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Department of the Treasury  
Internal Revenue ServiceReturn of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2013

For calendar year 2013, or tax year beginning

, 2013, and ending

Name of foundation

NORMAN M SHACK CHARITABLE FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

C/O SHACK LAW OFFICE 160 PLEASANT STREET

City or town, state or province, country, and ZIP or foreign postal code

NORTH ANDOVER

MA 01845

G Check all that apply.

☐ Initial return☐ Initial Return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at end of year  
(from Part II, column (c), line 16)

▶ \$ 381,208.

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

A Employer identification number

04-3396602

B Telephone number (see the instructions)

(978) 688-0292

C If exemption application is pending, check here. ▶ ☐D 1 Foreign organizations, check here . . . . . ▶ ☐2 Foreign organizations meeting the 85% test, check here and attach computation . . . . . ▶ ☐E If private foundation status was terminated under section 507(b)(1)(A), check here . . . . ▶ ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . . ▶ ☐

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE	1	Contributions, gifts, grants, etc. received (att sch) . . .				
	2	Ch ▶ <input checked="" type="checkbox"/> If the foundn is not req to att Sch B				
	3	Interest on savings and temporary cash investments . . . . .				
	4	Dividends and interest from securities . . . . .	12,397.	12,397.	12,397.	
	5a	Gross rents . . . . .				
	b	Net rental income or (loss) . . . . .				
	6a	Net gain/(loss) from sale of assets not on line 10	-11,082.	L-6a Stmt		
	b	Gross sales price for all assets on line 6a . . . . .	14,736.			
	7	Capital gain net income (from Part IV, line 2) . . . .		0.		
	8	Net short-term capital gain . . . . .				
	9	Income modifications . . . . .				
10a	Gross sales less returns and allowances . . . .					
b	Less: Cost of goods sold . . . . .					
c	Gross profit/(loss) (att sch) . . . . .					
11	Other income (attach schedule) . . . . .					
12	Total. Add lines 1 through 11. . . . .	1,315.	12,397.	12,397.		
ADMINISTRATIVE AND OPERATING EXPENSES	13	Compensation of officers, directors, trustees, etc . . .				
	14	Other employee salaries and wages . . . . .				
	15	Pension plans, employee benefits . . . . .				
	16a	Legal fees (attach schedule) . . . . .				
	b	Accounting fees (attach sch). L-16b Stmt	500.	500.	500.	500.
	c	Other prof fees (attach sch)				
	17	Interest. RECEIVED				
	18	Taxes (attach schedule)(see instrs) See Line 18 Stmt	287.	105.	105.	105.
	19	Depreciation (attach sch) and depletion . . . . .				
	20	Occupancy . . . . .				
	21	Travel, conferences, and meetings . . . . .				
22	Printing and publications . . . . .					
23	Other expenses (attach schedule) See Line 23 Stmt	784.	784.	784.	784.	
24	Total operating and administrative expenses. Add lines 13 through 23 . . .	1,571.	1,389.	1,389.	1,389.	
25	Contributions, gifts, grants paid . . . . .	17,023.			17,023.	
26	Total expenses and disbursements. Add lines 24 and 25 . . . . .	18,594.	1,389.	1,389.	18,412.	
27	Subtract line 26 from line 12:					
a	Excess of revenue over expenses and disbursements . . . . .	-17,279.				
b	Net investment income (if negative, enter -0-) . . .		11,008.			
c	Adjusted net income (if negative, enter -0-) . . .			11,008.		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash — non-interest-bearing . . . . .		1,465.	10,005.	10,005.
	2	Savings and temporary cash investments . . . . .				
	3	Accounts receivable . . . . .				
		Less: allowance for doubtful accounts . . . . .				
	4	Pledges receivable . . . . .				
		Less: allowance for doubtful accounts . . . . .				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7	Other notes and loans receivable (attach sch) . . . . .				
		Less: allowance for doubtful accounts . . . . .				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10a	Investments — U S and state government obligations (attach schedule) . . . . .				
	b	Investments — corporate stock (attach schedule) L-10b. Stmt . . . . .	244,178.	218,360.	371,203.	
	c	Investments — corporate bonds (attach schedule) . . . . .				
	11	Investments — land, buildings, and equipment: basis . . . . .				
	Less: accumulated depreciation (attach schedule) . . . . .					
12	Investments — mortgage loans . . . . .					
13	Investments — other (attach schedule) . . . . .					
14	Land, buildings, and equipment: basis . . . . .					
	Less: accumulated depreciation (attach schedule) . . . . .					
15	Other assets (describe . . . . .)					
16	<b>Total assets</b> (to be completed by all filers — see the instructions. Also, see page 1, item I). . . . .	245,643.	228,365.	381,208.		
LIABILITIES	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, & other disqualified persons . . . . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe . . . . .)				
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .				
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here . . . . . and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, check here . . . . . and complete lines 27 through 31.			X		
	27	Capital stock, trust principal, or current funds . . . . .	245,643.	228,365.		
	28	Paid-in or capital surplus, or land, building, and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .				
	30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	245,643.	228,365.		
	31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	245,643.	228,365.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	245,643.
2	Enter amount from Part I, line 27a . . . . .	2	-17,279.
3	Other increases not included in line 2 (itemize) . . . . . <u>Rounding</u>	3	1.
4	Add lines 1, 2, and 3 . . . . .	4	228,365.
5	Decreases not included in line 2 (itemize) . . . . . <u>See Other Decreases Stmt</u>	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) — Part II, column (b), line 30 . . . . .	6	228,365.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)

