Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: The Brant Foundation, Inc.

A Employer identification number: 06-1470051

Number and street (or P O box number if mail is not delivered to street address): 80 Field Point Road

Room/suite: 3rd Fl.

B Telephone number (see instructions): 203-661-3344

City or town, state or province, country, and ZIP or foreign postal code: Greenwich, CT 06830

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 93,793,674

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)* STMT A

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	2,520,522			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities				
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) ATCH 1	42,783	42,783		
	12	**Total.** Add lines 1 through 11	2,563,305	42,783		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages	723			723
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule) ATCH 2	16,490	16,490		0
	b	Accounting fees (attach schedule) ATCH 3	1,250	1,250		0
	c	Other professional fees (attach schedule) ATCH 4	301,975			301,975
	17	Interest ATCH 5	3,263	3,263		0
	18	Taxes (attach schedule) (see instructions) ATCH 6	66,067			66,067
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	45,812			45,812
	22	Printing and publications	235,002			235,002
	23	Other expenses (attach schedule) ATCH 7	1,841,796	21,780		1,820,016
	24	**Total operating and administrative expenses.** Add lines 13 through 23	2,512,378	42,783		2,469,595
	25	Contributions, gifts, grants paid				
	26	**Total expenses and disbursements.** Add lines 24 and 25	2,512,378	42,783		2,469,595
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	50,927			
	b	**Net investment income** (if negative, enter -0-)		0		
	c	**Adjusted net income** (if negative, enter -0-)			0	

RECEIVED NOV 17 2014 OGDEN, UT IRS-OSC

SCANNED NOV 26 2014

For Paperwork Reduction Act Notice, see instructions. Cat No 11289X

24

Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing	3,922	20,915	20,915
2	Savings and temporary cash investments			
3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)			
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule) ATCH 8	53,574,739	53,612,846	62,431,296
14	Land, buildings, and equipment: basis ▶ 1,850,000 Less: accumulated depreciation (attach schedule) ▶ 0	1,850,000	1,850,000	6,135,000
15	Other assets (describe ▶ ATCH 9)	34,286,207	34,282,034	25,206,463
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	89,714,868	89,765,795	93,793,674
Liabilities				
17	Accounts payable and accrued expenses	0	0	
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	89,714,868	89,765,795	
30	**Total net assets or fund balances** (see instructions)	89,714,868	89,765,795	
31	**Total liabilities and net assets/fund balances** (see instructions)	89,714,868	89,765,795	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	89,714,868
2	Enter amount from Part I, line 27a	2	50,927
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	89,765,795
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	89,765,795

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	N/A			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	N/A
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012			
2011			
2010			
2009			
2008			

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	N/A

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	0
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	0
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	0
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✔
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✔
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✔
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ N/A **(2)** On foundation managers. ▶ $ N/A			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ N/A			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✔
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✔
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✔
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✔
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✔	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✔	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ Connecticut			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✔	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9	✔	
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✔

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) Attachment 12	11	✔	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✔
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✔	
	Website address ▶ N/A			
14	The books are in care of ▶ The Brant Foundation, Inc. Telephone no. ▶ 203-661-3344 Located at ▶ 80 Field Point Road, 3rd Fl. Greenwich, CT ZIP+4 ▶ 06830			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✔
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		✔
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☑ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		✔
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✔
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		✔

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ✔ (No)

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attachment 10		None	None	None

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 Attachment 11	2,469,595
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 Improvements to building used to carry out charitable function	4,552
2 additions to fixtures and equipment in building used to carry out charitable function	14,525
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	19,077

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	12,419
c	Fair market value of all other assets (see instructions)	1c	62,424,907
d	**Total** (add lines 1a, b, and c)	1d	62,437,326
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	62,437,326
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	936,560
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	61,500,766
6	**Minimum investment return.** Enter 5% of line 5	6	3,075,038

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☑ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2013 from Part VI, line 5 . . . 2a		
b	Income tax for 2013. (This does not include the tax from Part VI.) . . . 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	2,469,595
b	Program-related investments—total from Part IX-B	1b	19,077
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,488,672
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,488,672

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				none
2	Undistributed income, if any, as of the end of 2013:				
a	Enter amount for 2012 only			none	
b	Total for prior years: 20____,20____,20____		none		
3	Excess distributions carryover, if any, to 2013:				
a	From 2008 . . . none				
b	From 2009 . . . none				
c	From 2010 . . . none				
d	From 2011 . . . none				
e	From 2012 . . . none				
f	**Total** of lines 3a through e	none			
4	Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 2,488,672				
a	Applied to 2012, but not more than line 2a			none	
b	Applied to undistributed income of prior years (Election required—see instructions)		none		
c	Treated as distributions out of corpus (Election required—see instructions)	none			
d	Applied to 2013 distributable amount				none
e	Remaining amount distributed out of corpus	none			
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	none			none
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	none			
b	Prior years' undistributed income. Subtract line 4b from line 2b		none		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		none		
d	Subtract line 6c from line 6b. Taxable amount—see instructions		none		
e	Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions			none	
f	Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				none
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	none			
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	none			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	none			
10	Analysis of line 9:				
a	Excess from 2009 . . . none				
b	Excess from 2010 . . . none				
c	Excess from 2011 . . . none				
d	Excess from 2012 . . . none				
e	Excess from 2013 . . . none				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☑ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed	2,488,672	2,543,723	11,065,780	2,996,057	19,094,232
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	2,488,672	2,543,723	11,065,780	2,996,057	19,094,232
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	2,050,026	1,896,090	1,020,830	311	4,967,257
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

Peter M. Brant

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

Peter M. Brant

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
N/A				
Total . . . . ▶ **3a**				
b *Approved for future payment*				
N/A				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	531120	38,107			
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a BOOK SALES	900099	3,690			
b INTEREST ON SECURITY DEPOSITS	900099	986			
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		42,783			

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 42,783

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✔
	(2) Other assets	1a(2)		✔
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✔
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✔
	(3) Rental of facilities, equipment, or other assets	1b(3)		✔
	(4) Reimbursement arrangements	1b(4)		✔
	(5) Loans or loan guarantees	1b(5)		✔
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✔
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✔

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ [signature] 11/10/14 ▶ President

Signature of officer or trustee · Date · Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JACLYN R. WOOD	Jaclyn R Wood	11/05/2014		P00743448

Firm's name ▶ PRICEWATERHOUSECOOPERS LLP — Firm's EIN ▶ 13-4008324

Firm's address ▶ 1021 EAST CARY STREET, SUITE 1250, RICHMOND, VA 23219 — Phone no 804-697-1900

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at** ***www irs gov/form990.***

OMB No 1545-0047

2013

Name of the organization
THE BRANT FOUNDATION, INC.

