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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
GENE CHIU FOUNDATION

A Employer identification number
05-0598399

Number and street (or P O box number if mail is not delivered to street address) PO BOX 1637 | Room/suite

B Telephone number (see instructions)
(650) 858-2734

City or town, state or province, country, and ZIP or foreign postal code
LOS ALTOS, CA 94023

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 9,369,238

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	244,540			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	21,432	21,432		
	4	Dividends and interest from securities.	179,337	179,337		
	5a	Gross rents				
	b	Net rental income or (loss) ________				
	6a	Net gain or (loss) from sale of assets not on line 10	89,103			
	b	Gross sales price for all assets on line 6a 2,061,565				
	7	Capital gain net income (from Part IV, line 2) . . .		89,103		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	175	175		
	12	**Total.** Add lines 1 through 11	534,587	290,047		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	38,400	0		46,644
	14	Other employee salaries and wages	16,800	0		17,489
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule).				
	b	Accounting fees (attach schedule).	17,860	0		0
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	21,602	0		15,279
	19	Depreciation (attach schedule) and depletion . . .	15,414	0		
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	60,534	43,884		15,314
	24	**Total operating and administrative expenses.** Add lines 13 through 23	170,610	43,884		94,726
	25	Contributions, gifts, grants paid	95,738			95,738
	26	**Total expenses and disbursements.** Add lines 24 and 25	266,348	43,884		190,464
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	268,239			
	b	**Net investment income** (if negative, enter -0-)		246,163		
	c	**Adjusted net income** (if negative, enter -0-) . . .				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	483,623	658,017	658,017
2	Savings and temporary cash investments			
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)	238,460	186,191	176,257
b	Investments—corporate stock (attach schedule)	460,040	180,730	140,250
c	Investments—corporate bonds (attach schedule)	247,612	252,318	259,973
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans	194,459	107,716	104,657
13	Investments—other (attach schedule)	5,287,074	5,792,132	6,838,553
14	Land, buildings, and equipment basis ▶ 1,202,290			
	Less accumulated depreciation (attach schedule) ▶ 16,056	1,201,648	1,186,234	1,186,234
15	Other assets (describe ▶)	261	5,297	5,297
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	8,113,177	8,368,635	9,369,238
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)	12,991	210	
23	**Total liabilities** (add lines 17 through 22)	12,991	210	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds	0	0	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29	Retained earnings, accumulated income, endowment, or other funds	8,100,186	8,368,425	
30	**Total net assets or fund balances** (see page 17 of the instructions)	8,100,186	8,368,425	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	8,113,177	8,368,635	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,100,186
2	Enter amount from Part I, line 27a	2	268,239
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	8,368,425
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,368,425

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	89,103
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,323,528	7,538,246	0 175575
2011	246,988	7,823,460	0 031570
2010	276,184	7,635,993	0 036169
2009	235,535	7,100,004	0 033174
2008	177,966	7,440,398	0 023919

2	**Total** of line 1, column (d).	2	0 300407
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 060081
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	7,681,145
5	Multiply line 4 by line 3.	5	461,491
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	2,462
7	Add lines 5 and 6.	7	463,953
8	Enter qualifying distributions from Part XII, line 4.	8	190,464

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	4,923
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	4,923
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	4,923
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 4,120		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	4,120
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	26
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	829
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶POLLY JAN Telephone no ▶(650) 858-2734

Located at ▶PO BOX 1637 LOS ALTOS CA ZIP+4 ▶94023

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*.	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☑ Yes ☐ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		No
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
POLLY JAN PO BOX 1637 LOS ALTOS, CA 94022	DIRECTOR 10 00	38,400	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 GCF MEAL PROJECT - PROVIDE STUDENTS IN HP SPECIAL EDUCATION SCHOOL DAILY MEALS AND NEW BASKETBALL FACILITIES	14,057
2 GCF STUDENT SCHOLARSHIP PROJECT- ESTABLISH SCHOLARSHIP FOR LOW INCOME COLLEGE STUDENTS IN GUIZHOU PROVINCE	33,561
3 GCF SCHOOL FACILITIES PROJECT- ENHANCE FACILITIES FOR STUDENTS IN GUIZHOU SCHOOL	20,897
4 GCF WEBSITE PROJECT - LOAD BOOKS ABOUT GUIZHOU CULTURAL ACTIVITIES TO GCF WEBSITE	15,858

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,461,212
b	Average of monthly cash balances	1b	570,823
c	Fair market value of all other assets (see instructions)	1c	5,766,082
d	**Total** (add lines 1a, b, and c)	1d	7,798,117
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	7,798,117
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	116,972
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	7,681,145
6	**Minimum investment return.** Enter 5% of line 5	6	384,057

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	384,057
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a: 4,923		
b	Income tax for 2013 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	4,923
3	Distributable amount before adjustments Subtract line 2c from line 1	3	379,134
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	379,134
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	379,134

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	190,464
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	190,464
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	190,464

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				379,134
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012. 12,802				
f Total of lines 3a through e.	12,802			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 190,464				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				190,464
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	12,802			12,802
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				175,868
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				62,313
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					21,432
4 Dividends and interest from securities					179,337
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					175
8 Gain or (loss) from sales of assets other than inventory					89,103
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		0	290,047

13 Total. Add line 12, columns (b), (d), and (e) 13 290,047

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
13	INCOME IS ALL USED FOR EXEMPT PURPOSES TO ESTABLISH COMPUTER CLASSROOM, ELECTRONIC BOOKS, WEBSITES, SCHOLARSHIP AND LIBRARY IN UNIVERSITY AND JUNIOR HIGH AND HIGH SCHOOLS IN GUIZHOU PROVICE OF CHINA

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	**1a(1)**		No
	(2) Other assets	**1a(2)**		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		No
	(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		No
	(3) Rental of facilities, equipment, or other assets	**1b(3)**		No
	(4) Reimbursement arrangements	**1b(4)**		No
	(5) Loans or loan guarantees	**1b(5)**		No
	(6) Performance of services or membership or fundraising solicitations	**1b(6)**		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee | 2014-11-13 Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
PEGGY H CHEN				P00910398
Firm's name	C G UHLENBERG LLP		Firm's EIN 27-0472389	
Firm's address	333 TWIN DOLPHIN DRIVE SUITE 230 REDWOOD CITY, CA 94065		Phone no (650) 227-6799	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
CAPITAL GAIN DISTRIBUTION	P		
CHARLES SCHWAB 0024 (SEE ATTACHMENT)	P		
CHARLES SCHWAB 0024 (SEE ATTACHMENT)	P		
CHARLES SCHWAB 1218 (SEE ATTACHMENT)	P		
CHARLES SCHWAB 1218 (SEE ATTACHMENT)	P		
CHARLES SCHWAB 8475 (SEE ATTACHMENT)	P		
CHARLES SCHWAB 8475 (SEE ATTACHMENT)	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
76,507			76,507
192,543		196,257	-3,714
123,001		124,338	-1,337
15,691		16,303	-612
1,219,426		1,197,333	22,093
205,378		213,051	-7,673
229,019		225,180	3,839

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			76,507
			-3,714
			-1,337
			-612
			22,093
			-7,673
			3,839

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OCEAN UNIVERSITY OF CHINA 5 YUSHAN RD SHINAN QINGDAO,SHANDONG CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,220
FUQUAN 3RD MIDDLE SCHOOL 24 YU CAI ROAD FUQUAN,GUIZHOU CH			GCF SCHOOL FACILITIES PROJECT IN GUIZHOU, CHINA	6,500
GUIYANG MEDICAL UNIVERSITY 9 BEIJING ROAD YUNYAN GUIYANG,GUIZHOU CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,070
DALIAN NATIONALITIES UNIVERSITY OF JINZHOU NO 18 LIAOHE RD DALIAN ECONOMIC AND TECHNOLOGICAL DEVELOPMENT ZONE DALIAN,LIAONING CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,036
SHANGHAI DIAN JI UNIVERSITY 690 JIANGCHUAN RD MINHANG SHANGHAI CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,348
JILIN UNIVERSITY 4026 YATAI ST NANGUAN CHANGCHUN,JILIN CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,033
HUNAN AGRICULTURAL UNIVERSITY 1 NONGDA ROAD FURONG CHANGSHA,HUNAN CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,365
NANJING UNIVERSITY OF POSTS AND TELECOMMUNICATIONS 38 GUANGDONG RD GULOU NANJING,JIANGSU CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,378
CIVIL AVIATION UNIVERSITY OF CHINA NO 2898 JINBEIGONG ROAD TIANJIN CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,283
HUANG PING SPECIAL EDUCATION SCHOOL BEI MENG STREET HUANG PING XINZHOU,GUIZHOU CH			GCF STUDENT MEAL PROJECT IN GUIZHOU, CHINA	1,860
GUIZHOU UNIVERSITY XUESHI ROAD HUAXI GUIYANG,GUIZHOU CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,400
HUNAN UNIVERSITY OF HUMANITIES SCIENCE AND TECHNOLOGY DI XING ROAD LOUXING COUNTY LOUDI,HUNAN 417000 CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,400
BEIFANG UNIVERSITY OF NATIONALITIES 204 WENCHANG N ST XIXIA YINCHUAN,NINGXIA CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,400
NINGBO UNIVERSITY 818 FENGHUA ROAD JIANGBEI NINGBO,ZHEJIANG CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,400
GUIYANG MEDICAL UNIVERSITY 9 BEIJING ROAD YUNYAN GUIYANG,GUIZHOU CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,400
Total . . . . ▶ **3a**				62,313

