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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

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OMB No 1545-0052









2013

Open to Public Inspection


For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation H ARTHUR SMITH CHARITABLE FOUNDATION		A Employer identification number  04-3391489	
Number and street (or P O box number if mail is not delivered to street address) 30221 33RD AVE SW		B Telephone number (see instructions)  (253) 874-6199	
City or town, state or province, country, and ZIP or foreign postal code FEDERAL WAY, WA 980232322		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 1,950,125	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities. . . . .	58,769	58,769		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	48,351			
	b Gross sales price for all assets on line 6a 354,411				
	7 Capital gain net income (from Part IV, line 2) . . .		48,351		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .	89	0		
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	107,209	107,120		
	13 Compensation of officers, directors, trustees, etc	3,200	1,680		1,520
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	3,608	1,804		1,804
	c Other professional fees (attach schedule) . . . . .	18,498	18,498		0
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions)	2,435	1,621		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .	2,250	0		2,250
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	2,126	2,060		66
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	32,117	25,663		5,640
	25 Contributions, gifts, grants paid . . . . .	120,000			120,000
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	152,117	25,663		125,640
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	-44,908			
	b <b>Net investment income</b> (if negative, enter -0-)		81,457		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	1,172	2,669	2,669
	2	Savings and temporary cash investments . . . . .	89,812	54,932	54,932
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .	1,174	360	360
	10a	Investments—U S and state government obligations (attach schedule)	15,448 	15,448	16,304
	b	Investments—corporate stock (attach schedule) . . . . .	481,089 	545,787	777,279
	c	Investments—corporate bonds (attach schedule). . . . .	375,592 	347,220	354,847
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	715,665 	668,813	742,653
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)	 3,984 	1,081 	1,081 
	16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	1,683,936	1,636,310	1,950,125
	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	
		<b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24	Unrestricted . . . . .	1,683,936	1,636,310	
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
		<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	1,683,936	1,636,310	
	31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	1,683,936	1,636,310	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	1,683,936
2	Enter amount from Part I, line 27a . . . . .	2	-44,908
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3 . . . . .	4	1,639,028
5	Decreases not included in line 2 (itemize) ▶ _____ 	5	2,718
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	1,636,310

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	48,351
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	112,886	1,776,283	0 063552
2011	115,962	1,837,121	0 063122
2010	91,455	1,590,988	0 057483
2009	95,258	1,931,940	0 049307
2008	107,371	2,496,960	0 043001

2	Total of line 1, column (d). . . . .	2	0 276465
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 055293
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .	4	1,837,028
5	Multiply line 4 by line 3. . . . .	5	101,575
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	815
7	Add lines 5 and 6. . . . .	7	102,390
8	Enter qualifying distributions from Part XII, line 4. . . . .	8	125,640

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	815
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2. . . . .		3	815
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	815
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,175	
b Exempt foreign organizations—tax withheld at source . . . . .	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments Add lines 6a through 6d. . . . .		7	1,175
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	360
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 360 Refunded		11	0

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?. . . . .		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0 (2) On foundation managers \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?. . . . .		4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
• By language in the governing instrument, or			
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .		6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions)			
MA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)?			
If "Yes," complete Part XIV . . . . .		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶PAMELA MARTIN Telephone no ▶(253) 874-6199 Located at ▶30221 33RD AVE SW FEDERAL WAY WA ZIP +4 ▶980232322			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?. . . . .	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	2b		
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> ). . . . .	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JANICE MARTIN	TRUSTEE	1,600	0	0
PO BOX 182	1 50			
BOW, WA 98232				
PAMELA MARTIN	TRUSTEE	1,600	0	0
30221 33RD AVE SW	2 00			
FEDERAL WAY, WA 980232322				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . .		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . .	0



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	1,821,338
b	Average of monthly cash balances. . . . .	1b	43,665
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	1,865,003
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	1,865,003
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	27,975
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,837,028
6	Minimum investment return. Enter 5% of line 5. . . . .	6	91,851

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	91,851
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	815
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	815
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	91,036
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	91,036
6	Deduction from distributable amount (see instructions). . . . .	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	91,036

