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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE HARMAN CAIN FAMILY FOUNDATION		A Employer identification number 04-3340178	
Number and street (or P O box number if mail is not delivered to street address) 397 SOUTH STREET		B Telephone number (see instructions) (781) 449-4189	
City or town, state or province, country, and ZIP or foreign postal code NEEDHAM, MA 02492		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 1,702,452		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	249,428			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1	1		
	4 Dividends and interest from securities.	29,540	29,540		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	150,468			
	b Gross sales price for all assets on line 6a 777,263				
	7 Capital gain net income (from Part IV, line 2) . . .		150,468		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	429,437	180,009		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,500	0		0
	c Other professional fees (attach schedule)	6,683	6,683		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	386	386		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	35	0		0
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	8,604	7,069		0
	25 Contributions, gifts, grants paid	88,000			88,000
	26 Total expenses and disbursements. Add lines 24 and 25	96,604	7,069		88,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	332,833			
	b Net investment income (if negative, enter -0-)		172,940		
	c Adjusted net income (if negative, enter -0-)				

Part II

Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	1	
	2	Savings and temporary cash investments	20,971	83,80183,801
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		
	5	Grants receivable		
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)		
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____		
	8	Inventories for sale or use		
	9	Prepaid expenses and deferred charges		
	10a	Investments—U S and state government obligations (attach schedule)		
	b	Investments—corporate stock (attach schedule)	2,570	253,485748,355
	c	Investments—corporate bonds (attach schedule).		
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____		
	12	Investments—mortgage loans		
	13	Investments—other (attach schedule)	771,618	785,242870,296
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____		
	15	Other assets (describe ▶ _____)		
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	795,160	1,122,5281,702,452
Liabilities	17	Accounts payable and accrued expenses		
	18	Grants payable		
	19	Deferred revenue		
	20	Loans from officers, directors, trustees, and other disqualified persons		
	21	Mortgages and other notes payable (attach schedule)		
	22	Other liabilities (describe ▶ _____)	21,235	15,770
	23	Total liabilities (add lines 17 through 22)	21,235	15,770
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.		
	24	Unrestricted		
	25	Temporarily restricted		
	26	Permanently restricted		
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.		
	27	Capital stock, trust principal, or current funds	652,332	652,332
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0
	29	Retained earnings, accumulated income, endowment, or other funds	121,593	454,426
	30	Total net assets or fund balances (see page 17 of the instructions)	773,925	1,106,758
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	795,160	1,122,528

Part III

Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	773,925
2	Enter amount from Part I, line 27a	2	332,833
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	1,106,758
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,106,758

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	150,468
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	80,359	1,158,532	0 069363
2011	69,486	1,149,810	0 060433
2010	90,221	1,171,620	0 077005
2009	64,050	1,025,982	0 062428
2008	55,300	1,223,794	0 045187

2	Total of line 1, column (d).	2	0 314416
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 062883
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,300,788
5	Multiply line 4 by line 3.	5	81,797
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,729
7	Add lines 5 and 6.	7	83,526
8	Enter qualifying distributions from Part XII, line 4.	8	88,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	1,729
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3 Add lines 1 and 2.	3	1,729
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,729
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	999
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	999
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	730
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions): ☐ MA _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of BARBARA L HARMAN Telephone no (781) 449-4189 Located at 397 SOUTH STREET NEEDHAM MA ZIP+4 02492			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BARBARA L HARMAN	TRUSTEE	0	0	0
397 SOUTH STREET NEEDHAM,MA 02492	0 00			
WILLIAM E CAIN	TRUSTEE	0	0	0
397 SOUTH STREET NEEDHAM,MA 02492	0 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,231,372
b	Average of monthly cash balances.	1b	89,225
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,320,597
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,320,597
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	19,809
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,300,788
6	Minimum investment return. Enter 5% of line 5.	6	65,039

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	65,039
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	1,729
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,729
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	63,310
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	63,310
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	63,310