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WUHAN UNIVERSITY LUOYU ROAD HONGSHAN WUHAN,HUBEI CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,400
YUNNAN UNIVERSITY OF TRADITIONAL CHINESE MEDICINE 1192 RIXIN ROAD GUANDU KUNMING,YUNNAN CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,349
GUIYANG COLLEGE OF TRADITIONAL CHINESE MEDICINE DUSI ELEVATED BRIDGE NANMING GUIYANG,GUIZHOU CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,145
UNIVERSITY OF SHANGHAI FOR SCIENCE AND TECHNOLOGY 516 JUNGONG ROAD YANGPU SHANGHAI CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,316
GUIZHOU NATIONALITIES UNIVERSITY HUAXI GUIYANG,GUIZHOU CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	806
ZUNYI NORMAL UNIVERSITY COLLEGE 830 SHANGHAI ROAD HUICHUAN ZUNYI,GUIZHOU CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,069
GUIYANG COLLEGE OF TRADITIONAL CHINESE MEDICINE DUSI ELEVATED BRIDGE NANMING GUIYANG,GUIZHOU CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,145
CHANGSHA VOCATIONAL TECHNICAL COLLEGE 22 XIANGZHANG ROAD YUHUA CHANGSHA,HUNAN CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	1,160
HUANG PING SPECIAL EDUCATION SCHOOL BEI MENG STREET HUANG PING XINZHOU,GUIZHOU CH			GCF STUDENT SCHOLARSHIP PROJECT IN GUIZHOU, CHINA	4,030
HUANG PING SPECIAL EDUCATION SCHOOL BEI MENG STREET HUANG PING XINZHOU,GUIZHOU CH			GCF STUDENT MEAL PROJECT IN GUIZHOU, CHINA	10,800
HPMZ HIGH SCHOOL XIN ZHOU BLVD HUANG PING COUNTY XINZHOU,GUIZHOU CH			GCF SCHOOL FACILITIES PROJECT IN GUIZHOU, CHINA	6,500
HPMZ HIGH SCHOOL XIN ZHOU BLVD HUANG PING COUNTY XINZHOU,GUIZHOU CH			GCF SCHOOL FACILITIES PROJECT IN GUIZHOU, CHINA	3,250
HPMZ HIGH SCHOOL XIN ZHOU BLVD HUANG PING COUNTY XINZHOU,GUIZHOU CH			GCF SCHOOL FACILITIES PROJECT IN GUIZHOU, CHINA	3,250
Total . . . . ▶ **3a**				62,313

TY 2013 Accounting Fees Schedule

Name: GENE CHIU FOUNDATION

EIN: 05-0598399

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	17,860	0		0

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: GENE CHIU FOUNDATION

EIN: 05-0598399

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
LAND	2012-12-19	601,145		L		0	0		
BUILDING	2012-12-19	601,145	642	SL	39 000000000000	15,414	0		

TY 2013 Investments Corporate Bonds Schedule

Name: GENE CHIU FOUNDATION

EIN: 05-0598399

Name of Bond	End of Year Book Value	End of Year Fair Market Value
INVESTMENTS #1218	75,851	85,099
INVESTMENTS #0024	176,467	174,874

TY 2013 Investments Corporate Stock Schedule

Name: GENE CHIU FOUNDATION

EIN: 05-0598399

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INVESTMENTS #1218	180,730	140,250

TY 2013 Investments Government Obligations Schedule

Name:	GENE CHIU FOUNDATION
EIN:	05-0598399
US Government Securities - End of Year Book Value:	186,191
US Government Securities - End of Year Fair Market Value:	176,257
State & Local Government Securities - End of Year Book Value:	0
State & Local Government Securities - End of Year Fair Market Value:	0

TY 2013 Investments - Other Schedule

Name: GENE CHIU FOUNDATION

EIN: 05-0598399

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
INVESTMENTS #1218	AT COST	3,217,431	3,584,919
INVESTMENTS #1218	AT COST	2,322,415	3,003,571
INVESTMENTS #8475	AT COST	171,047	170,930
INVESTMENTS #0024	AT COST	81,239	79,133

TY 2013 Land, Etc. Schedule

Name: GENE CHIU FOUNDATION

EIN: 05-0598399

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
LAND	601,145	0	601,145	
BUILDING	601,145	16,056	585,089	

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491318015654

TY 2013 Other Assets Schedule

Name: GENE CHIU FOUNDATION

EIN: 05-0598399

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
REFUND RECEIVABLE	261	0	0
FEDERAL TAX REFUND RECEIVABLE	0	5,297	5,297

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491318015654

TY 2013 Other Expenses Schedule

Name: GENE CHIU FOUNDATION

EIN: 05-0598399

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INTEREST EXPENSE	1,217	1,217		0
FOREIGN TAXES	1,449	1,449		0
INVESTMENT MANAGEMENT FEES	41,218	41,218		0
OFFICE EXPENSES	4,319	0		4,319
ADMINISTRATIVE EXPENSE	5,590	0		5,590
HOA FEES	5,405	0		5,405
LICENSES AND FEES	50	0		0
PAYROLL PROCESSING FEE	1,160	0		0
PENALTY	126	0		0

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491318015654

TY 2013 Other Income Schedule

Name: GENE CHIU FOUNDATION

EIN: 05-0598399

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
VARIOUS INVESTMENT INCOME	175	175	175

TY 2013 Other Liabilities Schedule

Name: GENE CHIU FOUNDATION

EIN: 05-0598399

Description	Beginning of Year - Book Value	End of Year - Book Value
SALARY PAYABLE-POLLY JAN	2,748	0
PAYROLL TAX PAYABLE	10,243	210

TY 2013 Taxes Schedule

Name: GENE CHIU FOUNDATION

EIN: 05-0598399

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX - CY	3,284	0		0
FEDERAL TAX - PY	6,876	0		0
STATE TAX - PY	10	0		0
PROPERTY TAX	6,509	0		6,509
PAYROLL TAX	4,923	0		8,770

charles SCHWAB

Attachment
Taxpayer Gene Chiu Foundation
Taxpayer ID 05-0598399
Tax Year 2013

Account Number
1287-0024

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss)

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ANHEUSER BUSCH FLT 2014NOTES DUE 01/27/14 03523TBC1	2,000 0000	06/28/12	05/09/13	$2,007 90	$2,016 12	($8 22)
Security Subtotal				**$2,007.90**	**$2,016.12**	**($8.22)**
AT&T INC 1 4%17 DUE 12/01/17 00206RBM3	3,000 0000	04/25/13	12/03/13	$2,950 50	$3,014 37	($63 87)
Security Subtotal				**$2,950.50**	**$3,014.37**	**($63.87)**
BB&T CORP 1 6%17MED TRM NT DUE 08/15/17 05531FAL7	3,000 0000	08/08/12	03/15/13	$3,026 31	$3,003 84	$22 47
Security Subtotal				**$3,026.31**	**$3,003.84**	**$22.47**
BERKSHIRE HATHWY 1 9%17NOTES DUE 01/31/17 084670BD9	2,000 0000	01/24/12	01/16/13	$2,059 10	$1,999 90	$59 20
BERKSHIRE HATHWY 1 9%17NOTES DUE 01/31/17 084670BD9	2,000 0000	01/25/12	01/16/13	$2,059 10	$2,011 92	$47 18
Security Subtotal				**$4,118.20**	**$4,011.82**	**$106.38**
BP CAPITAL MKT 1 846%17F DUE 05/05/17BP CAPITAL M 05565QBY3	2,000 0000	08/28/13	12/03/13	$2,034 46	$2,002 52	$31 94
Security Subtotal				**$2,034.46**	**$2,002.52**	**$31.94**