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	125,640
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	125,640
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	815
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	124,825
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				91,036
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only. . . . .			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008. . . . .				
b From 2009. . . . .				
c From 2010. . . . .				14,172
d From 2011. . . . .				25,896
e From 2012. . . . .				25,492
f Total of lines 3a through e. . . . .	65,560			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 125,640				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
c Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
d Applied to 2013 distributable amount. . . . .				91,036
e Remaining amount distributed out of corpus	34,604			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	100,164			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	100,164			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				14,172
c Excess from 2011. . . .				25,896
d Excess from 2012. . . .				25,492
e Excess from 2013. . . .				34,604

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> DARROW SCHOOL 110 DARROW RD NEW LEBANON, NY 12125		509(A)(1)	EDUCATION, ENVIRONMENTAL SUSTAINABILITY	20,000
UMASS MEMORIAL FOUNDATION 333 SOUTH STREET SHREWSBURY, MA 01545		509(A)(3)	PREVENTIVE AND BEHAVIORAL MEDICINE	50,000
UMASS MEMORIAL FOUNDATION 333 SOUTH STREET SHREWSBURY, MA 01545		509(A)(3)	ONCOLOGY	50,000
<b>Total . . . . .</b>			<b>3a</b>	120,000
<b>b</b> <i>Approved for future payment</i> DARROW SCHOOL 110 DARROW RD NEW LEBANON, NY 12125		509(A)(1)	EDUCATION	15,000
<b>Total . . . . .</b>			<b>3b</b>	15,000

## Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

(1)

Cash.

(2)

Other assets.

b

Other transactions

(1)

Sales of assets to a noncharitable exempt organization.

(2)

Purchases of assets from a noncharitable exempt organization.

(3)

Rental of facilities, equipment, or other assets.

(4)

Reimbursement arrangements.

(5)

Loans or loan guarantees.

(6)

Performance of services or membership or fundraising solicitations.

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Yes

No

1a(1)

No

1a(2)

No

1b(1)

No

1b(2)

No

1b(3)

No

1b(4)

No

1b(5)

No

1b(6)

No

1c

No

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

\*\*\*\*\*

Signature of officer or trustee

2014-09-16

Date

\*\*\*\*\*

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes

No

Paid Preparer Use Only

Print/Type preparer's name

HOWARD DONKIN

CPA

Preparer's Signature

Date

2014-09-11

Check if self-employed

PTIN

P00147726

Firm's name

JACOBSON JARVIS & CO PLLC

Firm's EIN

91-2011386

Firm's address

200 FIRST AVE WEST SUITE 200 SEATTLE, WA 981194219

Phone no

(206) 628-8990

Form 990-PF (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
175SH BRCM	P	2012-12-11	2013-08-29
125SH CBI	P	2012-10-05	2013-09-12
75SH DD	P	2012-07-12	2013-06-18
125SH IDV	P	2012-02-09	2013-02-01
350SH LAZ	P	2013-06-27	2013-07-19
MORGAN STANLEY & STEP 20	P	2013-03-07	2013-07-30
175SH CM	P		2013-09-12
25SH CBI	P	2012-06-27	2013-09-12
100SH CTXS	P	2011-08-10	2013-03-26
150SH DD	P	2011-01-10	2013-06-18
10SH GOOG	P		2013-11-06
25SH LMT	P	2011-06-09	2013-10-09
15SH MA	P	2012-05-30	2013-09-16
25SH MCK	P	2012-08-16	2013-12-04
150SH TIF	P	2012-07-12	2013-08-23
100SH ACE	P	2010-02-19	2013-06-27
910SH ARCC	P		2013-06-27
150 BRCM	P	2010-03-26	2013-08-29
180SH DRC	P		2013-12-12
250SH HAE	P	2010-03-26	2013-11-20
HANESBRANDS INC 8%	P	2010-05-17	2013-12-16
INTERNATIONAL LEASE 5 875%	P	2010-11-01	2013-05-01
150SH EWC	P	2010-12-30	2013-02-01
150SH EWG	P	2010-12-30	2013-02-01
625SH EWG	P		2013-09-12
3625SH EWJ	P	2011-03-29	2013-02-01
75SH EFA	P		2013-02-01
200SH EFA	P	2010-02-19	2013-03-22
50SH IWM	P	2010-03-01	2013-02-01
91SH KRFT	P	2010-03-26	2013-05-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

