Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
KEANE FAMILY FOUNDATION
C/O O'BRIEN & ASSOCIATES

A Employer identification number: 04-6743248

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
10 KEARNEY ROAD, SUITE 305

B Telephone number

City or town, state or province, country, and ZIP or foreign postal code
NEEDHAM, MA 02494

C If exemption application is pending, check here ▶ ☐

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 24,263,411.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	365,278.	365,278.		STATEMENT 1
	4 Dividends and interest from securities	123,599.	123,599.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	981,205.			
	b Gross sales price for all assets on line 6a 6,969,951.				
	7 Capital gain net income (from Part IV, line 2)		981,205.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	1,470,082.	1,470,082.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees STMT 3	139,897.	0.		0.
	17 Interest				
	18 Taxes STMT 4	2,643.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	142,540.	0.		0.
	25 Contributions, gifts, grants paid	1,228,800.			1,228,800.
	26 **Total expenses and disbursements.** Add lines 24 and 25	1,371,340.	0.		1,228,800.
	27 Subtract line 26 from line 12.				
	a Excess of revenue over expenses and disbursements	98,742.			
	b **Net investment income** (if negative, enter -0-)		1,470,082.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	242,598.	354,329.	354,329.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less. allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations STMT 5	3,813,294.	4,381,579.	4,379,011.
	b	Investments - corporate stock STMT 6	6,997,652.	7,560,099.	9,579,049.
	c	Investments - corporate bonds STMT 7	7,387,661.	6,248,241.	6,523,491.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 8	3,423,808.	3,419,507.	3,427,531.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	21,865,013.	21,963,755.	24,263,411.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	9,032,880.	9,032,880.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	12,832,133.	12,930,875.	
	30	Total net assets or fund balances	21,865,013.	21,963,755.	
	31	Total liabilities and net assets/fund balances	21,865,013.	21,963,755.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	21,865,013.
2	Enter amount from Part I, line 27a	2	98,742.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	21,963,755.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	21,963,755.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo., day, yr)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	6,969,951.		5,988,746.	981,205.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				981,205.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — 981,205.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 — 3 — N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,192,576.	23,130,486.	.051559
2011	1,136,723.	23,099,269.	.049210
2010	929,909.	22,718,892.	.040931
2009	774,062.	21,293,694.	.036352
2008	849,090.	23,245,885.	.036526

2	Total of line 1, column (d)	2	.214578
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.042916
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	23,816,827.
5	Multiply line 4 by line 3	5	1,022,123.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	14,701.
7	Add lines 5 and 6	7	1,036,824.
8	Enter qualifying distributions from Part XII, line 4	8	1,228,800.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	14,701.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3	Add lines 1 and 2		3	14,701.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	14,701.
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a 25,000.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 10,000.		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	35,000.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	173.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	20,126.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶	20,126. Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ TIMOTHY R. O'BRIEN / O'BRIEN & ASSO Telephone no ▶(781) 444-8400
Located at ▶10 KEARNEY ROAD, SUITE 305, NEEDHAM, MA ZIP+4 ▶02494

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ [X]
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 | 0.

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	[ ] Yes [X] No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	[ ] Yes [X] No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	[ ] Yes [X] No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	[ ] Yes [X] No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	[ ] Yes [X] No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	[ ] Yes [X] No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ [ ]			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	[ ] Yes [X] No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	[ ] Yes [X] No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A — **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? — **6b** — No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A — **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN F. KEANE, SR. 81 BEACON STREET BOSTON, MA 02108	TRUSTEE 1.00	0.	0.	0.
MARILYN T. KEANE 81 BEACON STREET BOSTON, MA 02108	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	23,769,844.
b	Average of monthly cash balances	1b	409,676.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	24,179,520.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	24,179,520.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	362,693.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	23,816,827.
6	**Minimum investment return.** Enter 5% of line 5	6	1,190,841.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,190,841.
2a	Tax on investment income for 2013 from Part VI, line 5 2a 14,701.		
b	Income tax for 2013. (This does not include the tax from Part VI) 2b		
c	Add lines 2a and 2b	2c	14,701.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	1,176,140.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,176,140.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	1,176,140.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,228,800.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,228,800.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	14,701.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,214,099.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,176,140.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			1,077,266.	
b Total for prior years ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4. ▶ $ 1,228,800.				
a Applied to 2012, but not more than line 2a			1,077,266.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				151,534.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				1,024,606.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED STATEMENT				1,228,800.
Total			▶ 3a	1,228,800.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Form **990-PF** (2013)

323611
10-10-13

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	365,278.	
4 Dividends and interest from securities			14	123,599.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	981,205.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		1,470,082.	0.

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 1,470,082.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
3	INTEREST AND DIVIDENDS ON FOUNDATION INVESTMENTS
8	GAINS AND LOSSES ON FOUNDATION INVESTMENTS

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

John F Keane | Date 11/17/14 | Title Trustee

Signature of officer or trustee

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
T. O'BRIEN		11/17/14		P01558728

Firm's name ▶ O'BRIEN & ASSOCIATES — Firm's EIN ▶ 04-2706569

Firm's address ▶ 10 KEARNEY ROAD, SUITE 305 NEEDHAM, MA 02494 — Phone no

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BOSTON PRIVATE BANK	130.	130.	
GOLDMAN SACHS (#45139)	9,299.	9,299.	
GOLDMAN SACHS (#49503)	355,849.	355,849.	
TOTAL TO PART I, LINE 3	365,278.	365,278.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS (#45139)	1,722.	0.	1,722.	1,722.	
GOLDMAN SACHS (#45141)	55,309.	1,748.	53,561.	53,561.	
GOLDMAN SACHS (#45531)	20,286.	0.	20,286.	20,286.	
GOLDMAN SACHS (#45557)	48,609.	586.	48,023.	48,023.	
GOLDMAN SACHS (#49503)	7.	0.	7.	7.	
TO PART I, LINE 4	125,933.	2,334.	123,599.	123,599.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
IAS FEES RINET COMPANY	2,710.	0.		0.
IAS FEES GOLDMAN SACHS	137,187.	0.		0.
TO FORM 990-PF, PG 1, LN 16C	139,897.	0.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	1,985.	0.		0.
RECLAIMABLE TAX	604.	0.		0.
ADR FEES	54.	0.		0.
TO FORM 990-PF, PG 1, LN 18	2,643.	0.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 5

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENTS	X		3,274,614.	3,278,175.
SEE ATTACHED STATEMENT - FOREIGN BONDS	X		1,106,965.	1,100,836.
TOTAL U.S. GOVERNMENT OBLIGATIONS			4,381,579.	4,379,011.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			4,381,579.	4,379,011.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENTS	7,560,099.	9,579,049.
TOTAL TO FORM 990-PF, PART II, LINE 10B	7,560,099.	9,579,049.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENTS	6,248,241.	6,523,491.
TOTAL TO FORM 990-PF, PART II, LINE 10C	6,248,241.	6,523,491.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENTS	COST	3,419,507.	3,427,531.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,419,507.	3,427,531.

Keane Family Foundation
2013 Form 990-PF
Part XV, Line 3, Grants and Contributions Paid During the Year
EIN: 04-6743248

Name of Donee	Recipient Relationship and Purpose of Grant	Recipient Status	Amount
Historic New England	None Charitable Contribution Unrestricted	Public Charity	3,875.00
Historic New England	None Charitable Contribution Unrestricted	Public Charity	4,825.00
The Boston Anthenaeum	None Charitable Contribution Unrestricted	Public Charity	5,000.00
The Hungtington Theatre Company	None Charitable Contribution Unrestricted	Public Charity	10,000.00
Isabella Stewart Gardner Museum	None Charitable Contribution Unrestricted	Public Charity	5,000.00
Museum of Fine Arts	None Charitable Contribution Unrestricted	Public Charity	50,000.00
New England Aquarium	None Charitable Contribution Unrestricted	Public Charity	10,000.00
Nichols House Museum	None Charitable Contribution Unrestricted	Public Charity	500.00
Nichols House Museum	None Charitable Contribution Unrestricted	Public Charity	250.00
Peabody Essex Museum	None Charitable Contribution Unrestricted	Public Charity	10,000.00
USS Constitution Museum	None Charitable Contribution Unrestricted	Public Charity	5,000.00
WGBH	None Charitable Contribution Unrestricted	Public Charity	50,000.00
Harvard Catholic Center	None Charitable Contribution Unrestricted	Public Charity	1,500.00
Harvard University	None Kennedy School - Dean's Council	Public Charity	25,000.00
Harvard University	None Graduate Fellowship Fund	Public Charity	50,000.00
Harvard Business School	None Charitable Contribution Scholarship Fund	Public Charity	100,000.00
Harvard College	None Keane Family Scholarship Fund	Public Charity	250,000.00
Harvard Business School	None Charitable Contribution Scholarship Fund	Public Charity	50,000.00
Beth Israel Deaconess Medical Center	None Patient Site / Open Notes	Public Charity	50,000.00

Beth Israel Deaconess Medical Center	None Patient Site / Open Notes	Public Charity	50,000.00
Massachusetts General Hospital	None Stroke Genetics Research Project	Public Charity	200,000.00
Pioneer Institute	None Charitable Contribution Unrestricted	Public Charity	1,000.00
Pioneer Institute	None Charitable Contribution Unrestricted	Public Charity	5,000 00
Dartmouth College	None Charitable Contribution Unrestricted	Public Charity	50,000.00
Beacon Hill Civic Association	None Charitable Contribution Unrestricted	Public Charity	500.00
Beacon Hill Civic Association	None Charitable Contribution Unrestricted	Public Charity	350.00
New England Historic Genealogical Society	None Charitable Contribution Unrestricted	Public Charity	25,000.00
St. Anthony's Shrine	None Charitable Contribution Unrestricted	Public Charity	10,000 00
Triform Camphill Community	None Charitable Contribution Unrestricted	Public Charity	5,000.00
Parish of St. Anthony	None Charitable Contribution Unrestricted	Public Charity	10,000.00
Friends of the Public Garden	None Charitable Contribution Unrestricted	Public Charity	1,000.00
Vail Mountain School	None Charitable Contribution Unrestricted	Public Charity	10,000.00
Vail Mountain School	None Charitable Contribution Capital Campaign	Public Charity	20,000.00
Ski & Snowboard Club Vail	None Charitable Contribution Capital Campaign	Public Charity	25,000.00
Ski & Snowboard Club Vail	None Charitable Contribution Unrestricted	Public Charity	15,000.00
Boys & Girls Club of Boston	None Charitable Contribution Unrestricted	Public Charity	100,000.00
Inner City Scholarship Fund	None Charitable Contribution Unrestricted	Public Charity	5,000.00
Max Warburg Courage Curriculum, Inc.	None Charitable Contribution Unrestricted	Public Charity	5,000 00
Waterville Valley Black & Blue Trail Smashers Snowsports Educational Foundation	None Charitable Contribution Unrestricted	Public Charity	10,000.00
		Total	**1,228,800.00**

Keane Family Foundation, Inc.
2013 Form 990-PF, Part II
Balance Sheet
Investment Summary
December 31, 2013

	Fidelity / Boston Priv	GS #45139	MNGD GS #45141	Delaware GS #45531	CONC Value GS #45557	Gov / Corp FI GS #49503	Total	
Temp Cash Inv	105,631	213,219	35,479				354,329	
US/Government Bonds- FMV						3,278,175	3,278,175	
US/Government Bonds- Cost						3,274,614	3,274,614	
Foreign Bonds - FMV			1,100,836				1,100,836	
Foreign Bonds - Cost			1,106,965				1,106,965	
Corporate Bonds - FMV		1,313				6,522,178	6,523,491	
Corporate Bonds - Cost		1,163				6,247,078	6,248,241	
Corporate Stocks - FMV			5,269,130	2,140,347	2,169,572		9,579,049	
Corporate Stocks - Cost			4,386,525	1,423,069	1,750,505		7,560,099	
Other Investments - FMV		608,611	2,818,920				3,427,531	
Other Investments - Cost		599,729	2,819,778				3,419,507	
Total FMV	105,631	823,143	9,224,365	2,140,347	2,169,572	9,800,353	24,263,411	
Total Cost	105,631	814,111	8,348,747	1,423,069	1,750,505	9,521,692	21,963,755	
Unrealized Gain/Loss	-	9,032	875,618	717,278	419,067	278,661	2,299,656	
						Total Investments FMV		23,909,082
						Total Investments Cost		21,609,426

SEE ATTACHED STATEMENTS WITH DETAILED LISTING OF INVESTMENTS BY ACCOUNT

THE KEANE FAMILY FOUNDATION CLIENT

Holdings

Period Ended December 31, 2013

CASH, DEPOSITS & MONEY MARKET FUNDS

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
DEPOSITS & MONEY MARKET FUNDS								
MONEY MARKET FUNDS								
GS FINANCIAL SQUARE FEDERAL FUND - FST SHARES Moody's Aaa	213,219 280	1.0000	213,219 28	1 0000	213,219 28	0 00	0 0100	21 32

FIXED INCOME

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
INVESTMENT GRADE FIXED INCOME								
CORPORATE BONDS								
WASTE MANAGEMENT INTERNATIONAL CPN 7 7500	1,000 00	130 2820	1,302 82	116 3355	1,163 35	139 47	6 2431	77 50
05/15/2032 S&P A- /Moody's Baa3			9 90	120 09	1,200 87	101 95		
OTHER FIXED INCOME								
CORPORATE BONDS								
SAMI 19982 B 02/01/1998 05/02/2030 2 511% 05/02/2030	11,000 00	No Price [38]	0 00		No Cost			0 39
FACTOR 0 00142179 01/01/2014 Not Rated	15 68							
TOTAL FIXED INCOME			**1,302.82**		**1,163.35**	**139.47**	**6.2431**	**77.89**
			9.90		**1,200.87**	**101.95**		

[38] This price has been marked to zero either because a valid price cannot be obtained or the last known price is stale beyond the expected pricing frequency (generally defined as more than 30 days old)

ALTERNATIVE INVESTMENTS

	Commitment	Total Contributions/ Distributions	Remaining Commitment[24]	Net Contributions/ (Distributions) Since Inception	Latest Cap Statement Value/[25] Statement Date	Net Contributions/ (Distributions) Since Last Cap Statement	Computed Market Value[26]	Economic Gain (Loss)[22]
PRIVATE EQUITY								
MOUNT KELLETT CAPITAL PARTNERS II ACCESS LP[10,12]	1,000,000 00	981,403 14 381,673 98	190,503 54	599,729 16	696,497 00 Sep 30, 2013	(87,886 02)	608,610 98	8,881 82

	Market Value	Adjusted Cost /[6] Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	**823,142.98**	**814,111.79** **1,195,823.29**	**9,021.29** **8,983.77**	**99.22**

The calculations noted above (other than contributions and distributions since the last capital statement) are taken from the applicable partnership capital value statement as provided by the fund administrator A partnership capital value statement is available upon request from your Private Wealth Advisor Tax information regarding these investments, including allocations of income and realized and unrealized gains and losses will be available through a Schedule K-1 which will be mailed to you after year end Please be advised that the delivery of K-1s is subject to the reporting of the particular underlying partnerships and that the delivery of particular K-1s may be delayed, requiring you to file an extension Your private equity assets are held away from Goldman Sachs and are not covered by the Securities Investor Protection Corporation ("SIPC") or any supplemental insurance provided by Goldman Sachs for its clients holdings Please contact your Private Wealth Advisor if you have any questions

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

[10] *Contributions may include fees* Distributions may be net of fees

[12] Please refer to the end of the Holdings section for further details pertaining to these investments

[22] Estimated using Net Asset Value (NAV) when available, or estimated as Computed Market Value minus adjusted Cost Basis

[24] Distributions of this investment may be subject to reinvestment or recall by the partnership before or after the expiration of the commitment period

[25] Cash may be included

[26] This is based on Net Asset Value (NAV), when available, or estimated as total capital balance, when available, plus any contributions and minus any distributions since the last capital statement

CASH, DEPOSITS & MONEY MARKET FUNDS

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
DEPOSITS & MONEY MARKET FUNDS								
MONEY MARKET FUNDS								
GS FINANCIAL SQUARE FEDERAL FUND - FST SHARES Moody's Aaa	35,479 030	1 0000	35,479 03	1 0000	35,479 03	0 00	0 0100	3 55

FIXED INCOME

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized / Economic Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
OTHER FIXED INCOME								
GS CREDIT STRATEGIES FUND								
GS CREDIT STRATEGIES FUND COMMON SHARES	24,702.406	10 4300	257,646 09	10 5556	260,747 76	(3,101 67) 76,142 85		10,300 90

	Quantity	Market Price	Market Value / Accrued Income	Contributions/ Distributions To Date	Net Contribution To Date	Economic Gain (Loss)
NUVEEN SYMPHONY FLOATING RATE INCOME FUND						
NUVEEN SYMPHONY FLOATING RATE INCOME FUND I[12]	12,204 106	20 9000	255,065 82			3,209 79

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized / Economic Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
GS LOCAL EMERGING MARKETS DEBT FUND								
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES	25,585 952	8 4700	216,713 01	9 8457	251,911 30	(35,198 29) (27,592 62)		11,462 51
GS STRATEGIC INCOME FUND								
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES	34,841 578	10 6600	371,411 22	9 8288	342,449 49	28,961 73 62,703 35		14,424 41
TOTAL OTHER FIXED INCOME			**1,100,836.14**		**1,106,964.58**	**(6,128.44)**		**46,915.23**

[12] Please refer to the end of the Holdings section for further details pertaining to these investments