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	88,000
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	88,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	1,729
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	86,271
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				63,310
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.	13,396			
c From 2010.	35,298			
d From 2011.	14,823			
e From 2012.	23,314			
f Total of lines 3a through e.	86,831			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 88,000				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				63,310
e Remaining amount distributed out of corpus	24,690			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	111,521			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	111,521			
10 Analysis of line 9				
a Excess from 2009. . . .	13,396			
b Excess from 2010. . . .	35,298			
c Excess from 2011. . . .	14,823			
d Excess from 2012. . . .	23,314			
e Excess from 2013. . . .	24,690			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	88,000
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ABERDEEN ASSET MANAGEMENT PLC GBP	P	2012-08-21	2013-01-08
ABERDEEN ASSET MANAGEMENT PLC GBP	P	2012-08-21	2013-02-12
ABERDEEN ASSET MANAGEMENT PLC GBP	P	2012-08-21	2013-04-29
ABERDEEN ASSET MANAGEMENT PLC GBP	P	2012-08-21	2013-04-30
ABERDEEN ASSET MANAGEMENT PLC GBP	P	2012-08-21	2013-09-09
ABERDEEN ASSET MANAGEMENT PLC GBP	P	2012-08-21	2013-11-18
ACCENTURE PLC IRELAND CL A	P	2011-09-28	2013-01-07
ACCENTURE PLC IRELAND CL A	P	2011-12-28	2013-01-07
ACCENTURE PLC IRELAND CL A	P	2011-12-28	2013-01-16
ACCENTURE PLC IRELAND CL A	P	2011-12-28	2013-03-06
ACCENTURE PLC IRELAND CL A	P	2013-02-07	2013-03-06
ACTELION LTD ALLSCHWIL CHF	P	2008-10-24	2013-09-05
AIA GROUP LTD SPON ADR	P	2011-02-28	2013-01-08
AIR LIQUIDE ADR FRANCE ADR	P	2008-10-24	2013-01-08
AIR LIQUIDE ADR FRANCE ADR	P	2008-10-24	2013-05-22
AIR LIQUIDE ADR FRANCE ADR	P	2009-07-30	2013-05-22
AIR LIQUIDE ADR FRANCE ADR	P	2010-02-22	2013-05-22
AIR LIQUIDE ADR FRANCE ADR	P	2010-02-22	2013-09-06
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2008-10-23	2013-01-07
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2009-10-22	2013-01-07
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2009-12-17	2013-01-07
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2010-02-22	2013-01-08
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2010-02-22	2013-01-07
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2010-02-22	2013-03-06
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2010-03-18	2013-03-06
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2010-06-17	2013-03-06
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2010-07-20	2013-03-06
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2010-09-16	2013-03-06
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2010-12-16	2013-03-06
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2011-02-14	2013-03-06

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ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2011-03-16	2013-03-06
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2011-03-17	2013-03-06
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2011-06-16	2013-03-06
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2011-09-22	2013-03-06
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2011-12-22	2013-03-06
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2012-03-22	2013-03-06
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2012-06-07	2013-03-06
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2012-06-21	2013-03-06
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2012-09-20	2013-03-06
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2012-11-16	2013-03-06
ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS A	P	2012-12-20	2013-03-06
AMAZON COM INC	P	2012-10-24	2013-02-14
AMAZON COM INC	P	2012-11-20	2013-02-25
AMER EXPRESS CO	P	2013-02-25	2013-03-06
AMER EXPRESS CO	P	2013-02-28	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2008-10-23	2013-01-09
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2008-10-23	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2009-10-22	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2009-12-28	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2010-01-26	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2010-02-26	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2010-03-26	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2010-04-26	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2010-05-26	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2010-06-28	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2010-07-23	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2010-07-29	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2010-08-25	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2010-09-24	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2010-10-25	2013-03-06

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AMERICAN FUNDS BOND FUND OF AMER F-1	P	2010-11-24	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2010-12-23	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2011-01-25	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2011-02-14	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2011-02-25	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2011-03-25	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2011-04-25	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2011-05-25	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2011-06-24	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2011-07-25	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2011-08-25	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2011-09-23	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2011-10-25	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2011-11-25	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2011-12-23	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2011-12-27	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2012-01-27	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2012-02-27	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2012-03-27	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2012-04-27	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2012-05-24	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2012-06-07	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2012-06-27	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2012-07-27	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2012-08-27	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2012-09-27	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2012-10-26	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2012-11-08	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2012-11-27	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2012-12-27	2013-03-06

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AMERICAN FUNDS BOND FUND OF AMER F-1	P	2013-01-09	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2013-01-28	2013-03-06
AMERICAN FUNDS BOND FUND OF AMER F-1	P	2013-02-28	2013-03-06
AMERICAN INTL GROUP INC COM NEW	P	2012-12-11	2013-01-16
AMERICAN INTL GROUP INC COM NEW	P	2012-12-11	2013-03-06
AMERICAN TOWER CORP REIT	P	2012-07-31	2013-01-07
AMERICAN TOWER CORP REIT	P	2012-07-31	2013-01-16
AMERICAN TOWER CORP REIT	P	2012-07-31	2013-02-22
AMERICAN TOWER CORP REIT	P	2012-08-03	2013-03-06
AMERICAN TOWER CORP REIT	P	2012-08-03	2013-02-22
AMERICAN TOWER CORP REIT	P	2012-08-24	2013-03-06
AMERICAN TOWER CORP REIT	P	2012-10-05	2013-03-06
AMERICAN TOWER CORP REIT	P	2012-12-14	2013-03-06
AMERICAN TOWER CORP REIT	P	2013-02-08	2013-03-06
ANHEUSER BUSCH INBEV SPON ADR	P	2012-03-08	2013-01-08
ANHEUSER BUSCH INBEV SPON ADR	P	2012-03-08	2013-01-15
ANHEUSER BUSCH INBEV SPON ADR	P	2012-03-08	2013-02-01
ANHEUSER BUSCH INBEV SPON ADR	P	2012-03-22	2013-02-01
ANHEUSER BUSCH INBEV SPON ADR	P	2012-05-16	2013-02-01
AO ZORA BK LTD SPON ADR	P	2013-01-17	2013-04-11
AO ZORA BK LTD SPON ADR	P	2013-01-23	2013-04-11
AO ZORA BK LTD SPON ADR	P	2013-03-15	2013-04-11
AO ZORA BK LTD SPON ADR	P	2013-04-05	2013-04-11
APPLE INC	P	2012-02-27	2013-01-16
APPLE INC	P	2012-04-19	2013-01-23
APPLE INC	P	2012-06-21	2013-01-23
ASSOCIATED BRITISH FOODS PLC NEW ADR	P	2010-12-21	2013-01-08
ASSOCIATED BRITISH FOODS PLC NEW ADR	P	2010-12-21	2013-09-20
AUTOZONE INC	P	2012-05-08	2013-03-06
AUTOZONE INC	P	2012-05-17	2013-03-06

