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Return of Private Foundation

OMB No 1545-0052

or Section 4947(a)(1) Trust Treated as Private Foundation

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2013

Open to Public Inspection

Department of the Treasury
Internal Revenue ServiceInformation about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation 31-15C066471768 BOB HONG FOUNDATION		A Employer identification number 04-6911786
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) 650-210-5000
City or town, state or province, country, and ZIP or foreign postal code LONG ISLAND CITY, NY 11120		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☒ Address change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,055,891.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	14,602.	14,008.		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	75,253.			
	b Gross sales price for all assets on line 6a 656,409.				
	7 Capital gain net income (from Part IV, line 2)		75,253.		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	4,052.			STMT 2	
12 Total. Add lines 1 through 11	93,907.	89,261.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	10,543.	10,543.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 4	500.	822.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings		NONE	NONE	
	22 Printing and publications		NONE	NONE	
	23 Other expenses (attach schedule) STMT 5	176.	141.		35.
	24 Total operating and administrative expenses. Add lines 13 through 23	11,219.	11,506.	NONE	35.
	25 Contributions, gifts, grants paid	43,334.			43,334.
26 Total expenses and disbursements Add lines 24 and 25	54,553.	11,506.	NONE	43,369.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	39,354.				
b Net investment income (if negative, enter -0-)		77,755.			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	22,515.	41,206.	41,206.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶	NONE		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule)			
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis			
Less: accumulated depreciation ▶ (attach schedule)				
12 Investments - mortgage loans				
13 Investments - other (attach schedule)	STMT 6	743,552.	763,552.	1,014,685.
14 Land, buildings, and equipment basis				
Less: accumulated depreciation ▶ (attach schedule)				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		766,067.	804,758.	1,055,891.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)			NONE	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, . . . ▶ ☒ check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	765,906.	804,327.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	161.	431.	
	30 Total net assets or fund balances (see instructions)	766,067.	804,758.	
	31 Total liabilities and net assets/fund balances (see instructions)	766,067.	804,758.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	766,067.
2 Enter amount from Part I, line 27a	2	39,354.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	167.
4 Add lines 1, 2, and 3	4	805,588.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	830.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	804,758.

Form 990-PF (2013)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 656,409.		581,156.	75,253.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			75,253.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	75,253.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	31,035.	861,090.	0.036042
2011	34,787.	819,668.	0.042440
2010	33,457.	723,681.	0.046232
2009	36,878.	630,340.	0.058505
2008	47,975.	766,411.	0.062597
2 Total of line 1, column (d)			2 0.245816
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.049163
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 971,194.
5 Multiply line 4 by line 3			5 47,747.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 778.
7 Add lines 5 and 6			7 48,525.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 43,369.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	1,555.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	1,555.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,555.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	164.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	1,391.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		1,555.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ NONE Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities.		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T.		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		X

Part VII-A **Statements Regarding Activities** *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of _____ Telephone no _____ Located at _____ ZIP+4 _____			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country _____	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No				
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ N/A ☐ Organizations relying on a current notice regarding disaster assistance check here ☐ N/A ☐		1b		N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? ☐ N/A ☐		1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ☐ N/A ☐				
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) ☐ N/A ☐		2b		X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐ N/A ☐				
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No				
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) ☐ N/A ☐		3b		N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐ N/A ☐		4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? ☐ N/A ☐		4b		X

Form **990-PF** (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐ **NIA** ☐ **5b** **N/A****c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No **NIA**

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☐ No **6b** **X**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☐ No **7b** **NIA****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANDREW HONG P.O. BOX 990842, BOSTON, MA 02199	TRUSTEE, AS REQ'	-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ **NONE**

Form 990-PF (2013)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Form 990-PF (2013)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	953,693.
b	Average of monthly cash balances	1b	32,291.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	985,984.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	985,984.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	14,790.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	971,194.
6	Minimum investment return. Enter 5% of line 5	6	48,560.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	48,560.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,555.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,555.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	47,005.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	47,005.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	47,005.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	43,369.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	43,369.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	43,369.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				47,005.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			42,621.	
b Total for prior years 20 <u>11</u> , 20 <u> </u> , 20 <u> </u>		NONE		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	NONE			
b From 2009	NONE			
c From 2010	NONE			
d From 2011	NONE			
e From 2012	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ <u>43,369.</u>				
a Applied to 2012, but not more than line 2a			42,621.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2013 distributable amount				748.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions			None	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				46,257.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2009	NONE			
b Excess from 2010	NONE			
c Excess from 2011	NONE			
d Excess from 2012	NONE			
e Excess from 2013	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
LEUKEMIA & LYMPHOMA SOC.IETY	NONE	N/A	UNRESTRICTED GIFT	2,000.
WMBR	NONE	N/A	UNRESTRICTED GIFT	2,000.
ATRIUM SCHOOL	NONE	N/A	UNRESTRICTED GIFT	10,000.
GIRLS ROCK CAMPAIGN BOSTON	NONE	N/A	UNRESTRICTED GIFT	6,667.
WOMEN'S AUDIO MISSION	NONE	N/A	UNRESTRICTED GIFT	6,667.
PARENTS' NURSERY SCHOOL	NONE	N/A	UNRESTRICTED GIFT	10,000.
JDRF	NONE	N/A	UNRESTRICTED GIFT	1,000.
VISION MAKER MEDIA				5,000.
Total			3a	43,334.
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

Line No.

▼

JSA

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash (2) Other assets b Other transactions: (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here   **Trustee**

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Form **990-PF** (2013)

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
DIVIDENDS AND INTEREST	14,602.	14,008.
	-----	-----
TOTAL	14,602.	14,008.
	=====	=====

FORM 990PF, PART I - OTHER INCOME

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
-----	-----
FEDERAL TAX REFUND	4,052.

TOTALS	4,052.
	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
EXECUTOR FEES	10,543.	10,543.
	-----	-----
TOTALS	10,543.	10,543.
	=====	=====

FORM 990PF, PART I - TAXES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	500.	822.
	-----	-----
TOTALS	500.	822.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
ADR FOREIGN FEES	141.	141.	
MA AG FILING FEE	35.		35.
TOTALS	176.	141.	35.
	=====	=====	=====

31-15C066471768 BOB HONG FOUNDATION

04-6911786

FORM 990PF, PART II - OTHER INVESTMENTS
=====

DESCRIPTION -----	COST/ FMV C OR F -----	BEGINNING BOOK VALUE -----
SEE ATTACHED STATEMENT	C	743,552.

TOTALS		743,552.
		=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES
=====DESCRIPTION
-----AMOUNT

PROTHENA BASIS ADJUSTMENT

12.

KINDERMORGAN MGMT BASIS ADJUSTMENT

155.

TOTAL

167.
=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
TAX COST ADJUSTMENT	332.
BROADCOM BASIS ADJUSTMENT	11.
LYONDELLBASELL RETURN OF CAPITAL ADJUSTMENT	190.
MICROCHIP BASIS ADJUSTMENT	20.
MICROCHIP RETURN OF CAPITAL ADJUSTMENT	244.
SOUTHERN RETURN OF CAPITAL ADJUSTMENT	33.

TOTAL	830.
	=====

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF
=====

NAME: ANDREW HONG

ADDRESS: C/O CITI TRUST, ONE COURT SQUARE, 19TH F
NEW YORK, NY 11120

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2013

Name of estate or trust

Employer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked	290,948.	297,901.		-6,953.
2 Totals for all transactions reported on Form(s) 8949 with Box B checked	11,488.	11,371.		117.
3 Totals for all transactions reported on Form(s) 8949 with Box C checked				
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824			4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts			5	
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet			6 ()	
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶			7	-6,836.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked	353,255.	271,884.		81,371.
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked				
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824			11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.			12	
13 Capital gain distributions.			13	718.
14 Gain from Form 4797, Part I.			14	
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet			15 ()	
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶			16	82,089.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

Part III Summary of Parts I and II**Caution: Read the instructions before completing this part.****(1) Beneficiaries'**
(see instr.)**(2) Estate's**
or trust's**(3) Total**

17	Net short-term gain or (loss)	17			-6,836.
18	Net long-term gain or (loss):				
a	Total for year	18a			82,089.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	18b			
c	28% rate gain	18c			
19	Total net gain or (loss). Combine lines 17 and 18a. ▶	19			75,253.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

20	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 19, column (3) or b \$3,000	20	()
-----------	--	-----------	-----

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . .	21			
22	Enter the smaller of line 18a or 19 in column (2) but not less than zero.	22			
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . .	23			
24	Add lines 22 and 23	24			
25	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0-. . . ▶	25			
26	Subtract line 25 from line 24. If zero or less, enter -0-.	26			
27	Subtract line 26 from line 21. If zero or less, enter -0-.	27			
28	Enter the smaller of the amount on line 21 or \$2,450	28			
29	Enter the smaller of the amount on line 27 or line 28	29			
30	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶	30			
31	Enter the smaller of line 21 or line 26	31			
32	Subtract line 30 from line 26	32			
33	Enter the smaller of line 21 or \$11,950	33			
34	Add lines 27 and 30	34			
35	Subtract line 34 from line 33. If zero or less, enter -0-.	35			
36	Enter the smaller of line 32 or line 35	36			
37	Multiply line 36 by 15%. ▶	37			
38	Enter the amount from line 31	38			
39	Add lines 30 and 36	39			
40	Subtract line 39 from line 38. If zero or less, enter -0-.	40			
41	Multiply line 40 by 20% ▶	41			
42	Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42			
43	Add lines 37, 41, and 42	43			
44	Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44			
45	Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶	45			

Schedule D (Form 1041) 2013

Department of the Treasury
Internal Revenue Service

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
13.	AMERICAN INTL GROUP IN	08/08/2013	12/17/2013	641.00	628.00			13.00
17.	ARCHER DANIELS MIDLAND	04/17/2013	12/17/2013	705.00	543.00			162.00
140.	IPATH GOLDMAN SACHS C	08/28/2013	09/16/2013	3,538.00	3,654.00			-116.00
308.	IPATH GOLDMAN SACHS C	08/28/2013	10/11/2013	7,435.00	7,882.00			-447.00
169.	IPATH GOLDMAN SACHS C	08/19/2013	11/25/2013	3,725.00	4,254.00			-529.00
12.	IPATH GOLDMAN SACHS CR	08/14/2013	12/17/2013	274.00	301.00			-27.00
319.	BARCLAYS BK PLC	06/21/2013	07/09/2013	5,643.00	6,935.00			-1,292.00
446.	BARNES & NOBLE INC	05/18/2012	01/23/2013	5,815.00	8,026.00			-2,211.00
3.	BIOGEN IDEC INC	10/16/2013	12/17/2013	823.00	720.00			103.00
25.	BROADCOM CORP-CL A	04/29/2013	09/26/2013	665.00	870.00			-205.00
18.	BROADCOM CORP-CL A	04/29/2013	12/17/2013	513.00	624.00			-111.00
8.	BURBERRY GROUP PLC	11/29/2012	09/13/2013	408.00	333.00			75.00
7.	CIT GROUP INC	09/30/2013	12/17/2013	352.00	341.00			11.00
2.	CME GROUP INC	07/26/2013	12/17/2013	166.00	147.00			19.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Department of the Treasury
Internal Revenue Service

