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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

OMB No 1545-0052

or Section 4947(a)(1) Trust Treated as Private Foundation

2013

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► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

Open to Public Inspection

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation

ELLEN V. SMITH TRUST 9TH CLAUSE

Number and street (or P O box number if mail is not delivered to street address)

A Employer identification number

05-6069745

P O BOX 1802

Room/suite

888-866-3275

City or town, state or province, country, and ZIP or foreign postal code

PROVIDENCE, RI 02901-1802

G Check all that apply:	Initial return	Initial return of a former public charity
	Final return	Amended return
	Address change	Name change

H Check type of organization:	<input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation
	<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 1,804,634.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____
--	--

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule) . 2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B 3 Interest on savings and temporary cash investments 4 Dividends and interest from securities 5a Gross rents b Net rental income or (loss) 6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a 91,743 7 Capital gain net income (from Part IV, line 2) 8 Net short-term capital gain 9 Income modifications 10a Gross sales less returns and allowances b Less. Cost of goods sold c Gross profit or (loss) (attach schedule) 11 Other income (attach schedule) 12 Total. Add lines 1 through 11	27,814 . 58,697 . 86,511 . 16,850 . 1,250 . 4,219 . 728 . 50 . 23,097 . 82,500 . 105,597 . -19,086 .	27,814 . 58,697 . 86,511 . 10,110 . NONE . NONE . 428 . NONE . 10,538 . 10,538 . 75,973 .	STMT 1 6,740 . 1,250 . 1,688 . 50 . 9,728 . 82,500 . 92,228 .	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc 14 Other employee salaries and wages 15 Pension plans, employee benefits 16a Legal fees (attach schedule) b Accounting fees (attach schedule) STMT 2 c Other professional fees (attach schedule) STMT 3 17 Interest MAY 07.14 MAY 12.14 18 Taxes (attach schedule) (see instructions) STMT 4 19 Depreciation (attach schedule) and depletion 20 Occupancy 21 Travel, conferences, and meetings 22 Printing and publications 23 Other expenses (attach schedule) STMT 5 24 Total operating and administrative expenses. Add lines 13 through 23 25 Contributions, gifts, grants paid 26 Total expenses and disbursements Add lines 24 and 25 27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements b Net investment income (if negative, enter -0-) c Adjusted net income (if negative, enter -0-)				

	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets				
1	Cash - non-interest-bearing			
2	Savings and temporary cash investments	52,133.	66,338.	66,338.
3	Accounts receivable ►			
	Less: allowance for doubtful accounts ►			
4	Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ►			
	Less: allowance for doubtful accounts ►			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule)	STMT 6.	1,417,495.	1,738,296.
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment basis ►			
	Less accumulated depreciation ► (attach schedule)			
12	Investments - mortgage loans			
13	Investments - other (attach schedule)			
14	Land, buildings, and equipment basis ►			
	Less accumulated depreciation ► (attach schedule)			
15	Other assets (describe ►)			
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item II)	1,502,673.	1,483,833.	1,804,634.
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ►)			
23	Total liabilities (add lines 17 through 22)			NONE
Net Assets or Fund Balances				
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, . . . ► <input checked="" type="checkbox"/> check here and complete lines 24 through 26 and lines 30 and 31.			
27	Capital stock, trust principal, or current funds	1,502,673.	1,483,833.	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	1,502,673.	1,483,833.	
31	Total liabilities and net assets/fund balances (see instructions)	1,502,673.	1,483,833.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,502,673.
2	Enter amount from Part I, line 27a	2	-19,086.
3	Other increases not included in line 2 (itemize) ► SEE STATEMENT 7	3	246.
4	Add lines 1, 2, and 3	4	1,483,833.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,483,833.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 91,743.		33,046.	58,697.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			58,697.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	58,697.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	110,065.	1,588,899.	0.069271
2011	104,594.	1,655,777.	0.063169
2010	116,550.	1,616,091.	0.072118
2009	97,542.	1,488,011.	0.065552
2008	74,838.	1,685,412.	0.044403
2 Total of line 1, column (d)			2 0.314513
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.062903
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 1,677,352.
5 Multiply line 4 by line 3			5 105,510.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 760.
7 Add lines 5 and 6			7 106,270.
8 Enter qualifying distributions from Part XII, line 4			8 92,228.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1. . . .	1	1,519.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,519.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,519.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	527.
b	Exempt foreign organizations - tax withheld at source	6b	NONE
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	527.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ► 9	9	992.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ► 10	10	
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax ► <input type="checkbox"/> NONE Refunded ► 11	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	X	
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
1c	Did the foundation file Form 1120-POL for this year?	X	
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:		
	(1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	X	
	<i>If "Yes," attach a detailed description of the activities.</i>		
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	X	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	X	
	<i>If "Yes," attach the statement required by General Instruction T.</i>		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:		
	● By language in the governing instrument, or		
	● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV		
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► RI		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.	X	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	X	

