



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE ELLEN AND GARY DAVIS FOUNDATION		<b>A Employer identification number</b>  06-1357318	
Number and street (or P O box number if mail is not delivered to street address) 45 PECKSLAND ROAD		<b>B Telephone number</b> (see instructions)  (203) 324-8440	
City or town, state or province, country, and ZIP or foreign postal code GREENWICH, CT 06831		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 4,510,021		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities. . . . .	150,822	150,822		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	413,797			
	b Gross sales price for all assets on line 6a 875,211				
	7 Capital gain net income (from Part IV, line 2) . . .		563,141		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .	4,209	4,209		
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	568,828	718,172		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	20,000	16,000		4,000
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions)	7,750	5,250		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .	2,421	0		0
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	12,335	12,181		0
	24 <b>Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	42,506	33,431		4,000
	25 Contributions, gifts, grants paid . . . . .	633,382			633,382
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	675,888	33,431		637,382
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	-107,060			
	b <b>Net investment income</b> (if negative, enter -0-)		684,741		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	100,689	882,843	882,843
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)	300,000	<input checked="" type="checkbox"/> 300,000	332,499
	b	Investments—corporate stock (attach schedule) . . . . .	3,556,512	<input checked="" type="checkbox"/> 3,121,250	3,000,559
	c	Investments—corporate bonds (attach schedule). . . . .	209,902	<input checked="" type="checkbox"/> 185,315	199,404
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	530,456	<input checked="" type="checkbox"/> 83,363	76,988
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	<input checked="" type="checkbox"/> 0	<input checked="" type="checkbox"/> 17,728	<input checked="" type="checkbox"/> 17,728	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,697,559	4,590,499	4,510,021	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	4,697,559	4,590,499	
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	4,697,559	4,590,499	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	4,697,559	4,590,499		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	4,697,559
2	Enter amount from Part I, line 27a . . . . .	2	-107,060
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3 . . . . .	4	4,590,499
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	4,590,499

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 a	PUBLICLY TRADED SECURITIES VIA JP MORGAN	P	2012-01-01	2013-07-02
b	PUBLICLY TRADED SECURITIES VIA FIDELITY	P	2009-02-27	2013-09-30
c	JPMORGAN GLOBAL ACCESS PORTFOLIO LLC	P		
d	JPMORGAN GLOBAL ACCESS PORTFOLIO LLC	P		
e	PUBLICLY TRADED SECURITIES VIA FIDELITY	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 185,536		112,848	72,688
b 400,687		141,525	259,162
c 60,890			60,890
d 2,373			2,373
e 225,725		57,697	168,028

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			72,688
b			259,162
c			60,890
d			2,373
e			168,028

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	563,141
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . }		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	736,899	4,531,229	0 162627
2011	710,506	5,239,026	0 135618
2010	408,033	5,263,655	0 077519
2009	672,655	4,831,556	0 139221
2008	963,071	6,428,376	0 149816

2 Total of line 1, column (d). . . . .	2	0 664801
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .	3	0 132960
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .	4	4,579,776
5 Multiply line 4 by line 3. . . . .	5	608,927
6 Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	6,847
7 Add lines 5 and 6. . . . .	7	615,774
8 Enter qualifying distributions from Part XII, line 4. . . . .	8	637,382

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	6,847
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2. . . . .		3	6,847
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	6,847
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	8,809	
b Exempt foreign organizations—tax withheld at source . . . . .	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	7,000	
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments Add lines 6a through 6d. . . . .		7	15,809
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	8,962
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 8,962 Refunded		11	0

