Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: CORA DU BOIS CHARITABLE TRUST

A Employer identification number: 04-6689812

Number and street (or P O box number if mail is not delivered to street address): C/O NIXON PEABODY LLP, 100 SUMMER ST.

Room/suite:

B Telephone number: 614-345-1000

City or town, state or province, country, and ZIP or foreign postal code: BOSTON, MA 02110

C If exemption application is pending, check here ▶☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ *Section 4947(a)(1) nonexempt charitable trust* ☐ *Other taxable private foundation*

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,331,919.

J Accounting method. ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

Part I	**Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	24,394.	24,394.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	40,967.			
	b Gross sales price for all assets on line 6a 199,436.				
	7 Capital gain net income (from Part IV, line 2)		40,967.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	65,361.	65,361.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	13,219.	6,609.		6,610.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 2	2,633.	2,633.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 3	799.	115.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 4	35.	0.		35.
	24 **Total operating and administrative expenses** Add lines 13 through 23	16,686.	9,357.		6,645.
	25 Contributions, gifts, grants paid	34,625.			34,625.
	26 **Total expenses and disbursements.** Add lines 24 and 25	51,311.	9,357.		41,270.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	14,050.			
	b **Net investment income** (if negative, enter -0-)		56,004.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

RECEIVED
MAY 0 9 2014
OGDEN, UT
850
IRS-OSC

SCANNED MAY 1 4 2014

MAY 0 6 2014

[illegible] DATE

323501
10-10-13 LHA **For Paperwork Reduction Act Notice, see instructions.** Form **990-PF** (2013)

614

23
NE

		Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	1,057.	400.	400.
	2	Savings and temporary cash investments	93,437.	168,067.	168,067.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations STMT 5	205,379.	155,101.	153,196.
	b	Investments - corporate stock STMT 6	478,995.	442,291.	955,179.
	c	Investments - corporate bonds STMT 7	25,426.	52,485.	55,077.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	804,294.	818,344.	1,331,919.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	804,294.	818,344.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	**Total net assets or fund balances**	804,294.	818,344.	
	31	**Total liabilities and net assets/fund balances**	804,294.	818,344.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	804,294.
2	Enter amount from Part I, line 27a	2	14,050.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	818,344.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	818,344.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	199,436.		158,469.	40,967.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				40,967.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	40,967.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	30,208.	1,123,033.	.026899
2011	69,747.	1,131,225.	.061656
2010	99,390.	1,108,180.	.089688
2009	58,160.	1,058,278.	.054957
2008	88,227.	1,305,849.	.067563

2	Total of line 1, column (d)	2	.300763
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.060153
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,218,490.
5	Multiply line 4 by line 3	5	73,296.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	560.
7	Add lines 5 and 6	7	73,856.
8	Enter qualifying distributions from Part XII, line 4	8	41,270.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary-see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	1,120.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	1,120.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,120.
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a — 1,540.		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	1,540.
8	Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	420.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 420. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ LAWRENCE B COHEN Telephone no ▶617-345-1000

Located at ▶C/O NIXON PEABODY LLP, 100 SUMMER STREET, BOSTON, ZIP+4 ▶02110

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Yes	No
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
Organizations relying on a current notice regarding disaster assistance check here ▶☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
If "Yes," list the years ▶ ______, ______, ______, ______			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** X

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LAWRENCE B COHEN C/O NIXON PEABODY, 100 SUMMER ST BOSTON, MA 02110	TRUSTEE 1.00	10,102.	0.	0.
JOHN TAYLOR WILLIAMS C/O NIXON PEABODY, 100 SUMMER ST BOSTON, MA 02110	TRUSTEE 1.00	3,117.	0.	0.
LYNN B SCHMELZ KEIL TOZZER LIBRARY HARVARD UNIVERSITY CAMBRIDGE, MA 02138	BOARD MEMBER 1.00	0.	0.	0.
PROFESSOR IRVEN DEVORE DEPT OF ANTHROPOLOGY, HARVARD UNIVERS CAMBRIDGE, MA 02138	BOARD MEMBER 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. *Add lines 1 through 3* ▶	0.