Employer identification number
06-1470051

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ _______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization THE BRANT FOUNDATION, INC.

Employer identification number 06-1470051

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PETER M. BRANT 385 TACONIC ROAD GREENWICH, CT 06830	$ 2,520,472.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization THE BRANT FOUNDATION, INC.

Employer identification number 06-1470051

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization THE BRANT FOUNDATION, INC.

Employer identification number 06-1470051

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry.

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990PF, PART I - CONTRIBUTIONS, GIFTS AND GRANTS RECEIVED

NAME AND ADDRESS	DATE
PETER M. BRANT 385 TACONIC ROAD GREENWICH, CT 06830	VARIOUS
OTHERS	

TOTAL CONTRIBUTION AMOUNT

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BRANT FAMILY LLC K-1		38,107.	38,10
INTEREST ON SECURITY DEPOSIT		986.	98
BOOKS SALES		3,690.	3,69
	TOTALS	42,783.	42,78

ATTA(

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUST NET INCOM
LEGAL FEES		16,490.	16,490.	
	TOTALS	16,490.	16,490.	

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUST' NET INCOM
ACCOUNTING FEES		1,250.	1,250.	
	TOTALS	1,250.	1,250.	

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
ADMIN & ACCOUNTING COST	294,837.
COMPUTER CONSULTING	4,021.
OTHER CONSULTING	3,117.
TOTALS	301,975.

FORM 990PF, PART I - INTEREST EXPENSE

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INTEREST EXPENSE		3,263.	3,263.
	TOTALS	3,263.	3,263.

FORM 990PF, PART I - TAXES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
PAYROLL TAXES		200.
REAL ESTATE TAXES		65,867.
	TOTALS	66,067.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
HEAT AND UTILITIES	109,658.	
REPAIRS, MAINTENANCE, SECURITY	153,503.	21,780.
INSURANCE	137,743.	
STATIONARY AND OFFICE EXPENSES	35,660.	
MISCELLANEOUS OTHER EXPENSES	26.	
EXHIBITION COST	605,226.	
FUNCTION EXPENSES	548,189.	
EXHIBITION SHIPPING & INSTALL	251,791.	
TOTALS	1,841,796.	21,780.

ATTACHMENT 8

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE
BRANT FAMILY LLC	53,612,846.
TOTALS	53,612,846.

ATTACHMENT 9

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	ENDING BOOK VALUE
ARCHITECTURAL & LEGAL FEES	2,126,233.
ART	22,574,144.
LIBRARY & RESEARCH BOOKS	26,786.
FOUNDATION BLDG IMPROVEMENTS	9,226,144.
FIXTURES & EQUIPMENT	326,650.
OTHER RECEIVABLES	2,077.
DEPOSITS	NONE
TOTALS	34,282,034.

* Indicates Fair Market Value included in the appraised value of buildings listed on Page 2, Part II,

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUT TO EMPLOY BENEFIT P
PETER M. BRANT 385 TACONIC ROAD GREENWICH, CT 06831	PRES./DIR. MEETINGS & 3 HRS/WEEK	0	
JEAN M. BICKLEY 2437 BEDFORD STREET STANFORD, CT 06905	SEC./TREAS 2 HRS/WEEK	0	
RYAN A. BRANT 80 FIELD POINT ROAD 3RD FLOOR GREENWICH, CT 06830	DIRECTOR MEETINGS & 1 HR/WEEK	0	
CHRISTOPHER BRANT 80 FIELD POINT ROAD 3RD FLOOR GREENWICH, CT 06830	DIRECTOR MEETINGS & 1 HR/WEEK	0	
ALLISON D. BRANT 80 FIELD POINT ROAD 3RD FLOOR GREENWICH, CT 06830	DIRECTOR & REGISTRAR MEETINGS & 24 HRS/WEEK	0	

THE BRANT FOUNDATION, INC.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUT TO EMPLOY BENEFIT P
KELLY B. BRANT 80 FIELD POINT ROAD 3RD FLOOR GREENWICH, CT 06830	DIRECTOR MEETINGS & 1 HR/WEEK	0	
	GRAND TOTALS	0	

B8YOFS F949 11/3/2014 1:43:45 PM V 13-7.5F

The Brant Foundation ID # 06-1470051
Attachment to Form 990 PF - 2013
Attachment 8
Part IX - A - Summary of Direct Charitable Activities

The Brant Foundation was formed in 1996 for the purposes of acquiring, either through purchase, donation or loan, artwork of the Twentieth Century and to foster and promote knowledge, appreciation and the continuing development of such art.

Activities of The Brant Foundation commenced in 1996 as the Directors considered various methods and determined which would best achieve the Foundation's objectives, as set forth in the Articles of Incorporation. In 1996, the Directors contributed the property and building to the Foundation, in which exhibition and study space would ultimately be constructed. Architectural plans and a model were commenced in 1999, detailing possible renovations to the building that would be required in order to properly store and present fine works of art. Directors of other foundations and gallery owners visited the building and offered their insights regarding the space.

The Directors have contributed the funds necessary to pay the operating, administrative, legal and accounting costs of the Foundation as well as the cost of architectural fees, construction costs and the costs of maintaining the Foundation's property.

The Foundation has acquired a number of works of art and it is anticipated that the Foundation will continue to increase the size of its permanent collection of art, over time, now that the Brant Foundation Art Study Center has been completed.

