Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No. 1545-0052
2013
Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: The Harter Family Charitable Foundation

A Employer identification number: 04-3537365

Number and street (or P O box number if mail is not delivered to street address): 110 Arlington Street — Room/suite:

B Telephone number (see instructions): 617-354-3512

City or town, state or province, country, and ZIP or foreign postal code: Cambridge, MA 02140-2713

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 1,134,291

J Accounting method: ☐ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	490			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	9,254	9,254		
	4	Dividends and interest from securities	11,087	11,087		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	117,600			
	b	Gross sales price for all assets on line 6a 398,076				
	7	Capital gain net income (from Part IV, line 2)		117,600		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	138,431	137,941		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	8,161	8,161		8,161
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	455	455		455
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	35	35		35
	24	**Total operating and administrative expenses.** Add lines 13 through 23	8,651	8,651		8,651
	25	Contributions, gifts, grants paid	107,000			107,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	115,651	8,651		115,651
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	22,780			
	b	**Net investment income** (if negative, enter -0-)		129,290		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	**(b)** Book Value	**(c)** Fair Market Value
Assets 1	Cash—non-interest-bearing	56,272	55,699	55,699
2	Savings and temporary cash investments			
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)	51,904	51,905	51,494
b	Investments—corporate stock (attach schedule)	521,293	494,645	696,402
c	Investments—corporate bonds (attach schedule)	278,292	328,292	330,696
11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	907,761	930,541	1,134,291
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)			
31	**Total liabilities and net assets/fund balances** (see instructions)	909,761	930,541	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	907,761
2	Enter amount from Part I, line 27a	2	22,780
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	930,541
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	930,541

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	117,600
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2012	118,602	1,072,785	.1106
2011			.0987
2010			.0953
2009			.0928
2008			.0843

2	**Total** of line 1, column (d)	2	.4819
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.0964
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,089,579
5	Multiply line 4 by line 3	5	105,030
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,293
7	Add lines 5 and 6	7	106,323
8	Enter qualifying distributions from Part XII, line 4	8	115,657

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	1293	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0	
3	Add lines 1 and 2	3	1293	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	1293	
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a			
b	Exempt foreign organizations—tax withheld at source — 6b			
c	Tax paid with application for extension of time to file (Form 8868) — 6c			
d	Backup withholding erroneously withheld — 6d			
7	Total credits and payments. Add lines 6a through 6d	7		
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	1,293	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10		
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ | **Refunded** ▶	11		

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ None

14 The books are in care of ▶ Richard W. Harter Telephone no. ▶ 617-354-3512
Located at ▶ 16 Arlington St. Cambridge MA 02140 ZIP+4 ▶ 02140-2713

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☐ No If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		✓
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		✓
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Richard M Harter	trustee negligible	0	0	0
Angelica S Harter	trustee negligible	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				
Total number of other employees paid over $50,000 ▶				

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
none		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 none	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2 n/a	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X — Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,106,111
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,106,111
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,106,111
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	16,592
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,089,519
6	**Minimum investment return.** Enter 5% of line 5	6	54,476

Part XI — Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	54,476
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1293		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,293
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	53,183
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	53,183
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	53,183

Part XII — Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	115,651
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	115,651
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,293
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	114,358

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7					53,183
2	Undistributed income, if any, as of the end of 2013:					
a	Enter amount for 2012 only					
b	Total for prior years: 20___,20___,20___					
3	Excess distributions carryover, if any, to 2013:					
a	From 2008	47,782				
b	From 2009	54,869				
c	From 2010	55,637				
d	From 2011	57,011				
e	From 2012	65,873				
f	**Total** of lines 3a through e		281,172			
4	Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 115,651					
a	Applied to 2012, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required—see instructions)					
c	Treated as distributions out of corpus (Election required—see instructions)					
d	Applied to 2013 distributable amount					53,183
e	Remaining amount distributed out of corpus		65,874			
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6	**Enter the net total of each column as indicated below:**					
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		347,046			
b	Prior years' undistributed income. Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b. Taxable amount—see instructions					
e	Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions					
f	Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		47,782			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		299,264			
10	Analysis of line 9:					
a	Excess from 2009	54,869				
b	Excess from 2010	55,637				
c	Excess from 2011	57,011				
d	Excess from 2012	65,873				
e	Excess from 2013	65,874				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶ n/a

