- None

- None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed

See Statement 8

- b** The form in which applications should be submitted and information and materials they should include.

See Statement for Line 2a

- c Any submission deadlines:**

See Statement for Line 2a

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See Statement for Line 2a

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)                                                                        | If recipient is an individual,<br>show any relationship to any<br>foundation manager or<br>substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount   |
|-------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|----------|
| <i>a Paid during the year</i><br>See attached Schedule B-3<br>(Exhibit 7)                                               |                                                                                                                    |                                      | see attached                        | 275,900. |
| <b>Total</b>                                                                                                            |                                                                                                                    |                                      | <b>3 a</b>                          | 275,900. |
| <i>b Approved for future payment</i><br>Castleton Community Seniors,<br>Inc.<br>2108 Main Street<br>Castleton, VT 05735 |                                                                                                                    | Public                               | General purposes                    | 50,000.  |
| Vermont Council on the<br>Humanities<br>200 Park Street<br>Morrisville, VT 05661                                        |                                                                                                                    | Public                               | Civil War Programs                  | 15,000.  |
| <b>Total</b>                                                                                                            |                                                                                                                    |                                      | <b>3 b</b>                          | 65,000.  |





2013

## Federal Statements

Page 1

Client VY-02920

Alma Gibbs Donchian Charitable  
Foundation, Inc.

06-1514400

5/14/14

03 35PM

Statement 1  
Form 990-PF, Part I, Line 11  
Other Income

|                         | (a)<br>Revenue<br>per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| Dell-Class Act Proceeds | \$ 30.                      |                                 |                               |
| USTreas Infl Indx OID   | 1,145.                      |                                 |                               |
| Total                   | \$ 1,175.                   | \$ 0.                           | \$ 0.                         |

Statement 2  
Form 990-PF, Part I, Line 16a  
Legal Fees

|                                        | (a)<br>Expenses<br>Per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|----------------------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| FCSN - tax preparation and filing exps | \$ 2,818.                    | \$ 2,818.                       |                               |                               |
| Total                                  | \$ 2,818.                    | \$ 2,818.                       |                               | \$ 0.                         |

Statement 3  
Form 990-PF, Part I, Line 16c  
Other Professional Fees

|                                        | (a)<br>Expenses<br>per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|----------------------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| Bank of New York - Investment Advisory | \$ 42,149.                   | \$ 42,149.                      |                               |                               |
| BNY Affiliated funds fee               | 42.                          | 42.                             |                               |                               |
| Foundation Services fee                | 3,750.                       | 1,875.                          |                               | \$ 1,875.                     |
| Total                                  | \$ 45,941.                   | \$ 44,066.                      |                               | \$ 1,875.                     |

Statement 4  
Form 990-PF, Part I, Line 18  
Taxes

|                                  | (a)<br>Expenses<br>per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|----------------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| 2012 balance due                 | \$ 331.                      |                                 |                               |                               |
| 2013 1st quarter estimate        | 442.                         |                                 |                               |                               |
| 2013 2nd quarter estimate        | 693.                         |                                 |                               |                               |
| 2013 3rd quarter estimate        | 693.                         |                                 |                               |                               |
| 2013 4th quarter estimate        | 693.                         |                                 |                               |                               |
| interest on 1st quarter estimate | 7.                           |                                 |                               |                               |
| Total                            | \$ 2,859.                    | \$ 0.                           |                               | \$ 0.                         |

2013

## Federal Statements

Page 2

Client VY-02920

Alma Gibbs Donchian Charitable  
Foundation, Inc.

06-1514400

5/14/14

03:35PM

Statement 5  
Form 990-PF, Part I, Line 23  
Other Expenses

|                                   | (a)<br>Expenses<br>per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|-----------------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| Hagendoorn & Emond Ins. - D&O Ins | \$ 2,483.                    |                                 |                               | \$ 2,483.                     |
| Total                             | <u>\$ 2,483.</u>             | <u>\$ 0.</u>                    |                               | <u>\$ 2,483.</u>              |

Statement 6  
Form 990-PF, Part II, Line 10b  
Investments - Corporate Stocks

| Corporate Stocks             | Valuation<br>Method | Book<br>Value        | Fair Market<br>Value |
|------------------------------|---------------------|----------------------|----------------------|
| Corporate Stocks (Exhibit 4) | Mkt Val             | \$ 3,315,036.        | \$ 4,932,884.        |
|                              | Total               | <u>\$ 3,315,036.</u> | <u>\$ 4,932,884.</u> |

Statement 7  
Form 990-PF, Part II, Line 10c  
Investments - Corporate Bonds

| Corporate Bonds             | Valuation<br>Method | Book<br>Value      | Fair Market<br>Value |
|-----------------------------|---------------------|--------------------|----------------------|
| Corporate Bonds (Exhibit 4) | Mkt Val             | \$ 979,470.        | \$ 993,891.          |
|                             | Total               | <u>\$ 979,470.</u> | <u>\$ 993,891.</u>   |

Statement 8  
Form 990-PF, Part XV, Line 2a-d  
Application Submission Information

Name of Grant Program:

Name:

Care Of:

Street Address:

City, State, Zip Code:

Telephone:

E-Mail Address:

Form and Content:

Foundation Services LLC

640 West Putnam Avenue, 3rd floor

Greenwich, CT 06830

203-629-8320

Any applicant should submit an application form and certification as to its tax exempt status. The application form is available from the website.

Submission Deadlines:

None

Restrictions on Awards:

Mission Statement and Guidelines attached as Exhibit 8.

2013

Federal Supporting Detail

Page 1

Client VY-02920

Alma Gibbs Donchian Charitable  
Foundation, Inc.

06-1514400

5/15/14

12:25PM

**Other Income Producing Activities**  
**Dividends/interest from securities.**

|                                           |    |                 |
|-------------------------------------------|----|-----------------|
| Dividends per Schedule A-3 (Exhibit 1)    | \$ | 100,660.        |
| Interest per Schedule A-4 (Exhibit 2)     |    | 50,905.         |
| Other Income per Schedule A-5 (Exhibit 3) |    | 659.            |
| Total                                     | \$ | <u>152,224.</u> |

Schedule A-3

DIVIDENDS RECEIVED

Accenture Ltd Class A

|          |                   |       |      |               |            |
|----------|-------------------|-------|------|---------------|------------|
| 05/15/13 | Dividend Rec'd on | 1,000 | Shs. | \$810.00      |            |
| 11/15/13 | Dividend Rec'd on | 1,000 | Shs. | <u>930.00</u> | \$1,740.00 |

Air Prods & Chems Inc.

|          |                   |     |      |               |        |
|----------|-------------------|-----|------|---------------|--------|
| 02/11/13 | Dividend Rec'd on | 525 | Shs. | \$336.00      |        |
| 05/13/13 | Dividend Rec'd on | 525 | Shs. | <u>372.75</u> | 708.75 |

AON PLC

|          |                   |     |      |  |       |
|----------|-------------------|-----|------|--|-------|
| 11/15/13 | Dividend Rec'd on | 300 | Shs. |  | 52.50 |
|----------|-------------------|-----|------|--|-------|

Apple Inc.

|          |                   |     |      |               |          |
|----------|-------------------|-----|------|---------------|----------|
| 02/14/13 | Dividend Rec'd on | 225 | Shs. | \$596.25      |          |
| 05/16/13 | Dividend Rec'd on | 225 | Shs. | 686.25        |          |
| 08/15/13 | Dividend Rec'd on | 225 | Shs. | 686.25        |          |
| 11/14/13 | Dividend Rec'd on | 225 | Shs. | <u>686.25</u> | 2,655.00 |

AT&T Corp.

|          |                   |       |      |               |          |
|----------|-------------------|-------|------|---------------|----------|
| 02/01/13 | Dividend Rec'd on | 600   | Shs. | \$270.00      |          |
| 05/01/13 | Dividend Rec'd on | 600   | Shs. | 270.00        |          |
| 08/01/13 | Dividend Rec'd on | 1,000 | Shs. | 450.00        |          |
| 11/01/13 | Dividend Rec'd on | 1,000 | Shs. | <u>450.00</u> | 1,440.00 |

Avago Technologies Ltd

|          |                   |     |      |  |        |
|----------|-------------------|-----|------|--|--------|
| 12/31/13 | Dividend Rec'd on | 625 | Shs. |  | 156.25 |
|----------|-------------------|-----|------|--|--------|

BB&T Corporation

|          |                   |       |      |               |        |
|----------|-------------------|-------|------|---------------|--------|
| 02/01/13 | Dividend Rec'd on | 1,300 | Shs. | \$260.00      |        |
| 03/01/13 | Dividend Rec'd on | 1,300 | Shs. | <u>299.00</u> | 559.00 |

BNY Mellon International-M

|          |                   |            |      |         |  |
|----------|-------------------|------------|------|---------|--|
| 01/14/13 | Dividend Rec'd on | 11,819.444 | Shs. | \$60.37 |  |
| 02/12/13 | Dividend Rec'd on | 11,819.444 | Shs. | 62.20   |  |
| 03/11/13 | Dividend Rec'd on | 11,819.444 | Shs. | 56.80   |  |
| 04/15/13 | Dividend Rec'd on | 11,819.444 | Shs. | 63.47   |  |
| 05/15/13 | Dividend Rec'd on | 11,819.444 | Shs. | 62.45   |  |
| 06/17/13 | Dividend Rec'd on | 11,819.444 | Shs. | 68.10   |  |
| 07/15/13 | Dividend Rec'd on | 11,819.444 | Shs. | 63.81   |  |

Schedule A-3 (Cont'd)

BNY Mellon International-M  
(Cont'd)

|          |                   |            |      |                 |            |
|----------|-------------------|------------|------|-----------------|------------|
| 08/12/13 | Dividend Rec'd on | 11,819.444 | Shs. | \$67.80         |            |
| 09/16/13 | Dividend Rec'd on | 11,819.444 | Shs. | 69.20           |            |
| 10/15/13 | Dividend Rec'd on | 11,819.444 | Shs. | 68.45           |            |
| 11/12/13 | Dividend Rec'd on | 11,819.444 | Shs. | 73.40           |            |
| 12/16/13 | Dividend Rec'd on | 11,819.444 | Shs. | 72.03           |            |
| 12/18/13 | Dividend Rec'd on | 11,819.444 | Shs. | <u>2,370.98</u> | \$3,159.06 |

BNY Mellon Emerging Mkts-M

|          |                   |            |      |                 |          |
|----------|-------------------|------------|------|-----------------|----------|
| 01/14/13 | Dividend Rec'd on | 36,764.706 | Shs. | \$218.76        |          |
| 02/12/13 | Dividend Rec'd on | 36,764.706 | Shs. | 228.34          |          |
| 03/11/13 | Dividend Rec'd on | 36,764.706 | Shs. | 203.79          |          |
| 04/15/13 | Dividend Rec'd on | 36,764.706 | Shs. | 221.54          |          |
| 05/15/13 | Dividend Rec'd on | 36,764.706 | Shs. | 209.57          |          |
| 06/17/13 | Dividend Rec'd on | 36,764.706 | Shs. | 223.26          |          |
| 07/15/13 | Dividend Rec'd on | 36,764.706 | Shs. | 196.89          |          |
| 08/12/13 | Dividend Rec'd on | 36,764.706 | Shs. | 200.14          |          |
| 09/16/13 | Dividend Rec'd on | 36,764.706 | Shs. | 200.43          |          |
| 10/15/13 | Dividend Rec'd on | 36,764.706 | Shs. | 205.16          |          |
| 11/12/13 | Dividend Rec'd on | 36,764.706 | Shs. | 222.66          |          |
| 12/16/13 | Dividend Rec'd on | 36,764.706 | Shs. | 213.51          |          |
| 12/19/13 | Dividend Rec'd on | 36,764.706 | Shs. | <u>3,911.76</u> | 6,455.81 |

BNY Mellon Intl Equity Income Fund

|          |                   |           |      |               |          |
|----------|-------------------|-----------|------|---------------|----------|
| 01/14/13 | Dividend Rec'd on | 4,415.011 | Shs. | \$31.05       |          |
| 02/12/13 | Dividend Rec'd on | 4,415.011 | Shs. | 32.14         |          |
| 03/04/13 | Dividend Rec'd on | 4,415.011 | Shs. | 172.19        |          |
| 03/11/13 | Dividend Rec'd on | 4,415.011 | Shs. | 28.92         |          |
| 04/15/13 | Dividend Rec'd on | 4,415.011 | Shs. | 31.92         |          |
| 05/15/13 | Dividend Rec'd on | 4,415.011 | Shs. | 31.25         |          |
| 06/04/13 | Dividend Rec'd on | 4,415.011 | Shs. | 878.59        |          |
| 06/17/13 | Dividend Rec'd on | 4,415.011 | Shs. | 33.28         |          |
| 07/15/13 | Dividend Rec'd on | 4,415.011 | Shs. | 29.76         |          |
| 08/12/13 | Dividend Rec'd on | 4,415.011 | Shs. | 31.22         |          |
| 09/04/13 | Dividend Rec'd on | 4,415.011 | Shs. | 737.31        |          |
| 09/16/13 | Dividend Rec'd on | 4,415.011 | Shs. | 31.84         |          |
| 10/15/13 | Dividend Rec'd on | 4,415.011 | Shs. | 31.27         |          |
| 11/12/13 | Dividend Rec'd on | 4,415.011 | Shs. | 33.48         |          |
| 12/16/13 | Dividend Rec'd on | 4,415.011 | Shs. | 32.02         |          |
| 12/31/13 | Dividend Rec'd on | 4,415.011 | Shs. | <u>675.50</u> | 2,841.74 |

Schedule A-3 (Cont'd)