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
65.	CAPITAL ONE FINL CORP	12/19/2012	02/11/2013	3,688.00	3,835.00			-147.00
177.	CAPITAL ONE FINL CORP	06/19/2012	02/27/2013	9,082.00	9,834.00			-752.00
12.	CARNIVAL CORP PAIRED C CARNI	04/19/2013	09/25/2013	393.00	400.00			-7.00
85.	CELANESE CORP DEL	02/26/2013	04/05/2013	3,551.00	3,866.00			-315.00
199.	CELANESE CORP DEL	02/26/2013	04/17/2013	8,553.00	8,979.00			-426.00
5.	CELGENE CORP 00	04/25/2013	12/17/2013	808.00	591.00			217.00
223.	CHENIERE ENERGY INC	07/19/2012	06/17/2013	6,166.00	3,077.00			3,089.00
11.	CHICAGO BRIDGE & I NLG	09/26/2013	12/17/2013	859.00	731.00			128.00
7.	COGNIZANT TECHNOLOGY SO	11/05/2013	12/17/2013	661.00	629.00			32.00
1.	DASSAULT SYS S A SPD AD	01/29/2013	10/15/2013	112.00	113.00			-1.00
3.	DASSAULT SYS S A SPD AD	01/29/2013	10/16/2013	335.00	340.00			-5.00
18.	DISCOVER FINL SVCS	10/16/2013	12/17/2013	962.00	949.00			13.00
74.	EBAY INC	04/25/2013	10/09/2013	3,860.00	3,897.00			-37.00
43.	EBAY INC	04/25/2013	12/17/2013	2,276.00	2,257.00			19.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.**2013**Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ (C) Short-term transactions **not** reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo., day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
67.	ELAN PLC ADR	08/07/2012	02/06/2013	703.00	666.00			37.00
26.	ELEKTA AB	12/12/2012	07/24/2013	452.00	409.00			43.00
13.	ELEKTA AB	12/12/2012	07/25/2013	222.00	204.00			18.00
4.	ELEKTA AB	12/11/2012	07/26/2013	68.00	63.00			5.00
9.	ELEKTA AB	12/07/2012	07/29/2013	154.00	137.00			17.00
7.	ELEKTA AB	12/07/2012	10/30/2013	105.00	107.00			-2.00
28.	ELEKTA AB	12/10/2012	10/31/2013	414.00	427.00			-13.00
4.	ELEKTA AB	12/10/2012	11/01/2013	58.00	61.00			-3.00
16.	ELEKTA AB	12/10/2012	11/04/2013	233.00	244.00			-11.00
2.	ELEKTA AB	01/30/2013	11/05/2013	29.00	30.00			-1.00
59.	ELEKTA AB	03/07/2013	11/06/2013	853.00	888.00			-35.00
10.	ELEKTA AB	03/07/2013	11/07/2013	146.00	146.00			
258.	FACEBOOK INC CL A	05/03/2013	10/28/2013	13,297.00	7,429.00			5,868.00
14.	FACEBOOK INC CL A	05/03/2013	12/17/2013	768.00	403.00			365.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.**2013**Department of the Treasury
Internal Revenue Service

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Attachment
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

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Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ (C) Short-term transactions **not** reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	9. FANUC CORPORATION	11/13/2013	12/17/2013	252.00	244.00			8.00
	63. FREEPORT MCMORAN COPPE	12/12/2012	04/05/2013	1,984.00	2,021.00			-37.00
	300. FREEPORT MCMORAN COPP	12/12/2012	04/17/2013	8,532.00	9,622.00			-1,090.00
	14. GNC HLDGS INC	10/16/2013	12/17/2013	804.00	777.00			27.00
	7. HSBC HOLDINGS PLC-SPONS	12/14/2012	12/04/2013	379.00	364.00			15.00
	5. HANG LUNG PPTYS LTD	10/05/2012	09/09/2013	84.00	87.00			-3.00
	6. HANG LUNG PPTYS LTD	10/05/2012	09/10/2013	101.00	104.00			-3.00
	1. HANG LUNG PPTYS LTD	10/05/2012	09/17/2013	17.00	17.00			
	199. HERTZ GLOBAL HOLDI	12/04/2012	10/09/2013	4,392.00	3,135.00			1,257.00
	182. HOLLYFRONTIER CORP	02/27/2013	09/26/2013	7,801.00	9,980.00			-2,179.00
	11. HOME DEPOT INC	06/19/2013	12/17/2013	866.00	846.00			20.00
	15. ICICI BK LTD SPD ADR	12/09/2013	12/17/2013	532.00	603.00			-71.00
	7. INCYTE PHARMACEUTICALS	10/11/2013	12/17/2013	336.00	259.00			77.00
	59. INGEREDION INC	12/27/2012	08/28/2013	3,682.00	3,796.00			-114.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.**2013**Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ (C) Short-term transactions **not** reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	67. INGEREDION INC	11/08/2012	09/25/2013	4,442.00	4,201.00			241.00
	56. ISHARES TIPS BOND ETF	01/30/2013	04/25/2013	6,839.00	6,735.00			104.00
	34. ISHARES TR 20+ TREAS I	08/28/2013	10/09/2013	3,597.00	3,584.00			13.00
	4. KANSAS CITY SOUTHERN IN	03/25/2013	12/17/2013	469.00	432.00			37.00
	3.0309 KINDER MORGAN MANAG	08/14/2013	12/17/2013	219.00	158.00			61.00
	115. LI & FUNG LTD	07/25/2012	01/15/2013	331.00	407.00			-76.00
	10. LAS VEGAS SANDS CORP	05/31/2012	01/31/2013	549.00	531.00			18.00
	69. LENNAR CORP	09/21/2012	06/20/2013	2,499.00	2,590.00			-91.00
	71. LORILLARD INC	06/27/2013	07/30/2013	3,084.00	3,115.00			-31.00
	3. MERCADOLIBRE INC	11/28/2012	05/01/2013	295.00	212.00			83.00
	14. MICROCHIP TECHNOLOGY I	02/11/2013	12/17/2013	590.00	487.00			103.00
	78. MITSUBISHI UFJ FINL GR	04/12/2013	07/29/2013	479.00	524.00			-45.00
	84. NOMURA HOLDINGS INC A	05/20/2013	12/17/2013	619.00	807.00			-188.00
	105. NORDSTROM INC	04/17/2013	09/30/2013	5,882.00	5,837.00			45.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtr- act negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949**2013**Department of the Treasury
Internal Revenue Service

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Attachment
Sequence No **12A**

Name(s) shown on return

31-15C066471768 BOB HONG FOUNDATION

Social security number or taxpayer identification number

04-6911786

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Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

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- ☒ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr.)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	13. NORDSTROM INC	04/17/2013	10/10/2013	735.00	723.00			12.00
	43. NOVO NORDISK A S ADR	07/03/2012	06/20/2013	6,733.00	6,152.00			581.00
	21. PERRIGO CO	05/08/2012	01/29/2013	2,124.00	2,078.00			46.00
	28. PFIZER INC.	06/18/2013	12/17/2013	848.00	823.00			25.00
	29. PIMCO 25+ YEAR ZERO CO	10/23/2012	01/30/2013	2,971.00	3,227.00			-256.00
	42. PIMCO 25+ YEAR ZERO CO	07/11/2013	08/14/2013	3,666.00	3,824.00			-158.00
	37. PIMCO 25+ YEAR ZERO CO	07/11/2013	08/19/2013	3,090.00	3,368.00			-278.00
	63. PIMCO 25+ YEAR ZERO CO	09/24/2013	10/09/2013	5,509.00	5,681.00			-172.00
	79. PLUM CREEK TIMBER CO I	09/12/2012	08/28/2013	3,499.00	3,283.00			216.00
	151. PROSHARES ULTRASHORT TREASURY	06/21/2013	07/11/2013	11,418.00	10,960.00			458.00
	154. PROSHARES ULTRASHORT	06/24/2013	07/01/2013	6,137.00	6,616.00			-479.00
	118. PROSHARES ULTRASHORT	06/24/2013	09/19/2013	4,104.00	5,038.00			-934.00
	53. PROSHARES ULTRASHORT S	06/21/2013	10/16/2013	1,850.00	2,245.00			-395.00
	202. PROSHARES TR PSHS ULT	10/09/2013	10/16/2013	3,689.00	4,022.00			-333.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Form **8949** (2013)

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Internal Revenue Service

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Attachment
Sequence No **12A**

Name(s) shown on return

31-15C066471768 BOB HONG FOUNDATION

Social security number or taxpayer identification number

04-6911786

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	161. PROSHARES TR PSHS ULT	10/09/2013	11/11/2013	2,777.00	3,205.00			-428.00
	297. PROSHARES TR SHT MSCI	06/21/2013	07/23/2013	7,030.00	8,047.00			-1,017.00
	159. PROSHARES TR SHT MSCI	08/28/2013	09/16/2013	3,376.00	4,223.00			-847.00
	337. PROSHARES TR SHT MSCI	08/28/2013	09/24/2013	7,020.00	8,871.00			-1,851.00
	232. PROSHARES TR SHT MSCI	06/12/2013	10/02/2013	4,967.00	5,941.00			-974.00
	185. PROSHARES RUSSELL 200	11/09/2012	05/20/2013	3,267.00	5,425.00			-2,158.00
	619. PROSHARES RUSSELL 200	04/05/2013	06/20/2013	11,599.00	14,169.00			-2,570.00
	5. PROTO LABS INC	10/31/2013	12/17/2013	333.00	383.00			-50.00
	6. RAYTHEON COMPANY	07/29/2013	12/17/2013	522.00	422.00			100.00
	12. REGENERON PHARMACEUTIC	08/21/2013	10/09/2013	3,310.00	2,810.00			500.00
	2. REGENERON PHARMACEUTICA	08/21/2013	12/17/2013	525.00	407.00			118.00
	36. ROYAL BANK OF SCOT-SPO	12/20/2012	06/28/2013	300.00	372.00			-72.00
	73. ROYAL BANK OF SCOT-SPO	12/21/2012	07/01/2013	618.00	730.00			-112.00
	35. ROYAL BANK OF SCOT-SPO	12/17/2012	07/02/2013	291.00	342.00			-51.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Sequence No **12A**Department of the Treasury
Internal Revenue Service

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Social security number or taxpayer identification number