Solicitation for financial support or further contributions of art from other parties, who consider the Foundation's objectives to be important, will be considered in the future. The Directors have met with museums and prospective future supporters on an ongoing basis since inception to explain their goals and have partnered with a number of other institutions to achieve the Foundation's purposes. Toward these ends, the Foundation obtained loans of fine art and antiques, which in turn, the Foundation loaned to museums and other foundations, both nationally and locally and abroad, making such art available to the public for exhibition and scholarly study. This method contributed to immediate progress towards the Foundation's purposes while the renovations to the Foundation's permanent facility were being completed.

The Brant Foundation participated in the production of the film "Pollock", based on the biography "Jackson Pollock, An American Saga", by Steven Naifeh and Gregory White Smith. Jackson Pollock is acknowledged as one of the premiere abstract expressionist painters of the Twentieth Century.

The Brant Foundation Art Study Center was designed by New York architect Richard Gluckman, who renovated a 1902 stone barn to create a space which includes three galleries with skylights and a video viewing room. In choosing Mr. Gluckman for this project, Peter Brant says, "I chose Richard as the architect for his friendly approach and respect for art. Having knowledge of Richard's other art related projects, I knew he was the best person to transform the turn-of-the-century stone building into a modern exhibition space, while maintaining the integrity and beauty of the original structure. In addition, Richard always considers the space and light needed to best display fine works of art."

The Brant Foundation Art Study Center will present long-term exhibitions, curated primarily from the collection. All of the shows will be put together with works on loan from our collections as well as from other collections, with important pieces by the artist or artists we will be showing. Each exhibition will have a catalogue printed to coincide with the show. Mr. Brant states, "I've been involved in collecting and studying art since I was about 17. Seeing museums and other collections has been an important part of my life. By opening this center it will give me the ability to curate shows myself and invite guest curators here too."

During the inaugural exhibition, The Brant Foundation, Inc. hosted numerous visitors including: school groups ranging in age from elementary school through graduate programs at Yale, residents of senior citizen homes, other community clubs, artists, collectors, and boards and curators of other museums. Additionally, the exhibition was open to the general public by appointment and many tours were provided for other groups and individuals on that basis.

Highlights for 2013 included:

The Foundation continued its mission by making a variety of works, by different artists, photographers and craftsmen, available to other Foundations, individuals, the art community, and museums for scholarly study, examination and loan.

The Brant Foundation Art Study Center has a mission to promote education and appreciation of contemporary art and design, by making works available to institutions and individuals for scholarly study and examination. The Brant Foundation Art Study Center presents long-term exhibitions curated primarily from the collection. The collection is remarkable in that scores of artists are represented in depth, including works from the earliest period of their practice through their most recent works. Currently, The Brant Foundation, Inc., established in 1996, lends works to more than a dozen exhibitions per year.

Peter M. Brant, President of The Brant Foundation, Inc., was pleased to continue to support the Whitney Museum of American Art as member of the Whitney Circle and to support the Whitney Museum's Annual Fund to ensure the Whitney's mission remains strong: The Whitney is an institution dedicated to the voices and visions of living artists. As such, the museum prizes independence and authenticity, and promotes creativity and innovation. The Museum first opened its doors in 1931 and has been home to artists who helped define the future of American Art. Support of the Whitney is vital to champion American art through the acquisition of new works, special exhibitions, lectures, research, conservation, publications and more.

In November, 2012 the Brant Foundation, Inc. was pleased to open its fall show, *I wanted to be an artist but all I got was this lousy career*, an exhibition of works by Nate Lowman. The exhibition featured recent and new work including paintings, collage and sculpture and continued through March 2013.

Dusted with mythological fear, loathing, and spiritual desertification, Nate Lowman's work cuts up and reassembles the movies, the street, history, and the news. From a bank teller window shot through with bullets, to black crucifixes modeled on New York City tow truck equipment, to blonde, spectral Marilyn, scarred and bloodied by de Kooning's violent brushstrokes, Lowman gravitates toward crimes, absurdities, slip-ups, tragedies, travesties, laughing stocks, write-offs, and disasters. "People treat images the same way that they treat vacation," he says. "I'm trying not to be a tourist all the time –or at least not concerning the interpretation of information."

Functioning like "membranes" - as Sherrie Levine once described her own works made from appropriated images -"permeable from both sides so there is an easy flow between the past and the future, between my history and yours," Lowman's work weaves public history with personal memory, with the result that we feel a strange familiarity in looking over his bikini postcards, magazine clippings, bumper stickers, bullet hole decals, car air freshener trees, and smiley faces, as if being reminded of something we already know.

Spread in alkyd dots across a canvas, torn from a tabloid, found on the sidewalk, pasted to the fridge, buried in a shoebox: in Lowman's artistic system, available images and the messages they contain are reprogrammed as expressive tools and keyed into art history. His technique involves themes and indexes –chairs, people pointing at or holding things, swiss cheese -he has a taste for the derelict –battered and broken doors from the refuse heap, flattened aluminum cans, chewing gum, rusted gas pumps like those at abandoned gas stations, passed at high speed on obsolescent highways -and he mingles these souvenirs of the recently bygone with signs that the end is near -ripe crops covered in snow, x-rayed truckfuls of human traffic, flooded suburbs, a smiling ice cream cone announcing *I'll Be Dead Soon.*

In the room-size installation *Four Seasons* (2009-2012) –the baroque theme also visited by Cy Twombly, Richard Prince, and Gerhard Richter –each season takes on the qualities of a verb rather than a noun. Wintering, Springing, Summering and Falling: Jamie Foxx wields his image-damaging Swastika boogie board in Hawaii; snow falls at Ski Dubai, the winter resort built into a shopping mall in the United Arab Emirates; a man drops from the WTC's crumbling North tower; Tonya Harding stumbles on the Olympic ice; Jenna Bush wipes out drunk on the pages of the *National Enquirer*; workers in Cancun picket for fair wages, throwing a wrench in spring break.

With guilt, innocence, and meanings always in flux, Lowman prefers to focus on the language of images themselves, and how to treat them like the living things they are. The parade of criminals and victims circulated in the Associated Press photos –OJ Simpson, John Walker Lindh, Oliver North, Lindsay Lohan, Nicole Brown Simpson, Amadou Diallo –are inevitably shifting, and to watch them rise and fall, like tracking a storm, or watching paint dry, is to hope for the end to come so that you can be here to see it.

