



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation FEINSTEIN FAMILY FUND		A Employer identification number 05-0474981	
Number and street (or P O box number if mail is not delivered to street address) 41 ALHAMBRA CIRCLE		B Telephone number (see instructions) (401) 467-5155	
City or town, state or province, country, and ZIP or foreign postal code CRANSTON, RI 02905		C If exemption application is pending, check here ▶ ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 2,175,207		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐	
J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	7,667			
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	60,074	60,074	60,074	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a _____				
	7 Capital gain net income (from Part IV , line 2) . . .		269,988		
	8 Net short-term capital gain			269,988	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	67,741	330,062	330,062	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	3,886	3,886	3,886	
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	199	199	199	
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	4,085	4,085	4,085	0
	25 Contributions, gifts, grants paid	103,528			103,528
	26 Total expenses and disbursements. Add lines 24 and 25	107,613	4,085	4,085	103,528
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-39,872			
	b Net investment income (if negative, enter -0-)		325,977		
	c Adjusted net income (if negative, enter -0-)			325,977	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	1,911,728	10,408	10,408
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	2,581	2,131,542	2,164,799
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,914,309	2,141,950	2,175,207	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	1,914,309	2,141,950	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	1,914,309	2,141,950	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,914,309	2,141,950	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,914,309
2	Enter amount from Part I, line 27a	2	-39,872
3	Other increases not included in line 2 (itemize) ▶ _____	3	269,988
4	Add lines 1, 2, and 3	4	2,144,425
5	Decreases not included in line 2 (itemize) ▶ _____	5	2,475
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,141,950

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	269,988
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	269,988

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	562,114	2,170,830	0 258940
2011	561,642	2,470,927	0 227300
2010	556,307	2,470,927	0 225141
2009	594,819	3,161,299	0 188157
2008	567,106	4,787,529	0 118455

2	Total of line 1, column (d).	2	1 017993
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 203599
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,989,596
5	Multiply line 4 by line 3.	5	405,080
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	3,260
7	Add lines 5 and 6.	7	408,340
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	103,528

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	6,520
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2.		3	6,520
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	6,520
6 Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d.		7	
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8	144
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	6,664
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 0 Refunded		11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		No
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) RI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of THE FEINSTEIN FAMILY FUND Telephone no (401) 467-5155 Located at 41 ALHAMBRA CIRCLE CRANSTON RI ZIP+4 02905			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. Yes No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALAN SHAWN FEINSTEIN	PRESIDENT	0	0	0
41 ALHAMBRA CIRCLE CRANSTON,RI 02905	10 00			
ALAN SHAWN FEINSTEIN	DIRECTOR	0	0	0
41 ALHAMBRA CIRCLE CRANSTON,RI 02905	10 00			
ARI FEINSTEIN	VICE PRESIDENT	0	0	0
41 ALHAMBRA CIRCLE CRANSTON,RI 02905	1 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 CHARITABLE ACTIVITES CONSISTED OF DIRECT MONETARYCONTRIBUTIONS TO NUMEROUS EXEMPT PUBLIC INSTITUTIONSAND PRIVATE FOUNDATIONS	103,528
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,982,370
b	Average of monthly cash balances.	1b	37,524
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,019,894
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	2,019,894
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	30,298
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,989,596
6	Minimum investment return. Enter 5% of line 5.	6	99,480

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	99,480
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	6,520
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	6,520
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	92,960
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	92,960
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	92,960

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	103,528
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	103,528
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	103,528
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				92,960
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.	334,194			
b From 2009.	437,736			
c From 2010.	556,634			
d From 2011.	445,914			
e From 2012.	466,360			
f Total of lines 3a through e.	2,240,838			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 103,528				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				92,960
e Remaining amount distributed out of corpus	10,568			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,251,406			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	334,194			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	1,917,212			
10 Analysis of line 9				
a Excess from 2009. . . .	437,736			
b Excess from 2010. . . .	556,634			
c Excess from 2011. . . .	445,914			
d Excess from 2012. . . .	466,360			
e Excess from 2013. . . .	10,568			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon.					
a	"Assets" alternative test—enter					
	(1) Value of all assets.					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization.					
	(4) Gross investment income.					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ALAN SHAWN FEINSTEIN
41 ALHAMBRA CIRCLE
CRANSTON, RI 02905
(401) 467-5155

b

The form in which applications should be submitted and information and materials they should include

