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Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation

JAMES L. RUBENSTEIN FAMILY FOUNDATION

A Employer identification number

04-6833651

Number and street (or P O box number if mail is not delivered to street address) | Room/suite

163 ALLEN'S POINT

B Telephone number

508-587-1110

City or town, state or province, country, and ZIP or foreign postal code

MARION, MA 02738-2301

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 855,968.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	175,000.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	10,527.	10,527.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	16,855.			
	b Gross sales price for all assets on line 6a 525,913.				
	7 Capital gain net income (from Part IV, line 2)		16,855.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total. Add lines 1 through 11	202,382.	27,382.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 2	1,000.	0.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 3	297.	297.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 4	8,246.	8,121.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	9,543.	8,418.		0.
	25 Contributions, gifts, grants paid	112,050.			112,050.
	26 Total expenses and disbursements. Add lines 24 and 25	121,593.	8,418.		112,050.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	80,789.			
	b Net investment income (if negative, enter -0-)		18,964.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	362,725.	157,346.	157,346.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	309,257.	585,444.	689,530.
	c Investments - corporate bonds STMT 6	0.	9,981.	9,092.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item 1)	671,982.	752,771.	855,968.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	50,100.	50,100.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	621,882.	702,671.	
	30 **Total net assets or fund balances**	671,982.	752,771.	
	31 **Total liabilities and net assets/fund balances**	671,982.	752,771.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	671,982.
2	Enter amount from Part I, line 27a	2	80,789.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	752,771.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	752,771.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo., day, yr.)
1a	OPPENHEIMER ST A (SEE SCHEDULE AA)	P		
b	MAYFLOWER ST A (SEE SCHEDULE BB)	P		
c	MAYFLOWER ST B (SEE SCHEDULE BB)	P		
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	80,090.		78,988.	1,102.
b	224,141.		219,372.	4,769.
c	221,682.		210,698.	10,984.
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				1,102.
b				4,769.
c				10,984.
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	16,855.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	187,200.	624,287.	.299862
2011	60,250.	487,454.	.123601
2010	285,350.	401,091.	.711435
2009	89,400.	310,419.	.287998
2008	58,750.	221,563.	.265162

2	**Total** of line 1, column (d)	2	1.688058
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.337612
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	757,234.
5	Multiply line 4 by line 3	5	255,651.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	190.
7	Add lines 5 and 6	7	255,841.
8	Enter qualifying distributions from Part XII, line 4	8	112,050.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	379.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	379.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	379.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 18.		
b	Exempt foreign organizations - tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	18.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** SEE STATEMENT 7 ▶	9	361.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 8	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ NONE

14 The books are in care of ▶ JAMES RUBENSTEIN Telephone no. ▶ 508-587-1110

Located at ▶ 163 ALLEN'S POINT, MARION, MA ZIP+4 ▶ 02738-2301

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ _____, _____, _____, _____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ _____, _____, _____, _____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES L. RUBENSTEIN 163 ALLEN'S POINT MARION, MA 02738-2301	TRUSTEE 0.00	0.	0.	0.
BENJAMIN C. RUBENSTEIN 221 COLUMBUS AVE. # 204 BOSTON, MA 02116	TRUSTEE 0.00	0.	0.	0.
SAMUEL R. RUBENSTEIN 118 HUNTINGTON AVE, #1202 BOSTON, MA 02116	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2013)

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Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	508,730.
b	Average of monthly cash balances		1b	260,035.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	768,765.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	768,765.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	11,531.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	757,234.
6	**Minimum investment return**. Enter 5% of line 5		6	37,862.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	37,862.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	379.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	379.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	37,483.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	37,483.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	37,483.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	112,050.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	112,050.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	112,050.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				37,483.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years: _____, _____, _____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008 47,729.				
b From 2009 73,881.				
c From 2010 265,295.				
d From 2011 35,878.				
e From 2012 155,986.				
f **Total** of lines 3a through e	578,769.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 112,050.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				37,483.
e Remaining amount distributed out of corpus	74,567.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	653,336.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	47,729.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	605,607.			
10 Analysis of line 9:				
a Excess from 2009 73,881.				
b Excess from 2010 265,295.				
c Excess from 2011 35,878.				
d Excess from 2012 155,986.				
e Excess from 2013 74,567.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