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Contributions/ Distributions To Date	Net Contribution To Date	Economic Gain (Loss)		
US EQUITY								
LATEEF DYNAMIC EQUITY LLC								
LATEEF DYNAMIC EQUITY SERIES OFFSHORE L P [12]	10,000 00	155 8430	1,558,430 00	1,000,000 00 0 00		558,430 00		
	Quantity	**Market Price**	**Market Value / Accrued Income**	**Unit Cost**	**Cost Basis**	**Unrealized / Economic Gain (Loss)**	**Dividend Yield**	**Estimated Annual Income**
GS REAL ESTATE SECURITIES FUND								
GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES (GREIX)	6,763 449	15 8000	106,862 49	20 0174	135,386 42	(28,523 93) 6,862 41	1 8101	1,934 35
TOTAL US EQUITY			**1,665,292.49**		**1,135,386.42**	**529,906.07**	**1.8101**	**1,934.35**
	Quantity	**Market Price**	**Market Value / Accrued Income**	**Contributions/ Distributions To Date**	**Net Contribution To Date**	**Economic Gain (Loss)**		
GLOBAL EQUITY								
DYNAMIC EQUITY (GLOBAL EQUITY) MANAGERS. PORTFOLIO 5 [SERIES								
DYNAMIC EQUITY (GLOBAL EQUITY) MANAGERS PORTFOLIO 5 OFFSHORE L P [12]	9,491 26	130 3810	1,237,481 01	1,000,000 00 0 00		237,481 01		
NON-US EQUITY								
VONTOBEL· NON-US EQUITY LLC								
VONTOBEL· NON-US EQUITY OFFSHORE L P [12]	13,718 05	116 5150	1,598,359 64	1,500,000 00 0 00		98,359 64		
	Quantity	**Market Price**	**Market Value / Accrued Income**	**Unit Cost**	**Cost Basis**	**Unrealized Gain (Loss)**	**Dividend Yield**	**Estimated Annual Income**
EURO STOXX 50 INDEX FUND (SPDR)								
SPDR EURO STOXX 50 FD ETF (FEZ)	12,455 00	42 2000	525,601 00 2,343.77	40.1773	500,408 27	25,192 73	2 7246	14,320 76

[12] Please refer to the end of the Holdings section for further details pertaining to these investments

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
NON-US EQUITY								
FTSE EMERGING MARKETS INDEX FUND (VANGUARD)								
VANGUARD FTSE EMERGING MKTS ETF (VWO)	5,835 00	41 1400	240,051.90	42 9700	250,729 95	(10,678 05)	2 7346	6,564 38
TOTAL NON-US EQUITY			**2,364,012.54** **2,343.77**		**2,251,138.22**	**112,874.32**	**2.7278**	**20,885.13**

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized / Economic Gain (Loss)	Dividend Yield	Estimated Annual Income
TOTAL PUBLIC EQUITY			**5,266,786.04** **2,343.77**		**4,386,524.64**	**880,261.40**	**2.6154**	**22,819.48**

ALTERNATIVE INVESTMENTS

	Market Value	Contributions To Date	Distributions To Date	Economic Gain (Loss)
HEDGE FUNDS				
HEDGE FUND MANAGERS (DIVERSIFIED)				
HEDGE FUND MANAGERS (DIVERSIFIED) (IRELAND) TRANCHE A SERIES 26[12]	1,964,560 16	2,000,000 00	0 00	(35,439 84)
HEDGE FUND MANAGERS SUB-FUND TRANCHE A SERIES 1[12]	71,551.79	0 00	26,256.92	97,808 71
TOTAL HEDGE FUND MANAGERS (DIVERSIFIED)	2,036,111 95	2,000,000 00	26,256 92	62,368 87

	Commitment	Total Contributions/ Distributions	Remaining Commitment[24]	Net Contributions/ (Distributions) Since Inception	Latest Cap Statement Value/[25] Statement Date	Net Contributions/ (Distributions) Since Last Cap Statement	Computed Market Value[26]	Economic Gain (Loss)[22]
PRIVATE EQUITY								
GS MEZZANINE PARTNERS V LP Closing Date Oct,2007[10 12]	1,500,000 00	991,580 00 756,535 00	508,420 00	235,045 00	549,263 00 Sep 30, 2013	(15,000 00)	534,263 00	299,218 00

[10] Contributions may include fees Distributions may be net of fees

[12] *Please refer* to the end of the Holdings section for further details pertaining to these investments

[22] Estimated using Net Asset Value (NAV), when available, or estimated as Computed Market Value minus adjusted Cost Basis

[25] Cash may be included

[26] This is based on Net Asset Value (NAV), when available, *or estimated as total capital balance, when available, plus any contributions and minus any distributions since the last capital statement.*

ALTERNATIVE INVESTMENTS (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
COMMODITIES								
COMMODITY STRUCTURED PRODUCTS	250,000 00	99 4180	248,545 00	100 0000	250,000 00	(1,455 00)		

	Commitment	Total Contributions/ Distributions	Remaining Commitment[24]	Net Contributions/ (Distributions) Since Inception	Latest Cap Statement Value/[25] Statement Date	Net Contributions/ (Distributions) Since Last Cap Statement	Computed Market Value[26]	Economic Gain (Loss)[22]
TOTAL ALTERNATIVE INVESTMENTS	1,500,000.00	2,991,580.00 782,791.92	508,420.00		2,519,775		2,818,919.95	360,131.87

	Market Value	Adjusted Cost /[6] Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	9,224,364.93	7,987,756.33 8,770,548.25	1,234,264.83	69,738.26

Unless otherwise noted, Goldman Sachs has furnished the information appearing in this section for your convenience only Hedge funds are privately placed This information may have been obtained from other persons, some of whom may be Goldman Sachs affiliates Please note that in the case of information supplied by other persons (1) Goldman Sachs does not independently verify such information for accuracy or completeness, and (2) Goldman Sachs has been informed that in certain cases such information may reflect unaudited figures, which may be inaccurate or incomplete, and that actual figures may differ Certain hedge funds may appear in the Alternative Investments section as Other Investments Please refer to the relevant product's periodic financial statements and capital analyses for official accounting information

The calculations noted above (other than contributions and distributions since the last capital statement) are taken from the applicable partnership capital value statement as provided by the fund administrator A partnership capital value statement is available upon request from your Private Wealth Advisor Tax information regarding these investments, including allocations of income and realized and unrealized gains and losses will be available through a Schedule K-1 which will be mailed to you after year end Please be advised that the delivery of K-1s is subject to the reporting of the particular underlying partnerships and that the delivery of particular K-1s may be delayed, requiring you to file an extension Your private equity assets are held away from Goldman Sachs and are not covered by the *Securities Investor Protection Corporation ("SIPC") or any supplemental insurance provided by Goldman Sachs for its clients holdings* Please contact your Private Wealth Advisor if you have any questions

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

THE KEANE FAMILY FOUNDATION DELWARE

Holdings

Period Ended December 31, 2013

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
US EQUITY								
DELAWARE LARGE CAP GROWTH								
U S DOLLAR	193 70	1 0000	193 70		193 70			
GS FINANCIAL SQUARE FEDERAL FUND - FST SHARES (FEDXX)	36,531 090	1 0000	36,531 09	1 0000	36,531.09		0 0100	3 65

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
ADOBE SYSTEMS INC CMN (ADBE)	1,311 00	59 8790	78,501.37	36 5335	47,895 37	30,606 00		
ALLERGAN INC CMN (AGN)	742 00	111 0800	82,421.36	80.4038	59,659 62	22,761 74	0 1801	148 40
APPLE, INC CMN (AAPL)	95 00	561 0200	53,296 90	385 4108	36,614 03	16,682 87	2 1746	1,159 00
CELGENE CORPORATION CMN (CELG)	634 00	168 9680	107,125.71	88 3174	55,993 21	51,132 50		
CME GROUP INC CMN CLASS A (CME)	572 00	78 4600	44,879 12 1,487.20	56 7683	32,471 48	12,407 64	2 2942	1,029 60
CROWN CASTLE INTL CORP COMMON STOCK (CCI)	1,346 00	73 4300	98,836.78	47 6917	64,193 08	34,643 70		
EBAY INC CMN (EBAY)	1,126 00	54 8650	61,777 99	56 2518	63,339 50	(1,561 51)		
EOG RESOURCES INC CMN (EOG)	650 00	167.8400	109,096 00	99 8859	64,925 85	44,170 15	0 4469	487 50
GOOGLE, INC. CMN CLASS A (GOOG)	88 00	1,120 7100	98,622 48	632 5050	55,660 44	42,962 04		
INTRCONTINENTALEXCHANGE GP INC CMN (ICE)	298 00	224 9200	67,026 16	125 0098	37,252 91	29,773 25		
INTUIT INC CMN (INTU)	818 00	76 3200	62,429 76	51 8404	42,405 45	20,024 31	0 9958	621 68
KINDER MORGAN INC CMN CLASS P (KMI)	2,308 00	36 0000	83,088 00	34 9191	80,593 26	2,494 74	4 5556	3,785 12
L BRANDS, INC. CMN (LB)	1,084 00	61.8500	67,045.40	53 5544	58,052 97	8,992 43	1 9402	1,300 80
LIBERTY INTERACTIVE CORP INTERACTIVE CMN CLASS A (LINTA)	3,412.00	29 3500	100,142 20	19 0122	64,869 55	35,272 65		
MASTERCARD INCORPORATED CMN CLASS A (MA)	149 00	835 4600	124,483 54	301 4636	44,918 07	79,565 47	0 5267	655 60
MICROSOFT CORPORATION CMN (MSFT)	2,001.00	37 4100	74,857.41	34 3118	68,658 00	6,199 41	2 9939	2,241 12
NIKE CLASS-B CMN CLASS B (NKE)	578 00	78 6400	45,453 92 138 72	47 6742	27,555 71	17,898 21	1 2208	554 88
PRICELINE COM INC CMN (PCLN)	72 00	1,162 4000	83,692 80	462 8388	33,324 39	50,368 41		
PROGRESSIVE CORPORATION (THE) CMN (PGR)	2,015 00	27 2700	54,949 05	22 0504	44,431 52	10,517.53	1 0433	573 27

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
DELAWARE LARGE CAP GROWTH								
QUALCOMM INC CMN (QCOM)	1,293 00	74 2500	96,005 25	50 3511	65,104 01	30,901 24	1.8855	1,810 20
SALLY BEAUTY HOLDINGS, INC CMN (SBH)	1,155 00	30 2300	34,915 65	25 8514	29,858 39	5,057 26		
TERADATA CORPORATION CMN (TDC)	1,010 00	45.4900	45,944 90	46 1083	46,569 35	(624 45)		
VERIFONE SYSTEMS INC CMN (PAY)	587.00	26 8200	15,743 34	29 8274	17,508 66	(1,765 32)		
VERISIGN INC CMN (VRSN)	817 00	59 7800	48,840 26	34 0639	27,830 23	21,010 03		
VISA INC CMN CLASS A (V)	581 00	222 6800	129,377 08	93 2254	54,163 97	75,213 11	0 7185	929 60
WALGREEN CO CMN (WAG)	1,495 00	57 4400	85,872 80	37 3759	55,877 00	29,995 81	2 1936	1,883 70
NOVO-NORDISK A/S ADR ADR CMN (NVO)	336 00	184 7600	62,079 36	105 3803	35,407.77	26,671 59	1 2255	760 81
PERRIGO CO PLC CMN (PRGO)	231.00	153 4600	35,449 26	152 2050	35,159 36	289 90		
SYNGENTA AG SPONSORED ADR CMN (SYT)	626 00	79 9400	50,042 44	57 5899	36,051 25	13,991.19	2 1237	1,062 76
TOTAL DELAWARE- LARGE CAP GROWTH			2,138,721 08 1,625 92		1,423,069 19	715,651 90	1 5063	19,007 68

	Market Value	Adjusted Cost / [6] Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	**2,140,347.00**	**1,423,069.19**	**715,651.90**	**19,007.68**

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

THE KEANE FAMILY FOUNDATION CONC VALUE
Holdings

Period Ended December 31, 2013

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
US EQUITY								
GSAM LARGE CAP VALUE (CONCENTRATED)								
U S DOLLAR	488 96	1 0000	488 96		488 96			
GS FINANCIAL SQUARE FEDERAL FUND - FST SHARES (FEDXX)	36,878 280	1 0000	36,878 28	1 0000	36,878 28		0 0100	3 69

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
ALTERA CORP CMN (ALTR)	1,738 00	32 5110	56,504 12	33 3188	57,908 14	(1,404 02)	1 8455	1,042 80
AMERICAN INTL GROUP, INC. CMN (AIG)	1,321 00	51.0500	67,437 05	39 4534	52,118 00	15,319 05	0 7835	528 40
BANK OF AMERICA CORP CMN (BAC)	5,455 00	15 5700	84,934 35	9 1566	49,949 29	34,985 06	0 2569	218 20
BOEING COMPANY CMN (BA)	635 00	136 4900	86,671 15	73 7928	46,858.46	39,812 69	2 1394	1,854 20
CITIGROUP INC CMN (C)	1,430 00	52.1100	74,517 30	44 6873	63,902 85	10,614 45		
COMERICA INCORPORATED CMN (CMA)	0 00	47 5400	0 00 117.47				1 4304	
DEVON ENERGY CORPORATION (NEW) CMN (DVN)	1,523 00	61.8700	94,228 01	64 0374	97,528 89	(3,300 88)	1.4223	1,340 24
EASTMAN CHEM CO CMN (EMN)	405 00	80 7000	32,683 50 141 75	70 3258	28,481 94	4,201 56	1 7348	567 00
EBAY INC CMN (EBAY)	593 00	54 8650	32,534 95	52 9228	31,383 22	1,151 72		
ELI LILLY & CO CMN (LLY)	828 00	51 0000	42,228 00	50 5589	41,862 74	365 26	3 8431	1,622 88
EMC CORPORATION MASS CMN (EMC)	2,565 00	25 1500	64,509 75	24 5315	62,923 20	1,586 55	1 5905	1,026 00
EXPEDIA, INC CMN (EXPE)	473 00	69 6600	32,949 18	66 8501	31,620 11	1,329 07		
EXXON MOBIL CORPORATION CMN (XOM)	1,265 00	101.2000	128,018 00	85 1179	107,674 14	20,343 86	2 4901	3,187 80
GENERAL ELECTRIC CO CMN (GE)	4,404 00	28 0300	123,444 12 968 88	18 8781	83,139 35	40,304 77	3 1395	3,875 52
HALLIBURTON COMPANY CMN (HAL)	1,114 00	50.7500	56,535 50	32 4656	36,166 63	20,368 87	1.1823	668 40
HARTFORD FINANCIAL SRVCS GROUP CMN (HIG)	1,445 00	36 2300	52,352.35 216 75	29 7290	42,958 47	9,393 88	1 6561	867 00
JPMORGAN CHASE & CO CMN (JPM)	1,693 00	58 4800	99,006 64	36 3137	61,479.11	37,527 53	2 5992	2,573 36
L BRANDS, INC CMN (LB)	741 00	61 8500	45,830 85	51 2840	38,001 46	7,829 39	1 9402	889 20

THE KEANE FAMILY FOUNDATION CONC VALUE

Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM LARGE CAP VALUE (CONCENTRATED)								
LIBERTY GLOBAL, PLC CMN CLASS A (LBTYA)	494 00	89 0000	43,966 00	73 2469	36,183 98	7,782 02		
MERCK & CO , INC CMN (MRK)	1,919 00	50 0500	96,045 95 844 36	44 0778	84,585 24	11,460 71	3 5165	3,377 44
MGM RESORTS INTERNATIONAL CMN (MGM)	1,415 00	23 5200	33,280 80	11.5484	16,341 02	16,939 78		
MICROSOFT CORPORATION CMN (MSFT)	2,136 00	37 4100	79,907 76	32 2299	68,842 99	11,064 77	2 9939	2,392 32
MORGAN STANLEY CMN (MS)	1,496 00	31 3600	46,914 56	30 5927	45,766 61	1,147.95	0 6378	299 20
PFIZER INC CMN (PFE)	1,476 00	30 6300	45,209 88	25 8668	38,179 46	7,030 42	3 3954	1,535 04
PRUDENTIAL FINANCIAL INC CMN (PRU)	908 00	92 2200	83,735 76	49 5622	45,002 44	38,733 32	2 2989	1,924 96
QUALCOMM INC CMN (QCOM)	572 00	74 2500	42,471 00	66 0802	37,797 86	4,673 14	1.8855	800 80
SUNTRUST BANKS INC $1 00 PAR CMN (STI)	1,650 00	36 8100	60,736 50	22 3568	36,888 79	23,847 71	1 0867	660 00
TEXTRON INC DEL CMN (TXT)	1,436 00	36 7600	52,787 36 28 72	27 8354	39,971 69	12,815 67	0 2176	114 88
TOLL BROTHERS, INC. CMN (TOL)	1,640 00	37 0000	60,680 00	35 6752	58,507 39	2,172.61		
UNITEDHEALTH GROUP INCORPORATE CMN (UNH)	978 00	75 3000	73,643 40	68 0412	66,544 32	7,099 08	1 4874	1,095 36
VERTEX PHARMACEUTICALS INC CMN (VRTX)	483 00	74 3000	35,886 90	52 1608	25,193 66	10,693 24		
WAL MART STORES INC CMN (WMT)	995 00	78 6900	78,296 55 467 65	76 2699	75,888 53	2,408 02	2 3891	1,870 60
ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (BUD)	594 00	106 4600	63,237 24	89 5329	53,182 53	10,054 71	2 3520	1,487 37
BP P L C SPONSORED ADR CMN (BP)	1,198 00	48 6100	58,234 78	41 9914	50,305 72	7,929 06	4 6904	2,731 44
TOTAL GSAM LARGE CAP VALUE (CONCENTRATED)			2,166,786 50 2,785 58		1,750,505 47	416,281 02	2 0812	38,554 10