ANY FORMAT

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2013)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EGSHARES	P	2013-01-08	2013-04-03
INTERNATIONAL PAPER	P	2012-12-18	2013-01-08
ISHARES ETF	P	2013-01-08	2013-04-03
ISHARES FTSE	P	2013-01-08	2013-04-03
ISHARES GOLD TRUST	P	2013-01-08	2013-04-03
ISHARES MSCI	P	2013-01-08	2013-04-03
ISHARES MSCI HK	P	2013-02-06	2013-04-03
ISHARES TR BARCLAYS	P	2013-01-08	2013-04-03
ISHARES TR RUSSELL	P	2013-01-08	2013-04-03
LILLY ELI & COMPANY	P	2013-04-23	2013-05-22
MARKET VECTORS	P	2013-01-08	2013-02-20
POWERSHARES S & P	P	2013-01-08	2013-04-03
POWERSHS DB	P	2013-01-08	2013-04-03
POWERSHS EXCH TRAD	P	2013-01-08	2013-01-17
POWERSHS QQQ TR	P	2013-01-08	2013-04-03
PROSPECT CAPITAL	P	2013-08-05	2013-12-24
S P D R S&P	P	2013-04-09	2013-04-23
SPDR GOLD TRUST	P	2013-01-08	2013-02-20
VANGUARD DIV APPRCIATION	P	2013-07-05	2013-08-01
VANGUARD FTSE	P	2013-01-08	2013-04-03
VANGUARD MSCI	P	2013-01-08	2013-04-03
VANGUARD REIT	P	2013-01-08	2013-04-03
VANGUARD S&P 500	P	2013-09-30	2013-12-24
VANGUARD TOTAL STOCK MKT	P	2013-01-08	2013-04-03
WISDOMTREE ASIA	P	2013-01-08	2013-04-03
WISDOMTREE JPN	P	2013-02-06	2013-04-03
WISDOMTREE LARGECAP	P	2013-04-23	2013-07-05
CAPITAL GAIN DISTRIBUTIONS	P	2013-01-01	2013-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
37,720	0	38,520	-800
2,729	0	2,581	148
38,224	0	38,486	-262
160,010	0	154,328	5,682
90,293	0	96,349	-6,056
77,534	0	77,018	516
155,427	0	156,059	-632
58,169	0	57,661	508
101,346	0	96,314	5,032
96,308	0	100,066	-3,758
49,351	0	57,792	-8,441
105,656	0	96,093	9,563
74,471	0	77,033	-2,562
76,307	0	77,121	-814
256,474	0	250,784	5,690
486,610	0	468,424	18,186
2,060,801	0	2,044,467	16,334
18,290	0	19,285	-995
1,238,774	0	1,173,416	65,358
54,476	0	57,736	-3,260
79,077	0	77,030	2,047
220,882	0	211,367	9,515
1,131,835	0	1,005,741	126,094
102,506	0	96,338	6,168
57,390	0	57,681	-291
157,332	0	152,332	5,000
1,910,035	0	1,889,397	20,638
1,380	0	0	1,380

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	-800
0	0	0	148
0	0	0	-262
0	0	0	5,682
0	0	0	-6,056
0	0	0	516
0	0	0	-632
0	0	0	508
0	0	0	5,032
0	0	0	-3,758
0	0	0	-8,441
0	0	0	9,563
0	0	0	-2,562
0	0	0	-814
0	0	0	5,690
0	0	0	18,186
0	0	0	16,334
0	0	0	-995
0	0	0	65,358
0	0	0	-3,260
0	0	0	2,047
0	0	0	9,515
0	0	0	126,094
0	0	0	6,168
0	0	0	-291
0	0	0	5,000
0	0	0	20,638
0	0	0	1,380