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?. . . . .		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0 (2) On foundation managers \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?. . . . .		4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none"><li>By language in the governing instrument, or</li><li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .</li></ul>		6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> CT, DE			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶GARY DAVIS Telephone no ▶(203) 324-8440 Located at ▶45 PECKSLAND RD GREENWICH CT ZIP +4 ▶06831			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?. . . . .	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	2b		
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> ). . . . .	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.		<input checked="" type="checkbox"/>		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		<input type="checkbox"/> Yes	<input type="checkbox"/> No	
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b No		
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GARY DAVIS	TRUSTEE	0	0	0
45 PECKSLAND ROAD GREENWICH, CT 06831	1 00			
ELLEN DAVIS	TRUSTEE	0	0	0
45 PECKSLAND ROAD GREENWICH, CT 06831	1 00			
RICHARD J BRONSTEIN	TRUSTEE	0	0	0
PAUL WEISS RIFKIND WHARTON1285 AVE OF THE AMERICAS NEW YORK, NY 10019	0 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . .		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3. . . . .	0



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	3,876,661
b	Average of monthly cash balances. . . . .	1b	319,961
c	Fair market value of all other assets (see instructions). . . . .	1c	452,897
d	Total (add lines 1a, b, and c). . . . .	1d	4,649,519
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	4,649,519
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	69,743
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,579,776
6	Minimum investment return. Enter 5% of line 5. . . . .	6	228,989

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	228,989
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	6,847
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	6,847
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	222,142
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	222,142
6	Deduction from distributable amount (see instructions). . . . .	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	222,142

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	637,382
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	637,382
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	6,847
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	630,535
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				222,142
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only. . . . .			0	
b Total for prior years 20__ , 20__ , 20__		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008. . . . .	651,667			
b From 2009. . . . .	437,905			
c From 2010. . . . .	152,115			
d From 2011. . . . .	453,387			
e From 2012. . . . .	516,488			
f Total of lines 3a through e. . . . .	2,211,562			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 637,382				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
c Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
d Applied to 2013 distributable amount. . . . .				222,142
e Remaining amount distributed out of corpus	415,240			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,626,802			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	651,667			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	1,975,135			
10 Analysis of line 9				
a Excess from 2009. . . .	437,905			
b Excess from 2010. . . .	152,115			
c Excess from 2011. . . .	453,387			
d Excess from 2012. . . .	516,488			
e Excess from 2013. . . .	415,240			

## Part XIV

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . .

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

## 1 Information Regarding Foundation Managers:

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

See Additional Data Table

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	633,382
b Approved for future payment				
Total . . . . .			3b	0

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

1a(1)

No

2

Other assets.

1a(2)

No

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

1b(1)

No

2

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

3

Rental of facilities, equipment, or other assets.

1b(3)

No

4

Reimbursement arrangements.

1b(4)

No

5

Loans or loan guarantees.

1b(5)

No

6

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2014-11-13

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes

No

Paid Preparer Use Only

Print/Type preparer's name

Preparer's Signature

Date

Check if self-employed

PTIN

Firm's name

Firm's EIN

Firm's address

Phone no

RIAZ S QUREISHI

CPA

2014-11-13

P00047903

PERELSON WEINER LLP

13-3791592

ONE DAG HAMMARSKJOLD PLAZA

NEW YORK, NY

100172286


605-3100

Form 990-PF (2013)