(b) How acquired  
P — Purchase  
D — Donation(c) Date acquired  
(month, day, year)(d) Date sold  
(month, day, year)

1 a	1000 shares Atlantic Power Corp	P	04/05/11	12/18/13
b	1000 shares Xerox Corp	P	07/08/11	12/08/13
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,312.		15,349.	-12,037.
b 11,424.		10,469.	955.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a			-12,037.
b			955.
c			
d			
e			

2 Capital gain net income or (net capital loss).

If gain, also enter in Part I, line 7  
If (loss), enter -0- in Part I, line 7

2

-11,082.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-  
in Part I, line 8

3

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	16,198.	322,080.	0.050292
2011	14,431.	314,738.	0.045851
2010	16,000.	312,388.	0.051218
2009	17,875.	284,301.	0.062874
2008	16,930.	347,573.	0.048709

2 Total of line 1, column (d) 2 0.258944

3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the  
number of years the foundation has been in existence if less than 5 years 3 0.051789

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. 4 359,073.

5 Multiply line 4 by line 3 5 18,596.

6 Enter 1% of net investment income (1% of Part I, line 27b) 6 110.

7 Add lines 5 and 6. 7 18,706.

8 Enter qualifying distributions from Part XII, line 4 8 18,412.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the  
Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary — see Instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	220.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b) . . . . .			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2 . . . . .		3	220.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	220.
6 Credits/Payments:			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013 . . . . .	6 a 3.		
b Exempt foreign organizations — tax withheld at source . . . . .	6 b		
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6 c 217.		
d Backup withholding erroneously withheld . . . . .	6 d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	220.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10	0.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax . . . . . 0. Refunded . . . . .	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	1 a	X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? . . . . .	1 b	X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year? . . . . .	1 c	X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation . . . \$ (2) On foundation managers . . . \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers . . . \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If 'Yes,' attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes . . . . .	3	X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4 a	X
b If 'Yes,' has it filed a tax return on Form 990-T for this year? . . . . .	4 b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If 'Yes,' attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV . . . . .	7	X
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) . . . . . MA - Massachusetts		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation . . . . .	8 b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV . . . . .	9	X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses . . . . .	10	X

BAA

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions) . . . . .	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions) . . . . .	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . . Website address . . . . .	13		X
14	The books are in care of <u>NORMAN M SHACK</u> Telephone no <u>(978) 688-0292</u> Located at <u>1 BRANCH ST.</u> <u>METHUEN,</u> <u>MA</u> ZIP + 4 <u>01844-1923</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . .	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country . . . . .			X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.		Yes	No
1 a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here . . . . .	1 b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .	1 c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u> .		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions) . . . . .	2 b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u> .		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) . . . . .	3 b	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4 a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? . . . . .	4 b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5 a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**5 b****c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870.