**TY 2013 Investments Corporate
Stock Schedule****Name:** FEINSTEIN FAMILY FUND**EIN:** 05-0474981

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MAIN STREET CAPITAL CORP	460,345	489,413
ISHARES TR RUSSELL 2000	490,258	491,224
VANGUARD SMALL CAP	1,180,939	1,184,162

TY 2013 Other Decreases Schedule

Name: FEINSTEIN FAMILY FUND

EIN: 05-0474981

Description	Amount
FEDERAL TAXES	2,475

TY 2013 Other Increases Schedule**Name:** FEINSTEIN FAMILY FUND**EIN:** 05-0474981

Description	Amount
REALIZED GAINS ON SALE OF SECURITIES	269,988

TY 2013 Other Professional Fees Schedule

Name: FEINSTEIN FAMILY FUND

EIN: 05-0474981

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CHARLES SCHWAB ACCOUNT MANAGEMENT FEES	3,886			

TY 2013 Taxes Schedule

Name: FEINSTEIN FAMILY FUND

EIN: 05-0474981

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	199	199	199	

TY 2013 IRS Payment**Name:** FEINSTEIN FAMILY FUND**EIN:** 05-0474981**Routing Transit Number:****Bank Account Number:****Type of Account:** Checking**Payment Amount in Dollars and** 6,664**Cents:****Requested Payment Date:** 2014-11-15**Taxpayer's Daytime Phone** (401) 467-5155
Number:

Alan Shawn Feinstein Foundation
Transaction Detail By Account
January through December 2013

FEINSTEIN FAMILY FUND
FORM 990, PART XV, LINE 3a
2013

Name
2013 Million Dollar Challenge
7TH DAY ADVENTIST COMM SERVICES-PRESCOTT
AKTON INTERFAITH COUNCIL FOOD PANTRY
Alan Shawn Feinstein Middle School
Allen A M E Church Food Bank
AMERICAN FRIENDS OF KUPAT HA'IR
AMERICAN FRIENDS OF YAD ELIEZER
Assisi Homes - Colony Park Apartments
Assisi Homes of Gurnee
Beverly Hills Community Church Food Pantr
Big Flats Community Food Bank
Calvary Open Bible Church
Caring Hands Food Ministry First Church of Christ
Catholic Charities of Coles Douglass & Edgar Counties
Chasdei Naomi
Chester Baptist Church Food Pantry
Church of the Holy Innocents Food Pantry
Church World Service
Church World Service
Church World Service - CROP
Church World Service - Janesville CROP
Church World Service - Milton
Church World Service - Portage CROP
Church World Service - Wisconsin Rapids CROP Hunger Walk
Climax Parish Food Shelf
Community Action Planning Council of Jefferson County
Cook Community Food Shelf
Cortland Chenango Rural Services
CUP AT LUTHER VALLEY CHURCH
Ellington Community Food Pantry
Encountering Hope Ministries
ERIN UNITED METHODIST PANTRY
Faith Tabernacle Food Ministry
First Baptist Church - Plymouth
First United Method Church
Freeville Food Pantry Freeville United Methodist Church
Friends of NITCA Foundation
Gentle Sheperd Fellowship
Glad Tidings Community Church
Grace United Methodist Church of Manvel
GREAT RIVERS CONF - UMC ANGELS ON ASS
Helping Hands Food Pantry

Alan Shawn Feinstein Foundation
Transaction Detail By Account
January through December 2013