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
CELGENE CORP 3 25%22NOTES DUE 08/15/22 151020AH7	4,000 0000	03/04/13	06/17/13	$3,944 36	$4,049 72	($105 36)
Security Subtotal				**$3,944.36**	**$4,049.72**	**($105.36)**
CIGNA CORP 4%22NOTES DUE 02/15/22 125509BS7	3,000 0000	06/19/12	03/04/13	$3,240 18	$3,141 78	$98 40
Security Subtotal				**$3,240.18**	**$3,141.78**	**$98.40**
CME GROUP INC 3%22NOTES DUE 09/15/22 12572QAE5	3,000 0000	09/05/12	05/21/13	$3,000 69	$2,990 73	$9 96
CME GROUP INC 3%22NOTES DUE 09/15/22 12572QAE5	1,000 0000	09/05/12	06/05/13	$983 26	$996 91	($13 65)
CME GROUP INC 3%22NOTES DUE 09/15/22 12572QAE5	2,000 0000	09/07/12	06/05/13	$1,966 52	$2,005 33	($38 81)
Security Subtotal				**$5,950.47**	**$5,992.97**	**($42.50)**
CNH EQUIP TR 201 0 44%16REMIC DUE 07/15/16 - SER 13A - 12591FAB2	6,000 0000	02/13/13	11/14/13	$5,999 77	$5,999 46	$0 31
Security Subtotal				**$5,999.77**	**$5,999.46**	**$0.31**
COMCAST CORP 3 125%22BONDS DUE 07/15/22 20030NBD2	3,000 0000	06/28/12	06/03/13	$3,039 93	$3,031 47	$8 46

G000016810210

charles SCHWAB

Attachment
Taxpayer Gene Chiu Foundation
Taxpayer ID 05-0598399
Tax Year 2013

Account Number
1287-0024

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
COMCAST CORP 3 125%22BONDS DUE 07/15/22 20030NBD2	2,000 0000	04/02/13	07/16/13	$1,970 36	$2,048 46	($78 10)
Security Subtotal				**$5,010.29**	**$5,079.93**	**($69.64)**
DEERE JOHN CAP FLT 2013MED TRM NT DUE 07/15/13 24422ERD3	5,000 0000	08/20/12	02/20/13	$5,004 50	$5,012 65	($8 15)
Security Subtotal				**$5,004.50**	**$5,012.65**	**($8.15)**
FED HM LN MTG 2%40REMIC DUE 11/15/40FH-4097F-EG 3137ATJH6	7,000 0000	09/06/12	05/09/13	$6,813 29	$6,763 90	$49 39
Security Subtotal				**$6,813.29**	**$6,763.90**	**$49.39**
FED HM LN MTG 2 25%41REMIC DUE 08/15/41FH-4105D-KB 3137AUDQ9	7,000 0000	09/06/12	07/12/13	$6,442 72	$6,810 01	($367 29)
Security Subtotal				**$6,442.72**	**$6,810.01**	**($367.29)**
FED NATL MTG 2%40REMIC DUE 10/25/40FN-12113F-PB 3136A8V64	10,000 0000	09/20/12	05/15/13	$9,838 65	$9,857 57	($18 92)
Security Subtotal				**$9,838.65**	**$9,857.57**	**($18.92)**
FED NATL MTG 2%41REMIC DUE 07/25/41FN-1296D-PD 3136A75E8	9,000 0000	09/11/12	07/18/13	$7,605 05	$7,988 08	($383 03)
Security Subtotal				**$7,605.05**	**$7,988.08**	**($383.03)**

charles SCHWAB

Attachment
Taxpayer: Gene Chiu Foundation
Taxpayer ID: 05-0598399
Tax Year: 2013

Account Number 1287-0024

Report Period January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
FFCB 0 6%17 DUE 04/25/17 3133ECMM3	5,000 0000	05/01/13	12/04/13	$4,955 80	$5,002 95	($47 15)
FFCB 0 6%17 DUE 04/25/17 3133ECMM3	1,000 0000	05/09/13	12/04/13	$991 16	$998 05	($6 89)
FFCB 0 6%17 DUE 04/25/17. 3133ECMM3	5,000 0000	05/09/13	12/05/13	$4,951 25	$4,990 25	($39 00)
Security Subtotal				**$10,898.21**	**$10,991.25**	**($93.04)**
FHLMC G1-4724 2 5%28 DUE 04/01/28 3128MDPH0	6,000 0000	05/15/13	08/06/13	$5,801 70	$6,043 18	($241 48)
Security Subtotal				**$5,801.70**	**$6,043.18**	**($241.48)**
FHLMC J2-2836 2 5%28 DUE 03/01/28 31307BEH9	5,000 0000	05/15/13	07/29/13	$4,869 76	$5,070.54	($200 78)
Security Subtotal				**$4,869.76**	**$5,070.54**	**($200.78)**
FNMA 2%42REMIC DUE 04/25/42FNMA2-32B 3136AAPG4	11,000 0000	11/28/12	10/23/13	$9,513 23	$10,161 89	($648 66)
Security Subtotal				**$9,513.23**	**$10,161.89**	**($648.66)**

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
FORD CR AUTO OWN 0 38%15REMIC DUE 11/15/15 - SER 13A - 34530BAB9	5,000 0000	02/20/13	11/06/13	$3,651 19	$3,185 93	$465 26
Security Subtotal				**$3,651.19**	**$3,185.93**	**$465.26**
GENERAL MILLS FLT 2014NOTES DUE 05/16/14 370334BK9	5,000 0000	08/09/12	02/15/13	$5,015 66	$5,016 90	($1 24)
Security Subtotal				**$5,015.66**	**$5,016.90**	**($1.24)**
NEWMONT MINING CO 3 5%22NOTES DUE 03/15/22 651639AN6	2,000 0000	08/14/12	06/26/13	$1,708 50	$2,014 44	($305 94)
NEWMONT MINING CO 3 5%22NOTES DUE 03/15/22 651639AN6	2,000 0000	08/14/12	06/27/13	$1,721 28	$2,014 44	($293 16)
Security Subtotal				**$3,429.78**	**$4,028.88**	**($599.10)**
RIO TINTO FIN 1 625%17FNOTES DUE 08/21/17 76720AAE6	3,000 0000	08/16/12	02/28/13	$3,024 51	$2,983 56	$40 95
RIO TINTO FIN 1 625%17FNOTES DUE 08/21/17 76720AAE6	3,000 0000	08/20/12	03/01/13	$3,021 93	$2,996 55	$25 38
Security Subtotal				**$6,046.44**	**$5,980.11**	**$66.33**
SEMPRA ENERGY 2 875%22NOTES DUE 10/01/22 816851AT6	2,000 0000	10/22/12	07/09/13	$1,862 80	$2,040 80	($178 00)

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Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
SEMPRA ENERGY 2 875%22NOTES DUE 10/01/22 816851AT6	2,000 0000	10/22/12	07/15/13	$1,869 40	$2,040 80	($171 40)
Security Subtotal				**$3,732.20**	**$4,081.60**	**($349.40)**
SHELL INTL FIN 2 375%22FBONDS DUE 08/21/22 822582AS1	3,000 0000	08/14/12	02/19/13	$2,932 86	$2,987 01	($54 15)
SHELL INTL FIN 2 375%22FBONDS DUE 08/21/22 822582AS1	3,000 0000	08/14/12	05/13/13	$2,956 38	$2,987 01	($30 63)
Security Subtotal				**$5,889.24**	**$5,974.02**	**($84.78)**
TORONTO DOM BK 2 5%16FNOTES DUE 07/14/16 89114QAB4	2,000 0000	06/22/12	01/28/13	$2,093 28	$2,075 52	$17 76
Security Subtotal				**$2,093.28**	**$2,075.52**	**$17.76**
TOTAL CAP CDA FLT 2014FNOTES DUE 01/17/14 89153UAA9	2,000 0000	08/09/12	04/30/13	$2,005 88	$2,011 90	($6 02)
Security Subtotal				**$2,005.88**	**$2,011.90**	**($6.02)**
US BANCORP 2 95%22MED TRM NT DUE 07/15/22 91159JAA4	2,000 0000	10/05/12	05/30/13	$1,951 26	$2,039 06	($87 80)
US BANCORP 2 95%22MED TRM NT DUE 07/15/22 91159JAA4	1,000 0000	10/05/12	06/13/13	$958 82	$1,019 53	($60 71)