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	16. ROYAL BANK OF SCOT-SPO	12/17/2012	07/03/2013	131.00	156.00			-25.00
	44. ROYAL BANK OF SCOT-SPO	04/05/2013	07/03/2013	361.00	368.00			-7.00
	2. SIEMENS AG-ADR	07/26/2012	07/09/2013	208.00	164.00			44.00
	17. SWATCH GROUP AG	09/04/2012	01/29/2013	460.00	354.00			106.00
	5. SYNGENTA AG-ADR	01/24/2013	11/15/2013	396.00	430.00			-34.00
	4. SYNGENTA AG-ADR	07/26/2013	11/18/2013	315.00	330.00			-15.00
	94. TW TELECOM INC	05/03/2012	03/11/2013	2,345.00	2,197.00			148.00
	17. TAIWAN SEMICONDUCTOR-S	07/27/2012	06/06/2013	316.00	232.00			84.00
	32. TAIWAN SEMICONDUCTOR-S	07/27/2012	06/07/2013	598.00	432.00			166.00
	22. TAIWAN SEMICONDUCTOR-S	07/26/2012	06/12/2013	403.00	293.00			110.00
	39. TAIWAN SEMICONDUCTOR-S	07/26/2012	06/13/2013	708.00	519.00			189.00
	6. TATA MTRS LTD SPD ADR	04/10/2013	12/13/2013	182.00	154.00			28.00
	6. TIMKEN CO 00	09/24/2013	12/17/2013	316.00	372.00			-56.00
	20. TULLOW OIL PLC	12/14/2012	10/23/2013	157.00	195.00			-38.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Sequence No **12A**Department of the Treasury
Internal Revenue Service

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Name(s) shown on return

Social security number or taxpayer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(C)** Short-term transactions **not** reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
22.	TULLOW OIL PLC	12/14/2012	10/24/2013	171.00	214.00			-43.00
24.	TULLOW OIL PLC	12/14/2012	10/24/2013	186.00	233.00			-47.00
14.	TULLOW OIL PLC	12/14/2012	10/31/2013	106.00	136.00			-30.00
13.	TULLOW OIL PLC	12/14/2012	10/31/2013	98.00	126.00			-28.00
58.	TULLOW OIL PLC	12/14/2012	11/01/2013	437.00	564.00			-127.00
18.	TULLOW OIL PLC	05/21/2013	11/04/2013	135.00	173.00			-38.00
13.	TULLOW OIL PLC	05/21/2013	11/05/2013	98.00	105.00			-7.00
12.	TULLOW OIL PLC	05/21/2013	11/06/2013	90.00	97.00			-7.00
3.	TULLOW OIL PLC	05/21/2013	11/07/2013	22.00	24.00			-2.00
30.	TULLOW OIL PLC	05/21/2013	11/12/2013	212.00	243.00			-31.00
16.	WELLS FARGO & COMPANY	12/19/2012	12/17/2013	697.00	558.00			139.00
5.	WESTPORT INNOVATIONS IN	09/26/2013	12/17/2013	89.00	125.00			-36.00
477.	WEYERHAEUSER CO	09/12/2012	06/12/2013	13,686.00	12,197.00			1,489.00
151.	WISDOMTREE TRUST JP T	04/05/2013	08/28/2013	6,741.00	6,565.00			176.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2013Attachment
Sequence No **12A**

Name(s) shown on return

31-15C066471768 BOB HONG FOUNDATION

Social security number or taxpayer identification number

04-6911786

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ **(C)** Short-term transactions **not** reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	18. WISDOMTREE TRUST JP TO	11/21/2013	12/17/2013	877.00	902.00			-25.00
	7. WORKDAY INCCL A	10/16/2013	12/17/2013	559.00	574.00			-15.00
	5. YUM BRANDS INC	07/16/2013	11/22/2013	392.00	358.00			34.00
	96. ENERGY XXI BERMUDA	02/04/2013	10/16/2013	3,047.00	3,021.00			26.00
	6. ACCENTURE PLC	04/29/2013	06/28/2013	431.00	487.00			-56.00
	7. ACCENTURE PLC	01/28/2013	09/24/2013	526.00	491.00			35.00
	7. EATON CORP PLC	11/18/2013	12/17/2013	515.00	507.00			8.00
	.6341 PROTHENA CORP PLC	08/07/2012	01/02/2013	4.00	5.00			-1.00
	1. PROTHENA CORP PLC	08/07/2012	01/02/2013	7.00	7.00			
	8. CHECK POINT SOFTWARE TE 00	05/24/2012	04/29/2013	364.00	421.00			-57.00
	5. STRATASYS LTS	10/16/2013	12/17/2013	590.00	547.00			43.00
	5. ASML HOLDING N V	07/05/2013	10/15/2013	487.00	407.00			80.00
	5. ASML HOLDING N V	06/10/2013	10/15/2013	484.00	403.00			81.00
	8. ASML HOLDING N V	06/07/2013	10/22/2013	753.00	622.00			131.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949**2013**Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

31-15C066471768 BOB HONG FOUNDATION

Social security number or taxpayer identification number

04-6911786

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	10. LYONDELLBASELL INDUSTR	10/16/2013	12/17/2013	773.00	761.00			12.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►				290,948.	297,901.			-6,953.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

31-15C066471768 BOB HONG FOUNDATION

Social security number or taxpayer identification number

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Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ (F) Long-term transactions **not** reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	115. ALEXION PHARMACEUTICA	10/12/2011	06/19/2013	10,741.00	7,661.00			3,080.00
	17. APPLE COMPUTER INC	08/15/2011	02/22/2013	7,620.00	6,508.00			1,112.00
	5. ARM HOLDINGS PLC-SPONS	08/11/2011	01/02/2013	194.00	126.00			68.00
	7. ARM HOLDINGS PLC-SPONS	08/11/2011	05/01/2013	333.00	176.00			157.00
	18. ASSA ABLOY AB ADR	08/11/2011	07/19/2013	401.00	197.00			204.00
	9. ASSA ABLOY AB ADR	08/11/2011	07/22/2013	200.00	98.00			102.00
	8. ASSA ABLOY AB ADR	08/11/2011	09/25/2013	186.00	88.00			98.00
	15. ASSA ABLOY AB ADR	08/11/2011	09/26/2013	346.00	164.00			182.00
	12. ASSA ABLOY AB ADR	08/11/2011	09/27/2013	274.00	131.00			143.00
	3. ASSA ABLOY AB ADR	08/11/2011	09/27/2013	69.00	33.00			36.00
	36. BG GROUP PLC	08/11/2011	01/02/2013	598.00	733.00			-135.00
	85. BG GROUP PLC	08/11/2011	01/03/2013	1,399.00	1,730.00			-331.00
	3. ADR BAIDU INC REG	04/26/2012	10/30/2013	497.00	402.00			95.00
	194. BEAM INC	08/15/2011	08/08/2013	12,926.00	8,418.00			4,508.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

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- ☒ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
☐ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr.)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
3.	CNOOC LTD SPND ADR 00	08/11/2011	05/21/2013	556.00	569.00			-13.00
3.	CNOOC LTD SPND ADR 00	08/11/2011	07/15/2013	526.00	569.00			-43.00
2.	CNOOC LTD SPND ADR 00	08/11/2011	07/30/2013	361.00	380.00			-19.00
1.	CNOOC LTD SPND ADR 00	08/11/2011	08/22/2013	200.00	190.00			10.00
2.	CNOOC LTD SPND ADR 00	08/11/2011	08/23/2013	403.00	380.00			23.00
1.	CNOOC LTD SPND ADR 00	08/11/2011	08/26/2013	201.00	190.00			11.00
1.	CNOOC LTD SPND ADR 00	08/11/2011	08/27/2013	196.00	190.00			6.00
5.	CANADIAN NATL RAILWAY C	08/11/2011	12/04/2013	279.00	178.00			101.00
93.	CAPITAL ONE FINL CORP	11/03/2011	02/27/2013	4,772.00	4,239.00			533.00
7.	CARNIVAL CORP PAIRED CT CARNI	08/11/2011	02/13/2013	262.00	217.00			45.00
4.	CARNIVAL CORP PAIRED CT CARNI	08/11/2011	09/25/2013	131.00	124.00			7.00
10.	CARNIVAL CORP PAIRED C CARNI	08/11/2011	12/19/2013	379.00	310.00			69.00
162.	CHENIERE ENERGY INC	03/14/2012	06/17/2013	4,479.00	2,445.00			2,034.00
92.	CHUBB CORP.	10/27/2011	03/11/2013	7,880.00	6,379.00			1,501.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

31-15C066471768 BOB HONG FOUNDATION

Social security number or taxpayer identification number

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
79.	CHUBB CORP.	10/24/2011	03/28/2013	6,858.00	5,385.00			1,473.00
57.	CLEAN HBRS INC	08/15/2011	06/20/2013	3,038.00	2,914.00			124.00
140.	CLEAN HBRS INC	08/15/2011	07/05/2013	7,131.00	7,157.00			-26.00
143.	CREE INC	04/13/2012	04/17/2013	7,757.00	4,586.00			3,171.00
53.	CREE INC	05/16/2012	10/08/2013	3,751.00	1,693.00			2,058.00
49.	CREE INC	05/16/2012	10/09/2013	3,349.00	1,565.00			1,784.00
7.	CREE INC	05/16/2012	12/17/2013	405.00	224.00			181.00
501.	D R HORTON INC	12/20/2011	06/04/2013	11,778.00	6,102.00			5,676.00
1.	DASSAULT SYS S A SPD AD	08/11/2011	10/16/2013	112.00	80.00			32.00
109.	DISCOVER FINL SVCS	10/07/2011	04/17/2013	4,634.00	2,630.00			2,004.00
82.	DISCOVER FINL SVCS	10/07/2011	04/25/2013	3,647.00	1,955.00			1,692.00
4.	EOG RESOURCES INC	08/14/2012	12/17/2013	637.00	440.00			197.00
42.	EBAY INC	08/15/2011	12/17/2013	2,223.00	1,286.00			937.00
4.	EMBRAER-EMPRESA BRASILE	08/11/2011	02/26/2013	131.00	94.00			37.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

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31-15C066471768 BOB HONG FOUNDATION

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- ☒ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
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☐ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
6.	EMBRAER-EMPRESA BRASILE	08/11/2011	02/27/2013	202.00	141.00			61.00
7.	EMBRAER-EMPRESA BRASILE	08/11/2011	04/12/2013	249.00	164.00			85.00
3.	EMBRAER-EMPRESA BRASILE	08/11/2011	04/15/2013	102.00	70.00			32.00
3.	EMBRAER-EMPRESA BRASILE	08/11/2011	04/16/2013	101.00	70.00			31.00
13.	EMBRAER-EMPRESA BRASIL	08/11/2011	10/30/2013	397.00	305.00			92.00
32.	FLSMIDTH & CO A S SPON	08/11/2011	01/02/2013	189.00	194.00			-5.00
62.	FLSMIDTH & CO A S SPON	08/11/2011	01/03/2013	360.00	376.00			-16.00
76.	FLSMIDTH & CO A S SPON	08/11/2011	01/04/2013	439.00	461.00			-22.00
95.	FLSMIDTH & CO A S SPON	08/11/2011	01/07/2013	553.00	577.00			-24.00
26.	FLSMIDTH & CO A S SPON	08/11/2011	01/08/2013	152.00	158.00			-6.00
6.	HSBC HOLDINGS PLC-SPONS	04/27/2012	12/04/2013	325.00	272.00			53.00
9.	HANG LUNG PPTYS LTD	08/11/2011	09/17/2013	151.00	151.00			
2.	HANG LUNG PPTYS LTD	08/11/2011	09/18/2013	33.00	34.00			-1.00
9.	HANG LUNG PPTYS LTD	08/11/2011	09/19/2013	153.00	151.00			2.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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31-15C066471768 BOB HONG FOUNDATION