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

Richard M. Harter

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

none

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d. none

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See attached Schedule				
Total ▶ **3a**				107,000
b *Approved for future payment*				
Total ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

N/a

	Unrelated business income		Excluded by section 512, 513, or 514		
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . 13 ______

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	N/a

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Richard W. Harter | 5/12/14 | ▶ Trustee

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no.	

STATE STREET.

2013 Tax Information Statement

THE HARTER FAMILY CHARITABLE FDN

Account Number: CG7681
Tax ID Number: 04-3537365

From:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

HARTER FAMILY CHARITABLE FOUNDATION

Contents

Mail to:

THE HARTER FAMILY CHARITABLE FDN
16 ARLINGTON STREET
CAMBRIDGE , MA 02140

Questions?

If you have any questions regarding this tax information statement, please call STATE STREET BANK & TRUST CO at (800)392-9244

Important Tax Return Documents Enclosed

2013 Tax Information Statement

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:	**CG7681**
Recipient's Tax ID Number:	**04-3537365**
Payer's Federal ID Number:	**20-8007203**
Questions?	**(800)392-9244**

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
THE HARTER FAMILY CHARITABLE FDN
16 ARLINGTON STREET
CAMBRIDGE, MA 02140

2013 Combined Year-End 1099 Forms

Form 1099-DIV Dividends and Distributions
OMB No. 1545-0110

1a	Total ordinary dividends	$11,087.
1b	Qualified dividends	$10,810.
2a	Total capital gain distributions	
2b	Unrecaptured Section 1250 Gain	
2c	Section 1202 gain	
2d	Collectibles (28%) gain	
3	Nondividend distributions	
4	**Federal income tax withheld**	
5	Investment expenses	
6	Foreign tax paid	$109.
7	Foreign country or U.S. possession	Other Countries
8	Cash liquidation distributions	
9	Non-cash liquidation distributions	
10	Exempt-interest dividends	
11	Specified private activity bond interest dividends	
12	State	MA
13	State identification number	
14	State tax withheld	

Form 1099-INT Interest Income
OMB No. 1545-0112

1	Interest income	$7,879.
2	Early withdrawal penalty	
3	Interest on U.S. Savings Bonds and Treasury obligations	$1,375.
4	**Federal income tax withheld**	
5	Investment expenses	
6	Foreign tax paid	
7	Foreign country or U.S. possession	
8	Tax-exempt interest	
9	Specified private activity bond interest	
10	Tax-exempt bond CUSIP no.	
11	State	MA
12	State identification number	
13	State tax withheld	

Summary of Form 1099-B
Proceeds from Broker and Barter Exchange Transactions

2	Sales price of stocks, bonds, etc.	$398,076.
3	Cost or other basis	$86,574.
4	**Federal income tax withheld**	
5	Wash sale loss disallowed	
9	Profit or (loss) realized in 2013 on closed contracts	
10	Unrealized profit or (loss) on open contracts - 2012	
11	Unrealized profit or (loss) on open contracts - 2013	
12	Aggregate profit or (loss) on contracts	

Sales Price less commissions and option premiums from each sale transaction are reported individually to the IRS Refer to the 1099-B section of this Tax Information Statement

Summary of Form 1099-OID
Original Issue Discount

1	Original issue discount for 2013	
2	Other periodic interest	
3	Early withdrawal penalty	
4	**Federal income tax withheld**	
5	Foreign tax paid	
6	Foreign country or U.S possession	
8	Original issue discount on US Treasury obligations	
9	Investment expenses	

Original Issue Discount Amounts are reported individually to the IRS Refer to the 1099-OID section of this Tax Information Statement.