JAMES L. RUBENSTEIN, TRUSTEE, 508-587-1110
163 ALLEN'S POINT, MARION, MA 02738

b The form in which applications should be submitted and information and materials they should include:

NO SPECIFIC FORM - DESCRIBE PURPOSE & NEED

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

GRANTS ARE LIMITED TO CHARITABLE ORGANIZATIONS EXEMPT UNDER SEC 501(C)(3) WHICH ARE NOT PRIVATE FOUNDATIONS

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BOB WOODRUFF FOUNDATION PO BOX 955 BRISTOW, VA 20136	N/A	PUBLIC CHARITY	CHARITABLE	10,000.
BOYS & GIRLS CLUB 233 WARREN AVENUE BROCKTON, MA 02301	N/A	PUBLIC CHARITY	COMMUNITY	1,000.
BROCKTON VISITING NURSE 500 BELMONT ST., SUITE 200 BROCKTON, MA 02301	N/A	PUBLIC CHARITY	COMMUNITY	5,000.
COLORADO COLLEGE 14 E CACHE LA POUDRE COLORADO SPRINGS, CO 80903	N/A	PUBLIC CHARITY	EDUCATIONAL	25,000.
COMMUNITY RESOURCES NETWORK INC 75 HIGH STREET WAREHAM, MA 02571	N/A	PUBLIC CHARITY	COMMUNITY	1,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	112,050.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DEXTER SCHOOL 20 NEWTON STREET BROOKLINE, MA 02445	N/A	PUBLIC CHARITY	EDUCATIONAL	2,500.
HOMES FOR OUR TROOPS 37 MAIN STREET TAUNTON, MA 02780	N/A	PUBLIC CHARITY	CHARITABLE	25,000.
NEW ENGLAND BAPTIST HOSPITAL 125 PARKER HILL AVENUE ROXBURY, MA 02120	N/A	PUBLIC CHARITY	MEDICAL	25,000.
OUR SISTERS SCHOOL 145 BROWNELL AVE NEW BEDFORD, MA 02740	N/A	PUBLIC CHARITY	EDUCATIONAL	2,000.
THE COOKBOOK PROJECT 85 ATKINSON LANE SUDBURY, MA 02571	N/A	PUBLIC CHARITY	EDUCATIONAL	1,000.
YMCA OLD COLONY BROCKTON 320 MAIN STREET BROCKTON, MA 02301	N/A	PUBLIC CHARITY	COMMUNITY	2,000.
VIETNAM UNIT MEMORIAL FUND P.O. BOX 181172 CORONADO, CA 92178	N/A	PUBLIC CHARITY	CHARITABLE	50.
CAMBRIDGE HEALTH ALLIANCE 1493 CAMBRIDGE STREET CAMBRIDGE, MA 02139	N/A	PUBLIC CHARITY	MEDICAL	2,000.
ALZHEIMER'S ASSOCIATION 225 N. MICHIGAN AVE CHICAGO, IL 60601	N/A	PUBLIC CHARITY	MEDICAL	1,000.
FRANCIS OUIMET SCHOLARSHIP FUND 300 ARNOLD PALMER BOULEVARD NORTON, MA 02766	N/A	PUBLIC CHARITY	EDUCATIONAL	1,000.
Total from continuation sheets				70,050.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TEMPLE HILLEL BNAI TORAH 120 COREY ST WEST ROXBURY, MA 02132	N/A	PUBLIC CHARITY	COMMUNITY	3,000.
ENVIRONMENTAL NATURE CENTER 1601 E 16TH ST NEWPORT BEACH, CA 92663	N/A	PUBLIC CHARITY	COMMUNITY	250.
MGH CANCER CENTER 100 CAMBRIDGE STREET BOSTON, MA 02114	N/A	PUBLIC CHARITY	MEDICAL	250.
JUVENILE DIABETES RESEARCH FOUNDATION 60 WALNUT ST WELLESLEY, MA 02481	N/A	PUBLIC CHARITY	MEDICAL	1,000.
BIG DOG RANCH RESCUE 10948 ACME ROAD WELLINGTON, FL 33414	N/A	PUBLIC CHARITY	COMMUNITY	4,000.
Total from continuation sheets				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclu-sion code	(d) Amount	Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	10,527.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	16,855.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		27,382.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	27,382.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ _____ Signature of officer or trustee | Date 9/23/14 | ▶ TRUSTEE Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JEFFREY J. SIMMONS		9/26/14		P00220025