BNY Mellon M/C Multi-Strategy Fund

|          |                   |           |      |               |            |
|----------|-------------------|-----------|------|---------------|------------|
| 01/14/13 | Dividend Rec'd on | 16,682.87 | Shs. | \$95.22       |            |
| 02/12/13 | Dividend Rec'd on | 16,682.87 | Shs. | 99.25         |            |
| 03/11/13 | Dividend Rec'd on | 16,682.87 | Shs. | 93.30         |            |
| 04/15/13 | Dividend Rec'd on | 16,682.87 | Shs. | 105.45        |            |
| 05/15/13 | Dividend Rec'd on | 16,682.87 | Shs. | 102.12        |            |
| 06/17/13 | Dividend Rec'd on | 16,682.87 | Shs. | 110.25        |            |
| 07/15/13 | Dividend Rec'd on | 16,682.87 | Shs. | 99.51         |            |
| 08/12/13 | Dividend Rec'd on | 16,682.87 | Shs. | 107.38        |            |
| 09/16/13 | Dividend Rec'd on | 16,682.87 | Shs. | 109.09        |            |
| 10/15/13 | Dividend Rec'd on | 16,682.87 | Shs. | 107.11        |            |
| 11/12/13 | Dividend Rec'd on | 16,682.87 | Shs. | 114.09        |            |
| 12/16/13 | Dividend Rec'd on | 16,682.87 | Shs. | 113.45        |            |
| 12/17/13 | Dividend Rec'd on | 16,682.87 | Shs. | <u>900.87</u> | \$2,157.09 |

Bristol-Myers Squibb Co

|          |                   |       |      |  |        |
|----------|-------------------|-------|------|--|--------|
| 11/01/13 | Dividend Rec'd on | 1,000 | Shs. |  | 350.00 |
|----------|-------------------|-------|------|--|--------|

Broadcom Corp Cl A

|          |                   |     |      |              |        |
|----------|-------------------|-----|------|--------------|--------|
| 03/04/13 | Dividend Rec'd on | 900 | Shs. | \$99.00      |        |
| 06/17/13 | Dividend Rec'd on | 900 | Shs. | 99.00        |        |
| 09/16/13 | Dividend Rec'd on | 900 | Shs. | <u>99.00</u> | 297.00 |

Capital One Financing Corp

|          |                   |     |      |               |        |
|----------|-------------------|-----|------|---------------|--------|
| 08/15/13 | Dividend Rec'd on | 400 | Shs. | \$120.00      |        |
| 11/21/13 | Dividend Rec'd on | 400 | Shs. | <u>120.00</u> | 240.00 |

Carnival Corp

|          |                   |     |      |               |        |
|----------|-------------------|-----|------|---------------|--------|
| 03/15/13 | Dividend Rec'd on | 850 | Shs. | \$212.50      |        |
| 06/14/13 | Dividend Rec'd on | 850 | Shs. | <u>212.50</u> | 425.00 |

Caterpillar Inc.

|          |                   |     |      |               |        |
|----------|-------------------|-----|------|---------------|--------|
| 05/20/13 | Dividend Rec'd on | 475 | Shs. | \$247.00      |        |
| 08/20/13 | Dividend Rec'd on | 475 | Shs. | 285.00        |        |
| 11/20/13 | Dividend Rec'd on | 475 | Shs. | <u>285.00</u> | 817.00 |

Chevron Corporation

|          |                   |     |      |          |  |
|----------|-------------------|-----|------|----------|--|
| 03/11/13 | Dividend Rec'd on | 375 | Shs. | \$337.50 |  |
| 06/10/13 | Dividend Rec'd on | 375 | Shs. | 375.00   |  |
| 09/10/13 | Dividend Rec'd on | 375 | Shs. | 375.00   |  |

Schedule A-3 (Cont'd)

Chevron Corporation  
(Cont'd)

|          |                   |     |      |                 |            |
|----------|-------------------|-----|------|-----------------|------------|
| 12/10/13 | Dividend Rec'd on | 375 | Shs. | <u>\$375.00</u> | \$1,462.50 |
|----------|-------------------|-----|------|-----------------|------------|

Coca Cola Co

|          |                   |       |      |               |          |
|----------|-------------------|-------|------|---------------|----------|
| 04/01/13 | Dividend Rec'd on | 1,300 | Shs. | \$364.00      |          |
| 07/01/13 | Dividend Rec'd on | 1,300 | Shs. | 364.00        |          |
| 10/01/13 | Dividend Rec'd on | 1,300 | Shs. | 364.00        |          |
| 12/16/13 | Dividend Rec'd on | 1,300 | Shs. | <u>364.00</u> | 1,456.00 |

Comcast Corp Cl A

|          |                   |       |      |               |        |
|----------|-------------------|-------|------|---------------|--------|
| 01/23/13 | Dividend Rec'd on | 1,000 | Shs. | \$162.50      |        |
| 04/24/13 | Dividend Rec'd on | 1,000 | Shs. | 195.00        |        |
| 07/24/13 | Dividend Rec'd on | 1,000 | Shs. | 195.00        |        |
| 10/23/13 | Dividend Rec'd on | 1,000 | Shs. | <u>195.00</u> | 747.50 |

Costco Whsl Corp New

|          |                   |     |      |              |        |
|----------|-------------------|-----|------|--------------|--------|
| 02/22/13 | Dividend Rec'd on | 200 | Shs. | \$55.00      |        |
| 05/31/13 | Dividend Rec'd on | 200 | Shs. | 62.00        |        |
| 08/23/13 | Dividend Rec'd on | 200 | Shs. | 62.00        |        |
| 11/29/13 | Dividend Rec'd on | 200 | Shs. | <u>62.00</u> | 241.00 |

Covidien PLC

|          |                   |     |      |               |        |
|----------|-------------------|-----|------|---------------|--------|
| 05/03/13 | Dividend Rec'd on | 675 | Shs. | \$175.50      |        |
| 08/15/13 | Dividend Rec'd on | 675 | Shs. | 175.50        |        |
| 11/05/13 | Dividend Rec'd on | 675 | Shs. | <u>216.00</u> | 567.00 |

Dover Corp.

|          |                   |     |      |               |        |
|----------|-------------------|-----|------|---------------|--------|
| 03/15/13 | Dividend Rec'd on | 525 | Shs. | \$183.75      |        |
| 06/17/13 | Dividend Rec'd on | 525 | Shs. | 183.75        |        |
| 09/16/13 | Dividend Rec'd on | 525 | Shs. | 196.88        |        |
| 12/16/13 | Dividend Rec'd on | 525 | Shs. | <u>196.88</u> | 761.26 |

Dreyfus Pre Strat Inter ST-I

|          |                   |           |      |         |  |
|----------|-------------------|-----------|------|---------|--|
| 01/14/13 | Dividend Rec'd on | 8,282.917 | Shs. | \$61.09 |  |
| 02/12/13 | Dividend Rec'd on | 8,282.917 | Shs. | 61.96   |  |
| 03/11/13 | Dividend Rec'd on | 8,282.917 | Shs. | 56.13   |  |
| 04/15/13 | Dividend Rec'd on | 8,282.917 | Shs. | 63.15   |  |
| 05/15/13 | Dividend Rec'd on | 8,282.917 | Shs. | 61.54   |  |
| 06/17/13 | Dividend Rec'd on | 8,282.917 | Shs. | 65.22   |  |
| 07/15/13 | Dividend Rec'd on | 8,282.917 | Shs. | 59.50   |  |

Schedule A-3 (Cont'd)

Dreyfus Pre Strat Inter ST-I  
(Cont'd)

|          |                   |                |                 |            |
|----------|-------------------|----------------|-----------------|------------|
| 08/12/13 | Dividend Rec'd on | 8,282.917 Shs. | \$62.58         |            |
| 09/16/13 | Dividend Rec'd on | 8,282.917 Shs. | 63.60           |            |
| 10/15/13 | Dividend Rec'd on | 8,282.917 Shs. | 63.11           |            |
| 11/12/13 | Dividend Rec'd on | 8,282.917 Shs. | 66.15           |            |
| 12/16/13 | Dividend Rec'd on | 8,282.917 Shs. | 63.93           |            |
| 12/31/13 | Dividend Rec'd on | 8,282.917 Shs. | <u>1,900.93</u> | \$2,648.89 |

Dreyfus/Newton International  
Equity-I

|          |                   |                |              |          |
|----------|-------------------|----------------|--------------|----------|
| 01/14/13 | Dividend Rec'd on | 4,833.502 Shs. | \$42.56      |          |
| 02/12/13 | Dividend Rec'd on | 4,833.502 Shs. | 44.20        |          |
| 03/11/13 | Dividend Rec'd on | 4,833.502 Shs. | 40.84        |          |
| 04/15/13 | Dividend Rec'd on | 4,833.502 Shs. | 45.75        |          |
| 05/15/13 | Dividend Rec'd on | 4,833.502 Shs. | 45.35        |          |
| 06/17/13 | Dividend Rec'd on | 4,833.502 Shs. | 48.73        |          |
| 07/14/13 | Dividend Rec'd on | 4,833.502 Shs. | 45.00        |          |
| 08/12/13 | Dividend Rec'd on | 4,833.502 Shs. | 47.56        |          |
| 09/16/13 | Dividend Rec'd on | 4,833.502 Shs. | 48.18        |          |
| 10/15/13 | Dividend Rec'd on | 4,833.502 Shs. | 47.19        |          |
| 11/12/13 | Dividend Rec'd on | 4,833.502 Shs. | 50.16        |          |
| 12/13/13 | Dividend Rec'd on | 4,833.502 Shs. | 1,770.03     |          |
| 12/16/13 | Dividend Rec'd on | 4,833.502 Shs. | <u>48.61</u> | 2,324.16 |

Dreyfus Opportunistic S/C

|          |                   |                |              |        |
|----------|-------------------|----------------|--------------|--------|
| 01/14/13 | Dividend Rec'd on | 2,024.291 Shs. | \$34.36      |        |
| 02/12/13 | Dividend Rec'd on | 2,024.291 Shs. | 37.02        |        |
| 03/11/13 | Dividend Rec'd on | 2,024.291 Shs. | 34.80        |        |
| 04/15/13 | Dividend Rec'd on | 2,024.291 Shs. | 39.77        |        |
| 05/15/13 | Dividend Rec'd on | 2,024.291 Shs. | 37.40        |        |
| 06/17/13 | Dividend Rec'd on | 2,024.291 Shs. | 41.24        |        |
| 07/15/13 | Dividend Rec'd on | 2,024.291 Shs. | 40.94        |        |
| 08/12/13 | Dividend Rec'd on | 2,024.291 Shs. | 45.42        |        |
| 09/16/13 | Dividend Rec'd on | 2,024.291 Shs. | 46.56        |        |
| 10/15/13 | Dividend Rec'd on | 2,024.291 Shs. | 45.29        |        |
| 11/12/13 | Dividend Rec'd on | 2,024.291 Shs. | 48.57        |        |
| 12/16/13 | Dividend Rec'd on | 2,024.291 Shs. | <u>47.72</u> | 499.09 |

Dreyfus High Yield-I

|          |                   |                 |          |  |
|----------|-------------------|-----------------|----------|--|
| 01/03/13 | Dividend Rec'd on | 13,769.363 Shs. | \$518.45 |  |
| 01/14/13 | Dividend Rec'd on | 13,769.363 Shs. | 44.06    |  |
| 02/01/13 | Dividend Rec'd on | 13,769.363 Shs. | 516.18   |  |
| 02/12/13 | Dividend Rec'd on | 13,769.363 Shs. | 44.70    |  |

Schedule A-3 (Cont'd)

Dreyfus High Yield-I  
(Cont'd)

|          |                   |            |      |              |            |
|----------|-------------------|------------|------|--------------|------------|
| 03/01/13 | Dividend Rec'd on | 13,769.363 | Shs. | \$466.95     |            |
| 03/11/13 | Dividend Rec'd on | 13,769.363 | Shs. | 40.17        |            |
| 04/01/13 | Dividend Rec'd on | 19,695.289 | Shs. | 484.30       |            |
| 04/15/13 | Dividend Rec'd on | 19,695.289 | Shs. | 49.08        |            |
| 05/01/13 | Dividend Rec'd on | 19,695.289 | Shs. | 768.68       |            |
| 05/15/13 | Dividend Rec'd on | 19,695.289 | Shs. | 62.22        |            |
| 06/03/13 | Dividend Rec'd on | 19,695.289 | Shs. | 715.09       |            |
| 06/17/13 | Dividend Rec'd on | 19,695.289 | Shs. | 64.87        |            |
| 07/01/13 | Dividend Rec'd on | 19,695.289 | Shs. | 662.47       |            |
| 07/15/13 | Dividend Rec'd on | 19,695.289 | Shs. | 60.68        |            |
| 08/01/13 | Dividend Rec'd on | 19,695.289 | Shs. | 756.30       |            |
| 08/12/13 | Dividend Rec'd on | 19,695.289 | Shs. | 62.76        |            |
| 09/03/13 | Dividend Rec'd on | 19,695.289 | Shs. | 708.81       |            |
| 09/16/13 | Dividend Rec'd on | 19,695.289 | Shs. | 62.63        |            |
| 10/01/13 | Dividend Rec'd on | 19,695.289 | Shs. | 723.25       |            |
| 10/15/13 | Dividend Rec'd on | 19,695.289 | Shs. | 60.66        |            |
| 11/01/13 | Dividend Rec'd on | 19,695.289 | Shs. | 697.90       |            |
| 11/12/13 | Dividend Rec'd on | 13,769.363 | Shs. | 63.48        |            |
| 12/04/13 | Dividend Rec'd on | 19,695.289 | Shs. | 659.76       |            |
| 12/16/13 | Dividend Rec'd on | 19,695.289 | Shs. | <u>61.79</u> | \$8,355.24 |