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X
14 The books are in care of ► US TRUST FIDUCIARY TAX SERVICES Telephone no. ► (888) 866-3275 Located at ► P.O. BOX 1802, PROVIDENCE, RI ZIP+4 ► 20901-1802		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ► 15 and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):		Yes	No
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/>	Yes	X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/>	Yes	X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/>	Yes	X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/>	Yes	No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/>	Yes	X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/>	Yes	X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
Organizations relying on a current notice regarding disaster assistance check here ►			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	<input type="checkbox"/>	Yes	X No
If "Yes," list the years ►			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/>	Yes	X No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ►

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

5b

6b

X

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		16,850.		

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ► **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services ►		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NONE	
2	-----	
3	-----	
4	-----	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE	
2	-----	
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3 ►		

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	1,682,185.
b Average of monthly cash balances	1b	20,710.
c Fair market value of all other assets (see instructions)	1c	NONE
d Total (add lines 1a, b, and c)	1d	1,702,895.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	NONE
3 Subtract line 2 from line 1d	3	1,702,895.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	25,543.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,677,352.
6 Minimum investment return. Enter 5% of line 5	6	83,868.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	83,868.
2a Tax on investment income for 2013 from Part VI, line 5	2a	1,519.
b Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	1,519.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	82,349.
4 Recoveries of amounts treated as qualifying distributions	4	NONE
5 Add lines 3 and 4	5	82,349.
6 Deduction from distributable amount (see instructions)	6	NONE
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	82,349.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	92,228.
b Program-related investments - total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	NONE
b Cash distribution test (attach the required schedule)	3b	NONE
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	92,228.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	92,228.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				82,349.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			NONE	
b Total for prior years. 20_____,20_____,20_____ c From 2008	NONE	NONE		
d From 2009	NONE			
e From 2010	26,468.			
f From 2011	23,275.			
g From 2012	31,638.			
h Total of lines 3a through e	81,381.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 92,228.				
a Applied to 2012, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2013 distributable amount			82,349.	
e Remaining amount distributed out of corpus	9,879.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)		NONE		NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	91,260.			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions			NONE	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)		NONE		
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		NONE		
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	91,260.			
10 Analysis of line 9:				
a Excess from 2009	NONE			
b Excess from 2010	26,468.			
c Excess from 2011	23,275.			
d Excess from 2012	31,638.			
e Excess from 2013	9,879.			

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Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section

Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)					
	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III)					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a. The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 9

b. The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

6 Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE ATTACHED STATEMENT	N/A	SEE ATTACH	SCHOLARSHIPS	82,500.
Total			► 3a	82,500.
b Approved for future payment				
Total			► 3b	

Form 990-PF (2013)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514	(e) Related or exempt function income (See instructions.)	
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:						
a						
b						
c						
d						
e						
f						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities				14	27,814.	
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory				18	58,697.	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue: a _____						
b						
c						
d						
e						
12 Subtotal. Add columns (b), (d), and (e)					86,511.	
13 Total. Add line 12, columns (b), (d), and (e)				13		86,511.
(See worksheet in line 13 instructions to verify calculations.)						

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form 990-PF (2013)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
		1a(1)	X
a	Transfers from the reporting foundation to a noncharitable exempt organization of:	1a(2)	X
	(1) Cash		
	(2) Other assets		
b	Other transactions:	1b(1)	X
	(1) Sales of assets to a noncharitable exempt organization	1b(2)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(3)	X
	(3) Rental of facilities, equipment, or other assets	1b(4)	X
	(4) Reimbursement arrangements	1b(5)	X
	(5) Loans or loan guarantees	1b(6)	X
	(6) Performance of services or membership or fundraising solicitations	1c	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

Karen J. Kiser

04/29/2014

Date

◀ SVD.Tech

Title

May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

BANK OF AMERICA, N.A.				
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed
	Firm's name ►	Firm's EIN ►		
	Firm's address ►	Phone no		