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
US BANCORP 2 95%22MED TRM NT DUE 07/15/22 91159JAA4	2,000 0000	12/14/12	06/13/13	$1,917 64	$2,028 52	($110 88)
Security Subtotal				**$4,827.72**	**$5,087.11**	**($259.39)**
US TREAS NOT 1 625%11/22UST NOTE DUE 11/15/22 912828TY6	2,000 0000	12/07/12	05/15/13	$1,958 28	$2,001 88	($43 60)
US TREAS NOT 1 625%11/22UST NOTE DUE 11/15/22 912828TY6	2,000 0000	12/07/12	06/10/13	$1,909 69	$2,001 87	($92 18)
US TREAS NOT 1 625%11/22UST NOTE DUE 11/15/22 912828TY6	1,000 0000	04/23/13	06/10/13	$954 84	$996 56	($41 72)
US TREAS NOT 1 625%11/22UST NOTE DUE 11/15/22 912828TY6	2,000 0000	04/23/13	06/19/13	$1,890 78	$1,993 13	($102 35)
US TREAS NOT 1 625%11/22UST NOTE DUE 11/15/22 912828TY6	2,000 0000	04/26/13	06/19/13	$1,890 78	$1,999 38	($108 60)
US TREAS NOT 1 625%11/22UST NOTE DUE 11/15/22 912828TY6	2,000 0000	04/26/13	08/14/13	$1,835 00	$1,999 37	($164 37)
US TREAS NOT 1 625%11/22UST NOTE DUE 11/15/22 912828TY6	2,000 0000	05/29/13	08/14/13	$1,835 00	$1,923 51	($88 51)
US TREAS NOT 1 625%11/22UST NOTE DUE 11/15/22 912828TY6	2,000 0000	05/30/13	08/20/13	$1,813 91	$1,925 55	($111 64)

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
US TREAS NOT 1 625%11/22UST NOTE DUE 11/15/22 912828TY6	3,000 0000	06/05/13	08/23/13	$2,720 27	$2,893 83	($173 56)
Security Subtotal				**$16,808.55**	**$17,735.08**	**($926.53)**
US TREAS NT 1%09/16UST NOTE DUE 09/30/16 912828RJ1	4,000 0000	07/16/13	10/10/13	$4,035 32	$4,032 97	$2 35
Security Subtotal				**$4,035.32**	**$4,032.97**	**$2.35**
US TREAS NT 0 875%01/18UST NOTE DUE 01/31/18 912828UJ7	2,000 0000	02/04/13	05/28/13	$1,991 80	$2,003 83	($12 03)
US TREAS NT 0 875%01/18UST NOTE DUE 01/31/18 912828UJ7	1,000 0000	02/04/13	10/02/13	$987 38	$1,001 91	($14 53)
US TREAS NT 0 875%01/18UST NOTE DUE 01/31/18 912828UJ7	3,000 0000	03/01/13	10/02/13	$2,962 15	$3,022 03	($59 88)
Security Subtotal				**$5,941.33**	**$6,027.77**	**($86.44)**
US TREASU NT 0 875%01/18UST NOTE DUE 01/31/18 912828UJ7	1,000 0000	03/01/13	11/08/13	$989 18	$1,007 34	($18 16)
US TREASU NT 0 875%01/18UST NOTE DUE 01/31/18 912828UJ7	2,000 0000	03/15/13	11/08/13	$1,978 36	$2,004 77	($26 41)
Security Subtotal				**$2,967.54**	**$3,012.11**	**($44.57)**

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2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
US TREASU NT 1 375%07/18UST NOTE DUE 07/31/18 912828VQ0	5,000 0000	08/27/13	12/06/13	$4,996 68	$4,957 42	$39 26
US TREASU NT 1 375%07/18UST NOTE DUE 07/31/18 912828VQ0	5,000 0000	08/27/13	12/23/13	$4,966 21	$4,957 42	$8 79
Security Subtotal				**$9,962.89**	**$9,914.84**	**$48.05**
US TREASURY NT 1% 09/16UST NOTE DUE 09/30/16 912828RJ1	1,000 0000	07/16/13	10/16/13	$1,009 57	$1,008 24	$1 33
US TREASURY NT 1% 09/16UST NOTE DUE 09/30/16 912828RJ1	4,000 0000	07/16/13	10/16/13	$4,038 29	$4,034 38	$3 91
Security Subtotal				**$5,047.86**	**$5,042.62**	**$5.24**
WORLD OMNI ART 1 0 93%15REMIC DUE 11/16/15 - SER 12A - 98158VAC9	6,000 0000	02/15/13	11/15/13	$6,018 75	$6,038 20	($19 45)
Security Subtotal				**$6,018.75**	**$6,038.20**	**($19.45)**
Total Short-Term				**$192,543.18**	**$196,257.16**	**($3,713.98)**

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2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ANHEUSER BUSCH FLT 2014NOTES DUE 01/27/14 03523TBC1	2,000 0000	01/26/11	05/09/13	$2,007 90	$2,003 00	$4 90
ANHEUSER BUSCH FLT 2014NOTES DUE 01/27/14 03523TBC1	2,000 0000	02/15/11	05/09/13	$2,007 90	$2,008 28	($0 38)
Security Subtotal				**$4,015.80**	**$4,011.28**	**$4.52**
BP CAP MKTS FLT 2014FNOTES DUE 03/11/14 05565QBS6	2,000 0000	12/14/11	08/28/13	$2,005 78	$1,997 84	$7 94
BP CAP MKTS FLT 2014FNOTES DUE 03/11/14 05565QBS6	2,000 0000	12/14/11	09/19/13	$2,005 56	$1,997 83	$7 73
BP CAP MKTS FLT 2014FNOTES DUE 03/11/14 05565QBS6	3,000 0000	12/15/11	09/19/13	$3,008 34	$2,996 76	$11 58
Security Subtotal				**$7,019.68**	**$6,992.43**	**$27.25**
CAROLINA PWR & LT 3%21NOTES DUE 09/15/21 144141DA3	3,000 0000	04/19/12	04/23/13	$3,196 32	$3,086 49	$109 83
CAROLINA PWR & LT 3%21NOTES DUE 09/15/21 144141DA3	2,000 0000	04/19/12	04/26/13	$2,136 40	$2,057 66	$78 74
Security Subtotal				**$5,332.72**	**$5,144.15**	**$188.57**

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Attachment
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2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
COMCAST CORP 3 125%22BONDS DUE 07/15/22 20030NBD2	1,000 0000	06/28/12	07/16/13	$985 18	$1,010 49	($25 31)
Security Subtotal				**$985.18**	**$1,010.49**	**($25.31)**
DISCOVERY COMM 3 3%22NOTES DUE 05/15/22 25470DAF6	2,000 0000	05/10/12	05/28/13	$2,007 70	$1,983 34	$24 36
DISCOVERY COMM 3 3%22NOTES DUE 05/15/22 25470DAF6	1,000 0000	05/16/12	05/28/13	$1,003 85	$991 68	$12 17
DISCOVERY COMM 3 3%22NOTES DUE 05/15/22 25470DAF6	3,000 0000	05/16/12	06/14/13	$2,976 81	$2,975 04	$1 77
Security Subtotal				**$5,988.36**	**$5,950.06**	**$38.30**
FED NATL MTG 2%42REMIC DUE 06/25/42FN-1293L-TY 3136A8LL2	9,000 0000	09/12/12	10/04/13	$7,481 81	$8,078 22	($596 41)
Security Subtotal				**$7,481.81**	**$8,078.22**	**($596.41)**
FHLMC 2% 42REMIC DUE 04/15/42 3137AT7C0	5,000 0000	08/20/12	10/22/13	$4,202 89	$4,537 04	($334 15)
Security Subtotal				**$4,202.89**	**$4,537.04**	**($334.15)**
GEORGIA PWR CO FLT 2XXX**MATURED** DUE 03/15/13 373334JQ5	3,000 0000	02/10/11	03/15/13	$3,000 00	$3,004 02	($4 02)

Attachment
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2013 Year-End Schwab Gain/Loss Report