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2013 Tax Information Statement

Account Number: CG7681
Tax ID Number: 04-3537365

THE HARTER FAMILY CHARITABLE FDN

Summary of Foreign Investments

Country	Foreign Dividends Qualified	Foreign Dividends Non-Qualified	Foreign Interest	Total Foreign Income	Foreign Tax Paid
Reported on 1099 Forms DIV or INT	*1099-DIV Box 1*		*1099-INT Box 1*		*1099-DIV/INT Box 6*
Other countries	1,034.29	63 33		1,097 62	109.15
Total Reported on 1099 Forms DIV or INT	**1,034.29**	**63.33**		**1,097.62**	**109.15**
Total Foreign Investments	**1,034.29**	**63.33**		**1,097.62**	**109.15**

(Enter on Form 1116)

Important Tax Return Documents Enclosed

2013 Tax Information Statement

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: CG7681
Recipient's Tax ID Number: 04-3537365
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: MA
Questions? (800)392-9244

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
THE HARTER FAMILY CHARITABLE FDN
16 ARLINGTON STREET
CAMBRIDGE, MA 02140

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Short Term Sales Reported on 1099-B								
714290103	PERRIGO CO COM		PRGO					
75.0000	12/18/2013	08/01/2013	11,416.13	9,426.13	0.00	1,990 00	0.00	0.00
Total Short Term Sales Reported on 1099-B			**11,416.13**	**9,426.13**	**0.00**	**1,990.00**	**0.00**	**0.00**
Report on Form 8949, Part I, with Box A checked								
Long Term Sales Reported on 1099-B								
099724106	BORG WARNER AUTOMOTIVE INC COM		BWA					
100 0000	04/18/2013	03/24/2011	7,085 44	7,584.17	0.00	-498 73	0.00	0.00
237194105	DARDEN RESTAURANTS INC COM		DRI					
300.0000	06/04/2013	03/24/2011	15,920 99	14,347.47	0.00	1,573.52	0 00	0 00
854502101	STANLEY BLACK & DECKER INC		SWK					
225.0000	07/15/2013	03/28/2012	18,518 84	17,585.39	0.00	933.45	0.00	0.00
85590A401	STARWOOD HOTELS & RESORTS		HOT					
275.0000	07/24/2013	08/11/2011	17,603.65	12,062.71	0.00	5,540.94	0.00	0.00
907818108	UNION PACIFIC CORP COM		UNP					
100.0000	12/03/2013	04/02/2012	16,334.41	10,884 50	0.00	5,449.91	0 00	0.00

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2013 Tax Information Statement

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: CG7681
Recipient's Tax ID Number: 04-3537365
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: MA
Questions? (800)392-9244

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
THE HARTER FAMILY CHARITABLE FDN
16 ARLINGTON STREET
CAMBRIDGE, MA 02140

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip / Quantity Sold *(Box 1e)*	Description *(Box 8)* / Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stock or Other Symbol *(Box 1d)* / Stocks Bonds, etc. *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
941848103	WATERS CORP COM		WAT					
175 0000	08/07/2013	05/22/2012	17,805.17	14,683.94	0 00	3,121.23	0 00	0.00
Total Long Term Sales Reported on 1099-B			**93,268.50**	**77,148.18**	**0.00**	**16,120.32**	**0.00**	**0.00**

Report on Form 8949, Part II, with Box D checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: CG7681
Recipient's Tax ID Number: 04-3537365
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: MA
Questions? (800)392-9244

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
THE HARTER FAMILY CHARITABLE FDN
16 ARLINGTON STREET
CAMBRIDGE, MA 02140