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
USGI REPORTED AS NONQUALIFIED DIVIDENDS	1,118.	1,118.
FOREIGN DIVIDENDS	3,966.	3,966.
DOMESTIC DIVIDENDS	7,566.	7,566.
NONQUALIFIED FOREIGN DIVIDENDS	324.	324.
NONQUALIFIED DOMESTIC DIVIDENDS	14,840.	14,840.
TOTAL	27,814.	27,814.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE - BOA	1,250.	-	-	1,250.
TOTALS	1,250.	NONE	NONE	1,250.

05-6069745

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

CHARITABLE

PURPOSES

REVENUE

AND

EXPENSES

PER BOOKS

=====

DESCRIPTION

=====

GRANTMAKING FEES - BOA

1,688.

=====

1,688.

=====

TOTALS

4,219.

=====

4,219.

=====



FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
EXCISE TAX ESTIMATES FOREIGN TAXES ON QUALIFIED FOR FOREIGN TAXES ON NONQUALIFIED	300. 406. 22. ----- TOTALS	406. 22. ----- 428. =====



FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
OTHER CHARITABLE EXPENSES	50.	50.
TOTALS		



ELLEN V. SMITH TRUST 9TH CLAUSE

05-6069745

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
921943858 VANGUARD FTSE DEVELO	84,421.	84,421.	109,577.
922908512 VANGUARD INDEX TR VA	37,459.	37,459.	57,681.
197199409 COLUMBIA ACORN FUND	36,379.	36,379.	67,825.
19765N245 COLUMBIA DIVIDEND IN	211,172.	204,018.	307,284.
19765N567 COLUMBIA SMALL CAP V	19,690.	19,690.	28,021.
19765P547 COLUMBIA REAL ESTATE	35,520.	35,520.	32,365.
19765P596 COLUMBIA SMALL CAP G	40,621.	40,621.	45,461.
19765Y688 COLUMBIA SELECT LARG	319,223.	304,033.	486,222.
722005667 PIMCO COMMODITY REAL	85,824.	85,824.	55,675.
19763T889 COLUMBIA INCOME OPP	46,022.	46,022.	52,171.
19765Y886 COLUMBIA BOND FUND C	421,918.	411,217.	373,972.
197199813 COLUMBIA ACORN INTER	15,067.	15,067.	28,430.
19765Y852 COLUMBIA EMERGING MA	97,224.	97,224.	93,612.
TOTALS	1,450,540.	1,417,495.	1,738,296.

ELLEN V. SMITH TRUST 9TH CLAUSE

05-6069745

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
INCOME ADJUSTMENT	245.
ROUNDING	1.
TOTAL	246.

STATEMENT 7

ELLEN V. SMITH TRUST 9TH CLAUSE

05-6069745

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:

BANK OF AMERICA, N.A.

ADDRESS:

100 WESTMINSTER STREET
PROVIDENCE, RI 02903

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

COMPENSATION 12,350.

COMPENSATION EXPLANATION:

SEE FOOTNOTE

OFFICER NAME:

DOROTHY TESTA

ADDRESS:

PO BOX 2364
PROVIDENCE, RI 02906

TITLE:

CO-TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

COMPENSATION 4,500.

TOTAL COMPENSATION:

16,850.

=====

ELLEN V. SMITH TRUST 9TH CLAUSE
FORM 990PF, PART XV - LINES 2a - 2d
=====

05-6069745

RECIPIENT NAME:
THE SMITH SCHOLARSHIP SELECTION COMMITTEE
FORM, INFORMATION AND MATERIALS:
SEE ATTACHMENT
SUBMISSION DEADLINES:
SEE ATTACHMENT
RESTRICTIONS OR LIMITATIONS ON AWARDS:
SEE ATTACHMENT

STATEMENT 9

FEDERAL FOOTNOTES

=====

THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A. AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES.