Dreyfus Select Mger S/C Gr-I

|          |                   |           |      |              |       |
|----------|-------------------|-----------|------|--------------|-------|
| 10/15/13 | Dividend Rec'd on | 1,351.874 | Shs. | \$3.84       |       |
| 11/12/13 | Dividend Rec'd on | 1,351.874 | Shs. | 15.13        |       |
| 12/16/13 | Dividend Rec'd on | 1,919.626 | Shs. | <u>20.99</u> | 39.96 |

Dreyfus Select Mger S/C VI-I

|          |                   |           |      |               |        |
|----------|-------------------|-----------|------|---------------|--------|
| 10/15/13 | Dividend Rec'd on | 1,423.343 | Shs. | \$3.84        |        |
| 11/12/13 | Dividend Rec'd on | 1,423.343 | Shs. | 15.23         |        |
| 12/16/13 | Dividend Rec'd on | 2,014.593 | Shs. | 21.40         |        |
| 12/31/13 | Dividend Rec'd on | 2,014.593 | Shs. | <u>295.14</u> | 335.61 |

Duke Energy Corp.

|          |                   |     |      |               |          |
|----------|-------------------|-----|------|---------------|----------|
| 03/18/13 | Dividend Rec'd on | 650 | Shs. | \$497.25      |          |
| 06/17/13 | Dividend Rec'd on | 650 | Shs. | 497.25        |          |
| 09/16/13 | Dividend Rec'd on | 650 | Shs. | 507.00        |          |
| 12/16/13 | Dividend Rec'd on | 650 | Shs. | <u>507.00</u> | 2,008.50 |

Eaton Corp PLC

|          |                   |     |      |          |  |
|----------|-------------------|-----|------|----------|--|
| 03/22/13 | Dividend Rec'd on | 950 | Shs. | \$399.00 |  |
| 05/24/13 | Dividend Rec'd on | 950 | Shs. | 399.00   |  |

Schedule A-3 (Cont'd)

Eaton Corp PLC  
(Cont'd)

|          |                   |     |      |               |            |
|----------|-------------------|-----|------|---------------|------------|
| 08/26/13 | Dividend Rec'd on | 800 | Shs. | \$336.00      |            |
| 11/22/13 | Dividend Rec'd on | 800 | Shs. | <u>336.00</u> | \$1,470.00 |

E I DuPont DeNemours & Company

|          |                   |     |      |               |          |
|----------|-------------------|-----|------|---------------|----------|
| 03/14/13 | Dividend Rec'd on | 600 | Shs. | \$258.00      |          |
| 06/12/13 | Dividend Rec'd on | 600 | Shs. | 270.00        |          |
| 09/12/13 | Dividend Rec'd on | 600 | Shs. | 270.00        |          |
| 12/13/13 | Dividend Rec'd on | 600 | Shs. | <u>270.00</u> | 1,068.00 |

Exxon Mobil

|          |                   |       |      |               |          |
|----------|-------------------|-------|------|---------------|----------|
| 03/11/13 | Dividend Rec'd on | 1,200 | Shs. | \$684.00      |          |
| 06/10/13 | Dividend Rec'd on | 1,200 | Shs. | 756.00        |          |
| 09/10/13 | Dividend Rec'd on | 1,000 | Shs. | 630.00        |          |
| 12/10/13 | Dividend Rec'd on | 1,000 | Shs. | <u>630.00</u> | 2,700.00 |

Family Dir Stores Inc.

|          |                   |     |      |               |        |
|----------|-------------------|-----|------|---------------|--------|
| 07/15/13 | Dividend Rec'd on | 500 | Shs. | \$130.00      |        |
| 10/15/13 | Dividend Rec'd on | 500 | Shs. | <u>130.00</u> | 260.00 |

Freeport McMoran Copper & Gold  
Inc Class B

|          |                   |       |      |               |          |
|----------|-------------------|-------|------|---------------|----------|
| 02/01/13 | Dividend Rec'd on | 1,600 | Shs. | \$500.00      |          |
| 05/01/13 | Dividend Rec'd on | 1,600 | Shs. | 500.00        |          |
| 07/01/13 | Dividend Rec'd on | 1,600 | Shs. | 1,600.00      |          |
| 08/01/13 | Dividend Rec'd on | 1,600 | Shs. | 500.00        |          |
| 11/01/13 | Dividend Rec'd on | 1,600 | Shs. | <u>500.00</u> | 3,600.00 |

General Electric

|          |                   |       |      |               |          |
|----------|-------------------|-------|------|---------------|----------|
| 01/25/13 | Dividend Rec'd on | 2,075 | Shs. | \$394.25      |          |
| 04/25/13 | Dividend Rec'd on | 2,075 | Shs. | 394.25        |          |
| 07/25/13 | Dividend Rec'd on | 2,075 | Shs. | 394.25        |          |
| 10/25/13 | Dividend Rec'd on | 2,075 | Shs. | <u>394.25</u> | 1,577.00 |

Glaxo Smithkline PLC

|          |                   |     |      |  |        |
|----------|-------------------|-----|------|--|--------|
| 10/03/13 | Dividend Rec'd on | 500 | Shs. |  | 276.61 |
|----------|-------------------|-----|------|--|--------|

Halliburton Co

|          |                   |     |      |         |  |
|----------|-------------------|-----|------|---------|--|
| 03/27/13 | Dividend Rec'd on | 650 | Shs. | \$81.25 |  |
|----------|-------------------|-----|------|---------|--|

Schedule A-3 (Cont'd)

Halliburton Co  
(Cont'd)

|          |                   |     |      |              |          |
|----------|-------------------|-----|------|--------------|----------|
| 06/26/13 | Dividend Rec'd on | 650 | Shs. | \$81.25      |          |
| 09/25/13 | Dividend Rec'd on | 650 | Shs. | 81.25        |          |
| 12/27/13 | Dividend Rec'd on | 650 | Shs. | <u>97.50</u> | \$341.25 |

Home Depot

|          |                   |     |      |               |          |
|----------|-------------------|-----|------|---------------|----------|
| 03/28/13 | Dividend Rec'd on | 900 | Shs. | \$351.00      |          |
| 06/20/13 | Dividend Rec'd on | 900 | Shs. | 351.00        |          |
| 09/19/13 | Dividend Rec'd on | 900 | Shs. | 351.00        |          |
| 12/19/13 | Dividend Rec'd on | 900 | Shs. | <u>351.00</u> | 1,404.00 |

Honeywell International Inc.

|          |                   |       |      |               |          |
|----------|-------------------|-------|------|---------------|----------|
| 03/11/13 | Dividend Rec'd on | 1,000 | Shs. | \$410.00      |          |
| 06/10/13 | Dividend Rec'd on | 1,000 | Shs. | 410.00        |          |
| 09/10/13 | Dividend Rec'd on | 800   | Shs. | 328.00        |          |
| 12/10/13 | Dividend Rec'd on | 800   | Shs. | <u>360.00</u> | 1,508.00 |

Ingersoll-Rand PLC

|          |                   |     |      |               |        |
|----------|-------------------|-----|------|---------------|--------|
| 03/29/13 | Dividend Rec'd on | 650 | Shs. | \$136.50      |        |
| 06/28/13 | Dividend Rec'd on | 650 | Shs. | 136.50        |        |
| 09/30/13 | Dividend Rec'd on | 650 | Shs. | 136.50        |        |
| 11/15/13 | Dividend Rec'd on | 650 | Shs. | <u>136.50</u> | 546.00 |

Intel Corporation

|          |                   |       |      |               |          |
|----------|-------------------|-------|------|---------------|----------|
| 03/01/13 | Dividend Rec'd on | 2,150 | Shs. | \$483.75      |          |
| 06/03/13 | Dividend Rec'd on | 2,150 | Shs. | 483.75        |          |
| 09/03/13 | Dividend Rec'd on | 2,150 | Shs. | <u>483.75</u> | 1,451.25 |

International Business Machines

|          |                   |     |      |               |          |
|----------|-------------------|-----|------|---------------|----------|
| 03/11/13 | Dividend Rec'd on | 400 | Shs. | \$340.00      |          |
| 06/10/13 | Dividend Rec'd on | 400 | Shs. | 380.00        |          |
| 09/10/13 | Dividend Rec'd on | 400 | Shs. | 380.00        |          |
| 12/10/13 | Dividend Rec'd on | 400 | Shs. | <u>380.00</u> | 1,480.00 |

Invesco Ltd

|          |                   |       |      |               |        |
|----------|-------------------|-------|------|---------------|--------|
| 06/07/13 | Dividend Rec'd on | 1,000 | Shs. | \$225.00      |        |
| 09/06/13 | Dividend Rec'd on | 1,000 | Shs. | 225.00        |        |
| 12/09/13 | Dividend Rec'd on | 1,000 | Shs. | <u>225.00</u> | 675.00 |

Schedule A-3 (Cont'd)

Johnson Ctls Inc.

|          |                   |     |      |               |          |
|----------|-------------------|-----|------|---------------|----------|
| 04/02/13 | Dividend Rec'd on | 800 | Shs. | \$152.00      |          |
| 07/02/13 | Dividend Rec'd on | 800 | Shs. | 152.00        |          |
| 10/02/13 | Dividend Rec'd on | 800 | Shs. | <u>152.00</u> | \$456.00 |

JP Morgan Chase & Co.

|          |                   |       |      |               |          |
|----------|-------------------|-------|------|---------------|----------|
| 01/31/13 | Dividend Rec'd on | 1,175 | Shs. | \$352.50      |          |
| 04/30/13 | Dividend Rec'd on | 1,175 | Shs. | 352.50        |          |
| 07/31/13 | Dividend Rec'd on | 1,175 | Shs. | 446.50        |          |
| 10/31/13 | Dividend Rec'd on | 1,175 | Shs. | <u>446.50</u> | 1,598.00 |

Kimberly Clark Corporation

|          |                   |     |      |               |          |
|----------|-------------------|-----|------|---------------|----------|
| 01/03/13 | Dividend Rec'd on | 500 | Shs. | \$370.00      |          |
| 04/02/13 | Dividend Rec'd on | 500 | Shs. | 405.00        |          |
| 07/02/13 | Dividend Rec'd on | 500 | Shs. | 405.00        |          |
| 10/02/13 | Dividend Rec'd on | 500 | Shs. | <u>405.00</u> | 1,585.00 |

K L A - Tencor Corp

|          |                   |     |      |  |        |
|----------|-------------------|-----|------|--|--------|
| 12/02/13 | Dividend Rec'd on | 375 | Shs. |  | 168.75 |
|----------|-------------------|-----|------|--|--------|

Kraft Foods Group Inc.

|          |                   |     |      |               |        |
|----------|-------------------|-----|------|---------------|--------|
| 01/14/13 | Dividend Rec'd on | 433 | Shs. | \$216.50      |        |
| 04/12/13 | Dividend Rec'd on | 433 | Shs. | 216.50        |        |
| 07/12/13 | Dividend Rec'd on | 433 | Shs. | 216.50        |        |
| 10/25/13 | Dividend Rec'd on | 433 | Shs. | <u>227.33</u> | 876.83 |

Lily Eli & Co

|          |                   |       |      |               |        |
|----------|-------------------|-------|------|---------------|--------|
| 03/08/13 | Dividend Rec'd on | 1,000 | Shs. | \$490.00      |        |
| 06/10/13 | Dividend Rec'd on | 1,000 | Shs. | <u>490.00</u> | 980.00 |

Marathon Oil Corp.

|          |                   |     |      |               |        |
|----------|-------------------|-----|------|---------------|--------|
| 03/11/13 | Dividend Rec'd on | 700 | Shs. | \$119.00      |        |
| 06/10/13 | Dividend Rec'd on | 700 | Shs. | 119.00        |        |
| 09/10/13 | Dividend Rec'd on | 700 | Shs. | 133.00        |        |
| 12/10/13 | Dividend Rec'd on | 700 | Shs. | <u>133.00</u> | 504.00 |

Mead Johnson Nutrition

|          |                   |     |      |               |        |
|----------|-------------------|-----|------|---------------|--------|
| 01/03/13 | Dividend Rec'd on | 300 | Shs. | \$90.00       |        |
| 04/01/13 | Dividend Rec'd on | 300 | Shs. | <u>102.00</u> | 192.00 |

Schedule A-3 (Cont'd)

Merck & Co Inc.

|          |                   |     |      |  |          |
|----------|-------------------|-----|------|--|----------|
| 01/08/13 | Dividend Rec'd on | 850 | Shs. |  | \$365.50 |
|----------|-------------------|-----|------|--|----------|

Metlife Inc.

|          |                   |     |      |               |        |
|----------|-------------------|-----|------|---------------|--------|
| 03/13/13 | Dividend Rec'd on | 525 | Shs. | \$97.13       |        |
| 06/13/13 | Dividend Rec'd on | 525 | Shs. | 144.38        |        |
| 09/13/13 | Dividend Rec'd on | 525 | Shs. | 144.38        |        |
| 12/13/13 | Dividend Rec'd on | 525 | Shs. | <u>144.38</u> | 530.27 |

Mondelez International-W/I

|          |                   |       |      |               |        |
|----------|-------------------|-------|------|---------------|--------|
| 01/14/13 | Dividend Rec'd on | 1,300 | Shs. | \$169.00      |        |
| 04/15/13 | Dividend Rec'd on | 1,300 | Shs. | 169.00        |        |
| 07/15/13 | Dividend Rec'd on | 1,300 | Shs. | 169.00        |        |
| 10/15/13 | Dividend Rec'd on | 1,300 | Shs. | <u>182.00</u> | 689.00 |

National Oilwell Varco Inc.

|          |                   |     |      |              |        |
|----------|-------------------|-----|------|--------------|--------|
| 09/27/13 | Dividend Rec'd on | 300 | Shs. | \$78.00      |        |
| 12/20/13 | Dividend Rec'd on | 300 | Shs. | <u>78.00</u> | 156.00 |

Nucor Corp

|          |                   |     |      |  |        |
|----------|-------------------|-----|------|--|--------|
| 11/08/13 | Dividend Rec'd on | 450 | Shs. |  | 165.38 |
|----------|-------------------|-----|------|--|--------|