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.
For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Long Term Sales Reported on 1099-B								
00287Y109	ABBVIE INC		ABBV					
325.0000	03/15/2013	11/04/2008	12,195 44	9,515.45	0.00	2,679.99	0.00	0.00
025816109	AMERICAN EXPRESS CO INC COM		AXP					
125.0000	03/15/2013	08/06/2010	8,201.68	5,385.16	0.00	2,816.52	0.00	0.00
037411105	APACHE CORP COM (**)		APA					
225.0000	02/15/2013	11/05/2010	17,261.45	24,157 49	0.00	-6,896 04	0.00	0.00
166764100	CHEVRONTEXACO CORPORATION		CVX					
100.0000	12/03/2013	09/21/2010	12,230.48	7,969.50	0.00	4,260 98	0.00	0.00
235851102	DANAHER CORP COM		DHR					
200 0000	03/15/2013	03/26/2002	12,498 91	3,535.00	0.00	8,963.91	0.00	0.00
30219G108	EXPRESS SCRIPTS HOLDING CO		ESRX					
400 0000	01/29/2013	08/05/2009	21,420 47	13,708.90	0.00	7,711.57	0.00	0.00
461202103	INTUIT COM		INTU					
100.0000	03/15/2013	10/07/2009	6,564.35	2,802.40	0.00	3,761.95	0.00	0.00

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2013 Tax Information Statement

Account Number: CG7681
Recipient's Tax ID Number: 04-3537365
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: MA
Questions? (800)392-9244

☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Recipient's Name and Address:
THE HARTER FAMILY CHARITABLE FDN
16 ARLINGTON STREET
CAMBRIDGE, MA 02140

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
461202103	INTUIT COM		INTU					
300.0000	12/03/2013	10/07/2009	22,303.11	8,407.20	0.00	13,895 91	0.00	0.00
654106103	NIKE INC CL B COM		NKE					
400.0000	05/16/2013	02/15/2008	26,277 61	12,449 82	0 00	13,827.79	0.00	0.00
713448108	PEPSICO INC CAP		PEP					
350 0000	01/04/2013	Various	24,184 91	17,395.00	0.00	6,789.91	0.00	0.00
693475105	PNC BK CORP COM		PNC					
125.0000	03/15/2013	08/05/2009	8,242 93	4,881 66	0 00	3,361.27	0.00	0.00
693475105	PNC BK CORP COM		PNC					
250.0000	12/03/2013	08/05/2009	19,228.41	9,763 33	0.00	9,465 08	0 00	0.00
776696106	ROPER INDS INC NEW COM		ROP					
125.0000	03/15/2013	10/07/2009	15,588.02	6,026.88	0.00	9,561.14	0 00	0 00
776696106	ROPER INDS INC NEW COM		ROP					
125 0000	12/03/2013	10/07/2009	16,058 14	6,026.87	0.00	10,031 27	0 00	0.00
806857108	SCHLUMBERGER LTD COM		SLB					
100.0000	12/03/2013	09/21/2010	8,662 34	5,925.50	0.00	2,736.84	0 00	0.00
78463X301	SPDR S&P EMERGING ASIA PACIFIC ETF		GMF					
300 0000	06/03/2013	02/11/2008	23,139.40	22,559.31	0 00	580 09	0.00	0.00
78463X707	SPDR S&P EMERGING LATIN AMERICA ETF		GML					
300.0000	05/30/2013	11/15/2007	20,782.52	25,043.94	0.00	-4,261.42	0 00	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Account Number: CG7681
Recipient's Tax ID Number: 04-3537365
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: MA
Questions? (800)392-9244

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
THE HARTER FAMILY CHARITABLE FDN
16 ARLINGTON STREET
CAMBRIDGE, MA 02140

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
911312106	UNITED PARCEL SERVICE - CL B		UPS					
100.0000	03/15/2013	02/01/2010	8,496 30	5,834.50	0.00	2,661.80	0.00	0.00
92826C839	VISA INC-CLASS A SHARES		V					
50.0000	12/03/2013	02/19/2009	10,054.57	2,882.53	0.00	7,172 04	0.00	0.00
Total Long Term Sales Reported on 1099-B			**293,391.04**	**194,270.44**	**0.00**	**99,120.60**	**0.00**	**0.00**

Report on Form 8949, Part II, with Box E checked

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2013 Tax Information Statement