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Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
GEORGIA PWR CO FLT 2XXX**MATURED** DUE 03/15/13 373334JQ5	2,000 0000	01/04/12	03/15/13	$2,000 00	$2,004 38	($4 38)
Security Subtotal				**$5,000.00**	**$5,008.40**	**($8.40)**
GOOGLE INC 3 625%21NOTES DUE 05/19/21 38259PAB8	1,000 0000	05/17/11	06/24/13	$1,035 64	$992 37	$43 27
GOOGLE INC 3 625%21NOTES DUE 05/19/21 38259PAB8	2,000 0000	08/12/11	06/24/13	$2,071 28	$2,141 50	($70 22)
Security Subtotal				**$3,106.92**	**$3,133.87**	**($26.95)**
INTL BK RECON & 2 125%16 DUE 03/15/16 459058BB8	2,000 0000	01/19/11	11/04/13	$2,077 28	$1,992 10	$85 18
INTL BK RECON & 2 125%16 DUE 03/15/16 459058BB8	2,000 0000	07/27/12	11/04/13	$2,077 28	$2,121 44	($44 16)
Security Subtotal				**$4,154.56**	**$4,113.54**	**$41.02**
JOHN DEERE CAP FLT 2013NOTES DUE 10/04/13 24422ERJ0	3,000 0000	10/05/11	02/13/13	$3,008 80	$3,000 00	$8 80
Security Subtotal				**$3,008.80**	**$3,000.00**	**$8.80**
ONTARIO PROV OF 2 7%15FNOTES DUE 06/16/15 6832348Y7	5,000 0000	02/10/11	09/24/13	$5,188 10	$5,053 70	$134 40

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Attachment
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Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ONTARIO PROV OF 2 7%15FNOTES DUE 06/16/15 6832348Y7	2,000 0000	10/25/11	09/24/13	$2,075 24	$2,095 48	($20 24)
Security Subtotal				**$7,263.34**	**$7,149.18**	**$114.16**
PNC FDG CORP 4 375%20NOTES DUE 08/11/20 693476BL6	2,000 0000	01/11/11	07/16/13	$2,142 86	$1,980 36	$162 50
PNC FDG CORP 4 375%20NOTES DUE 08/11/20 693476BL6	2,000 0000	11/15/11	07/16/13	$2,142 86	$2,137 26	$5 60
Security Subtotal				**$4,285.72**	**$4,117.62**	**$168.10**
PRIVATE EXPORT 3 05%14NOTES DUE 10/15/14 742651DH2	3,000 0000	03/25/11	01/15/13	$3,142 17	$3,133 05	$9 12
PRIVATE EXPORT 3 05%14NOTES DUE 10/15/14 742651DH2	2,000 0000	05/25/11	01/15/13	$2,094 78	$2,114 28	($19 50)
PRIVATE EXPORT 3 05%14NOTES DUE 10/15/14 742651DH2	2,000 0000	08/02/11	01/15/13	$2,094 78	$2,135 62	($40 84)
Security Subtotal				**$7,331.73**	**$7,382.95**	**($51.22)**
PRIVATE EXPORT F 3 05%14 DUE 10/15/14 742651DH2	3,000 0000	10/21/11	10/24/13	$3,080 85	$3,199 47	($118 62)
Security Subtotal				**$3,080.85**	**$3,199.47**	**($118.62)**

charles SCHWAB

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Realized Gain or (Loss) (continued)

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Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
TORONTO DOM BK 2 5%16FNOTES DUE 07/14/16 89114QAB4	2,000 0000	08/02/11	01/25/13	$2,094 88	$2,039 06	$55 82
TORONTO DOM BK 2 5%16FNOTES DUE 07/14/16 89114QAB4	1,000 0000	08/02/11	01/28/13	$1,046 64	$1,019 53	$27 11
Security Subtotal				**$3,141.52**	**$3,058.59**	**$82.93**
TOTAL CAP CDA FLT 2014FNOTES DUE 01/17/14 89153UAA9	4,000 0000	09/27/11	04/30/13	$4,011 76	$4,012 08	($0 32)
Security Subtotal				**$4,011.76**	**$4,012.08**	**($0.32)**
US TREAS NT 1%09/16UST NOTE DUE 09/30/16 912828RJ1	2,000 0000	10/11/11	06/03/13	$2,026 72	$1,986 26	$40 46
US TREAS NT 1%09/16UST NOTE DUE 09/30/16 912828RJ1	1,000 0000	11/01/11	06/03/13	$1,013 36	$1,005 00	$8 36
US TREAS NT 1%09/16UST NOTE DUE 09/30/16 912828RJ1	6,000 0000	11/01/11	08/27/13	$6,031 87	$6,030 00	$1 87
US TREAS NT 1%09/16UST NOTE DUE 09/30/16 912828RJ1	4,000 0000	11/10/11	08/27/13	$4,021 26	$4,026 72	($5 46)

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Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
US TREAS NT 1%09/16UST NOTE DUE 09/30/16 912828RJ1	2,000 0000	11/10/11	09/17/13	$2,010 47	$2,013 36	($2 89)
Security Subtotal				**$15,103.68**	**$15,061.34**	**$42.34**
US TREAS NT 2%04/16UST NOTES DUE 04/30/16 912828QF0	2,000 0000	08/09/11	04/04/13	$2,100 31	$2,086 33	$13 98
US TREAS NT 2%04/16UST NOTES DUE 04/30/16 912828QF0	2,000 0000	08/17/11	04/22/13	$2,099 06	$2,105 55	($6 49)
US TREAS NT 2%04/16UST NOTES DUE 04/30/16 912828QF0	1,000 0000	08/17/11	04/25/13	$1,049 22	$1,052 78	($3 56)
US TREAS NT 2%04/16UST NOTES DUE 04/30/16 912828QF0	1,000 0000	08/23/11	04/25/13	$1,049 22	$1,053 25	($4 03)
US TREAS NT 2%04/16UST NOTES DUE 04/30/16 912828QF0	1,000 0000	08/23/11	05/07/13	$1,048 64	$1,053 24	($4 60)
US TREAS NT 2%04/16UST NOTES DUE 04/30/16 912828QF0	3,000 0000	10/11/11	05/07/13	$3,145 89	$3,130 79	$15 10
US TREAS NT 2%04/16UST NOTES DUE 04/30/16 912828QF0	3,000 0000	12/19/11	05/13/13	$3,141 45	$3,172 97	($31 52)
Security Subtotal				**$13,633.79**	**$13,654.91**	**($21.12)**

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Attachment
Taxpayer Gene Chiu Foundation
Taxpayer ID 05-0598399
Tax Year 2013

Account Number
1287-0024

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
US TREASU NT 2 375%07/17UST NOTE DUE 07/31/17 912828NR7	3,000 0000	10/26/11	10/29/13	$3,163 13	$3,180 00	($16 87)
US TREASU NT 2 375%07/17UST NOTE DUE 07/31/17 912828NR7	4,000 0000	11/22/11	10/29/13	$4,217 50	$4,274 37	($56 87)
US TREASU NT 2 375%07/17UST NOTE DUE 07/31/17 912828NR7	2,000 0000	07/16/12	11/18/13	$2,110 70	$2,172 73	($62 03)
US TREASU NT 2 375%07/17UST NOTE DUE 07/31/17 912828NR7	1,000 0000	09/05/12	11/18/13	$1,055 35	$1,085 31	($29 96)
US TREASU NT 2 375%07/17UST NOTE DUE 07/31/17 912828NR7	1,000 0000	09/05/12	12/09/13	$1,051 41	$1,085 31	($33 90)
Security Subtotal				**$11,598.09**	**$11,797.72**	**($199.63)**

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Attachment
Taxpayer Gene Chiu Foundation
Taxpayer ID 05-0598399
Tax Year 2013

Account Number
1287-0024

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
WAL-MART STORES 3 625%20NOTES DUE 07/08/20 931142CU5	3,000 0000	01/05/11	05/29/13	$3,253 95	$2,905 86	$348 09
Security Subtotal				**$3,253.95**	**$2,905.86**	**$348.09**
Total Long-Term				**$123,001.15**	~~**$123,319.20**~~ +1,018.75 124,337.95	~~**($318.05)**~~ (1,336.80)
Total Realized Gain or (Loss)				**$315,544.33**	~~**$319,576.36**~~ 320,595.11	~~**($4,032.03)**~~ (5,050.78)

Schwab has provided realized gain/loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings See Terms and Conditions
Option Customers Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options

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Attachment
Taxpayer Gene Chiu Foundation
Taxpayer ID 05-0598399
Tax Year 2013