Occidental Petroleum Corp.

|          |                   |     |      |               |        |
|----------|-------------------|-----|------|---------------|--------|
| 04/15/13 | Dividend Rec'd on | 325 | Shs. | \$208.00      |        |
| 07/15/13 | Dividend Rec'd on | 325 | Shs. | 208.00        |        |
| 10/15/13 | Dividend Rec'd on | 325 | Shs. | <u>208.00</u> | 624.00 |

Procter & Gamble

|          |                   |       |      |               |          |
|----------|-------------------|-------|------|---------------|----------|
| 02/15/13 | Dividend Rec'd on | 1,000 | Shs. | \$562.00      |          |
| 05/15/13 | Dividend Rec'd on | 1,000 | Shs. | 601.50        |          |
| 08/15/13 | Dividend Rec'd on | 1,000 | Shs. | 601.50        |          |
| 11/15/13 | Dividend Rec'd on | 1,000 | Shs. | <u>601.50</u> | 2,366.50 |

Pepsico Inc.

|          |                   |       |      |               |          |
|----------|-------------------|-------|------|---------------|----------|
| 01/02/13 | Dividend Rec'd on | 1,300 | Shs. | \$698.75      |          |
| 03/29/13 | Dividend Rec'd on | 1,300 | Shs. | 698.75        |          |
| 06/28/13 | Dividend Rec'd on | 1,300 | Shs. | 737.75        |          |
| 09/30/13 | Dividend Rec'd on | 1,300 | Shs. | <u>737.75</u> | 2,873.00 |

Schedule A-3 (Cont'd)

Philip Morris International, Inc.

|          |                   |     |      |               |            |
|----------|-------------------|-----|------|---------------|------------|
| 01/11/13 | Dividend Rec'd on | 775 | Shs. | \$658.75      |            |
| 04/12/13 | Dividend Rec'd on | 775 | Shs. |               |            |
|          | SSC               |     |      | 658.75        |            |
| 07/12/13 | Dividend Rec'd on | 775 | Shs. | 658.75        |            |
| 10/11/13 | Dividend Rec'd on | 775 | Shs. | <u>728.50</u> | \$2,704.75 |

PNC Financial Services Group

|          |                   |     |      |               |          |
|----------|-------------------|-----|------|---------------|----------|
| 02/05/13 | Dividend Rec'd on | 650 | Shs. | \$260.00      |          |
| 05/06/13 | Dividend Rec'd on | 650 | Shs. | 286.00        |          |
| 08/05/13 | Dividend Rec'd on | 650 | Shs. | 286.00        |          |
| 11/05/13 | Dividend Rec'd on | 650 | Shs. | <u>286.00</u> | 1,118.00 |

Pfizer Inc.

|          |                   |       |      |               |          |
|----------|-------------------|-------|------|---------------|----------|
| 03/05/13 | Dividend Rec'd on | 2,600 | Shs. | \$624.00      |          |
| 06/04/13 | Dividend Rec'd on | 2,600 | Shs. | 624.00        |          |
| 09/04/13 | Dividend Rec'd on | 2,600 | Shs. | 624.00        |          |
| 12/03/13 | Dividend Rec'd on | 2,600 | Shs. | <u>624.00</u> | 2,496.00 |

Qualcomm

|          |                   |     |      |               |        |
|----------|-------------------|-----|------|---------------|--------|
| 03/27/13 | Dividend Rec'd on | 450 | Shs. | \$112.50      |        |
| 06/26/13 | Dividend Rec'd on | 450 | Shs. | <u>157.50</u> | 270.00 |

Schlumberger Limited

|          |                   |       |      |               |          |
|----------|-------------------|-------|------|---------------|----------|
| 01/11/13 | Dividend Rec'd on | 1,000 | Shs. | \$275.00      |          |
| 04/12/13 | Dividend Rec'd on | 1,000 | Shs. | 312.50        |          |
| 07/12/13 | Dividend Rec'd on | 1,000 | Shs. | 312.50        |          |
| 10/11/13 | Dividend Rec'd on | 750   | Shs. | <u>234.38</u> | 1,134.38 |

Sempra Energy

|          |                   |     |      |               |          |
|----------|-------------------|-----|------|---------------|----------|
| 01/15/13 | Dividend Rec'd on | 600 | Shs. | \$360.00      |          |
| 04/15/13 | Dividend Rec'd on | 600 | Shs. | 378.00        |          |
| 07/15/13 | Dividend Rec'd on | 600 | Shs. | 378.00        |          |
| 10/15/13 | Dividend Rec'd on | 600 | Shs. | <u>378.00</u> | 1,494.00 |

Shire PLC

|          |                   |     |      |              |        |
|----------|-------------------|-----|------|--------------|--------|
| 04/09/13 | Dividend Rec'd on | 300 | Shs. | \$131.40     |        |
| 10/03/13 | Dividend Rec'd on | 300 | Shs. | <u>27.00</u> | 158.40 |

Schedule A-3 (Cont'd)

State Street Corp.

|          |          |          |     |      |               |          |
|----------|----------|----------|-----|------|---------------|----------|
| 01/15/13 | Dividend | Rec'd on | 500 | Shs. | \$120.00      |          |
| 04/12/13 | Dividend | Rec'd on | 500 | Shs. | 130.00        |          |
| 07/16/13 | Dividend | Rec'd on | 500 | Shs. | 130.00        |          |
| 10/15/13 | Dividend | Rec'd on | 500 | Shs. | <u>130.00</u> | \$510.00 |

TCW Emerging Markets Income Fund

|          |          |          |            |      |               |          |
|----------|----------|----------|------------|------|---------------|----------|
| 01/02/13 | Dividend | Rec'd on | 10,349.288 | Shs. | \$465.72      |          |
| 02/01/13 | Dividend | Rec'd on | 10,349.288 | Shs. | 465.72        |          |
| 03/01/13 | Dividend | Rec'd on | 10,349.288 | Shs. | 465.72        |          |
| 03/29/13 | Dividend | Rec'd on | 10,349.288 | Shs. | 465.72        |          |
| 05/01/13 | Dividend | Rec'd on | 10,349.288 | Shs. | 465.72        |          |
| 06/03/13 | Dividend | Rec'd on | 10,349.288 | Shs. | 465.72        |          |
| 07/01/13 | Dividend | Rec'd on | 10,349.288 | Shs. | 465.72        |          |
| 08/02/13 | Dividend | Rec'd on | 10,349.288 | Shs. | 465.72        |          |
| 09/03/13 | Dividend | Rec'd on | 10,349.288 | Shs. | 362.23        |          |
| 10/02/13 | Dividend | Rec'd on | 10,349.288 | Shs. | 362.23        |          |
| 11/04/13 | Dividend | Rec'd on | 10,349.288 | Shs. | 362.23        |          |
| 12/02/13 | Dividend | Rec'd on | 10,349.288 | Shs. | 362.23        |          |
| 12/31/13 | Dividend | Rec'd on | 10,349.288 | Shs. | <u>362.23</u> | 5,536.91 |

Teva Pharmaceuticals

|          |                      |          |     |      |               |        |
|----------|----------------------|----------|-----|------|---------------|--------|
| 03/07/13 | Dividend             | Rec'd on | 650 | Shs. | \$204.07      |        |
| 03/07/13 | Dividend             | Rec'd on | 650 | Shs. | 30.61-        |        |
| 06/03/13 | Dividend             | Rec'd on | 650 | Shs. | 205.13        |        |
| 06/03/13 | Dividend             | Rec'd on | 650 | Shs. |               |        |
|          | Foreign tax withheld |          |     |      | 30.77-        |        |
| 09/03/13 | Dividend             | Rec'd on | 650 | Shs. | 209.27        |        |
| 09/03/13 | Dividend             | Rec'd on | 650 | Shs. |               |        |
|          | Foreign tax withheld |          |     |      | 31.39-        |        |
| 12/03/13 | Dividend             | Rec'd on | 650 | Shs. | 212.24        |        |
| 12/03/13 | Dividend             | Rec'd on | 650 | Shs. |               |        |
|          | Foreign tax withheld |          |     |      | <u>31.84-</u> | 706.10 |

The Travelers Companies Inc.

|          |          |          |     |      |               |        |
|----------|----------|----------|-----|------|---------------|--------|
| 06/28/13 | Dividend | Rec'd on | 250 | Shs. | \$125.00      |        |
| 09/30/13 | Dividend | Rec'd on | 250 | Shs. | 125.00        |        |
| 12/31/13 | Dividend | Rec'd on | 250 | Shs. | <u>125.00</u> | 375.00 |

Union Pacific Corp.

|          |          |          |     |      |          |  |
|----------|----------|----------|-----|------|----------|--|
| 01/02/13 | Dividend | Rec'd on | 300 | Shs. | \$207.00 |  |
| 04/01/13 | Dividend | Rec'd on | 300 | Shs. | 207.00   |  |
| 07/01/13 | Dividend | Rec'd on | 300 | Shs. | 207.00   |  |

Schedule A-3 (Cont'd)

Union Pacific Corp.  
(Cont'd)

|          |                   |     |      |                 |          |
|----------|-------------------|-----|------|-----------------|----------|
| 10/01/13 | Dividend Rec'd on | 300 | Shs. | <u>\$237.00</u> | \$858.00 |
|----------|-------------------|-----|------|-----------------|----------|

United Technologies Corporation

|          |                   |     |      |               |          |
|----------|-------------------|-----|------|---------------|----------|
| 03/11/13 | Dividend Rec'd on | 600 | Shs. | \$321.00      |          |
| 06/10/13 | Dividend Rec'd on | 600 | Shs. | 321.00        |          |
| 09/10/13 | Dividend Rec'd on | 600 | Shs. | 321.00        |          |
| 12/10/13 | Dividend Rec'd on | 600 | Shs. | <u>354.00</u> | 1,317.00 |

US Bancorp

|          |                   |     |      |  |        |
|----------|-------------------|-----|------|--|--------|
| 01/15/13 | Dividend Rec'd on | 600 | Shs. |  | 117.00 |
|----------|-------------------|-----|------|--|--------|

Valero Energy Corp New

|          |                   |       |      |               |        |
|----------|-------------------|-------|------|---------------|--------|
| 03/13/13 | Dividend Rec'd on | 1,000 | Shs. | \$200.00      |        |
| 06/19/13 | Dividend Rec'd on | 1,000 | Shs. | 200.00        |        |
| 09/11/13 | Dividend Rec'd on | 1,000 | Shs. | 225.00        |        |
| 12/18/13 | Dividend Rec'd on | 1,000 | Shs. | <u>225.00</u> | 850.00 |

Verizon Communications

|          |                   |     |      |               |          |
|----------|-------------------|-----|------|---------------|----------|
| 02/01/13 | Dividend Rec'd on | 800 | Shs. | \$412.00      |          |
| 05/01/13 | Dividend Rec'd on | 800 | Shs. | 412.00        |          |
| 08/01/13 | Dividend Rec'd on | 800 | Shs. | 412.00        |          |
| 11/01/13 | Dividend Rec'd on | 800 | Shs. | <u>424.00</u> | 1,660.00 |

Wells Fargo & Company

|          |                   |     |      |               |        |
|----------|-------------------|-----|------|---------------|--------|
| 03/01/13 | Dividend Rec'd on | 650 | Shs. | \$162.50      |        |
| 06/03/13 | Dividend Rec'd on | 650 | Shs. | 195.00        |        |
| 09/03/13 | Dividend Rec'd on | 650 | Shs. | 195.00        |        |
| 12/02/13 | Dividend Rec'd on | 650 | Shs. | <u>195.00</u> | 747.50 |

YUM! Brands Inc.

|          |                   |     |      |               |               |
|----------|-------------------|-----|------|---------------|---------------|
| 02/01/13 | Dividend Rec'd on | 450 | Shs. | \$150.75      |               |
| 05/03/13 | Dividend Rec'd on | 450 | Shs. | 150.75        |               |
| 08/02/13 | Dividend Rec'd on | 450 | Shs. | 150.75        |               |
| 11/01/13 | Dividend Rec'd on | 450 | Shs. | <u>166.50</u> | <u>618.75</u> |

TOTAL SCHEDULE A-3

\$100,660.04  
=====

Schedule A-4

INTEREST RECEIVED

Astrazeneca PLC

5.4% Due 06/01/14

|          |          |          |             |                 |            |
|----------|----------|----------|-------------|-----------------|------------|
| 06/03/13 | Interest | Rec'd on | \$50,000.00 | \$1,350.00      |            |
| 12/02/13 | Interest | Rec'd on | \$50,000.00 | <u>1,350.00</u> | \$2,700.00 |

AT&T, Inc.

5.8% Due 02/15/19

|          |          |          |             |                 |          |
|----------|----------|----------|-------------|-----------------|----------|
| 02/15/13 | Interest | Rec'd on | \$50,000.00 | \$1,450.00      |          |
| 08/15/13 | Interest | Rec'd on | \$50,000.00 | <u>1,450.00</u> | 2,900.00 |

Barclays Bank PLC

5% Due 09/22/16

|          |          |          |             |                 |          |
|----------|----------|----------|-------------|-----------------|----------|
| 03/22/13 | Interest | Rec'd on | \$50,000.00 | \$1,250.00      |          |
| 09/23/13 | Interest | Rec'd on | \$50,000.00 | <u>1,250.00</u> | 2,500.00 |

Berkshire Hathaway, Inc.

3.4% Due 01/31/22

|          |                |                  |             |                |        |
|----------|----------------|------------------|-------------|----------------|--------|
| 07/31/13 | Interest       | Rec'd on         | \$50,000.00 | \$850.00       |        |
| 03/28/13 | Less: Interest | Paid on Purchase |             | <u>273.89-</u> | 576.11 |

Blackrock, Inc.