<b>(a)</b> List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
5SH MA	P	2010-12-30	2013-09-16
50SH MCK	P		2013-12-04
MONDELEZ INTL 5 25%	P	2010-03-04	2013-10-01
MORGAN STANLEY 5 3%	P	2010-03-02	2013-03-01
25SH VFC	P	2010-03-01	2013-02-01
475SH VWO	P		2013-02-01
550SH VWO	P	2010-03-26	2013-03-22
CAPITAL GAINS DIVIDENDS	P		



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,399		5,988	-1,589
8,040		4,962	3,078
4,043		3,500	543
4,416		4,055	361
11,581		11,486	95
15,000		15,382	-382
13,784		13,737	47
1,608		889	719
7,005		6,023	982
8,085		7,301	784
10,167		6,142	4,025
3,043		1,946	1,097
10,058		6,218	3,840
4,064		2,219	1,845
12,124		7,680	4,444
8,898		5,062	3,836
15,522		13,376	2,146
3,770		4,908	-1,138
10,278		6,942	3,336
9,957		7,113	2,844
6,240		6,136	104
10,000		10,000	0
4,344		4,659	-315
3,912		3,595	317
16,886		13,657	3,229
36,095		37,274	-1,179
4,457		3,981	476
11,861		10,569	1,292
4,518		3,203	1,315
5,085		2,927	2,158

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,353		1,131	2,222
8,128		3,188	4,940
10,000		10,000	0
15,000		15,000	0
3,701		1,947	1,754
21,304		21,227	77
23,205		22,637	568
480			480

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,589
			3,078
			543
			361
			95
			-382
			47
			719
			982
			784
			4,025
			1,097
			3,840
			1,845
			4,444
			3,836
			2,146
			-1,138
			3,336
			2,844
			104
			0
			-315
			317
			3,229
			-1,179
			476
			1,292
			1,315
			2,158

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,222
			4,940
			0
			0
			1,754
			77
			568
			480

## TY 2013 Accounting Fees Schedule

**Name:** H ARTHUR SMITH CHARITABLE FOUNDATION

**EIN:** 04-3391489

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	3,608	1,804		1,804

TY 2013 Investments Corporate  
Bonds Schedule

Name: H ARTHUR SMITH CHARITABLE FOUNDATION  
EIN: 04-3391489

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ARCELORMITTAL 4.25%	14,844	15,556
BANK OF AMERICA 7.375%	11,288	10,242
BOSTON PROP 5.875%	10,515	11,569
CITIGROUP 6.375%	10,926	10,348
CONSTELLATION BRND 7.25%	9,990	11,375
CRH AMERICA 6%	11,284	11,182
EASTMAN CHEM CO 2.4%	9,981	10,126
EL PASO CORP 7%	15,428	16,873
EXELON GENERATIN 6.2%	11,040	11,294
GEN ELEC CAP CORP 5.5%	15,488	17,153
GOLDMAN SACHS 6%	11,007	10,175
MICROSOFT CORP .875%	14,990	14,729
MORGAN STANLEY 1.75%	15,003	15,194
NEWELL RUBBERMAID 4.7%	9,888	10,438
PETROHAWK ENERGY 7.25%	11,431	10,763
PRUDENTIAL FINL FLT 2068	12,265	12,175
REGIONS BANK 7.5%	9,865	11,827
ROPER INDS INC. 3.125%	15,212	13,754
STARWOODS HOTELS 6.75%	15,315	17,339
SYMANTEC CORP 2.75%	9,997	10,176
TECK RESOURCES 2.5%	10,051	10,095
TELEFONICA EMI 4.949%	14,968	15,594
TRANSOCEAN INC. 2.5%	15,026	15,215
TYSON FOODS INC 4.5%	15,081	15,225
WACHOVIA CAP FLT 1976	14,968	13,800
WESTERN UNION 2.375%	15,100	15,356
XEROX CORP 6.35%	16,269	17,274