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AUTOZONE INC	P	2012-09-20	2013-03-06
AUTOZONE INC	P	2012-11-02	2013-03-06
AUTOZONE INC	P	2012-11-06	2013-03-06
AUTOZONE INC	P	2013-02-08	2013-03-06
AUTOZONE INC	P	2013-02-26	2013-03-06
BANGKOK BANK PUB CO LTD THB	P	2011-09-15	2013-05-28
BANGKOK DUSIT MEDICAL NON VTG DEP RCPT THB	P	2011-02-04	2013-07-02
BANGKOK DUSIT MEDICAL NON VTG DEP RCPT THB	P	2011-02-04	2013-08-20
BANGKOK DUSIT MEDICAL NON VTG DEP RCPT THB	P	2011-06-07	2013-08-20
BARRICK GOLD CORP CAD	P	2009-11-06	2013-01-08
BARRICK GOLD CORP CAD	P	2010-02-22	2013-02-12
BARRICK GOLD CORP CAD	P	2010-08-19	2013-02-12
BARRICK GOLD CORP CAD	P	2011-02-14	2013-02-12
BARRICK GOLD CORP CAD	P	2012-06-07	2013-02-12
BARRICK GOLD CORP CAD	P	2012-08-23	2013-02-12
BAYER A G SPON ADR	P	2010-02-22	2013-01-08
BAYER A G SPON ADR	P	2010-02-22	2013-05-22
BAYER A G SPON ADR	P	2010-07-20	2013-05-22
BAYER A G SPON ADR	P	2011-02-14	2013-05-22
BAYER A G SPON ADR	P	2011-02-14	2013-09-05
BAYER A G SPON ADR	P	2011-10-27	2013-09-06
BAYER A G SPON ADR	P	2011-10-27	2013-09-20
BELLE INTL HLDGS LTD ADR	P	2009-12-15	2013-01-08
BELLE INTL HLDGS LTD ADR	P	2010-02-22	2013-01-08
BHP BILLITON LTD SPON ADR	P	2010-04-15	2013-01-08
BHP BILLITON LTD SPON ADR	P	2010-07-29	2013-04-17
BHP BILLITON LTD SPON ADR	P	2010-08-03	2013-04-17
BHP BILLITON LTD SPON ADR	P	2010-09-07	2013-04-17
BHP BILLITON LTD SPON ADR	P	2010-10-06	2013-04-17
BHP BILLITON LTD SPON ADR	P	2010-11-09	2013-04-17

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BHP BILLITON LTD SPON ADR	P	2011-02-14	2013-04-17
BHP BILLITON LTD SPON ADR	P	2012-04-11	2013-04-17
BHP BILLITON LTD SPON ADR	P	2012-06-05	2013-04-17
BHP BILLITON LTD SPON ADR	P	2012-09-28	2013-04-17
BHP BILLITON LTD SPON ADR	P	2013-03-06	2013-04-17
BIOGEN IDEC INC	P	2011-06-27	2013-01-16
BIOGEN IDEC INC	P	2011-06-28	2013-03-06
BIOGEN IDEC INC	P	2011-11-23	2013-03-06
BIOGEN IDEC INC	P	2012-07-12	2013-03-06
BIOGEN IDEC INC	P	2012-10-03	2013-03-06
BIOGEN IDEC INC	P	2012-11-20	2013-03-06
BIOGEN IDEC INC	P	2013-01-24	2013-03-06
BIOSTIME INTL HLDG HKD	P	2011-04-08	2013-07-02
BRISTOL MYERS SQUIBB CO	P	2011-12-20	2013-01-07
BRISTOL MYERS SQUIBB CO	P	2011-12-20	2013-03-06
BRISTOL MYERS SQUIBB CO	P	2011-12-27	2013-03-06
BRISTOL MYERS SQUIBB CO	P	2012-08-02	2013-03-06
BRISTOL MYERS SQUIBB CO	P	2012-10-25	2013-03-06
BRISTOL MYERS SQUIBB CO	P	2012-11-20	2013-03-06
BRISTOL MYERS SQUIBB CO	P	2013-01-16	2013-03-06
BRISTOL MYERS SQUIBB CO	P	2013-01-22	2013-03-06
BRISTOL MYERS SQUIBB CO	P	2013-01-23	2013-03-06
BRISTOL MYERS SQUIBB CO	P	2013-01-24	2013-03-06
BRISTOL MYERS SQUIBB CO	P	2013-01-28	2013-03-06
BRISTOL MYERS SQUIBB CO	P	2013-02-08	2013-03-06
BRITISH AMER TOBACCO PLC GB SPON ADR	P	2009-03-05	2013-01-08
BRITISH AMER TOBACCO PLC GB SPON ADR	P	2009-03-05	2013-09-06
CBS CORP NEW CL B	P	2012-09-21	2013-01-07
CBS CORP NEW CL B	P	2012-09-21	2013-01-16
CBS CORP NEW CL B	P	2012-09-26	2013-01-16