Form **990-PF** (2013)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	1,170,058.
b	Average of monthly cash balances			1b	66,988.
c	Fair market value of all other assets			1c	
d	**Total** (add lines 1a, b, and c)			1d	1,237,046.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	1,237,046.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	18,556.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	1,218,490.
6	**Minimum investment return.** Enter 5% of line 5			6	60,925.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	60,925.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,120.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	*Add lines 2a and 2b*			2c	1,120.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	59,805.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	59,805.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	59,805.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	41,270.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test *(prior IRS approval required)*	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	41,270.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	41,270.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					59,805.
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only				0.	
b Total for prior years ______ , ______ , ______			0.		
3 Excess distributions carryover, if any, to 2013					
a From 2008					
b From 2009					
c From 2010	42,883.				
d From 2011	15,572.				
e From 2012					
f Total of lines 3a through e		58,455.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $	41,270.				
a Applied to 2012, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2013 distributable amount					41,270.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)		18,535.			18,535.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		39,920.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b Taxable amount - see instructions			0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr				0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		39,920.			
10 Analysis of line 9					
a Excess from 2009					
b Excess from 2010	24,348.				
c Excess from 2011	15,572.				
d Excess from 2012					
e Excess from 2013					

Form **990-PF** (2013)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter. (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

SEE STATEMENT 8

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CAROLYN ENG 11 DIVINITY DRIVE CAMBRIDGE, MA 02138	NONE	NONE	GENERAL	5,000.
FRANCO ROSSI 801 SOUTHCHESTER RD, #205 SWARTHMORE, PA 19081	NONE	NONE	GENERAL	8,125.
ANDREW J MCDOWELL 24284 130TH STREET IOWA FALLS, IA 50126	NONE	NONE	GENERAL	5,000.
ALEX FATTAL 405 SHOEMAKER ROAD ELKINS PARK, PA 19027	NONE	NONE	GENERAL	5,000.
ANAND VAIDYA ,486 EASTERN PARKWAY, APT 4E BROOKLYN, NY 11225	NONE	NONE	GENERAL	2,500.
Total SEE CONTINUATION SHEET(S)			▶ 3a	34,625.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	24,394.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	40,967.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		65,361.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 65,361.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date | ▶ TRUSTEE Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CHRISTOPHER F. CALDWELL		04/25/14		P00642498

Firm's name ▶ NIXON PEABODY LLP

Firm's EIN ▶ 16-0764720

Firm's address ▶ 100 SUMMER STREET
BOSTON, MA 02110

Phone no (617) 345-1000

CORA DU BOIS CHARITABLE TRUST

CONTINUATION FOR 990-PF, PART IV
04-6689812 PAGE 1 OF 1

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo , day, yr)
1a	300 SHRS ABBVIE INC	P	08/10/09	02/11/13
b	200 SHRS ABBVIE INC	P	10/28/09	02/11/13
c	50 SHRS FISERV INC	P	05/21/07	02/11/13
d	150 SHRS FISERV INC	P	12/12/07	02/11/13
e	50 SHRS AIR PRODS & CHEMS INC	P	07/17/03	09/10/13
f	50 SHRS AIR PRODS & CHEMS INC	P	10/14/11	09/10/13
g	200 SHRS AUTOMATIC DATE PROC.	P	04/28/94	09/10/13
h	100 SHRS FISERV INC	P	05/21/07	09/13/13
i	150 SHRS JOHNSON & JOHNSON	P	05/21/07	09/10/13
j	100 SHRS PROCTOR & GAMBLE CO.	P	10/14/11	09/10/13
k	100 SHRS THERMO FISHER	P	02/15/12	09/10/13
l	50000 US TREAS. NOTE DTD 9/15/10	P	02/15/11	09/17/13
m	50000 US TREAS. NOTE DTD 11/30/08	P	02/15/12	12/02/13
n	CAPITAL GAINS DIVIDENDS			
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	10,724.		6,947.	3,777.
b	7,150.		5,349.	1,801.
c	4,038.		2,684.	1,354.
d	12,114.		8,214.	3,900.
e	5,284.		2,113.	3,171.
f	5,284.		4,188.	1,096.
g	14,764.		2,349.	12,415.
h	9,963.		5,368.	4,595.
i	13,251.		9,535.	3,716.
j	7,784.		6,454.	1,330.
k	9,078.		5,602.	3,476.
l	50,000.		49,666.	334.
m	50,000.		50,000.	0.
n	2.			2.
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	
a				3,777.
b				1,801.
c				1,354.
d				3,900.
e				3,171.
f				1,096.
g				12,415.
h				4,595.
i				3,716.
j				1,330.
k				3,476.
l				334.
m				0.
n				2.
o				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } 2 40,967.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 } 3 N/A

