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Form 990



Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter Social Security numbers on this form as it may be made public By law, the IRS generally cannot redact the information on the form

Information about Form 990 and its instructions is at www.irs.gov/form990

OMB No 1545-0047

2013

Open to Public Inspection

A For the 2013 calendar year, or tax year beginning 01-01-2013 , 2013, and ending 12-31-2013

B Check if applicable ☐ Address change ☐ Name change ☐ Initial return ☐ Terminated ☐ Amended return ☐ Application pending	C Name of organization THE WATERBURY YOUNG MEN'S CHRISTIAN ASSOCIATION		D Employer identification number 06-0646988
	Doing Business As		
	Number and street (or P O box if mail is not delivered to street address) Room/suite 136 WEST MAIN STREET		E Telephone number (203) 754-9622
	City or town, state or province, country, and ZIP or foreign postal code WATERBURY, CT 06702		G Gross receipts \$ 6,330,715
F Name and address of principal officer JAMES O'ROURKE EXECUTIVE DIRECTOR 136 WEST MAIN STREET WATERBURY,CT 06702			H(a) Is this a group return for subordinates? ☐ Yes ☒ No H(b) Are all subordinates included? ☐ Yes ☐ No If "No," attach a list (see instructions) H(c) Group exemption number ▶
I Tax-exempt status ☒ 501(c)(3) ☐ 501(c) () ◀(insert no) ☐ 4947(a)(1) or ☐ 527			
J Website: ▶ WWW WATERBURYYMCA ORG			
K Form of organization ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶			L Year of formation 1858
			M State of legal domicile CT

Part I

Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities THE BY-LAWS OF THE WATERBURY YOUNG MEN'S CHRISTIAN ASSOCIATION STATE THAT THE MISSION OF THE WATERBURY YOUNG MEN'S CHRISTIAN ASSOCIATION IS "TO PUT CHRISTIAN PRINCIPLES INTO PRACTICE THROUGH PROGRAMS THAT DEVELOP HEALTHY SPIRIT, MIND, AND BODY FOR ALL " THE MISSION SUPPORTS ITS CHARITABLE WORK AND PROVIDES THE FOUNDATION OF THE PROGRAMS AND SERVICES FOR THE CONSTITUENTS IN THE COMMUNITY THAT PROMOTES YOUTH DEVELOPMENT, HEALTHY LIVING, AND SOCIAL RESPONSIBILITY FOUNDED BY VOLUNTEERS 156 YEARS AGO, THE WATERBURY YMCA HAS BEEN ABLE TO OFFER A SUPPORTIVE NETWORK FOR COMMUNITY MEMBERS AND PROGRAM PARTICIPANTS THROUGHOUT ITS HISTORY THE WATERBURY YMCA IS A CHARITABLE, COMMUNITY AND SOCIAL SERVICE ORGANIZATION THAT CONSISTS OF MEN, WOMEN AND CHILDREN OF ALL AGES, ABILITIES, INCOMES, ETHNICITY, AND RELIGIONS IT IS DEDICATED TO YOUTH DEVELOPMENT, HEALTHY LIVING, AND SOCIAL RESPONSIBILITY, AND ACCOMPLISHES THESE GOALS BY OFFERING PROGRAMS THAT ADDRESS THE NEEDS OF THE GREATER WATERBURY COMMUN		
	2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets		
Revenue	3 Number of voting members of the governing body (Part VI, line 1a)	3	23
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	23
	5 Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	382
	6 Total number of volunteers (estimate if necessary)	6	424
	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	0
	b Net unrelated business taxable income from Form 990-T, line 34	7b	
Expenses	8 Contributions and grants (Part VIII, line 1h)	Prior Year 2,470,390	Current Year 2,932,154
	9 Program service revenue (Part VIII, line 2g)	3,161,255	3,209,940
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	20,825	35,452
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	41,354	56,515
	12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	5,693,824	6,234,061
	13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)	252,786	274,487
	14 Benefits paid to or for members (Part IX, column (A), line 4)		0
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	3,125,718	3,124,366
	16a Professional fundraising fees (Part IX, column (A), line 11e)		0
Net Assets or Fund Balances	b Total fundraising expenses (Part IX, column (D), line 25) ▶72,320		
	17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	2,727,354	2,738,194
	18 Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	6,105,858	6,137,047
	19 Revenue less expenses Subtract line 18 from line 12	-412,034	97,014
		Beginning of Current Year	End of Year
	20 Total assets (Part X, line 16)	14,117,548	14,671,805
	21 Total liabilities (Part X, line 26)	4,259,954	4,561,393
22 Net assets or fund balances Subtract line 21 from line 20	9,857,594	10,110,412	

Part II

Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here	*****	2014-05-07
	Signature of officer	Date
	MICHAEL O'CONNOR PRESIDENT	
	Type or print name and title	

Paid Preparer Use Only	Prnt/Type preparer's name DANIEL C VOLLARO CPA	Preparer's signature	Date 2014-05-15	Check ☐ if self-employed	PTIN P00055760
	Firm's name ▶ BUDWITZ & MEYERJACK PC			Firm's EIN ▶	
	Firm's address ▶ 1122 HIGHLAND AVE CHESHIRE, CT 064101654			Phone no (203) 272-1621	

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

☐

1

Briefly describe the organization’s mission

THE BY-LAWS OF THE WATERBURY YOUNG MEN'S CHRISTIAN ASSOCIATION STATE THAT THE MISSION OF THE WATERBURY YOUNG MEN'S CHRISTIAN ASSOCIATION IS "TO PUT CHRISTIAN PRINCIPLES INTO PRACTICE THROUGH PROGRAMS THAT DEVELOP HEALTHY SPIRIT, MIND, AND BODY FOR ALL " THE MISSION SUPPORTS ITS CHARITABLE WORK AND PROVIDES THE FOUNDATION OF THE PROGRAMS AND SERVICES FOR THE CONSTITUENTS IN THE COMMUNITY THAT PROMOTES YOUTH DEVELOPMENT, HEALTHY LIVING, AND SOCIAL RESPONSIBILITY FOUNDED BY VOLUNTEERS 156 YEARS AGO, THE WATERBURY YMCA HAS BEEN ABLE TO OFFER A SUPPORTIVE NETWORK FOR COMMUNITY MEMBERS AND PROGRAM PARTICIPANTS THROUGHOUT ITS HISTORY THE WATERBURY YMCA IS A CHARITABLE, COMMUNITY AND SOCIAL SERVICE ORGANIZATION THAT CONSISTS OF MEN, WOMEN AND CHILDREN OF ALL AGES, ABILITIES, INCOMES, ETHNICITY, AND RELIGIONS IT IS DEDICATED TO YOUTH DEVELOPMENT, HEALTHY LIVING, AND SOCIAL RESPONSIBILITY, AND ACCOMPLISHES THESE GOALS BY OFFERING PROGRAMS THAT ADDRESS THE NEEDS OF THE GREATER WATERBURY COMMUN

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes

☒ No

If “Yes,” describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes

☒ No

If “Yes,” describe these changes on Schedule O

4

Describe the organization’s program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ 2,386,319 including grants of \$ 37,016) (Revenue \$ 721,421)

YMCA CHILD DEVELOPMENT-SEE ATTACHED SCHEDULE O FOR PROGRAM DESCRIPTION YMCA CHILD DEVELOPMENT THE WATERBURY YMCA CHILD DEVELOPMENT CENTER SERVED 312 CHILDREN DAILY AND 737 OVERALL THROUGH OUR NAEYC ACCREDITED SCHOOL READINESS PRESCHOOL AND THE BEFORE AND AFTER SCHOOL PROGRAM THESE PROGRAMS ARE RUN AT THE WEST MAIN STREET LOCATION AND 7 OFF-SITE LOCATIONS IN THE GREATER WATERBURY AREA THROUGH PARTNERSHIPS WITH THE LOCAL SCHOOL DISTRICTS AND BOARDS OF EDUCATION THE SCHOOL READINESS PROGRAM IS BASED ON A SLIDING FEE SCALE AND HELPS PROVIDE HIGH QUALITY PRESCHOOL PROGRAMMING TO 187 CHILDREN WITHIN THE WATERBURY COMMUNITY AS PART OF THE YMCA MISSION TO PROMOTE YOUTH DEVELOPMENT, HEALTHY LIVING, AND SOCIAL RESPONSIBILITY, THE YMCA PRESCHOOL PROGRAM PARTICIPATES IN THE CACFP STATE NUTRITION PROGRAM WHICH PROVIDES NUTRITIONAL MEALS AND SNACKS TO THE PRESCHOOL CENTER CHILDREN DAILY AND AT NO COST TO THE PARENTS THE YMCA FOCUSES ON THE DEVELOPMENTAL NEEDS, EDUCATION, AND SAFETY OF CHILDREN AND STRIVES TO BUILD POSITIVE RELATIONSHIPS BETWEEN PARENTS AND YMCA STAFF THE SCHOOL READINESS PROGRAM CURRICULUM IS BASED ON THE CONNECTICUT FRAMEWORKS BENCHMARKS AND IS ENRICHED WITH MANY OTHER ACTIVITIES SUCH AS YOGA, PROGRESSIVE LEARN-TO-SWIM LESSONS, AND ZUMBA THE YMCA CONTINUES TO ENRICH THE LIVES OF CHILDREN AND FAMILIES THROUGHOUT THE COMMUNITY THROUGH THE MANY CHILD DEVELOPMENTAL SERVICES WE OFFER SIMILARLY, THE WATERBURY YMCA SCHOOL AGE CHILDCARE PROGRAM IS COMMITTED TO PROVIDING AGE APPROPRIATE QUALITY CHILD DEVELOPMENT SERVICES TO CHILDREN AND FAMILIES LIVING AND WORKING IN THE GREATER WATERBURY AREA WE STRIVE TO DEVELOP EDUCATIONAL AND ENRICHMENT ACTIVITIES BASED ON THE 40 DEVELOPMENTAL ASSETS AND ENCOURAGE CURIOSITY, EXPLORATION, AND INITIATIVE WE RECOGNIZE AND ACCEPT THAT EACH CHILD IS AN INDIVIDUAL WITH UNIQUE NEEDS, ABILITIES, AND EXPERIENCES ACTIVITIES AND MATERIALS ARE PLANNED AND IMPLEMENTED TO PROMOTE LEADERSHIP, TEAM BUILDING, AND SELF-ESTEEM THE EDUCATION PLAN OF THE PROGRAM IS TO PROVIDE THE CHILDREN ENROLLED WITH A SAFE ENVIRONMENT AND A STRUCTURED DAILY SCHEDULE THAT MEETS AND ENHANCES THE NEEDS OF THE DIVERSE POPULATION SERVED CULTURAL, LANGUAGE, AND DEVELOPMENTAL DIFFERENCES ARE ACCEPTED AND RESPECTED THERE ARE SCHEDULED INDOOR AND OUTDOOR ACTIVITIES OFFERED DAILY WHICH ALLOW THE OPPORTUNITY FOR FINE AND GROSS MOTOR DEVELOPMENT, PROBLEM SOLVING, SPORTS AND RECREATION, AND CREATIVE EXPRESSION THE PROGRAM IS CHILD NOT TEACHER BASED AND ENCOURAGES CHILDREN TO EXPLORE AND DEVELOP THEIR OWN PERSONAL THOUGHTS AND BELIEFS AND DEVELOPS TEAM BUILDING AND LEADERSHIP SKILLS