04-6911786

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☐ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
6.	HANG LUNG PPTYS LTD	08/11/2011	09/23/2013	104.00	101.00			3.00
3.	HANG LUNG PPTYS LTD	08/11/2011	09/24/2013	51.00	50.00			1.00
2.	HANG LUNG PPTYS LTD	08/11/2011	09/26/2013	34.00	34.00			
5.	HANG LUNG PPTYS LTD	08/11/2011	09/27/2013	85.00	84.00			1.00
2.	HANG LUNG PPTYS LTD	08/11/2011	09/30/2013	34.00	34.00			
13.	HANG LUNG PPTYS LTD	08/11/2011	10/08/2013	218.00	218.00			
4.	HANG LUNG PPTYS LTD	08/11/2011	10/09/2013	67.00	67.00			
16.	HANG LUNG PPTYS LTD	08/11/2011	10/16/2013	261.00	268.00			-7.00
33.	HANG LUNG PPTYS LTD	08/11/2011	10/17/2013	541.00	554.00			-13.00
26.	HENNES & MAURITZ AB AD	08/11/2011	10/14/2013	216.00	160.00			56.00
86.	HENNES & MAURITZ AB AD	08/11/2011	10/15/2013	719.00	530.00			189.00
29.	HENNES & MAURITZ AB AD	08/11/2011	12/16/2013	250.00	179.00			71.00
12.	HERTZ GLOBAL HOLDI	10/27/2011	10/09/2013	265.00	139.00			126.00
29.	HERTZ GLOBAL HOLDI	10/27/2011	12/17/2013	727.00	335.00			392.00

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	65. INDUSTRIAL & COML BK C	08/11/2011	09/06/2013	882.00	842.00			40.00
	84. INGEREDION INC	08/13/2012	09/25/2013	5,569.00	4,421.00			1,148.00
	.9 ITAU UNIBANCO HOLDING S	04/25/2012	05/31/2013	14.00	18.00			-4.00
	44. ITAU UNIBANCO HOLDING	04/25/2012	07/05/2013	506.00	758.00			-252.00
	42. ITAU UNIBANCO HOLDING	08/11/2011	07/08/2013	500.00	650.00			-150.00
	14. ITAU UNIBANCO HOLDING	08/11/2011	09/17/2013	194.00	217.00			-23.00
	98. KDDI CORP ADR	08/11/2011	01/23/2013	1,686.00	1,733.00			-47.00
	.9628 KINDER MORGAN MANAGE	08/15/2011	02/20/2013	79.00	57.00			22.00
	.8211 KINDER MORGAN MANAGE	08/15/2011	05/21/2013	71.00	45.00			26.00
	.0309 KINDER MORGAN MANAGE	08/15/2011	08/20/2013	2.00	2.00			
	.4516 KINDER MORGAN MANAGE	08/15/2011	11/20/2013	33.00	24.00			9.00
	2.9691 KINDER MORGAN MANAG	08/15/2011	12/17/2013	214.00	155.00			59.00
	25. KINGFISHER PLC	08/11/2011	09/13/2013	318.00	185.00			133.00
	11. KINGFISHER PLC	08/11/2011	09/16/2013	141.00	81.00			60.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
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43.	KOMATSU LTD SPNS ADR	08/11/2011	04/04/2013	928.00	1,232.00			-304.00
36.	KOMATSU LTD SPNS ADR	08/11/2011	12/05/2013	714.00	1,032.00			-318.00
172.	LI & FUNG LTD	09/08/2011	01/03/2013	632.00	644.00			-12.00
335.	LI & FUNG LTD	09/19/2011	01/15/2013	966.00	1,203.00			-237.00
289.	LENNAR CORP	12/19/2011	06/20/2013	10,466.00	5,479.00			4,987.00
86.	LILLY ELI & CO. COM	09/25/2012	10/09/2013	4,132.00	4,016.00			116.00
10.	LILLY ELI & CO. COM	07/18/2012	12/17/2013	492.00	446.00			46.00
129.	LORILLARD INC	08/15/2011	01/23/2013	5,082.00	4,519.00			563.00
1.	MERCADOLIBRE INC	09/20/2011	05/01/2013	98.00	70.00			28.00
2.	MERCADOLIBRE INC	09/20/2011	05/09/2013	242.00	140.00			102.00
2.	MERCADOLIBRE INC	09/20/2011	05/10/2013	240.00	138.00			102.00
2.	MERCADOLIBRE INC	09/16/2011	08/02/2013	257.00	137.00			120.00
3.	MERCADOLIBRE INC	09/16/2011	09/25/2013	389.00	205.00			184.00
34.	MORGAN STANLEY, DEAN W	09/07/2012	12/17/2013	1,039.00	563.00			476.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
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	158. NORDSTROM INC	08/15/2011	10/10/2013	8,937.00	6,946.00			1,991.00
	7. NOVARTIS AG-ADR	08/11/2011	10/31/2013	544.00	382.00			162.00
	12. OCWEN FINL CORP	10/24/2012	12/17/2013	663.00	432.00			231.00
	319. OMNICARE INC	01/13/2012	02/26/2013	11,682.00	9,553.00			2,129.00
	98. PVH CORP	08/15/2011	04/04/2013	10,293.00	6,268.00			4,025.00
	93. PERRIGO CO	08/15/2011	01/29/2013	9,405.00	8,218.00			1,187.00
	47. PHILIP MORRIS INTL INC	07/19/2012	08/28/2013	3,917.00	4,230.00			-313.00
	91. PHILIP MORRIS INTL INC	07/19/2012	08/29/2013	7,585.00	8,134.00			-549.00
	149. PLUM CREEK TIMBER CO	09/12/2012	09/16/2013	6,987.00	6,192.00			795.00
	4. POTASH CORP SASK INC CO	08/11/2011	06/12/2013	161.00	219.00			-58.00
	6. POTASH CORP SASK INC CO	08/11/2011	06/13/2013	242.00	329.00			-87.00
	11. POTASH CORP SASK INC C	08/11/2011	06/24/2013	421.00	603.00			-182.00
	7. POTASH CORP SASK INC CO	08/11/2011	07/29/2013	262.00	384.00			-122.00
	36. POTASH CORP SASK INC C	11/28/2011	07/31/2013	1,077.00	1,863.00			-786.00
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	115. PROSHARES RUSSELL 200	05/10/2012	05/20/2013	2,031.00	3,695.00			-1,664.00
	174. QUESTAR CORP	06/25/2012	08/28/2013	3,868.00	3,534.00			334.00
	162. QUESTAR CORP	08/15/2011	09/13/2013	3,588.00	3,047.00			541.00
	232. QUESTAR CORP	08/15/2011	09/17/2013	5,069.00	4,364.00			705.00
	48. RECKITT BENCK GRP SPON	08/11/2011	07/17/2013	678.00	510.00			168.00
	121. ROBERT HALF INTL INC	08/15/2011	02/11/2013	4,299.00	2,821.00			1,478.00
	108. ROBERT HALF INTL INC	08/15/2011	04/29/2013	3,521.00	2,518.00			1,003.00
	271. ROBERT HALF INTL INC	08/22/2011	05/03/2013	8,740.00	6,296.00			2,444.00
	79. ROPER INDS INC NEW	08/15/2011	08/21/2013	9,828.00	5,774.00			4,054.00
	185. SLM CORP	08/15/2011	07/18/2013	4,590.00	2,599.00			1,991.00
	227. SLM CORP	08/15/2011	09/30/2013	5,649.00	3,189.00			2,460.00
	310. SLM CORP	10/07/2011	10/10/2013	7,611.00	4,122.00			3,489.00
	8. SAP AG-SPONSORED ADR	08/11/2011	07/03/2013	568.00	419.00			149.00
	5. SAP AG-SPONSORED ADR	08/11/2011	07/09/2013	360.00	262.00			98.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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						(f) Code(s) from instructions	(g) Amount of adjustment	
7.	SAP AG-SPONSORED ADR	08/11/2011	10/15/2013	508.00	367.00			141.00
4.	SAP AG-SPONSORED ADR	08/11/2011	10/16/2013	290.00	210.00			80.00
3.	SAP AG-SPONSORED ADR	08/11/2011	10/17/2013	218.00	157.00			61.00
138.	SBERBANK RUSSIA	09/12/2011	03/27/2013	1,711.00	1,467.00			244.00
9.	SCHLUMBERGER LTD	08/11/2011	05/20/2013	695.00	701.00			-6.00
6.	SCHLUMBERGER LTD	08/11/2011	10/08/2013	530.00	467.00			63.00
4.	SIEMENS AG-ADR	08/11/2011	06/24/2013	400.00	420.00			-20.00
4.	SIEMENS AG-ADR	08/11/2011	06/25/2013	400.00	420.00			-20.00
4.	SIEMENS AG-ADR	08/11/2011	07/05/2013	401.00	420.00			-19.00
7.	SIEMENS AG-ADR	09/15/2011	07/08/2013	725.00	715.00			10.00
3.	SIEMENS AG-ADR	09/15/2011	07/09/2013	312.00	291.00			21.00
3.	SWATCH GROUP AG	11/04/2011	01/29/2013	81.00	62.00			19.00
15.	SWATCH GROUP AG	11/04/2011	03/07/2013	423.00	309.00			114.00
215.	TW TELECOM INC	08/15/2011	03/11/2013	5,363.00	4,078.00			1,285.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

31-15C066471768 BOB HONG FOUNDATION

Social security number or taxpayer identification number

04-6911786

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions **not** reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g).
						(f) Code(s) from instructions	(g) Amount of adjustment	
	271. TW TELECOM INC	08/29/2011	03/25/2013	6,710.00	5,125.00			1,585.00
	15. TENCENT HLDGS LTD	08/11/2011	07/19/2013	638.00	359.00			279.00
	19. TENCENT HLDGS LTD	08/11/2011	07/29/2013	858.00	454.00			404.00
	9. TENCENT HLDGS LTD	08/11/2011	08/01/2013	415.00	215.00			200.00
	8. TENCENT HLDGS LTD	08/11/2011	10/10/2013	422.00	191.00			231.00
	9. TENCENT HLDGS LTD	08/11/2011	10/30/2013	493.00	215.00			278.00
	7. TENCENT HLDGS LTD	08/11/2011	12/12/2013	422.00	167.00			255.00
	14. TEVA PHARMACEUTICAL IN	08/11/2011	02/06/2013	532.00	579.00			-47.00
	21. TEVA PHARMACEUTICAL IN	08/11/2011	06/12/2013	821.00	868.00			-47.00
	21. TEVA PHARMACEUTICAL IN	08/11/2011	10/10/2013	844.00	868.00			-24.00
	12. TEVA PHARMACEUTICAL IN	08/11/2011	10/15/2013	477.00	496.00			-19.00
	11. TEVA PHARMACEUTICAL IN	08/11/2011	10/16/2013	438.00	455.00			-17.00
	3. TOYOTA MTR CORP 00	08/11/2011	03/27/2013	308.00	227.00			81.00
	3. TOYOTA MTR CORP 00	08/11/2011	04/17/2013	335.00	227.00			108.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