6.25% Due 09/15/17

|          |          |          |             |                 |          |
|----------|----------|----------|-------------|-----------------|----------|
| 03/15/13 | Interest | Rec'd on | \$50,000.00 | \$1,562.50      |          |
| 09/16/13 | Interest | Rec'd on | \$50,000.00 | <u>1,562.50</u> | 3,125.00 |

Boeing Capital Corp.

3.25% Due 12/15/11

|          |          |          |             |               |          |
|----------|----------|----------|-------------|---------------|----------|
| 04/29/13 | Interest | Rec'd on | \$35,000.00 | \$568.75      |          |
| 09/20/13 | Interest | Rec'd on | \$35,000.00 | <u>451.84</u> | 1,020.59 |

Cisco Systems, Inc.

1.625% Due 03/14/14

|          |          |          |             |              |        |
|----------|----------|----------|-------------|--------------|--------|
| 03/14/13 | Interest | Rec'd on | \$50,000.00 | \$406.25     |        |
| 09/16/13 | Interest | Rec'd on | \$50,000.00 | 406.25       |        |
| 09/20/13 | Interest | Rec'd on | \$50,000.00 | <u>13.54</u> | 826.04 |

Schedule A-4 (Cont'd)

CRA 12 (BNY Mellon, NA, Member  
FDIC)

|          |                |  |             |         |
|----------|----------------|--|-------------|---------|
| 01/02/13 | Interest Rec'd |  | \$18.59     |         |
| 02/01/13 | Interest Rec'd |  | 2.77        |         |
| 03/01/13 | Interest Rec'd |  | <u>2.16</u> | \$23.52 |

Credit Suisse Fb USA

5.125% Due 08/15/15

|          |                   |             |                 |          |
|----------|-------------------|-------------|-----------------|----------|
| 02/15/13 | Interest Rec'd on | \$50,000.00 | \$1,281.25      |          |
| 08/15/13 | Interest Rec'd on | \$50,000.00 | <u>1,281.25</u> | 2,562.50 |

Deutsche Bank AG Lond

3.45% Due 03/30/15

|          |                   |             |               |          |
|----------|-------------------|-------------|---------------|----------|
| 04/02/13 | Interest Rec'd on | \$50,000.00 | \$862.50      |          |
| 09/30/13 | Interest Rec'd on | \$50,000.00 | <u>862.50</u> | 1,725.00 |

Dominion Resources Inc.

5% Due 03/15/13

|          |                   |              |  |          |
|----------|-------------------|--------------|--|----------|
| 03/15/13 | Interest Rec'd on | \$100,000.00 |  | 2,500.00 |
|----------|-------------------|--------------|--|----------|

General Electric Capital Corp.

5.625% Due 05/01/18

|          |                   |             |                 |          |
|----------|-------------------|-------------|-----------------|----------|
| 05/01/13 | Interest Rec'd on | \$50,000.00 | \$1,406.25      |          |
| 11/01/13 | Interest Rec'd on | \$50,000.00 | <u>1,406.25</u> | 2,812.50 |

Goldman Sachs Group Inc.

5.25% Due 10/15/13

|          |                   |              |                 |          |
|----------|-------------------|--------------|-----------------|----------|
| 04/15/13 | Interest Rec'd on | \$100,000.00 | \$2,625.00      |          |
| 09/20/13 | Interest Rec'd on | \$100,000.00 | <u>2,260.42</u> | 4,885.42 |

HSBC Finance Corp.

5% Due 06/30/15

|          |                   |             |                 |          |
|----------|-------------------|-------------|-----------------|----------|
| 07/01/13 | Interest Rec'd on | \$50,000.00 | \$1,250.00      |          |
| 12/30/13 | Interest Rec'd on | \$50,000.00 | <u>1,250.00</u> | 2,500.00 |

Intel Corp

1.95% Due 10/01/16

|          |                   |             |               |        |
|----------|-------------------|-------------|---------------|--------|
| 04/01/13 | Interest Rec'd on | \$50,000.00 | \$487.50      |        |
| 10/01/13 | Interest Rec'd on | \$50,000.00 | <u>487.50</u> | 975.00 |

Schedule A-4 (Cont'd)

John Deere Capital Corp.

5.1% Due 01/15/13

|          |                   |             |  |            |
|----------|-------------------|-------------|--|------------|
| 01/15/13 | Interest Rec'd on | \$50,000.00 |  | \$1,275.00 |
|----------|-------------------|-------------|--|------------|

JP Morgan Chase & Co.

5.25% Due 05/01/15

|          |                   |             |                 |          |
|----------|-------------------|-------------|-----------------|----------|
| 05/01/13 | Interest Rec'd on | \$50,000.00 | \$1,312.50      |          |
| 11/01/13 | Interest Rec'd on | \$50,000.00 | <u>1,312.50</u> | 2,625.00 |

Lloyds Tsb Bank PCL

4.2% Due 03/28/17

|          |                   |             |                 |          |
|----------|-------------------|-------------|-----------------|----------|
| 03/28/13 | Interest Rec'd on | \$50,000.00 | \$1,050.00      |          |
| 09/30/13 | Interest Rec'd on | \$50,000.00 | <u>1,050.00</u> | 2,100.00 |

Morgan Stanley

5.3% Due 03/01/13

|          |                   |             |  |          |
|----------|-------------------|-------------|--|----------|
| 03/01/13 | Interest Rec'd on | \$50,000.00 |  | 1,325.00 |
|----------|-------------------|-------------|--|----------|

Occidental Petroleum

1.5% Due 02/15/18

|          |                                 |             |               |        |
|----------|---------------------------------|-------------|---------------|--------|
| 08/15/13 | Interest Rec'd on               | \$50,000.00 | \$375.00      |        |
| 03/28/13 | Less: Interest Paid on Purchase |             | <u>89.58-</u> | 285.42 |

Oracle Corp.

5.25% Due 01/15/16

|          |                   |             |                 |          |
|----------|-------------------|-------------|-----------------|----------|
| 01/15/13 | Interest Rec'd on | \$50,000.00 | \$1,312.50      |          |
| 07/15/13 | Interest Rec'd on | \$50,000.00 | <u>1,312.50</u> | 2,625.00 |

Rabobank Netherland

2.125% Due 10/13/15

|          |                   |             |               |          |
|----------|-------------------|-------------|---------------|----------|
| 04/15/13 | Interest Rec'd on | \$50,000.00 | \$531.25      |          |
| 10/15/13 | Interest Rec'd on | \$50,000.00 | <u>531.25</u> | 1,062.50 |

Shell International

3.25% Due 09/22/15

|          |                   |             |               |          |
|----------|-------------------|-------------|---------------|----------|
| 03/22/13 | Interest Rec'd on | \$50,000.00 | \$812.50      |          |
| 09/23/13 | Interest Rec'd on | \$50,000.00 | <u>812.50</u> | 1,625.00 |

Schedule A-4 (Cont'd)

United States Treasury Inflation  
IX

2% Due 01/15/26

|          |                   |              |               |            |
|----------|-------------------|--------------|---------------|------------|
| 01/16/13 | Interest Rec'd on | \$116,547.00 | \$1,162.96    |            |
| 07/16/13 | Interest Rec'd on | \$117,161.00 | 1,172.52      |            |
| 09/27/13 | Interest Rec'd on | \$117,688.00 | <u>473.31</u> | \$2,808.79 |

Verizon Global Fdg Cor.

4.9% Due 09/15/15

|          |                   |             |                 |          |
|----------|-------------------|-------------|-----------------|----------|
| 03/15/13 | Interest Rec'd on | \$50,000.00 | \$1,225.00      |          |
| 09/16/13 | Interest Rec'd on | \$50,000.00 | <u>1,225.00</u> | 2,450.00 |

Wachovia Bank N.A.

4.8% Due 11/01/14

|          |                   |             |               |          |
|----------|-------------------|-------------|---------------|----------|
| 05/01/13 | Interest Rec'd on | \$35,000.00 | \$840.00      |          |
| 11/01/13 | Interest Rec'd on | \$35,000.00 | <u>840.00</u> | 1,680.00 |

Wal-Mart Stores Inc.

3.625% Due 07/08/20

|          |                                 |  |  |                |
|----------|---------------------------------|--|--|----------------|
| 11/05/13 | Less: Interest Paid on Purchase |  |  | <u>589.06-</u> |
|----------|---------------------------------|--|--|----------------|

TOTAL SCHEDULE A-4

\$50,904.33  
=====

Schedule A-5

OTHER INCOME RECEIVED

BNY Mellon Small/Mid Cap Fund-M

|          |                 |                |               |                 |
|----------|-----------------|----------------|---------------|-----------------|
| 01/14/13 | Income Rec'd on | 3,389.83 Units | \$27.69       |                 |
| 02/12/13 | Income Rec'd on | 3,389.83 Units | 29.14         |                 |
| 03/11/13 | Income Rec'd on | 3,389.83 Units | 27.22         |                 |
| 04/15/13 | Income Rec'd on | 3,389.83 Units | 30.80         |                 |
| 05/15/13 | Income Rec'd on | 3,389.83 Units | 29.49         |                 |
| 06/17/13 | Income Rec'd on | 3,389.83 Units | 32.10         |                 |
| 07/15/13 | Income Rec'd on | 3,389.83 Units | 30.77         |                 |
| 08/12/13 | Income Rec'd on | 3,389.83 Units | 33.40         |                 |
| 09/16/13 | Income Rec'd on | 3,389.83 Units | 33.66         |                 |
| 10/15/13 | Income Rec'd on | 3,389.83 Units | 32.80         |                 |
| 11/12/13 | Income Rec'd on | 3,389.83 Units | 34.90         |                 |
| 12/16/13 | Income Rec'd on | 3,389.83 Units | 34.19         |                 |
| 12/17/13 | Income Rec'd on | 3,389.83 Units | <u>283.05</u> | <u>\$659.21</u> |

TOTAL SCHEDULE A-5

\$659.21  
=====

Schedule B-4

ASSETS ON HAND AS OF 12/31/13

| <u>Bonds, Notes, etc.</u>                                            | <u>Market<br/>Value</u> | <u>Inventory<br/>Value</u> |
|----------------------------------------------------------------------|-------------------------|----------------------------|
| Astrazeneca PLC<br>5.4% Due 06/01/14<br>\$50,000.00                  | \$51,046.50             | \$52,564.00                |
| AT&T, Inc.<br>5.8% Due 02/15/19<br>\$50,000.00                       | 57,318.00               | 53,425.00                  |
| Barclays Bank PLC<br>5% Due 09/22/16<br>\$50,000.00                  | 55,047.00               | 53,800.50                  |
| Berkshire Hathaway, Inc.<br>3.4% Due 01/31/22<br>\$50,000.00         | 49,734.50               | 52,561.50                  |
| Blackrock, Inc.<br>6.25% Due 09/15/17<br>\$50,000.00                 | 58,013.50               | 55,012.00                  |
| Credit Suisse Fb USA<br>5.125% Due 08/15/15<br>\$50,000.00           | 53,580.50               | 54,882.50                  |
| Deutsche Bank AG Lond<br>3.45% Due 03/30/15<br>\$50,000.00           | 51,601.50               | 52,061.50                  |
| General Electric Capital Corp.<br>5.625% Due 05/01/18<br>\$50,000.00 | 57,418.50               | 59,451.00                  |
| HSBC Finance Corp.<br>5% Due 06/30/15<br>\$50,000.00                 | 52,836.00               | 51,062.50                  |
| Intel Corp<br>1.95% Due 10/01/16<br>\$50,000.00                      | 51,400.00               | 52,455.00                  |
| JP Morgan Chase & Co.<br>5.25% Due 05/01/15<br>\$50,000.00           | 52,773.00               | 50,872.00                  |

Schedule B-4 (Cont'd)

| <u>Bonds, Notes, etc. (Cont'd)</u>                          | <u>Market<br/>Value</u> | <u>Inventory<br/>Value</u> |
|-------------------------------------------------------------|-------------------------|----------------------------|
| Lloyds Tsb Bank PCL<br>4.2% Due 03/28/17<br>\$50,000.00     | \$53,956.00             | \$55,248.50                |
| Occidental Petroleum<br>1.5% Due 02/15/18<br>\$50,000.00    | 48,868.50               | 50,671.50                  |
| Oracle Corp.<br>5.25% Due 01/15/16<br>\$50,000.00           | 54,580.00               | 48,156.00                  |
| Rabobank Netherland<br>2.125% Due 10/13/15<br>\$50,000.00   | 51,289.00               | 51,081.50                  |
| Shell International<br>3.25% Due 09/22/15<br>\$50,000.00    | 52,330.00               | 50,268.50                  |
| Verizon Global Fdg Cor.<br>4.9% Due 09/15/15<br>\$50,000.00 | 53,406.50               | 45,324.00                  |
| Wachovia Bank N.A.<br>4.8% Due 11/01/14<br>\$35,000.00      | 36,299.55               | 37,030.70                  |
| Wal-Mart Stores Inc.<br>3.625% Due 07/08/20<br>\$50,000.00  | 52,392.50               | 53,542.00                  |
| <u>Stock and Common Funds</u>                               |                         |                            |
| Accenture Ltd Class A<br>1,000 Shs.                         | 82,220.00               | 23,654.67                  |
| Actavis PLC<br>400 Shs.                                     | 67,200.00               | 24,312.68                  |
| Adobe Systems Inc<br>600 Shs.                               | 35,927.40               | 26,728.80                  |