**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).**

GARY DAVIS
ELLEN DAVIS

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment


Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
BREAST CANCER RESEARCH FOUNDATION 60 EAST 56TH STREET NEWYORK,NY 10022	NONE	CHARITABLE	GENERAL	5,000
CHAI LIFELINE 151 W 30TH STREET NEWYORK,NY 10001	NONE	CHARITABLE	GENERAL	1,000
COLUMBIA LAW SCHOOL GARY S DAVIS SCHOLARHIP FUND 622 WEST 113TH STREET NEWYORK,NY 10025	NONE	CHARITABLE	GENERAL	50,000
COLUMBIA UNIVERSITY JOHN JAY ASSOC PARENTS FUND 622 WEST 113TH STREET NEWYORK,NY 10025	NONE	CHARITABLE	GENERAL	5,000
CORNELL UNIVERSITY ANNUAL FUND FOR HERBERT F JOHNSON MUSEUM OF ART 130 E SENECA STREET ITHACA,NY 14850	NONE	CHARITABLE	GENERAL	26,000
CORNELL UNIVERSITY G&E DAVIS SCHOLARSHIP FUND 130 E SENECA STREET ITHACA,NY 14850	NONE	CHARITABLE	GENERAL	75,000
FOOD BANK OF LOWER FAIRFIELD COUNTY 461 GLENBROOK ROAD STAMFORD,CT 06906	NONE	CHARITABLE	GENERAL	500
HARLEM VILLAGE ACADEMIES 1114 AVENUE OF AMERICAS 39TH FL NEWYORK,NY 10036	NONE	CHARITABLE	GENERAL	1,000
HORACE MANN ANNUAL FUND 231 WEST 246TH STREET BRONX,NY 10471	NONE	CHARITABLE	GENERAL	22,000
JEWISH FAMILY SERVICE 733 SUMMER STREET STAMFORD,CT 06901	NONE	CHARITABLE	GENERAL	2,000
LYMPHOMA RESEARCH FOUNDATION 115 BROADWAY NEWYORK,NY 10006	NONE	CHARITABLE	GENERAL	4,100
MELANOMA RESEARCH FOUNDATION 1101 NEWYORK AVE NW WASHINGTON,DC 20005	NONE	CHARITABLE	GENERAL	110,000
METROPOLITAN COUNCIL ON JEWISH PROVERTY 120 BROADWAY NEWYORK,NY 10271	NONE	CHARITABLE	GENERAL	5,000
ROUND HILL ASSOCIATION INC PO BOX 7788 GREENWICH,CT 06830	NONE	CHARITABLE	GENERAL	25
SOLOMON SCHECHTER SCHOOL OF WESTCHESTER 555 WEST HARTSDALE AVENUE HARTSDALE,NY 10530	NONE	CHARITABLE	GENERAL	3,600
<b>Total . . . . .</b>  <b>3a</b>				633,382




Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
STRATTON MOUNTAIN SCHOOL WORLD CUP CIRCLE STRATTON MOUNTAIN,VT 05155	NONE	CHARITABLE	GENERAL	8,500
TEMPLE SHOLOM 300 EAST PUTNAM AVENUE GREENWICH,CT 06830	NONE	CHARITABLE	GENERAL	180
THE METROPOLITAN MUSEUM OF ART ALFRED STIEGLITZ SOCIETY 1000 FIFTH AVENUE NEW YORK,NY 10028	NONE	CHARITABLE	GENERAL	8,222
THE SUMMER CAMP 8 CHURCH STREET BRIDGTON,ME 04009	NONE	CHARITABLE	GENERAL	500
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 1 COLLEGE HALL PHILADELPHIA,PA 19104	NONE	CHARITABLE	GENERAL	80,000
UJA FEDERATION OF GREENWICH ONE HOLLY HILL LANE GREENWICH,CT 06830	NONE	CHARITABLE	GENERAL	66,350
UNITED JEWISH APPEAL ASPEN VALLEY PO BOX 8590 ASPEN,CO 81612	NONE	CHARITABLE	GENERAL	1,000
COLUMBIA LAW SCHOOL CHINA FRACKING SEMINAR 622 WEST 113TH STREET NEW YORK,NY 10025	NONE	CHARITABLE	GENERAL	50,000
CORNELL UNIVERSITY SKI TEAM 130 E SENECA STREET ITHACA,NY 14850	NONE	CHARITABLE	GENERAL	13,500
CORNELL UNIVERSITY ANNUAL ARTS AND SCIENCES FUND 130 E SENECA STREET ITHACA,NE 14850	NONE	CHARITABLE	GENERAL	25,000
ABILIS 50 GLENVILLE STREET GREENWICH,CT 06831	NONE	CHARITABLE	GENERAL	200
AMERICAN FOUNDATION FOR SUICIDE PREVENTION 120 WALL STREET 29TH FLOOR NEW YORK,NY 10005	NONE	CHARITABLE	GENERAL	1,000
ANTI-DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK,NY 10158	NONE	CHARITABLE	GENERAL	2,500
ASSOCIATION TO BENEFIT CHILDREN 419 EAST 86TH STREET NEW YORK,NY 10028	NONE	CHARITABLE	GENERAL	500
BOYS AND GIRLS CLUB OF GREENWICH 4 HORSENECK LANE GREENWICH,CT 06830	NONE	CHARITABLE	GENERAL	2,000
Total . . . . . 3a				633,382