Firm's name ▶ RAPHAEL AND RAPHAEL LLP | Firm's EIN ▶ 04-2206126

Firm's address ▶ 52 CHURCH STREET, BOSTON, MA 02116 | Phone no. 617-357-0100

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Name of the organization

JAMES L. RUBENSTEIN FAMILY FOUNDATION

Employer identification number

04-6833651

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year ▶ $ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: JAMES L. RUBENSTEIN FAMILY FOUNDATION

Employer identification number: 04-6833651

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	HORIZON BEVERAGE CO., INC. 45 COMMERCE WAY NORTON, MA 02766	$ 175,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization: JAMES L. RUBENSTEIN FAMILY FOUNDATION

Employer identification number: 04-6833651

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization: JAMES L. RUBENSTEIN FAMILY FOUNDATION

Employer identification number: 04-6833651

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once.) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FORM PC	125.	0.		0.
INVESTMENT MANAGEMENT FEES	8,121.	8,121.		0.
TO FORM 990-PF, PG 1, LN 23	8,246.	8,121.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
	585,444.	689,530.
TOTAL TO FORM 990-PF, PART II, LINE 10B	585,444.	689,530.

FORM 990-PF CORPORATE BONDS STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
	9,981.	9,092.
TOTAL TO FORM 990-PF, PART II, LINE 10C	9,981.	9,092.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 8
PART VII-A, LINE 10

NAME OF CONTRIBUTOR	ADDRESS
HORIZON BEVERAGE CO., INC.	P.O. BOX 399 BROCKTON, MA 02303

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
MAYFLOWER - ACCRUED INTEREST	<80.>	0.	<80.>	<80.>	
MAYFLOWER - MM FUND	156.	0.	156.	156.	
MAYFLOWER - VARIOUS	8,671.	0.	8,671.	8,671.	
OPPENHEIMER - VARIOUS STOCKS	1,780.	0.	1,780.	1,780.	
TO PART I, LINE 4	10,527.	0.	10,527.	10,527.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	1,000.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	1,000.	0.		0.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	297.	297.		0.
TO FORM 990-PF, PG 1, LN 18	297.	297.		0.

Oppenheimer & Co. Inc. | Account G381650055

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* | OMB No. 1545-0715

1c - SHORT-TERM TRANSACTIONS *6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol 1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	These columns are not reported to the IRS Gain or loss(-)	 Additional information
APACHE CORP / CUSIP: 037411105 / Symbol: APA							
02/25/13	175.000	13,021.75	11/20/12	13,403.95	...	-382.20	Sale
APPLE INC / CUSIP: 037833100 / Symbol: AAPL							
01/14/13	7.000	3,513.22	01/02/13	3,844 47	...	-331.25	Sale
01/24/13	19.000	8,708.69	01/02/13	10,434 99	...	-1,726.30	Sale
Security total:		12,221.91		14,279.46	0.00	-2,057.55	
BLACKROCK INC / CUSIP: 09247X101 / Symbol: BLK							
02/25/13	19.000	4,573.76	11/20/12	3,664.53	...	909.23	Sale
GENERAL MTRS CO / CUSIP: 37045V100 / Symbol: GM							
02/14/13	300.000	8,616.10	12/06/12	7,421.70	...	1,194.40	Sale
KINDER MORGAN MANAGEMENT LLC / CUSIP: 49455U100 / Symbol: KMR							
02/14/13	0.000	4.68	02/14/13	0.00	...	4.68	Cash in lieu
QUALCOMM INC / CUSIP: 747525103 / Symbol: QCOM							
02/25/13	75.000	4,934.13	11/20/12	4,652.24	...	281.89	Sale
SPDR INDEX SHS FDS EURO STOXX 50 / CUSIP: 78463X202 / Symbol: FEZ							
02/07/13	215.000	7,387.23	12/06/12	7,152.40	...	234 82	Sale
2 tax lots for 02/14/13. Total proceeds (and cost when required) reported to the IRS.							
	235.000	*8,117.19*	*12/06/12*	*7,817.74*	...	*299.44*	*Sale*
	150.000	*5,181.18*	*01/18/13*	*5,328.00*	...	*-146.82*	*Sale*
02/14/13	385.000	13,298.37	VARIOUS	13,145.74	0.00	152.62	Total of 2 lots
Security total:		20,685.60		20,298.14	0.00	387.44	
STARZ COM SER A / CUSIP: 85571Q102 / Symbol: STRZA							
02/25/13	90.000	1,732.01	12/21/12	1,065.13	...	666.88	Sale
VANGUARD INTL EQUITY INDEX FD FTSE EMR MKT ETF / CUSIP: 922042858 / Symbol: VWO							
02/11/13	172.000	7,585.20	12/04/12	7,258.23	...	326.97	Sale
WISDOMTREE TR INDIA ERNGS FD / CUSIP: 97717W422 / Symbol: EPI							
03/01/13	370.000	6,715.34	12/13/12	6,944.53	...	-229.19	Sale