	Market Value	Adjusted Cost / [6] Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	**2,169,572.08**	**1,750,505.47**	**416,281.02**	**38,554.10**

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

FIXED INCOME

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
INVESTMENT GRADE FIXED INCOME								
GS· GOVERNMENT/CORPORATE FIXED INCOME								
GS FINANCIAL SQUARE FEDERAL FUND - FST SHARES Moody's Aaa	82,437 700	1 0000	82,437 70	1 0000	82,437.70		0 0100	8 24

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
AUTOZONE, INC 5 75% 01/15/2015 SR LIEN M-W+50 00BP S&P BBB /Moody's Baa1	500,000 00	105 0470	525,235 00 13,256 94	103 0448 111.50	515,224 00 557,500 00	10,011 00 (32,265 00)	2 7613	28,750 00
MORGAN STANLEY 4 1% 01/26/2015 SR LIEN S&P A- /Moody's Baa2	250,000 00	103 4300	258,575 00 4,413 19	99 9780	249,945 00	8,630 00	4 1049	10,250 00
JP MORGAN CHASE BANK NA 6 0% 10/01/2017 BANK NOTES S&P A /Moody's A2	500,000 00	114 4120	572,060 00 7,500 00	107 6613 112.43	538,306 63 562,150 00	33,753 37 9,910 00	3 7568	30,000 00
MCDONALD'S CORPORATION MTN 5 8% 10/15/2017 SR LIEN M-W+20 00BP S&P A /Moody's A2	400,000 00	115 2920	461,168 00 4,897.78	104 3014 108 57	417,205 45 434,280 00	43,962 55 26,888 00	4 5507	23,200 00
PHILIP MORRIS INTERNATIONAL IN 5 65% 05/16/2018 SR LIEN S&P A /Moody's A2	350,000 00	114 8520	401,982 00 2,471 88	104 6139 107 94	366,148 71 377,793 50	35,833 29 24,188 50	4 4773	19,775 00
NOVARTIS SECURITIES INVESTMENT 5 125% 02/10/2019 M-W+40 00BP S&P AA- /Moody's Aa3	400,000 00	113 2370	452,948 00 8,029 17	108 0327 112.76	432,130 91 451,040 00	20,817 09 1,908 00	3 3995	20,500 00
AT&T INC 5 8% 02/15/2019 SR LIEN M-W+45 00BP S&P A- /Moody's A3	425,000 00	114 6360	487,203 00 9,312 22	99 6200	423,385 00	63,818 00	5 8498	24,650 00
OMNICOM GROUP INC 6 25% 07/15/2019 M-W+45 00BP S&P BBB+ /Moody's Baa1	400,000 00	115 4300	461,720 00 11,527.78	111 7729 116 22	447,091.61 464,860 00	14,628 39 (3,140 00)	3 8688	25,000 00
BLACKROCK, INC. 5 0% 12/10/2019 M-W+25 00BP S&P A+ /Moody's A1	275,000 00	112 9090	310,499 75 802 08	104 8671 107 22	288,384 47 294,855 00	22,115 28 15,644 75	4 0696	13,750 00
MEDCO HEALTH SOLUTIONS, INC 4 125% 09/15/2020 M-W+25 00BP S&P BBB+ /Moody's Baa3	400,000 00	103 5440	414,176 00 4,858 33	107 4964 108 93	429,985 44 435,700 00	(15,809 44) (21,524 00)	2 8870	16,500 00
GENERAL ELECTRIC CAPITAL CORP 5 3% 02/11/2021 S&P AA /Moody's A2	400,000 00	111 8620	447,448 00 8,244 44	112 9944 114 48	451,977 72 457,936 00	(4,529 72) (10,488 00)	3 2400	21,200 00
BERKSHIRE HATHAWAY INC 3 75% 08/15/2021 SR LIEN S&P AA /Moody's Aa2	375,000 00	103 1030	386,636 25 5,312 50	106 3121 106 95	398,670 20 401,047 50	(12,033 95) (14,411 25)	2 8240	14,062 50
APPLE INC 2 4% 05/03/2023 SR LIEN M-W+15 00BP S&P AA+ /Moody's Aa1	450,000 00	89 9210	404,644 50 1,740 00	99 8670	449,401 50	(44,757.00)	2 4151	10,800 00

FIXED INCOME (Continued)

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
INVESTMENT GRADE FIXED INCOME								
GS· GOVERNMENT/CORPORATE FIXED INCOME								
ASIA PT 6 64% 05/27/2014 MN SER 1999 SR LIEN S&P AAA /Moody's Aaa	750,000 00	102 4500	768,375 00	100 9045	756,783 55	11,591 45	4 3641	49,800 00
			4,703 33	112 68	845,115 00	(76,740 00)		
FFCB 5 5% 10/03/2014 AO S&P AA+ /Moody's Aaa	450,000 00	104 0070	468,031 50	101 2820	455,769 20	12,262 30	3 7603	24,750 00
			6,050 00	109 33	491,985 00	(23,953 50)		
IADB 4 25% 09/14/2015 MS SER 96 SR LIEN S&P AAA /Moody's Aaa	500,000 00	106 5360	532,680 00	101 2978	506,488 96	26,191 04	3 4576	21,250 00
			6,315 97	104 32	521,615 00	11,065 00		
KFW 5 125% 03/14/2016 MS S&P AAA /Moody's Aaa	375,000 00	109 8100	411,787 50	104 4465	391,674 38	20,113 12	3 0214	19,218 75
			5,658 85	113.46	425,475 00	(13,687 50)		
ONTARIO (PROVINCE OF) 3 15% 12/15/2017 S&P AA- /Moody's Aa2	550,000 00	106 4540	585,497 00	103 8827	571,354 74	14,142 26	2.1215	17,325 00
			770 00	105 80	581,900 00	3,597 00		
MANITOBA (PROVINCE OF) 1 75% 05/30/2019 USD SR LIEN S&P AA /Moody's Aa1	400,000 00	97 8360	391,344 00	99 8890	399,556 00	(8,212 00)	1.7669	7,000 00
			583 33					
U S TREASURY NOTE 1 750000% 05/15/2022 MN ON THE RUN Moody's Aaa	450,000 00	92 4690	416,110 50	101 9326	458,696 65	(42,586 15)	1.5035	7,875 00
			992.47	102 24	460,089.84	(43,979 34)		
U S TREASURY NOTE 1 625000% 11/15/2022 MN OFF THE RUN Moody's Aaa	500,000 00	90 2660	451,330 00	98 2148	491,074 22	(39,744 22)	1 8242	8,125 00
			1,023 97					
TOTAL GS GOVERNMENT/CORPORATE FIXED INCOME			9,691,888 70		9,521,692 04	170,196 66	3 3608	413,789 49
			108,464 23		9,859,141 26	(167,252 56)		

	Market Value	Adjusted Cost / [6] Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	**9,800,352.93**	**9,521,692.04**	**170,196.66**	**413,789.49**
		9,859,141.26	**(167,252.56)**	

Corporate Bonds $ 6,522,178 $ 6,247,078

Government Bonds $ 3,278,175 $ 3,274,614

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo , day, yr.)
1a	SEE ATTACHED GS STMT #5034	P	VARIOUS	VARIOUS
b	SEE ATTACHED GS STMT #5574	P	VARIOUS	VARIOUS
c	SEE ATTACHED GS STMT #5574	P	VARIOUS	VARIOUS
d	SEE ATTACHED GS STMT #5574	P	VARIOUS	VARIOUS
e	SEE ATTACHED GS STMT #5574	P	VARIOUS	VARIOUS
f	SEE ATTACHED GS STMT #5319	P	VARIOUS	VARIOUS
g	SEE ATTACHED GS STMT #5319	P	VARIOUS	VARIOUS
h	SEE ATTACHED GS STMT #5319	P	VARIOUS	VARIOUS
i	SEE ATTACHED GS STMT #1391	P	VARIOUS	VARIOUS
j	SEE ATTACHED GS STMT #1391	P	VARIOUS	VARIOUS
k	CAPITAL GAINS DIVIDENDS			
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,569,020.		2,455,635.	113,385.
b	1,773,518.		1,608,316.	165,202.
c	46,109.		46,565.	-456.
d	939,704.		704,559.	235,145.
e	192,010.		141,551.	50,459.
f	172,305.		152,639.	19,666.
g	196,670.		171,736.	24,934.
h	613,284.		308,367.	304,917.
i	440,568.		376,395.	64,173.
j	24,429.		22,983.	1,446.
k	2,334.			2,334.
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a				113,385.
b				165,202.
c				-456.
d				235,145.
e				50,459.
f				19,666.
g				24,934.
h				304,917.
i				64,173.
j				1,446.
k				2,334.
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	981,205.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c); If (loss), enter "-0-" in Part I, line 8	3	N/A

323591
05-01-13

Tax Year	Account No	Legal Name
2013	5034	THE KEANE FAMILY FOUNDATION

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	0 00	Net Covered Long-Term Gains (Losses)	0 00	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0 00	Net NonCovered Long-Term Gains (Losses)	113,384 33		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**0.00**	**Total Long-Term Gains (Losses)**	**113,384.33**	**Total Ordinary Gains (Losses)**	**0.00**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
LANDWIRTSCHAFTLICHE RENTENBANK CPN 4 3750 01/15/2013 (9LN00I1G5)	01/26/2009	01/15/2013	650,000 00	650,000 00	(39,000 00)	650,000 00	0 00
INTERNATIONAL BUSINESS MACHINE 7 625% 10/15/2018 SR LIEN M-W+50 00BP (459200GM7)	06/17/2009	01/22/2013	400,000 00	532,920 00	(26,194 80)	452,525 20	80,394 80
BERKSHIRE HATHAWAY INC 2 125% 02/11/2013 SR LIEN M-W+12 50BP (084670AU2)	02/04/2010	02/11/2013	300,000.00	300,000 00	0 00	299,895 00	105 00
WAL-MART STORES, INC 4 55% 05/01/2013 USD (931142BT9)	12/12/2007	05/01/2013	500,000 00	500,000 00	0 00	498,395 00	1,605 00
AT&T INC 5 8% 02/15/2019 SR LIEN M-W+45 00BP (00206RAR3)	02/24/2009	10/31/2013	75,000 00	86,949 75	0 00	74,715 00	12,234 75
AUTOZONE, INC 5 75% 01/15/2015 SR LIEN M-W+50 00BP (053332AK8)	12/07/2010	10/31/2013	50,000 00	52,922.00	(4,009 37)	51,740 63	1,181 37
NOVARTIS SECURITIES INVESTMENT 5 125% 02/10/2019 M-W+40 00BP (66989GAA8)	07/01/2010	10/31/2013	100,000 00	115,137 00	(4,509 13)	108,250 87	6,886 13
OMNICOM GROUP INC 6 25% 07/15/2019 M-W+45 00BP (681919AY2)	07/19/2011	10/31/2013	100,000 00	116,601 00	(4,154 17)	112,060 83	4,540 17
ONTARIO (PROVINCE OF) 3 15% 12/15/2017 (68323AAC8)	11/28/2011	10/31/2013	200,000 00	214,490 00	(3,547 11)	208,052 89	6,437 11
Net NonCovered Long-Term Gains (Losses)				**2,569,019.75**	**(81,414.58)**	**2,455,635.42**	**113,384.33**

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

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Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	165,805 87	Net Covered Long-Term Gains (Losses)	235,145 59	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	(456 78)	Net NonCovered Long-Term Gains (Losses)	50,459 05		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**165,349.09**	**Total Long-Term Gains (Losses)**	**285,604.64**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
TRANSOCEAN LTD CMN (H8817H100)	10/03/2012	01/14/2013	124 00	6,833 48	0 00	5,600 46	1,233 02
J M SMUCKER CO CMN (832696405)	04/23/2012	01/15/2013	438 00	38,717 31	0 00	34,201 19	4,516 12
TRANSOCEAN LTD CMN (H8817H100)	10/03/2012	01/16/2013	46 00	2,535.16	0 00	2,077 59	457 57
TRANSOCEAN LTD CMN (H8817H100)	10/03/2012	01/17/2013	213 00	11,745 03	0 00	9,620 14	2,124 89
CELGENE CORPORATION CMN (151020104)	02/14/2012	02/01/2013	98 00	9,949 82	0 00	7,209 86	2,739 96
CELGENE CORPORATION CMN (151020104)	06/25/2012	02/01/2013	172 00	17,462 95	0 00	10,545 83	6,917.12
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	02/14/2012	02/01/2013	41 00	3,097 16	0 00	2,638 76	458 40
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	02/09/2012	02/01/2013	138 00	10,424 57	0 00	8,794 74	1,629 83
PHILIP MORRIS INTL INC CMN (718172109)	03/27/2012	02/05/2013	137 00	12,042.61	0 00	11,999 49	43.12
PHILIP MORRIS INTL INC CMN (718172109)	03/13/2012	02/05/2013	287 00	25,227 96	0 00	24,374 49	853 47
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	02/09/2012	02/05/2013	130 00	7,493 99	0 00	6,869 20	624 79
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	12/19/2012	02/05/2013	170 00	9,799 84	0 00	9,299 78	500 06
URBAN OUTFITTERS INC CMN (917047102)	07/17/2012	02/05/2013	943 00	40,410 91	0 00	28,797 08	11,613.83
EASTMAN CHEM CO CMN (277432100)	06/07/2012	02/06/2013	571 00	41,386 54	0 00	27,028 50	14,358 04
MASCO CORPORATION CMN (574599106)	06/08/2012	02/06/2013	555 00	10,107 13	0 00	7,350 56	2,756 57
DIRECTV CMN (25490A309)	11/07/2012	02/20/2013	673 00	32,614 97	0.00	33,366 44	(751 47)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
TRANSOCEAN LTD CMN (H8817H100)	10/03/2012	03/05/2013	181 00	9,520 43	0 00	8,174 86	1,345 57
XCEL ENERGY INC CMN (98389B100)	10/25/2012	03/12/2013	449 00	12,989 01	0 00	12,501 24	487 77
XCEL ENERGY INC CMN (98389B100)	06/25/2012	03/12/2013	849 00	24,560 52	0 00	23,654 37	906 15
XCEL ENERGY INC CMN (98389B100)	04/11/2012	03/12/2013	1,319 00	38,157 03	0 00	34,358 02	3,799 01
ELI LILLY & CO CMN (532457108)	12/18/2012	03/14/2013	1,015 00	55,877.38	0 00	50,169 19	5,708 19
GOOGLE, INC CMN CLASS A (38259P508)	11/01/2012	03/14/2013	50 00	41,085 58	0 00	34,489 78	6,595 80
TRANSOCEAN LTD CMN (H8817H100)	10/03/2012	03/14/2013	511 00	27,378.11	0 00	23,079 31	4,298 80
OCCIDENTAL PETROLEUM CORP CMN (674599105)	01/25/2013	03/26/2013	144 00	11,169 23	0 00	12,078 97	(909 74)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	03/14/2013	03/26/2013	156 00	12,099 99	0 00	13,141.56	(1,041 57)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	12/12/2012	03/26/2013	486 00	37,696 14	0 00	37,062 35	633 79
AETNA INC CMN (00817Y108)	02/08/2013	03/28/2013	243 00	12,390 29	0 00	12,342 88	47 41
ALTERA CORP CMN (021441100)	04/24/2012	03/28/2013	257 00	8,989 58	0 00	8,827 80	161.78
AMERICAN INTL GROUP, INC CMN (026874784)	12/11/2012	03/28/2013	287 00	11,069 34	0 00	9,926 02	1,143 32
ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (03524A108)	02/01/2013	03/28/2013	166 00	16,485 09	0 00	15,133 52	1,351 57
AT&T INC CMN (00206R102)	04/23/2012	03/28/2013	181 00	6,631 69	0 00	5,562 43	1,069 26
BED BATH & BEYOND INC CMN (075896100)	10/17/2012	03/28/2013	130 00	8,409 51	0 00	8,028 62	380 89
BP P L C SPONSORED ADR CMN (055622104)	03/26/2013	03/28/2013	267 00	11,280 49	0 00	11,259 95	20 54
CF INDUSTRIES HOLDINGS, INC CMN (125269100)	02/20/2013	03/28/2013	38 00	7,324.78	0 00	7,928 98	(604 20)
CF INDUSTRIES HOLDINGS, INC. CMN (125269100) Disallowed Loss							604 20
CITIGROUP INC CMN (172967424)	11/20/2012	03/28/2013	285 00	12,645 16	0 00	10,275 78	2,369 38
COMERICA INCORPORATED CMN (200340107)	03/22/2013	03/28/2013	193 00	6,866 78	0 00	6,977 57	(110 79)
DUKE ENERGY CORPORATION CMN (26441C204)	09/24/2012	03/28/2013	195 00	14,094 28	0 00	12,601.43	1,492 85
EXELON CORPORATION CMN (30161N101)	03/12/2013	03/28/2013	385 00	13,185 95	0 00	12,408 54	777 41
GENERAL MOTORS COMPANY CMN (37045V100)	09/10/2012	03/28/2013	268 00	7,455 59	0 00	6,159 74	1,295 85
MASCO CORPORATION CMN (574599106)	06/08/2012	03/28/2013	265 00	5,379 37	0 00	3,509 73	1,869 64