Account Number
9077-1218

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
JANUS RESEARCH FD CL T JAMRX	21 9940	12/18/12	03/07/13	$769 36	$720 52	$48 84
Security Subtotal				**$769.36**	**$720.52**	**$48.84**
VANGUARD GNMA FUND INVESTOR SHARE VFIIX	1,427 9460	multiple	12/12/13	$14,922 02	$15,582 58	($660 56)
Security Subtotal				**$14,922.02**	**$15,582.58**	**($660.56)**
Total Short-Term				**$15,691.38**	**$16,303.10**	**($611.72)**

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ISHARES U S PFD ETF U S PFD STK PFF	10 0000	05/03/12	12/12/13	$372 69	$387 90	($15 21)
ISHARES U S PFD ETF U S PFD STK PFF	100 0000	05/03/12	12/12/13	$3,726 94	$3,879 00	($152 06)
ISHARES U S PFD ETF U S PFD STK PFF	172 0000	05/03/12	12/12/13	$6,410 33	$6,671 88	($261 55)
ISHARES U S PFD ETF U S PFD STK PFF	200 0000	05/03/12	12/12/13	$7,453 87	$7,758 00	($304 13)
ISHARES U S PFD ETF U S PFD STK PFF	249 0000	05/03/12	12/12/13	$9,280 07	$9,658 71	($378 64)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

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Attachment
Taxpayer Gene Chiu Foundation
Taxpayer ID 05-0598399
Tax Year 2013

Account Number
9077-1218

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ISHARES U S PFD ETF U S PFD STK PFF	599 0000	05/03/12	12/12/13	$22,324 34	$23,235 21	($910 87)
Security Subtotal				**$49,568.24**	**$51,590.70**	**($2,022.46)**
JANUS RESEARCH FD CL T JAMRX	3,345 9520	multiple	03/07/13	$117,041 39	$101,687 74	$15,353 65
Security Subtotal				**$117,041.39**	**$101,687.74**	**$15,353.65**
LILLY ELI & COMPANY LLY	200 0000	03/01/06	07/18/13	$10,072 02	N/A	N/A
LILLY ELI & COMPANY LLY	500 0000	03/01/06	07/18/13	$25,180 56	N/A	N/A
LILLY ELI & COMPANY LLY	200 0000	03/01/06	07/18/13	$10,072 02	N/A	N/A
LILLY ELI & COMPANY LLY	300 0000	03/01/06	07/18/13	$15,108 04	N/A	N/A
LILLY ELI & COMPANY LLY	100 0000	03/01/06	07/18/13	$5,036 11	N/A	N/A
LILLY ELI & COMPANY LLY	200 0000	03/01/06	07/18/13	$10,072 02	N/A	N/A
LILLY ELI & COMPANY LLY	1,373 0000	03/01/06	12/12/13	$68,374 21	N/A	N/A
LILLY ELI & COMPANY LLY	34 0000	03/01/06	12/12/13	$1,693 17	N/A	N/A
LILLY ELI & COMPANY LLY	1,243 0000	03/01/06	12/12/13	$61,900 32	N/A	N/A

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Attachment
Taxpayer Gene Chiu Foundation
Taxpayer ID 05-0598399
Tax Year 2013

Account Number
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Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
LILLY ELI & COMPANY LLY	100 0000	03/01/06	12/12/13	$4,979 91	N/A	N/A
Security Subtotal				**$212,488.38**	279,310	(66,821 62)
MATTHEWS PACIFIC TIGER FUND MAPTX	1,980 1980	multiple	03/07/13	$50,000 00	$37,286 44	$12,713 56
Security Subtotal				**$50,000.00**	**$37,286.44**	**$12,713.56**
POWERSHARES S&P ETF 500 LOW VOLATILITY PORTFOLIO SPLV	15 0000	09/19/12	12/12/13	$484 79	$420 90	$63 89
POWERSHARES S&P ETF 500 LOW VOLATILITY PORTFOLIO SPLV	65 0000	09/19/12	12/12/13	$2,100 76	$1,823 90	$276 86
POWERSHARES S&P ETF 500 LOW VOLATILITY PORTFOLIO SPLV	100 0000	09/19/12	12/12/13	$3,231 94	$2,806 00	$425 94
POWERSHARES S&P ETF 500 LOW VOLATILITY PORTFOLIO SPLV	159 0000	09/19/12	12/12/13	$5,138 79	$4,461 54	$677 25
POWERSHARES S&P ETF 500 LOW VOLATILITY PORTFOLIO SPLV	235 0000	09/19/12	12/12/13	$7,595 07	$6,594 10	$1,000 97
POWERSHARES S&P ETF 500 LOW VOLATILITY PORTFOLIO SPLV	500 0000	09/19/12	12/12/13	$16,159 72	$14,030 00	$2,129 72

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

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Attachment
Taxpayer Gene Chiu Foundation
Taxpayer ID 05-0598399
Tax Year 2013

Account Number
9077-1218

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
POWERSHARES S&P ETF 500 LOW VOLATILITY PORTFOLIO SPLV	712 0000	09/19/12	12/12/13	$23,011 44	$19,978 72	$3,032 72
Security Subtotal				**$57,722.51**	**$50,115.16**	**$7,607.35**
SCOUT INTL FD UMBWX	1,454 7570	multiple	03/07/13	$50,000 00	$43,036 27	$6,963 73
Security Subtotal				**$50,000.00**	**$43,036.27**	**$6,963.73**
SECTOR SPDR TR CON STPLSSHARES OF BENEFICIAL INT XLP	883 0000	01/03/08	07/18/13	$36,716 27	$25,015 39	$11,700 88
SECTOR SPDR TR CON STPLSSHARES OF BENEFICIAL INT XLP	324 0000	01/27/10	07/18/13	$13,472 33	$8,514 72	$4,957 61
Security Subtotal				**$50,188.60**	**$33,530.11**	**$16,658.49**
VANGUARD GNMA FUND INVESTOR SHARE VFIIX	23,923 4450	multiple	07/18/13	$250,000 00	$249,134 60	$865 40
VANGUARD GNMA FUND INVESTOR SHARE VFIIX	27,025 4990	multiple	12/12/13	$282,416 48	$282,176 97	$239 51
Security Subtotal				**$532,416.48**	**$531,311.57**	**$1,104.91**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

Attachment
Taxpayer Gene Chiu Foundation
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Tax Year 2013

Account Number
9077-1218

Report Period
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2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
WILLIAM BLAIR GROWTH FD CLASS N WBGSX	7,651 1090	08/21/09	03/07/13	$100,000 00	$69,464 91	$30,535 09
Security Subtotal				**$100,000.00**	**$69,464.91**	**$30,535.09**
Total Long-Term				**$1,219,425.60**	1,197,332 90	22,092 70
Total Realized Gain or (Loss)				**$1,235,116.98**	1,213,636 00	21,480 98

Schwab has provided realized gain/loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings See Terms and Conditions
Option Customers Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options

Endnotes For Your Account

Symbol	Endnote Legend
h	Value includes incomplete cost basis

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

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Attachment
Taxpayer Gene Chiu Foundation
Taxpayer ID 05-0598399
Tax Year 2013

Account Number
3133-8475

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Short-Term			Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
FHLMC Q17639 3132J84Z0	3%43	DUE 04/01/43	1,000 0000	09/16/13	12/12/13	$916 63	$912 19	$4 44
Security Subtotal						**$916.63**	**$912.19**	**$4.44**
FNMA PL AB4297 01/01/42 31417AX38	3 5%42	DUE	3,000 0000	01/10/12	01/09/13	$2,580 85	$2,505 30	$75 55
Security Subtotal						**$2,580.85**	**$2,505.30**	**$75.55**
FNMA PL AE0949 31419BBT1	4%41	DUE 02/01/41	1,000 0000	02/13/13	12/12/13	$556 42	$572 39	($15 97)
Security Subtotal						**$556.42**	**$572.39**	**($15.97)**
FNMA PL AJ4050 3138AVQC2	4%41	DUE 10/01/41	1,000 0000	04/18/13	12/12/13	$593 10	$613 66	($20 56)
Security Subtotal						**$593.10**	**$613.66**	**($20.56)**
FNMA PL AL0069 3138EGCF9	5%40	DUE 11/01/40	8,000 0000	04/12/13	08/23/13	$2,813 68	$2,844 83	($31 15)
Security Subtotal						**$2,813.68**	**$2,844.83**	**($31.15)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

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Attachment
Taxpayer Gene Chiu Foundation
Taxpayer ID 05-0598399
Tax Year 2013