TY 2013 Investments Corporate  
Stock Schedule

**Name:** H ARTHUR SMITH CHARITABLE FOUNDATION  
**EIN:** 04-3391489

Name of Stock	End of Year Book Value	End of Year Fair Market Value
300SH AFL	13,363	20,040
200SH T	5,049	7,032
425SH ABT	12,393	16,290
250SH ABBV	6,879	13,202
2,300SH FAX	14,706	13,248
1,250SH ABEV	10,500	9,187
20SH AAPL	9,057	11,220
175SH ASH	14,864	16,982
300SH AVGO	10,779	15,864
165SH BDX	12,947	18,231
175SH BHP	11,726	11,935
85SH BLK	13,798	26,900
450SH BMY	11,250	23,917
600SH BR	12,980	23,712
125SH CVX	9,273	15,614
300SH CBI	10,667	24,942
225SH COH	10,934	12,629
750SH DANOF	11,222	10,890
400SH DRI	19,507	21,748
175SH DD	6,942	11,370
325SH EXPD	12,898	14,381
200SH GD	13,733	19,110
20SH GOOG	11,630	22,414
225SH HFC	9,458	11,180
200SH HD	6,565	16,468
175SH INTU	11,460	13,356
225SH SJM	15,367	23,314
150SH LMT	11,405	22,299
125SH MTB	10,851	14,553
30SH MA	6,703	25,064

Name of Stock	End of Year Book Value	End of Year Fair Market Value
65SH MCK	3,924	10,491
425SH MDLZ	9,953	15,003
175SH MOS	8,261	8,272
275SH NOV	19,305	21,871
125SH NBL	8,547	8,514
65SH NVO	10,540	12,009
100SH OII	7,865	7,888
200SH PEP	14,516	16,588
175SH PG	11,111	14,247
425SH RSG	11,858	14,110
350SH SDRL	11,174	14,378
350SH SLF	11,377	12,366
240SH TGT	12,638	15,185
1,550SH GIM	15,354	12,322
100SH TIF	5,120	9,278
450SH USB+N	12,052	12,317
200SH UTX	14,727	22,760
400SH UNH	14,665	30,120
200SH VFC	3,894	12,468



# TY 2013 Investments Government Obligations Schedule

**Name:** H ARTHUR SMITH CHARITABLE FOUNDATION

**EIN:** 04-3391489

**US Government Securities - End of  
Year Book Value:**

15,448

**US Government Securities - End of  
Year Fair Market Value:**

16,304

**State & Local Government  
Securities - End of Year Book  
Value:**

0

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

0

**TY 2013 Investments - Other Schedule****Name:** H ARTHUR SMITH CHARITABLE FOUNDATION**EIN:** 04-3391489

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
14118SH PCRIX	AT COST	115,500	77,508
910SH FXI	AT COST	38,170	34,917
75SH LQD	AT COST	7,890	8,564
1790SH EWC	AT COST	47,948	52,196
1700SH EWG	AT COST	36,657	53,992
1575SH IDV	AT COST	51,098	59,755
915SH EFA	AT COST	48,355	61,392
825SH IWM	AT COST	52,614	95,172
1125SH JNK	AT COST	43,752	45,630
875SH RWX	AT COST	37,239	36,050
2,275SH VWO	AT COST	90,363	93,594
850SH VNQ	AT COST	37,030	54,876
450SH ELD	AT COST	23,955	20,709
950SH DXJ	AT COST	38,242	48,298

TY 2013 Other Assets Schedule

**Name:** H ARTHUR SMITH CHARITABLE FOUNDATION

**EIN:** 04-3391489

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INCOME	3,984	1,081	1,081

TY 2013 Other Decreases Schedule

**Name:** H ARTHUR SMITH CHARITABLE FOUNDATION

**EIN:** 04-3391489

Description	Amount
PRIOR PERIOD ADJUSTMENT	2,718

## TY 2013 Other Expenses Schedule

**Name:** H ARTHUR SMITH CHARITABLE FOUNDATION

**EIN:** 04-3391489

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	35	0		35
MISCELLANEOUS	31	0		31
BOND AMORTIZATION ON SELL	2,060	2,060		0

TY 2013 Other Income Schedule

**Name:** H ARTHUR SMITH CHARITABLE FOUNDATION

**EIN:** 04-3391489

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
RETURN OF PRINCIPAL	89		89

**TY 2013 Other Professional Fees Schedule**

**Name:** H ARTHUR SMITH CHARITABLE FOUNDATION

**EIN:** 04-3391489

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EMERSON INVESTMENT FEES	18,498	18,498		0

**TY 2013 Taxes Schedule****Name:** H ARTHUR SMITH CHARITABLE FOUNDATION**EIN:** 04-3391489

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID	1,621	1,621		0
FEDERAL TAXES	814	0		0