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CBS CORP NEW CL B	P	2012-09-26	2013-01-28
CBS CORP NEW CL B	P	2012-09-28	2013-01-28
CBS CORP NEW CL B	P	2012-09-28	2013-03-06
CBS CORP NEW CL B	P	2012-10-04	2013-03-06
CBS CORP NEW CL B	P	2012-10-05	2013-03-06
CBS CORP NEW CL B	P	2012-10-09	2013-03-06
CBS CORP NEW CL B	P	2012-11-05	2013-03-06
CBS CORP NEW CL B	P	2012-11-20	2013-03-06
CELGENE CORP	P	2013-01-08	2013-03-06
CELGENE CORP	P	2013-01-09	2013-03-06
CENTRICA PLC NEW 2004 SPON ADR	P	2011-08-11	2013-01-08
CENTRICA PLC NEW 2004 SPON ADR	P	2011-08-11	2013-03-22
CENTRICA PLC NEW 2004 SPON ADR	P	2011-11-15	2013-03-22
CHINA MOBILE LTD SPON ADR	P	2011-01-26	2013-01-08
CHINA MOBILE LTD SPON ADR	P	2011-01-26	2013-04-10
CHINA MOBILE LTD SPON ADR	P	2011-07-21	2013-04-10
CHINA MOBILE LTD SPON ADR	P	2011-08-03	2013-04-10
CHINA MOBILE LTD SPON ADR	P	2012-04-11	2013-04-10
CHINA MOBILE LTD SPON ADR	P	2012-06-05	2013-04-10
CHIPOTLE MEXICAN GRILL INC CL A	P	2012-08-02	2013-03-06
CHIPOTLE MEXICAN GRILL INC CL A	P	2012-10-19	2013-03-06
CITIGROUP INC	P	2012-12-12	2013-01-07
CITIGROUP INC	P	2012-12-12	2013-01-16
CITIGROUP INC	P	2012-12-12	2013-02-22
CITIGROUP INC	P	2012-12-17	2013-02-22
CITIGROUP INC	P	2012-12-17	2013-03-06
CITIGROUP INC	P	2012-12-18	2013-03-06
CITIGROUP INC	P	2012-12-20	2013-03-06
CITIGROUP INC	P	2012-12-21	2013-03-06
CITIGROUP INC	P	2013-01-17	2013-03-06

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CITIGROUP INC	P	2013-01-24	2013-03-06
COMCAST CORP NEW CL A	P	2012-11-02	2013-01-16
COMCAST CORP NEW CL A	P	2012-11-02	2013-03-06
COMCAST CORP NEW CL A	P	2012-11-02	2013-03-06
COMCAST CORP NEW CL A	P	2012-11-05	2013-03-06
COMCAST CORP NEW CL A	P	2012-11-20	2013-03-06
COMCAST CORP NEW CL A	P	2013-02-05	2013-03-06
COMPASS GROUP PLC SPON ADR	P	2011-12-13	2013-01-08
COMPASS GROUP PLC SPON ADR	P	2011-12-13	2013-02-01
COMPASS GROUP PLC SPON ADR	P	2011-12-13	2013-02-04
COMPASS GROUP PLC SPON ADR	P	2012-05-17	2013-02-04
CUMMINS INC	P	2012-11-02	2013-01-07
CUMMINS INC	P	2012-11-02	2013-01-16
CUMMINS INC	P	2012-11-02	2013-02-22
CUMMINS INC	P	2012-11-05	2013-03-06
CUMMINS INC	P	2012-11-05	2013-02-22
CUMMINS INC	P	2012-11-06	2013-03-06
CUMMINS INC	P	2012-11-15	2013-03-06
CUMMINS INC	P	2012-12-21	2013-03-06
DAIICHI SANKYO CO LTD SPON ADR	P	2012-05-21	2013-01-08
DAIICHI SANKYO CO LTD SPON ADR	P	2012-05-21	2013-03-14
DANAHER CORP	P	2012-09-25	2013-01-16
DANAHER CORP	P	2012-09-25	2013-03-06
DANAHER CORP	P	2012-09-28	2013-03-06
DANAHER CORP	P	2012-10-01	2013-03-06
DANAHER CORP	P	2012-10-19	2013-03-06
DEUTSCHE BANK AG REG SHS ORD EUR	P	2013-04-30	2013-11-18
DIAMOND HILL LONG-SHORT FUND CLASS A	P	2008-10-23	2013-01-07
DIAMOND HILL LONG-SHORT FUND CLASS A	P	2008-10-23	2013-01-08
DIAMOND HILL LONG-SHORT FUND CLASS A	P	2008-10-23	2013-03-06