Schedule B-4 (Cont'd)

| <u>Stock and Common Funds (Cont'd)</u>                  | <u>Market<br/>Value</u> | <u>Inventory<br/>Value</u> |
|---------------------------------------------------------|-------------------------|----------------------------|
| Advantage Global Alpha-I<br>6,997.899 Shs.              | \$102,379.26            | \$100,000.00               |
| Allegion PLC<br>216 Shs.                                | 9,545.04                | 5,426.58                   |
| Amazon.Com Inc.<br>150 Shs.                             | 59,818.50               | 29,481.93                  |
| AON PLC<br>300 Shs.                                     | 25,167.00               | 20,561.97                  |
| Apple Inc.<br>225 Shs.                                  | 126,229.50              | 21,298.66                  |
| ASG Global Alternatives Fund - Y<br>8,650.519 Shs.      | 98,875.43               | 100,000.00                 |
| AT&T Corp.<br>1,000 Shs.                                | 35,160.00               | 34,827.88                  |
| Avago Technologies Ltd<br>625 Shs.                      | 33,049.38               | 28,150.00                  |
| BNY Mellon International-M<br>11,819.444 Shs.           | 147,743.05              | 102,238.19                 |
| BNY Mellon Small/Mid Cap Fund-M<br>3,389.83 Units       | 54,033.89               | 50,000.00                  |
| BNY Mellon Emerging Mkts-M<br>36,764.706 Shs.           | 365,441.18              | 325,000.00                 |
| BNY Mellon Intl Equity Income<br>Fund<br>4,415.011 Shs. | 63,929.36               | 60,000.00                  |
| BNY Mellon M/C Multi-Strategy<br>Fund<br>16,682.87 Shs. | 241,901.62              | 200,000.00                 |
| Bristol-Myers Squibb Co<br>1,000 Shs.                   | 53,150.00               | 43,700.00                  |

Schedule B-4 (Cont'd)

| <u>Stock and Common Funds (Cont'd)</u>                     | <u>Market<br/>Value</u> | <u>Inventory<br/>Value</u> |
|------------------------------------------------------------|-------------------------|----------------------------|
| Capital One Financing Corp<br>400 Shs.                     | \$30,644.00             | \$24,851.96                |
| Caterpillar Inc.<br>475 Shs.                               | 43,134.75               | 14,496.95                  |
| Chevron Corporation<br>375 Shs.                            | 46,841.25               | 24,167.89                  |
| Coca Cola Co<br>1,300 Shs.                                 | 53,703.00               | 42,570.52                  |
| Comcast Corp Cl A<br>1,000 Shs.                            | 51,965.00               | 33,920.00                  |
| Costco Whsl Corp New<br>200 Shs.                           | 23,804.00               | 19,013.38                  |
| Covidien PLC<br>675 Shs.                                   | 45,967.50               | 3,652.13                   |
| Dover Corp.<br>525 Shs.                                    | 50,683.50               | 29,219.61                  |
| Dreyfus Pre Strat Inter ST-I<br>8,282.917 Shs.             | 129,047.85              | 101,940.79                 |
| Dreyfus/Newton International<br>Equity-I<br>4,833.502 Shs. | 98,313.43               | 88,743.10                  |
| Dreyfus Opportunistic S/C<br>2,024.291 Shs.                | 72,550.59               | 65,000.00                  |
| Dreyfus High Yield-I<br>19,695.289 Shs.                    | 132,943.20              | 120,000.00                 |
| Dreyfus Select Mger S/C Gr-I<br>1,919.626 Shs.             | 50,236.61               | 50,000.00                  |
| Dreyfus Select Mger S/C VI-I<br>2,014.593 Shs.             | 50,022.34               | 50,000.00                  |
| Duke Energy Corp.<br>650 Shs.                              | 44,856.50               | 36,318.75                  |

Schedule B-4 (Cont'd)

| <u>Stock and Common Funds (Cont'd)</u>                      | <u>Market<br/>Value</u> | <u>Inventory<br/>Value</u> |
|-------------------------------------------------------------|-------------------------|----------------------------|
| Eaton Corp PLC<br>800 Shs.                                  | \$60,896.00             | \$31,395.88                |
| E I DuPont DeNemours & Company<br>600 Shs.                  | 38,982.00               | 25,294.62                  |
| Express Scripts Holding Co.<br>650 Shs.                     | 45,656.00               | 34,235.63                  |
| Exxon Mobil<br>1,000 Shs.                                   | 101,200.00              | 43,536.92                  |
| Family Dir Stores Inc.<br>500 Shs.                          | 32,485.00               | 30,152.35                  |
| Freeport McMoran Copper & Gold<br>Inc Class B<br>1,600 Shs. | 60,384.00               | 21,373.78                  |
| General Electric<br>2,075 Shs.                              | 58,162.25               | 32,506.60                  |
| Gilead Sciences Inc<br>1,000 Shs.                           | 75,100.00               | 23,192.80                  |
| Glaxo Smithkline PLC<br>500 Shs.                            | 26,695.00               | 26,864.80                  |
| Halliburton Co<br>650 Shs.                                  | 32,987.50               | 23,568.94                  |
| Home Depot<br>900 Shs.                                      | 74,106.00               | 24,951.60                  |
| Honeywell International Inc.<br>800 Shs.                    | 73,096.00               | 26,569.48                  |
| Ingersoll-Rand PLC<br>650 Shs.                              | 40,040.00               | 21,174.43                  |
| International Business Machines<br>400 Shs.                 | 75,028.00               | 36,595.42                  |
| Invesco Ltd<br>1,000 Shs.                                   | 36,400.00               | 28,379.90                  |

Schedule B-4 (Cont'd)

| <u>Stock and Common Funds (Cont'd)</u>        | <u>Market<br/>Value</u> | <u>Inventory<br/>Value</u> |
|-----------------------------------------------|-------------------------|----------------------------|
| Johnson Ctls Inc.<br>800 Shs.                 | \$41,040.00             | \$12,951.32                |
| JP Morgan Chase & Co.<br>1,175 Shs.           | 68,714.00               | 47,668.43                  |
| Kimberly Clark Corporation<br>500 Shs.        | 52,230.00               | 30,873.50                  |
| K L A - Tencor Corp<br>375 Shs.               | 24,172.50               | 24,735.00                  |
| Kraft Foods Group Inc.<br>433 Shs.            | 23,343.03               | 11,551.84                  |
| Marathon Oil Corp.<br>700 Shs.                | 24,710.00               | 20,682.83                  |
| Metlife Inc.<br>525 Shs.                      | 28,308.00               | 15,552.34                  |
| Mondelez International-W/I<br>1,300 Shs.      | 45,890.00               | 21,385.20                  |
| National Oilwell Varco Inc.<br>300 Shs.       | 23,859.00               | 21,564.00                  |
| Nucor Corp<br>450 Shs.                        | 24,021.00               | 20,920.46                  |
| Occidental Petroleum Corp.<br>325 Shs.        | 30,907.50               | 26,863.98                  |
| Ocwen Financial Corp<br>500 Shs.              | 27,725.00               | 26,083.25                  |
| Procter & Gamble<br>1,000 Shs.                | 81,410.00               | 29,290.10                  |
| Pepsico Inc.<br>1,300 Shs.                    | 107,822.00              | 70,631.56                  |
| Philip Morris International, Inc.<br>775 Shs. | 67,525.75               | 36,861.81                  |

Schedule B-4 (Cont'd)

| <u>Stock and Common Funds (Cont'd)</u>              | <u>Market<br/>Value</u> | <u>Inventory<br/>Value</u> |
|-----------------------------------------------------|-------------------------|----------------------------|
| PNC Financial Services Group<br>650 Shs.            | \$50,427.00             | \$36,938.13                |
| Pfizer Inc.<br>2,600 Shs.                           | 79,638.00               | 61,952.49                  |
| Salesforce.com Inc.<br>640 Shs.                     | 35,321.60               | 17,061.22                  |
| Schlumberger Limited<br>750 Shs.                    | 67,582.50               | 25,168.29                  |
| Sempra Energy<br>600 Shs.                           | 53,856.00               | 30,610.20                  |
| Shire PLC<br>300 Shs.                               | 42,387.00               | 26,749.53                  |
| Southwestern Energy Co.<br>750 Shs.                 | 29,497.50               | 25,810.13                  |
| State Street Corp.<br>500 Shs.                      | 36,695.00               | 20,552.60                  |
| Taiwan Semiconductor Mfg ADR<br>975 Shs.            | 17,004.00               | 16,292.25                  |
| TCW Emerging Markets Income Fund<br>10,349.288 Shs. | 86,934.02               | 80,000.00                  |
| Teva Pharmaceuticals<br>650 Shs.                    | 26,052.00               | 24,758.50                  |
| The Travelers Companies Inc.<br>250 Shs.            | 22,635.00               | 20,952.55                  |
| Union Pacific Corp.<br>300 Shs.                     | 50,400.00               | 13,840.98                  |
| United Technologies Corporation<br>600 Shs.         | 68,280.00               | 26,984.80                  |
| Valero Energy Corp New<br>1,000 Shs.                | 50,400.00               | 17,982.27                  |

Schedule B-4 (Cont'd)

| <u>Stock and Common Funds (Cont'd)</u> | <u>Market<br/>Value</u> | <u>Inventory<br/>Value</u> |
|----------------------------------------|-------------------------|----------------------------|
| Verizon Communications<br>800 Shs.     | \$39,312.00             | \$27,960.83                |
| Vmware Inc. - Class A<br>250 Shs.      | 22,427.50               | 21,755.75                  |
| Walt Disney Co.<br>800 Shs.            | 61,120.00               | 33,495.28                  |
| Wells Fargo & Company<br>650 Shs.      | 29,510.00               | 15,306.07                  |
| YUM! Brands Inc.<br>450 Shs.           | 34,024.50               | 24,178.28                  |
| <br><u>Cash and Cash Equivalents</u>   |                         |                            |
| Cash                                   | <u>231,567.41</u>       | <u>231,567.41</u>          |
| TOTAL SCHEDULE B-4                     | \$6,158,341.74<br>===== | \$4,526,072.97<br>=====    |

Schedule A-2

INCREASE ON SALE OR REDEMPTION OF ASSETS

|                                                                                      |                | <u>Proceeds</u> | <u>Inventory<br/>Value</u> | <u>Increase</u> |
|--------------------------------------------------------------------------------------|----------------|-----------------|----------------------------|-----------------|
| <u>Bonds, Notes, etc.</u>                                                            |                |                 |                            |                 |
| John Deere Capital Corp.                                                             |                |                 |                            |                 |
| 5.1% Due 01/15/13                                                                    |                |                 |                            |                 |
| 01/15/13                                                                             | \$50,000.00    | \$50,000.00     | \$48,980.00                | \$1,020.00      |
| United States Treasury Inflation<br>IX                                               |                |                 |                            |                 |
| 2% Due 01/15/26                                                                      |                |                 |                            |                 |
| 09/27/13                                                                             | \$117,688.00   | 135,510.90      | 112,280.65                 | 23,230.25       |
| <u>Stock and Common Funds</u>                                                        |                |                 |                            |                 |
| Air Prods & Chems Inc.                                                               |                |                 |                            |                 |
| 04/29/13                                                                             | 525 Shs.       | 44,965.40       | 34,554.33                  | 10,411.07       |
| Allegion PLC                                                                         |                |                 |                            |                 |
| 12/10/13                                                                             | 0.6667 Shs.    | 27.12           | 16.75                      | 10.37           |
| ASG Global Alternatives Fund - Y                                                     |                |                 |                            |                 |
| 12/30/13                                                                             | 8,650.519 Shs. |                 |                            |                 |
| Received long term capital<br>gain distribution - Increase<br>recognized on receipt  |                | 2,884.08        | .00                        | 2,884.08        |
| 12/30/13                                                                             | 8,650.519 Shs. |                 |                            |                 |
| Received short term capital<br>gain distribution - Increase<br>recognized on receipt |                | 2,999.13        | .00                        | 2,999.13        |
| BB&T Corporation                                                                     |                |                 |                            |                 |
| 04/19/13                                                                             | 1,300 Shs.     | 39,256.13       | 32,789.81                  | 6,466.32        |

Schedule A-2 (Cont'd)

|                                                                                      | <u>Proceeds</u> | <u>Inventory<br/>Value</u> | <u>Increase</u> |
|--------------------------------------------------------------------------------------|-----------------|----------------------------|-----------------|
| <u>Stock and Common Funds (Cont'd)</u>                                               |                 |                            |                 |
| <u>BNY Mellon Small/Mid Cap Fund-M</u>                                               |                 |                            |                 |
| 12/17/13            3,389.83 Units                                                   |                 |                            |                 |
| Received capital long term<br>gain distribution - Increase<br>recognized on receipt  | \$2,941.02      | \$ .00                     | \$2,941.02      |
| 12/17/13            3,389.83 Units                                                   |                 |                            |                 |
| Received short term capital<br>gain distribution - Increase<br>recognized on receipt | 184.75          | .00                        | 184.75          |
| <u>BNY Mellon M/C Multi-Strategy<br/>Fund</u>                                        |                 |                            |                 |
| 12/17/13            16,682.87 Shs.                                                   |                 |                            |                 |
| Received long term capital<br>gain distribution - Increase<br>recognized on receipt  | 4,364.24        | .00                        | 4,364.24        |
| 12/17/13            16,682.87 Shs.                                                   |                 |                            |                 |
| Received short term capital<br>gain distribution - Increase<br>recognized on receipt | 7,136.93        | .00                        | 7,136.93        |
| <u>ConocoPhillips</u>                                                                |                 |                            |                 |
| 01/18/13            500        Shs.                                                  | 29,366.24       | 25,158.32                  | 4,207.92        |
| <u>C S T Brands, Inc.</u>                                                            |                 |                            |                 |
| 05/23/13            0.1111 Shs.                                                      | 3.69            | 1.62                       | 2.07            |
| 05/30/13            111        Shs.                                                  | 3,679.69        | 1,615.31                   | 2,064.38        |
| <u>Dreyfus Opportunistic S/C</u>                                                     |                 |                            |                 |
| 12/12/13            2,024.291 Shs.                                                   |                 |                            |                 |
| Received long term capital<br>gain distribution - Increase<br>recognized on receipt  | 8,065.38        | .00                        | 8,065.38        |
| 12/12/13            2,024.291 Shs.                                                   |                 |                            |                 |
| Received short term capital<br>gain distribution - Increase<br>recognized on receipt | 849.59          | .00                        | 849.59          |