Also on view in The Brant Foundation Art Study Center library will be a selection of chairs and artworks from Mr. Brant and Lowman's personal collections. Featuring artists Andrew Kuo, Josh Smith, Dash Snow, Leo Fitzpatrick, Joe Bradley, Paul McCarthy, Ray Johnson, Lizzi

Bougatsos, Dan Colen and Hanna Liden among others.

Nate Lowman was born in 1979 in Las Vegas and received a BS from New York University in 2001. Lowman's recent exhibitions include *Swiss Cheese and the Doors· A One Night Stand*, Massimo de Carlo, Milan (2012); *Cats & Dogs: Hanna Liden and Nate Lowman*, Carlson Gallery, London (2011); *Three Amigos*, American Academy in Rome, Rome (2010); *TRASH LANDING*, Maccarone + Gavin Brown's enterprise, New York (2009); *Fill You With Holes*, Carlson Gallery, London (2009) ; *The Natriot Act*, Astrup Fearnley Museum, Oslo (2009); among others. His work has been included in notable group exhibitions, including those at P.S. 1 Contemporary Art Center, Queens, New York (2005); Hessel Museum of Art and the Center for Curatorial Studies Galleries, both at Bard College, Annandale-on-Hudson, New York, and Serpentine Gallery, London (all 2006); New Museum of Contemporary Art, New York (2007); and Busan Biennial, Busan, South Korea (2008). A suite of works was also included in *Haunted: Contemporary Photography/Video/Performance*, Solomon R. Guggenheim Museum, New York (2010). Lowman lives and works in New York City. Nate Lowman is represented by Maccarone, New York; Carlson Gallery, London; and Massimo De Carlo, Milan.

New York, NY, March 4, 2013 – On February 28, 2013, Stephanie Seymour Brant, Peter Brant, Brooke Garber Neidich, Daniel Neidich and the Child Mind Institute Board of Directors joined together for a once in a lifetime auction of great art to benefit great science. The evening raised more than more than $2 million for the cause, a record dollar amount for any auction the non-profit has held since its inception in 2010. The one-time event at Sotheby's brought together some of the world's most renowned contemporary artists and collectors to raise funds for the Child Mind Institute's Healthy Brain Network, a landmark effort to chart brain development and find new diagnostic tools and treatments for pediatric mental illness. "We are deeply indebted to Stephanie and Peter Brant for donating a work by David Altmejd, as well as taking their time to put together this amazing collection of pieces," said Harold S. Koplewicz, MD, president of the Child Mind Institute. "We also want to thank the artists for donating their work to our cause. This auction supports the science that is leading us to better treatments—and eventually prevention—of childhood psychiatric and learning disorders."

The auction, led by Tobias Meyer, Sotheby's worldwide head of contemporary art, featured remarkable works by some of today's most talented artists.

"Art and Science have so much in common— the process of trial and error, finding something new and innovative and to experiment and succeed in a breakthrough," said Peter Brant. "We are grateful to all the artists who donated their work to this important cause and to Sotheby's for hosting this event." The Child Mind Institute's Healthy Brain Network enables collaborators to utilize cutting edge techniques to map out a full picture of how the brain matures and functions as a child grows. The initiative brings togcther scientists from diverse disciplines and encourages the radical openness and collaboration that are necessary for important breakthroughs.

The Child Mind Institute is dedicated to transforming mental health care for children everywhere. Founded by Dr. Harold S. Koplewicz and Brooke Garber Neidich, our organization is committed to finding more effective treatments for childhood psychiatric and learning disorders, building the science of healthy brain development, and empowering children and their families with help, hope, and answers. The Child Mind Institute does not accept funding from the pharmaceutical industry.

MARCH 6 – 10, 2013:
The Centennial Edition of "The Armory Show" took place in New York City, NY at Piers 92 & 94, March 6 – 10, 2013. The Armory Show is a leading international contemporary and modern art fair with exceptional connections to American collectors, museum groups and the broader public. Peter M. Brant, President of The Brant Foundation, Inc., was pleased to assist "Artsy" in conjunction with the show, in selecting a group of works he was impressed with as submitted by galleries participating in the show. Artsy presents the selections on the site as a profile/preview in advance of the Vernissge.

Artsy is a free platform where you can discover, learn about, and collect art, whose mission is to make all the world's art accessible to anyone with an internet connection. Artsy's goal is to expose as many people as possible to art. Their growing collection comprises 21,000+ artworks by 3,700+ artists from leading galleries, museums, private collections, foundations, and artists' estates. Artsy is honored to partner with 400+ galleries from New York to London, Paris to Shanghai, Johannesburg to São Paulo, and 75+ nonprofit organizations, including: Asian Art Museum, The British Museum, Calder Foundation, Corcoran Gallery of Art, Dallas Museum of Art, The Dedalus Foundation, Electronic Arts Intermix (EAI), The Estate of David Smith, Indianapolis Museum of Art, LAND, Museum of Inuit Art, Powerhouse Museum, The Richard Diebenkorn Foundation, The Royal Collection, SFMOMA, Smithsonian Cooper-Hewitt: National Design Museum, St. Louis Art Museum, and others. They provide one of the largest collections of contemporary art available online. Thousands of works from diverse cultures and time periods are accessible for study and enjoyment, and select works from our gallery partners are available to collectors. By making all the world's art freely accessible, Artsy hopes to foster new generations of art lovers, museum-goers, collectors, and patrons.

WEEK MARCH 4, 2013:
Peter M. Brant, President The Brant Foundation, Inc. is pleased to welcome the following notable visitors to The Brant Foundation Art Study Center for a visit to see the exhibition of work by Nate Lowman:

Sheena Wagstaff, chairman of modern and contemporary art at the Metropolitan Museum of Art, New York, along with Nicholas Collinan, new curator on board at MET, Gallerist Thaddaeus Ropac, and Norman Rosenthal of the Royal Academy, London.