**6 b**

X

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?**7 b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NORMAN M SHACK 160 PLEASANT ST., NO ANDOVER MA 01845	TRUSTEE 5.00	0.	0.	0.
WILLIAM P SHACK 160 PLEASANT ST., NO ANDOVER MA 01845	TRUSTEE 3.00	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

None





**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities . . . . .	1 a	359,005.
b	Average of monthly cash balances . . . . .	1 b	5,536.
c	Fair market value of all other assets (see instructions) . . . . .	1 c	
d	<b>Total</b> (add lines 1a, b, and c) . . . . .	1 d	364,541.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	1 e	
2	Acquisition indebtedness applicable to line 1 assets . . . . .	2	
3	Subtract line 2 from line 1d . . . . .	3	364,541.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions) . . . . .	4	5,468.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	5	359,073.
6	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	6	17,954.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6 . . . . .	1	17,954.
2 a	Tax on investment income for 2013 from Part VI, line 5 . . . . .	2 a	220.
b	Income tax for 2013. (This does not include the tax from Part VI) . . . . .	2 b	
c	Add lines 2a and 2b . . . . .	2 c	220.
3	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	3	17,734.
4	Recoveries of amounts treated as qualifying distributions . . . . .	4	
5	Add lines 3 and 4 . . . . .	5	17,734.
6	Deduction from distributable amount (see instructions) . . . . .	6	
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	7	17,734.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26 . . . . .	1 a	18,412.
b	Program-related investments — total from Part IX-B . . . . .	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required) . . . . .	3 a	
b	Cash distribution test (attach the required schedule) . . . . .	3 b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	4	18,412.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	5	0.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	6	18,412.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7. . . . .				17,734.
2 Undistributed income, if any, as of the end of 2013.				
a Enter amount for 2012 only. . . . .			808.	
b Total for prior years: 20 , 20 , 20 . . . . .				
3 Excess distributions carryover, if any, to 2013.				
a From 2008 . . . . .	0.			
b From 2009 . . . . .	0.			
c From 2010 . . . . .	476.			
d From 2011 . . . . .	0.			
e From 2012 . . . . .	0.			
f Total of lines 3a through e . . . . .	476.			
4 Qualifying distributions for 2013 from Part XII, line 4: \$ 18,412.				
a Applied to 2012, but not more than line 2a . . . . .			808.	
b Applied to undistributed income of prior years (Election required — see instructions) . . . . .				
c Treated as distributions out of corpus (Election required — see instructions) . . . . .				
d Applied to 2013 distributable amount . . . . .				
e Remaining amount distributed out of corpus . . . . .	17,604.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) ) . . . . .	17,734.			17,734.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5. . . . .	346.			
b Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b. Taxable amount — see instructions . . . . .		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount — see instructions. . . . .			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 . . . . .				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	346.			
10 Analysis of line 9.				
a Excess from 2009 . . . . .	0.			
b Excess from 2010 . . . . .	0.			
c Excess from 2011 . . . . .	0.			
d Excess from 2012 . . . . .	0.			
e Excess from 2013 . . . . .	346.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section



4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a . . . . .				
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .				
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .				
3 Complete 3a, b, or c for the alternative test relied upon:				
a 'Assets' alternative test — enter:				
(1) Value of all assets . . . . .				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .				
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .				
c 'Support' alternative test — enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .				
(3) Largest amount of support from an exempt organization . . . . .				
(4) Gross investment income . . . . .				