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Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	69. TRANSDIGM GROUP INC	08/22/2011	02/05/2013	9,594.00	6,030.00			3,564.00
	186. TURKIYE GARANTI BANKA	08/11/2011	04/02/2013	969.00	657.00			312.00
	159. TURKIYE GARANTI BANKA	08/11/2011	04/03/2013	823.00	561.00			262.00
	92. ULTA SALON COSMETICS & INC	10/06/2011	02/15/2013	8,060.00	5,791.00			2,269.00
	60. VODAFONE GROUP SPD ADR SH	01/25/2012	02/01/2013	1,638.00	1,610.00			28.00
	40. WAL-MART DE MEXICO SA-	08/11/2011	11/06/2013	982.00	988.00			-6.00
	24. WAL-MART DE MEXICO SA-	08/11/2011	11/07/2013	576.00	593.00			-17.00
	69. WHIRLPOOL CORP	03/14/2012	04/29/2013	8,008.00	5,296.00			2,712.00
	105. WYNDHAM WORLDWIDE COR	01/19/2012	05/20/2013	6,387.00	4,183.00			2,204.00
	348. ENERGY XXI BERMUDA	09/20/2012	10/16/2013	11,045.00	12,439.00			-1,394.00
	12. CHECK POINT SOFTWARE T 00	04/24/2012	04/25/2013	542.00	702.00			-160.00
	14. CHECK POINT SOFTWARE T 00	11/17/2011	04/26/2013	631.00	784.00			-153.00
	5. CHECK POINT SOFTWARE TE 00	12/21/2011	04/29/2013	228.00	260.00			-32.00
	4. CHECK POINT SOFTWARE TE 00	12/21/2011	04/30/2013	184.00	205.00			-21.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	17. YANDEX N.V. COM USD0.0	08/11/2011	07/24/2013	518.00	527.00			-9.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►				353,255.	271,884.			81,371.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Department of the Treasury
Internal Revenue Service

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☒ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	41. SPDR GOLD TRUST GLD SH	06/15/2012	01/30/2013	6,666.00	6,475.00			191.00
	31. SPDR GOLD TRUST GLD SH	06/15/2012	02/15/2013	4,822.00	4,896.00			-74.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►				11,488.	11,371.			117.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

FEDERAL CAPITAL GAIN DISTRIBUTIONS

=====

LONG-TERM CAPITAL GAIN DISTRIBUTIONS

15% RATE CAPITAL GAIN DISTRIBUTIONS

PLUM CREEK TIMBER CO INC	296.00
WEYERHAEUSER CO	176.00
WISDOMTREE TRUST JP TOTAL DIVID	236.00
CLASS ACTION PROCEEDS	9.00

TOTAL 15% RATE CAPITAL GAIN DISTRIBUTIONS

718.00

TOTAL LONG-TERM CAPITAL GAIN DISTRIBUTIONS

718.00

=====



Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013

STATEMENT OVERVIEW

This alternate statement has been prepared for
Citibank, N.A. Agent For The Bob Hong Foundation
Dated 2/29/00

Legal Entity

CITIBANK, N.A. - NEW YORK

Reference Currency: USD

1964689
31



	LAST PERIOD	THIS PERIOD
	Total Value USD	Total Value USD
TOTAL ASSETS	1,065,764.07	1,056,039.36
Investment Assets In This Statement	1,065,764.07	1,056,039.36
Legg Mason MDA Portfolios — 15C066471768	13,308.03	5,921.27
Roosevelt All Cap Core Equity — C7B009704004	775,920.93	771,282.18
Trust Account — C7B009712004	86,075.91	84,949.26
Thornburg Intl Value ADR — C7B009720004	190,459.20	193,886.65

Total Value = Market Value + Accrued Interest + Accrued Dividend



Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013



Reference Currency USD

STATEMENT OVERVIEW

MESSAGES

Please be advised that ADV Part 2 brochures and brochure supplements ("ADV Brochures") describing your fee-based managed programs ("Managed Accounts") are electronically available for you to access, view, and print at <http://www.privatebank.citibank.com/adv.htm>. In particular, the following third-party investment adviser has updated its ADV Brochure: Invesco. You can access its ADV Brochure on the website through the link that corresponds to its name. Please visit the website soon to review the ADV Brochures carefully, as they provide important information related to your Managed Accounts.



Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013

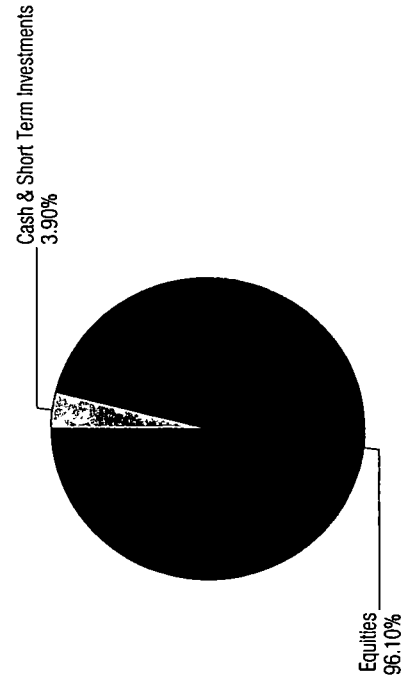
STATEMENT OVERVIEW

Reference Currency USD

ACTIVITY SUMMARY

	TOTAL ACTIVITY		PRINCIPAL ACTIVITY		INCOME ACTIVITY	
	This Period	Year to Date	This Period	Year to Date	This Period	Year to Date
Opening Market Value	1,064,646.11	891,792.88	1,064,156.50	891,632.33	489.61	160.55
Deposits to Account	30,946.86	43,174.33	30,946.86	43,174.33	—	—
Withdrawals from Account	(69,280.18)	(86,498.66)	(66,333.32)	(72,333.32)	(2,946.86)	(14,165.34)
Securities Transferred In	—	242.62	—	242.62	—	—
Securities Transferred Out	—	—	—	—	—	—
Dividends and Interest	3,208.26	15,322.10	236.40	236.40	2,971.86	15,085.70
Market Change	26,454.41	199,036.00	26,454.41	199,036.00	0.00	0.00
Other Income or Expense	(83.98)	(7,177.79)	0.00	(6,527.51)	(83.98)	(650.28)
Closing Market Value	1,055,891.48	1,055,891.48	1,055,460.85	1,055,460.85	430.63	430.63

ASSET ALLOCATION TABLE SHOWN ON NEXT PAGE



Values less than 1% not graphed.

Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013

STATEMENT OVERVIEW

Reference Currency: USD

ASSET ALLOCATION & UNREALIZED GAIN/(LOSS)

Asset Class	Total Value Last Period	Change	Total Value This Period	Percent of Total Account	Cost	Unrealized Gain/(Loss)	Estimated Annual Income	Accrued Interest/Dividend
Cash & Short Term Investments	62,754.40	(21,545.88)	41,208.52	3.90	41,206.45	0.00	20.96	2.07
Cash	(2,920.54)	2,204.28	(716.26)	—	(716.26)	0.00	0.00	0.00
Short Term Investments	65,674.94	(23,750.16)	41,924.78	—	41,922.71	0.00	20.96	2.07
Equities	1,003,009.67	11,821.17	1,014,830.84	96.10	763,551.61	251,133.42	13,005.38	145.81
Common Stock	880,936.43	13,366.24	894,302.67	84.68	649,895.63	244,261.23	10,929.32	145.81
Mutual Funds	122,073.24	(1,545.07)	120,528.17	11.41	113,655.98	6,872.19	2,076.06	0.00
Total Assets	1,065,764.07	(9,724.71)	1,056,039.36	100.00	804,758.06	251,133.42	13,026.34	147.88

Total Value = Market Value + Accrued Interest + Accrued Dividend

00345/00362/10302/H45/M

Citibank, N.A. Agent For The Bob Hong Foundation Dated 2/29/00

Page 6 of 64



Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013



STATEMENT OVERVIEW

Reference Currency: USD

ASSET ALLOCATION - PRINCIPAL AND INCOME	TOTAL		PRINCIPAL		INCOME	
	Total Value		Market Value	Accrued Interest/Dividend	Market Value	Accrued Interest/Dividend
Cash & Short Term Investments	41,208.52		40,775.82	2.02	430.63	0.05
Equities	1,014,830.84		1,014,685.03	145.81	—	—
Fixed Income	—		—	—	—	—
Convertible Bonds	—		—	—	—	—
Multi Asset Class Funds	—		—	—	—	—
Alternative Investments	—		—	—	—	—
Capital Markets	—		—	—	—	—
Others	—		—	—	—	—
Total	1,056,039.36		1,055,460.85	147.83	430.63	0.05

Total Value = Market Value + Accrued Interest + Accrued Dividend

CASH ACTIVITY - PRINCIPAL AND INCOME	TOTAL ACTIVITY		PRINCIPAL ACTIVITY		INCOME ACTIVITY	
	This Period	Year to Date	This Period	Year to Date	This Period	Year to Date
Opening Cash Balance	62,751.38	22,514.79	62,261.77	22,354.24	489.61	160.55
Cash Deposits	30,946.86	43,174.33	30,946.86	43,174.33	—	—
Cash Withdrawals	(59,280.18)	(86,498.66)	(66,333.32)	(72,333.32)	(2,946.86)	(14,165.34)
Assets Purchased	(16,802.44)	(601,813.63)	(16,802.44)	(601,813.63)	—	—
Assets Sold	30,466.55	655,685.31	30,466.55	655,685.31	—	—
Income Received	3,208.26	15,322.10	236.40	236.40	2,971.86	15,085.70
Taxes / Fees / Miscellaneous	(83.98)	(7,177.79)	—	(6,527.51)	(83.98)	(650.28)
Closing Cash Balance	41,206.45	41,206.45	40,775.82	40,775.82	430.63	430.63

Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013

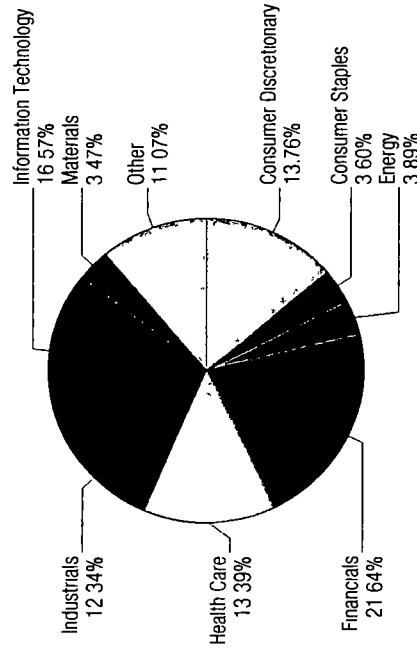
Citi Trust



EQUITY INVESTMENTS ANALYSIS

Reference Currency USD

SECTOR ALLOCATION



Values less than 1% not graphed

Sector Name	Market Value	% Total
Consumer Discretionary	139,577.42	13.8
Consumer Staples	36,484.05	3.6
Energy	39,518.75	3.9
Financials	219,585.96	21.6
Foreign Equities	0.00	0.0
Health Care	135,855.66	13.4
Industrials	125,199.72	12.3
Information Technology	168,165.91	16.6
Materials	35,228.74	3.5
Miscellaneous - Foreign	0.00	0.0
Other	112,297.45	11.1
Telecommunication Services	2,771.37	0.3
Utilities	0.00	0.0
Total	1,014,685.03	100.0