4b

(Code) (Expenses \$ 1,770,986 including grants of \$ 228,720) (Revenue \$ 1,866,006)

MEMBERSHIP HEALTH AND WELLNESS-SEE ATTACHED SCHEDULE O FOR PROGRAM DESCRIPTION MEMBERSHIP HEALTH AND WELLNESS MEMBER SERVICES AT THE WATERBURY YMCA INCLUDES ACCESS AND USE OF A STATE-OF -THE-ART WELLNESS CENTER, GYMNASIUM, 2 RACQUETBALL COURTS, WARM WATER THERAPY AND LAP SWIMMING POOLS, INDOOR TRACK, GROUP FITNESS STUDIO, FULLY-EQUIPPED LOCKER ROOMS AND ACCESS TO BOTH PERSONAL TRAINING AND MASSAGE THERAPY THE YMCA OFFERS A WELCOMING ATMOSPHERE WHERE HEALTH SEEKERS CAN FEEL COMFORTABLE AND RECEIVE THE ENCOURAGEMENT AND SUPPORT NEEDED TO CONTINUE HEALTHY LIVING THROUGH THE GUIDANCE OF TRAINED PROFESSIONALS LIVESTRONG AT THE YMCA IN OUR SECOND YEAR OF THE PROGRAM, LIVESTRONG AT THE YMCA IS STILL VERY WELL ATTENDED IN 2013, A TOTAL OF 68 CANCER SURVIVORS GRADUATED FROM THE PROGRAM, AND WE HAVE A WAITING LIST FOR OUR NEXT SESSION OUR LIVESTRONG AT THE YMCA INSTRUCTORS MEET WITH OUR SURVIVORS TWICE A WEEK, FOR AN HOUR AND A HALF CLASS THE CLASSES INCLUDE BOTH A STRUCTURED STRENGTH AND CARDIO PROGRAM WITH OPPORTUNITIES FOR MEDITATION AND SHARING THE PARTICIPANTS PLEDGE TO ATTEND 20 OUT OF THE 24 CLASSES, THUS MOTIVATING PEOPLE TO CONTINUE THROUGHOUT THE PROGRAM, AND ALLOWING THEM TO GET THE BEST RESULTS THE SURVIVORS GET A FREE 3-MONTH YMCA MEMBERSHIP DURING THE CLASS TIME, AND COME INTO THE Y AT ANY TIME DURING THOSE 12 WEEKS THEY ARE EVEN ALLOWED TO BRING A CARETAKER, FAMILY MEMBER, OR FRIEND WITH THEM AS A GUEST EVERY TIME THEY COME AT THE CONCLUSION OF THE PROGRAM EACH PARTICIPANT IS OFFERED A SPECIAL REDUCED MEMBERSHIP RATE, TOGETHER WITH FINANCIAL ASSISTANCE IF NEEDED, TO ENCOURAGE CONTINUED EXERCISE THERE IS A JOYOUS GRADUATION AND POTLUCK CELEBRATION AT THE CONCLUSION OF EVERY SESSION THE POSITIVE OUTCOMES OF LIVESTRONG AT THE YMCA ARE PLENTIFUL BERKELEY WARNER RECREATION CENTER IN 2013 THE YMCA IN COLLABORATION WITH THE WATERBURY HOUSING AUTHORITY RE- OPENED THE BERKELEY WARNER RECREATION CENTER THE CENTER SERVES YOUTH AND TEENS IN THE ADJACENT BERKELEY HEIGHTS HOUSING COMPLEX AND IS WELL ATTENDED WITH STRUCTURED ACTIVITIES FOR DIFFERENT AGE GROUPS, ENSURING AN EASILY ACCESSIBLE, SAFE, AND SUPERVISED ENVIRONMENT FOR AT-RISK YOUTH LIVING IN THE NEIGHBORHOOD THE YMCA BRINGS A VARIETY OF ACADEMIC, ENRICHMENT, ATHLETIC, AND PREVENTION PROGRAMS TO THE CENTER OTHER ACTIVITIES AND EVENTS INCLUDE THE BERKELEY WARNER KNIGHTS DRILL TEAM, BI-WEEKLY CLOTHING DRIVES FOR FAMILIES HOSTED BY ACTS 4 MINISTRY, AND WEEKLY READING SESSIONS HOSTED BY WATERBURY READS THE YMCA PROGRAMS ARE DESIGNED TO SERVE PEOPLE OF ALL AGES, ABILITIES AND INCOMES THE WATERBURY YMCA IS COMMITTED TO USING ITS RESOURCES IN COOPERATION WITH THE GREATER COMMUNITY AND ITS ASSOCIATED AGENCIES INCLUDING SAINT MARY'S HOSPITAL, WATERBURY HOSPITAL, THE CITY OF WATERBURY, WATERBURY YOUTH SERVICES, UNITED WAY, WATERBURY BOARD OF EDUCATION, AND CONNECTICUT COMMUNITY FOUNDATION, AMONG OTHERS ADDITIONAL COMMUNITY SERVICE PROGRAMS PROVIDED BY THE WATERBURY YMCA INCLUDE AQUATIC TESTING, AED, CPR AND FIRST AID TRAINING COURSES, AND LIFE SAVING CLASSES AND CERTIFICATIONS ALL YMCA PROGRAMS FOCUS ON ADDRESSING SEVERAL PUBLIC HEALTH ISSUES INCLUDING OBESITY, DIABETES, AND HEART DISEASE BY PROVIDING ACCESSIBILITY TO THE COMMUNITY THROUGH PROGRAMS SUCH AS OUR "OPEN DOORS FINANCIAL ASSISTANCE PROGRAM", WE WERE ABLE TO OFFER ASSISTANCE TO THOSE IN NEED ON THE MOST FUNDAMENTAL OF LEVELS, AFFORDABILITY IN 2013 WE CONTINUED TO OFFER TWO SUCH PROGRAMS IN RESPONSE TO THE ECONOMIC HARDSHIP EXPERIENCED BY THE COMMUNITY, THE CAMP ECONOMIC RELIEF PAYMENT PROGRAM AND THE YCARES PROGRAM WHICH FULLY SUBSIDIZED MEMBERSHIPS FOR A SIX MONTH PERIOD TO MEMBERS WHO LOST THEIR JOBS ALL FINANCIAL SUBSIDIES WERE MADE POSSIBLE THROUGH THE CHARITABLE GIVING OF THE PUBLIC AT LARGE

4c

(Code) (Expenses \$ 444,251 including grants of \$ 8,751) (Revenue \$ 297,436)

CAMP PROGRAMMING-SEE ATTACHED SCHEDULE O FOR PROGRAM DESCRIPTION CAMP PROGRAMMING THE WATERBURY YMCA HAS OPERATED YMCA CAMP MATAUCHA OUTDOOR CENTER IN WATERTOWN FOR 87 YEARS AND HAS RUN YMCA CAMP OAKASHA IN SOUTHBURY FOR 17 YEARS CONTINUING ITS CHARITABLE MISSION OF OFFERING DAY CAMPING EXPERIENCES THAT ARE AIMED TO TEACHING POSITIVE VALUES OF CARING, HONESTY, RESPECT AND RESPONSIBILITY BOTH YMCA CAMP MATAUCHA AND YMCA CAMP OAKASHA ARE ABLE TO DO THIS THROUGH A FOCUS ON YOUTH DEVELOPMENT, HEALTHY LIVING, AND SOCIAL RESPONSIBILITY THE YMCA BELIEVES THAT THE EXPERIENCE A CHILD HAS AT CAMP GREATLY AFFECTS THE VALUES THEY LEARN AND THE PERSON THEY BECOME THE CAMP PROGRAMS SERVED 1,848 CAMPERS AGES 5 THROUGH 15 THROUGHOUT THE SEASON, AVERAGING 308 CAMPERS PER DAY OVER THE 9-WEEK CAMP SEASON CAMPERS HAD THE OPPORTUNITY TO PARTICIPATE IN ENRICHING ACTIVITIES INCLUDING ARCHERY, SWIMMING, ARTS & CRAFTS, BOATING, FIELD GAMES, NATURE, HIKING, LOW AND HIGH ROPES CHALLENGES, FAMILY NIGHTS, FAMILY OVERNIGHTS, SPORTS, ROCK CLIMBING, AND MORE THE WATERBURY YMCA WAS ABLE TO PROVIDE 27,126 IN CAMP SCHOLARSHIPS THAT WERE PROVIDED TO CHILDREN OF FINANCIALLY DISADVANTAGED FAMILIES THESE CHILDREN HAD THE OPPORTUNITY TO EXPERIENCE WHOLESOME VALUES AND MAKE NEW FRIENDS IN AN ENRICHING ENVIRONMENT WITH OUR HAND-SELECTED "PROFESSIONAL ROLE MODELS" IN 2013, THE YMCA ACQUIRED PROPERTY ON NORTHFIELD ROAD WHICH ADDED 30 ACRES TO THE OUTDOOR CENTER YMCA CAMP MATAUCHA FACILITIES ARE ALSO A VALUABLE OUTDOOR RESOURCE FOR VARIOUS COMMUNITY GROUPS SUCH AS SCHOOLS, CHURCHES, AND CIVIC ORGANIZATIONS IN ADDITION TO NUMEROUS AT-RISK YOUTH AND TEEN GROUPS WHO UTILIZE THE ADVENTURE CHALLENGE COURSE THE YMCA TEAMBUILDING AND LEADERSHIP PROGRAM IS DESIGNED TO HELP GROUPS FOCUS ON TEAM BUILDING, IMPROVING COMMUNICATION, AND DEVELOPING TRUST IN ADDITION, THE LEADERSHIP PROGRAM ENCOURAGES GOAL SETTING, GROUP DECISION-MAKING, AND BUILDING SELF-CONFIDENCE YMCA CAMP MATAUCHA PROVIDED CERTIFIED STAFF TO LEAD A TOTAL OF 28 GROUPS, TOTALING OVER 1,120 PARTICIPANTS, THROUGH A VARIETY OF CHALLENGES INVOLVING HIGH AND LOW ROPES COURSE ELEMENTS