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DIAMOND HILL LONG-SHORT FUND CLASS A	P	2008-10-23	2013-09-06
DNB ASA SPON ADR	P	2010-09-08	2013-01-07
DNB ASA SPON ADR	P	2011-02-24	2013-01-07
DNB ASA SPON ADR	P	2011-03-21	2013-01-07
DNB ASA SPON ADR	P	2011-07-13	2013-01-07
DNB ASA SPON ADR	P	2011-07-13	2013-01-08
DNB ASA SPON ADR	P	2011-11-15	2013-09-06
DOLLAR GEN CORP NEW	P	2012-07-23	2013-01-07
DOLLAR GEN CORP NEW	P	2012-07-24	2013-01-07
DOLLAR GEN CORP NEW	P	2012-07-25	2013-01-16
DOLLAR GEN CORP NEW	P	2012-07-25	2013-02-07
DOLLAR GEN CORP NEW	P	2012-11-02	2013-02-07
DOLLAR GEN CORP NEW	P	2012-11-20	2013-02-07
DOLLAR GEN CORP NEW	P	2013-01-23	2013-02-07
DOLLAR GEN CORP NEW	P	2013-01-24	2013-02-07
DON QUIJOTE HOLDINGS CO LTD ADR	P	2010-11-24	2013-10-09
DON QUIJOTE HOLDINGS CO LTD ADR	P	2010-11-24	2013-01-08
DON QUIJOTE HOLDINGS CO LTD ADR	P	2010-11-24	2013-09-06
EBAY INC	P	2012-09-11	2013-01-07
EBAY INC	P	2012-09-11	2013-01-16
EBAY INC	P	2012-09-11	2013-03-06
EBAY INC	P	2012-10-18	2013-03-06
EBAY INC	P	2012-10-18	2013-03-06
EBAY INC	P	2012-11-02	2013-03-06
EBAY INC	P	2012-11-12	2013-03-06
EBAY INC	P	2012-11-29	2013-03-06
EBAY INC	P	2013-01-24	2013-03-06
EBAY INC	P	2013-01-29	2013-03-06
EBAY INC	P	2013-02-07	2013-03-06
EBAY INC	P	2013-02-08	2013-03-06

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EIC VALUE FUND CLASS A	P	2012-06-07	2013-01-08
EIC VALUE FUND CLASS A	P	2012-06-07	2013-03-06
EIC VALUE FUND CLASS A	P	2012-06-07	2013-09-06
ENERGY DEV CORP ADR	P	2010-12-08	2013-01-08
ENI SPA IT SPON ADR	P	2012-12-06	2013-02-28
ENI SPA IT SPON ADR	P	2012-12-06	2013-01-08
EQUINIX INC NEW	P	2012-08-20	2013-01-07
EQUINIX INC NEW	P	2012-08-21	2013-03-06
EQUINIX INC NEW	P	2012-08-28	2013-03-06
EQUINIX INC NEW	P	2012-09-04	2013-03-06
EQUINIX INC NEW	P	2012-11-05	2013-03-06
EQUINIX INC NEW	P	2012-11-20	2013-03-06
EXPRESS SCRIPTS HLDG CO	P	2012-04-13	2013-01-07
EXPRESS SCRIPTS HLDG CO	P	2012-04-13	2013-01-16
EXPRESS SCRIPTS HLDG CO	P	2012-04-16	2013-01-16
EXPRESS SCRIPTS HLDG CO	P	2012-04-16	2013-01-24
EXPRESS SCRIPTS HLDG CO	P	2012-04-16	2013-01-25
EXPRESS SCRIPTS HLDG CO	P	2012-04-16	2013-01-29
EXPRESS SCRIPTS HLDG CO	P	2012-04-18	2013-01-29
EXPRESS SCRIPTS HLDG CO	P	2012-04-18	2013-02-05
EXPRESS SCRIPTS HLDG CO	P	2012-10-03	2013-02-05
EXPRESS SCRIPTS HLDG CO	P	2012-11-20	2013-02-05
FAMILYMART CO LTD ADR	P	2010-02-22	2013-01-08
FANUC CORP ADR	P	2011-09-12	2013-01-08
FOOT LOCKER INC	P	2013-01-23	2013-03-06
FOOT LOCKER INC	P	2013-01-25	2013-03-06
FRESENIUS MEDICAL CARE AG & CO KGAA SPON ADR	P	2011-01-06	2013-01-08
FRESENIUS MEDICAL CARE AG & CO KGAA SPON ADR	P	2011-01-06	2013-07-25
FRESENIUS MEDICAL CARE AG & CO KGAA SPON ADR	P	2011-02-14	2013-07-25
FRESENIUS MEDICAL CARE AG & CO KGAA SPON ADR	P	2012-03-13	2013-07-25