Account Number: CG7681
Tax ID Number: 04-3537365

THE HARTER FAMILY CHARITABLE FDN

Dividends and Interest Detail

Qualified Dividends

Qualified Domestic Dividends

Description	Amount of dividend
ABBOTT LABORATORIES COM	182.00
ABBVIE INC	130.00
AMERICAN EXPRESS CO INC COM	240.00
AMERICAN TOWER CORP	39.36
APACHE CORP COM (**)	38.25
APPLE COMPUTER INC COM	590.00
CHEVRONTEXACO CORPORATION	1,170.00
CITIGROUP INC	12.00
COSTCO WHOLESALE CORP	120.50
DANAHER CORP COM	22.50
DARDEN RESTAURANTS INC COM	300.00
DUNKIN BRANDS GROUP INC	323.00
EXPEDITORS INTL WASH INC COM	67.50
FIRST REPUBLIC BANK/SAN FRAN	135.00
GENERAL ELECTRIC CO COM	912.00
INTUIT COM	227.00
ISHARES MSCI GERMANY INDEX FUND	11.53
KINDER MORGAN INC	595.00
LOWES COMPANIES INC COM	126.00

Important Tax Return Documents Enclosed

2013 Tax Information Statement

Account Number: CG7681
Tax ID Number: 04-3537365

THE HARTER FAMILY CHARITABLE FDN

Qualified Domestic Dividends

Description	Amount of dividend
MERCK & CO INC	451.50
NIKE INC CL B COM	84.00
OCCIDENTAL PETE CORP COM	192.00
PEPSICO INC CAP	188.13
PERRIGO CO COM	13.50
PFIZER INC COM	288.00
PNC BK CORP COM	480.00
PRICE T ROWE GROUP INC	266.00
QUALCOMM INC COM	357.50
ROPER INDS INC NEW COM	61.89
STANLEY BLACK & DECKER INC	220.50
STRYKER CORP COM	212.00
UNION PACIFIC CORP COM	286.00
UNITED PARCEL SERVICE - CL B	558.00
UNITED TECHNOLOGIES CORP COM	439.00
US BANCORP	262.00
VISA INC-CLASS A SHARES	173.75
Total Qualified Domestic Dividends	**$9,775.41**

Important Tax Return Documents Enclosed

2013 Tax Information Statement

Account Number: CG7681
Tax ID Number: 04-3537365

THE HARTER FAMILY CHARITABLE FDN

Qualified Foreign Dividends

Description	Amount of dividend
ISHARES MSCI EMERGING MKTS ETF	340.78
ISHARES MSCI GERMANY INDEX FUND	246.97
SCHLUMBERGER LTD COM	363.75
VANGUARD FTSE EUROPE ETF	82.79
Total Qualified Foreign Dividends	**$1,034.29**

Total Qualified Dividends included on 1099-DIV box 1a and 1b **$10,810.00**

Non-Qualified Dividends

Non-Qualified Domestic Dividends

Description	Amount of dividend
AMERICAN TOWER CORP	125.64
ISHARES MSCI EMERGING MKTS ETF	62.26
SSGA PRIME MONEY MARKET FUND 236	25.71
Total Non-Qualified Domestic Dividends	**$213.61**

Important Tax Return Documents Enclosed

2013 Tax Information Statement

THE HARTER FAMILY CHARITABLE FDN

Account Number: CG7681
Tax ID Number: 04-3537365

Non-Qualified Foreign Dividends

Description	Amount of dividend
ISHARES MSCI EMERGING MKTS ETF	46.78
VANGUARD FTSE EUROPE ETF	16.55
Total Non-Qualified Foreign Dividends	**$63.33**

Total Non-Qualified Dividends included in Form 1099-DIV box 1a **$277.00**

Interest

Domestic Interest

Description	Amount of interest
AMER EXPRESS CR MTN 2.75% 9/15/15	1,375.00
AT&T INC 2.5% 8/15/15	1,250.00
GENERAL ELEC CAP MT 1.2683% 3/15/23	488.49
HEWLETT PACKARD CO 2.625% 12/09/14	656.26
JPMORGAN CHASE & CO 3.7% 1/20/15	2,775.00
METLIFE INC 2.375% 2/06/14	1,187.50
US BK NA 0.5294% 10/14/14	146.40
Total Domestic Interest	**$7,878.65**