(Code) (Expenses \$ 803,487 including grants of \$) (Revenue \$ 349,320)

OTHER PROGRAMS CONSIST OF PROGRAM DESCRIPTION AMOUNT AQUATICS 253,086 YOUTH, TEEN, & FAMILY PROGRAMS 226,370 EXTENSION-COMMUNITY PROGRAMS 138,536 PARKING SERVICES 185,495 TOTAL 803,487

4d

Other program services (Describe in Schedule O)

(Expenses \$ 803,487 including grants of \$) (Revenue \$ 349,320)

4e

Total program service expenses ▶

5,405,043

Part IV

Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? 	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I 	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II 	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III 	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8	No
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 	11b	No
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII 	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX 	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 	11e	No
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 	11f Yes	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII 	12a Yes	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 	12b	No
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	No
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a	No
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b	No
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15	No
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16	No
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) 	17	No
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21		No
22	Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23		No
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If so, complete Schedule L, Part II</i>	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> . . .	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	34		No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

			Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	1a	14	
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	1b	0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	2a	382	
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	2b	Yes	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a		No
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O.	3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		No
b	If "Yes," enter the name of the foreign country: _____ See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).			
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year.	7d		
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8		
9	Sponsoring organizations maintaining donor advised funds.			
a	Did the organization make any taxable distributions under section 4966?	9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b		
10	Section 501(c)(7) organizations. Enter			
a	Initiation fees and capital contributions included on Part VIII, line 12.	10a		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	10b		
11	Section 501(c)(12) organizations. Enter			
a	Gross income from members or shareholders.	11a		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).	11b		
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b		
13	Section 501(c)(29) qualified nonprofit health insurance issuers.			
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	13b		
c	Enter the amount of reserves on hand.	13c		
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a		No
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	14b		

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O		
b	Enter the number of voting members included in line 1a, above, who are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	No
6	Did the organization have members or stockholders?	6	No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
a	The governing body?	8a	Yes
b	Each committee with authority to act on behalf of the governing body?	8b	Yes
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes
13	Did the organization have a written whistleblower policy?	13	Yes
14	Did the organization have a written document retention and destruction policy?	14	Yes
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	15a	Yes
b	Other officers or key employees of the organization	15b	Yes
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed▶CT
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. ☐ Own website ☒ Another's website ☒ Upon request ☐ Other (explain in Schedule O)
19	Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶BRANDI FITZGERALD FINANCE DIR 136 WEST MAIN STREET WATERBURY,CT 06702 (203)754-9622

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid

List all of the organization's **current** key employees, if any See instructions for definition of "key employee "

List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations

List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations

List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) MIKE STALLINGS DIRECTOR	1 00	X						0	0	0
(2) GREGORY CIMMINO DIRECTOR	1 00	X						0	0	0
(3) MELANIE FELLADORE DIRECTOR	1 00	X						0	0	0
(4) JOHN LAWLOR DIRECTOR	1 00	X						0	0	0
(5) GREG FENN TREASURER	3 00	X		X				0	0	0
(6) CHARLES OMAN DIRECTOR	1 00	X						0	0	0
(7) VERNON PROCTOR DIRECTOR	1 00	X						0	0	0
(8) JONATHAN ALBERT DIRECTOR	1 00	X						0	0	0
(9) SALLY O'CONNOR DIRECTOR	1 00	X						0	0	0
(10) HAROLD SMITH DIR & EXEC C	3 00	X						0	0	0
(11) HANK SPELLMAN DIRECTOR	1 00	X						0	0	0
(12) STEVE SOLECKI DIRECTOR	1 00	X						0	0	0
(13) CHAD WABLE DIRECTOR	1 00	X						0	0	0
(14) CARL CICHCHETTI SECRETARY	3 00	X		X				0	0	0
(15) GREG ZUPKUS DIRECTOR	1 00	X						0	0	0
(16) MICHAEL O'CONNOR PRESIDENT	3 00	X		X				0	0	0
(17) JOSEPH SUMMA DIRECTOR	1 00	X						0	0	0

Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(18) JOHN ZINNO JR VICE-PRESIDE	3 00	X		X				0	0	0
(19) MAUREEN CARLETON DIRECTOR	1 00	X						0	0	0
(20) JOSEPH YAMIN DIRECTOR	1 00	X						0	0	0
(21) STEPHEN BALL VICE-PRESIDE	3 00	X		X				0	0	0
(22) VINCENT GRAZIANO DIRECTOR	1 00	X						0	0	0
(23) WILLIAM WESSON DIRECTOR	1 00	X						0	0	0
(24) DAVID LANE DIRECTOR	1 00	X						0	0	0
(25) JAMES O'ROURKE EXECUTIVE DI	40 00			X				124,467	0	12,447
(26) SUSAN TALBOT 1113-83013 FINANCE DIRE	40 00			X				45,888	0	4,589
(27) BRANDI FITZGERALD81213-PRESENT FINANCE DIRE	40 00			X				18,790	0	0
1b Sub-Total										
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)							189,145			17,036

2Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization1

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>		No
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		No

Section B. Independent Contractors

1	Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year	
(A) Name and business address	(B) Description of services	(C) Compensation
2	Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization	

Part VIII

Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A)	(B)	(C)	(D)
			Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns 1a				
	b	Membership dues 1b				
	c	Fundraising events 1c	33,300			
	d	Related organizations 1d				
	e	Government grants (contributions) 1e	1,968,092			
	f	All other contributions, gifts, grants, and similar amounts not included above 1f	930,762			
	g	Noncash contributions included in lines 1a-1f \$	302			
	h	Total. Add lines 1a-1f	2,932,154			
Program Service Revenue	2a	PROGRAM SERVICE FEES	Business Code 900099	1,496,070	1,496,070	
	b	MEMBERSHIP DUES	900099	1,397,236	1,397,236	
	c	PROGRAM\MEMB FEES IN-KIND	900099	274,487	274,487	
	d	FACILITY USE FEES	900099	42,147	42,147	
	e					
	f	All other program service revenue				
	g	Total. Add lines 2a-2f	3,209,940			
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)	43,429			43,429
	4	Income from investment of tax-exempt bond proceeds				
	5	Royalties				
	6a	Gross rents	(i) Real (ii) Personal			
	b	Less rental expenses				
	c	Rental income or (loss)				
	d	Net rental income or (loss)				
	7a	Gross amount from sales of assets other than inventory	(i) Securities (ii) Other			
	b	Less cost or other basis and sales expenses				
	c	Gain or (loss)				
	d	Net gain or (loss)	-7,977 -7,952			-25
	8a	Gross income from fundraising events (not including \$ 33,300 of contributions reported on line 1c) See Part IV, line 18	a 42,045			
	b	Less direct expenses b	22,497			
	c	Net income or (loss) from fundraising events	19,548			19,548
	9a	Gross income from gaming activities See Part IV, line 19	a			
	b	Less direct expenses b				
	c	Net income or (loss) from gaming activities				
	10a	Gross sales of inventory, less returns and allowances	a 14,854			
	b	Less cost of goods sold b	10,107			
	c	Net income or (loss) from sales of inventory	4,747			4,747
		Miscellaneous Revenue	Business Code			
	11a	INSURANCE PROCEEDS	900099	18,434	18,434	
	b	REVENUE FROM PARKING	900099	13,786	13,786	
	c					
	d	All other revenue				
	e	Total. Add lines 11a-11d	32,220			
	12	Total revenue. See Instructions	6,234,061	3,234,208		67,699

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States. See Part IV, line 21.				
2	Grants and other assistance to individuals in the United States. See Part IV, line 22.	274,487	274,487		
3	Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16.				
4	Benefits paid to or for members.				
5	Compensation of current officers, directors, trustees, and key employees.	189,145		189,145	
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).				
7	Other salaries and wages.	2,444,707	2,190,680	200,300	53,727
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).	142,437	104,075	36,482	1,880
9	Other employee benefits.	137,002	122,958	13,237	807
10	Payroll taxes.	211,075	176,168	30,674	4,233
11	Fees for services (non-employees):				
a	Management.				
b	Legal.	3,816		3,816	
c	Accounting.	19,500		19,500	
d	Lobbying.				
e	Professional fundraising services. See Part IV, line 17.				
f	Investment management fees.				
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	295,716	249,491	45,388	837
12	Advertising and promotion.	42,516	39,472	1,816	1,228
13	Office expenses.	20,562		14,519	6,043
14	Information technology.				
15	Royalties.				
16	Occupancy.	923,710	905,612	16,640	1,458
17	Travel.				
18	Payments of travel or entertainment expenses for any federal, state, or local public officials.				
19	Conferences, conventions, and meetings.	16,138	10,936	3,981	1,221
20	Interest.	231,564	207,200	24,364	
21	Payments to affiliates.	60,912	60,912		
22	Depreciation, depletion, and amortization.	659,761	644,652	15,109	
23	Insurance.	14,482	14,482		
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O):				
a	PROGRAM SUPPLIES\EXPENSES	292,203	292,203		
b	EQUIP MAINT\RENTAL	80,590	68,745	11,845	
c	TELEPHONE	25,196	8,384	16,812	
d	VEHICLE OPERATING EXPENSE	18,517	12,737	5,763	17
e	All other expenses	33,011	21,849	10,293	869
25	Total functional expenses. Add lines 1 through 24e.	6,137,047	5,405,043	659,684	72,320
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ☐ if following SOP 98-2 (ASC 958-720).				