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
MERCK & CO , INC CMN (58933Y105)	06/25/2012	03/28/2013	513 00	22,643 31	0 00	20,411 09	2,232 22
MGM RESORTS INTERNATIONAL CMN (552953101)	06/06/2012	03/28/2013	407 00	5,351 93	0 00	4,659 93	692 00
VIACOM INC CMN CLASS B (92553P201)	09/25/2012	03/28/2013	158 00	9,817 90	0 00	8,730 67	1,087 23
WAL MART STORES INC CMN (931142103)	03/15/2013	03/28/2013	86 00	6,439.96	0 00	6,295 44	144 52
WALGREEN CO CMN (931422109)	01/15/2013	03/28/2013	321 00	15,269 62	0 00	12,615 90	2,653 72
GENERAL MOTORS COMPANY CMN (37045V100)	10/03/2012	04/11/2013	409 00	11,965 74	0 00	9,875 06	2,090 68
GENERAL MOTORS COMPANY CMN (37045V100)	09/10/2012	04/11/2013	760 00	22,234 62	0 00	17,467 91	4,766 71
DUKE ENERGY CORPORATION CMN (26441C204)	10/18/2012	04/12/2013	98 00	7,149 13	0 00	6,414 69	734 44
DUKE ENERGY CORPORATION CMN (26441C204)	02/06/2013	04/12/2013	208 00	15,173 67	0 00	14,276 25	897 42
DUKE ENERGY CORPORATION CMN (26441C204)	09/24/2012	04/12/2013	566 00	41,289 88	0 00	36,576 45	4,713 43
CF INDUSTRIES HOLDINGS, INC. CMN (125269100)	03/04/2013	04/15/2013	12 00	2,126 15	0 00	2,407 29	(281 14)
CF INDUSTRIES HOLDINGS, INC CMN (125269100)	02/20/2013	04/15/2013	38 00	6,732 80	0 00	8,227 29	(1,494 49)
CF INDUSTRIES HOLDINGS, INC. CMN (125269100)	02/20/2013	04/15/2013	122 00	21,615 83	0 00	25,456 21	(3,840 38)
AT&T INC CMN (00206R102)	04/23/2012	04/19/2013	179 00	6,843.58	0 00	5,500 97	1,342.61
AT&T INC CMN (00206R102)	04/23/2012	04/19/2013	199 00	7,608 22	0 00	6,106 99	1,501 23
AT&T INC CMN (00206R102)	11/14/2012	04/19/2013	203 00	7,761 16	0 00	6,938 95	822 21
VERTEX PHARMACEUTICALS INC CMN (92532F100)	11/19/2012	04/19/2013	28 00	2,300 77	0 00	1,158.99	1,141 78
VERTEX PHARMACEUTICALS INC CMN (92532F100)	06/04/2012	04/19/2013	153 00	12,572 04	0 00	8,829 26	3,742 78
VIACOM INC CMN CLASS B (92553P201)	09/25/2012	04/19/2013	230 00	15,135 79	0 00	12,709 20	2,426 59
BED BATH & BEYOND INC CMN (075896100)	11/23/2012	05/09/2013	97 00	6,741 69	0 00	5,822 73	918 96
BED BATH & BEYOND INC CMN (075896100)	10/17/2012	05/09/2013	478 00	33,221 94	0 00	29,520 62	3,701 32
MASCO CORPORATION CMN (574599106)	07/31/2012	05/10/2013	223 00	4,739 37	0 00	2,675 98	2,063 39
MASCO CORPORATION CMN (574599106)	06/08/2012	05/10/2013	429 00	9,117 45	0 00	5,681 79	3,435 66
MASCO CORPORATION CMN (574599106)	08/01/2012	05/10/2013	537 00	11,412 75	0 00	6,439 67	4,973 08
PPL CORPORATION CMN (69351T106)	10/25/2012	05/22/2013	368 00	11,702 12	0 00	10,806 09	896 03

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[5] *Sale Proceeds may have been adjusted by an option premium due to an option assignment.*

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
LAM RESEARCH CORP CMN (512807108)	11/19/2012	05/29/2013	252 00	11,779 43	0 00	8,739 62	3,039 81
LAM RESEARCH CORP CMN (512807108)	11/29/2012	05/29/2013	265 00	12,387 10	0 00	9,403 85	2,983 25
P G & E CORPORATION CMN (69331C108)	04/17/2013	06/05/2013	1,206 00	53,166 75	0 00	56,564 83	(3,398 08)
WALGREEN CO CMN (931422109)	02/05/2013	06/07/2013	302 00	14,838.10	0 00	12,529 27	2,308 83
WALGREEN CO CMN (931422109)	03/05/2013	06/07/2013	472 00	23,190 68	0 00	19,115 26	4,075 42
WALGREEN CO CMN (931422109)	01/15/2013	06/07/2013	665 00	32,673.30	0 00	26,135 73	6,537 57
SLM CORPORATION CMN (78442P106)	04/17/2013	06/17/2013	1,896 00	43,534 19	0 00	38,915 88	4,618 31
AETNA INC CMN (00817Y108)	02/08/2013	07/11/2013	1,094 00	70,674 55	0 00	55,568 34	15,106 21
AT&T INC CMN (00206R102)	11/14/2012	07/24/2013	82 00	2,891 79	0 00	2,802 92	88 87
AT&T INC CMN (00206R102)	02/25/2013	07/24/2013	507 00	17,879 75	0 00	18,116 76	(237 01)
AT&T INC CMN (00206R102)	02/06/2013	07/24/2013	1,248 00	44,011 69	0 00	44,051.26	(39 57)
EXELON CORPORATION CMN (30161N101)	06/05/2013	09/26/2013	328 00	9,827.04	0 00	10,304 37	(477 33)
EXELON CORPORATION CMN (30161N101)	03/12/2013	09/26/2013	1,725 00	51,681.85	0 00	55,596 69	(3,914 84)
JUNIPER NETWORKS, INC CMN (48203R104)	04/15/2013	09/26/2013	1,528 00	30,942.48	0 00	28,393.48	2,549.00
ORACLE CORPORATION CMN (68389X105)	06/05/2013	09/26/2013	1,369 00	46,334 00	0 00	46,881.11	(547 11)
ARIAD PHARMACEUTICALS INC CMN (04033A100)	09/26/2013	10/18/2013	1,959 00	5,480 41	0 00	37,501 60	(32,021 19)
AMERICAN INTL GROUP, INC CMN (026874784)	12/11/2012	11/13/2013	325 00	15,622 47	0 00	11,240 27	4,382 20
ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (03524A108)	02/01/2013	11/13/2013	150 00	15,428 73	0 00	13,674 87	1,753 86
BP P L C SPONSORED ADR CMN (055622104)	03/26/2013	11/13/2013	295 00	13,611 06	0 00	12,440 76	1,170 30
CITIGROUP INC CMN (172967424)	11/20/2012	11/13/2013	353 00	17,331.99	0 00	12,727.55	4,604 44
COMERICA INCORPORATED CMN (200340107)	03/22/2013	11/13/2013	176 00	7,777 30	0 00	6,362 97	1,414 33
EASTMAN CHEM CO CMN (277432100)	06/07/2013	11/13/2013	100 00	7,886 86	0 00	7,032 58	854 28
HARTFORD FINANCIAL SRVCS GROUP CMN (416515104)	06/13/2013	11/13/2013	361 00	12,385 69	0 00	10,732.19	1,653 50
L BRANDS, INC CMN (501797104)	04/11/2013	11/13/2013	185 00	11,832 54	0 00	9,354 34	2,478 20
LIBERTY GLOBAL, PLC CMN CLASS A (G5480U104)	04/19/2013	11/13/2013	126 00	9,900 64	0 00	9,229 11	671 53

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
MERCK & CO , INC CMN (58933Y105)	12/12/2012	11/13/2013	164 00	7,712.79	0 00	7,441 42	271 37
MICROSOFT CORPORATION CMN (594918104)	07/24/2013	11/13/2013	528 00	19,540 93	0 00	16,890 56	2,650 37
QUALCOMM INC CMN (747525103)	05/22/2013	11/13/2013	185 00	12,812 87	0 00	12,161 26	651 61
TEXTRON INC DEL CMN (883203101)	09/26/2013	11/13/2013	355 00	10,862 81	0 00	9,881 58	981 23
TOLL BROTHERS, INC CMN (889478103)	05/09/2013	11/13/2013	374 00	12,087 46	0 00	13,648 93	(1,561.47)
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	07/11/2013	11/13/2013	238 00	16,781 08	0 00	16,189 01	592 07
VERTEX PHARMACEUTICALS INC CMN (92532F100)	11/19/2012	11/13/2013	37 00	2,249 40	0 00	1,531 52	717 88
VERTEX PHARMACEUTICALS INC CMN (92532F100)	11/20/2012	11/13/2013	81 00	4,924 36	0 00	3,398 68	1,525 68
WAL MART STORES INC CMN (931142103)	03/15/2013	11/13/2013	250 00	19,694 65	0 00	18,300 68	1,393 97
COMERICA INCORPORATED CMN (200340107)	03/22/2013	12/16/2013	691 00	31,350 19	0 00	24,981 87	6,368 32
QUALCOMM INC CMN (747525103)	05/22/2013	12/16/2013	185 00	13,478 38	0 00	12,161 26	1,317 12
VIACOM INC CMN CLASS B (92553P201)	06/13/2013	12/16/2013	161.00	13,109 41	0 00	10,608 09	2,501 32
VIACOM INC CMN CLASS B (92553P201)	03/14/2013	12/16/2013	200 00	16,284 99	0 00	12,673 57	3,611 42
Net Covered Short-Term Disallowed Losses							**604.20**
Net Covered Short-Term Gains (Losses)				**1,773,517.88**	**0.00**	**1,608,316.21**	**165,805.87**
NonCovered Short-Term Gains (Losses)							
AVALONBAY COMMUNITIES INC CMN (053484101)	02/11/2013	03/28/2013	65 00	8,211 91	0 00	8,454 59	(242 68)
AVALONBAY COMMUNITIES INC CMN (053484101)	02/11/2013	09/26/2013	293 00	37,896 60	0 00	38,110 70	(214 10)
Net NonCovered Short-Term Gains (Losses)				**46,108.51**	**0.00**	**46,565.29**	**(456.78)**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
HALLIBURTON COMPANY CMN (406216101)	01/24/2012	01/25/2013	372 00	14,742 14	0 00	13,046 29	1,695 85

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
CELGENE CORPORATION CMN (151020104)	04/01/2011	02/01/2013	72 00	7,310 07	0 00	4,148 65	3,161 42
CELGENE CORPORATION CMN (151020104)	04/04/2011	02/01/2013	216 00	21,930 21	0 00	12,363 32	9,566 89
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/25/2012	02/01/2013	163 00	12,313 08	0 00	10,551 36	1,761 72
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/17/2012	02/01/2013	530 00	40,036.40	0 00	35,160 67	4,875 73
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	01/19/2012	02/05/2013	160 00	9,223 38	0 00	8,417 41	805 97
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	12/22/2011	02/05/2013	501 00	28,880 70	0 00	25,441 08	3,439 62
MORGAN STANLEY CMN (617446448)	12/22/2011	02/25/2013	234 00	5,332 53	0 00	3,697 12	1,635 41
MORGAN STANLEY CMN (617446448)	11/01/2011	02/25/2013	635 00	14,470 75	0 00	10,299 00	4,171 75
BANK OF AMERICA CORP CMN (060505104)	01/23/2012	03/01/2013	1,296 00	14,676 90	0 00	9,429 95	5,246 95
LOWES COMPANIES INC CMN (548661107)	02/08/2012	03/01/2013	1 00	38 32	0 00	26 92	11 40
LOWES COMPANIES INC CMN (548661107)	12/22/2011	03/01/2013	271 00	10,383 92	0 00	6,917 17	3,466 75
MORGAN STANLEY CMN (617446448)	12/22/2011	03/14/2013	202 00	4,587.25	0 00	3,191 53	1,395 72
MORGAN STANLEY CMN (617446448)	02/09/2012	03/14/2013	367 00	8,334 26	0 00	7,450 10	884 16
MORGAN STANLEY CMN (617446448)	03/13/2012	03/14/2013	578 00	13,125 89	0 00	10,666 01	2,459 88
ADOBE SYSTEMS INC CMN (00724F101)	02/14/2012	03/22/2013	87 00	3,696 05	0 00	2,802 83	893 22
ADOBE SYSTEMS INC CMN (00724F101)	02/09/2012	03/22/2013	279 00	11,852 86	0 00	9,017 28	2,835 58
ADOBE SYSTEMS INC CMN (00724F101)	03/30/2011	03/22/2013	281 00	11,937 83	0 00	9,218 74	2,719 09
ADOBE SYSTEMS INC CMN (00724F101)	07/22/2011	03/22/2013	344 00	14,614 28	0 00	10,230 14	4,384 14
AT&T INC CMN (00206R102)	03/05/2012	03/28/2013	358 00	13,116 82	0 00	11,077 47	2,039 35
BANK OF AMERICA CORP CMN (060505104)	01/23/2012	03/28/2013	1,274 00	15,511 46	0 00	9,269 88	6,241 58
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	01/21/2011	03/28/2013	1 00	56 75	0 00	74 30	(17 55)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103) Disallowed Loss							17 55
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	01/21/2011	03/28/2013	56 00	3,177 92	0 00	4,325 65	(1,147.73)

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Goldman Sachs

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
DEVON ENERGY CORPORATION (NEW) CMN (25179M103) Disallowed Loss							717 33
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	01/25/2011	03/28/2013	67 00	3,802 16	0 00	5,693 53	(1,891 37)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	01/21/2011	03/28/2013	144 00	8,171 81	0 00	10,579 04	(2,407 23)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103) Disallowed Loss							2,407 23
EMC CORPORATION MASS CMN (268648102)	09/14/2011	03/28/2013	5 00	118 55	0 00	110 52	8 03
EVEREST RE GROUP LTD CMN (G3223R108)	01/27/2011	03/28/2013	76 00	9,746 78	0 00	6,549 76	3,197 02
EXXON MOBIL CORPORATION CMN (30231G102)	09/30/2011	03/28/2013	293 00	26,589 15	0 00	21,680 40	4,908 75
HALLIBURTON COMPANY CMN (406216101)	01/24/2012	03/28/2013	339 00	13,705 47	0 00	11,888 96	1,816 51
LAM RESEARCH CORP CMN (512807108)	03/07/2012	03/28/2013	249 00	10,223 71	0 00	10,002 78	220 93
LOWES COMPANIES INC CMN (548661107)	02/08/2012	03/28/2013	251 00	9,610 57	0 00	6,757 06	2,853 51
PFIZER INC CMN (717081103)	10/07/2011	03/28/2013	806 00	23,212 28	0 00	14,970 40	8,241 88
PPL CORPORATION CMN (69351T106)	04/12/2011	03/28/2013	394 00	12,237 36	0 00	10,286 58	1,950 78
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	03/21/2011	03/28/2013	146 00	4,155 06	0 00	4,322 93	(167 87)
THE TRAVELERS COMPANIES, INC CMN (89417E109)	01/31/2012	03/28/2013	16 00	1,338 80	0 00	934 18	404 62
THE TRAVELERS COMPANIES, INC CMN (89417E109)	12/16/2011	03/28/2013	108 00	9,036 89	0 00	6,193 48	2,843 41
VERTEX PHARMACEUTICALS INC CMN (92532F100)	02/09/2012	03/28/2013	6 00	334 19	0 00	216 66	117 53
VERTEX PHARMACEUTICALS INC CMN (92532F100)	02/08/2012	03/28/2013	166 00	9,245 98	0 00	6,088 32	3,157 66
EVEREST RE GROUP LTD CMN (G3223R108)	01/27/2011	04/15/2013	14 00	1,736 28	0 00	1,206 53	529 75
EVEREST RE GROUP LTD CMN (G3223R108)	01/27/2011	04/17/2013	16 00	1,982 91	0 00	1,378 90	604 01
EVEREST RE GROUP LTD CMN (G3223R108)	08/02/2011	04/17/2013	19 00	2,354 69	0 00	1,577.27	777.42
EVEREST RE GROUP LTD CMN (G3223R108)	08/01/2011	04/17/2013	56 00	6,940 16	0 00	4,592 60	2,347 56
EVEREST RE GROUP LTD CMN (G3223R108)	02/09/2012	04/17/2013	74 00	9,170 93	0 00	6,341 80	2,829 13
EVEREST RE GROUP LTD CMN (G3223R108)	01/28/2011	04/17/2013	191 00	23,670 90	0 00	16,270 43	7,400 47