Peter M. Brant, President of the Brant Foundation, Inc. is pleased to support the Museum of Modern Art, New York as a sustaining member.

The Brant Foundation, Inc. is pleased to support Artists Space Programs as a "Friend of Artists Space". Since its inception 40 years ago, Artists Space has been one of the most essential contemporary arts organizations in New York, providing a platform for vital artistic dialogue to develop and be brought to the public. Artists Space provides an irreplaceable framework for some of the most significant and influential discussions of contemporary art. Artists Space believes in the importance of positioning artists at the center of their programming decisions – investing in groundbreaking work, critically reflecting our times, and inspiring the conversations that stand to innovate, inspire, and that matter the most. Artists Space is internationally recognized as fulfilling a very unique landscape of New York and celebrates its 40th Anniversary Year. In addition to exhibitions, Artists Space provides a space which allows for discussion, talks, lectures, symposia, think tanks and screenings. Lastly, Artists Space recognizes the need for making contemporary art accessible to all and continues its education program "Expanded Art Ideas". Students at partner public schools P.S. 140 and M.S. 324 are encouraged to develop their personal artistic voices and utilize their individual creative capacities – many of whom may have never otherwise dreamt of a career prospect in the arts. The Brant Foundation, Inc. is pleased to support this special organization. Artists Space is located at 38 Greene Street, NY,

Peter M. Brant, President of The Brant Foundation, Inc. is pleased to serve as an honorary chair of the 2013 MOCA Gala on April 21, 2013. The Museum of Contemporary Art, Los Angeles is pleased to celebrate the opening of the Urs Fischer exhibition concurrently with the gala. With the gala, the museum hopes to raise over $1 million this year to support all aspects of the museum's renowned programming while celebrating the work of one of the most important contemporary artists of our time.

MAY 12, 2013:
Peter M. Brant, President of The Brant Foundation, Inc., is pleased to present the opening of the exhibition "WARHOL", taking place at The Brant Foundation Art Study Center in Greenwich, CT.

From Sunday through September, about 200 Warhol works will be presented together for the first time at the Brant Foundation Art Study Center exhibition space in Greenwich, CT. On view will be examples of Warhol's most-loved images, including "Blue Shot Marilyn," from 1964, "192 One Dollar Bills," from 1962, and several examples of the artist's Campbell's soup cans. In 1977 Mr. Brant gave the Met Warhol's nearly 15-foot-high painting of Mao from 1973, and the museum is lending it to the exhibition.. Besides these greatest hits will be a large group of Warhol's works on paper starting with drawings he made when he was a young artist in the 1950s. Also included will be more than 60 of his Polaroid snapshots, as well as two films Mr. Brant and Warhol worked on together, including "L'Amour," which they shot in Paris in 1973. It stars the fashion designer Karl Lagerfeld and has a soundtrack by Cass Elliot of the Mamas and the Papas. When the exhibition ends at the Brant Foundation, it will travel to the Palazzo Reale in Milan, opening in November, and then to the Los Angeles County Museum of Art"

FOR IMMEDIATE RELEASE
THE BRANT FOUNDATION ART STUDY CENTER PRESENTS *ANDY WARHOL*
MAY 12–SEPTEMBER 2013

The Brant Foundation Art Study Center is pleased to present the first comprehensive survey of Andy Warhol's oeuvre, a homage to one of the most influential artists of the second half of the last century.

The exhibition reflects passion for Andy Warhol's work. It was Brant who very early in the artist's career recognized the significance of Warhol's work.. Today, more than 25 years after the artist's death, we know that Andy Warhol was not only the significant protagonist of 'Pop culture', but also one of the most visionary chronologists of his time. In his work we see the resonance of social phenomena: beyond a perfect surface, the mirror of life, in which happiness, death and disaster coincide, in which banality and sophistication meet, in which we see the first messengers of a total media orientated society to come. The spirit of his time could be read like a monologue of an artist observing a society seemingly without emotion. And still, emotion irradiates through the aura of his work.

The first presentation of the Warhol collection by The Brant Foundation Art Study Center has the character of a retrospective bringing together over 200 works; it begins with drawings of the young artist from the late fifties, his hand-painted paintings and first self-portraits from the early sixties. The exhibition presents Warhol's most iconic works: *Marilyn* and *Liz*, *Elvis* and *Mao* Portraits; Flower paintings, the well-known "*192 One Dollar-Bills*", the car crash tragedy "*Green Disaster*", and a depiction of the silent death chamber: "*Twelve Electric Chairs*." It presents the silkscreen of "*Tuna Fish Disaster*" and it displays one of the most scholarly discussed and celebrated works: the Mona Lisa paraphrase: "T*hirty are Better Than One*." The presentation ends with the artist's very last project: "*The Last Supper.*" Also on view will be over 60 Polaroid works as well as two films featured in the video room that Peter and Andy worked on together, *L'Amour*, shot in Paris in 1973, starring Karl Lagerfeld, and *Bad*, 1977. The Brant Foundation Art Study Center Library will feature select pieces of Andy Warhol's own furniture from his home. The library will also include furniture that belonged to Fred Hughes, Warhol's business manager for more than 25 years. This hopes to give the audience a visual sense of what Warhol was looking at.

The exhibition is co-curated by Heiner Bastian, who organized the celebrated Warhol Retrospective at the Neue Nationalgalerie, Berlin, The Tate Modern, London and MoCA, Los Angeles in 2001 / 2002. The Brant Foundation Art Study Center has a mission to promote education and appreciation of contemporary art and design, by making works available to institutions and individuals for scholarly study and examination. The Brant Foundation Art Study Center presents long-term exhibitions.

The Brant Foundation, Inc., established in 1996, lends works to more than a dozen exhibitions per year. The Brant Foundation Art Study Center, is located at 941 North Street, Greenwich, Connecticut, and is open Monday through Friday by appointment only. The exhibition will remain on view through September 2013.

The Brant Foundation, Inc. is pleased to host a select group of VIP's from Frieze Art Fair New York at the exhibition opening of "Andy Warhol." Additionally, Peter M. Brant, President of The Brant Foundation, Inc. served as a member of the Host committee for Frieze New York.