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).**

**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.

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Schedule AA

Oppenheimer & Co. Inc. Account G381650055

Proceeds from Broker and Barter Exchange Transactions

(continued)

2013 1099-B* OMB No. 1545-0715

1c - SHORT-TERM TRANSACTIONS *6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	These columns are not reported to the IRS. Gain or loss(-)	Additional Information
	Totals:	80,090.48		78,987.91	0.00	1,102.55	

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).**

**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.

MAYFLOWER ADVISORS, LLC

Schedule BB

2013 AMENDED FORMS 1099

Amended Date: 2/21/14

JAMES L RUBENSTEIN FAMILY
FOUNDATION TR
JAMES L RUBENSTEIN TTEE
U/A DTD 04/09/1997
163 ALLENS POINT
MARION MA 02738-2301

Your Financial Advisor :
M JASON MCLOY
260 FRANKLIN ST
7TH FL
BOSTON MA 02110
(617) 259-1767

Payer:
FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

Account Number: 7345-6566
Your Federal Identification Number: 04-6833651

Reportable Tax Information

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. Where available, we have also provided additional information for courtesy purposes that is not provided to the IRS. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: TAXPAYERS ARE ULTIMATELY RESPONSIBLE FOR THE ACCURACY OF THEIR TAX RETURNS.

The 1099-B data shown is reported to the IRS. Individual tax lots for each trade, where applicable, have been broken out and shown in italics. This detailed information is not reported to the IRS, but may be helpful in completing Form 8949 of Schedule D of your tax return.

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS Gain or Loss Amount	Additional Information	
ABBVIE INC / 00287Y109							
08/09/13	155.0000	03/20/13	6,953.59	6,021.04	932.55	SALE	
	25.0000	*03/20/13*	*1,115.14*	*971.13*	*144.01*		
	120.0000	*03/21/13*	*5,352.71*	*4,623.05*	*729.66*		
08/09/13	145.0000	Various	6,467.85	5,594.18	873.67	SALE	TOTAL 2 LOTS
AFLAC INC / 001055102							
11/06/13	185.0000	05/31/13	11,940.60	10,479.66	1,460.94	SALE	
BANCO LATINOAMERICANO D / P16994132							
COMERCIO EXTERIOR S A							
	110.0000	*03/21/13*	*2,457.88*	*2,711.61*	*-253.73*		
	100.0000	*04/02/13*	*2,234.45*	*2,468.00*	*-233.55*		
06/12/13	210.0000	Various	4,692.33	5,179.61	-487.28	SALE	TOTAL 2 LOTS
BP PLC SPONS ADR / 055622104							
	165.0000	*04/24/13*	*6,975.08*	*6,965.72*	*9.36*		
	125.0000	*05/14/13*	*5,284.16*	*5,377.25*	*-93.09*		
09/16/13	290.0000	Various	12,259.24	12,342.97	-83.73	SALE	TOTAL 2 LOTS