Part X

Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

				(A)		(B)
				Beginning of year		End of year
Assets	1	Cash—non-interest-bearing		369,254	1	421,833
	2	Savings and temporary cash investments			2	
	3	Pledges and grants receivable, net		186,132	3	362,207
	4	Accounts receivable, net		91,648	4	81,463
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L			5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use			8	
	9	Prepaid expenses and deferred charges		109,256	9	148,124
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a19,398,809			
	b	Less accumulated depreciation	10b7,867,008	11,541,056	10c	11,531,801
	11	Investments—publicly traded securities		1,095,320	11	1,587,626
	12	Investments—other securities See Part IV, line 11			12	
	13	Investments—program-related See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets See Part IV, line 11		724,882	15	538,751
	16	Total assets. Add lines 1 through 15 (must equal line 34)		14,117,548	16	14,671,805
Liabilities	17	Accounts payable and accrued expenses		197,536	17	195,297
	18	Grants payable			18	
	19	Deferred revenue		133,659	19	165,406
	20	Tax-exempt bond liabilities		3,410,000	20	3,350,000
	21	Escrow or custodial account liability Complete Part IV of Schedule D			21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L			22	
	23	Secured mortgages and notes payable to unrelated third parties		518,759	23	850,690
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D			25	
	26	Total liabilities. Add lines 17 through 25		4,259,954	26	4,561,393
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here ☒ and complete lines 27 through 29, and lines 33 and 34.					
	27	Unrestricted net assets		9,797,201	27	9,896,237
	28	Temporarily restricted net assets		50,393	28	197,357
	29	Permanently restricted net assets		10,000	29	16,818
	Organizations that do not follow SFAS 117 (ASC 958), check here ☐ and complete lines 30 through 34.					
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		9,857,594	33	10,110,412
	34	Total liabilities and net assets/fund balances		14,117,548	34	14,671,805

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	6,234,061
2	Total expenses (must equal Part IX, column (A), line 25)	2	6,137,047
3	Revenue less expenses Subtract line 2 from line 1	3	97,014
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	9,857,594
5	Net unrealized gains (losses) on investments	5	155,804
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	10,110,412

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

SCHEDULE A
(Form 990 or 990EZ)

Department of the
Treasury
Internal Revenue Service

Public Charity Status and Public Support
Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

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Inspection

Name of the organization THE WATERBURY YOUNG MEN'S CHRISTIAN ASSOCIATION	Employer identification number 06-0646988
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Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1	☐	A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
2	☐	A school described in section 170(b)(1)(A)(ii). (Attach Schedule E)
3	☐	A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
4	☐	A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state _____
5	☐	An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II)
6	☐	A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
7	☐	An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II)
8	☐	A community trust described in section 170(b)(1)(A)(vi) (Complete Part II)
9	☒	An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2). (Complete Part III)
10	☐	An organization organized and operated exclusively to test for public safety See section 509(a)(4).
11	☐	An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h a ☐ Type I b ☐ Type II c ☐ Type III - Functionally integrated d ☐ Type III - Non-functionally integrated
e	☐	By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)
f	☐	If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
g	☐	Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons? (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? (ii) A family member of a person described in (i) above? (iii) A 35% controlled entity of a person described in (i) or (ii) above?
h	☐	Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 Total support (Add lines 7 through 10)						
12 Gross receipts from related activities, etc (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage			
14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))	14		
15 Public support percentage for 2012 Schedule A, Part II, line 14	15		
16a 33 1/3% support test—2013. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization			▶
b 33 1/3% support test—2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization			▶
17a 10%-facts-and-circumstances test—2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization			▶
b 10%-facts-and-circumstances test—2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization			▶
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions			▶

Part IIISupport Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	2,386,781	2,650,944	2,524,046	2,470,390	2,932,154	12,964,315
2Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	3,125,637	3,347,248	3,061,901	3,169,767	3,242,160	15,946,713
3Gross receipts from activities that are not an unrelated trade or business under section 513	65,766	69,802	74,672	58,446	56,899	325,585
4Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5The value of services or facilities furnished by a governmental unit to the organization without charge						
6Total. Add lines 1 through 5	5,578,184	6,067,994	5,660,619	5,698,603	6,231,213	29,236,613
7aAmounts included on lines 1, 2, and 3 received from disqualified persons						
bAmounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
cAdd lines 7a and 7b						
8Public support (Subtract line 7c from line 6.)						29,236,613

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9Amounts from line 6	5,578,184	6,067,994	5,660,619	5,698,603	6,231,213	29,236,613
10aGross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	12,207	7,797	19,039	28,138	43,429	110,610
bUnrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
cAdd lines 10a and 10b	12,207	7,797	19,039	28,138	43,429	110,610
11Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13Total support. (Add lines 9, 10c, 11, and 12.)	5,590,391	6,075,791	5,679,658	5,726,741	6,274,642	29,347,223
14First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ☐						

Section C. Computation of Public Support Percentage

15Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	99.620%
16Public support percentage from 2012 Schedule A, Part III, line 15	16	99.600%

Section D. Computation of Investment Income Percentage

17Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))	17	0%
18Investment income percentage from 2012 Schedule A, Part III, line 17	18	

- 19a33 1/3% support tests—2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☒
- b33 1/3% support tests—2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐
- 20Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference	Explanation	
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SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶ **Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.**
▶ **See separate instructions. ▶ Information about Schedule C (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

2013

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If the organization answered "Yes" to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization THE WATERBURY YOUNG MEN'S CHRISTIAN ASSOCIATION	Employer identification number 06-0646988
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Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1	Provide a description of the organization's direct and indirect political campaign activities in Part IV	
2	Political expenditures	▶ \$
3	Volunteer hours	

Part I-B Complete if the organization is exempt under section 501(c)(3).

1	Enter the amount of any excise tax incurred by the organization under section 4955	▶ \$
2	Enter the amount of any excise tax incurred by organization managers under section 4955	▶ \$
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?	☐ Yes ☐ No
4a	Was a correction made?	☐ Yes ☐ No
b	If "Yes," describe in Part IV	

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities	▶ \$
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities	▶ \$
3	Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b	▶ \$
4	Did the filing organization file Form 1120-POL for this year?	☐ Yes ☐ No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV	

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A
- Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B
- Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)															
b Total lobbying expenditures to influence a legislative body (direct lobbying)															
c Total lobbying expenditures (add lines 1a and 1b)															
d Other exempt purpose expenditures															
e Total exempt purpose expenditures (add lines 1c and 1d)															
f Lobbying nontaxable amount Enter the amount from the following table in both columns															
<table><tr><td>If the amount on line 1e, column (a) or (b) is:</td><td>The lobbying nontaxable amount is:</td></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f)															
h Subtract line 1g from line 1a If zero or less, enter -0-															
i Subtract line 1f from line 1c If zero or less, enter -0-															
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		☐ Yes ☐ No													

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?		No	
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c	Media advertisements?		No	
d	Mailings to members, legislators, or the public?		No	
e	Publications, or published or broadcast statements?		No	
f	Grants to other organizations for lobbying purposes?		No	
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		3,598
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	
i	Other activities?		No	
j	Total. Add lines 1c through 1i.			3,598
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1	
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, line 2, and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation
SCHEDULE C, PART II-B, LINE 1	DURING 2013, THE WATERBURY YMCA ENGAGED IN THE FOLLOWING ACTIVITIES TO EDUCATE LEGISLATORS AND ELECTED OFFICIALS ABOUT ITS PROGRAMS AND SERVICES IN THE GREATER WATERBURY, CT AREA - THE EXECUTIVE DIRECTOR SPOKE WITH LEGISLATORS, THEIR STAFF, AND GOVERNMENT OFFICIALS REGARDING FUNDING FOR SPECIFIC PROGRAMS AND OTHER ISSUES RELATING TO THE ORGANIZATION - THE ORGANIZATION PAYS ANNUAL DUES TO THE CT ALLIANCE OF YMCA'S. THE CT ALLIANCE MONITORS LEGISLATIVE ACTIVITIES AS THEY RELATE TO YMCA'S IN CT AND ADVOCATES FOR THE BENEFIT OF THESE ORGANIZATIONS BY ATTENDING MEETINGS, CONFERENCES, LEGISLATIVE SESSIONS, ETC.