Schedule A-2 (Cont'd)

|                                                                                      |           |      | <u>Proceeds</u> | <u>Inventory<br/>Value</u> | <u>Increase</u> |
|--------------------------------------------------------------------------------------|-----------|------|-----------------|----------------------------|-----------------|
| <u>Stock and Common Funds (Cont'd)</u>                                               |           |      |                 |                            |                 |
| <u>Dreyfus Select Mger S/C Gr-I</u>                                                  |           |      |                 |                            |                 |
| 12/05/13                                                                             | 1,919.626 | Shs. |                 |                            |                 |
| Received long term capital<br>gain distribution - Increase<br>recognized on receipt  |           |      | \$1,550.48      | \$ .00                     | \$1,550.48      |
| 12/05/13                                                                             | 1,919.626 | Shs. |                 |                            |                 |
| Received short term capital<br>gain distribution - Increase<br>recognized on receipt |           |      | 980.74          | .00                        | 980.74          |
| <u>Dreyfus Select Mger S/C VI-I</u>                                                  |           |      |                 |                            |                 |
| 12/31/13                                                                             | 2,014.593 | Shs. |                 |                            |                 |
| Received long term capital<br>gain distribution - Increase<br>recognized on receipt  |           |      | 2,239.42        | .00                        | 2,239.42        |
| 12/31/13                                                                             | 2,014.593 | Shs. |                 |                            |                 |
| Received short term capital<br>gain distribution - Increase<br>recognized on receipt |           |      | 2,046.42        | .00                        | 2,046.42        |
| <u>Eaton Corp PLC</u>                                                                |           |      |                 |                            |                 |
| 06/07/13                                                                             | 150       | Shs. | 9,908.41        | 5,886.73                   | 4,021.68        |
| <u>Exxon Mobil</u>                                                                   |           |      |                 |                            |                 |
| 01/18/13                                                                             | 150       | Shs. | 13,363.20       | 6,530.54                   | 6,832.66        |
| 06/07/13                                                                             | 200       | Shs. | 18,145.70       | 8,707.39                   | 9,438.31        |
| <u>Honeywell International Inc.</u>                                                  |           |      |                 |                            |                 |
| 06/07/13                                                                             | 200       | Shs. | 15,657.74       | 6,642.37                   | 9,015.37        |
| <u>Intel Corporation</u>                                                             |           |      |                 |                            |                 |
| 11/05/13                                                                             | 2,150     | Shs. | 52,631.08       | 36,685.39                  | 15,945.69       |
| <u>Lily Eli &amp; Co</u>                                                             |           |      |                 |                            |                 |
| 08/09/13                                                                             | 1,000     | Shs. | 53,859.06       | 42,397.50                  | 11,461.56       |

Schedule A-2 (Cont'd)

|                                                                                      |            |      | <u>Proceeds</u>       | <u>Inventory<br/>Value</u> | <u>Increase</u>       |
|--------------------------------------------------------------------------------------|------------|------|-----------------------|----------------------------|-----------------------|
| <u>Stock and Common Funds (Cont'd)</u>                                               |            |      |                       |                            |                       |
| <u>Mead Johnson Nutrition</u>                                                        |            |      |                       |                            |                       |
| 04/09/13                                                                             | 300        | Shs. | \$22,772.91           | \$20,491.86                | \$2,281.05            |
| <u>Merck &amp; Co Inc.</u>                                                           |            |      |                       |                            |                       |
| 01/18/13                                                                             | 850        | Shs. | 36,574.77             | 32,405.32                  | 4,169.45              |
| <u>Qualcomm</u>                                                                      |            |      |                       |                            |                       |
| 08/05/13                                                                             | 450        | Shs. | 28,997.49             | 24,705.00                  | 4,292.49              |
| <u>Schlumberger Limited</u>                                                          |            |      |                       |                            |                       |
| 08/09/13                                                                             | 250        | Shs. | 20,667.14             | 8,389.43                   | 12,277.71             |
| <u>TCW Emerging Markets Income Fund</u>                                              |            |      |                       |                            |                       |
| 01/03/13                                                                             | 10,349.288 | Shs. |                       |                            |                       |
| Received short term capital<br>gain distribution - Increase<br>recognized on receipt |            |      | 2,225.10              | .00                        | 2,225.10              |
| <u>Teradata Corporation</u>                                                          |            |      |                       |                            |                       |
| 03/12/13                                                                             | 525        | Shs. | 30,162.41             | 26,327.39                  | 3,835.02              |
| <u>US Bancorp</u>                                                                    |            |      |                       |                            |                       |
| 01/24/13                                                                             | 600        | Shs. | <u>19,527.88</u>      | <u>12,743.22</u>           | <u>6,784.66</u>       |
| TOTAL SCHEDULE A-2                                                                   |            |      | \$663,544.24<br>===== | \$487,308.93<br>=====      | \$176,235.31<br>===== |

Schedule B-1

DECREASE ON SALE OR REDEMPTION OF ASSETS

|                               |              | <u>Inventory<br/>Value</u> | <u>Proceeds</u> | <u>Decrease</u> |
|-------------------------------|--------------|----------------------------|-----------------|-----------------|
| <u>Bonds, Notes, etc.</u>     |              |                            |                 |                 |
| Boeing Capital Corp.          |              |                            |                 |                 |
| 3.25% Due 12/15/11            |              |                            |                 |                 |
| 09/20/13                      | \$35,000.00  | \$37,368.45                | \$36,073.10     | \$1,295.35      |
| Cisco Systems, Inc.           |              |                            |                 |                 |
| 1.625% Due 03/14/14           |              |                            |                 |                 |
| 09/20/13                      | \$50,000.00  | 51,082.00                  | 50,337.00       | 745.00          |
| Dominion Resources Inc.       |              |                            |                 |                 |
| 5% Due 03/15/13               |              |                            |                 |                 |
| 03/15/13                      | \$100,000.00 | 100,081.00                 | 100,000.00      | 81.00           |
| Goldman Sachs Group Inc.      |              |                            |                 |                 |
| 5.25% Due 10/15/13            |              |                            |                 |                 |
| 09/20/13                      | \$100,000.00 | 101,714.00                 | 100,327.00      | 1,387.00        |
| Morgan Stanley                |              |                            |                 |                 |
| 5.3% Due 03/01/13             |              |                            |                 |                 |
| 03/01/13                      | \$50,000.00  | 51,467.00                  | 50,000.00       | 1,467.00        |
| <u>Stock and Common Funds</u> |              |                            |                 |                 |
| Broadcom Corp Cl A            |              |                            |                 |                 |
| 10/09/13                      | 900 Shs.     | 28,871.91                  | 23,918.97       | 4,952.94        |
| Carnival Corp                 |              |                            |                 |                 |
| 07/05/13                      | 850 Shs.     | 30,321.20                  | 29,468.82       | 852.38          |
| E M C Corp Mass               |              |                            |                 |                 |
| 06/04/13                      | 1,500 Shs.   | 41,125.35                  | 37,319.35       | 3,806.00        |

Schedule B-1 (Cont'd)

|                                                 |           |      | <u>Inventory<br/>Value</u> | <u>Proceeds</u>       | <u>Decrease</u>      |
|-------------------------------------------------|-----------|------|----------------------------|-----------------------|----------------------|
| <u>Stock and Common Funds (Cont'd)</u>          |           |      |                            |                       |                      |
| <u>Equinix Inc.</u>                             |           |      |                            |                       |                      |
| 10/10/13                                        | 100       | Shs. | \$21,321.99                | \$16,677.99           | \$4,644.00           |
|                                                 |           |      |                            |                       |                      |
| <u>Highbridge Dynamic Comm Strat<br/>Fund-E</u> |           |      |                            |                       |                      |
| 01/16/13                                        | 2,802.429 | Shs. | 60,000.00                  | 39,458.20             | 20,541.80            |
|                                                 |           |      |                            |                       |                      |
| <u>Mallinckrodt PLC</u>                         |           |      |                            |                       |                      |
| 07/23/13                                        | 0.375     | Shs. | 112.86                     | 16.35                 | 96.51                |
| 07/29/13                                        | 84        | Shs. | <u>25,280.13</u>           | <u>3,679.26</u>       | <u>21,600.87</u>     |
|                                                 |           |      |                            |                       |                      |
| TOTAL SCHEDULE B-1                              |           |      | \$548,745.89<br>=====      | \$487,276.04<br>===== | \$61,469.85<br>===== |

Schedule B-3

DISTRIBUTIONS

|                                                                                       | <u>Inventory<br/>Value</u> | <u>Distribution<br/>Value</u> |
|---------------------------------------------------------------------------------------|----------------------------|-------------------------------|
| Calvin Coolidge Memorial Foundation, Inc<br>P. O. Box 976<br>Plymouth Notch, CT 05056 |                            |                               |
| 03/01/13 Cash                                                                         |                            |                               |
| Root'n For the Home Team: The<br>Coolidges & Our National<br>Pastime                  | \$2,500.00                 | \$2,500.00                    |
| 07/02/13 Cash                                                                         |                            |                               |
| Expansion of Education Program                                                        | <u>5,000.00</u>            | <u>5,000.00</u>               |
| Total Distributions                                                                   |                            |                               |
| Calvin Coolidge Memorial Foundation, Inc<br>P. O. Box 976<br>Plymouth Notch, CT 05056 | \$7,500.00<br>=====        | \$7,500.00<br>=====           |
| Camp Thorpe<br>680 Capen Hill Road<br>Goshen, VT 05733                                |                            |                               |
| 07/02/13 Cash                                                                         |                            |                               |
| Renovation and Collaboration<br>Project                                               | <u>\$20,000.00</u>         | <u>\$20,000.00</u>            |
| Total Distributions                                                                   |                            |                               |
| Camp Thorpe<br>680 Capen Hill Road<br>Goshen, VT 05733                                | \$20,000.00<br>=====       | \$20,000.00<br>=====          |
| Castleton Community Seniors, Inc.<br>2108 Main Street<br>Castleton, VT 05735          |                            |                               |
| 03/20/13 Cash                                                                         |                            |                               |
| 3-Year Pledge (3 of 3)                                                                | \$25,000.00                | \$25,000.00                   |

Schedule B-3 (Cont'd)

|                                                                                                         | <u>Inventory<br/>Value</u>  | <u>Distribution<br/>Value</u> |
|---------------------------------------------------------------------------------------------------------|-----------------------------|-------------------------------|
| Castleton Community Seniors, Inc.<br>2108 Main Street<br>Castleton, VT 05735<br><u>(Cont'd)</u>         |                             |                               |
| 09/24/13  Cash<br>3 Year Pledge (1 of 3)                                                                | <u>\$25,000.00</u>          | <u>\$25,000.00</u>            |
| Total Distributions<br>Castleton Community Seniors, Inc.<br>2108 Main Street<br>Castleton, VT 05735     | <u>\$50,000.00</u><br>===== | <u>\$50,000.00</u><br>=====   |
| <br>Catamount Film & Arts Co.<br>115 Eastern Avenue<br>St. Johnsbury, VT 05819<br><u>12/13/13  Cash</u> |                             |                               |
| St Johnsbury Cultural Alliance<br>Weathervane Project                                                   | <u>\$20,000.00</u>          | <u>\$20,000.00</u>            |
| Total Distributions<br>Catamount Film & Arts Co.<br>115 Eastern Avenue<br>St. Johnsbury, VT 05819       | <u>\$20,000.00</u><br>===== | <u>\$20,000.00</u><br>=====   |
| <br>Clark School for the Deaf<br>Round Hill Road<br>Northampton, MA 01060<br><u>07/02/13  Cash</u>      |                             |                               |
| Early Intervention/Childhood<br>Prog & Donchian Ft Directions<br>Initiative                             | <u>\$15,000.00</u>          | <u>\$15,000.00</u>            |
| Total Distributions<br>Clark School for the Deaf<br>Round Hill Road<br>Northampton, MA 01060            | <u>\$15,000.00</u><br>===== | <u>\$15,000.00</u><br>=====   |

Schedule B-3 (Cont'd)

|                                                                                         | <u>Inventory<br/>Value</u> | <u>Distribution<br/>Value</u> |
|-----------------------------------------------------------------------------------------|----------------------------|-------------------------------|
| Committee on Temporary Shelter<br>278 Main Street, P.O.Box 1616<br>Burlington, VT 05402 |                            |                               |
| 12/13/13 Cash                                                                           |                            |                               |
| The Opportunity Fund and<br>Prevention Services                                         | \$5,000.00                 | \$5,000.00                    |
| Total Distributions                                                                     |                            |                               |
| Committee on Temporary Shelter<br>278 Main Street, P.O.Box 1616<br>Burlington, VT 05402 | \$5,000.00<br>=====        | \$5,000.00<br>=====           |
| <br>Faith In Action Northern New England<br>P.O. Box 157<br>Cabot, VT 05647-0157        |                            |                               |
| 07/02/13 Cash                                                                           |                            |                               |
| VT Agency Human Services &<br>Fanny Allen Corp Grant Match                              | \$2,000.00                 | \$2,000.00                    |
| Total Distributions                                                                     |                            |                               |
| Faith In Action Northern New England<br>P.O. Box 157<br>Cabot, VT 05647-0157            | \$2,000.00<br>=====        | \$2,000.00<br>=====           |
| <br>Fairbanks Museum & Planetarium<br>1302 Main Street, St. Johnsbury, VT<br>05819      |                            |                               |
| 09/24/13 Cash                                                                           |                            |                               |
| Leadership Transition                                                                   | \$10,000.00                | \$10,000.00                   |
| Total Distributions                                                                     |                            |                               |
| Fairbanks Museum & Planetarium<br>1302 Main Street, St. Johnsbury, VT<br>05819          | \$10,000.00<br>=====       | \$10,000.00<br>=====          |