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NOT APPLICABLE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

WILLIAM P SHACK  
160 PLEASANT STREET  
NO ANDOVER

MA 01845 (978) 688-0292

b The form in which applications should be submitted and information and materials they should include

WRITTEN REQUEST

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

USA

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
1. LAZARUS HOUSE 48 HOLLY St LAWRENCE MA 01841			GIFT	200.
2. TEMPLE EMANUEL 7 HAGGETTS POND RD ANDOVER MA 01810			GIFT	10,095.
4 MERRIMACK VALLEY JEWISH FEDERATION BOX 937 ANDOVER MA 01810			GIFT	100.
5 American Heart Association Box 78851 Phoenix, AZ 85062			GIFT	50.
6 AMERICAN DIABETES ASSOCIATION BOX 1834 MERRIFIELD, VA 22116			GIFT	550.
10 WORLD JEWISH CONGRESS BOX 40400 WASHINGTON DC 20090			GIFT	2,700.
11 American Jewish committee 165 E 56th St New York NY 10022			GIFT	675.
12 Anti-Defamation League Box 96266 Washington DC 20090			GIFT	200.
14 Alzheimers Disease Research Box 1950 Clarksburg MD 20871			GIFT	15.
See Line 3a statement				2,438.
<b>Total</b>			<b>3 a</b>	<b>17,023.</b>
<b>b Approved for future payment</b>				
<b>Total</b>			<b>3 b</b>	

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
<b>1</b>	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies . .					
<b>2</b>	Membership dues and assessments . . . . .					
<b>3</b>	Interest on savings and temporary cash investments . . . .					
<b>4</b>	Dividends and interest from securities . . . . .			30	12,397.	
<b>5</b>	Net rental income or (loss) from real estate:					
a	Debt-financed property . . . . .					
b	Not debt-financed property . . . . .					
<b>6</b>	Net rental income or (loss) from personal property . . . .					
<b>7</b>	Other investment income . . . . .					
<b>8</b>	Gain or (loss) from sales of assets other than inventory . . .					-11,082.
<b>9</b>	Net income or (loss) from special events . . . . .					
<b>10</b>	Gross profit or (loss) from sales of inventory . . .					
<b>11</b>	Other revenue:					
a						
b						
c						
d						
e						
<b>12</b>	Subtotal. Add columns (b), (d), and (e) . . . . .				12,397.	-11,082.
<b>13</b>	Total. Add line 12, columns (b), (d), and (e) . . . . .				13	1,315.

(See worksheet in line 13 instructions to verify calculations )

## **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



**Form 990-PF**  
**Part I, Line 6a**

**Net Gain or Loss From Sale of Assets**

**2013**

Name NORMAN M SHACK CHARITABLE FOUNDATION	Employer Identification Number 04-3396602
----------------------------------------------	----------------------------------------------

**Asset Information:**

Description of Property . . . . . 1000 Shares Atlantic Power	
Date Acquired . . 04/05/11	How Acquired . . . Purchased
Date Sold . . . 12/18/13	Name of Buyer: . . .
Sales Price: . . . 3,312.	Cost or other basis (do not reduce by depreciation) . . . 15,349.
Sales Expense . . .	Valuation Method . . . . .
Total Gain (Loss): . . -12,037.	Accumulation Depreciation: . . .
Description of Property: . . . . . 1000 Shares Xerox	
Date Acquired: . . 07/08/11	How Acquired . . . Purchased
Date Sold . . . 12/18/13	Name of Buyer: . . .
Sales Price: . . . 11,424.	Cost or other basis (do not reduce by depreciation) . . . 10,469.
Sales Expense . . .	Valuation Method: . . . . .
Total Gain (Loss): . . 955.	Accumulation Depreciation: . . .
Description of Property: . . . . .	
Date Acquired: . .	How Acquired: . . .
Date Sold: . . .	Name of Buyer: . . .
Sales Price: . . .	Cost or other basis (do not reduce by depreciation) . . .
Sales Expense: . .	Valuation Method: . . . . .
Total Gain (Loss) . .	Accumulation Depreciation . . .
Description of Property: . . . . .	
Date Acquired: . .	How Acquired . . .
Date Sold . . .	Name of Buyer: . . .
Sales Price: . . .	Cost or other basis (do not reduce by depreciation) . . .
Sales Expense: . .	Valuation Method: . . . . .
Total Gain (Loss): . .	Accumulation Depreciation: . . .
Description of Property: . . . . .	
Date Acquired . .	How Acquired . . .
Date Sold . . .	Name of Buyer . . .
Sales Price: . . .	Cost or other basis (do not reduce by depreciation) . . .
Sales Expense . .	Valuation Method: . . . . .
Total Gain (Loss) . .	Accumulation Depreciation . . .
Description of Property: . . . . .	
Date Acquired . .	How Acquired . . .
Date Sold . . .	Name of Buyer . . .
Sales Price: . . .	Cost or other basis (do not reduce by depreciation) . . .
Sales Expense: . .	Valuation Method: . . . . .
Total Gain (Loss): . .	Accumulation Depreciation: . . .
Description of Property: . . . . .	
Date Acquired . .	How Acquired . . .
Date Sold . . .	Name of Buyer . . .
Sales Price . . .	Cost or other basis (do not reduce by depreciation) . . .
Sales Expense: . .	Valuation Method: . . . . .
Total Gain (Loss): . .	Accumulation Depreciation: . . .
Description of Property: . . . . .	
Date Acquired . .	How Acquired . . .
Date Sold . . .	Name of Buyer . . .
Sales Price . . .	Cost or other basis (do not reduce by depreciation) . . .
Sales Expense . .	Valuation Method: . . . . .
Total Gain (Loss) . .	Accumulation Depreciation . . .