Posting Date	Amount	Distribution	Relationship to substantial contributor	Purpose	Foundation Status of Recipient
1/7/2013	-1000	WORCESTER POLYTECHNIC INSTITUTE	NONE	SCHOLARSHIP	PC
1/7/2013	-3500	UNIVERSITY OF RHODE ISLAND	NONE	SCHOLARSHIP	PC
1/7/2013	-3000	CLEMSON UNIVERSITY	NONE	SCHOLARSHIP	PC
1/7/2013	-4000	BOSTON COLLEGE	NONE	SCHOLARSHIP	PC
1/7/2013	-3000	QUINNIPAC UNIVERSITY	NONE	SCHOLARSHIP	PC
1/7/2013	-3000	UNIVERSITY OF NEW HAMPSHIRE	NONE	SCHOLARSHIP	PC
1/7/2013	-3000	UNIVERSITY OF NEW ENGLAND	NONE	SCHOLARSHIP	PC
1/9/2013	-1500	BOSTON UNIVERSITY	NONE	SCHOLARSHIP	PC
1/11/2013	-2000	UNIVERSITY OF NEW HAMPSHIRE	NONE	SCHOLARSHIP	PC
1/28/2013	-3000	UNIVERSITY OF HARTFORD	NONE	SCHOLARSHIP	PC
2/5/2013	-4000	UNIVERSITY OF RHODE ISLAND	NONE	SCHOLARSHIP	PC
2/5/2013	-3000	GEORGE MASON UNIVERSITY	NONE	SCHOLARSHIP	PC
2/14/2013	-1500	MCGILL UNIVERSITY	NONE	SCHOLARSHIP	PC
2/19/2013	-3000	GETTYSBURG COLLEGE	NONE	SCHOLARSHIP	PC
3/18/2013	-2500	THE UNIVERSITY OF RHODE ISLAND	NONE	SCHOLARSHIP	PC
3/22/2013	-2000	UNIVERSITY OF RHODE ISLAND	NONE	SCHOLARSHIP	PC
3/28/2013	-2500	UNIVERSITY OF RHODE ISLAND	NONE	SCHOLARSHIP	PC
8/6/2013	-1000	UNIVERSITY OF NEW ENGLAND	NONE	SCHOLARSHIP	PC
8/6/2013	-1000	MIDDLEBURY COLLEGE	NONE	SCHOLARSHIP	PC
8/6/2013	-1500	WELLESLEY COLLEGE	NONE	SCHOLARSHIP	PC
8/7/2013	-1500	BOSTON UNIVERSITY	NONE	SCHOLARSHIP	PC
8/7/2013	-3000	UNIVERSITY OF HARTFORD	NONE	SCHOLARSHIP	PC
8/7/2013	-2000	UNIVERSITY OF NEW HAMPSHIRE	NONE	SCHOLARSHIP	PC
8/20/2013	-2000	UNIVERSITY OF RHODE ISLAND	NONE	SCHOLARSHIP	PC
10/1/2013	-3000	GEORGE MASON UNIVERSITY	NONE	SCHOLARSHIP	PC
10/1/2013	-2000	UNIVERSITY OF RHODE ISLAND	NONE	SCHOLARSHIP	PC
10/1/2013	-2000	BARD COLLEGE	NONE	SCHOLARSHIP	PC
10/1/2013	-2500	UNIVERSITY OF RHODE ISLAND	NONE	SCHOLARSHIP	PC
10/1/2013	-3000	UNIVERSITY OF NEW HAMPSHIRE	NONE	SCHOLARSHIP	PC
10/1/2013	-2000	UNIVERSITY OF RHODE ISLAND	NONE	SCHOLARSHIP	PC
10/1/2013	-3000	UNIVERSITY OF CONNECTICUT	NONE	SCHOLARSHIP	PC
10/3/2013	-1500	MCGILL UNIVERSITY	NONE	SCHOLARSHIP	PC
10/7/2013	-3500	UNIVERSITY OF RHODE ISLAND	NONE	SCHOLARSHIP	PC
10/8/2013	-1000	WORCESTER POLYTECHNIC INSTITUTE	NONE	SCHOLARSHIP	PC
11/14/2013	-1500	BOSTON UNIVERSITY	NONE	SCHOLARSHIP	PC
TOTAL	-82500				

Part ~~XV~~ (2)(b)

scores sent to Committee. If your S.A.T. scores are not included on your secondary school transcript, you should authorize release of them by requesting that they be sent to The Smith Scholarship Selection Committee, P.O. Box 2482, East Side Station, Providence, RI 02906. Our code number is 0136.