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LYNSEY FARRELL 6364 WEST REDFIELD RD GLENDALE, AZ 85306	NONE	NONE	GENERAL	9,000.
Total from continuation sheets				9,000.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	20,568.	2.	20,566.	20,566.	
INTEREST	3,828.	0.	3,828.	3,828.	
TO PART I, LINE 4	24,396.	2.	24,394.	24,394.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION	2,633.	2,633.		0.
TO FORM 990-PF, PG 1, LN 16B	2,633.	2,633.		0.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	115.	115.		0.
FEDERAL EXCISE TAX ESTIMATES 2013	684.	0.		0.
TO FORM 990-PF, PG 1, LN 18	799.	115.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PC FILING FEE	35.	0.		35.
TO FORM 990-PF, PG 1, LN 23	35.	0.		35.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 5

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US GOVERNMENT BONDS	X		155,101.	153,196.
TOTAL U.S. GOVERNMENT OBLIGATIONS			155,101.	153,196.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			155,101.	153,196.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COMMON STOCK/MUTUAL FUNDS	442,291.	955,179.
TOTAL TO FORM 990-PF, PART II, LINE 10B	442,291.	955,179.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	52,485.	55,077.
TOTAL TO FORM 990-PF, PART II, LINE 10C	52,485.	55,077.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 8
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

CORA DU BOIS CHARITABLE TRUST
C/O NIXON PEABODY LLP, 100 SUMMER ST
BOSTON, MA 02110

TELEPHONE NUMBER

617-345-1000

FORM AND CONTENT OF APPLICATIONS

APPLICATIONS AVAILABLE FROM NIXON PEABODY LLP; TWO LETTERS OF RECOMMENDATION; TRANSCRIPT; FINANCIAL NEED

ANY SUBMISSION DEADLINES

FEBRUARY 15

RESTRICTIONS AND LIMITATIONS ON AWARDS

OTHER RELATED FIELDS

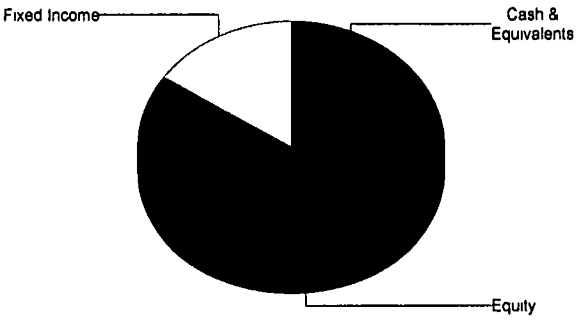
NIXON
PEABODY

CORA du BOIS CHARITABLE TRUST DTD 06/24/85 LAWRENCE COHEN & JOHN TAYLOR WILLIAMS TRUSTEES

Account:	833820000
Report Period:	From 12/31/2013 To 12/31/2013
Your Contact:	LAWRENCE B COHEN (617) 345-1268
Administrator:	Steven Chandler (617) 345-1172

Portfolio Summary

	Market Value as of 12/31/13	% of Total	Estimated Income
Cash Equivalents	168,466.67	12.65	16.81
Fixed Income	208,273.32	15.64	6,370.01
Equity	955,179.09	71.71	19,536.86
Total Assets	1,331,919.08	100 00	25,923 68
Total Assets	**1,331,919.08**	**100.00**	**25,923.68**



Asset Detail Summary

	Cost Basis	% Cost	Market Value	% Mkt	Unrealized Gain/Loss	Estimated Annual Income	Yield At Mkt
CASH & EQUIVALENTS							
MONEY MARKET FUNDS	168,066 67	20 55	168,066 67	12 62	0 00	17 00	0.0
TOTAL CASH & EQUIVALENTS	168,066 67	20 55	168,066.67	12 62	0 00	17.00	0 0
FIXED INCOME							
CORPORATE BOND FUNDS - ETF	27,094 48	3 31	25,692 30	1 93	(1,402 18)	939.00	3 7
CORPORATE BONDS	25,390 78	3 10	29,384 75	2 21	3,993 97	1,588 00	5 4
U S TREASURY NOTES & BONDS	155,101 42	18 96	153,196 27	11 50	(1,905 15)	3,844 00	2 5
TOTAL FIXED INCOME	207,586 68	25 38	208,273 32	15 64	686.64	6,371 00	3.1
EQUITY							
COMMON STOCK	335,718 23	41 04	792,900 86	59 53	457,182.63	17,250 00	2.2
FOREIGN STOCK	28,949 69	3 54	24,048 00	1 81	(4,901 69)	666.00	2 8
INTERNATIONAL EQUITY MUTUAL FUNDS	77,622 95	9 49	138,230.23	10 38	60,607 28	1,621 00	1.2
TOTAL EQUITY	442,290 87	54 07	955,179.09	71.71	512,888 22	19,537 00	2.0
Cash							
Principal Cash			400 00				
Income Cash			0 00				
Total Cash			400 00	0 03			