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Goldman Sachs

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
VERTEX PHARMACEUTICALS INC CMN (92532F100)	02/09/2012	04/19/2013	96 00	7,888 34	0 00	3,466 56	4,421.78
LAM RESEARCH CORP CMN (512807108)	03/07/2012	05/03/2013	182 00	8,407 37	0 00	7,311 27	1,096 10
LAM RESEARCH CORP CMN (512807108)	03/08/2012	05/03/2013	221 00	10,208 94	0 00	9,012 59	1,196 35
PPL CORPORATION CMN (69351T106)	02/09/2012	05/22/2013	219 00	6,964 03	0 00	6,061.92	902 11
PPL CORPORATION CMN (69351T106)	09/14/2011	05/22/2013	321 00	10,207 55	0 00	8,992 99	1,214 56
PPL CORPORATION CMN (69351T106)	04/12/2011	05/22/2013	396 00	12,592 50	0 00	10,338 80	2,253 70
PPL CORPORATION CMN (69351T106)	04/03/2012	05/22/2013	443 00	14,087 06	0 00	12,434 17	1,652 89
LAM RESEARCH CORP CMN (512807108)	03/08/2012	05/29/2013	186 00	8,694 35	0 00	7,585 26	1,109 09
THE TRAVELERS COMPANIES, INC CMN (89417E109)	02/09/2012	06/13/2013	122 00	9,948 91	0 00	7,272 42	2,676 49
THE TRAVELERS COMPANIES, INC CMN (89417E109)	01/31/2012	06/13/2013	163 00	13,292 39	0 00	9,516 94	3,775 45
THE TRAVELERS COMPANIES, INC CMN (89417E109)	03/13/2012	06/13/2013	261 00	21,284 13	0 00	15,041 64	6,242 49
BANK OF AMERICA CORP CMN (060505104)	01/23/2012	07/30/2013	346 00	5,028 74	0 00	2,517 57	2,511 17
PRUDENTIAL FINANCIAL INC CMN (744320102)	02/09/2012	08/26/2013	67 00	5,238 48	0 00	3,935 58	1,302 90
HALLIBURTON COMPANY CMN (406216101)	02/09/2012	11/08/2013	47 00	2,586 94	0 00	1,725 84	861 10
HALLIBURTON COMPANY CMN (406216101)	01/24/2012	11/08/2013	301 00	16,567 42	0 00	10,556 27	6,011 15
ALTERA CORP CMN (021441100)	04/24/2012	11/13/2013	371 00	12,209 39	0 00	12,743 63	(534 24)
ALTERA CORP CMN (021441100) Disallowed Loss							213 12
BANK OF AMERICA CORP CMN (060505104)	01/23/2012	11/13/2013	191 00	2,746 99	0 00	1,389 75	1,357 24
BANK OF AMERICA CORP CMN (060505104)	02/13/2012	11/13/2013	406 00	5,839 15	0 00	3,361 46	2,477 69
BANK OF AMERICA CORP CMN (060505104)	02/09/2012	11/13/2013	747 00	10,743 46	0 00	6,073 11	4,670 35
BOEING COMPANY CMN (097023105)	03/01/2011	11/13/2013	48 00	6,318 60	0 00	3,438 21	2,880 39
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	01/21/2011	11/13/2013	1 00	60 38	0 00	73 86	(13 48)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103) Disallowed Loss							13 48
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	01/21/2011	11/13/2013	35 00	2,113 29	0 00	2,688 14	(574 85)

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
DEVON ENERGY CORPORATION (NEW) CMN (25179M103) Disallowed Loss							574 85
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	01/25/2011	11/13/2013	38 00	2,294 43	0 00	3,229 17	(934 74)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103) Disallowed Loss							664 16
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	02/23/2011	11/13/2013	42 00	2,535 94	0 00	3,769 62	(1,233 68)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	02/04/2011	11/13/2013	111 00	6,702 15	0 00	9,889 97	(3,187 82)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	01/21/2011	11/13/2013	144 00	8,694 68	0 00	10,515 69	(1,821 01)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103) Disallowed Loss							1,821 01
EMC CORPORATION MASS CMN (268648102)	02/09/2012	11/13/2013	146 00	3,505 40	0 00	3,813 52	(308 12)
EMC CORPORATION MASS CMN (268648102)	09/14/2011	11/13/2013	391 00	9,387 74	0 00	8,642 51	745 23
EXXON MOBIL CORPORATION CMN (30231G102)	09/30/2011	11/13/2013	312 00	28,815 81	0 00	23,086 30	5,729 51
HALLIBURTON COMPANY CMN (406216101)	06/07/2012	11/13/2013	120 00	6,586 68	0 00	3,383 68	3,203 00
HALLIBURTON COMPANY CMN (406216101)	02/09/2012	11/13/2013	151 00	8,288 25	0 00	5,544 72	2,743 53
LOWES COMPANIES INC CMN (548661107)	02/08/2012	11/13/2013	228 00	11,538 87	0 00	6,137 88	5,400 99
MERCK & CO , INC CMN (58933Y105)	06/25/2012	11/13/2013	300 00	14,108 75	0 00	11,936 31	2,172 44
MGM RESORTS INTERNATIONAL CMN (552953101)	06/06/2012	11/13/2013	358 00	6,973 72	0 00	4,098 91	2,874 81
PFIZER INC CMN (717081103)	10/07/2011	11/13/2013	731 00	23,004.16	0 00	13,577 38	9,426 78
PRUDENTIAL FINANCIAL INC CMN (744320102)	02/14/2012	11/13/2013	44 00	3,821 33	0 00	2,612 72	1,208 61
PRUDENTIAL FINANCIAL INC CMN (744320102)	05/03/2012	11/13/2013	51 00	4,429 28	0 00	2,851 63	1,577 65
PRUDENTIAL FINANCIAL INC CMN (744320102)	02/09/2012	11/13/2013	129 00	11,203 45	0 00	7,577 46	3,625 99
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	05/13/2011	11/13/2013	141 00	4,943 37	0 00	3,878 93	1,064 44
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	03/21/2011	11/13/2013	277 00	9,711 45	0 00	8,201.73	1,509 72
VIACOM INC CMN CLASS B (92553P201)	09/25/2012	11/13/2013	128 00	10,442 77	0 00	7,072 95	3,369 82
LOWES COMPANIES INC CMN (548661107)	02/08/2012	11/14/2013	54 00	2,793 20	0 00	1,453 71	1,339 49

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5 Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
LOWES COMPANIES INC CMN (548661107)	02/14/2012	11/14/2013	91 00	4,707 06	0 00	2,480 66	2,226 40
LOWES COMPANIES INC CMN (548661107)	06/06/2012	11/14/2013	178 00	9,207 21	0 00	4,883 08	4,324 13
LOWES COMPANIES INC CMN (548661107)	05/22/2012	11/14/2013	274 00	14,172 90	0 00	7,119 74	7,053 16
LOWES COMPANIES INC CMN (548661107)	02/09/2012	11/14/2013	302 00	15,621.22	0 00	8,129.34	7,491 88
PFIZER INC CMN (717081103)	02/09/2012	12/10/2013	231 00	7,215 71	0 00	4,861 67	2,354 04
PFIZER INC CMN (717081103)	10/07/2011	12/10/2013	365 00	11,401 44	0 00	6,779 40	4,622 04
PFIZER INC CMN (717081103)	12/14/2011	12/10/2013	815 00	25,458 01	0 00	17,056 74	8,401 27
VIACOM INC CMN CLASS B (92553P201)	09/25/2012	12/16/2013	152 00	12,376 59	0 00	8,399 12	3,977 47
Net Covered Long-Term Disallowed Losses							
Net Covered Long-Term Gains (Losses)				**939,704.38**	**0.00**	704,559.00	**235,145.59**
NonCovered Long-Term Gains (Losses)							
ADOBE SYSTEMS INC CMN (00724F101)	11/02/2010	03/22/2013	5 00	212.42	0 00	141 23	71 19
ADOBE SYSTEMS INC CMN (00724F101)	11/03/2010	03/22/2013	68 00	2,888 87	0 00	1,954 75	934 12
BOEING COMPANY CMN (097023105)	09/23/2010	03/28/2013	46 00	3,962 36	0 00	2,896 25	1,066 11
BOEING COMPANY CMN (097023105)	07/16/2009	03/28/2013	60 00	5,168 29	0 00	2,501 79	2,666 50
BOEING COMPANY CMN (097023105)	04/29/2010	03/28/2013	98 00	8,441 53	0 00	7,239 95	1,201 58
EMC CORPORATION MASS CMN (268648102)	11/19/2010	03/28/2013	588 00	13,941.17	0 00	12,789 92	1,151 25
GENERAL ELECTRIC CO CMN (369604103)	12/23/2009	03/28/2013	115 00	2,664 49	0 00	1,770 79	893 70
GENERAL ELECTRIC CO CMN (369604103)	04/23/2010	03/28/2013	196 00	4,541 22	0 00	3,717 82	823 40
GENERAL ELECTRIC CO CMN (369604103)	02/22/2010	03/28/2013	322 00	7,460 57	0 00	5,222 04	2,238 53
GENERAL ELECTRIC CO CMN (369604103)	04/06/2010	03/28/2013	430 00	9,962 87	0 00	8,000 55	1,962 32
JPMORGAN CHASE & CO CMN (46625H100)	09/23/2010	03/28/2013	89 00	4,225 63	0 00	3,492 62	733 01
JPMORGAN CHASE & CO CMN (46625H100)	07/16/2009	03/28/2013	391 00	18,564 26	0 00	14,048 63	4,515 63
PRUDENTIAL FINANCIAL INC CMN (744320102)	11/19/2010	03/28/2013	107 00	6,320 69	0 00	5,777 82	542 87

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
PRUDENTIAL FINANCIAL INC CMN (744320102)	10/27/2010	03/28/2013	176 00	10,396 64	0 00	9,306 92	1,089 72
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	12/22/2010	03/28/2013	88 00	2,504 42	0 00	2,536 95	(32.53)
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	12/23/2010	03/28/2013	248 00	7,057 92	0 00	7,138 90	(80 98)
BOEING COMPANY CMN (097023105)	09/27/2010	06/07/2013	21.00	2,141 98	0 00	1,353 72	788 26
BOEING COMPANY CMN (097023105)	09/23/2010	06/07/2013	100 00	10,199 93	0 00	6,296 19	3,903 74
PRUDENTIAL FINANCIAL INC CMN (744320102)	11/19/2010	08/26/2013	56 00	4,378 43	0 00	3,023 90	1,354 53
BOEING COMPANY CMN (097023105)	09/27/2010	11/13/2013	111 00	14,611.78	0 00	7,155 39	7,456 39
GENERAL ELECTRIC CO CMN (369604103)	11/26/2010	11/13/2013	40 00	1,074 56	0 00	633 05	441 51
GENERAL ELECTRIC CO CMN (369604103)	04/23/2010	11/13/2013	170 00	4,566 90	0 00	3,224 64	1,342 26
GENERAL ELECTRIC CO CMN (369604103)	09/23/2010	11/13/2013	890 00	23,909 03	0 00	14,491 70	9,417 33
JPMORGAN CHASE & CO CMN (46625H100)	09/23/2010	11/13/2013	429 00	22,813 82	0 00	16,835 21	5,978 61
Net NonCovered Long-Term Gains (Losses)				**192,009.78**	**0.00**	**141,550.73**	**50,459.05**

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

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REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	19,665 27	Net Covered Long-Term Gains (Losses)	24,933 80	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0 00	Net NonCovered Long-Term Gains (Losses)	304,917 91		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**19,665 27**	**Total Long-Term Gains (Losses)**	**329,851.71**	**Total Ordinary Gains (Losses)**	**0 00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
BMC SOFTWARE, INC. CMN (055921100)	04/25/2012	02/14/2013	123 00	5,091 94	0 00	5,030 33	61 61
BMC SOFTWARE, INC CMN (055921100)	05/03/2012	03/01/2013	9 00	363 86	0 00	375 51	(11 65)
BMC SOFTWARE, INC CMN (055921100)	04/25/2012	03/01/2013	116 00	4,689 72	0 00	4,744 05	(54 33)
POLYCOM INC CMN (73172K104)	05/08/2012	03/04/2013	228 00	2,117 59	0 00	2,777 63	(660.04)
POLYCOM INC CMN (73172K104)	07/30/2012	03/04/2013	334 00	3,102.09	0 00	2,894 38	207.71
BMC SOFTWARE, INC CMN (055921100)	05/11/2012	05/06/2013	6 00	272 96	0 00	243 81	29 15
BMC SOFTWARE, INC CMN (055921100)	05/09/2012	05/06/2013	79 00	3,593 85	0 00	3,143 01	450 84
BMC SOFTWARE, INC CMN (055921100)	06/04/2012	05/07/2013	100 00	4,533 45	0 00	4,152 20	381 25
BMC SOFTWARE, INC CMN (055921100)	05/11/2012	05/07/2013	103 00	4,669 46	0 00	4,185 32	484.14
BMC SOFTWARE, INC CMN (055921100)	05/29/2012	05/07/2013	188 00	8,522 88	0 00	8,243 39	279 49
BMC SOFTWARE, INC CMN (055921100)	05/16/2012	05/07/2013	223 00	10,109 59	0 00	9,762 14	347 45
BMC SOFTWARE, INC CMN (055921100)	06/15/2012	05/07/2013	238 00	10,789 61	0 00	10,357 07	432 54
BMC SOFTWARE, INC CMN (055921100)	06/21/2012	06/06/2013	75 00	3,392 01	0 00	3,174 23	217 78
BMC SOFTWARE, INC CMN (055921100)	08/13/2012	06/06/2013	110 00	4,974 95	0 00	4,506 85	468.10
BMC SOFTWARE, INC CMN (055921100)	06/15/2012	06/06/2013	114 00	5,155 86	0 00	4,960 95	194 91
BMC SOFTWARE, INC CMN (055921100)	11/07/2012	06/06/2013	191 00	8,638 32	0 00	7,863.01	775 31

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
SALLY BEAUTY HOLDINGS, INC CMN (79546E104)	11/14/2012	07/02/2013	92.00	2,845 00	0 00	2,304 01	540 99
SALLY BEAUTY HOLDINGS, INC CMN (79546E104)	11/14/2012	08/05/2013	134 00	3,655 91	0 00	3,355 84	300 07
CELGENE CORPORATION CMN (151020104)	11/29/2012	11/13/2013	157 00	23,288 97	0 00	12,484 11	10,804 86
EBAY INC CMN (278642103)	05/17/2013	11/13/2013	299 00	15,736 09	0 00	16,983 20	(1,247 11)
EBAY INC CMN (278642103) Disallowed Loss							379 56
L BRANDS, INC CMN (501797104)	06/14/2013	11/13/2013	357 00	22,815 43	0 00	18,193 93	4,621 50
MICROSOFT CORPORATION CMN (594918104)	07/17/2013	11/13/2013	647 00	23,945 05	0 00	23,283 91	661 14
Net Covered Short-Term Disallowed Losses							
Net Covered Short-Term Gains (Losses)				**172,304.59**	**0.00**	152,639.00	**19,665.27**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
VERISIGN INC CMN (92343E102)	05/11/2011	01/14/2013	181 00	6,911 09	0 00	6,418 66	492.43
STAPLES, INC CMN (855030102)	08/18/2011	02/20/2013	306 00	4,296 90	0 00	4,120 99	175 91
STAPLES, INC CMN (855030102)	08/18/2011	02/21/2013	246 00	3,276 89	0 00	3,312 96	(36 07)
STAPLES, INC CMN (855030102)	02/09/2012	02/21/2013	431 00	5,741 22	0 00	6,293 89	(552 67)
STAPLES, INC CMN (855030102)	02/09/2012	02/25/2013	786 00	10,545 52	0 00	11,477 96	(932 44)
POLYCOM INC CMN (73172K104)	02/14/2012	03/04/2013	149 00	1,383 87	0 00	3,148 37	(1,764 50)
POLYCOM INC CMN (73172K104)	10/25/2011	03/04/2013	267 00	2,479 81	0 00	4,294 11	(1,814 30)
POLYCOM INC CMN (73172K104)	01/06/2011	03/04/2013	552 00	5,126 80	0 00	10,860 43	(5,733 63)
BMC SOFTWARE, INC CMN (055921100)	05/04/2012	05/06/2013	72.00	3,275 41	0 00	2,895 44	379 97
BMC SOFTWARE, INC CMN (055921100)	05/03/2012	05/06/2013	166 00	7,551 63	0 00	6,926 00	625 63
CATERPILLAR INC (DELAWARE) CMN (149123101)	08/18/2011	06/06/2013	43 00	3,598 91	0 00	3,569 48	29 43

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[5] *Sale Proceeds may have been adjusted by an option premium due to an option assignment.*

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'Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
CATERPILLAR INC (DELAWARE) CMN (149123101)	08/08/2011	06/06/2013	216 00	18,078 23	0.00	17,998 22	80 01
PROGRESSIVE CORPORATION (THE) CMN (743315103)	02/21/2012	07/18/2013	341.00	8,819 19	0 00	7,336 17	1,483 02
ADOBE SYSTEMS INC CMN (00724F101)	07/26/2011	11/13/2013	83 00	4,705 19	0 00	2,460 91	2,244 28
ADOBE SYSTEMS INC CMN (00724F101)	02/14/2012	11/13/2013	107.00	6,065 72	0 00	3,448 61	2,617 11
KINDER MORGAN INC CMN CLASS P (49456B101)	01/31/2012	11/13/2013	10 00	343 98	0 00	323 49	20 49
KINDER MORGAN INC CMN CLASS P (49456B101)	10/26/2011	11/13/2013	70 00	2,407 86	0 00	2,280 38	127 48
KINDER MORGAN INC CMN CLASS P (49456B101)	10/20/2011	11/13/2013	211 00	7,258 00	0 00	6,778 69	479 31
KINDER MORGAN INC CMN CLASS P (49456B101)	10/18/2011	11/13/2013	224 00	7,705 17	0 00	7,195 19	509 98
LIBERTY INTERACTIVE CORP INTERACTIVE CMN CLASS A (53071M104)	08/10/2012	11/13/2013	959 00	26,624 92	0 00	16,687 56	9,937 36
PROGRESSIVE CORPORATION (THE) CMN (743315103)	02/21/2012	11/13/2013	687 00	18,512 34	0 00	14,779 92	3,732.42
VERIFONE SYSTEMS INC CMN (92342Y109)	10/09/2012	11/13/2013	234 00	5,930.78	0 00	6,845 02	(914 24)
VERISIGN INC CMN (92343E102)	05/11/2011	11/13/2013	90 00	4,922 02	0 00	3,191 60	1,730 42
VERISIGN INC CMN (92343E102)	07/26/2011	11/13/2013	139 00	7,601 78	0 00	4,689 24	2,912 54
WALGREEN CO CMN (931422109)	06/24/2011	11/13/2013	45 00	2,689 65	0 00	1,878 66	810 99
PERRIGO COMPANY CMN (714290103)	02/09/2012	12/19/2013	134 00	20,816 85	0 00	12,523 98	8,292 87
Net Covered Long-Term Gains (Losses)				**196,669.73**	**0.00**	**171,735.93**	**24,933.80**
NonCovered Long-Term Gains (Losses)							
APPLE, INC CMN (037833100)	01/16/2008	01/04/2013	6 00	3,187 56	0 00	966 64	2,220 92
WTS/KINDER MORGAN, INC EXP 05/25/2017 (49456B119)		01/10/2013	0 00	2 62	0 00	No Cost	2 62 [8]
NIKE CLASS-B CMN CLASS B (654106103)	05/26/2009	01/14/2013	24 00	1,275 92	0 00	650 53	625 39
NIKE CLASS-B CMN CLASS B (654106103)	04/23/2009	01/14/2013	32 00	1,701.23	0 00	861 50	839 73
NIKE CLASS-B CMN CLASS B (654106103)	06/30/2009	01/14/2013	34 00	1,807 55	0 00	878 17	929 38
SYNGENTA AG SPONSORED ADR CMN (87160A100)	03/11/2009	01/14/2013	9 00	744 18	0 00	377.78	366 40
SYNGENTA AG SPONSORED ADR CMN (87160A100)	11/06/2009	01/14/2013	15 00	1,240 30	0 00	737 40	502 90