Part IV

Explanation

SCHEDULE D
(Form 990)

Supplemental Financial Statements

OMB No 1545-0047

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

► Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b

► Attach to Form 990. ► See separate instructions. ► Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization THE WATERBURY YOUNG MEN'S CHRISTIAN ASSOCIATION	Employer identification number 06-0646988
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Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate contributions to (during year)	
3	Aggregate grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No	

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education) ☐ Preservation of an historically important land area

☐ Protection of natural habitat ☐ Preservation of a certified historic structure

☐ Preservation of open space

2

Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►

4

Number of states where property subject to conservation easement is located ►

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ►

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ► \$

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9

In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1

► \$

(ii) Assets included in Form 990, Part X

► \$

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a

Revenues included in Form 990, Part VIII, line 1

► \$

b

Assets included in Form 990, Part X

► \$

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

- 3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)
- a

☐ Public exhibition

b

☐ Scholarly research

c

☐ Preservation for future generations

d

☐ Loan or exchange programs

e

☐ Other
- 4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII
- 5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No
- b

If "Yes," explain the arrangement in Part XIII and complete the following table
- c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance
- | | |
|----|--------|
| | Amount |
| 1c | |
| 1d | |
| 1e | |
| 1f | |
- 2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☐ No
- b

If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII

☐

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	b (c)Two years back	(d)Three years back	(e)Four years back
1a Beginning of year balance	10,073				
b Contributions	6,818	10,000			
c Net investment earnings, gains, and losses	1,087	73			
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance	17,978	10,073			

- 2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as
- a

Board designated or quasi-endowment
- b

Permanent endowment 93 550 %
- c

Temporarily restricted endowment 6 450 %
- The percentages in lines 2a, 2b, and 2c should equal 100%
- 3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by
- (i)

unrelated organizations

(ii)

related organizations
- 3a(i)

No

3a(ii)

No
- b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b
- 4

Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		1,351,649		1,351,649
b Buildings		14,662,810	6,077,815	8,584,995
c Leasehold improvements		5,500	553	4,947
d Equipment		1,926,839	1,156,231	770,608
e Other		1,452,011	632,409	819,602
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				11,531,801

Part XI

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	6,147,982
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments	2a	155,804
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII)	2d	32,604
e	Add lines 2a through 2d	2e	188,408
3	Subtract line 2e from line 1	3	5,959,574
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII)	4b	274,487
c	Add lines 4a and 4b	4c	274,487
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)	5	6,234,061

Part XII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	5,895,164
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII)	2d	32,604
e	Add lines 2a through 2d	2e	32,604
3	Subtract line 2e from line 1	3	5,862,560
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII)	4b	274,487
c	Add lines 4a and 4b	4c	274,487
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18)	5	6,137,047

Part XIII

Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
SCHEDULE D, PAGE 2, PART V, LINE 4	THE ORGANIZATION'S ENDOWMENT CONSISTS OF A DONOR-RESTRICTED FUND ESTABLISHED TO PROVIDE SUPPORT FOR THE CICCHETTI ROAD RACE WHICH IS HELD ANNUALLY, A DONOR-RESTRICTED FUND ESTABLISHED TO PROVIDE SUPPORT FOR THE YMCA YOUTH AND GOVERNMENT PROGRAM, AND THE YMCA GENERAL ENDOWMENT FUND
SCHEDULE D, PAGE 3, PART X	THE ORGANIZATION BELIEVES THAT IT HAS APPROPRIATE SUPPORT FOR ANY TAX POSITIONS TAKEN, AND AS SUCH, DOES NOT HAVE ANY UNCERTAIN TAX POSITIONS THAT ARE MATERIAL TO THE FINANCIAL STATEMENTS. THE ORGANIZATION'S RETURN OF ORGANIZATIONS EXEMPT FROM INCOME TAX (FORM 990) FOR THE YEARS ENDED DECEMBER 31, 2010, 2011, AND 2012 ARE SUBJECT TO EXAMINATION BY THE IRS, GENERALLY FOR THREE YEARS AFTER THEY WERE FILED.
SCHEDULE D, PAGE 4, PART XI, LINE 2D	SPECIAL EVENT EXPENSES DEDUCTED ON 990 PART VIII-LINE 8B 22,497 COST OF GOODS SOLD DEDUCTED ON 990 PART VIII-LINE 10B 10,107
SCHEDULE D, PAGE 4, PART XI, LINE 4B	PROGRAM FINANCIAL ASSISTANCE BASED ON NEED-IN KIND 274,487
SCHEDULE D, PAGE 4, PART XII, LINE 2D	SPECIAL EVENT EXPENSES DEDUCTED ON 990 VIII-LINE 8B 22,497 COST OF GOODS SOLD DEDUCTED ON 990 VIII-LINE 10B 10,107
SCHEDULE D, PAGE 4, PART XII, LINE 4B	PROGRAM FINANCIAL ASSISTANCE BASED ON NEED-IN KIND 274,487

[illegible]

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

Revenue			(a) Event #1	(b) Event #2	(c) Other events	(d) Total events	
			<u>GOLF TOURNAMENT</u>	<u>CICCHETTI RACE</u>	<u>2</u>	(add col (a) through	
			(event type)	(event type)	(total number)	col (c))	
	1	Gross receipts	41,050	15,187	19,108	75,345	
	2	Less Contributions	28,700	4,600		33,300	
3	Gross income (line 1 minus line 2)	12,350	10,587	19,108	42,045		
Direct Expenses	4	Cash prizes		1,239		1,239	
	5	Noncash prizes					
	6	Rent/facility costs	10,625			10,625	
	7	Food and beverages					
	8	Entertainment					
	9	Other direct expenses	1,725	8,328	580	10,633	
	10	Direct expense summary Add lines 4 through 9 in column (d) ►					(22,497)
	11	Net income summary Subtract line 10 from line 3, column (d) ►					19,548

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

Revenue		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Non-cash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	
	7 Direct expense summary Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization operates gaming activities _____

a

Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ No

b

If "No," explain _____

10a

Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b

If "Yes," explain _____

Does the organization operate gaming activities with nonmembers? ☐ **Yes** ☐ **No**

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ **Yes** ☐ **No**

13 Indicate the percentage of gaming activity operated in

a The organization's facility	13a	%
b An outside facility	13b	%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶

Address ▶

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ **Yes** ☐ **No**

b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____

c If "Yes," enter name and address of the third party

Name ▶

Address ▶

16 Gaming manager information

Name ▶

Gaming manager compensation ▶ \$ _____

Description of services provided ▶

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ **Yes** ☐ **No**

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV **Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
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Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Name of the organization
THE WATERBURY YOUNG MEN'S CHRISTIAN
ASSOCIATION

Employer identification number
06-0646988

Part I General Information on Grants and Assistance

1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No

2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance

2

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

▶

3

Enter total number of other organizations listed in the line 1 table

▶

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) CHILD CARE ASSIST	117		37,016	FEE SCH	PROGRAM FEES
(2) HEALTH/WELLNESS ASSIST	905		228,720	FEE SCH	PROGRAM FEES
(3) CAMP PROGRAMMING ASSIST	22		8,751	FEE SCH	PROGRAM FEES

Part IV

Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
SCHEDULE I, PAGE 1, PART I, LINE 2	IT IS THE GOAL OF THE WATERBURY YMCA TO PROVIDE SERVICES TO ANY PERSON WHO DESIRES TO PARTICIPATE IN THE ACTIVITIES AND PROGRAMS OF THE YMCA, REGARDLESS OF THEIR ABILITY TO PAY THE STANDARD MEMBERSHIP OR PROGRAM FEE THOSE NOT ABLE TO PAY THE FULL FEE MAY BE AWARDED ASSISTANCE BASED UPON A SLIDING SCALE AND DEMONSTRATED NEED THE WATERBURY YMCA BELIEVES A STRONG SENSE OF OWNERSHIP AND PRIDE IS DEVELOPED IF THE FINANCIAL AID RECIPIENT CONTRIBUTES TO THE COST OF THEIR INVOLVEMENT THEREFORE, APPLICANTS WILL BE ASKED TO PAY A PORTION OF THE FEE ELIGIBILITY IS REVIEWED PRIOR TO THE BEGINNING OF THE NEXT PROGRAM OR MEMBERSHIP PERIOD

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
THE WATERBURY YOUNG MEN'S CHRISTIAN ASSOCIATION

Employer identification number
06-0646988

Part I Bond Issues

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A CT HEALTH&EDUCFACILITIES AUTHORITY CYNTHIA D PEOPLES	06-0806186	20774UM77	10-23-2008	3,570,000	CHILD CARE FACILITY CONSTR		X		X		X

Part II Proceeds

		A		B		C		D	
1	Amount of bonds retired								
2	Amount of bonds legally defeased								
3	Total proceeds of issue	3,570,000							
4	Gross proceeds in reserve funds								
5	Capitalized interest from proceeds								
6	Proceeds in refunding escrows								
7	Issuance costs from proceeds	107,652							
8	Credit enhancement from proceeds								
9	Working capital expenditures from proceeds								
10	Capital expenditures from proceeds	3,462,348							
11	Other spent proceeds								
12	Other unspent proceeds								
13	Year of substantial completion	2011							
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?		X						
15	Were the bonds issued as part of an advance refunding issue?		X						
16	Has the final allocation of proceeds been made?	X							
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X							

Part III Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X						
2	Are there any lease arrangements that may result in private business use of bond-financed property?		X						

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?		X						
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?								
c	Are there any research agreements that may result in private business use of bond-financed property?		X						
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government								
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government								
6	Total of lines 4 and 5								
7	Does the bond issue meet the private security or payment test?		X						
8a	Has there been a sale or disposition of any of the bond financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X						
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X							

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T?		X						
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X						
b	Exception to rebate?		X						
c	No rebate due?	X							
	If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X						
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X						
b	Name of provider								
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV

Arbitrage (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X						
b	Name of provider								
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X						
7	Has the organization established written procedures to monitor the requirements of section 148?	X							

Part V

Procedures To Undertake Corrective Action

Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No

Part VI

Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
SCHEDULE K - DATE REBATE COMPUTATION PERFORMED	CT HEALTH&EDUC FACILITIES AUTHORITY 10/23/13

SCHEDULE O
(Form 990 or 990-EZ)Department of the Treasury
Internal Revenue Service**Supplemental Information to Form 990 or 990-EZ****Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.****▶ Attach to Form 990 or 990-EZ.****▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at
www.irs.gov/form990.**

OMB No 1545-0047

2013**Open to Public
Inspection**Name of the organization
THE WATERBURY YOUNG MEN'S CHRISTIAN
ASSOCIATION**Employer identification number**