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
CARLOS OTIS STRATTON MOUNTAIN CLINIC 78 FOUNDERS HILL ROAD PO BOX 617 STRATTON MOUNTAIN,VT 05155	NONE	CHARITABLE	GENERAL	1,000
CHARTER OAK CHALLENGE FOUNDATION 575 RIVERSIDE AVENUE SUITE 202A WESTPORT,CT 06880	NONE	CHARITABLE	GENERAL	1,000
CORNELL PRISON EDUCATION PROGRAM 130 E SENECA STREET ITHACA,NY 14850	NONE	CHARITABLE	GENERAL	1,000
CORNELL RADIO GUILD 604 EAST BUFFALO STREET ITHACA,NY 14850	NONE	CHARITABLE	GENERAL	250
FROM HOUSES TO HOMES PO BOX 85 MT TABOR,NJ 07878	NONE	CHARITABLE	GENERAL	250
GREENWICH HIGH SCHOOL STUDENT LOAN FUND PO BOX 646 OLD GREENWICH,CT 06870	NONE	CHARITABLE	GENERAL	100
HEBREW CHARTER SCHOOL CENTER 729 SEVENTH AVENUE NEWYORK,NY 10019	NONE	CHARITABLE	GENERAL	1,180
HORACE MANN PARENTS ASSOCIATION 231 WEST 246TH STREET BRONX,NY 10471	NONE	CHARITABLE	GENERAL	500
INTERNATIONAL CENTER OF PHOTOGRAPHY 1133 AVE OF AMERICAS NEWYORK,NY 10036	NONE	CHARITABLE	GENERAL	75
JAZZ AT LINCOLN CENTER 3 COLUMBUS CIRCLE 12TH FLOOR NEWYORK,NY 10019	NONE	CHARITABLE	GENERAL	2,000
JOE LIEBERMAN CONNECTICUT SCHOOL PROGRAM ONE SCHOLARSHIP WAY SAINT PETER,MN 56082	NONE	CHARITABLE	GENERAL	10,000
MEOR 215 SOUTH 39TH STREET PHILADELPHIA,PA 19104	NONE	CHARITABLE	GENERAL	5,000
TEACHERS ASK (ULTRA 1ST GRADERS) 213 WEST 35TH STREET 2ND FL NEWYORK,NY 10001	NONE	CHARITABLE	GENERAL	200
NATIONAL GALLERY OF ART 6TH ST CONSTITUION AVE NW WASHINGTON,DC 20565	NONE	CHARITABLE	GENERAL	5,000
ORTHOPAEDIC FOUNDATION FOR ACTIVE LIFESTYLES 2777 SUMMER STREET SUITE 500 STAMFORD,CT 06905	NONE	CHARITABLE	GENERAL	1,500
<b>Total . . . . .</b>  <b>3a</b>				633,382