Account Number
3133-8475

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Short-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
FNMA PL AL0527 5%38 3138EGSR6	DUE 02/01/38	4,000 0000	03/18/13	08/20/13	$1,562 08	$1,578 82	($16 74)
Security Subtotal					**$1,562.08**	**$1,578.82**	**($16.74)**
FNMA PL AL3423 5%40 3138EKYV1	DUE 05/01/40	2,000 0000	06/14/13	08/20/13	$1,692 88	$1,706 21	($13 33)
Security Subtotal					**$1,692.88**	**$1,706.21**	**($13.33)**
FNMA PL AL3424 4%43 3138EKYW9	DUE 01/01/43	2,000 0000	07/10/13	12/12/13	$1,830 32	$1,827 56	$2 76
Security Subtotal					**$1,830.32**	**$1,827.56**	**$2.76**
FNMA PL AO2961 4%42 3138LTJF6	DUE 05/01/42	1,000 0000	06/14/13	12/12/13	$741 17	$762 39	($21 22)
Security Subtotal					**$741.17**	**$762.39**	**($21.22)**
FNMA PL AP2077 3 5%42 08/01/42 3138M5JX8	DUE	9,000 0000	10/05/12	01/09/13	$9,248 55	$9,336 99	($88 44)
Security Subtotal					**$9,248.55**	**$9,336.99**	**($88.44)**
FNMA PL AP3667 3 5%42 10/01/42 3138M7CD5	DUE	2,000 0000	10/05/12	01/09/13	$2,081 55	$2,091 29	($9 74)
Security Subtotal					**$2,081.55**	**$2,091.29**	**($9.74)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

Attachment
Taxpayer Gene Chiu Foundation
Taxpayer ID 05-0598399
Tax Year 2013

Account Number
3133-8475

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Short-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
FNMA PL AP3839 3 5%42 09/01/42 3138M7HR9	DUE	1,000 0000	07/10/13	12/12/13	$935 51	$936 83	($1 32)
Security Subtotal					**$935.51**	**$936.83**	**($1.32)**
FNMA PL AP4795 3 5%42 09/01/42 3138M8KH5	DUE	12,000 0000	10/05/12	01/09/13	$12,599 50	$12,665 87	($66 37)
Security Subtotal					**$12,599.50**	**$12,665.87**	**($66.37)**
FNMA PL AP7488 3 5%42 09/01/42 3138MBKA3	DUE	9,000 0000	10/05/12	01/09/13	$9,252 80	$9,328 93	($76 13)
Security Subtotal					**$9,252.80**	**$9,328.93**	**($76.13)**
FNMA PL AR2583 3 5%43 02/01/43 3138NY2R5	DUE	1,000 0000	02/13/13	12/12/13	$943 36	$995 75	($52 39)
Security Subtotal					**$943.36**	**$995.75**	**($52.39)**
FNMA PL AR3315 3 5%43 02/01/43 3138W0VH9	DUE	1,000 0000	11/08/13	12/12/13	$952 99	$965 37	($12 38)
Security Subtotal					**$952.99**	**$965.37**	**($12.38)**
FNMA PL AR5265 3%43 3138W2Z73	DUE 02/01/43	1,000 0000	02/15/13	12/12/13	$925 76	$1,000 02	($74 26)
Security Subtotal					**$925.76**	**$1,000.02**	**($74.26)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

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Attachment
Taxpayer Gene Chiu Foundation
Taxpayer ID 05-0598399
Tax Year 2013

Account Number
3133-8475

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Short-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
FNMA PL AR9902 3 5%43 04/01/43 3138W8AC6	DUE	1,000 0000	08/12/13	12/12/13	$975 22	$988 49	($13 27)
Security Subtotal					**$975.22**	**$988.49**	**($13.27)**
FNMA PL AS0415 4%43 3138W9N97	DUE 09/01/43	2,000 0000	09/18/13	12/12/13	$2,027 47	$2,035 88	($8 41)
Security Subtotal					**$2,027.47**	**$2,035.88**	**($8.41)**
FNMA PL AU2933 3 5%43 09/01/43 3138X2HK3	DUE	2,000 0000	09/16/13	12/12/13	$1,978 87	$1,971 36	$7 51
Security Subtotal					**$1,978.87**	**$1,971.36**	**$7.51**
FNMA PL AU3751 4%43 3138X3EZ1	DUE 08/01/43	3,000 0000	10/11/13	12/12/13	$3,033 63	$3,073 69	($40 06)
Security Subtotal					**$3,033.63**	**$3,073.69**	**($40.06)**
FNMA PL 735402 5%35 31402RAB5	DUE 04/01/35	2,000 0000	07/17/13	08/20/13	$322 53	$324 16	($1 63)
FNMA PL 735402 5%35 31402RAB5	DUE 04/01/35	12,000 0000	07/17/13	08/20/13	$1,935 21	$1,944 94	($9 73)
Security Subtotal					**$2,257.74**	**$2,269.10**	**($11.36)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Short-Term (continued)			Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
FNMA PL 735484 31402RCV9	5%35	DUE 05/01/35	3,300 0000	03/18/13	08/23/13	$521 52	$526 88	($5 36)
FNMA PL 735484 31402RCV9	5%35	DUE 05/01/35	4,900 0000	03/18/13	08/23/13	$774 40	$782 35	($7 95)
FNMA PL 735484 31402RCV9	5%35	DUE 05/01/35	8,200 0000	03/18/13	08/23/13	$1,295 92	$1,309 22	($13 30)
FNMA PL 735484 31402RCV9	5%35	DUE 05/01/35	9,700 0000	03/18/13	08/23/13	$1,532 98	$1,548 72	($15 74)
FNMA PL 735484 31402RCV9	5%35	DUE 05/01/35	9,800 0000	03/18/13	08/23/13	$1,548 78	$1,564 69	($15 91)
FNMA PL 735484 31402RCV9	5%35	DUE 05/01/35	13,100 0000	03/18/13	08/23/13	$2,070 30	$2,091 56	($21 26)
Security Subtotal						**$7,743.90**	**$7,823.42**	**($79.52)**
FNMA PL 745275 31403C6L0	5%36	DUE 02/01/36	7,000 0000	02/20/13	08/20/13	$1,145 16	$1,154 69	($9 53)
Security Subtotal						**$1,145.16**	**$1,154.69**	**($9.53)**

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Attachment
Taxpayer Gene Chiu Foundation
Taxpayer ID 05-0598399
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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
US TREAS NOT 0 75%10/17UST NOTE DUE 10/31/17 912828TW0	7,000 0000	11/21/12	03/01/13	$7,021 88	$7,023 24	($1 36)
					$7,021 95	*($0 07)* b
US TREAS NOT 0 75%10/17UST NOTE DUE 10/31/17 912828TW0	12,000 0000	11/21/12	03/01/13	$12,037 50	$12,039 84	($2 34)
					$12,037 63	*($0 13)* b
US TREAS NOT 0 75%10/17UST NOTE DUE 10/31/17 912828TW0	4,000 0000	11/21/12	03/05/13	$4,007 66	$4,013 28	($5 62)
					$4,012 53	*($4 87)* b
US TREAS NOT 0 75%10/17UST NOTE DUE 10/31/17 912828TW0	5,000 0000	11/21/12	03/05/13	$5,009 58	$5,016 60	($7 02)
					$5,015 66	*($6 08)* b
US TREAS NOT 0 75%10/17UST NOTE DUE 10/31/17 912828TW0	7,000 0000	11/21/12	03/05/13	$7,013 39	$7,023 24	($9 85)
					$7,021 93	*($8 54)* b
US TREAS NOT 0 75%10/17UST NOTE DUE 10/31/17 912828TW0	4,000 0000	11/28/12	03/05/13	$4,007 65	$4,022 97	($15 32)
					$4,021 74	*($14 09)* b
Security Subtotal				**$39,097.66**	**$39,139.17**	**($41.51)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

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Attachment
Taxpayer Gene Chiu Foundation
Taxpayer ID 05-0598399
Tax Year 2013