06-0646988

**Return
Reference****Explanation**FORM 990 -
ORGANIZATION'S
MISSION

THE BY-LAWS OF THE WATERBURY YOUNG MEN'S CHRISTIAN ASSOCIATION STATE THAT THE MISSION OF THE WATERBURY YOUNG MEN'S CHRISTIAN ASSOCIATION IS "TO PUT CHRISTIAN PRINCIPLES INTO PRACTICE THROUGH PROGRAMS THAT DEVELOP HEALTHY SPIRIT, MIND, AND BODY FOR ALL " THE MISSION SUPPORTS ITS CHARITABLE WORK AND PROVIDES THE FOUNDATION OF THE PROGRAMS AND SERVICES FOR THE CONSTITUENTS IN THE COMMUNITY THAT PROMOTES YOUTH DEVELOPMENT, HEALTHY LIVING, AND SOCIAL RESPONSIBILITY FOUNDED BY VOLUNTEERS 156 YEARS AGO, THE WATERBURY YMCA HAS BEEN ABLE TO OFFER A SUPPORTIVE NETWORK FOR COMMUNITY MEMBERS AND PROGRAM PARTICIPANTS THROUGHOUT ITS HISTORY THE WATERBURY YMCA IS A CHARITABLE, COMMUNITY AND SOCIAL SERVICE ORGANIZATION THAT CONSISTS OF MEN, WOMEN AND CHILDREN OF ALL AGES, ABILITIES, INCOMES, ETHNICITY, AND RELIGIONS IT IS DEDICATED TO YOUTH DEVELOPMENT, HEALTHY LIVING, AND SOCIAL RESPONSIBILITY, AND ACCOMPLISHES THESE GOALS BY OFFERING PROGRAMS THAT ADDRESS THE NEEDS OF THE GREATER WATERBURY COMMUNITY IN 2013, THE WATERBURY YMCA WAS ABLE TO OFFER FINANCIAL ASSISTANCE TO MORE THAN 1,000 INDIVIDUALS AND FAMILIES TOTALLING MORE THAN 274,000, THEREBY GRANTING ACCESS TO PROGRAMS THESE FUNDS WERE MADE AVAILABLE AS A DIRECT RESULT OF THE GENEROSITY OF YMCA SUPPORTERS WHO CONTRIBUTED OVER 149,000 TO THE YMCA COMMUNITY SUPPORT CAMPAIGN FACILITIES THE FACILITIES CURRENTLY OWNED BY THE WATERBURY YMCA ALLOW US TO DO OUR CHARITABLE WORK AND PROVIDE PROGRAM SERVICES TO THE COMMUNITY THESE FACILITIES INCLUDES A 60,000 SQUARE FOOT HANDICAPPED ACCESSIBLE BUILDING IN WATERBURY, CT, AN 80-ACRE OUTDOOR CENTER/CAMP FACILITY IN WATERTOWN, CT, A DAY CAMP PROGRAM ON THE SOUTHBURY TRAINING SCHOOL PROPERTY IN SOUTHBURY, CT AS WELL AS 7 OFF-SITE CHILDCARE PROGRAMS IN THE GREATER WATERBURY AREA

Return Reference	Explanation
FORM 990	ADDITIONAL INFORMATION OTHER INFORMATION RELATED TO PROGRAM AND COMMUNITY SERVICES THE WATERBURY YMCA STRIVES TO SERVE A BROAD CROSS SECTION OF ITS COMMUNITY ENSURING SERVICES ARE ACCESSIBLE AND AFFORDABLE TO THE COMMUNITY AT LARGE FINANCIAL ASSISTANCE IS MADE AVAILABLE TO THOSE WHO CAN'T AFFORD THE FULL FEE THIS IS MADE POSSIBLE BY THE SUPPORT RECEIVED FROM THE GREATER WATERBURY UNITED WAY, YMCA COMMUNITY SUPPORT CAMPAIGN, AND THROUGH THE GENEROSITY OF OTHER FOUNDATIONS AND DONORS DURING 2013, THE WATERBURY YMCA COLLABORATED WITH THE FOLLOWING ORGANIZATIONS AND AGENCIES GREATER WATERBURY BOYS AND GIRLS CLUB BRIDGE TO SUCCESS CHASE COLLEGIATE SCHOOL CHILDREN'S COMMUNITY SCHOOL CITY OF WATERBURY CONNECTICUT COMMUNITY FOUNDATION GIRLS, INC GREATER WATERBURY UNITED WAY IMMACULATE CONCEPTION CHURCH KIWANIS CLUB MATTA TUCK MUSEUM MATURITY WORKS NAUGATUCK VALLEY COMMUNITY COLLEGE NEW OPPORTUNITIES FOR WATERBURY NORTHWEST REGIONAL WORK FORCE PALACE THEATRE PHYSICAL THERAPY AND SPORTS MEDICINE POST UNIVERSITY REGION 15 BOARD OF EDUCATION REGION 16 BOARD OF EDUCATION SAINT MARY'S HOSPITAL SOUTHBURY TRAINING SCHOOL THE STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH UNITED WAY UNIVERSITY OF CONNECTICUT WATERBURY AREA AMERICAN RED CROSS WATERBURY BOARD OF EDUCATION WATERBURY CHAMBER OF COMMERCE WATERBURY DEVELOPMENT CORPORATION WATERBURY HOSPITAL WATERBURY YOUTH COUNCIL WATERBURY YOUTH SERVICES WATER/OAK YOUTH CENTER, INC WATERTOWN BOARD OF EDUCATION WESTERN CT AREA AGENCY ON AGING YESHIVA GEDOLA OF WATERBURY

Return Reference	Explanation
FORM 990, PAGE 1, PART I, LINE 6	DESCRIPTION OF THE ORGANIZATION'S VOLUNTEERS AND THEIR ACTIVITIES THE YMCA HAD 29 POLICY MAKING VOLUNTEERS AND MORE THAN 395 PROGRAM VOLUNTEERS WHO MADE SIGNIFICANT CONTRIBUTIONS OF THEIR TIME IN THE FURTHERANCE OF Y MCA ACTIVITIES BY ACTING AS MENTORS, COACHES, PROGRAM LEADERS, INSTRUCTORS AND MORE THESE SERVICES DO NOT MEET THE CRITERIA FOR RECOGNITION AS CONTRIBUTIONS, THEREFORE, THEIR VALUE IS NOT REFLECTED IN THIS FORM 990

Return Reference	Explanation
FORM 990, PAGE 2, PART III, LINE 4A	Y MCA CHILD DEVELOPMENT THE WATERBURY Y MCA CHILD DEVELOPMENT CENTER SERVED 312 CHILDREN DAILY AND 737 OVERALL THROUGH OUR NAEYC ACCREDITED SCHOOL READINESS PRESCHOOL AND THE BEFORE AND AFTER SCHOOL PROGRAM THESE PROGRAMS ARE RUN AT THE WEST MAIN STREET LOCATION AND 7 OFF-SITE LOCATIONS IN THE GREATER WATERBURY AREA THROUGH PARTNERSHIPS WITH THE LOCAL SCHOOL DISTRICTS AND BOARDS OF EDUCATION THE SCHOOL READINESS PROGRAM IS BASED ON A SLIDING FEE SCALE AND HELPS PROVIDE HIGH QUALITY PRESCHOOL PROGRAMMING TO 187 CHILDREN WITHIN THE WATERBURY COMMUNITY AS PART OF THE Y MCA MISSION TO PROMOTE YOUTH DEVELOPMENT, HEALTHY LIVING, AND SOCIAL RESPONSIBILITY, THE Y MCA PRESCHOOL PROGRAM PARTICIPATES IN THE CACFP STATE NUTRITION PROGRAM WHICH PROVIDES NUTRITIONAL MEALS AND SNACKS TO THE PRESCHOOL CENTER CHILDREN DAILY AND AT NO COST TO THE PARENTS THE Y MCA FOCUSES ON THE DEVELOPMENTAL NEEDS, EDUCATION, AND SAFETY OF CHILDREN AND STRIVES TO BUILD POSITIVE RELATIONSHIPS BETWEEN PARENTS AND Y MCA STAFF THE SCHOOL READINESS PROGRAM CURRICULUM IS BASED ON THE CONNECTICUT FRAMEWORKS BENCHMARKS AND IS ENRICHED WITH MANY OTHER ACTIVITIES SUCH AS YOGA, PROGRESSIVE LEARN-TO-SWIM LESSONS, AND ZUMBA THE Y MCA CONTINUES TO ENRICH THE LIVES OF CHILDREN AND FAMILIES THROUGHOUT THE COMMUNITY THROUGH THE MANY CHILD DEVELOPMENTAL SERVICES WE OFFER SIMILARLY, THE WATERBURY Y MCA SCHOOL AGE CHILDCARE PROGRAM IS COMMITTED TO PROVIDING AGE APPROPRIATE QUALITY CHILD DEVELOPMENT SERVICES TO CHILDREN AND FAMILIES LIVING AND WORKING IN THE GREATER WATERBURY AREA WE STRIVE TO DEVELOP EDUCATIONAL AND ENRICHMENT ACTIVITIES BASED ON THE 40 DEVELOPMENTAL ASSETS AND ENCOURAGE CURIOSITY, EXPLORATION, AND INITIATIVE WE RECOGNIZE AND ACCEPT THAT EACH CHILD IS AN INDIVIDUAL WITH UNIQUE NEEDS, ABILITIES, AND EXPERIENCES ACTIVITIES AND MATERIALS ARE PLANNED AND IMPLEMENTED TO PROMOTE LEADERSHIP, TEAM BUILDING, AND SELF-ESTEEM THE EDUCATION PLAN OF THE PROGRAM IS TO PROVIDE THE CHILDREN ENROLLED WITH A SAFE ENVIRONMENT AND A STRUCTURED DAILY SCHEDULE THAT MEETS AND ENHANCES THE NEEDS OF THE DIVERSE POPULATION SERVED CULTURAL, LANGUAGE, AND DEVELOPMENTAL DIFFERENCES ARE ACCEPTED AND RESPECTED THERE ARE SCHEDULED INDOOR AND OUTDOOR ACTIVITIES OFFERED DAILY WHICH ALLOW THE OPPORTUNITY FOR FINE AND GROSS MOTOR DEVELOPMENT, PROBLEM SOLVING, SPORTS AND RECREATION, AND CREATIVE EXPRESSION THE PROGRAM IS CHILD NOT TEACHER BASED AND ENCOURAGES CHILDREN TO EXPLORE AND DEVELOP THEIR OWN PERSONAL THOUGHTS AND BELIEFS AND DEVELOPS TEAM BUILDING AND LEADERSHIP SKILLS