Form 990-PF, Page 1, Part I, Line 18

**Line 18 Stmt**

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
FEDERAL INCOME TAXES	182.			
Foreign Tax (CA)	105.	105.	105.	105.
<b>Total</b>	<b>287.</b>	<b>105.</b>	<b>105.</b>	<b>105.</b>

Form 990-PF, Page 1, Part I, Line 23

**Line 23 Stmt**

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
MA FILING FEE	35.	35.	35.	35.
Financial Publications	749.	749.	749.	749.
<b>Total</b>	<b>784.</b>	<b>784.</b>	<b>784.</b>	<b>784.</b>

Form 990-PF, Page 2, Part III, Line 5

**Other Decreases Stmt**

Total

Form 990-PF, Page 11, Part XV, line 3a

**Line 3a statement**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox  Amount
<b>a Paid during the year</b>				
18 Joslin Diabetes Center Box 415582 Boston MA 02241			GIFT	Person or Business <input type="checkbox"/> <input checked="" type="checkbox"/> 100.
21 Special Olympics Box 322 Hathorne MA 01937			GIFT	Person or Business <input type="checkbox"/> <input checked="" type="checkbox"/> 5.
23 Boston Health Care for Homeless 780 Albany Street Boston MA 02118			GIFT	Person or Business <input type="checkbox"/> <input checked="" type="checkbox"/> 250.
25 Wounded Warriors Box 5050 Hagerstown MD 21741			GIFT	Person or Business <input type="checkbox"/> <input checked="" type="checkbox"/> 384.
14 Masonic Educ & Char Trust 186 Tremont St Boston MA 02111			GIFT	Person or Business <input type="checkbox"/> <input checked="" type="checkbox"/> 50.