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072 / 4B / 4B62

YT413055 000048 055160539413 YNNNN NNNNN NNNNNN 000003

Amended Date: 2/21/14

JAMES L. RUBENSTEIN FAMILY
FOUNDATION TR
JAMES L RUBENSTEIN TTEE
U/A DTD 04/09/1997
163 ALLENS POINT
MARION MA 02738-2301

Your Financial Advisor :
M. JASON MCLOY
260 FRANKLIN ST
7TH FL
BOSTON MA 02110
(617) 259-1767

Payer:
FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

Account Number: 7345-6566
Your Federal Identification Number: 04-6833651

Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED ***continued***

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS Gain or Loss Amount	Additional Information	
CAMECO CORP / 13321L108 06/12/13	335.0000	05/16/13	6,862.36	7,148.30	-285.94	SALE	
CATAMARAN CORP / 148887102 09/19/13	130.0000	03/13/13	6,767.94	6,954.99	-187.05	SALE	
CROWN HOLDINGS INC / 228368106 10/07/13	160.0000	06/10/13	6,684.84	6,936.96	-252.12	SALE	
FACEBOOK INC / 30303M102 CLASS A 11/19/13	185.0000	08/07/13	8,517.73	7,035.53	1,482.20	SALE	
FIFTH THIRD BANCORP / 316773100 09/20/13	570.0000	05/28/13	10,521.44	10,583.02	-61.58	SALE	
GENERAL ELECTRIC COMPANY / 369604103 04/23/13	190.0000	03/12/13	4,103.90	4,448.39	-344.49	SALE	
GOLUB CAP BDC INC / 38173M102 11/07/13	125.0000	04/02/13	2,154.94	2,044.80	110.14	SALE	
HOME DEPOT INC / 437076102	*119.0000*	*04/03/13*	*9,023.93*	*8,401.04*	*622.89*		
	50.0000	*04/10/13*	*3,791.57*	*3,580.50*	*211.07*		
08/19/13	169.0000	Various	12,815.50	11,981 54	833 96	SALE	TOTAL 2 LOTS
JPMORGAN CHASE & CO / 46625H100 08/09/13	125.0000	05/08/13	6,858.03	6,233.13	624.90	SALE	
	15.0000	*05/08/13*	*811.67*	*747.97*	*63.70*		
	70.0000	*05/09/13*	*3,787.82*	*3,451.69*	*336.13*		

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Schedule BB

2013 AMENDED FORMS 1099

Amended Date: 2/21/14

MAYFLOWER ADVISORS, LLC

JAMES L RUBENSTEIN FAMILY
FOUNDATION TR
JAMES L RUBENSTEIN TTEE
U/A DTD 04/09/1997
163 ALLENS POINT
MARION MA 02738-2301

Your Financial Advisor :
M JASON MCLOY
260 FRANKLIN ST
7TH FL
BOSTON MA 02110
(617) 259-1767

Payer:
FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

Account Number: 7345-6566
Your Federal Identification Number: 04-6833651

Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED ***continued***
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS Gain or Loss Amount	Additional Information	
	40.0000	*06/10/13*	*2,164.48*	*2,170.60*	*-6.12*		
08/12/13	125.0000	Various	6,763.97	6,370.26	393.71	SALE	TOTAL 3 LOTS
MOSAIC COMPANY / 61945C103							
06/11/13	56.0000	04/11/13	3,285.73	3,377.73	-92.00	SALE	
06/12/13	114.0000	04/11/13	6,587.87	6,876.08	-288.21	SALE	
NOBLE ENERGY INC / 655044105							
12/06/13	39.0000	10/15/13	2,700 69	2,685.60	15.09	SALE	
12/11/13	113.0000	10/15/13	7,674.28	7,781.33	-107.05	SALE	
OASIS PETROLEUM INC / 674215108							
11/11/13	207.0000	10/02/13	10,589.04	10,470.39	118.65	SALE	
PIONEER NAT RES CO / 723787107							
12/06/13	4.0000	10/23/13	711.79	819.44	-107.65	SALE	
12/06/13	24.0000	10/23/13	4,270.72	4,916.64	0.00	SALE	
→ Wash Sale Loss Disallowed (Box 5):			-645.92				
PRICELINE COM INC / 741503403 COM NEW							
10/08/13	2.0000	07/01/13	2,018.36	1,688.78	329.58	SALE	
SCHWAB CHARLES CORP NEW / 808513105							
10/01/13	480.0000	06/27/13	10,202.70	10,082.59	120.11	SALE	
TOYOTA MTR CORP ADR / 892331307 NEW 3/82							
10/28/13	69.0000	07/19/13	8,853.49	9,001 74	-148.25	SALE	