Return Reference	Explanation
FORM 990, PAGE 2, PART III, LINE 4B	MEMBERSHIP HEALTH AND WELLNESS MEMBER SERVICES AT THE WATERBURY YMCA INCLUDES ACCESS AND USE OF A STATE-OF -THE-ART WELLNESS CENTER, GYMNASIUM, 2 RACQUETBALL COURTS, WARM WATER THERAPY AND LAP SWIMMING POOLS, INDOOR TRACK, GROUP FITNESS STUDIO, FULLY-EQUIPPED LOCKER ROOMS AND ACCESS TO BOTH PERSONAL TRAINING AND MASSAGE THERAPY THE YMCA OFFERS A WELCOMING ATMOSPHERE WHERE HEALTH SEEKERS CAN FEEL COMFORTABLE AND RECEIVE THE ENCOURAGEMENT AND SUPPORT NEEDED TO CONTINUE HEALTHY LIVING THROUGH THE GUIDANCE OF TRAINED PROFESSIONALS LIVESTRONG AT THE YMCA IN OUR SECOND YEAR OF THE PROGRAM, LIVESTRONG AT THE YMCA IS STILL VERY WELL ATTENDED IN 2013, A TOTAL OF 68 CANCER SURVIVORS GRADUATED FROM THE PROGRAM, AND WE HAVE A WAITING LIST FOR OUR NEXT SESSION OUR LIVESTRONG AT THE YMCA INSTRUCTORS MEET WITH OUR SURVIVORS TWICE A WEEK, FOR AN HOUR AND A HALF CLASS THE CLASSES INCLUDE BOTH A STRUCTURED STRENGTH AND CARDIO PROGRAM WITH OPPORTUNITIES FOR MEDITATION AND SHARING THE PARTICIPANTS PLEDGE TO ATTEND 20 OUT OF THE 24 CLASSES, THUS MOTIVATING PEOPLE TO CONTINUE THROUGHOUT THE PROGRAM, AND ALLOWING THEM TO GET THE BEST RESULTS THE SURVIVORS GET A FREE 3-MONTH YMCA MEMBERSHIP DURING THE CLASS TIME, AND COME INTO THE Y AT ANY TIME DURING THOSE 12 WEEKS THEY ARE EVEN ALLOWED TO BRING A CARETAKER, FAMILY MEMBER, OR FRIEND WITH THEM AS A GUEST EVERY TIME THEY COME AT THE CONCLUSION OF THE PROGRAM EACH PARTICIPANT IS OFFERED A SPECIAL REDUCED MEMBERSHIP RATE, TOGETHER WITH FINANCIAL ASSISTANCE IF NEEDED, TO ENCOURAGE CONTINUED EXERCISE THERE IS A JOYOUS GRADUATION AND POTLUCK CELEBRATION AT THE CONCLUSION OF EVERY SESSION THE POSITIVE OUTCOMES OF LIVESTRONG AT THE YMCA ARE PLENTIFUL BERKELEY WARNER RECREATION CENTER IN 2013 THE YMCA IN COLLABORATION WITH THE WATERBURY HOUSING AUTHORITY RE- OPENED THE BERKELEY WARNER RECREATION CENTER THE CENTER SERVES YOUTH AND TEENS IN THE ADJACENT BERKELEY HEIGHTS HOUSING COMPLEX AND IS WELL ATTENDED WITH STRUCTURED ACTIVITIES FOR DIFFERENT AGE GROUPS, ENSURING AN EASILY ACCESSIBLE, SAFE, AND SUPERVISED ENVIRONMENT FOR AT-RISK YOUTH LIVING IN THE NEIGHBORHOOD THE YMCA BRINGS A VARIETY OF ACADEMIC, ENRICHMENT, ATHLETIC, AND PREVENTION PROGRAMS TO THE CENTER OTHER ACTIVITIES AND EVENTS INCLUDE THE BERKELEY WARNER KNIGHTS DRILL TEAM, BI-WEEKLY CLOTHING DRIVES FOR FAMILIES HOSTED BY ACTS 4 MINISTRY, AND WEEKLY READING SESSIONS HOSTED BY WATERBURY READS THE YMCA PROGRAMS ARE DESIGNED TO SERVE PEOPLE OF ALL AGES, ABILITIES AND INCOMES THE WATERBURY YMCA IS COMMITTED TO USING ITS RESOURCES IN COOPERATION WITH THE GREATER COMMUNITY AND ITS ASSOCIATED AGENCIES INCLUDING SAINT MARY'S HOSPITAL, WATERBURY HOSPITAL, THE CITY OF WATERBURY, WATERBURY YOUTH SERVICES, UNITED WAY, WATERBURY BOARD OF EDUCATION, AND CONNECTICUT COMMUNITY FOUNDATION, AMONG OTHERS ADDITIONAL COMMUNITY SERVICE PROGRAMS PROVIDED BY THE WATERBURY YMCA INCLUDE AQUATIC TESTING, AED, CPR AND FIRST AID TRAINING COURSES, AND LIFE SAVING CLASSES AND CERTIFICATIONS ALL YMCA PROGRAMS FOCUS ON ADDRESSING SEVERAL PUBLIC HEALTH ISSUES INCLUDING OBESITY, DIABETES, AND HEART DISEASE BY PROVIDING ACCESSIBILITY TO THE COMMUNITY THROUGH PROGRAMS SUCH AS OUR "OPEN DOORS FINANCIAL ASSISTANCE PROGRAM", WE WERE ABLE TO OFFER ASSISTANCE TO THOSE IN NEED ON THE MOST FUNDAMENTAL OF LEVELS, AFFORDABILITY IN 2013 WE CONTINUED TO OFFER TWO SUCH PROGRAMS IN RESPONSE TO THE ECONOMIC HARDSHIP EXPERIENCED BY THE COMMUNITY, THE CAMP ECONOMIC RELIEF PAYMENT PROGRAM AND THE Y CARES PROGRAM WHICH FULLY SUBSIDIZED MEMBERSHIPS FOR A SIX MONTH PERIOD TO MEMBERS WHO LOST THEIR JOBS ALL FINANCIAL SUBSIDIES WERE MADE POSSIBLE THROUGH THE CHARITABLE GIVING OF THE PUBLIC AT LARGE

Return Reference	Explanation
FORM 990, PAGE 2, PART III, LINE 4C	CAMP PROGRAMMING THE WATERBURY YMCA HAS OPERATED YMCA CAMP MATAUCHA OUTDOOR CENTER IN WATERTOWN FOR 87 YEARS AND HAS RUN YMCA CAMP OAKASHA IN SOUTHURY FOR 17 YEARS CONTINUING ITS CHARITABLE MISSION OF OFFERING DAY CAMPING EXPERIENCES THAT ARE AIMED TO TEACHING POSITIVE VALUES OF CARING, HONESTY, RESPECT AND RESPONSIBILITY BOTH YMCA CAMP MATAUCHA AND YMCA CAMP OAKASHA ARE ABLE TO DO THIS THROUGH A FOCUS ON YOUTH DEVELOPMENT, HEALTHY LIVING, AND SOCIAL RESPONSIBILITY THE YMCA BELIEVES THAT THE EXPERIENCE A CHILD HAS AT CAMP GREATLY AFFECTS THE VALUES THEY LEARN AND THE PERSON THEY BECOME THE CAMP PROGRAMS SERVED 1,848 CAMPERS AGES 5 THROUGH 15 THROUGHOUT THE SEASON, AVERAGING 308 CAMPERS PER DAY OVER THE 9-WEEK CAMP SEASON CAMPERS HAD THE OPPORTUNITY TO PARTICIPATE IN ENRICHING ACTIVITIES INCLUDING ARCHERY, SWIMMING, ARTS & CRAFTS, BOATING, FIELD GAMES, NATURE, HIKING, LOW AND HIGH ROPES CHALLENGES, FAMILY NIGHTS, FAMILY OVERNIGHTS, SPORTS, ROCK CLIMBING, AND MORE THE WATERBURY YMCA WAS ABLE TO PROVIDE 27,126 IN CAMP SCHOLARSHIPS THAT WERE PROVIDED TO CHILDREN OF FINANCIALLY DISADVANTAGED FAMILIES THESE CHILDREN HAD THE OPPORTUNITY TO EXPERIENCE WHOLESOME VALUES AND MAKE NEW FRIENDS IN AN ENRICHING ENVIRONMENT WITH OUR HAND-SELECTED "PROFESSIONAL ROLE MODELS" IN 2013, THE YMCA ACQUIRED PROPERTY ON NORTHFIELD ROAD WHICH ADDED 30 ACRES TO THE OUTDOOR CENTER YMCA CAMP MATAUCHA FACILITIES ARE ALSO A VALUABLE OUTDOOR RESOURCE FOR VARIOUS COMMUNITY GROUPS SUCH AS SCHOOLS, CHURCHES, AND CIVIC ORGANIZATIONS IN ADDITION TO NUMEROUS AT-RISK YOUTH AND TEEN GROUPS WHO UTILIZE THE ADVENTURE CHALLENGE COURSE THE YMCA TEAMBUILDING AND LEADERSHIP PROGRAM IS DESIGNED TO HELP GROUPS FOCUS ON TEAM BUILDING, IMPROVING COMMUNICATION, AND DEVELOPING TRUST IN ADDITION, THE LEADERSHIP PROGRAM ENCOURAGES GOAL SETTING, GROUP DECISION-MAKING, AND BUILDING SELF-CONFIDENCE YMCA CAMP MATAUCHA PROVIDED CERTIFIED STAFF TO LEAD A TOTAL OF 28 GROUPS, TOTALING OVER 1,120 PARTICIPANTS, THROUGH A VARIETY OF CHALLENGES INVOLVING HIGH AND LOW ROPES COURSE ELEMENTS