Name
Horseheads Food Pantry at St Matthew's Episcopal Church
Jewish Family Service
Journey's End Ministries, Inc
Kent Food Bank and Emergency Services
Kittson County Care and Share Pantry
Life Connection Church
Luling Food Bank Caldwell County Christian Ministries
MATTOON COMMUNITY FOOD CENTER
Menahga Area Food Shelf
Moscow United Methodist Church Comm Food Bank
Navarino Community Food Pantry
Network of Community Minitries, Inc
Odessa/Montour Food Pantry
Old Stone Church Community Pantry
Our Lady of Good Hope Catholic Church
Oxnard Community Food Pantry
PEF Israel Endowment Funds, Inc
Perry Middle School
Rachel's Table Jewish Federation of Greater Springfield
Renewing Hope Fellowship
Reynoldsville United Methodist Church
Simpson Fletcher United Methodist Church
Society of St Stephens Food Pantry
Solanco Pastors Food Bank
Sorento Food Pantry
South Dayton Food Pantry
Spafford Food Pantry
St George's Food Pantry/Soup Kitchen
St John the Baptist Catholic Parish
St John Vianney Catholic Church Pantry
St Leo's/St Patrick's Food Pantry
ST MARTIN DE PORRES FOOD PANTRY
St Pat's & Holy Spirit Food Pantry
St Peter's & St Andrew's Food Pantry
St Vincent de Paul Society - Medford
The Rotary Club of Naples Bay
The Salvation Army - Evansville
Town of Verona Food Pantry
Trumansburg Food Pantry-Town of Ulysses
United Congregational Church of Little Compton
United Methodist Church Food Pantry- Silver Springs
University United Methodist Church
VOICES OF DELIVERANCE WORSHIP CENTER
Warwick Rotary Club
Washington Christian Church

Alan Shawn Feinstein Foundation
Transaction Detail By Account
January through December 2013

Name

Watonwan County Food Shelf

WEST CENTRAL WI COMM ACTION AGENCY

West Warwick/Pawtuxet Valley Assistance Agency, Inc

TOTAL

Alan Shawn Feinstein Foundation Transaction Detail By Account

January through December 2013

FEIN 05-0474981

Address	Amount
2980 Willow Creek Road PO Box 433 Prescott AZ 86301	275 85
3300 Morewood Rd Akron, Ohio 44313	280 95
16 Foster Dr, Coventry, RI 02816	291 86
5911 Larchwood Ave Philadelphia, PA 19143	251 79
4415 14th Avenue Brooklyn, NY 11219	10,699 66
1102 E 26th St Brooklyn, NY 11210	8,668 48
550 Thornhill Drive Carol Stream, IL 60188	251 49
3495 Grand Ave Gurnee, IL 60031	251 95
82 Civic Circle Beverly Hills, FL 34465	255 67
PO Box 454 Big Flats, NY 14814	262 07
2251 9th St SW Akron, OH 44314	255 17
20 W Martin St East Palestine, OH 44413	276 25
4217 Dewitt Mattoon, IL 61938	258 85
Bar Yochai 10 bBnei Brak, Israel 51553	22,566 68
2 So Chester Road Chester, ME 04457	254 29
401 Main Street Highland Falls, NY 10928	260 24
P O Box 968 Elkhart, IN 46515	260 86
P O Box 968 Elkhart, IN 46515	265 94
282 Main Street Ext Middletown, CT 06457	260 13
1955 W Broadway, Suite 102 Madison, WI 53713	335 76
1955 W Broadway, Ste 102 Madison, WI 53713	273 13
1955 West Broadway Ste 102 Madison, WI 53713	295 98
1955 W Broadway Ste 201 Madison, WI 53713	307 42
104 W Broadway Climax, MN 56523	266 75
518 Davidson Street Wawtertown, NY 13601	261 91
P O Box 633 Cook, MN 55723-0609	306 73
2704 Lower Cincinnatus Road Cincinnatus, NY 13040-0057	259 50
10740 W Hafeman Rd Orfordville WI 53576	253 70
PO Box 361 Ellington, NY 14732	283 16
304 N 2nd Street Boonville, IN 47601	349 37
PO Box 12 Erin NY 14838	251 30
8th and Broadway Metropolis, IL 62960	265 43
2-4 North Street P O Box 121 Plymouth, CT 06782-0121	252 31
201 W High Street New Philadelphia, OH 44663	257 83
PO BOX 166 Freeville, NY 13068	261 44
134 Howie Warwick, RI 02888	373 44
2905 Bildahl Street Rockford, IL 61109	300 58
111 Victory Highway Chepachet, RI 02814	252 11
P O Box 369 Manvel, TX 77578-0369	262 18
1133 Main St Mt Vernon IL 62864	255 18
11725 Bellefontaine Road St Louis MO 63138	275 73