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE NEWYORK,NY 10065	NONE	CHARITABLE	GENERAL	250
STRATTON MOUNTAIN SCHOOL 7 WORLD CUP CIRCLE STRATTON MOUNTAIN,VT 05155	NONE	CHARITABLE	GENERAL	1,500
THE STRATTON MTN AND VALLEY COMMUNITY BENEFIT FOUNDATION PO BOX 523 STRATTON MOUNTAIN,VT 05155	NONE	CHARITABLE	GENERAL	250
STRATTON FAMILY FUND LIMITED 5 VILLAGE LODGE ROAD STRATTON MOUNTAIN,VT 05155	NONE	CHARITABLE	GENERAL	500
THE SURVIVOR MITZVAH PROJECT 2658 GRIFFITH PARK BLVD SUITE 299 LOS ANGELES,CA 90039	NONE	CHARITABLE	GENERAL	10,000
THE ASPEN INSTITUTE ONE DUPONT CIRCLE NW SUITE 700 WASHINGTON,DC 20036	NONE	CHARITABLE	GENERAL	16,400
TURN AROUND FOR CHILDREN 25 WEST 45TH STREET NEWYORK,NY 10036	NONE	CHARITABLE	GENERAL	2,000
UJA FEDERATION OF NEWYORK 130 EAST 59TH STREET NEWYORK,NY 10022	NONE	CHARITABLE	GENERAL	1,000
YWCA OF GREENWICH 259 EAST PUTNAM AVENUE GREENWICH,CT 06830	NONE	CHARITABLE	GENERAL	250
YOUTH RENEWAL FUND 250 WEST 57TH STREET SUITE 632 NEWYORK,NY 10107	NONE	CHARITABLE	GENERAL	2,500
<b>Total . . . . .</b>  <b>3a</b>				633,382

## TY 2013 Accounting Fees Schedule

**Name:** THE ELLEN AND GARY DAVIS FOUNDATION

**EIN:** 06-1357318

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	20,000	16,000		4,000

**TY 2013 Investments Corporate  
Bonds Schedule**

**Name:** THE ELLEN AND GARY DAVIS FOUNDATION  
**EIN:** 06-1357318

Name of Bond	End of Year Book Value	End of Year Fair Market Value
JP MORGAN-CONTINENTAL AIRLINES 7.875% BOND	185,315	199,404

**TY 2013 Investments Corporate  
Stock Schedule****Name:** THE ELLEN AND GARY DAVIS FOUNDATION**EIN:** 06-1357318

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
JP MORGAN-SPDR TRUST SERIES 1`	176,520	369,380
JP MORGAN - JPM CHASE CAPITAL XXIX	125,000	126,600
FIDELITY	1,146,224	1,250,782
IFIS LIMITED	854,667	548,734
MORGAN STANLEY-ABERDEEN SELECT INTL EQ II I	693,839	578,463
MORGAN STANLEY-JPM CHASE CAPITAL XXIX	125,000	126,600

# TY 2013 Investments Government Obligations Schedule

**Name:** THE ELLEN AND GARY DAVIS FOUNDATION

**EIN:** 06-1357318

**US Government Securities - End of  
Year Book Value:**

0

**US Government Securities - End of  
Year Fair Market Value:**

0

**State & Local Government  
Securities - End of Year Book  
Value:**

300,000

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

332,499

## TY 2013 Investments - Other Schedule

**Name:** THE ELLEN AND GARY DAVIS FOUNDATION

**EIN:** 06-1357318

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
JPMORGAN-JUNIUS CAA CAYMAN LP	AT COST	83,363	76,988



TY 2013 Other Assets Schedule

**Name:** THE ELLEN AND GARY DAVIS FOUNDATION

**EIN:** 06-1357318

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROCEEDS RECEIVABLE FROM SALES OF INVESTMENTS		17,728	17,728

**TY 2013 Other Expenses Schedule****Name:** THE ELLEN AND GARY DAVIS FOUNDATION**EIN:** 06-1357318

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	154	0		0
INVESTMENT FEES	764	764		0
JPMORGAN GLOBAL ACCESS PORTFOLIO LLC	11,417	11,417		0

**TY 2013 Other Income Schedule****Name:** THE ELLEN AND GARY DAVIS FOUNDATION**EIN:** 06-1357318

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
JPMORGAN GLOBAL ACCESS PORTFOLIO LLC	3,116	3,116	3,116
IFIS LIMITED	1,043	1,043	1,043
WORLDCOM SECURITIES LITIGATION	50	50	50

**TY 2013 Taxes Schedule****Name:** THE ELLEN AND GARY DAVIS FOUNDATION**EIN:** 06-1357318

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	5,055	5,055		0
FEDERAL TAXES	2,500	0		0
FOREIGN TAXES JPMORGAN GLOBAL ACCESS PORTFOLIO LLC	195	195		0