Return Reference	Explanation
FORM 990, PAGE 2, PART III, LINE 4D	OTHER PROGRAMS CONSIST OF PROGRAM DESCRIPTION AMOUNT AQUATICS 253,086 YOUTH, TEEN, & FAMILY PROGRAMS 226,370 EXTENSION-COMMUNITY PROGRAMS 138,536 PARKING SERVICES 185,495 TOTAL 803,487

Return Reference	Explanation
FORM 990, PAGE 6, PART VI, LINE 11B	THE ORGANIZATION'S BOARD OF DIRECTORS HAS ASSIGNED THE DUTY OF REVIEWING THE ANNUAL 990 TO ITS STANDING FINANCE COMMITTEE. THE ANNUAL 990 IS PREPARED BY THE ORGANIZATION'S ACCOUNTING FIRM WORKING CLOSELY WITH THE DIRECTOR OF FINANCE AND EXECUTIVE DIRECTOR. COPIES OF THE COMPLETED RETURN ARE PROVIDED TO ALL MEMBERS OF THE FINANCE COMMITTEE FOR REVIEW BEFORE FILING. UPON REVIEW AND APPROVAL BY THE FINANCE COMMITTEE, COPIES OF THE 990 FORM ARE PROVIDED TO ALL BOARD OF DIRECTOR MEMBERS BEFORE THE RETURN IS FILED WITH THE INTERNAL REVENUE SERVICE.

Return Reference	Explanation
FORM 990, PAGE 6, PART VI, LINE 12C	ALL BOARD OF DIRECTOR MEMBERS, OFFICERS AND KEY EMPLOYEES OF THE ORGANIZATION ARE REQUIRED TO COMPLETE A CONFLICT OF INTEREST STATEMENT OF DISCLOSURE ANNUALLY. THIS DISCLOSURE STATEMENT REQUIRES THE INDIVIDUAL TO ANSWER A SERIES OF QUESTIONS REGARDING TRANSACTIONS, EVENTS AND CIRCUMSTANCES WHICH COULD LEAD TO CONFLICTS OF INTEREST. THE DISCLOSURE STATEMENT ALSO REQUIRES THE INDIVIDUAL TO SIGN THAT THEY HAVE READ AND UNDERSTAND THE ORGANIZATION'S CONFLICT OF INTEREST POLICY AND THAT THEIR RESPONSES TO THE QUESTIONS ARE COMPLETE AND ACCURATE. THE COMPLETED ANNUAL CONFLICT OF INTEREST DISCLOSURE STATEMENTS ARE REVIEWED BY THE EXECUTIVE DIRECTOR AND FINANCE DIRECTOR OF THE ORGANIZATION. THE EXECUTIVE DIRECTOR AND FINANCE DIRECTOR CONTACT ANY INDIVIDUAL IF THERE ARE QUESTIONS OR ANSWERS TO QUESTIONS ON THE DISCLOSURE STATEMENT THAT NEED CLARIFICATION OR FURTHER RESEARCH. IF IT IS DETERMINED THAT A CONFLICT DOES EXIST WITH RESPECT TO A MATTER, THE ORGANIZATION ENFORCES THE POLICY BY MAKING SURE THAT THE PERSON WITH THE CONFLICT DOES NOT PARTICIPATE IN THE DECISION-MAKING PROCESS. CONTEMPORANEOUS DOCUMENTATION IS MAINTAINED OF HOW ALL CONFLICT MATTERS ARE RESOLVED. THE FINANCE DIRECTOR MAINTAINS RECORDS OF INDIVIDUAL BUSINESS TRANSACTIONS AND RELATIONSHIPS REPORTED ON THE DISCLOSURE STATEMENTS AS WELL AS KNOWN TRANSACTIONS FROM ACCOUNTS PAYABLE AND GENERAL LEDGER RECORDS. THESE TRANSACTIONS AND OTHER CONFLICT MATTERS ARE COMPILED AND PROVIDED TO THE ORGANIZATION'S ACCOUNTING FIRM FOR INCLUSION IN THE ANNUAL 990 RETURN AS NECESSARY.

Return Reference	Explanation
FORM 990, PAGE 6, PART VI, LINE 15A	EXECUTIVE COMPENSATION COMMITTEE PROCESS AND PROCEDURES THE EXECUTIVE COMPENSATION COMMITTEE ALLOWS THE ORGANIZATION TO TAKE A SYSTEMATIC APPROACH TO MANAGING ITS EXECUTIVE COMPENSATION PLAN IN KEEPING WITH THE OVERSIGHT AND FIDUCIARY RESPONSIBILITIES OF THE BOARD, THE EXECUTIVE COMPENSATION COMMITTEE WILL REMAIN A STANDING COMMITTEE OF THE BOARD OF DIRECTORS THE EXECUTIVE COMPENSATION COMMITTEE HAS THE AUTHORITY TO DETERMINE THE TOTAL COMPENSATION PACKAGES OF ITS EXECUTIVES AND COMPLY WITH INTERNAL SANCTIONS ALSO, THIS COMMITTEE COMPLETES THE EXECUTIVE DIRECTOR'S PERFORMANCE REVIEW THE COMMITTEE IS COMPRISED OF THE CURRENT WATERBURY YMCA BOARD OF DIRECTORS EXECUTIVE COMMITTEE THIS IS A BODY OF VOLUNTEERS WITH KNOWLEDGE OF THE KEY EMPLOYEES' CONTRIBUTIONS TO THE ORGANIZATION WHO HAVE NO PROFESSIONAL ASSOCIATIONS/BUSINESS RELATIONSHIP WITH THE PERSONS UNDER REVIEW THE ROLE OF THE EXECUTIVE COMPENSATION COMMITTEE IS TO PROVIDE THE NECESSARY DIRECTION TO THE OVERSIGHT OF THE ORGANIZATION'S EXECUTIVE COMPENSATION PROGRAM AND TO DEVELOP THE TOTAL COMPENSATION AND BENEFITS PACKAGE OF THE EXECUTIVE DIRECTOR REVIEW THE RECOMMENDATIONS OF THE EXECUTIVE DIRECTOR REGARDING COMPENSATION FOR THE FINANCE DIRECTOR AND ASSOCIATE EXECUTIVE DIRECTOR PROVIDE AN ANNUAL COMPENSATION REPORT TO THE BOARD OF DIRECTORS SOURCE OF COMPARABLE DATA THE OBJECTIVE IS TO SEEK TOTAL COMPENSATION DATA OBTAINED FROM AN INDEPENDENT SOURCE, IF POSSIBLE IN OUR CASE THE COMMITTEE CHAIR UTILIZED YUSA DATA AND BENCHMARKED AMONG A NUMBER OF OTHER YMCAS REPORT TO THE BOARD OF DIRECTORS THE REPORT STATES THE ESTABLISHED COMPENSATION FOR THE EXECUTIVE DIRECTOR, FINANCE DIRECTOR, AND THE ASSOCIATE EXECUTIVE DIRECTOR THE BOARD HAS THE OPPORTUNITY TO REVIEW THE EXECUTIVE COMPENSATION COMMITTEE'S REPORT, SUCH SAID REPORT NOTED IN THE ASSOCIATION'S MINUTES

Return Reference	Explanation
FORM 990, PAGE 6, PART VI, LINE 15B	THE PROCESS FOR DETERMINING COMPENSATION AMOUNTS FOR THE ORGANIZATION'S FINANCE DIRECTOR AND ASSOCIATE EXECUTIVE DIRECTOR ARE INCLUDED IN THE EXECUTIVE COMPENSATION COMMITTEE PROCESS AND PROCEDURES AS OULINED ABOVE FOR THE ORGANIZATION'S EXECUTIVE DIRECTOR

Return Reference	Explanation
FORM 990, PAGE 6, PART VI, LINE 19	THE ORGANIZATION'S ANNUAL 990 FILING IS AVAILABLE FOR INSPECTION ON THE PUBLICLY AVAILABLE WEBSITE GUIDE STAR. THE ORGANIZATION'S ANNUAL 990 FILING AND ANNUAL CERTIFIED AUDIT ARE AVAILABLE FOR PUBLIC INSPECTION AT THE CONNECTICUT PUBLIC CHARITIES UNIT OF THE CONNECTICUT ATTORNEY GENERAL'S OFFICE IN HARTFORD CT. THE ORGANIZATION REGISTERS ANNUALLY UNDER THE CT SOLICITATION OF CHARITABLE FUNDS ACT AND SUBMITS THESE DOCUMENTS ANNUALLY AS REQUIRED. THE ORGANIZATION'S GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, ANNUAL AUDITED FINANCIAL STATEMENTS AND ANNUAL 990 FILING ARE AVAILABLE FOR INSPECTION BY MEMBERS OF THE PUBLIC AT THE ORGANIZATION'S PLACE OF BUSINESS DURING NORMAL BUSINESS HOURS. APPOINTMENTS FOR REVIEW OF THESE DOCUMENTS MUST BE MADE WITH THE ORGANIZATION'S FINANCE DIRECTOR IN ADVANCE.

Return Reference	Explanation
FORM 990, PART XI, LINE 9	SPECIAL EVENT EXPENSES DEDUCTED ON 990 PART VIII-LINE 8B 22,497 COST OF GOODS SOLD DEDUCTED ON 990 PART VIII-LINE 10B 10,107 PROGRAM FINANCIAL ASSISTANCE BASED ON NEED-IN KIND -274,487 SPECIAL EVENT EXPENSES DEDUCTED ON 990 VIII-LINE 8B -22,497 COST OF GOODS SOLD DEDUCTED ON 990 VIII-LINE 10B -10,107 PROGRAM FINANCIAL ASSISTANCE BASED ON NEED-IN KIND 274,487