Form 990-PF, Page 11, Part XV, line 3a

Continued

## Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox  Amount
<b>a Paid during the year</b>				
15 Natl Multiple Sclerosis Society Box 541617 Waltham MA 02454			GIFT	Person or Business <input checked="" type="checkbox"/> 15.
16 Mass General Hospital Box 55242 Boston MA 02205			GIFT	Person or Business <input checked="" type="checkbox"/> 20.
17 Boys Town Box 6000 Boystown NE 68010			GIFT	Person or Business <input checked="" type="checkbox"/> 15.
18 Family Service Inc 430 No Canal Street Lawrence MA 01840			GIFT	Person or Business <input checked="" type="checkbox"/> 50.
19 Salvation Army Box 55876 Boston MA 02205			GIFT	Person or Business <input checked="" type="checkbox"/> 25.
20 American Center for Law & Justice Box 90555 Washington DC 20090			GIFT	Person or Business <input checked="" type="checkbox"/> 45.
21 JA Donahue Char Foundation 21 Essex Street Lowell MA 01852			GIFT	Person or Business <input checked="" type="checkbox"/> 100.
22 Stand With LS Box 341069 Los Angeles CA 90034			GIFT	Person or Business <input checked="" type="checkbox"/> 50.
23 Save Jerusalem Box 527 Forest VA 24551			GIFT	Person or Business <input checked="" type="checkbox"/> 86.
24 Macular Degeneration Research Box 1952 Clarksburg MD 20871			GIFT	Person or Business <input checked="" type="checkbox"/> 14.
25 United American Patriots Box 96565 Washington DC 20090			GIFT	Person or Business <input checked="" type="checkbox"/> 25.
26 Scleroderma Foundation of NE Box 727 No Andover MA 01845				Person or Business <input checked="" type="checkbox"/> 100.
27 Pan Mass Challenge 147 Fells Ave Medford MA 02155			GIFT	Person or Business <input checked="" type="checkbox"/> 200.
28 Oxfam America Box 1211 Albert Lea MN 56007			GIFT	Person or Business <input checked="" type="checkbox"/> 25.
29 Law Enforcement Legal Defense Fd 1611 N Kent St Arlington VA 22209			GIFT	Person or Business <input checked="" type="checkbox"/> 52.

Form 990-PF, Page 11, Part XV, line 3a  
Line 3a statement

Continued

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox  Amount
<b>a Paid during the year</b>				
30 Smile Train				Person or <input type="checkbox"/>
Box 96231				Business <input checked="" type="checkbox"/>
Washington DC 20090			GIFT	10.
31 Jesse Helms Center				Person or <input type="checkbox"/>
Box 96109				Business <input checked="" type="checkbox"/>
Washington DC 20090			GIFT	20.
32 CARE				Person or <input type="checkbox"/>
Box 1870				Business <input checked="" type="checkbox"/>
Merrifield VA 22116			GIFT	19.
33 Boys&Girls Club of Lawrence				Person or <input type="checkbox"/>
136 Water Street				Business <input checked="" type="checkbox"/>
Lawrence MA 01841			GIFT	75.
Memorial Sloan-Kettering Cancer Ctr				Person or <input type="checkbox"/>
Box 5028				Business <input checked="" type="checkbox"/>
Hagerstown MD 21741			GIFT	15.
35 St Jude Childrens Research Ctr				Person or <input type="checkbox"/>
501 St Jude Pl				Business <input checked="" type="checkbox"/>
Memphis TN 38105			GIFT	25.
36 Boston University				Person or <input type="checkbox"/>
Box 505				Business <input checked="" type="checkbox"/>
Boston MA 02210			GIFT	250.
37 Camera				Person or <input type="checkbox"/>
Box 35040				Business <input checked="" type="checkbox"/>
Boston MA 02135			GIFT	100.
38 American kidney Fd				Person or <input type="checkbox"/>
Box 837				Business <input checked="" type="checkbox"/>
Merrifield VA 22116			GIFT	120.
39 Jewish Home for the Righteous				Person or <input type="checkbox"/>
305 Seventh Ave				Business <input checked="" type="checkbox"/>
New York City NY 10001			GIFT	100.
40 LELDF				Person or <input type="checkbox"/>
BOX 9011				Business <input checked="" type="checkbox"/>
Merrifield VA 22116			GIFT	93.

Total

2,438.

Form 990-PF, Page 1, Part I

**Line 16b - Accounting Fees**

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Edward T Coorey	Accounting	500.			
Total		<u>500.</u>			