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072 / 4B / 4B62

YT413055 000048 055160539413 YNNNN NNNNN NNNNNN 000004

Schedule BB. **2013 AMENDED FORMS 1099**

Amended Date: 2/21/14

JAMES L RUBENSTEIN FAMILY
FOUNDATION TR
JAMES L RUBENSTEIN TTEE
U/A DTD 04/09/1997
163 ALLENS POINT
MARION MA 02738-2301

Your Financial Advisor :
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SAINT LOUIS, MO 63103
Payer ID # 23-2384840

Account Number: 7345-6566
Your Federal Identification Number: 04-6833651

Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED ***continued***

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 ***OMB NO. 1545-0715***

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS Gain or Loss Amount	Additional Information	
UNITED PARCEL SERVICE-B / 911312106							
08/27/13	79.0000	05/07/13	6,781.13	6,997.46	-216.33	SALE	
US BANCORP NEW / 902973304							
08/27/13	200.0000	05/21/13	7,258.99	7,069.24	189.75	SALE	
VANGUARD FTSE ET / 921943858 DEVELOPED MARKETS ETF							
04/16/13	120.0000	03/21/13	4,442.79	4,391.46	51.33	SALE	
11/07/13	125.0000	10/11/13	5,049.27	4,990.00	59.27	SALE	
12/12/13	170.0000	10/11/13	6,755.70	6,786.40	-30.70	SALE	
VANGUARD INTL EQUITY ET / 922042858 INDEX FDS FTSE EMERGING MKTS ETF							
08/21/13	155.0000	07/23/13	5,876.34	6,242.63	0.00	SALE	
→ Wash Sale Loss Disallowed **(Box 5):**			-366.29				
11/07/13	118.0000	07/23/13	4,843.82	4,752.45	91.37	SALE	
	76.0000	*07/23/13*	*3,031.02*	*3,060.90*	*-29.88*		
	155.0000	*07/23/13*	*6,181.69*	*6,580.39*	*-398.70*	*Original Basis 6214 10*	
	20.0000	*08/13/13*	*797.64*	*801.83*	*-4.19*		
11/13/13	251.0000	Various	10,010.35	10,443.12	-432.77	SALE	TOTAL 3 LOTS
WISDOMTREE JAPAN ET / 97717W851 HEDGED EQUITY FUND							
06/04/13	40.0000	04/02/13	1,863.57	1,648.37	215.20	SALE	
TOTAL SHORT TERM GAINS OR LOSSES FOR BASIS REPORTED TO IRS			**224,140.89**	**220,375.83**	**4,777.27**		

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→ Less: Wash Sale (645.92)
Wash Sale (366.29)
Cost: 219,363.62