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

[8] Due to incomplete cost basis, gains may be incorrectly stated and should not be used for tax reporting purposes Please consult with your tax advisor

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Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
SYNGENTA AG SPONSORED ADR CMN (87160A100)	03/17/2009	01/14/2013	28 00	2,315 23	0 00	1,043 18	1,272 05
SYNGENTA AG SPONSORED ADR CMN (87160A100)	03/19/2009	01/14/2013	38 00	3,142 10	0 00	1,522 06	1,620 04
APPLE, INC. CMN (037833100)	09/15/2008	01/22/2013	10 00	4,999 23	0 00	1,426 04	3,573 19
APPLE, INC. CMN (037833100)	01/16/2008	01/22/2013	11 00	5,499.15	0 00	1,772 17	3,726 98
APPLE, INC. CMN (037833100)	02/05/2008	01/22/2013	15.00	7,498 83	0 00	1,998 01	5,500 82
APPLE, INC. CMN (037833100)	01/25/2008	01/22/2013	17 00	8,498 68	0 00	2,239 48	6,259 20
ADOBE SYSTEMS INC CMN (00724F101)	05/06/2009	01/30/2013	38.00	1,449 70	0 00	997 57	452 13
ADOBE SYSTEMS INC CMN (00724F101)	05/01/2009	01/30/2013	248 00	9,461 21	0 00	6,811.79	2,649 42
ALLERGAN INC CMN (018490102)	09/02/2005	01/30/2013	189 00	20,087 81	0 00	8,631 63	11,456 18
PRICELINE COM INC CMN (741503403)	12/16/2010	01/30/2013	1 00	699 05	0 00	400 51	298 54
PRICELINE COM INC CMN (741503403)	06/24/2010	01/30/2013	3 00	2,097.16	0 00	554 46	1,542 70
PRICELINE COM INC CMN (741503403)	06/17/2010	01/30/2013	10 00	6,990 53	0 00	1,947 38	5,043 15
WTS/KINDER MORGAN, INC EXP 05/25/2017 (49456B119)	10/18/2011	01/30/2013	296 00	1,305 24	0 00	565 09	740 15
STAPLES, INC CMN (855030102)	08/09/2006	02/20/2013	58 00	814 45	0 00	1,338.29	(523.84)
STAPLES, INC CMN (855030102)	04/27/2010	02/20/2013	75 00	1,053.16	0 00	1,817 29	(764.13)
STAPLES, INC CMN (855030102)	09/02/2005	02/20/2013	402 00	5,644 96	0 00	8,638 98	(2,994 02)
STAPLES, INC. CMN (855030102)	12/16/2010	02/20/2013	698 00	9,801 44	0 00	15,787 78	(5,986 34)
POLYCOM INC CMN (73172K104)	09/07/2010	03/04/2013	28 00	260 05	0 00	383 54	(123 49)
POLYCOM INC CMN (73172K104)	10/06/2010	03/04/2013	90 00	835 89	0 00	1,222 28	(386 39)
POLYCOM INC CMN (73172K104)	09/27/2010	03/04/2013	270 00	2,507 68	0 00	3,800 95	(1,293 27)
NOVO-NORDISK A/S ADR ADR CMN (670100205)	03/04/2009	03/08/2013	1.00	174 59	0 00	46 61	127 98
NOVO-NORDISK A/S ADR ADR CMN (670100205)	04/23/2009	03/08/2013	12 00	2,095 07	0 00	595 49	1,499 58
NOVO-NORDISK A/S ADR ADR CMN (670100205)	03/11/2009	03/08/2013	24 00	4,190 15	0 00	1,067 23	3,122 92
NOVO-NORDISK A/S ADR ADR CMN (670100205)	03/05/2009	03/08/2013	30 00	5,237 69	0 00	1,371 50	3,866 19
APPLE, INC CMN (037833100)	09/15/2008	05/06/2013	1 00	460 57	0 00	142 60	317 97

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
APPLE, INC CMN (037833100)	10/07/2008	05/06/2013	9 00	4,145 19	0 00	830 81	3,314 38
APPLE, INC. CMN (037833100)	11/24/2008	05/06/2013	9 00	4,145 20	0 00	826 80	3,318 40
APPLE, INC CMN (037833100)	10/28/2008	05/06/2013	18 00	8,290 39	0 00	1,687 69	6,602 70
ALLERGAN INC CMN (018490102)	09/02/2005	05/20/2013	57 00	5,666 00	0 00	2,603 19	3,062 81
APPLE, INC CMN (037833100)	12/16/2010	07/16/2013	4 00	1,707 08	0 00	1,284 04	423 04
APPLE, INC. CMN (037833100)	02/16/2010	07/16/2013	6 00	2,560 62	0 00	1,219 87	1,340 75
APPLE, INC CMN (037833100)	11/24/2008	07/16/2013	23 00	9,815 70	0 00	2,112 92	7,702 78
ADOBE SYSTEMS INC CMN (00724F101)	07/24/2009	07/19/2013	32 00	1,539 10	0 00	1,031 66	507 44
ADOBE SYSTEMS INC CMN (00724F101)	07/01/2010	07/19/2013	38 00	1,827.68	0 00	1,009 31	818 37
ADOBE SYSTEMS INC CMN (00724F101)	05/06/2009	07/19/2013	43 00	2,068 17	0 00	1,128 83	939 34
ADOBE SYSTEMS INC CMN (00724F101)	02/12/2010	07/19/2013	43 00	2,068 17	0 00	1,377 69	690 48
ADOBE SYSTEMS INC CMN (00724F101)	09/28/2010	07/19/2013	102 00	4,905 89	0 00	2,689 93	2,215 96
INTUIT INC CMN (461202103)	09/02/2005	08/05/2013	34 00	2,175 86	0 00	780 64	1,395 22
INTUIT INC CMN (461202103)	03/02/2006	08/05/2013	48 00	3,071 80	0 00	1,158 24	1,913 56
APPLE, INC. CMN (037833100)	12/16/2010	08/26/2013	25 00	12,621.31	0 00	8,025 25	4,596 06
INTUIT INC CMN (461202103)	08/21/2009	08/27/2013	15 00	951 79	0 00	429 03	522 76
INTUIT INC CMN (461202103)	03/02/2006	08/27/2013	174 00	11,040 75	0 00	4,198 62	6,842 13
WTS/KINDER MORGAN, INC EXP 05/25/2017 (49456B119)	10/18/2011	08/27/2013	42 00	212 36	0 00	80 18	132 18
WTS/KINDER MORGAN, INC EXP 05/25/2017 (49456B119)	10/26/2011	08/27/2013	107 00	541 02	0 00	204 51	336 51
WTS/KINDER MORGAN, INC EXP 05/25/2017 (49456B119)	02/09/2012	08/27/2013	242 00	1,223 60	0 00	461 00	762 60
WTS/KINDER MORGAN, INC EXP 05/25/2017 (49456B119)	10/20/2011	08/27/2013	319 00	1,612 93	0 00	607 92	1,005 01
WALGREEN CO CMN (931422109)	03/02/2006	08/29/2013	51 00	2,429 87	0 00	2,267 93	161 94
WALGREEN CO CMN (931422109)	09/02/2005	08/29/2013	119 00	5,669 70	0 00	5,390 70	279 00
ADOBE SYSTEMS INC CMN (00724F101)	12/16/2010	10/02/2013	81 00	4,163 84	0 00	2,298 78	1,865 06
ADOBE SYSTEMS INC CMN (00724F101)	09/28/2010	10/02/2013	109 00	5,603.19	0 00	2,874 54	2,728 65

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
APPLE, INC CMN (037833100)	12/16/2010	10/28/2013	16 00	8,491 81	0 00	5,136 16	3,355 65
ADOBE SYSTEMS INC CMN (00724F101)	12/16/2010	10/29/2013	114 00	6,172 57	0 00	3,235 32	2,937 25
INTERCONTINENTALEXCHANGE INC CMN (45865V100)	07/16/2008	10/30/2013	3 00	573 12	0 00	271 96	301 16
INTERCONTINENTALEXCHANGE INC CMN (45865V100)	02/08/2008	10/30/2013	9 00	1,719 33	0 00	1,149 36	569 97
INTERCONTINENTALEXCHANGE INC CMN (45865V100)	07/15/2008	10/30/2013	22 00	4,202 82	0 00	1,921 02	2,281 80
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	10/28/2008	10/30/2013	11 00	7,960 29	0 00	1,379 99	6,580 30
PRICELINE COM INC CMN (741503403)	12/16/2010	10/30/2013	4 00	4,247 41	0 00	1,602 04	2,645 37
VISA INC CMN CLASS A (92826C839)	10/06/2008	10/30/2013	45 00	9,111 72	0 00	2,491 32	6,620 40
ADOBE SYSTEMS INC CMN (00724F101)	12/16/2010	10/31/2013	120 00	6,508 82	0 00	3,405 60	3,103 22
EOG RESOURCES INC CMN (26875P101)	03/11/2008	11/01/2013	18 00	3,212 15	0 00	2,120 58	1,091 57
EOG RESOURCES INC CMN (26875P101)	04/11/2008	11/01/2013	21 00	3,747 51	0 00	2,669 39	1,078 12
EOG RESOURCES INC CMN (26875P101)	03/05/2008	11/01/2013	27 00	4,818 23	0 00	3,239 83	1,578 40
EOG RESOURCES INC CMN (26875P101)	03/06/2008	11/01/2013	46 00	8,208 83	0 00	5,502 58	2,706 25
ADOBE SYSTEMS INC CMN (00724F101)	12/16/2010	11/13/2013	235 00	13,321 92	0 00	6,669 30	6,652 62
ALLERGAN INC CMN (018490102)	12/16/2010	11/13/2013	5 00	467 54	0 00	350 45	117 09
ALLERGAN INC CMN (018490102)	09/02/2005	11/13/2013	28 00	2,618 23	0 00	1,278 76	1,339 47
ALLERGAN INC CMN (018490102)	07/06/2009	11/13/2013	28 00	2,618 23	0 00	1,322 71	1,295 52
ALLERGAN INC CMN (018490102)	04/29/2008	11/13/2013	34 00	3,179 29	0 00	1,931 13	1,248 16
ALLERGAN INC CMN (018490102)	03/16/2007	11/13/2013	36 00	3,366 30	0 00	1,994 64	1,371 66
ALLERGAN INC CMN (018490102)	10/16/2006	11/13/2013	38 00	3,553 32	0 00	2,202 53	1,350 79
ALLERGAN INC CMN (018490102)	10/28/2008	11/13/2013	46 00	4,301 39	0 00	1,548 01	2,753 38
CME GROUP INC CMN CLASS A (12572Q105)	11/24/2008	11/13/2013	2 00	155 46	0 00	77 52	77 94
CME GROUP INC CMN CLASS A (12572Q105)	02/08/2010	11/13/2013	10 00	777 29	0 00	571.75	205 54
CME GROUP INC CMN CLASS A (12572Q105)	02/12/2010	11/13/2013	35 00	2,720 50	0 00	1,995 31	725 19
CME GROUP INC CMN CLASS A (12572Q105)	09/28/2010	11/13/2013	35 00	2,720 50	0 00	1,859 52	860 98

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
CME GROUP INC CMN CLASS A (12572Q105)	12/16/2010	11/13/2013	83 00	6,451 47	0 00	5,275 73	1,175 74
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	10/29/2008	11/13/2013	25 00	1,851 22	0 00	497 68	1,353 54
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	12/16/2010	11/13/2013	30 00	2,221 46	0 00	1,245 00	976 46
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	11/24/2008	11/13/2013	46 00	3,406 24	0 00	545 03	2,861 21
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	07/06/2009	11/13/2013	53.00	3,924 58	0 00	1,250 91	2,673 67
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	11/25/2008	11/13/2013	138 00	10,218 72	0 00	1,738 76	8,479 96
EOG RESOURCES INC CMN (26875P101)	04/11/2008	11/13/2013	1 00	168 91	0 00	127.11	41 80
EOG RESOURCES INC CMN (26875P101)	04/29/2008	11/13/2013	20 00	3,378 21	0 00	2,591 58	786 63
EOG RESOURCES INC CMN (26875P101)	04/21/2008	11/13/2013	22 00	3,716 03	0 00	3,016 07	699 96
EOG RESOURCES INC CMN (26875P101)	06/13/2008	11/13/2013	36 00	6,080.77	0 00	4,644 67	1,436 10
EOG RESOURCES INC CMN (26875P101)	06/30/2009	11/13/2013	38 00	6,418 59	0 00	2,577 49	3,841 10
GOOGLE, INC CMN CLASS A (38259P508)	04/16/2010	11/13/2013	2 00	2,036 61	0 00	1,104 00	932 61
GOOGLE, INC CMN CLASS A (38259P508)	12/01/2010	11/13/2013	3 00	3,054 91	0 00	1,705 30	1,349 61
GOOGLE, INC CMN CLASS A (38259P508)	12/16/2010	11/13/2013	17 00	17,311 14	0 00	10,044 11	7,267 03
INTRCONTINENTALEXCHANGE GP INC CMN (45866F104)	07/16/2008	11/13/2013	19 00	3,788 19	0 00	1,722 39	2,065 80
INTRCONTINENTALEXCHANGE GP INC CMN (45866F104)	12/16/2010	11/13/2013	37 00	7,377 00	0 00	4,319 01	3,057 99
INTRCONTINENTALEXCHANGE GP INC CMN (45866F104)	10/28/2008	11/13/2013	39 00	7,775 76	0 00	2,273 90	5,501 86
INTUIT INC CMN (461202103)	08/21/2009	11/13/2013	17 00	1,236 90	0 00	486 23	750 67
INTUIT INC CMN (461202103)	12/16/2010	11/13/2013	239 00	17,389 33	0 00	11,703 83	5,685 50
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	10/28/2008	11/13/2013	3 00	2,199 05	0 00	376 36	1,822 69
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	02/08/2010	11/13/2013	9 00	6,597 15	0 00	2,035 81	4,561 34
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	05/17/2010	11/13/2013	14 00	10,262 24	0 00	2,937 52	7,324.72
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	12/16/2010	11/13/2013	15 00	10,995 26	0 00	3,742 35	7,252 91
NIKE CLASS-B CMN CLASS B (654106103)	06/24/2010	11/13/2013	34 00	2,624 98	0 00	1,183 74	1,441 24
NIKE CLASS-B CMN CLASS B (654106103)	12/16/2010	11/13/2013	54 00	4,169 08	0 00	2,385 45	1,783 63

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
NIKE CLASS-B CMN CLASS B (654106103)	06/30/2009	11/13/2013	122 00	9,419 04	0 00	3,151 10	6,267 94
PERRIGO COMPANY CMN (714290103)	09/28/2010	11/13/2013	32 00	4,801 66	0 00	2,106 99	2,694 67
PERRIGO COMPANY CMN (714290103)	09/27/2010	11/13/2013	48 00	7,202 49	0 00	3,160 69	4,041 80
PERRIGO COMPANY CMN (714290103)	12/16/2010	11/13/2013	72 00	10,803 73	0 00	4,780 08	6,023 65
PRICELINE COM INC CMN (741503403)	12/16/2010	11/13/2013	22 00	24,496 79	0 00	8,811 22	15,685 57
QUALCOMM INC CMN (747525103)	03/02/2006	11/13/2013	58 00	4,017 01	0 00	2,747 46	1,269 55
QUALCOMM INC CMN (747525103)	09/02/2005	11/13/2013	293 00	20,292 82	0 00	11,734 65	8,558 17
VISA INC. CMN CLASS A (92826C839)	05/17/2010	11/13/2013	7 00	1,382 48	0 00	520 03	862 45
VISA INC. CMN CLASS A (92826C839)	10/29/2008	11/13/2013	14 00	2,764 95	0 00	713 45	2,051 50
VISA INC CMN CLASS A (92826C839)	05/24/2010	11/13/2013	15 00	2,962 45	0 00	1,126 79	1,835 66
VISA INC CMN CLASS A (92826C839)	10/06/2008	11/13/2013	16 00	3,159 94	0 00	885 80	2,274 14
VISA INC CMN CLASS A (92826C839)	06/01/2010	11/13/2013	19 00	3,752 44	0 00	1,381 19	2,371 25
VISA INC CMN CLASS A (92826C839)	05/17/2010	11/13/2013	57 00	11,257 30	0 00	4,231 87	7,025 43
WALGREEN CO CMN (931422109)	12/13/2006	11/13/2013	5 00	298 85	0 00	220 45	78 40
WALGREEN CO CMN (931422109)	12/14/2006	11/13/2013	8 00	478.16	0 00	356 55	121 61
WALGREEN CO CMN (931422109)	03/02/2006	11/13/2013	87 00	5,199 98	0 00	3,868 82	1,331 16
WALGREEN CO CMN (931422109)	12/16/2010	11/13/2013	257 00	15,360 85	0 00	9,498 72	5,862.13
PERRIGO COMPANY CMN (714290103)	12/16/2010	12/19/2013	97 00	15,068 91	0 00	6,439 83	8,629 08
Net NonCovered Long-Term Gains (Losses)				**613,284.42**	**0.00**	**308,366.51**	**304,917.91**