4. By March 1 you must send us either an F.A.F. or a G.A.P.S.F.A.S. Since it often takes one month for these forms to be received by us, you should file by February 1. All financial forms must be submitted through C.S.S. and will not be accepted directly by the Committee. The C.S.S. should be asked to send a copy of the F.A.F. to the Smith Scholarship Selection Committee; our code number is 0136 for the F.A.F. and 3749 for the G.A.P.S.F.A.S. Form.

5. IMPORTANT DATES

If it is impossible for you to meet one of the deadlines listed below, you may request an extension by explaining your reasons in writing to The Smith Scholarship Selection Committee, P.O. Box 2482, East Side Station, Providence, RI 02906.

December 15 -

File the Preliminary Application

December -

Take the S.A.T. no later than the December test date if you do not already have one on file with C.E.E.B.

February 1 -

File an F.A.F. or G.A.P.S.F.A.S. with C.S.S.

February 15 -

Return the BIOGRAPHICAL QUESTIONNAIRE and SECONDARY SCHOOL REPORT to the Selection Committee. Students in college should submit a current transcript; students in graduate school should submit both college and graduate school transcripts.

April 15 -

On or before this date all students who have completed applications will be notified of the Trustees' decision.

The Ellen V. and Albert H. Smith Scholarship Fund

College and Graduate School Scholarships
For
Residents of the Town of South Kingstown,
Rhode Island

Preliminary Application Deadline
December 15

THE FUND has been established by bequest of Ellen V. Smith. The trustee, Fleet National Bank, has appointed an independent committee composed of individuals with expertise in financial aid and admissions who will recommend scholarship recipients.

ELIGIBILITY is restricted to bona fide, domiciled residents of the Town of South Kingstown, Rhode Island. To be considered, an applicant must be over the age of fifteen years but under the age of twenty-three years at the time of selection.

THE AWARDS can be used only for attendance at an accredited college, university, or graduate professional school. The student's program must be either a four year or a three year course leading either to a Bachelor's degree or a doctorate. The scholarship may be held for no more than four years or until completion of the degree program, whichever occurs first. Applications will be accepted from (1) students in the last year of secondary school or (2) those who will be enrolled in an eligible program of higher education either in college or graduate school.

The amount of the annual award will depend on the relative need of the student as determined by the needs analysis methods of the College Scholarship Service (C.S.S.). In determining need, the Selection Committee will consider (1) the normal costs of attendance (tuition, fees, room, board, travel, and personal), (2) a reasonable parents' contribution as determined by an individual review of the C.S.S. recommendation, and (3) a normal contribution from the student's summer earnings. The Smith Scholarship will cover the full amount of the applicant's computed need, and, therefore, other scholarships and grants may not be accepted. The recipient, however, may take out student loans or hold a term-time job, if desired.

The Smith Scholarships are awarded for a single academic year but will normally be renewed each year if:

1. The student is in good standing and is making normal progress towards a degree.

2. The student files
 - a A Renewal Application (which will be mailed to the home address about May 1)
 - b A college transcript.
 - c For college students a current Financial Aid Form, or for graduate students the G.A.P.S.F.A.S. form must be used.
3. The student demonstrates continuing financial need as determined by the method of needs analysis recommended by the C.S.S.

Stipend checks will be sent to the institutions on or about August 15 and January 15 together with instructions to credit the student's account.

THE SELECTION of winners will be made by April 15 and will be based on a careful and individual evaluation of (1) the scholastic record and recommendations, (2) evidence of personal achievement and promise of future usefulness to society, (3) results of the Scholastic Aptitude Test of the College Entrance Examination Board, (4) a current Financial Aid Form (F.A.F.) of the C.S.S. or a current G.A.P.S.F.A.S. form and (5) length of bona fide residence in the Town of South Kingstown, Rhode Island and promise of future commitment to the town.

APPLICATIONS for a Smith Scholarship require the items listed below:

1. By December 15 file the PRELIMINARY APPLICATION which is attached to this Brochure by sending it to The Smith Scholarship Selection Committee, P.O. Box 2482, East Side Station, Providence, RI 02906.
2. As soon as your PRELIMINARY APPLICATION is received, you will be sent a BIOGRAPHICAL QUESTIONNAIRE and a SECONDARY SCHOOL REPORT which must be filed with the Committee by February 15. For those applicants already in college, an official college transcript must be received by the same date. Students already in college or graduate school must still submit SECONDARY SCHOOL REPORTS.
3. Applicants who are seniors in secondary schools must take the Scholastic Aptitude Test by December of the senior year and have