072 / 4B / 4B62

Schedule BB

2013 AMENDED FORMS 1099

MAYFLOWER ADVISORS, LLC

Amended Date: 2/21/14

JAMES L RUBENSTEIN FAMILY
FOUNDATION TR
JAMES L RUBENSTEIN TTEE
U/A DTD 04/09/1997
163 ALLENS POINT
MARION MA 02738-2301

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Payer ID # 23-2384840

Account Number: 7345-6566
Your Federal Identification Number: 04-6833651

Reportable Tax Information

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED**

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS Gain or Loss Amount	Additional Information
ABBOTT LABORATORIES / 002824100 03/19/13	400.0000	02/14/13	13,409.65	13,815.60	-405.95	SALE
AMAZON COM INC / 023135106 07/19/13	8.0000	01/15/13	2,430.17	2,166.40	263.77	SALE
ARES CAPITAL CORP / 04010L103 03/26/13	425.0000	12/06/12	7,608.64	7,441.71	166.93	SALE
BANCO LATINOAMERICANO D / P16994132 COMERCIO EXTERIOR S.A 06/12/13	290.0000	02/01/13	6,479.87	6,776.14	-296.27	SALE
BB&T CORP / 054937107 04/03/13	230.0000	01/17/13	6,949.15	7,132.30	-183.15	SALE
BLACKROCK INC / 09247X101 04/05/13	30.0000	11/20/12	7,364.29	5,786.10	1,578.19	SALE
CHEVRON CORPORATION / 166764100 10/11/13	100.0000	12/06/12	11,716.59	10,615.80	1,100.79	SALE
CISCO SYSTEMS INC / 17275R102 03/26/13	185.0000	01/04/13	3,842.66	3,798.05	44.61	SALE
03/27/13	320.0000	01/04/13	6,615.66	6,569.60	46.06	SALE
EGSHARES EMERGING ET / 268461779 MARKETS CONSUMER EMERGING MKTS CONS TITAN 06/10/13	408.0000	11/20/12	10,501.77	10,110.24	391.53	SALE
	142.0000	*11/20/12*	*3,409.20*	*3,518.76*	*-109.56*	

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072 / 4B / 4B62

YT413055 000048 055160539413 YNNNN NNNNN NNNNNN 000005

Amended Date: 2/21/14

JAMES L. RUBENSTEIN FAMILY
FOUNDATION TR
JAMES L RUBENSTEIN TTEE
U/A DTD 04/09/1997
163 ALLENS POINT
MARION MA 02738-2301

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Payer ID #. 23-2384840

Account Number: 7345-6566
Your Federal Identification Number: 04-6833651

Reportable Tax Information

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED** *continued*

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS Gain or Loss Amount	Additional Information	
	150.0000	*12/06/12*	*3,601.27*	*3,853.88*	*-252.61*		
	300.0000	*12/14/12*	*7,202.55*	*7,839.00*	*-636.45*		
06/20/13	592.0000	Various	14,213.02	15,211.64	-998.62	SALE	TOTAL 3 LOTS
FASTENAL CO / 311900104							
08/20/13	175.0000	12/07/12	7,849.61	7,351.75	497.86	SALE	
FOMENTO ECONOMICO / 344419106 MEXICANO S A.B DE C V (NEW)							
	72.0000	*12/03/12*	*7,548.39*	*7,104.60*	*443.79*		
	30.0000	*01/10/13*	*3,145.17*	*3,137.73*	*7.44*		
05/22/13	102.0000	Various	10,693.56	10,242.33	451.23	SALE	TOTAL 2 LOTS
GOLUB CAP BDC INC / 38173M102							
11/07/13	475.0000	03/01/13	8,188.76	7,822.40	366.36	SALE	
ISHARES MSCI ET / 464286871 HONG KONG							
	365.0000	*12/17/12*	*7,100.00*	*7,051.80*	*48.20*		
	145.0000	*01/18/13*	*2,820.55*	*2,901.31*	*-80.76*		
03/19/13	510.0000	Various	9,920.55	9,953.11	-32.56	SALE	TOTAL 2 LOTS
ISHARES MSCI GERMANY ET / 464286806							
03/19/13	270.0000	02/14/13	6,737.56	6,725.43	12.13	SALE	
ISHARES MSCI SWEDEN ET / 464286756							
	230.0000	*12/28/12*	*7,335.54*	*6,854.00*	*481.54*		
	70.0000	*12/28/12*	*2,232.56*	*2,083.76*	*148.80*		
04/04/13	300.0000	12/28/12	9,568.10	8,937.76	630.34	SALE	TOTAL 2 LOTS