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REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	0 00	Net Covered Long-Term Gains (Losses)	0 00	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0 00	Net NonCovered Long-Term Gains (Losses)	224,672 89		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	1,446 85		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**0.00**	**Total Long-Term Gains (Losses)**	**226,119.74**	**Total Ordinary Gains (Losses)**	**0 00**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
MEXICO (UNITED MEXICAN STATES) 6 375% 01/16/2013 USD (91086QAK4)	11/04/2003	01/16/2013	1,000 00	1,000 00	(36 00)	1,000 00	0 00
MEXICO (UNITED MEXICAN STATES) 6 375% 01/16/2013 USD (91086QAK4)	10/06/2003	01/16/2013	3,000 00	3,000 00	(127.50)	3,000 00	0 00
CITY NATIONAL CORPORATION 5 125000 02/15/2013 SER CYN (178566AB1)	06/06/2003	02/15/2013	12,000 00	12,000 00	(534 12)	12,000 00	0 00
POTASH CORP SASKATCHEWAN 4 875000 03/01/2013 M-W+25 00BP (73755LAC1)	09/16/2003	03/01/2013	7,000.00	7,000 00	187 25	7,000 00	0 00
AMSOUTH BANK 4 85% 04/01/2013 PVT REGD SUB LIEN (032166AQ3)	07/27/2005	04/01/2013	5,000.00	5,000 00	0.00	4,968 15	31 85
KEYSPAN CORPORATION 4 650000 04/01/2013 M-W+15 00BP (49337WAG5)	06/17/2003	04/01/2013	4,000 00	4,000 00	(235 44)	4,000 00	0 00
CALPINE CORPORATION CMN (131347304)	10/09/2007	04/17/2013	27 00	564 02	0 00	406 46	157 56
CALPINE CORPORATION CMN (131347304)	10/10/2007	04/17/2013	116.00	2,423 18	0 00	1,761 47	661.71
ASSURANT INC CPN 6 7500 02/15/2034 (04621XAD0)	02/03/2005	04/18/2013	3,000 00	3,426 60	(59 58)	3,323 52	103 08
ENBRIDGE ENERGY PARTNERS, L P 6 300000 12/15/2034 M-W+20 00BP (29250RAG1)	12/01/2004	04/18/2013	4,000 00	4,644 64	0 00	3,989 68	654 96
ENTERPRISE PRODUCTS OPERATING CPN 6 6500 10/15/2034 M-W+30 00BP (293791AP4)	05/05/2005	04/18/2013	10,000 00	12,965 10	(61 10)	10,438 90	2,526 20

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
LUBRIZOL CORPORATION (THE) 6 5% 10/01/2034 SR LIEN M-W+35 00BP (549271AF1)	09/23/2004	04/18/2013	3,000 00	4,149 90	0 00	2,950 23	1,199 67
LUBRIZOL CORPORATION (THE) 6 5% 10/01/2034 SR LIEN M-W+35 00BP (549271AF1)	09/23/2004	04/18/2013	5,000 00	6,916 50	0 00	4,942 05	1,974 45
DAIMLER FINANCE NORTH AMERICA 8 5% 01/18/2031 USD (233835AQ0)	03/11/2005	04/22/2013	2,000 00	3,150 42	(85 25)	2,439 43	710 99
DEUTSCHE TELEKOM INTERNATIONAL STEP 06/15/2030 USD SR LIEN M-W+20 00BP 8 75% 06/16/08-06/15/30 (25156PAC7)	06/03/2003	04/22/2013	2,000 00	2,932 52	(63 10)	2,533 60	398 92
DEUTSCHE TELEKOM INTERNATIONAL STEP 06/15/2030 USD SR LIEN M-W+20 00BP 8 75% 06/16/08-06/15/30 (25156PAC7)	03/07/2005	04/22/2013	3,000 00	4,398 78	(63 28)	4,037.93	360 85
DEUTSCHE TELEKOM INTERNATIONAL STEP 06/15/2030 USD SR LIEN M-W+20 00BP 8 75% 06/16/08-06/15/30 (25156PAC7)	06/26/2003	04/22/2013	5,000 00	7,331 30	(148 62)	6,278 63	1,052 67
MEADWESTVACO CORPORATION 7 95% 02/15/2031 CALLABLE @ M-W+25BP (961548AY0)		04/22/2013	5,000 00	6,204 25	0 00	No Cost	6,204 25[8]
NEW CINGULAR WIRELESS SERVICES 8 75% 03/01/2031 USD CALL @ MAKE WHOLE +50BP (00209AAF3)	03/05/2005	04/22/2013	2,000 00	3,169 60	(66 56)	2,370 98	798 62
NEWS AMERICA INCORPORATED 7.7% 10/30/2025 (652478AZ1)	06/06/2005	04/22/2013	7,000 00	9,505 44	(380 61)	8,108 43	1,397 01
SAFEWAY INC 7 25% 02/01/2031 MAKE WHOLE +25BP (786514BA6)	06/13/2005	04/22/2013	4,000 00	4,713.76	(93 85)	4,435 27	278 49
AT&T INC. 5 625% 06/15/2016 M-W+20 00BP (78387GAL7)	08/11/2004	04/24/2013	4,000 00	4,551 36	0 00	3,996 24	555 12
BOSTON PROPERTIES LTD PARTNER 5 000000 06/01/2015 SR LIEN M-W+25 00BP (10112RAE4)	04/01/2004	04/24/2013	3,000 00	3,247 23	0 00	2,996 22	251 01
BOSTON PROPERTIES LTD PARTNER 5 000000 06/01/2015 SR LIEN M-W+25 00BP (10112RAE4)	12/18/2003	04/24/2013	5,000 00	5,412 05	128 89	4,971 24	440 81
JEFFERIES GROUP INC 5 500000 03/15/2016 (472319AB8)	03/03/2004	04/24/2013	3,000 00	3,277 86	0 00	2,981 22	296 64
MACY'S RETAIL HOLDINGS, INC 6 65% 07/15/2024 USD (577778CB7)		04/24/2013	5,000 00	6,232 50	0 00	No Cost	6,232 50[8]
METLIFE, INC 5 0% 06/15/2015 USD (59156RAN8)	06/20/2005	04/24/2013	10,000 00	10,872 50	0 00	9,972 90	899 60
ONEOK INC 5 200000 06/15/2015 M-W+20 00BP (682680AM5)	06/14/2005	04/24/2013	5,000 00	5,387.85	0 00	4,997.30	390 55

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
SOUTHERN CALIFORNIA EDISON CO 4 6500 04/01/2015 SER 2004-F M-W+15 00BP (842400EU3)	03/23/2004	04/24/2013	4,000 00	4,293 72	0 00	3,986 32	307 40
#F0255493 5 5 11/01/2024 ORIG 10/01/2004 FNMA MULTIPLE POO FACTOR 0 10637672 04/01/2014 (31371LXW4)		04/26/2013	25,000 00	3,724 48	0 00	7500	
#F0255813 5 08/01/2035 ORIG 07/01/2005 FNMA MULTIPLE POO FACTOR 0 11317958 04/01/2014 (31371MDS3)		04/26/2013	75,000 00	13,936 19	0 00	35,000	
#F0735230 5 5 02/01/2035 ORIG 01/01/2005 MEGA POOL FACTOR 0 10217323 04/01/2014 (31402QY39)		04/26/2013	65,650 00	10,473 68	0 00	22,000	
#F0826256 5 07/01/2020 ORIG 06/01/2005 BANK OF AMERICA N FACTOR 0 07440544 04/01/2014 (31407B5R6)		04/26/2013	30,053 00	3,338 61	0 00	8,000	
#G0781094 8 10/15/2029 ORIG 10/01/1999 MULTIPLE ISSUER FACTOR 0 00571371 04/01/2014 (36225BGB8)	11/10/2000	04/26/2013	122,689 00	1,195 23	0 00	1,146 39	48 84
ARDEN REALTY LTD PARTNERSHIP 5 25% 03/01/2015 M-W+15 00BP (03979GAL9)	02/23/2002	04/26/2013	3,000 00	3,192 39	0 00	2,990 76	201 63
FN 15YR CONVEN #653112 6 0% 07/01/2017 07/01/2002 CHASE HOME FINANCE, FACTOR 0 01722554 04/01/2014 (31390QR98)	08/28/2002	04/26/2013	35,000 00	1,085 96	0 00	1,068 07	17 89
FN 20-YR CONVEN #255575 5 5% 01/01/2025 12/01/2004 MULTIPLE POOL FACTOR 0.11836032 04/01/2014 (31371L2G3)	12/09/2004	04/26/2013	25,000 00	4,282.64	0 00	4,124 06	158 58
FN 30YR CONVEN #659490 6 0% 02/01/2033 02/01/2003 ABN AMRO MORTGAGE G FACTOR 0 10748584 04/01/2014 (31390XUP3)	03/05/2003	04/26/2013	25,000 00	3,728 03	0 00	3,795 15	(67 12)
FN 30YR CONVEN #704557 5 5% 05/01/2033 05/01/2003 COUNTRYWIDE HOME LO FACTOR 0 03923619 04/01/2014 (31401CWS8)	05/27/2003	04/26/2013	50,000 00	2,267 89	0 00	2,107 63	160 26
FN 30YR CONVEN #708562 5 5% 06/01/2033 05/01/2003 COUNTRYWIDE HOME LO FACTOR 0 0616756 04/01/2014 (31401HFB3)	05/27/2003	04/26/2013	60,000 00	6,156 21	0 00	6,330 37	(174 16)
FNMA 15-YR CONV. SF #F0687885 5 5 03/01/2018 ORIG 02/01/2003 COUNTRYWIDE HOME FACTOR 0 05469116 04/01/2014 (31400HF24)		04/26/2013	141,273 00	12,398 51	0 00	20,000	
FNMA 15-YR CONV SF #F0751886 5 11/01/2018 ORIG 11/01/2003 IRWIN MORTGAGE CO FACTOR 0 08980882 04/01/2014 (31403LJ77)		04/26/2013	75,322 00	9,164 96	0 00	20,000	

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
FNMA 15-YR CONVENTIO #829028 4 5% 07/01/2020 07/01/2005 FACTOR 0 06586472 04/01/2014 (31407FA59)		04/26/2013	75,000 00	7,959 04	0 00	23,000.	
FNMA 30-YR CONVENTIO #357857 5 0% 06/01/2035 07/01/2005 MULTIPLE POOL FACTOR 0 0948438 04/01/2014 (31376KQJ8)		04/26/2013	50,005 00	8,285 69	0 00	25,000	
INTERNATIONAL PAPER COMPANY 5 3% 04/01/2015 USD (460146BU6)		04/26/2013	8,000 00	8,646 24	0 00	No Cost	8,646.24 [8]
JPMORGAN CHASE & CO 5.125% 09/15/2014 SUB LIEN (46625HBV1)	09/08/2004	04/26/2013	10,000 00	10,579 40	0 00	9,975 20	604.20
MACK-CALI REALTY, L.P 5 125% 01/15/2015 (55448QAK2)	01/12/2005	04/26/2013	3,000 00	3,180 18	0 00	2,981.55	198 63
MORGAN STANLEY 4 75% 04/01/2014 USD (61748AAE6)	03/23/2004	04/26/2013	10,000 00	10,306 10	0 00	9,912 90	393 20
PETRO-CANADA CPN 5 0000 11/15/2014 (69320DAA7)	11/08/2004	04/26/2013	5,000 00	5,309 25	0 00	4,973 60	335 65
UNITED MEXICAN STATES 6 625% 03/03/2015 USD SR LIEN (91086QAL2)	03/10/2006	04/26/2013	4,000 00	4,410 44	(226 03)	4,073 97	336 47
WACHOVIA CORPORATION 4 875% 02/15/2014 USD (929903AE2)	02/03/2004	04/26/2013	10,000 00	10,300 30	0 00	9,957 50	342 80
WACHOVIA CORPORATION 5 800000 06/15/2014 SUB LIEN (844730AG6)		04/26/2013	3,000 00	3,152 76	0 00	No Cost	3,152 76 [8]
WYETH 5 5% 02/01/2014 USD M-W+25 00BP (983024AE0)	09/24/2004	04/26/2013	3,000 00	3,109 71	(56 72)	3,006 28	103 43
SLM CORPORATION MTN 5 0% 04/15/2015 SER A SR LIEN (78442FAQ1)	04/07/2003	04/30/2013	5,000 00	5,290 50	0 00	4,967 50	323 00
SPRINT CAPITAL CORPORATION 8 75% 03/15/2032 USD (852060AT9)	12/08/2003	04/30/2013	1,000 00	1,182 65	(22 58)	1,135 32	47 33
SPRINT CAPITAL CORPORATION 8 75% 03/15/2032 USD (852060AT9)	12/08/2003	04/30/2013	2,000 00	2,365 30	(45 64)	2,273 08	92 22
SPRINT CAPITAL CORPORATION 8 75% 03/15/2032 USD (852060AT9)	12/09/2003	04/30/2013	2,000 00	2,365 30	(47 60)	2,282 86	82 44
SPRINT CAPITAL CORPORATION 8 75% 03/15/2032 USD (852060AT9)	10/06/2004	04/30/2013	4,000 00	4,730 60	(160 20)	4,907 08	(176 48)
STAPLES, INC CMN (855030102)		11/13/2013	7,146 00 [9]	112,679 01	0 00	No Cost	112,679 01 [8]
ICI WILMINGTON INC 5 625% 12/01/2013 USD (449909AL4)	11/03/2004	12/01/2013	5,000 00	5,000 00	(177.40)	5,000 00	0 00
ICI WILMINGTON INC 5 625% 12/01/2013 USD (449909AL4)	11/04/2004	12/01/2013	5,000 00	5,000 00	(198 70)	5,000 00	0 00
Net NonCovered Long-Term Gains (Losses)				**440,568.33**	**(2,573.74)**	376,395	64,173

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[9] *This position is a gifted security*

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
1099B Non Reportable Long-Term Gains (Losses)							
#G0781094 8 10/15/2029 ORIG 10/01/1999 MULTIPLE ISSUER FACTOR 0 00571371 04/01/2014 (36225BGB8)	11/10/2000	01/15/2013	0 00	3 82	0 00	4 29	(0 47)
#F0255493 5 5 11/01/2024 ORIG 10/01/2004 FNMA MULTIPLE POO FACTOR 0.10637672 04/01/2014 (31371LXW4)		01/25/2013	0 00	131 99	0 00	No Cost	131 99 [8]
#F0255813 5 08/01/2035 ORIG 07/01/2005 FNMA MULTIPLE POO FACTOR 0 11317958 04/01/2014 (31371MDS3)		01/25/2013	0 00	746 40	0 00	No Cost	746 40 [8]
#F0735230 5.5 02/01/2035 ORIG 01/01/2005 MEGA POOL FACTOR 0.10217323 04/01/2014 (31402QY39)		01/25/2013	0 00	433 05	0 00	No Cost	433 05 [8]
#F0826256 5 07/01/2020 ORIG 06/01/2005 BANK OF AMERICA N FACTOR 0 07440544 04/01/2014 (31407B5R6)		01/25/2013	0 00	51 36	0 00	No Cost	51 36 [8]
FN 15YR CONVEN #653112 6 0% 07/01/2017 07/01/2002 CHASE HOME FINANCE, FACTOR 0 01722554 04/01/2014 (31390QR98)	08/28/2002	01/25/2013	0 00	112 37	0 00	117.78	(5 41)
FN 20-YR CONVEN #255575 5 5% 01/01/2025 12/01/2004 MULTIPLE POOL FACTOR 0 11836032 04/01/2014 (31371L2G3)	12/09/2004	01/25/2013	0 00	148.74	0 00	156 13	(7 39)
FN 30YR CONVEN #659490 6 0% 02/01/2033 02/01/2003 ABN AMRO MORTGAGE G FACTOR 0 10748584 04/01/2014 (31390XUP3)	03/05/2003	01/25/2013	0 00	14 44	0 00	16 54	(2 10)
FN 30YR CONVEN #704557 5 5% 05/01/2033 05/01/2003 COUNTRYWIDE HOME LO FACTOR 0 03923619 04/01/2014 (31401CWS8)	05/27/2003	01/25/2013	0 00	8 01	0 00	8 33	(0 32)
FN 30YR CONVEN #708562 5 5% 06/01/2033 05/01/2003 COUNTRYWIDE HOME LO FACTOR 0 0616756 04/01/2014 (31401HFB3)	05/27/2003	01/25/2013	0 00	15 80	0 00	17 81	(2 01)
FNMA 15-YR CONV. SF #F0687885 5 5 03/01/2018 ORIG 02/01/2003 COUNTRYWIDE HOME FACTOR 0 05469116 04/01/2014 (31400HF24)		01/25/2013	0 00	282 24	0 00	No Cost	282 24 [8]
FNMA 15-YR CONV SF #F0751886 5 11/01/2018 ORIG 11/01/2003 IRWIN MORTGAGE CO FACTOR 0 08980882 04/01/2014 (31403LJ77)		01/25/2013	0 00	140 34	0 00	No Cost	140 34 [8]
FNMA 15-YR CONVENTIO #829028 4 5% 07/01/2020 07/01/2005 FACTOR 0 06586472 04/01/2014 (31407FA59)		01/25/2013	0 00	516 95	0 00	No Cost	516 95 [8]
FNMA 30-YR CONVENTIO #357857 5 0% 06/01/2035 07/01/2005 MULTIPLE POOL FACTOR 0 0948438 04/01/2014 (31376KQJ8)		01/25/2013	0 00	648 63	0 00	No Cost	648 63 [8]