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GERRY WEBER INTL AG EUR	P	2010-11-30	2013-01-08
GILEAD SCIENCES INC	P	2012-08-21	2013-01-07
GILEAD SCIENCES INC	P	2012-08-21	2013-01-16
GILEAD SCIENCES INC	P	2012-08-21	2013-03-06
GILEAD SCIENCES INC	P	2012-09-14	2013-03-06
GILEAD SCIENCES INC	P	2012-09-19	2013-03-06
GILEAD SCIENCES INC	P	2012-10-02	2013-03-06
GILEAD SCIENCES INC	P	2012-11-09	2013-03-06
GILEAD SCIENCES INC	P	2012-11-12	2013-03-06
GILEAD SCIENCES INC	P	2012-11-20	2013-03-06
GILEAD SCIENCES INC	P	2013-01-24	2013-03-06
GILEAD SCIENCES INC	P	2013-02-07	2013-03-06
GLAXO SMITHKLINE PLC ADR	P	2009-03-05	2013-01-08
GNC HOLDINGS INC	P	2012-08-13	2013-03-06
GNC HOLDINGS INC	P	2012-08-13	2013-01-07
GNC HOLDINGS INC	P	2012-08-13	2013-01-16
GNC HOLDINGS INC	P	2012-08-29	2013-03-06
GNC HOLDINGS INC	P	2012-09-12	2013-03-06
GNC HOLDINGS INC	P	2012-11-02	2013-03-06
GNC HOLDINGS INC	P	2012-11-20	2013-03-06
GNC HOLDINGS INC	P	2013-02-01	2013-03-06
GOOGLE INC CL A	P	2012-10-19	2013-03-06
GOOGLE INC CL A	P	2013-01-09	2013-03-06
GOOGLE INC CL A	P	2013-01-23	2013-03-06
GOOGLE INC CL A	P	2013-01-24	2013-03-06
GRAINGER W W INC	P	2012-04-25	2013-01-16
GRAINGER W W INC	P	2012-04-27	2013-03-06
GRAINGER W W INC	P	2012-06-06	2013-03-06
GRAINGER W W INC	P	2012-07-11	2013-03-06
GRAINGER W W INC	P	2012-07-27	2013-03-06

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GRAINGER W W INC	P	2012-11-05	2013-03-06
GRAINGER W W INC	P	2013-02-07	2013-03-06
GRUPO FIN SANTANDER MEXICO SER B SPON ADR	P	2012-11-15	2013-01-08
HARMAN INTL INDS INC NEW	D	2001-01-01	2013-01-14
HARMAN INTL INDS INC NEW	D	2001-01-01	2013-01-14
HARMAN INTL INDS INC NEW	D	2001-01-01	2013-05-16
HOME DEPOT INC	P	2012-06-20	2013-01-16
HOME DEPOT INC	P	2012-06-20	2013-03-06
HOME DEPOT INC	P	2012-06-22	2013-03-06
HOME DEPOT INC	P	2012-06-25	2013-03-06
HOME DEPOT INC	P	2012-11-20	2013-03-06
HOME DEPOT INC	P	2013-01-24	2013-03-06
IMAGINATION TECH GROUP PLC ORD GBP	P	2012-06-07	2013-01-08
INPEX CORP ADR	P	2011-01-26	2013-01-15
INPEX CORP ADR	P	2011-01-26	2013-06-11
INPEX CORP ADR	P	2011-02-02	2013-06-11
INPEX CORP ADR	P	2011-02-14	2013-06-11
INPEX CORP ADR	P	2011-05-27	2013-06-11
INPEX CORP ADR	P	2011-12-07	2013-06-11
INPEX CORP ADR	P	2013-02-21	2013-06-11
INTESA SANPAOLO SPON ADR	P	2012-04-26	2013-05-22
INTESA SANPAOLO SPON ADR	P	2012-05-02	2013-05-22
INTESA SANPAOLO SPON ADR	P	2012-05-21	2013-05-22
INTESA SANPAOLO SPON ADR	P	2012-06-07	2013-05-22
INTUIT	P	2013-02-08	2013-03-06
INTUIT	P	2013-02-21	2013-03-06
INTUIT	P	2013-02-27	2013-03-06
INTUIT	P	2013-02-28	2013-03-06
INTUITIVE SURGICAL INC NEW	P	2012-08-10	2013-03-06
INTUITIVE SURGICAL INC NEW	P	2012-10-03	2013-03-06