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2013 Tax Information Statement

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U.S. Government Interest

Description	Amount of interest
FHLB (FED HM LN BK) 2.75% 3/13/15	1,375.00
Total U.S. Government Interest	**$1,375.00**

Foreign Tax Withheld on Dividends and Interest

Description	Amount of tax
Other countries	
ISHARES MSCI EMERGING MKTS ETF	48.54
ISHARES MSCI EMERGING MKTS ETF	15.01
ISHARES MSCI GERMANY INDEX FUND	41.05
VANGUARD FTSE EUROPE ETF	3.79
VANGUARD FTSE EUROPE ETF	0.76
Total for Other countries	**$109.15**
Total Foreign Tax Withheld on dividends and interest (1099-INT and 1099-Div box 6)	**$109.15**

Important Tax Return Documents Enclosed

2013 Tax Information Statement

Account Number: CG7681
Tax ID Number: 04-3537365

THE HARTER FAMILY CHARITABLE FDN

Dividends and Distributions - Form 1099-DIV
Instructions for Recipient

Recipient's information number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments

Account Number. May show an account or other unique number the payer assigned to distinguish your account

Box 1a. Shows total ordinary dividends that are taxable Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP) Report it as a dividend on your Form 1040/1040A *but treat it as a plan distribution, not as investment income,* for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company or real estate investment trust Report the amounts shown in box 2a on Schedule D (Form 1040), line 13 But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D See the Form 1040/1040A instructions.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property Report this amount on the *Unrecaptured Section 1250 Gain Worksheet-Line 19* in the Schedule D instructions (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion and certain empowerment zone business stock that may be subject to a 60% exclusion See the Schedule D (Form 1040) instructions

Box 2d. Shows 28% rate gain from sales or exchanges of collectibles If required, use this amount when completing the *28% Rate Gain Worksheet-Line 18* in the instructions for Schedule D (Form 1040)

Box 3. *Shows the part of the distribution that is nontaxable because it is a return of* your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock But if you get back all your cost (or other basis), report future distributions as capital gains See Pub. 550, Investment Income and Expenses

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding Include this amount on your income tax return as tax withheld

Box 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit This amount is included in box 1a

Box 6. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions

Box 7. This box should be left blank if a regulated investment company reported the foreign tax shown in box 6.

Boxes 8 and 9. Shows cash and noncash liquidation distributions

Box 10. Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest This amount may be subject to backup withholding See box 4.

Box 11. Shows exempt-interest dividends subject to the alternative minimum tax This amount is included in box 10. See the Instructions for Form 6251.

Boxes 12-14. State income tax withheld reporting boxes

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient You must file Form 1099-DIV (with a form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2013 General Instructions for Certain Information Returns

Future developments. For the latest information about the developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www irs.gov/form1099div.

Important Tax Return Documents Enclosed

2013 Tax Information Statement

Account Number: CG7681
Tax ID Number: 04-3537365

THE HARTER FAMILY CHARITABLE FDN

Interest Income - Form 1099-INT
Instructions for Recipient

Recipient's information number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN) However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments
Account Number. May show an account or other unique number the payer assigned to distinguish your account
Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, qualified forestry conservation bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2013 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912, Credit to Holders of Tax Credit Bonds.
Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return See the instructions for Form 1040 to see where to take the deduction
Box 3. Shows interest on U S Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes This may or may not all be taxable See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1
Box 4. Shows backup withholding Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld
Box 5. Any amount shown is your share of investment expenses of a single-class REMIC If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1
Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 See your Form 1040 instructions
Box 7. Shows the country or U S possession to which the foreign tax was paid.
Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A This amount may be subject to backup withholding See box 4.
Box 9. Shows tax-exempt interest subject to the alternative minimum tax This amount is included in box 8. See the Instructions for Form 6251
Box 10. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid to you during the calendar year and reported in box 8 If blank, no CUSIP number was issued for the bond(s).
Boxes 11-13. State tax withheld reporting boxes
Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient " File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer " A husband or wife is not required to file a nominee return to show amounts owned by the other
Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099int