Account Number
3133-8475

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
US TREAS NT 2%02/23UST NOTE DUE 02/15/23 912828UN8	10,000 0000	03/01/13	08/29/13	$9,382 03	$10,129 69	($747 66)
					$10,123 84	*($741 81)* [b]
US TREAS NT 2%02/23UST NOTE DUE 02/15/23 912828UN8	6,000 0000	03/05/13	08/29/13	$5,629 22	$6,056 72	($427 50)
					$6,054 21	*($424 99)* [b]
Security Subtotal				**$15,011.25**	**$16,186.41**	**($1,175.16)**
US TREASU NT 1 125%12/19UST NOTE DUE 12/31/19 912828UF5	1,000 0000	01/09/13	12/12/13	$953 68	$991 02	($37 34)
US TREASU NT 1 125%12/19UST NOTE DUE 12/31/19 912828UF5	13,000 0000	01/09/13	12/12/13	$12,397 73	$12,883 20	($485 47)
Security Subtotal				**$13,351.41**	**$13,874.22**	**($522.81)**
US TREASUR NT 0 75%10/17UST NOTE DUE 10/31/17 912828TW0	3,000 0000	12/13/12	12/12/13	$2,960 39	$3,012 19	($51 80)
					$3,009 74	*($49 35)* [b]
US TREASUR NT 0 75%10/17UST NOTE DUE 10/31/17 912828TW0	5,000 0000	12/13/12	12/12/13	$4,933 99	$5,020 31	($86 32)
					$5,016 22	*($82 23)* [b]

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Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
US TREASUR NT 0 75%10/17UST NOTE DUE 10/31/17 912828TW0	12,000 0000	12/13/12	12/12/13	$11,841 56	$12,048 75	($207 19)
					$12,038 92	*($197 36)* b
Security Subtotal				**$19,735.94**	**$20,081.25**	**($345.31)**
US TREASURY 2%02/23UST BOND DUE 02/15/23 912828UN8	5,000 0000	03/05/13	12/12/13	$4,689 06	$5,047 26	($358 20)
					$5,043 91	*($354 85)* b
US TREASURY 2%02/23UST BOND DUE 02/15/23 912828UN8	6,000 0000	04/02/13	12/12/13	$5,626 88	$6,073 13	($446 25)
					$6,068 44	*($441 56)* b
Security Subtotal				**$10,315.94**	**$11,120.39**	**($804.45)**
US TREASURY 2%11/21UST BOND DUE 11/15/21 912828RR3	11,000 0000	05/07/13	12/12/13	$10,613 28	$11,404 77	($791 49)
					$11,378 11	*($764 83)* b
Security Subtotal				**$10,613.28**	**$11,404.77**	**($791.49)**
UST INFL IDX 2 5%01/29INFL INDEX DUE 01/15/29 912810PZ5	3,000 0000	12/26/12	12/12/13	$3,857 34	$4,650 63	($793 29)

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2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
UST INFL IDX 2 5%01/29INFL INDEX DUE 01/15/29 912810PZ5	6,000 0000	01/15/13	12/12/13	$7,714 68	$9,174 28	($1,459 60)
Security Subtotal				**$11,572.02**	**$13,824.91**	**($2,252.89)**
UST INFL IDX 1 75%01/28INFL INDEX DUE 01/15/28 912810PV4	4,000 0000	01/22/13	12/12/13	$4,804 52	$5,686 52	($882 00)
Security Subtotal				**$4,804.52**	**$5,686.52**	**($882.00)**
UST INFL IDX 2 375%01/25INFL INDEX DUE 01/15/25 912810FR4	5,000 0000	10/23/13	12/12/13	$7,179 57	$7,484 80	($305 23)
UST INFL IDX 2 375%01/25INFL INDEX DUE 01/15/25 912810FR4	1,000 0000	11/19/13	12/12/13	$1,435 92	$1,471 71	($35 79)
UST INFL IDX 2 375%01/25INFL INDEX DUE 01/15/25 912810FR4	2,000 0000	12/10/13	12/12/13	$2,871 83	$2,882 59	($10 76)
Security Subtotal				**$11,487.32**	**$11,839.10**	**($351.78)**
Total Short-Term				**$205,378.48**	**$213,117.77**	**($7,739.29)**
					$213,050.61	***($7,672.13)*** [b]

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

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Tax Year 2013

Account Number
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Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Long-Term		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
FHLMC A97047 4 5%41 312945ZL5	DUE 02/01/41	13,000 0000	02/11/11	12/12/13	$6,329 10	$5,997 90	$331 20
Security Subtotal					**$6,329.10**	**$5,997.90**	**$331.20**
FIXED INCOME SHARES	SERIES C FXICX	690 0000	08/31/09	06/13/13	$9,073 50	$8,939 69	$133 81
FIXED INCOME SHARES	SERIES C FXICX	5,250 0000	08/31/09	12/12/13	$68,565 00	$68,042 66	$522 34
Security Subtotal					**$77,638.50**	**$76,982.35**	**$656.15**
FIXED INCOME SHARES	SERIES M FXIMX	1,648 0000	08/31/09	06/13/13	$18,095 04	$16,138 59	$1,956 45
FIXED INCOME SHARES	SERIES M FXIMX	5,793 0000	08/31/09	12/12/13	$62,448 54	$57,366 54	$5,082 00
Security Subtotal					**$80,543.58**	**$73,505.13**	**$7,038.45**
FNMA PL AB1389 4 5%40 08/01/40 31416WRK0	DUE	15,000 0000	02/02/11	12/12/13	$4,811 18	$4,594 00	$217 18
Security Subtotal					**$4,811.18**	**$4,594.00**	**$217.18**
FNMA PL AB4297 3 5%42 01/01/42 31417AX38	DUE	10,000 0000	01/10/12	12/12/13	$6,888 30	$7,111 38	($223 08)
Security Subtotal					**$6,888.30**	**$7,111.38**	**($223.08)**

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
FNMA PL AB6493 3%42 DUE 10/01/42 31417DGB3	4,000 0000	11/07/12	12/12/13	$3,551 14	$3,929 55	($378 41)
Security Subtotal				**$3,551.14**	**$3,929.55**	**($378.41)**
FNMA PL AB7079 3%42 DUE 11/01/42 31417D2M4	2,000 0000	11/07/12	12/12/13	$1,808 96	$2,000 37	($191 41)
Security Subtotal				**$1,808.96**	**$2,000.37**	**($191.41)**
FNMA PL AH3645 4%41 DUE 02/01/41 3138A5BP6	19,000 0000	04/09/12	12/12/13	$9,596 56	$9,802 28	($205 72)
Security Subtotal				**$9,596.56**	**$9,802.28**	**($205.72)**
FNMA PL 735893 5%35 DUE 10/01/35 31402RRN1	23,000 0000	07/10/12	08/20/13	$3,855 91	$3,925 04	($69 13)
FNMA PL 735893 5%35 DUE 10/01/35 31402RRN1	7,000 0000	07/10/12	08/23/13	$1,174 05	$1,194 58	($20 53)
Security Subtotal				**$5,029.96**	**$5,119.62**	**($89.66)**
US TREAS NOT 1 375%02/19UST NOTE DUE 02/28/19 912828SH4	7,000 0000	03/01/12	08/09/13	$6,931 64	$6,967 19	($35 55)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

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2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
US TREAS NOT 1 375%02/19UST NOTE DUE 02/28/19 912828SH4	14,000 0000	03/01/12	08/09/13	$13,863 28	$13,934 37	($71 09)
Security Subtotal				**$20,794.92**	**$20,901.56**	**($106.64)**
US TREASUR NT 0 75%10/17UST NOTE DUE 10/31/17 912828TW0	3,000 0000	11/28/12	12/12/13	$2,960 39	$3,017 23	($56 84)
					$3,013 64	*($53 25)* b
Security Subtotal				**$2,960.39**	**$3,017.23**	**($56.84)**
UST INFL IDX 2 5%01/29INFL INDEX DUE 01/15/29 912810PZ5	4,000 0000	07/13/12	12/12/13	$5,143 12	$6,124 40	($981 28)
Security Subtotal				**$5,143.12**	**$6,124.40**	**($981.28)**

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
UST INFL IDX 0 125%07/22INFL INDEX DUE 07/15/22 912828TE0	4,000 0000	07/24/12	12/12/13	$3,922 86	$4,336 10	($413 24)
Security Subtotal				**$3,922.86**	**$4,336.10**	**($413.24)**
Total Long-Term				**$229,018.57**	**$223,421.87**	**$5,596.70**
					~~**$223,418.28**~~	~~**$5,600.29**~~ b
					+1,762.30	3,837.99
					225,180.58	
Total Realized Gain or (Loss)				**$434,397.05**	**$436,539.64**	**($2,142.59)**
					~~**$436,468.89**~~	~~**($2,071.84)**~~ b
					438,231.19	(3,834.14)

Schwab has provided realized gain/loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings See Terms and Conditions
Option Customers Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options

Endnotes For Your Account

Symbol	Endnote Legend
b	When available, Adjusted Cost Basis values are used in Gain or (Loss) calculations