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MAYFLOWER ADVISORS, LLC

Schedule B3

2013 AMENDED FORMS 1099

Amended Date: 2/21/14

JAMES L RUBENSTEIN FAMILY
FOUNDATION TR
JAMES L RUBENSTEIN TTEE
U/A DTD 04/09/1997
163 ALLENS POINT
MARION MA 02738-2301

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Payer ID #. 23-2384840

Account Number: 7345-6566
Your Federal Identification Number: 04-6833651

Reportable Tax Information

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED***continued***

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS Gain or Loss Amount	Additional Information	
KINDER MORGAN MGMT LLC / 49455U100							
05/15/13	0.9245	05/15/13	80.60	0.01	80.59	CASH IN LIEU	
08/14/13	0.1408	08/14/13	11.29	0.01	11.28	CASH IN LIEU	
11/14/13	0.5748	11/14/13	43.37	0.01	43.36	CASH IN LIEU	
MONDELEZ INTL INC / 609207105							
	365.0000	*02/28/13*	*10,356.14*	*10,110.46*	*245.68*		
	35.0000	*03/01/13*	*993.06*	*962.85*	*30.21*		
06/20/13	400.0000	Various	11,349.20	11,073.31	275.89	SALE	TOTAL 2 LOTS
NESTLE S A REG ADR / 641069406							
06/04/13	225.0000	11/20/12	15,176.14	14,123.25	1,052.89	SALE	
PROCTER & GAMBLE CO / 742718109							
	200.0000	*11/20/12*	*15,158.53*	*13,563.80*	*1,594.73*		
	50.0000	*02/14/13*	*3,789.64*	*3,843.50*	*-53.86*		
10/02/13	250.0000	Various	18,948.17	17,407.30	1,540 87	SALE	TOTAL 2 LOTS
TEJON RANCH CO / 879080109							
09/16/13	275.0000	12/06/12	8,194.11	7,537.75	656.36	SALE	
VANGUARD FTSE ET / 921943858 DEVELOPED MARKETS ETF							
04/16/13	180.0000	02/14/13	6,664.16	6,535.62	128.54	SALE	
WISDOMTREE JAPAN ET / 97717W851 HEDGED EQUITY FUND							
04/18/13	35.0000	01/04/13	1,598.06	1,324.75	273.31	SALE	
	150.0000	*01/04/13*	*7,291.94*	*5,677.50*	*1,614.44*		
	10.0000	*01/08/13*	*486.13*	*366.60*	*119.53*		

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072 / 4B / 4B62

YT413055 000048 055160539413 YNNNN NNNNN NNNNNN 000006

Schedule BB · **2013 AMENDED FORMS 1099**

Amended Date: 2/21/14

JAMES L RUBENSTEIN FAMILY
FOUNDATION TR
JAMES L RUBENSTEIN TTEE
U/A DTD 04/09/1997
163 ALLENS POINT
MARION MA 02738-2301

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Payer ID # 23-2384840

Account Number: 7345-6566
Your Federal Identification Number: 04-6833651

Reportable Tax Information

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED***continued***

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS Gain or Loss Amount	Additional Information	
05/24/13	160.0000	Various	7,778.07	6,044.10	1,733.97	SALE	TOTAL 2 LOTS
05/30/13	65.0000	01/08/13	3,090.69	2,382.90	707.79	SALE	
	20.0000	*01/08/13*	*931.78*	*733.20*	*198.58*		
	80.0000	*01/15/13*	*3,727.14*	*3,078.58*	*648.56*		
06/04/13	100.0000	Various	4,658.92	3,811.78	847.14	SALE	TOTAL 2 LOTS
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			**221,682.39**	**210,697.15**	**10,985.24**		

*Box 2a Sales price less commissions and option premiums

**For noncovered securities, the following information is not reported to the IRS COST OR OTHER BASIS (Box 3), DATE OF ACQUISITION (Box 1b), TYPE OF GAIN OR LOSS (Box 1c), WASH SALE LOSS AMOUNT DISALLOWED (Box 5)

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
File by the due date for filing your return See instructions	JAMES L. RUBENSTEIN FAMILY FOUNDATION	04-6833651
	Number, street, and room or suite no If a P O box, see instructions. 163 ALLEN'S POINT	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. MARION, MA 02738-2301	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ JAMES RUBENSTEIN 163 ALLEN'S POINT - MARION, MA 02738-2301
  Telephone No. ▶ 508-587-1110 Fax No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2014.

5 For calendar year 2013 , or other tax year beginning _____ , and ending _____

6 If the tax year entered in line 5 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS NEEDED TO OBTAIN INFORMATION TO COMPLETE AN ACCURATE RETURN

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$	0.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$	18.
c	**Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ CPA Date ▶

Form **8868** (Rev. 1-2014)

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12-31-13