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INTUITIVE SURGICAL INC NEW	P	2012-12-20	2013-03-06
ISHARES MSCI JAPAN ETF	P	2012-02-07	2013-09-06
ISHARES MSCI JAPAN ETF	P	2012-02-07	2013-09-25
ISHARES MSCI JAPAN ETF	P	2012-02-07	2013-10-09
ISHARES MSCI JAPAN ETF	P	2012-02-07	2013-01-08
ISHARES MSCI JAPAN ETF	P	2012-02-07	2013-01-16
ISHARES MSCI JAPAN ETF	P	2012-06-05	2013-10-09
ISHARES MSCI JAPAN ETF	P	2012-06-07	2013-10-09
ISHARES MSCI JAPAN ETF	P	2013-04-24	2013-10-09
ISHARES RUSSELL MIDCAP ETF	P	2013-03-07	2013-09-06
ISHARES RUSSELL 1000 GROWTH ETF	P	2013-03-07	2013-09-06
ISHARES RUSSELL 2000 ETF	P	2013-03-07	2013-09-06
ISHARES 1-3 YEAR CREDIT BOND ETF	P	2012-11-08	2013-01-08
ISHARES 1-3 YEAR CREDIT BOND ETF	P	2012-11-08	2013-03-06
ISHARES 1-3 YEAR CREDIT BOND ETF	P	2012-11-16	2013-03-06
IVY GLOBAL NATURAL RESOURCES FUND CLASS A	P	2008-10-23	2013-03-06
IVY GLOBAL NATURAL RESOURCES FUND CLASS A	P	2008-10-23	2013-01-07
IVY GLOBAL NATURAL RESOURCES FUND CLASS A	P	2008-10-23	2013-01-08
JARDINE MATHESON HD-UNSP ADR	P	2008-10-24	2013-01-08
KINDER MORGAN INC	P	2012-03-21	2013-03-06
KINDER MORGAN INC	P	2012-03-21	2013-01-07
KINDER MORGAN INC	P	2012-03-21	2013-01-16
KINDER MORGAN INC	P	2012-03-22	2013-03-06
KINDER MORGAN INC	P	2012-11-20	2013-03-06
KINDER MORGAN INC	P	2013-02-08	2013-03-06
KIRIN HOLDINGS LTD SPON ADR	P	2009-08-25	2013-01-08
KIRIN HOLDINGS LTD SPON ADR	P	2010-01-04	2013-01-08
KIRIN HOLDINGS LTD SPON ADR	P	2010-01-04	2013-03-27
KIRIN HOLDINGS LTD SPON ADR	P	2010-02-22	2013-03-27
KIRIN HOLDINGS LTD SPON ADR	P	2010-07-20	2013-03-27

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LAUDER ESTEE COS CL A	P	2011-08-24	2013-01-16
LAUDER ESTEE COS CL A	P	2011-08-24	2013-01-17
LAUDER ESTEE COS CL A	P	2011-10-07	2013-01-17
LAUDER ESTEE COS CL A	P	2011-10-07	2013-01-31
LAUDER ESTEE COS CL A	P	2012-07-12	2013-02-05
LAUDER ESTEE COS CL A	P	2012-07-18	2013-02-05
LEG IMMOBILIEN AG EUR	P	2013-04-23	2013-08-15
LEG IMMOBILIEN AG EUR	P	2013-04-23	2013-08-16
LIBERTY GLOBAL INC **EXCHANGE EFF 06/13** CL A	P	2012-09-26	2013-01-16
LIBERTY GLOBAL INC **EXCHANGE EFF 06/13** CL A	P	2012-09-28	2013-01-16
LIBERTY GLOBAL INC **EXCHANGE EFF 06/13** CL A	P	2012-09-28	2013-03-06
LIBERTY GLOBAL INC **EXCHANGE EFF 06/13** CL A	P	2012-10-03	2013-03-06
LIBERTY GLOBAL INC **EXCHANGE EFF 06/13** CL A	P	2012-10-19	2013-03-06
LIBERTY GLOBAL INC **EXCHANGE EFF 06/13** CL A	P	2012-11-20	2013-03-06
LIFENET INSURANCE CO JPY	P	2013-05-22	2013-10-18
LIMITED BRANDS INC **NAME CHANGE 03/ 2013** CL A	P	2012-03-21	2013-01-16
LIMITED BRANDS INC **NAME CHANGE 03/ 2013** CL A	P	2012-04-16	2013-01-16
LIMITED BRANDS INC **NAME CHANGE 03/ 2013** CL A	P	2012-04-16	2013-02-05
LIMITED BRANDS INC **NAME CHANGE 03/ 2013** CL A	P	2012-06-08	2013-02-05
LIMITED BRANDS INC **NAME CHANGE 03/ 2013** CL A	P	2012-06-22	2013-02-05
LIMITED BRANDS INC **NAME CHANGE 03/ 2013** CL A	P	2012-06-25	2013-02-05
LINKEDIN CORP CL A	P	2012-03-14	2013-01-07
LINKEDIN CORP CL A	P	2012-03-14	2013-01-16
LINKEDIN CORP CL A	P	2012-05-02	2013-01-16
LINKEDIN CORP CL A	P	2012-06-08	2013-01-16
LOREAL CO ADR FRANCE ADR	P	2010-02-16	2013-01-08
LOREAL CO ADR FRANCE ADR	P	2010-02-16	2013-04-03
LOREAL CO ADR FRANCE ADR	P	2010-02-22	2013-04-03
LOWES COMPANIES INC	P	2012-11-26	2013-01-07
LOWES COMPANIES INC	P	2012-11-26	2013-01-16