JUNE 2013:
Peter M. Brant participated in the following along with Galerie Bischofberger:
Tobias Mueller, Director writes, "We are helping to organize an exhibition on Warhol, Basquiat and the collaborations in a museum in Vienna opening this fall. a team of the Austrian state television (very serious and widely seen in German speaking areas) came to film Bruno at his exhibition facilities. They will further go to foundation Beyeler where there will be several works on view this summer, from various collections (daros etc.). We could not talk to them about Warhol though without mentioning the stunningly beautiful exhibition of Warhols, which Peter organized.

SEPTEMBER 30, 2013:
Peter M. Brant, President of The Brant Foundation, Inc. is pleased to support the Bruce Museum, Greenwich, Connecticut, as a Patron member. The Bruce Museum promotes the understanding and appreciation of art and science in our greater community of Fairfield, Westchester, and beyond. With 12 – 14 exhibitions annually and educational programs for over 12,000 children each year, The Brant Foundation is happy to support the Bruce to enable them to plan for current and future exhibitions and to provide educational resources and services to local schools and the community.

OCTOBER 23, 2013:
The Brant Foundation, Inc. is pleased to open its exhibition "Andy Warhol" at the Palazzo Reale, Milan, ITALY with Peter M. Brant, President in attendance.

WARHOL
24 October 2013 – 9 March 2014
Palazzo Reale, Milan

Andy Warhol, the father of American Pop Art, returns to Milan with a major monographic show due to open at Palazzo Reale as part of what is being called Milan's "American Autumn," starting on 24 September with the "Pollock e gli Irascibili" exhibition. Produced by the Assessorato alla Cultura del Comune di Milano, Palazzo Reale, 24 ORE Cultura – Gruppo 24 ORE and Arthemisia Group, the exhibition, with the contribution of Francesco Bonami, is curated by Peter Brant. making them available for scholarly study and promoting the appreciation of contemporary art through the *Brant Foundation*.

As Francesco Bonami writes: "Andy Warhol from the Brant collection is a rare opportunity for the public to be able to see one of the most important groups of works of this American artist (...) assembled by Peter Brant, an intimate friend of Warhol, with whom he shared the liveliest years both culturally and artistically in New York in the 1960s and '70s."

The show begins with Warhol's first drawings as an illustrator and ends with his spectacular *Last Suppers*—which were presented right here in Milan in 1987, Warhol's last exhibition before his death following a routine operation—and the self-portraits, by way of some of his more iconic works, like *Electric Chairs*, the great portrait of Mao, his flowers, along with one of Warhol's true masterpieces, *Shot Blue Marilyn*, a portrait of the famous American
With its masterpieces and other equally amazing but lesser known works, such as a series of Polaroids that have never been viewed in Europe, the Brant Collection exhibition does not merely tell us about Warhol the star of the art world and the market, but also about the private Warhol, the friend, and the man. Although many years have passed, during which society has changed deeply, the works that the visitor will be able to admire at Palazzo Reale reveal how art never ages. As Francesco Bonami writes: "Great artists can have periods that are more or less interesting, but in their production they will always have something to communicate. Warhol speaks about our society, both today as he did then."

The show is a great success with the weekend of 2nd November alone, having 6000 visitors. After Milan the exhibition will travel to the prestigious LACMA Museum in Los Angeles

OCTOBER 24, 2013:
The Brant Foundation, Inc. is pleased to support the Solomon R. Guggenheim Museum, New York as a "Founding Leader" for its major and comprehensive retrospective exhibition "Christopher Wool" opening the 24th of October, 2013. Curated by the Guggenheim's Katherine Brinson, the exhibition will fill Frank Lloyd Wright's iconic rotunda and adjacent annex galleries at the Guggenheim with a rich selection of over 100 of Christopher Wool's paintings, photographs, and works on paper from the mid-1980s to the present. This exhibition will continue the museum's long tradition of surveying the art of our time in an international context, is a significant occasion for both the museum and the artist while being a highlight of the fall art season. The Brant Foundation, Inc. is pleased to support the Guggenheim Museum with this historic project.

NOVEMBER 10, 2013:
The Brant Foundation Art Study Center presents "Julian Schnabel", November 10, 2013 – March, 2014, a comprehensive survey of almost fifty works including paintings, drawings, and sculptures from the mid-1970's to the present. Peter M. Brant, President of The Brant Foundation, Inc. says, "it is a pleasure to open Julian Schnabel's exhibition following our Andy Warhol show. This is a great opportunity to show a contemporary master painter and to review his oeuvre. It is clear how important Julian is to the landscape of contemporary art, and remains a pivotal figure and a huge influence to the younger artists of today."

Since his first solo exhibition at Mary Boone Gallery in 1979, Schnabel has forged a singular pictorial language that embraces unconventional methods and materials. Beginning with his earliest works, Schnabel broke with the prevailing paradigm of Conceptual Art by forging a unique artistic practice that embraced figuration, personal narratives and references to history and mythology. Challenging the notion that there is any difference between figurative and abstract painting, Schnabel has founded his work on an infinite exploration of different ways of putting paint on a pictorial surface. As Schnabel explained in his monograph CVJ (1987), "I don't think the battle between figuration and abstraction is even an issue. Anything can be a model for a painting – a poplar tree, another painting, a smudge of dirt."

The "Big Girl" paintings are emblematic of Schnabel's radically un-hierarchical approach. Based on a small painting of a blonde girl by an unknown artist that he found in a Houston thrift shop in 1987, Schnabel drew inspiration for this iconic series in 2001. Two example of this well-knows series are on view. Alongside are two paintings on Kabuki theater backdrops from 1987; a 16 square foot painting "E o OEN (1988), recalling the name of Andy Warhol's estate in Montauk, Long Island where Schnabel lived and worked and painted the painting; "El Espontaneo", a 22 square foot painting from 1990, and a recent Untitled "Goat Painting" (2012), where Schnabel painted on a transposed image of a stuffed goat with a rabbit on its head over 19th century Dufour wallpaper depicting George Washington accepting Cornwallis's surrender.