Account: 833820000
Report Period: From 12/31/2013 To 12/31/2013

Gains and Losses Summary

	Year To Date
Long Term	40,966 98
Short Term	0 00
Total Realized Gain/Loss on Cost	**40,966.98**

Account: 833820000
Report Period. From 12/31/2013 To 12/31/2013

Asset Statement

Units	Description	Cost Basis	Unit Price	Market Value	% Acct	Unrealized Gain/Loss	Estimated Annual Income	Yield At Mkt
CASH & EQUIVALENTS								
Money Markets								
168,066 67	MONEY MKT OBLIGS TR GOVT OBLIGS TAX-MGD FD INSTL SHS - PRINCIPAL	168,066 67	1 00	168,066 67	12 62	0 00	16.81	0 01
TOTAL Money Markets		**168,066.67**		**168,066.67**	**12.62**	**0.00**	**16.81**	**0.01**
Cash								
	Principal Cash	400 00		400 00				
	Income Cash	0 00		0 00				
	Total Cash	400 00		400 00	0 03			
TOTAL CASH & EQUIVALENTS		**168,466.67**		**168,466.67**	**12.65**	**0.00**	**16.81**	**0.01**
FIXED INCOME								
Corporate Obligations								
25,000	VERIZON COMMUNICATIONS INC NOTE DTD 03/27/09 6 35% 04/01/19	25,390 78	117 54	29,384 75	2 21	3,993 97	1,587.50	5.40
TOTAL Corporate Obligations		**25,390.78**		**29,384.75**	**2.21**	**3,993.97**	**1,587.50**	**5.40**
Exchange Traded Funds								
225	ISHARES TR GOLDMAN SACHS INVT GRADE CORP BD	27,094 48	114 19	25,692.30	1 93	(1,402 18)	938.76	3 65
TOTAL Exchange Traded Funds		**27,094.48**		**25,692.30**	**1.93**	**(1,402.18)**	**938.76**	**3.65**
U.S. Gov't Obligations								
75,000	UNITED STATES TREAS NTS DTD 06/30/09 2.625% 06/30/14	77,114 56	101 24	75,931 64	5.70	(1,182.92)	1,968 75	2 59
75,000	UNITED STATES TREAS NTS DTD 04/30/10 2 50% 04/30/15	77,986 86	103 02	77,264 63	5 80	(722 23)	1,875 00	2 43
TOTAL U.S. Gov't Obligations		**155,101.42**		**153,196.27**	**11.50**	**(1,905.15)**	**3,843.75**	**2.51**
TOTAL FIXED INCOME		**207,586.68**		**208,273.32**	**15.64**	**686.64**	**6,370.01**	**3.06**
EQUITY								
Common Stock								
Consumer Staples								
200	CHURCH & DWIGHT CO INC	6,510 50	66 28	13,256 00	1 00	6,745 50	224 00	1 69
450	PEPSICO INC	27,542.00	82 94	37,323 00	2 80	9,781.00	1,021 50	2 74
500	PROCTER & GAMBLE CO	14,045 95	81 41	40,705 00	3 06	26,659 05	1,203 00	2 96
500	WAL MART STORES INC	25,235 00	78 69	39,345 00	2 95	14,110.00	940 00	2.39
TOTAL Consumer Staples		**73,333.45**		**130,629.00**	**9.81**	**57,295.55**	**3,388.50**	**2.59**
Energy								
550	EXXON MOBIL CORP	10,675 87	101 20	55,660 00	4 18	44,984 13	1,386 00	2 49
600	SCHLUMBERGER LTD	7,694.63	90 11	54,066 00	4 06	46,371 37	750 00	1 39

Account. 833820000
Report Period: From 12/31/2013 To 12/31/2013

Asset Statement(Cont'd)