Schedule B-3 (Cont'd)

|                                                                                            | <u>Inventory<br/>Value</u>  | <u>Distribution<br/>Value</u> |
|--------------------------------------------------------------------------------------------|-----------------------------|-------------------------------|
| Greenwich Center for Hope & Renewal<br>237 Taconic Road<br>Greenwich, CT 06831             |                             |                               |
| 12/13/13 Cash                                                                              |                             |                               |
| General Operating Support                                                                  | <u>\$10,000.00</u>          | <u>\$10,000.00</u>            |
| Total Distributions                                                                        |                             |                               |
| Greenwich Center for Hope & Renewal<br>237 Taconic Road<br>Greenwich, CT 06831             | <u>\$10,000.00</u><br>===== | <u>\$10,000.00</u><br>=====   |
| Greenwich Chaplaincy Services<br>70 Parsonage Road<br>Greenwich, CT 06830                  |                             |                               |
| 12/13/13 Cash                                                                              |                             |                               |
| General Purposes                                                                           | <u>\$5,000.00</u>           | <u>\$5,000.00</u>             |
| Total Distributions                                                                        |                             |                               |
| Greenwich Chaplaincy Services<br>70 Parsonage Road<br>Greenwich, CT 06830                  | <u>\$5,000.00</u><br>=====  | <u>\$5,000.00</u><br>=====    |
| Greenwich Emergency Medical Service Inc.<br>1111 East Putnam Avenue<br>Riverside, CT 06878 |                             |                               |
| 12/13/13 Cash                                                                              |                             |                               |
| General Support                                                                            | <u>\$2,500.00</u>           | <u>\$2,500.00</u>             |
| Total Distributions                                                                        |                             |                               |
| Greenwich Emergency Medical Service Inc.<br>1111 East Putnam Avenue<br>Riverside, CT 06878 | <u>\$2,500.00</u><br>=====  | <u>\$2,500.00</u><br>=====    |

Schedule B-3 (Cont'd)

|                                                                                                                                                                        | <u>Inventory<br/>Value</u>     | <u>Distribution<br/>Value</u>  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------|
| Highland Concerts of Vermont, Inc.<br>P. O. Box 386, Rutland, VT 05735<br><u>07/02/13</u> Cash<br>Killington Music Festival Comm<br>Student Outreach Concert<br>Series | <u>\$5,000.00</u>              | <u>\$5,000.00</u>              |
| Total Distributions<br>Highland Concerts of Vermont, Inc.<br>P. O. Box 386, Rutland, VT 05735                                                                          | \$5,000.00<br>=====            | \$5,000.00<br>=====            |
| <br>Horseneck Foundation<br>P.O. Box 673<br>Riverside, CT 06838<br><u>12/13/13</u> Cash<br>General Support                                                             | <br><u>\$7,500.00</u>          | <br><u>\$7,500.00</u>          |
| Total Distributions<br>Horseneck Foundation<br>P.O. Box 673<br>Riverside, CT 06838                                                                                     | \$7,500.00<br>=====            | \$7,500.00<br>=====            |
| <br>Preservation Trust of Vermont<br>104 Church Street<br>Burlington, VT 05401<br><u>12/13/13</u> Cash<br>Vermont Marble Museum                                        | <br><br><br><u>\$20,000.00</u> | <br><br><br><u>\$20,000.00</u> |
| <br>12/13/13 Cash<br>General Support                                                                                                                                   | <br><u>20,000.00</u>           | <br><u>20,000.00</u>           |
| Total Distributions<br>Preservation Trust of Vermont<br>104 Church Street<br>Burlington, VT 05401                                                                      | \$40,000.00<br>=====           | \$40,000.00<br>=====           |

Schedule B-3 (Cont'd)

|                                                                                                                                                                                  | <u>Inventory<br/>Value</u>  | <u>Distribution<br/>Value</u> |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|-------------------------------|
| President Calvin Coolidge State<br>Historical Site<br>Plymouth Notch Historic District<br>PO Box 247, Plymouth, VT 05056<br><u>07/02/13 Cash</u><br>The Grace Coolidge Musicales | <u>\$2,900.00</u>           | <u>\$2,900.00</u>             |
| Total Distributions<br>President Calvin Coolidge State<br>Historical Site<br>Plymouth Notch Historic District<br>PO Box 247, Plymouth, VT 05056                                  | <u>\$2,900.00</u><br>=====  | <u>\$2,900.00</u><br>=====    |
| Rutland Area Farm and Food Link<br>P.O. Box 284<br>Rutland, VT 05702<br><u>07/02/13 Cash</u><br>New Farmer Initiative (2 of 2)                                                   | <u>\$10,000.00</u>          | <u>\$10,000.00</u>            |
| Total Distributions<br>Rutland Area Farm and Food Link<br>P.O. Box 284<br>Rutland, VT 05702                                                                                      | <u>\$10,000.00</u><br>===== | <u>\$10,000.00</u><br>=====   |
| Shelburne Museum<br>6000 Shelburne Road<br>PO Box 10<br>Shelburne, VT 05482<br><u>09/24/13 Cash</u><br>Campaign for Shelburne<br>Museum Art & Education Center<br>(2 of 2)       | <u>\$12,500.00</u>          | <u>\$12,500.00</u>            |
| Total Distributions<br>Shelburne Museum<br>6000 Shelburne Road<br>PO Box 10<br>Shelburne, VT 05482                                                                               | <u>\$12,500.00</u><br>===== | <u>\$12,500.00</u><br>=====   |

Schedule B-3 (Cont'd)

|                                                                                                                                                                                                 | <u>Inventory<br/>Value</u>                     | <u>Distribution<br/>Value</u>                  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------|------------------------------------------------|
| Vermont Achievement Center<br>88 Park Street<br>Rutland, VT 05702<br><u>03/20/13</u> Cash<br>Sheldon Academy Technology<br>Upgrades (2 of 2)                                                    | <u>\$10,000.00</u>                             | <u>\$10,000.00</u>                             |
| Total Distributions<br>Vermont Achievement Center<br>88 Park Street<br>Rutland, VT 05702                                                                                                        | <u>\$10,000.00</u><br>=====                    | <u>\$10,000.00</u><br>=====                    |
| <br>Vermont Adult Basic Education, Inc.<br>46 Washington Street<br>Barre, VT 05641<br><u>03/01/13</u> Cash<br>Computer Literacy Instructions<br>For Older Adult Residents of<br>Central Vermont | <br><br><br><br><br><u>\$2,000.00</u>          | <br><br><br><br><br><u>\$2,000.00</u>          |
| Total Distributions<br>Vermont Adult Basic Education, Inc.<br>46 Washington Street<br>Barre, VT 05641                                                                                           | <br><br><br><br><br><u>\$2,000.00</u><br>===== | <br><br><br><br><br><u>\$2,000.00</u><br>===== |
| <br>Vermont Association for the Blind and<br>Visually Impaired, Inc.<br>37 Elmwood Avenue<br>Burlington, VT 05401<br><u>07/02/13</u> Cash<br>Peer Assisted Learnings and<br>Support Group       | <br><br><br><br><br><br><u>\$2,000.00</u>      | <br><br><br><br><br><br><u>\$2,000.00</u>      |
| Total Distributions<br>Vermont Association for the Blind and<br>Visually Impaired, Inc.<br>37 Elmwood Avenue<br>Burlington, VT 05401                                                            | <br><br><br><br><br><u>\$2,000.00</u><br>===== | <br><br><br><br><br><u>\$2,000.00</u><br>===== |

Schedule B-3 (Cont'd)

|                                                                                                                 | <u>Inventory<br/>Value</u> | <u>Distribution<br/>Value</u> |
|-----------------------------------------------------------------------------------------------------------------|----------------------------|-------------------------------|
| Vermont Council on the Humanities<br>200 Park Street<br>Morrisville, VT 05661                                   |                            |                               |
| 09/24/13 Cash                                                                                                   |                            |                               |
| Civil War Programs (2 of 4)                                                                                     | <u>\$7,500.00</u>          | <u>\$7,500.00</u>             |
| Total Distributions                                                                                             |                            |                               |
| Vermont Council on the Humanities<br>200 Park Street<br>Morrisville, VT 05661                                   | <u>\$7,500.00</u><br>===== | <u>\$7,500.00</u><br>=====    |
| <br>Vermont Division for Historic<br>Preservation<br>1 National Life Drive<br>6th Floor<br>Montpelier, VT 05620 |                            |                               |
| 07/02/13 Cash                                                                                                   |                            |                               |
| Staffing at Justin Morrill<br>State Historic Site                                                               | <u>\$2,000.00</u>          | <u>\$2,000.00</u>             |
| Total Distributions                                                                                             |                            |                               |
| Vermont Division for Historic<br>Preservation<br>1 National Life Drive<br>6th Floor<br>Montpelier, VT 05620     | <u>\$2,000.00</u><br>===== | <u>\$2,000.00</u><br>=====    |
| <br>Vermont Foodbank<br>33 Parker Road<br>Barre, VT 05670                                                       |                            |                               |
| 12/13/13 Cash                                                                                                   |                            |                               |
| General Operations                                                                                              | <u>\$5,000.00</u>          | <u>\$5,000.00</u>             |
| Total Distributions                                                                                             |                            |                               |
| Vermont Foodbank<br>33 Parker Road<br>Barre, VT 05670                                                           | <u>\$5,000.00</u><br>===== | <u>\$5,000.00</u><br>=====    |

Schedule B-3 (Cont'd)

|                                                                                                           | <u>Inventory<br/>Value</u>  | <u>Distribution<br/>Value</u> |
|-----------------------------------------------------------------------------------------------------------|-----------------------------|-------------------------------|
| Vermont Historical Society<br>60 Washington Street<br>Barre, VT 05641                                     |                             |                               |
| 12/13/13 Cash                                                                                             |                             |                               |
| Teaching and Talking:<br>Revisiting Vermont History                                                       | <u>\$5,000.00</u>           | <u>\$5,000.00</u>             |
| Total Distributions                                                                                       |                             |                               |
| Vermont Historical Society<br>60 Washington Street<br>Barre, VT 05641                                     | <u>\$5,000.00</u><br>=====  | <u>\$5,000.00</u><br>=====    |
| Visiting Nurse Association of<br>Chittenden and Grand Isle Counties<br>1110 Prim Rd, Colchester, VT 05446 |                             |                               |
| 12/13/13 Cash                                                                                             |                             |                               |
| Art Therapy: Making Memories<br>with Art                                                                  | <u>\$2,500.00</u>           | <u>\$2,500.00</u>             |
| Total Distributions                                                                                       |                             |                               |
| Visiting Nurse Association of<br>Chittenden and Grand Isle Counties<br>1110 Prim Rd, Colchester, VT 05446 | <u>\$2,500.00</u><br>=====  | <u>\$2,500.00</u><br>=====    |
| Yellowstone Park Foundation<br>222 East Main Street, Suite 301<br>Bozeman, MT 59715                       |                             |                               |
| 12/13/13 Cash                                                                                             |                             |                               |
| Stephens Creek Nursery<br>Greenhouse and General Support                                                  | <u>\$10,000.00</u>          | <u>\$10,000.00</u>            |
| Total Distributions                                                                                       |                             |                               |
| Yellowstone Park Foundation<br>222 East Main Street, Suite 301<br>Bozeman, MT 59715                       | <u>\$10,000.00</u><br>===== | <u>\$10,000.00</u><br>=====   |

Schedule B-3 (Cont'd)

|                                                                                                  | <u>Inventory<br/>Value</u> | <u>Distribution<br/>Value</u> |
|--------------------------------------------------------------------------------------------------|----------------------------|-------------------------------|
| Young Life Greenwich<br>P.O. Box 726<br>Greenwich, CT 06836<br>12/13/13 Cash<br>General Purposes | <u>\$5,000.00</u>          | <u>\$5,000.00</u>             |
| Total Distributions<br>Young Life Greenwich<br>P.O. Box 726<br>Greenwich, CT 06836               | \$5,000.00<br>=====        | \$5,000.00<br>=====           |
| TOTAL SCHEDULE B-3                                                                               | \$275,900.00<br>=====      | \$275,900.00<br>=====         |

## ALMA G. DONCHIAN FOUNDATION MISSION STATEMENT AND GUIDELINES

### Mission Statement:

It is the primary mission of the Alma Gibbs Donchian Foundation to provide assistance to various specified institutions in Castleton, Vermont and the immediate environs. Beyond that, the AGD Foundation hopes to address the following areas of concern:

1. Issues affecting the elderly, particularly those "middle-income" elderly not served by other programs;
2. Education, particularly as it addresses hearing and/or learning impairments, or reading disabilities; and
3. Programs or agencies engaged in the furtherance of fundamental values, such as: self-reliance, respect for tradition, the value of work, the importance of family, the innate 'goodness' and 'uniqueness' of all persons, and the satisfaction to be derived from religious or similarly uplifting beliefs.

### Guiding Principles & Considerations

- The majority of the Foundation's grant making is focused in Vermont and the northeastern United States, although, occasionally, grants may be made in other regions of the country and/or abroad.
- All applicants must have tax-exempt 501(c)(3) status as a non-profit organization as defined by the Internal Revenue Service.
- Grants may range from a few thousand dollars up to \$25,000. In unique circumstances, the Foundation does consider a more significant grant for a program having a major impact in one or more of its areas of interest.
- Of particular interest to the Foundation are organizations that promote partnerships and collaborative efforts among multiple groups and organizations.
- The Foundation encourages pilot initiatives that test new program models.
- Priority will be given to requests that show specific plans for funding beyond the present.
- The applicant must have an active board of directors with policy-making authority. The board should demonstrate competence in the sound financial management of the organization.