TOP TEN HOLDINGS BY VALUE

Description	Sector	Market Value	% Total	Yield
ISHARES MSCI EMERGING	Other	84,843.85	8.36	2.05
MORGAN STANLEY	Financials	32,269.44	3.18	0.64
DISCOVER FINANCIAL SVC	Financials	29,877.30	2.94	1.43
CHICAGO BRIDGE&IRON NV	Industrials	27,935.04	2.75	0.24
ETF WISDOMTREE JAPAN H	Other	27,453.60	2.71	1.21
HOME DEPOT INC	Consumer Discretionary	26,760.50	2.64	1.89
PFIZER INC	Health Care	25,974.24	2.56	3.40
BIOMGEN IDEC INC	Health Care	25,720.62	2.53	0.00
CELGENE CORP	Health Care	25,514.17	2.51	0.00
HERTZ GLOBAL HOLDINGS	Consumer Discretionary	24,784.92	2.44	0.00
Total		331,133.68	32.63	



Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

CASH AND SHORT TERM INVESTMENTS 3.86% SORTED BY LIQUIDITY									
Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	(716.26)	0.000	(716.26)	0.00	(716.26)	0.00	(716.26)	0.00	0.00
Short Term Investments									
CITIBANK M.D.A. (L) CUSIP 9900CST72 Yield .05%	105.41	100.000	105.41	1.00	105.41	0.00	105.41	0.00	0.05
CITIBANK M.D.A. (L) CUSIP 9900CST72 Yield .05%	35,571.51	100.000	35,571.51	1.00	35,571.51	1.62	35,573.13	0.00	17.78
CITIBANK M.D.A. (L) CUSIP 9913CMP64 Yield .05%	5,815.16	100.000	5,815.16	1.00	5,815.16	0.40	5,815.56	0.00	2.91
Total Cash and Short Term Investments			40,775.82		40,775.82	2.02	40,777.84	0.00	20.74

EQUITIES 96.14% SORTED ALPHABETICALLY									
Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock									
ACCENTURE PLC Ticker/CUSIP : ACN/G1151C101 Yield 2.26% Sector Information Technology	29	57.390	1,664.31	82.22 31 Dec 13	2,384.38	0.00	2,384.38	720.07	53.94
ADIDAS AG SPON ADR Ticker/CUSIP : ADDY/00687A107 Yield 9.9% Sector Consumer Discretionary Conv. Ratio .5:1	72	34.897	2,512.59	63.8263 31 Dec 13	4,595.49	0.00	4,595.49	2,082.90	45.50
AIA GROUP LTD Ticker/CUSIP : AAGY/001317205 Yield .87% Sector Financials Conv Ratio 4:1	231	15.370	3,550.54	20.0677 31 Dec 13	4,635.64	0.00	4,635.64	1,085.10	40.42

Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
AMADEUS IT HLDGS SA Ticker/CUSIP · AMADY/02263T104 Yield 1 12% Sector Information Technology Conv Ratio 1 1	50	32 869	1,643 47	42 8609 31 Dec 13	2,143 05	0 00	2,143 05	499 58	23 92
AMERICAN INTL GROUP INC Ticker/CUSIP · AIG/026874784 Yield 78% Sector Financials	402	41 828	16,814 68	51 05 31 Dec 13	20,522 10	0 00	20,522 10	3,707 42	160 80
ANHEUSER-BUSCH INBEV SPONS Ticker/CUSIP · BUD/03524A108 Yield 2 35% Sector Consumer Staples Conv Ratio 1 1	28	101 231	2,834 48	106 46 31 Dec 13	2,980 88	0 00	2,980 88	146 40	70 11
ARCHER-DANIELS-MIDLAND CO Ticker/CUSIP · ADM/039483102 Yield 2 21% Sector Consumer Staples	518	28 306	14,662 40	43 40 31 Dec 13	22,481 20	0 00	22,481 20	7,818 80	497 28
ARM HOLDINGS PLC ADR Ticker/CUSIP · ARMH/042068106 Yield 37% Sector Information Technology Conv Ratio 3 1	37	25 210	932 77	54 731 31 Dec 13	2,025 05	0 00	2,025 05	1,092 28	7 48
ASML HOLDING N V NY REG SHS Ticker/CUSIP · ASML/N07059210 Yield 63% Sector Information Technology	31	59 699	1,850 67	93 70 31 Dec 13	2,904 70	0 00	2,904 70	1,054 03	18 19
ASSA ABLOY AB-SEK Ticker/CUSIP · ASAZ/045387107 Yield 1 15% Sector Industrials Conv Ratio 5 1	122	10 940	1,334 68	26 4535 31 Dec 13	3,227 33	0 00	3,227 33	1,892 65	36 96
BAIDU INC SPON ADR RPTNG Ticker/CUSIP · BIDU/056752108 Sector Information Technology	16	115 825	1,853 20	177 88 31 Dec 13	2,846 08	0 00	2,846 08	992 88	0 00



Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
BANCO BILBAO VIZCAYA-SP Ticker/CUSIP BBVA/05946K101 Yield 3.53% Sector Financials Conv. Ratio 1:1	190	11.062	2,101.73	12.39 31 Dec 13	2,354.10	0.00	2,354.10	252.37	83.17
BANK MANDIRI TBK UNSPON Ticker/CUSIP PPERV/69367U105 Yield 2.29% Sector Financials Conv. Ratio 10:1	105	8.817	925.74	6.4503 31 Dec 13	677.28	0.00	677.28	(248.46)	15.52
BIOMGEN IDEC INC Ticker/CUSIP BILB/09062X103 Sector Health Care	92	106.923	9,836.88	279.572 31 Dec 13	25,720.62	0.00	25,720.62	15,883.74	0.00
BROADCOM CORP CL A Ticker/CUSIP BRCM/111320107 Yield 1.48% Sector Information Technology	539	34.810	18,762.33	29.645 31 Dec 13	15,978.66	0.00	15,978.66	(2,783.67)	237.16
BURBERRY GROUP PLC Ticker/CUSIP BURBY/12082W204 Yield 1.77% Sector Consumer Discretionary Conv. Ratio 2:1	62	42.855	2,657.00	50.2178 31 Dec 13	3,113.50	0.00	3,113.50	456.50	54.97
CANADIAN NATL RAILWAY CO Ticker/CUSIP CN/136375102 Yield 1.42% Sector Industrials	69	35.635	2,458.81	57.02 31 Dec 13	3,934.38	0.00	3,934.38	1,475.57	55.84
CARNIVAL CORP Ticker/CUSIP CCL/143658300 Yield 2.49% Sector Consumer Discretionary	75	31.038	2,327.84	40.17 31 Dec 13	3,012.75	0.00	3,012.75	684.91	75.00
CELGENE CORP Ticker/CUSIP CELG/151020104 Sector Health Care	151	111.176	16,787.61	168.968 31 Dec 13	25,514.17	0.00	25,514.17	8,726.56	0.00

Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013

Citi Trust



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est Annual Income
Common Stock CONTINUED									
CHICAGO BRIDGE&IRON NV SHS Ticker/QJUSIP · CBI/167250109 Yield 24% Sector Industrials Conv Ratio 1 1	336	55 300	18,580 75	83 14 31 Dec 13	27,935 04	0 00	27,935 04	9,354 29	67 20
CHINA MOBILE LTD Ticker/QJUSIP · CHL/16941M109 Yield 3 85% Sector Telecommunication Services Conv Ratio 5 1	53	54 066	2,865 48	52 29 31 Dec 13	2,771 37	0 00	2,771 37	(94 11)	106.82
CIT GROUP INC Ticker/QJUSIP · CIT/125581801 Yield 77% Sector Financials	223	48 670	10,853 30	52.13 31 Dec 13	11,624 99	0 00	11,624 99	771 69	89 20
CME GROUP INC Ticker/QJUSIP · CME/125720105 Yield 2 29% Sector Financials	72	73.542	5,295.01	78 46 31 Dec 13	5,649 12	0 00	5,649.12	354 11	129 60
COGNIZANT TECH SOLUTIONS CL A Ticker/QJUSIP · CTS/192446102 Sector Information Technology	217	80 727	17,517 77	100 98 31 Dec 13	21,912 66	0 00	21,912 66	4,394 89	0 00
COMPASS GROUP PLC ADR Ticker/QJUSIP · CMPGY/20449X203 Yield 2.18% Sector Consumer Discretionary Conv Ratio 1 1	205	12 068	2,473 91	16 0326 31 Dec 13	3,286 68	0 00	3,286 68	812.77	71 65
CREE INC Ticker/QJUSIP · CREE/225447101 Sector Information Technology	200	30 097	6,019.45	62 52 31 Dec 13	12,504 00	0 00	12,504 00	6,484 55	0 00
DASSAULT SYSTEMS SA ADR Ticker/QJUSIP · DASTY/237545108 Yield 59% Sector Information Technology Conv Ratio 1 1	17	79 540	1,352 18	124 3317 31 Dec 13	2,113 64	0 00	2,113 64	761.46	12 37



Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
DEUTSCHE BANK-EUR Ticker/CUSIP DB/D18190898 Yield 2.01% Sector Financials	55	45 103	2,480 65	48 24 31 Dec 13	2,653 20	0 00	2,653 20	172 55	53 44
DISCOVER FINANCIAL SVCS Ticker/CUSIP DFS/254709108 Yield 1 43% Sector Financials	534	25 939	13,851 66	55 95 31 Dec 13	29,877 30	0 00	29,877 30	16,025 64	427 20
EATON CORP PLC Ticker/CUSIP ETN/G29183103 Yield 2.21% Sector Industrials	217	67 374	14,620 15	76 12 31 Dec 13	16,518 04	0 00	16,518 04	1,897 89	364 56
EBAY INC Ticker/CUSIP EBAY/278642103 Sector Information Technology	181	30 608	5,540 05	54 865 31 Dec 13	9,930 57	0 00	9,930 57	4,390 52	0 00
ELI LILLY & CO Ticker/CUSIP LLY/532457108 Yield 3.84% Sector Health Care	306	44 301	13,556 03	51 00 31 Dec 13	15,606 00	0 00	15,606 00	2,049 97	599 76
EMBRACER S A-BRL Ticker/CUSIP ERJ/29082A107 Yield 25% Sector Industrials Conv Ratio 4 1	75	23 454	1,759 05	32 18 31 Dec 13	2,413 50	9 74	2,423 24	654 45	6 07
EOG RESOURCES INC Ticker/CUSIP EOG/26875P101 Yield 45% Sector Energy	108	108 970	11,768 77	167 84 31 Dec 13	18,126 72	0 00	18,126 72	6,357 95	81 00
EXPERIAN GROUP LTD ADR Ticker/CUSIP EPGY/30215C101 Yield 1 74% Sector Consumer Discretionary Conv Ratio 1 1	108	17 942	1,937 78	18 4507 31 Dec 13	1,992 68	0 00	1,992 68	54 90	34 67
FACEBOOK INC - A Ticker/CUSIP FB/30303M102 Sector Information Technology	424	27 042	11,465 95	54 649 31 Dec 13	23,171 18	0 00	23,171 18	11,705 23	0 00

Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013

Citi Trust



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est Annual Income
Common Stock CONTINUED									
FANUC CORP Ticker/CUSIP FANUY/307305102 Yield 67% Sector Industrials Conv Ratio 1667 1	409	26 886	10,996 52	30 525 31 Dec 13	12,484 73	0 00	12,484 73	1,488 21	84 07
FRESENIUS MEDICAL CARE Ticker/CUSIP FMS/358029106 Yield 94% Sector Health Care Conv Ratio 5.1	95	33 755	3,206 72	35 58 31 Dec 13	3,380 10	0 00	3,380 10	173 38	31 70
GNC HOLDINGS INC Ticker/CUSIP GNC/36191G107 Yield 1 03% Sector Consumer Discretionary	409	40 260	16,466 29	58 45 31 Dec 13	23,906 05	0 00	23,906 05	7,439 76	245 40
HENNES & MAURITZ AB ADR Ticker/CUSIP HNNMY/425883105 Yield 2 41% Sector Consumer Discretionary Conv Ratio 2 1	361	6 160	2,223 76	9 2237 31 Dec 13	3,329 76	0.00	3,329 76	1,106 00	80 32
HERTZ GLOBAL HOLDINGS INC Ticker/CUSIP HTZ/42805T105 Sector Consumer Discretionary	866	10 246	8,873 27	28 62 31 Dec 13	24,784 92	0 00	24,784 92	15,911 65	0 00
HOME DEPOT INC Ticker/CUSIP HD/437076102 Yield 1 89% Sector Consumer Discretionary	325	56 982	18,519 25	82 34 31 Dec 13	26,760 50	0 00	26,760 50	8,241 25	507 00
HONG KONG EXCHANGES AND Ticker/CUSIP HXCXY/43858F109 Yield 2 29% Sector Financials Conv Ratio 1 1	115	17 930	2,061 95	16 6758 31 Dec 13	1,917 72	0.00	1,917 72	(144 23)	43 90
HSBC HLDG PLC SP ADR NEW Ticker/CUSIP HSBC/404280406 Yield 4 35% Sector Financials Conv Ratio 5 1	51	44 735	2,281 46	55.13 31 Dec 13	2,811 63	0 00	2,811 63	530 17	122.40



Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
ICICI BANK LTD-SPONS ADR- Ticker/CUSIP IBN/45104G104 Yield 1.79% Sector : Financials Conv. Ratio 2:1	447	33 753	15,087.47	37.17 31 Dec 13	16,614.99	0.00	16,614.99	1,527.52	298.15
INCYTE CORP Ticker/CUSIP INCY/45337C102 Sector Health Care	194	37 013	7,180.49	50.63 31 Dec 13	9,822.22	0.00	9,822.22	2,641.73	0.00
INDUSTRIAL & COML BK Ticker/CUSIP IDCBY/455807107 Yield 4.8% Sector : Financials Conv Ratio 20:1	134	12.950	1,735.30	13.516 31 Dec 13	1,811.14	0.00	1,811.14	75.84	86.89
ING GROEP NV SPONS ADR Ticker/CUSIP ING/456837103 Sector : Financials Conv. Ratio 1:1	301	10 656	3,207.34	14.01 31 Dec 13	4,217.01	0.00	4,217.01	1,009.67	0.00
INTESA SANPAOLO S P A Ticker/CUSIP ISNPY/46115H107 Yield 3.86% Sector : Financials Conv. Ratio 6:1	160	13 896	2,223.43	14 8322 31 Dec 13	2,373.15	0.00	2,373.15	149.72	91.68
ITALU UNIBANCO BANCO HLDG Ticker/CUSIP ITUB/465562106 Yield .58% Sector : Financials Conv. Ratio 1:1	129	15 484	1,997.46	13.57 31 Dec 13	1,750.53	0.00	1,750.53	(246.93)	10.17
JULIUS BAER GROUP LTD Ticker/CUSIP JBAXY/48137C108 Sector Financials Conv Ratio 2:1	195	9 604	1,872.78	9 634 31 Dec 13	1,878.63	0.00	1,878.63	5.85	0.00

Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013

Citi Trust



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est Annual Income
Common Stock CONTINUED									
KANSAS CITY SOUTHERN INDS NEW Ticker/CUSIP : KSU/485170302 Yield 69% Sector Industrials	129	105 740	13,640 47	123 83 31 Dec 13	15,974 07	27 74	16,001 81	2,333 60	110 94
KINDER MORGAN MANAGEMENT LLC Ticker/CUSIP : KMR/49455U100 Sector : Energy	193	52 921	10,213 76	75 66 31 Dec 13	14,602 38	0 00	14,602 38	4,388 62	0 00
KINGFISHER PLC Ticker/CUSIP : KGFHY/495724403 Yield 2 11% Sector Consumer Discretionary Conv Ratio 2 1	393	7 400	2,908 20	12 7433 31 Dec 13	5,008 12	0 00	5,008 12	2,099 92	105 60
KOMATSU LTD ADR NEW Ticker/CUSIP : KMTUY/500458401 Yield 2 18% Sector Industrials Conv Ratio 1 1	95	25 011	2,376 05	20 332 31 Dec 13	1,931 54	0 00	1,931 54	(444 51)	42 17
KONE OYJ ADR Ticker/CUSIP : KNYJY/50048H101 Yield 5 13% Sector Industrials	82	21 706	1,779 88	22 5982 31 Dec 13	1,853 05	0 00	1,853 05	73 17	95 08
KUBOTA LTD ADR -USD- Ticker/CUSIP : KUBTY/501173207 Yield 1% Sector Industrials Conv Ratio 5 1	34	75 208	2,557 07	82.7268 31 Dec 13	2,812 71	0 00	2,812 71	255 64	28 14
LAS VEGAS SANDS CORP Ticker/CUSIP : LVS/517834107 Yield 1 78% Sector Consumer Discretionary	48	42 196	2,025 41	78 87 31 Dec 13	3,785 76	0 00	3,785 76	1,760 35	67 20
LULULEMON ATHLETICA INC Ticker/CUSIP : LULU/550021109 Sector Consumer Discretionary	48	67 302	3,230 50	59 03 31 Dec 13	2,833 44	0 00	2,833 44	(397 06)	0 00

00345/00362/10312/H45/M

Citibank, N.A. Agent For The Bob Hong Foundation Dated 2/29/00

Page 16 of 64



Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
LVMH MOET HENNESSY LOUIS Ticker/CUSIP LVMUY/502441306 Yield 1.59% Sector . Consumer Discretionary Conv. Ratio 2.1	144	31.910	4,595.00	36.543 31 Dec 13	5,262.19	0.00	5,262.19	667.19	83.69
LYONDELLBASELL INDU CL A Ticker/CUSIP LYB/NS3745100 Yield 2.99% Sector Materials	284	52.544	14,922.61	80.28 31 Dec 13	22,799.52	0.00	22,799.52	7,876.91	681.60
MERCADOLIBRE INC Ticker/CUSIP MELJ/58733R102 Yield .53% Sector Information Technology	18	72.108	1,297.94	107.79 31 Dec 13	1,940.22	2.57	1,942.79	642.28	10.30
MICHELIN CGDE UNSPON ADR Ticker/CUSIP MGDDY/59410T106 Yield 2.24% Sector Consumer Discretionary Conv. Ratio 2.1	152	15.146	2,302.22	21.2892 31 Dec 13	3,235.96	0.00	3,235.96	933.74	72.46
MICROCHIP TECHNOLOGY INC Ticker/CUSIP MCHP/595017104 Yield 3.17% Sector Information Technology	430	33.654	14,471.10	44.75 31 Dec 13	19,242.50	0.00	19,242.50	4,771.40	609.74
MITSUBISHI UFJ FINANCIAL Ticker/CUSIP MTU/606822104 Yield 1.91% Sector Financials Conv. Ratio 1.1	564	5.839	3,292.94	6.68 31 Dec 13	3,767.52	0.00	3,767.52	474.58	71.79
MORGAN STANLEY Ticker/CUSIP MS/617446448 Yield .64% Sector Financials	1,029	14.504	14,924.43	31.36 31 Dec 13	32,269.44	0.00	32,269.44	17,345.01	205.80
NESTLE S A SPONSORED ADR Ticker/CUSIP NSRGY/641069406 Yield 2.47% Sector Consumer Staples Conv. Ratio 1.1	55	63.594	3,497.66	73.4244 31 Dec 13	4,038.34	0.00	4,038.34	540.68	99.85

Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013

Citi Trust



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
NOKIA CORP Ticker/CUSIP NOK/654902204 Sector Information Technology Conv Ratio 1:1	118	8.087	954.23	8.11 31 Dec 13	956.98	0.00	956.98	2.75	0.00
NOMURA HOLDINGS INC ADR Ticker/CUSIP NMR/65535H208 Yield 1.62% Sector Financials Conv Ratio 1:1	2,511	8.514	21,378.55	7.77 31 Dec 13	19,510.47	0.00	19,510.47	(1,868.08)	316.38
NOVARTIS AG ADR Ticker/CUSIP NVS/66987V109 Yield 2.56% Sector Health Care Conv Ratio 1:1	48	54.640	2,622.72	80.38 31 Dec 13	3,858.24	0.00	3,858.24	1,235.52	98.76
NOVO-NORDISK A S ADR Ticker/CUSIP NVO/670100205 Yield 1.23% Sector Health Care Conv Ratio 1:1	30	124.697	3,740.91	184.76 31 Dec 13	5,542.80	0.00	5,542.80	1,801.89	67.93
OCWEN FINL CORP Ticker/CUSIP OCW/675746309 Sector Financials	348	35.740	12,437.55	55.45 31 Dec 13	19,296.60	0.00	19,296.60	6,859.05	0.00
PACIFIC RUBIALES ENERGY Ticker/CUSIP PEGFF/69480U206 Yield 3.82% Sector Energy	148	20.180	2,986.57	17.26 31 Dec 13	2,554.48	0.00	2,554.48	(432.09)	97.68
PEARSON PLC SPONSORED ADR Ticker/CUSIP .PSO/705015105 Yield 3.2% Sector Consumer Discretionary Conv Ratio 1:1	128	18.830	2,410.24	22.40 31 Dec 13	2,867.20	0.00	2,867.20	456.96	91.88
PFIZER INC Ticker/CUSIP PFE/717081103 Yield 3.4% Sector Health Care	848	24.417	20,705.71	30.63 31 Dec 13	25,974.24	0.00	25,974.24	5,268.53	881.92