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
1099B Non Reportable Long-Term Gains (Losses)							
SAMI 19982 B 02/01/1998 05/02/2030 2 473% 05/02/2030 FACTOR 0 00141089 04/01/2014 (073914C27)		02/01/2013	0 00	0 07	0 00	No Cost	0 07 [8]
#G0781094 B 10/15/2029 ORIG 10/01/1999 MULTIPLE ISSUER FACTOR 0 00571371 04/01/2014 (36225BGB8)	11/10/2000	02/15/2013	0 00	3 63	0 00	4 07	(0 44)
#F0255493 5 5 11/01/2024 ORIG 10/01/2004 FNMA MULTIPLE POO FACTOR 0 10637672 04/01/2014 (31371LXW4)		02/25/2013	0 00	159 84	0 00	No Cost	159 84 [8]
#F0255813 5 08/01/2035 ORIG 07/01/2005 FNMA MULTIPLE POO FACTOR 0 11317958 04/01/2014 (31371MDS3)		02/25/2013	0 00	788 92	0 00	No Cost	788 92 [8]
#F0735230 5 5 02/01/2035 ORIG 01/01/2005 MEGA POOL FACTOR 0.10217323 04/01/2014 (31402QY39)		02/25/2013	0 00	403 12	0 00	No Cost	403 12 [8]
#F0826256 5 07/01/2020 ORIG 06/01/2005 BANK OF AMERICA N FACTOR 0 07440544 04/01/2014 (31407B5R6)		02/25/2013	0 00	571.36	0 00	No Cost	571 36 [8]
FN 15YR CONVEN #653112 6 0% 07/01/2017 07/01/2002 CHASE HOME FINANCE, FACTOR 0 01722554 04/01/2014 (31390QR98)	08/28/2002	02/25/2013	0 00	112 68	0 00	118 09	(5 41)
FN 20-YR CONVEN #255575 5 5% 01/01/2025 12/01/2004 MULTIPLE POOL FACTOR 0 11836032 04/01/2014 (31371L2G3)	12/09/2004	02/25/2013	0 00	105 55	0 00	110 79	(5 24)
FN 30YR CONVEN #659490 6 0% 02/01/2033 02/01/2003 ABN AMRO MORTGAGE G FACTOR 0 10748584 04/01/2014 (31390XUP3)	03/05/2003	02/25/2013	0 00	14 25	0 00	16 32	(2 07)
FN 30YR CONVEN #704557 5 5% 05/01/2033 05/01/2003 COUNTRYWIDE HOME LO FACTOR 0 03923619 04/01/2014 (31401CWS8)	05/27/2003	02/25/2013	0 00	4 91	0 00	5 11	(0 20)
FN 30YR CONVEN #708562 5 5% 06/01/2033 05/01/2003 COUNTRYWIDE HOME LO FACTOR 0 0616756 04/01/2014 (31401HFB3)	05/27/2003	02/25/2013	0 00	1,443 19	0 00	1,626 85	(183 66)
FNMA 15-YR CONV SF #F0687885 5 5 03/01/2018 ORIG 02/01/2003 COUNTRYWIDE HOME FACTOR 0 05469116 04/01/2014 (31400HF24)		02/25/2013	0 00	493 52	0 00	No Cost	493 52 [8]
FNMA 15-YR CONV. SF #F0751886 5 11/01/2018 ORIG 11/01/2003 IRWIN MORTGAGE CO FACTOR 0 08980882 04/01/2014 (31403LJ77)		02/25/2013	0 00	1,159 37	0 00	No Cost	1,159 37 [8]
FNMA 15-YR CONVENTIO #829028 4 5% 07/01/2020 07/01/2005 FACTOR 0 06586472 04/01/2014 (31407FA59)		02/25/2013	0 00	665 76	0 00	No Cost	665 76 [8]

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
1099B Non Reportable Long-Term Gains (Losses)							
FNMA 30-YR CONVENTIO #357857 5 0% 06/01/2035 07/01/2005 MULTIPLE POOL FACTOR 0 0948438 04/01/2014 (31376KQJ8)		02/25/2013	0 00	974 38	0 00	No Cost	974 38[8]
#G0781094 8 10/15/2029 ORIG 10/01/1999 MULTIPLE ISSUER FACTOR 0 00571371 04/01/2014 (36225BGB8)	11/10/2000	03/15/2013	0 00	3 55	0 00	3 98	(0 43)
WASHINGTON MUTUAL, INC 4 625% 04/01/2014 USD SUB LIEN M-W+15 00BP (939322AN3)	03/17/2004	03/20/2013	15,000 00	0 00	0 00	14,908 35	(14,908 35)
#F0255493 5 5 11/01/2024 ORIG 10/01/2004 FNMA MULTIPLE POO FACTOR 0 10637672 04/01/2014 (31371LXW4)		03/25/2013	0 00	115 06	0 00	No Cost	115 06[8]
#F0255813 5 08/01/2035 ORIG 07/01/2005 FNMA MULTIPLE POO FACTOR 0 11317958 04/01/2014 (31371MDS3)		03/25/2013	0 00	587.64	0 00	No Cost	587 64[8]
#F0735230 5.5 02/01/2035 ORIG 01/01/2005 MEGA POOL FACTOR 0 10217323 04/01/2014 (31402QY39)		03/25/2013	0 00	374 54	0 00	No Cost	374 54[8]
#F0826256 5 07/01/2020 ORIG 06/01/2005 BANK OF AMERICA N FACTOR 0 07440544 04/01/2014 (31407B5R6)		03/25/2013	0 00	339 95	0 00	No Cost	339 95[8]
FN 15YR CONVEN #653112 6 0% 07/01/2017 07/01/2002 CHASE HOME FINANCE, FACTOR 0 01722554 04/01/2014 (31390QR98)	08/28/2002	03/25/2013	0 00	60 80	0 00	63 73	(2 93)
FN 20-YR CONVEN #255575 5 5% 01/01/2025 12/01/2004 MULTIPLE POOL FACTOR 0 11836032 04/01/2014 (31371L2G3)	12/09/2004	03/25/2013	0 00	101.95	0 00	107 01	(5 06)
FN 30YR CONVEN #659490 6 0% 02/01/2033 02/01/2003 ABN AMRO MORTGAGE G FACTOR 0 10748584 04/01/2014 (31390XUP3)	03/05/2003	03/25/2013	0 00	11 94	0 00	13 67	(1 73)
FN 30YR CONVEN #704557 5 5% 05/01/2033 05/01/2003 COUNTRYWIDE HOME LO FACTOR 0 03923619 04/01/2014 (31401CWS8)	05/27/2003	03/25/2013	0 00	4 94	0 00	5 14	(0 20)
FN 30YR CONVEN #708562 5 5% 06/01/2033 05/01/2003 COUNTRYWIDE HOME LO FACTOR 0 0616756 04/01/2014 (31401HFB3)	05/27/2003	03/25/2013	0 00	12 88	0 00	14.52	(1 64)
FNMA 15-YR CONV SF #F0687885 5 5 03/01/2018 ORIG 02/01/2003 COUNTRYWIDE HOME FACTOR 0 05469116 04/01/2014 (31400HF24)		03/25/2013	0 00	232 38	0 00	No Cost	232 38[8]
FNMA 15-YR CONV SF #F0751886 5 11/01/2018 ORIG 11/01/2003 IRWIN MORTGAGE CO FACTOR 0 08980882 04/01/2014 (31403LJ77)		03/25/2013	0 00	129 64	0 00	No Cost	129 64[8]

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
1099B Non Reportable Long-Term Gains (Losses)							
FNMA 15-YR CONVENTIO #829028 4 5% 07/01/2020 07/01/2005 FACTOR 0 06586472 04/01/2014 (31407FA59)		03/25/2013	0 00	569 94	0 00	No Cost	569 94 [8]
FNMA 30-YR CONVENTIO #357857 5 0% 06/01/2035 07/01/2005 MULTIPLE POOL FACTOR 0 0948438 04/01/2014 (31376KQJ8)		03/25/2013	0 00	813 02	0 00	No Cost	813 02 [8]
#G0781094 8 10/15/2029 ORIG 10/01/1999 MULTIPLE ISSUER FACTOR 0 00571371 04/01/2014 (36225BGB8)	11/10/2000	04/15/2013	0 00	90 73	0 00	101.81	(11 08)
#F0255493 5 5 11/01/2024 ORIG 10/01/2004 FNMA MULTIPLE POO FACTOR 0.10637672 04/01/2014 (31371LXW4)		04/25/2013	0 00	119 05	0 00	No Cost	119 05 [8]
#F0255493 5 5 11/01/2024 ORIG 10/01/2004 FNMA MULTIPLE POO FACTOR 0 10637672 04/01/2014 (31371LXW4)		04/25/2013	0 00	130 39	0 00	No Cost	130 39 [8]
#F0255813 5 08/01/2035 ORIG 07/01/2005 FNMA MULTIPLE POO FACTOR 0.11317958 04/01/2014 (31371MDS3)		04/25/2013	0 00	624 25	0 00	No Cost	624 25 [8]
#F0255813 5 08/01/2035 ORIG 07/01/2005 FNMA MULTIPLE POO FACTOR 0 11317958 04/01/2014 (31371MDS3)		04/25/2013	0 00	772 74	0 00	No Cost	772 74 [8]
#F0735230 5 5 02/01/2035 ORIG 01/01/2005 MEGA POOL FACTOR 0 10217323 04/01/2014 (31402QY39)		04/25/2013	0 00	387 95	0 00	No Cost	387 95 [8]
#F0735230 5 5 02/01/2035 ORIG 01/01/2005 MEGA POOL FACTOR 0 10217323 04/01/2014 (31402QY39)		04/25/2013	0 00	430 83	0 00	No Cost	430 83 [8]
#F0826256 5 07/01/2020 ORIG 06/01/2005 BANK OF AMERICA N FACTOR 0 07440544 04/01/2014 (31407B5R6)		04/25/2013	0 00	44 75	0 00	No Cost	44 75 [8]
#F0826256 5 07/01/2020 ORIG 06/01/2005 BANK OF AMERICA N FACTOR 0 07440544 04/01/2014 (31407B5R6)		04/25/2013	0 00	58 27	0 00	No Cost	58 27 [8]
#G0781094 8 10/15/2029 ORIG 10/01/1999 MULTIPLE ISSUER FACTOR 0 00571371 04/01/2014 (36225BGB8)	11/10/2000	04/25/2013	0 00	3 33	0 00	3 73	(0 40)
FN 15YR CONVEN #653112 6 0% 07/01/2017 07/01/2002 CHASE HOME FINANCE, FACTOR 0 01722554 04/01/2014 (31390QR98)	08/28/2002	04/25/2013	0 00	27 47	0 00	28 79	(1 32)
FN 15YR CONVEN #653112 6 0% 07/01/2017 07/01/2002 CHASE HOME FINANCE, FACTOR 0 01722554 04/01/2014 (31390QR98)	08/28/2002	04/25/2013	0 00	34 32	0 00	35 97	(1 65)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
1099B Non Reportable Long-Term Gains (Losses)							
FN 20-YR CONVEN #255575 5 5% 01/01/2025 12/01/2004 MULTIPLE POOL FACTOR 0 11836032 04/01/2014 (31371L2G3)	12/09/2004	04/25/2013	0 00	104 52	0 00	109 71	(5 19)
FN 20-YR CONVEN #255575 5 5% 01/01/2025 12/01/2004 MULTIPLE POOL FACTOR 0 11836032 04/01/2014 (31371L2G3)	12/09/2004	04/25/2013	0 00	147 38	0 00	154 70	(7 32)
FN 30YR CONVEN #659490 6 0% 02/01/2033 02/01/2003 ABN AMRO MORTGAGE G FACTOR 0.10748584 04/01/2014 (31390XUP3)	03/05/2003	04/25/2013	0 00	10 05	0 00	11 51	(1 46)
FN 30YR CONVEN #659490 6 0% 02/01/2033 02/01/2003 ABN AMRO MORTGAGE G FACTOR 0 10748584 04/01/2014 (31390XUP3)	03/05/2003	04/25/2013	0 00	562 71	0 00	644 45	(81.74)
FN 30YR CONVEN #704557 5 5% 05/01/2033 05/01/2003 COUNTRYWIDE HOME LO FACTOR 0 03923619 04/01/2014 (31401CWS8)	05/27/2003	04/25/2013	0 00	4 96	0 00	5 17	(0 21)
FN 30YR CONVEN #704557 5 5% 05/01/2033 05/01/2003 COUNTRYWIDE HOME LO FACTOR 0 03923619 04/01/2014 (31401CWS8)	05/27/2003	04/25/2013	0 00	4 99	0 00	5 19	(0 20)
FN 30YR CONVEN #708562 5 5% 06/01/2033 05/01/2003 COUNTRYWIDE HOME LO FACTOR 0 0616756 04/01/2014 (31401HFB3)	05/27/2003	04/25/2013	0 00	12.95	0 00	14 60	(1 65)
FN 30YR CONVEN #708562 5 5% 06/01/2033 05/01/2003 COUNTRYWIDE HOME LO FACTOR 0 0616756 04/01/2014 (31401HFB3)	05/27/2003	04/25/2013	0 00	13 01	0 00	14 67	(1 66)
FNMA 15-YR CONV. SF #F0687885 5 5 03/01/2018 ORIG 02/01/2003 COUNTRYWIDE HOME FACTOR 0 05469116 04/01/2014 (31400HF24)		04/25/2013	0 00	222 28	0 00	No Cost	222 28 [8]
FNMA 15-YR CONV SF #F0687885 5 5 03/01/2018 ORIG 02/01/2003 COUNTRYWIDE HOME FACTOR 0 05469116 04/01/2014 (31400HF24)		04/25/2013	0 00	352 44	0 00	No Cost	352 44 [8]
FNMA 15-YR CONV SF #F0751886 5 11/01/2018 ORIG 11/01/2003 IRWIN MORTGAGE CO FACTOR 0 08980882 04/01/2014 (31403LJ77)		04/25/2013	0 00	129 39	0 00	No Cost	129 39 [8]
FNMA 15-YR CONV SF #F0751886 5 11/01/2018 ORIG 11/01/2003 IRWIN MORTGAGE CO FACTOR 0 08980882 04/01/2014 (31403LJ77)		04/25/2013	0 00	131 55	0 00	No Cost	131 55 [8]
FNMA 15-YR CONVENTIO #829028 4 5% 07/01/2020 07/01/2005 FACTOR 0 06586472 04/01/2014 (31407FA59)		04/25/2013	0 00	265 28	0 00	No Cost	265 28 [8]
FNMA 30-YR CONVENTIO #357857 5 0% 06/01/2035 07/01/2005 MULTIPLE POOL FACTOR 0 0948438 04/01/2014 (31376KQJ8)		04/25/2013	0 00	434 37	0 00	No Cost	434 37 [8]

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
1099B Non Reportable Long-Term Gains (Losses)							
FNMA 30-YR CONVENTIO #357857 5 0% 06/01/2035 07/01/2005 MULTIPLE POOL FACTOR 0 0948438 04/01/2014 (31376KQJ8)		04/25/2013	0 00	613 86	0 00	No Cost	613 86 [8]
SAMI 19982 B 02/01/1998 05/02/2030 2 473% 05/02/2030 FACTOR 0 00141089 04/01/2014 (073914C27)		05/01/2013	0 00	0 06	0 00	No Cost	0 06 [8]
FNMA 15-YR CONVENTIO #829028 4.5% 07/01/2020 07/01/2005 FACTOR 0 06586472 04/01/2014 (31407FA59)		05/28/2013	0 00	182 43	0 00	No Cost	182 43 [8]
FNMA MULTI-FAM BALL #461030 4 06% 06/01/2013 06/01/2003 RED MORTGAGE CAPITA FACTOR 0 06/01/2013 (31381HEB8)	09/03/2003	06/01/2013	5,000 00	5,000 00	0 00	4,533 79	466 21
SAMI 19982 B 02/01/1998 05/02/2030 2 473% 05/02/2030 FACTOR 0 00141089 04/01/2014 (073914C27)		06/04/2013	0 00	0 04	0 00	No Cost	0 04 [8]
SAMI 19982 B 02/01/1998 05/02/2030 2.473% 05/02/2030 FACTOR 0 00141089 04/01/2014 (073914C27)		08/30/2013	0 00	0 04	0 00	No Cost	0 04 [8]
SAMI 19982 B 02/01/1998 05/02/2030 2 473% 05/02/2030 FACTOR 0 00141089 04/01/2014 (073914C27)		10/01/2013	0 00	0 04	0 00	No Cost	0 04 [8]
SAMI 19982 B 02/01/1998 05/02/2030 2 473% 05/02/2030 FACTOR 0 00141089 04/01/2014 (073914C27)		11/01/2013	0 00	0 05	0 00	No Cost	0 05 [8]
SAMI 19982 B 02/01/1998 05/02/2030 2 473% 05/02/2030 FACTOR 0 00141089 04/01/2014 (073914C27)		12/02/2013	0 00	0 05	0 00	No Cost	0 05 [8]
Net 1099B Non Reportable Long-Term Gains (Losses)				**24,429.45**	**0.00**	**22,982.60**	**1,446.85**

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