One of the main tropes of this survey exhibition is Schnabel's poetic use of found materials, his embrace of chance operations and his sui generis inventive mark-making. Whether dragging a canvas on the ground, allowing a drop cloth to absorb environmental stains, or using printed images as supports for his paintings, Schnabel's formally and conceptually wide-ranging work can be connected through the notion of the palimpsest. With this dense layering of cultural signifiers and imagery that drives his work, Schnabel forged a groundbreaking practice that can be seen echoed in the work of subsequent generation of artists including Joe Bradley, Dan Colen, Urs Fischer, Wade Guyton, Nate Lowman, Sergej Jensen and Mark Grotjahn among others.

The Brant Foundation exhibition will also feature rarely exhibited early works from 1975 onwards, including drawings and studies for his first mature bodies of works such as his "Wax Paintings" and "Plate Paintings" that would follow. Examples of these early wax paintings, such as "St. Sebastian – Born in 1951 (1979), will be featured in the exhibition. In these works, Schnabel cut holes into the surface of the canvas and made ridges out of canvas and modeling past in order to make them look and feel like found objects (as though he was painting on a garage door or a brick wall). Schnabel's "Plate Paintings" are perhaps his most distinctive contribution to twentieth century art. While these are arguably Schnabel's best-known works, these plate paintings have not been exhibited in New York for decades. At The Brant Foundation Art Study Center, one will have the opportunity to engage with some of the most important plate paintings being exhibited together for the first time. The exhibition will feature Schnabel's first plate painting, "The Patients and the Doctors" (1978), "Divan" (1979), as well as other important works such as "The Mud in Mudanza "(1982) and "The Walk Home" (1985). In the monumental tableau entitled "The Sea" (1981), Schnabel used shards of broken Mexican vases to evoke an allegory of death and to suggest an image of cultural upheaval. These themes of death, time, and immortality are a common thread in all of

Schnabel's oeuvre. In addition to these more abstract plate paintings, the exhibition will also feature a selection of his portraiture executed on broken crockery such as "Portrait of Jacqueline Beaurang (1984)", "Portrait of Tina Chow (1987)", and "Portrait of May Andersen (2013"). In addition, a plate portrait of Ryan Brant (2011) holding a copy of William Gaddis's "JR" accompanies two paintings from Schnabel's "Recognitions Series" (1987), itself an ode to the great writer and friend William Gaddis, alongside Schnabel's monumental, haunting painting, "Mutant King (1981)". In all of Schnabel's paintings, there is a method that opens up to many different kinds of appearances. While these appearances might seem disparate, they congeal into an undeniable thread that makes up the authenticity of the mark of a Julian Schnabel Painting.

Julian Schnabel was born in New York City in 1951. In 1965 he moved with his family to Brownsville, Texas. He attended the University of Houston from 1969-1973, receiving a BFA, and returned to new York to participate in the Whitney Museum Independent Study Program. In 1987, Schnabel travelled throughout Europe and in Barcelona was particularly moved by the architecture of Antoni Gaudi. That same year he made his first plate painting, "The Patients and the Doctors". His first solo painting exhibition took place at the Mary Boone Gallery in New York City in February 1979. Schnabel's work has been exhibited all over the world. His paintings, sculptures, and works on paper have been the subject of numerous retrospective exhibitions at museums including: The Stedelink Museum, Amsterdam, 1982; Tate Gallery, London, 1982; Whitechapel Gallery, London, 1987; Kunsthalle Dusseldorf, Dusseldorf, 1987; Centre George Pompidou, Paris, 1987; Whitney Museum of American Art, New York, 1987; Fundacio Joan Miro, Barcelona, 1995; Galleria d'Art Moderna di Bologna, Bologna, 1996; The Guggenheim Museeim, Bilbao, 1997; Schirn Kunstalle, Frankfurt/Main, 2004; Museo Nacional Centro de Art Reina Sofia, Madrid, 2004; Plazzo Venezia, Rome, 2007, Rotonda della Besana, Milan, 2007, The Art Gallery of Ontario, Toronto, 2010; and Museo Correr, Piazza San Marco, Venice, 2011.

In 1996 Schnabel wrote and directed the feature film "Basquiat" about fellow New York artist Jean-Michel Basquiat, produced by Peter M. Brant, President of The Brant Foundation, Inc. Schnabel went on to receive great acclaim for "Before Night Falls" (2000), "the Diving Bell and the Butterfly" (2007), "Berlin" (2007), and "Miral" (2010).

Mr. Schnabel's work is included in the public collections of the Museum of Modern Art, New York; Whitney Museum of American Art, New York; Metropolitan Museum of Art, New York; Museum of Contemporary Art, Los Angeles; Guggenheim Museum, New York and Bilbao; Tate Gallery, London; Tokyo Metropolitan Art Museum, Tokyo; Museo Nacional Centro de Art Reina Sofia, Madrid; National Gallery of Art, Washington F.C; National Gallery of Australia, Canberra; Museum of Fine Arts, Houston; San Francisco Museum of Modern Art, San Francisco; Kunstmuseum, Basel; Hamburger Bahnhof, Berlin; Foundation Musee d'Art Moderne, Luxembourg; and Centre Georges Pompidou, Paris.

NOVEMBER 15, 2013:
The Brant Foundation, Inc. is pleased to support the Foundation for Contemporary Arts, New York. FCA was started in 1963 by visual artists in a spirit of community, hoping to assist and encourage innovation, experimentation and potential in the arts. Since then, exhibitions and sales of works donated by more than 900 visual artists have made possible their program of non-restrictive grants to individuals working in dance music/sound, performance art/theater, poetry and the visual arts. Grants are also given to presenting organizations, and FCA maintains a fund to help artists with unexpected emergencies. FCA continues to support its grant programs through the sale of artists' works and through direct financial contributions. The Brant Foundation, Inc. is pleased to support FCA as they celebrate the Foundation's 50th Anniversary.