00345/00362/10314/H45/M

Citibank, N.A. Agent For The Bob Hong Foundation Dated 2/29/00

Page 18 of 64



Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
PROTO LABS INC Ticker/CUSIP : PRLB/743713109 Sector Industrials	152	75.071	11,410.80	71.18 31 Dec 13	10,819.36	0.00	10,819.36	(591.44)	0.00
PUBLICIS GROUPE ADR Ticker/CUSIP : PUBGY/74463M106 Yield 94% Sector : Consumer Discretionary Conv. Ratio 25:1	270	13.897	3,752.10	22.9117 31 Dec 13	6,186.16	0.00	6,186.16	2,434.06	58.13.
RAYTHEON COMPANY NEW Ticker/CUSIP : RTN/755111507 Yield 2.43% Sector Industrials	169	69.310	11,713.39	90.70 31 Dec 13	15,328.30	92.95	15,421.25	3,614.91	371.80
RECKITT BENCKISER GROUP PL Ticker/CUSIP : RBGLY/756255204 Yield 2.59% Sector Consumer Staples Conv. Ratio 2.1	249	10.620	2,644.38	15.8769 31 Dec 13	3,953.35	0.00	3,953.35	1,308.97	102.33
REGENERON PHARMACEUTICALS INC Ticker/CUSIP : REGN/75886F107 Sector : Health Care	60	156.350	9,381.00	275.24 31 Dec 13	16,514.40	0.00	16,514.40	7,133.40	0.00
ROCHE HLDG LTD SPON ADR Ticker/CUSIP : RHBY/771195104 Yield 2.32% Sector Health Care Conv. Ratio 25:1	56	61.276	3,431.48	70.0512 31 Dec 13	3,922.87	0.00	3,922.87	491.39	90.97
SABMILLER PLC SPON ADR Ticker/CUSIP : SBMRY/78572M105 Yield 1.93% Sector Consumer Staples Conv. Ratio 1:1	59	38.340	2,262.05	51.3606 31 Dec 13	3,030.28	0.00	3,030.28	768.23	58.54
SAP AG SPONS ADR-USD Ticker/CUSIP : SAP/803054204 Yield 92% Sector Information Technology Conv. Ratio 1:1	26	52.380	1,361.88	87.14 31 Dec 13	2,265.64	0.00	2,265.64	903.76	20.74

00345/00362/10315/H45/M

Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013

Citi Trust



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est Annual Income
Common Stock CONTINUED									
SCHLUMBERGER LTD Ticker/CUSIP : SLB/806857108 Yield 1 39% Sector Energy	47	76 865	3,612 67	90 11 31 Dec 13	4,235 17	12 81	4,247 98	622 50	58 75
SINA CORP Ticker/CUSIP SINA/G81477104 Sector Information Technology	15	59 491	892 37	84 25 31 Dec 13	1,263 75	0 00	1,263 75	371 38	0 00
SOUTHERN COPPER CORP DEL Ticker/CUSIP SCCO/84265V105 Yield 2 37% Sector Materials	46	32 482	1,494 16	28 71 31 Dec 13	1,320 66	0 00	1,320 66	(173 50)	31 28
STRATASYS LTS Ticker/CUSIP : SSVS/M85548101 Sector Information Technology	142	97 027	13,777 78	134 70 31 Dec 13	19,127 40	0 00	19,127 40	5,349 62	0 00
SUMITOMO MITSU TR SPON Ticker/CUSIP SUTNY/86562X106 Yield 1 45% Sector Financials Conv Ratio 1 1	598	5 143	3,075 74	5 2709 31 Dec 13	3,152 00	0 00	3,152 00	76 26	45 83
SWATCH GROUP AG UNSPON ADR Ticker/CUSIP : SWGAY/870123106 Yield 63% Sector Consumer Discretionary Conv Ratio 05 1	80	18 536	1,482 89	33 1422 31 Dec 13	2,651 38	0 00	2,651 38	1,168 49	16 67
SYNGENTA AG ADR Ticker/CUSIP SYT/87160A100 Yield 2 12% Sector Materials Conv Ratio 2 1	36	71 257	2,565 26	79 94 31 Dec 13	2,877 84	0 00	2,877 84	312 58	61 12
TAIWAN SEMICONDUCTOR MFG Ticker/CUSIP TSM/874039100 Yield 2 3% Sector Information Technology Conv Ratio 5 1	159	18 271	2,905 07	17 44 31 Dec 13	2,772 96	0 00	2,772 96	(132 11)	63 74



Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
TATA MOTORS LTD Ticker/CUSIP : TTM/876568502 Yield 43% Sector : Consumer Discretionary Conv. Ratio 5:1	61	24 457	1,491.86	30.80 31 Dec 13	1,878.80	0.00	1,878.80	386.94	8 14
TENCENT HLDGS LTD-HKD Ticker/CUSIP : TCEHY/88032Q109 Yield 18% Sector : Information Technology Conv. Ratio 1:1	46	23 017	1,058.78	63 7885 31 Dec 13	2,934.27	0.00	2,934.27	1,875.49	5 37
TIMKEN CO Ticker/CUSIP : TKR/887389104 Yield 1 67% Sector : Industrials	181	61 119	11,062.44	55 07 31 Dec 13	9,967.67	0.00	9,967.67	(1,094.77)	166 52
TOYOTA MOTOR CORP ADR NEW Ticker/CUSIP : TM/892331307 Yield 1.92% Sector : Consumer Discretionary Conv. Ratio 2:1	38	75 640	2,874.32	121 92 31 Dec 13	4,632.96	0.00	4,632.96	1,758.64	89 16
VISA INC COM CL A Ticker/CUSIP : V92826C839 Yield 72% Sector : Financials	35	216.526	7,578.41	222 68 31 Dec 13	7,793.80	0.00	7,793.80	215.39	56 00
WELLS FARGO & CO NEW Ticker/CUSIP : WFC/949746101 Yield 2.64% Sector : Financials	494	33 537	16,567.24	45.40 31 Dec 13	22,427.60	0.00	22,427.60	5,860.36	592.80
WESTPORT INNOVATIONS Ticker/CUSIP : WPRT/960908309 Sector : Consumer Discretionary	144	24 983	3,597.52	19 61 31 Dec 13	2,823.84	0.00	2,823.84	(773.68)	0 00
WORKDAY INC Ticker/CUSIP : WDAY/98138H101 Sector : Information Technology	197	70 978	13,982.62	83.16 31 Dec 13	16,382.52	0.00	16,382.52	2,399.90	0.00

Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013

Citi Trust



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
YANDEX NV-A Ticker/CUSIP YNDX/N97284108 Sector Information Technology	78	26 432	2,061 69	43 15 31 Dec 13	3,365 70	0 00	3,365 70	1,304 01	0 00
YUM BRANDS INC Ticker/CUSIP YUM/988498101 Yield 1 96% Sector . Consumer Discretionary	48	66 726	3,202 85	75 61 31 Dec 13	3,629 28	0 00	3,629 28	426 43	71 04
Mutual Funds									
BARCLAYS BANK PLC-USD Ticker/CUSIP OIL/06738C786 Sector Materials	356	24 560	8,743 46	23 12 31 Dec 13	8,230 72	0 00	8,230 72	(512 74)	0 00
ETF WISDOMTREE JAPAN HEDGED Ticker/CUSIP . DXJ/97717W851 Yield 1 21% Sector Other	540	41 588	22,457 37	50 84 31 Dec 13	27,453 60	0 00	27,453 60	4,996 23	333 55
ISHARES MSCI EMERGING MARKETS Ticker/CUSIP EEM/464287234 Yield 2 05% Sector Other	2,030	40 618	82,455 15	41.795 31 Dec 13	84,843 85	0 00	84,843 85	2,388 70	1,742 51
Total Equities			763,551.61		1,014,685.03	145.81	1,014,830.84	251,133.42	13,005.38
TOTAL INVESTMENT POSITIONS - PRINCIPAL									
			804,327.43		1,055,460.85	147.83	1,055,608.68	251,133.42	13,026.12



Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013



INVESTMENT POSITIONS - INCOME

Reference Currency: USD

CASH AND SHORT TERM INVESTMENTS 100.00% SORTED BY LIQUIDITY									
Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M.D.A. (L) CUSIP 9900CST72 Yield 05%	324.92	100.000	324.92	1.00	324.92	0.05	324.97	0.00	0.17
CITIBANK M.D.A. (T) CUSIP 9913CMP64 Yield 05%	105.71	100.000	105.71	1.00	105.71	0.00	105.71	0.00	0.05
Total Cash and Short Term Investments			430.63		430.63	0.05	430.68	0.00	0.22
TOTAL INVESTMENT POSITIONS - INCOME									
			430.63		430.63	0.05	430.68	0.00	0.22
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME									
			804,758.06		1,055,891.48	147.88	1,056,039.36	251,133.42	13,026.34

Consolidated Statement of Accounts

Statement Period 1 Dec 2013 - 31 Dec 2013

Citi Trust



ACTIVITY DETAILS

Reference Currency: USD

Trade Date	Settlement Date	Transaction Type	Description	Principal	Income	End of Day Balance
US Dollar						
01 DEC 13			Opening Balance - Cash and Short Term Investments	62,261.77	489.61	62,751.38
02 DEC 13	02 DEC 13	Cash Deposit	CASH RECEIPT RECD FROM INCOME CITIBANK M.D.A. (L) PAID FROM ACCOUNT # C7B009720004 For Reinvestment	0.02		
02 DEC 13	02 DEC 13	Cash Withdrawal	CASH DISBURSEMENT PAID TO PRINCIPAL CITIBANK M D A (L) For Reinvestment		(0.02)	
02 DEC 13	02 DEC 13	Interest	CASH RECEIPT OF INTEREST EARNED ON CITIBANK M.D.A. (L) 0.00/ DOLLARS ON 6916 96 DOLLARS DUE 11/30/2013 Interest From 11/29/2013 To 11/30/2013		0.02	
02 DEC 13	02 DEC 13	Other	STOCK SPLIT 2.00 FOR 1 STOCK SPLIT ON CANADIAN NATL RAILWAY CO DUE 11/29/2013 37.00 ADDITIONAL SHARES RECEIVED	0.00		
02 DEC 13	02 DEC 13	Cash Withdrawal	C7B009720004 HONG FOUNDATION-THORNBURG INC CASH 12/02/2013 CASH DISBURSEMENT PAID TO ACCOUNT # 15C066471768 PAID FOR ACCOUNT TO ACCOUNT TRANSFER TRANSFER TO ANOTHER ACCOUNT TSFR TO MAIN ACCT FOR ACCT ADMINISTRATION		(102.46)	

Form **8868**

(Rev. January 2014)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

▶ **File a separate application for each return.**
▶ **Information about Form 8868 and its instructions is at www.irs.gov/form8868**

- If you are filing for an **Automatic 3-Month Extension**, complete **only Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete **only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

**Type or
print**File by the
due date for
filing your
return. See
instructions.

Name of exempt organization or other filer, see instructions.

Employer identification number (EIN) or

31-15C066471768 BOB HONG FOUNDATION

04-6911786

Number, street, and room or suite no. If a P.O. box, see instructions.

Social security number (SSN)

C/O CITI TRUST, ONE COURT SQUARE, 19TH FL

City, town or post office, state, and ZIP code. For a foreign address, see instructions.

LONG ISLAND CITY, NY 11120

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ ANDREW HONG

Telephone No. ▶ _____ FAX No. ▶ _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 14, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ▶ ☒ calendar year 2013 or
- ▶ ☐ tax year beginning _____, 20____, and ending _____, 20____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	1,555.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	164.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	1,391.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev 1-2014)

JSA

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