Alan Shawn Feinstein Foundation
Transaction Detail By Account
January through December 2013

Address	Amount
2943 Westinghouse Road Horseheads, NY 14845	263 21
959 North Main Street Providence, RI 02904	338 85
PO Box 507 Newcomerstown, OH 43832	252 04
24 Mauwee Brook Road Kent, CT 06757	252 45
PO Box 76 Karlstad, MN 56732	0 00
18305 E 27th Ter Independence, MO 64057	251 59
402 South Mulberry Street Luling, TX 78648	278 72
600 Moutrie Ave Mattoon IL 61938	253 35
PO BOX 243 Menahga, MN 56464	273 78
PO Box 246 Moscow, TN 38057	331 77
3862 Rockwell Road Marcellus, NY 13108	256 43
741 S Sherman Street Richardson, TX 75081	469 15
PO Box 578 Montour Falls, NY 14865	254 65
P O Box 201 101 East Union Street Rockton, IL 61072	262 51
PO Box 517 Hope, AR 91801	257 51
901 Elder Street Oxnard, CA 93030	260 34
317 Madison Avenue, Ste 607 New York, NY 10017	38,426 57
50 Olin Ave Perry, NY 14530	250 92
1160 Dickinson Street Springfield, MA 01108	289 76
493 Almyra Avenue Youngstown, OH 44511	254 98
5098 State Rt 227 Burdett, NY 14818	252 59
6037 W Jefferson Street Philadelphia, PA 19151	255 29
1031 SE Loop 456 Jacksonville, TX 75766	262 38
PO Box 204 Quarryville, PA 17566	326 53
PO Box 53 Sorento, IL 62086	256 72
327 Pine Street PO Box 261 South Dayton, NY 14138	260 69
743 Route 41 Homer, NY 13077	265 20
12 Clinton Street Central Falls, RI 02863-2906	0 00
201 West Blodgett Street Marshfield, WI 54449	254 48
1345 Turnbull Canyon Rd Hacienda Heights, CA 91745	427 36
PO Box 574 Tully, NY 13159	271 76
5112 W Washington Blvd Chicago, IL 60644	344 27
PO Box 399 North Platte, NE 69101	324 06
25 Pomona Avenue Providence, RI 02908	256 72
Jones Road Medford, NJ 08055	274 01
P O Box 1853 Naples, FL 34106	250 76
1040 North Fulton Avenue Evansville, IN 47724-0055	402 18
5640 Main Street Verona, NY 13478	265 12
PO Box 162 Trumansburg, NY 14886	308 31
PO Box 506 Little Compton, RI 02837	251 84
11 Church Street PO Box 308 Silver Springs, NY 14550	268 60
1085 E Genesee St Syracuse, NY 13210	266 57
6834 Old York Rd PO Box 6569 Philadelphia PA 19138	255 97
PO Box 6803 Warwick, RI 02887	251 39
1012 N Main St , Washington, IL 61571	307 74

Alan Shawn Feinstein Foundation
Transaction Detail By Account
January through December 2013

Address	Amount
108 So 8th Street St James, MN 56081	290 40
PO Box 308 Glenwood City WI 54013	267 86
1293 Main Street West Warwick, RI 02893	281 23
	<u>103,527.73</u>