Important Tax Return Documents Enclosed

2013 Tax Information Statement

Account Number: CG7681
Tax ID Number: 04-3537365

THE HARTER FAMILY CHARITABLE FDN

Proceeds from Broker and Barter Exchange Transactions - Form 1099-B
Instructions for Recipient

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. *If your broker reported this type of* transaction to you, the corporation is identified in the box 8.

Recipient's information number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN) However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number of the item reported

Box 1a. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 9 through 12, no entry will be present.

Box 1b. This box may be blank if box 6a is checked or if the securities sold were acquired on a variety of dates For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2a. Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust May also show aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses This box does not include proceeds from regulated futures contracts The broker must indicate whether the sales price or the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS *Report this amount* on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D

Box 2b. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 2a Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement

Box 3. Shows the cost or other basis of securities sold. If box 6a is checked, box 3 may be blank See the Form 8949 instructions, Schedule D instructions, or Pub. 550 for details

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld

Box 5. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub. 550.

Box 6a. If checked, the securities sold were noncovered securities and boxes 1b, 1c, 3, and 5 may be blank Generally, a noncovered security means a security other than stock; stock purchased before 2011; stock in most mutual funds and other regulated investment companies purchased before 2012, and stock purchased in or transferred to a dividend reinvestment plan before 2012

Box 6b. If checked, the basis in box 3 has been reported to the IRS If box 6b is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D

Box 7. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Box 8. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Regulated Futures Contracts (Boxes 9 Through 12):

Box 9. Shows the profit or (loss) realized on regulated futures or foreign currency contracts closed during 2013.

Box 10. Shows any year-end adjustment to the profit or (loss) shown in box 9 due to open contracts on December 31, 2012.

Important Tax Return Documents Enclosed

2013 Tax Information Statement

Account Number: CG7681
Tax ID Number: 04-3537365

THE HARTER FAMILY CHARITABLE FDN

Proceeds from Broker and Barter Exchange Transactions - Form 1099-B
Instructions for Recipient continued

Box 11. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2013. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2013 in 2014.
Box 12. Boxes 9, 10, and 11 are all used to figure the aggregate profit or (loss) on regulated futures or foreign currency contracts for the year Include this amount on your 2013 Form 6781
Boxes 13-15. Shows state income tax withheld.

Important Tax Return Documents Enclosed

THE HARTER FAMILY CHARITABLE FOUNDATION **04-3537365**

Schedule for Part XV of 2013 990-PF

Chicago Theological Seminary	$18,000
Massachusetts Conference, United Church of Christ	15,000
First Church in Cambridge, Congregational, UCC	31,000
Boston Women's Fund	10,000
Shared Interest	10,000
LeMoyne-Owen College	5,000
City Mission Society	5,000
Massachusetts Council of Churches	5,000
Corporate Accountability	8,000
Total	**$107,000**

Each grant listed above was made in cash to the listed recipient, each of which is qualified under Section 501(c)(3) of the Internal Revenue Code. No restrictions were placed upon the use of the grant by any of the recipients.

THE HARTER FAMILY CHARITABLE FOUNDATION 04-3537365

Schedules for 2013 990-PF

Part I, Item 1

Richard M. Harter, Trustee, contributed $490.

Part I, Item 16c

Middleton & Company, Inc. was paid $7,079 for managing the investment of the assets of the Foundation. State Street Bank was paid $1,082 for custody services for the assets. The total fees were $8,161.

Part I, Item 18

Trust paid federal tax of $455 in connection with the filing of the 2012 990 PF.

Part I, Item 23

$35 filing fee was paid for filing MA Form PC.