The Brant Foundation, Inc. is pleased to support Studio in a School, founded by Agnes Gund. Now in its 36th year, Studio in a School provides the highest quality visual arts education to the children of New York City. Since 1977, Studio has made a difference in the lives of over 800,000 underprivileged youth aged 3 to 23 by delivering in excess of $90 million in artistic services to more than 700 schools in high need communities across all five boroughs. These programs, taught only by practicing artists, range from in-class instruction for Title I public school children to internships for high school and college students. Though 90% of the students attend the City's highest poverty school, 100% of them are rich in artistic experiences. The Brant Foundation is pleased to support Studio in a School in order to help build confidence, creative thinking skills, and freedom of expression in the lives of tens of thousands of New York's children.

The Brant Foundation, Inc. is pleased to support the Center for Curatorial Studies Bard College (CCS Bard) as a Patron. Located in Annandale-on-Hudson, New York, CCS Bard is an educational organization that relies entirely on philanthropy to sustain its activities such as maintaining their program, and providing scholarships for students and research facilities for the next generation of arts leaders. CCS Bard's graduates are increasingly well-known and its exhibitions receive critical attention. In addition, the core academic program at Bard provides year-round programming for a new generation of curators and artists in an environment which is deeply committed to learning and experimentation. As an internationally renowned Masters course, CCS Bard offers the most dynamic curatorial program in the United States with a strong emphasis on scholarship alongside practical experience. 95% of CCS Bard's graduates continue in the field of curatorial practice, working not only in some of the leading art and cultural centers worldwide, but also shaping the way that many of us encounter contemporary art today. The Brant Foundation, Inc. is pleased to support this organization.

NOVEMBER 19, 2013:
Peter M. Brant, President of The Brant Foundation, Inc. along with his wife Stephanie, is pleased to attend the ICI Benefit & Auction honoring Miuccia Prada, Fondazione Prada & Germano Celant. Since 1975, ICI has produced exhibitions, events, publications, and training opportunities for diverse audiences around the world. Like no other organization, we connect emerging and established curators, artists, and institutions to forge international networks and generate new forms of collaboration. Mr. and Mrs. Brant were pleased to make a generous contribution to The Access Fund which provides scholarships for emerging curators to take

part in the Curatorial Intensive, ICI's professional development program, and makes possible the presentation of exhibitions at venues around the world, that have big ideas and small resources. The Brants' support helps emerging curators with scholarships for training and enables under-resourced art centers to collaborate with ICI on presenting new exhibitions.

NOVEMBER 21, 2013:
Peter M. Brant, President of The Brant Foundation, Inc. along with Alina & Anthony K. Shriver, are pleased to present The Best Buddies Art & Friendship Auction, in collaboration with The Brant Foundation, Tuesday, the third of December, 2013, 7:00 PM to 9:00 pm and hosted by Sara & Ugo Colombo at their home in Miami Beach, FL. Founded by Anthony Kennedy Shriver 25 years ago, Best Buddies is a nonprofit dedicated to enhancing the lives of people with intellectual and developmental disabilities through friendship programs, integrated employment and leadership development. Best Buddies helps to positively impact 800,000 people annually in all 50 states and 52 countries.

DECEMBER 2013:
Peter M. Brant, President of The Brant Foundation, Inc. at the request of Marina Cashdan, Director of Artsy, is pleased to participate in the "2013 Highlights of Art Basel Miami". Mr. Brant is pleased to have made 6 – 10 selections for Artsy' s *Insiders Highlights* feature.

DECEMBER 13, 2013:
Peter M. Brant, President of The Brant Foundation, Inc. is pleased to participate as a Juror at the request of Howard Singermand, Phyllis and Joseph Caroff Chair, Department of Art and Art History, Hunter College of the City University of New York & Joachim Pissarro, for the C12 Emerging Artists Award, the most significant prize awarded by Hunter College's highly competitive graduate program in Studio Art. As a member of the C12 Emerging Artists jury, you will play an important role in selecting one promising artist from this semester's MFA thesis exhibition, helping him or her make the transition from graduate school to professional artist. The artist you select from this fall semester's graduating class will be recognized as a "C12 Emerging Artist" and receive a $25,000 award. As a juror, you will be asked to review the work of this semester's graduates as it is installed in their MFA Thesis Exhibition, opening this December 11 and running through January 2014 at Hunter's Times Square Gallery on West 41st Street between 10th and Dyer. Each graduating MFA student will be represented by a significant body of work, sometimes occupying a full gallery. In addition, each artist has been asked to craft a short statement and will provide jurors with a few images of earlier work, to help you better understand his or her art making process.

Hunter's MFA in Studio Art is one of the top rated Fine Arts programs in the U.S., recently ranked #13 of the 230 programs listed by *U.S. News and World Report.* Founded in 1870, Hunter College is both the largest college in the City University of New York system and one of the oldest public colleges in the country. Currently, over 22,000 students attend, pursuing both undergraduate and graduate degrees in more than 170 different programs of study.

ATTACHMENT 12

FORM 990PF, PART VII-A, LINE 11A-TRANSFERS FROM CONTROLLED ENTITY STATEMENT

TOTAL AMOUNT

CONTROLLED ENTITY'S NAME: BRANT FAMILY LLC
CONTROLLED ENTITY'S ADDRESS: 80 FIELD POINT ROAD, 3RD FLOOR
CITY, STATE & ZIP: GREENWICH, CT 06830
EIN: 45-4993106
TRANSFER AMOUNT:
EXPLANATION OF TRANSFER FROM CONTROLLED ENTITY:

Form **8868**

(Rev January 2014)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at *www.irs.gov/form8868.*

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
File by the due date for filing your return See instructions	THE BRANT FOUNDATION, INC.	06-1470051
	Number, street, and room or suite no If a P O box, see instructions 80 FIELD POINT ROAD, 3RD FLOOR	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions GREENWICH, CT 06830	

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . [0][4]

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ THE BRANT FOUNDATION, INC.

Telephone No ▶ 203 661-3344 FAX No ▶ 203 661-3349

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ [ ] If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 14, to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ [X] calendar year 20 13 or

▶ [ ] tax year beginning ______, 20__, and ending ______, 20__

2 If the tax year entered in line 1 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	**3a**	$ 0
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	**3b**	$ 0
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	**3c**	$ 0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions. Form **8868** (Rev 1-2014)