Units	Description	Cost Basis	Unit Price	Market Value	% Acct	Unrealized Gain/Loss	Estimated Annual Income	Yield At Mkt
TOTAL Energy		**18,370.50**		**109,726.00**	**8.24**	**91,355.50**	**2,136.00**	**1.95**
	Financial							
400	JPMORGAN CHASE & CO	15,160 00	58 48	23,392 00	1 76	8,232 00	608 00	2 60
600	STATE STR CORP	4,950 00	73 39	44,034 00	3 31	39,084 00	624.00	1 42
TOTAL Financial		**20,110.00**		**67,426.00**	**5.06**	**47,316.00**	**1,232.00**	**1.83**
	Health Care							
600	ABBOTT LABS	14,773 92	38 33	22,998 00	1 73	8,224.08	336 00	1.46
200	EDWARDS LIFESCIENCES CORP	14,474 00	65 76	13,152 00	0 99	(1,322 00)	0 00	0 00
500	JOHNSON & JOHNSON	5,052 62	91 59	45,795 00	3.44	40,742 38	1,320.00	2 88
400	STRYKER CORP	21,974 90	75 14	30,056 00	2 26	8,081 10	488.00	1 62
600	TEVA PHARMACEUTICAL INDS ADR	28,949 69	40 08	24,048 00	1 81	(4,901.69)	666 10	2.77
175	THERMO FISHER SCIENTIFIC INC	9,804 13	111 35	19,486 25	1 46	9,682 12	105 00	0.54
TOTAL Health Care		**95,029.26**		**155,535.25**	**11.68**	**60,505.99**	**2,915.10**	**1.87**
	Industrials							
1,000	EMERSON ELEC CO	15,710 68	70 18	70,180 00	5 27	54,469.32	1,720 00	2 45
1,500	GENERAL ELEC CO	10,628 77	28 03	42,045 00	3 16	31,416.23	1,320 00	3.14
TOTAL Industrials		**26,339.45**		**112,225.00**	**8.43**	**85,885.55**	**3,040.00**	**2.71**
	Information Technology							
35	APPLE INC	16,836.48	561 02	19,635 70	1 47	2,799 22	427 00	2 17
1,000	CORNING INC	12,909 90	17 82	17,820 00	1 34	4,910.10	400 00	2.24
800	FISERV INC	21,471 00	59 05	47,240 00	3 55	25,769 00	0 00	0.00
1,750	INTEL CORP	20,058 18	25 96	45,421 25	3 41	25,363 07	1,575 00	3 47
800	QUALCOMM INC	34,654 98	74 25	59,400 00	4 46	24,745 02	1,120 00	1.89
TOTAL Information Technology		**105,930.54**		**189,516.95**	**14.23**	**83,586.41**	**3,522.00**	**1.86**
	Materials							
300	AIR PRODUCTS & CHEMICALS INC	12,675 00	111.78	33,534 00	2 52	20,859 00	852 00	2 54
TOTAL Materials		**12,675.00**		**33,534.00**	**2.52**	**20,859.00**	**852.00**	**2.54**
	Utilities							
266	DUKE ENERGY CORP NEW	12,879 72	69 01	18,356 66	1 38	5,476 94	829.92	4 52
TOTAL Utilities		**12,879.72**		**18,356.66**	**1.38**	**5,476.94**	**829.92**	**4.52**
TOTAL Common Stock		**364,667.92**		**816,948.86**	**61.34**	**452,280.94**	**17,915.52**	**2.19**
	Mutual Funds							
	International Equity Mutual Funds							
2,822 177	AMERICAN EUROPACIFIC GROWTH FUND F2	77,622 95	48 98	138,230 23	10 38	60,607 28	1,621 34	1 17
TOTAL International Equity Mutual Funds		**77,622.95**		**138,230.23**	**10.38**	**60,607.28**	**1,621.34**	**1.17**

Account 833820000
Report Period. From 12/31/2013 To 12/31/2013

Asset Statement(Cont'd)

Units	Description	Cost Basis	Unit Price	Market Value	% Acct	Unrealized Gain/Loss	Estimated Annual Income	Yield At Mkt
TOTAL Mutual Funds		77,622.95		138,230.23	10.38	60,607.28	1,621.34	1.17
TOTAL EQUITY		442,290.87		955,179.09	71.71	512,888.22	19,536.86	2.05
GRAND TOTAL ASSETS		818,344.22		1,331,919.08	100.00	513,574.86	25,923.68	1.95