Form 990-PF, Page 2, Part II, Line 10b

**L-10b Stmt**

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
258 SH EXXON MOBILE CORP	18,723.	26,110.
500 SH ALTRIA GP INC	4,914.	19,195.
160 3M CO	10,400.	22,440.
461 MERCK & CO INC	11,001.	23,073.
1250 AUSTRALIA & NEW ZEALAND BKG	26,882.	36,062.
300 PEPSICO	17,698.	24,882.
300 PROCTOR & GAMBLE	16,783.	24,423.
115 KRAFT FOODS INC CI A	1,765.	6,200.
500 PHILIP MORRIS INTL COM	11,199.	43,565.
100 MCDONALDS	5,905.	9,703.
400 AON PLC CL A	21,504.	33,556.
2000 PEOPLES UTD FINL INC	25,600.	30,240.
700 UIL HOLDINGS CORP	21,536.	27,125.
1000 XEROX CORP	10,469.	12,170.
346 Mondelez Int'l	3,275.	12,214.
550 Suntrust Banks	10,707.	20,245.
Rounding	-1.	0.
Total	<u>218,360.</u>	<u>371,203.</u>

Vanguard® Prime  
Money Market Fund

NORMAN M. & WILLIAM P. SHACK TR  
UA 10-28-1997  
NORMAN M. SHACK  
CHARITABLE FOUNDATION TRUST

1317

DATE 5-8-14

62-22/311

PAY TO THE ORDER OF U.S. TREASURY \$ 217 00  
Two hundred seventeen and 00/100 DOLLARS

Payable Through  
Wachovia Bank, National Association  
Wilmington, DE 19803

FOR

04-3396602

U.S. Postal Service™  
**CERTIFIED MAIL™ RECEIPT**  
(Domestic Mail Only; No Insurance Coverage Provided)

For delivery information visit our website at [www.usps.com](http://www.usps.com)

OGDEN UT 84201

**OFFICIAL USE**

Postage	\$ 0.49	0845
Certified Fee	\$3.30	10
Return Receipt Fee (Endorsement Required)	\$2.70	Postmark Here
Restricted Delivery Fee (Endorsement Required)	\$0.00	
Total Postage & Fees	\$ 6.49	05/09/2014

Sent To U.S. TREASURY  
Street, Apt. No., or PO Box No. INTERNAL REVENUE SERVICE CENTER  
City, State, ZIP+4 OGDEN UT 84201-0045

PS Form 3800, August 2006

See Reverse for Instructions

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

DEPARTMENT OF the TREASURY  
INTERNAL REVENUE SERVICE CENTER  
OGDEN, UT 84201-0045

2. Article Number  
(Transfer from service label)

7012 1010 0002 0076 3918

PS Form 3811, July 2013

Domestic Return Receipt

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature

**X**

☐ Agent

☐ Addressee

B. Received by (Printed Name)

C. Date of Delivery

D. Is delivery address different from item 12? ☐ Yes  
If YES, enter delivery address below ☐ No

E1-255

**RECEIVED**  
MAY 16 2014

PS-OSC

3. Service Type

☐ Certified Mail ☐ Priority Mail Express™

☐ Registered

☐ Return Receipt for Merchandise

☐ Insured Mail

☐ Collect on Delivery

4. Restricted Delivery? (Extra Fee)

☐ Yes

**Application for Extension of Time To File an  
Exempt Organization Return**

► File a separate application for each return.

► Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).

OMB No. 1545-1708

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II (on page 2 of this form).

**Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).**A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

Enter filer's identifying number, see instructions

<b>Type or print</b>	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	<b>NORMAN M SHACK CHARITABLE FOUNDATION</b>	<b>04-3396602</b>
File by the due date for filing your return. See instructions.	Number, street, and room or suite number. If a P.O. box, see instructions.	Social security number (SSN)
	<b>C/O SHACK LAW OFFICE 160 PLEASANT STREET</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	<b>NORTH ANDOVER</b>	<b>MA 01845</b>

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ **01**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (Individual)	03	Form 4720 (other than Individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ►
- Norman M. Shack

Telephone No. ► (978) 688-0292 Fax No. ► (978) 685-4051

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . . . . . If this is for the whole group, check this box ☐ . . . . . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until Aug 15, 20 14, to file the exempt organization return for the organization named above.

The extension is for the organization's return for:

- ☒ calendar year 20 13 or
- ☐ tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. . . . .	3a \$	217.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit . . . . .	3b \$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. . . . .	3c \$	217.

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 8868 (Rev 1-2014)

FIF20501 12/31/13

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