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LOWES COMPANIES INC	P	2012-11-26	2013-03-06
LOWES COMPANIES INC	P	2012-11-28	2013-03-06
LOWES COMPANIES INC	P	2012-11-29	2013-03-06
LOWES COMPANIES INC	P	2012-12-03	2013-03-06
LOWES COMPANIES INC	P	2013-01-24	2013-03-06
LULULEMON ATHLETICA INC	P	2012-06-28	2013-01-03
LULULEMON ATHLETICA INC	P	2012-07-11	2013-01-03
LULULEMON ATHLETICA INC	P	2012-07-11	2013-02-22
LULULEMON ATHLETICA INC	P	2012-09-27	2013-02-22
LULULEMON ATHLETICA INC	P	2012-10-19	2013-03-06
LULULEMON ATHLETICA INC	P	2012-10-19	2013-02-22
LULULEMON ATHLETICA INC	P	2012-11-12	2013-03-06
LULULEMON ATHLETICA INC	P	2013-01-16	2013-03-06
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A	P	2012-08-28	2013-01-16
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A	P	2012-08-28	2013-01-07
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A	P	2012-09-05	2013-02-05
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A	P	2012-09-05	2013-02-06
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A	P	2012-09-19	2013-02-06
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A	P	2012-09-19	2013-02-22
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A	P	2012-10-01	2013-02-22
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A	P	2012-10-01	2013-03-06
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A	P	2012-10-18	2013-03-06
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A	P	2012-11-02	2013-03-06
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A	P	2012-11-02	2013-03-06
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A	P	2012-11-13	2013-03-06
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A	P	2012-11-20	2013-03-06
MAKITA CORP ADR JAPAN ADR	P	2012-09-18	2013-01-08
MAKITA CORP ADR JAPAN ADR	P	2012-09-18	2013-01-15
MAKITA CORP ADR JAPAN ADR	P	2012-09-18	2013-02-07
MAKITA CORP ADR JAPAN ADR	P	2012-09-18	2013-03-15

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MAKITA CORP ADR JAPAN ADR	P	2012-09-18	2013-10-02
MCDONALDS CORP	P	2011-06-29	2013-01-16
MCDONALDS CORP	P	2011-06-29	2013-03-06
MCDONALDS CORP	P	2011-09-27	2013-03-06
MCDONALDS CORP	P	2012-11-20	2013-03-06
MCDONALDS CORP	P	2013-02-22	2013-03-06
MINDRAY MEDICAL INTL LTD SPON ADR	P	2010-09-10	2013-01-08
MITSUBISHI ESTATE LTD ADR ADR	P	2011-02-15	2013-01-08
MITSUBISHI ESTATE LTD ADR ADR	P	2011-02-15	2013-01-15
MITSUBISHI ESTATE LTD ADR ADR	P	2011-02-15	2013-02-19
MITSUBISHI ESTATE LTD ADR ADR	P	2011-07-06	2013-03-05
MITSUBISHI ESTATE LTD ADR ADR	P	2011-07-06	2013-02-19
MITSUBISHI ESTATE LTD ADR ADR	P	2011-07-21	2013-03-05
MITSUBISHI ESTATE LTD ADR ADR	P	2011-07-21	2013-04-03
MITSUBISHI ESTATE LTD ADR ADR	P	2012-04-12	2013-04-03
MITSUBISHI ESTATE LTD ADR ADR	P	2012-06-07	2013-04-03
MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADR	P	2013-04-10	2013-09-06
MONSANTO CO NEW	P	2011-03-18	2013-03-06
MONSANTO CO NEW	P	2011-03-18	2013-01-07
MONSANTO CO NEW	P	2011-03-18	2013-01-16
MONSANTO CO NEW	P	2012-11-20	2013-03-06
MOTOROLA SOLUTIONS INC	P	2013-01-16	2013-03-06
MOTOROLA SOLUTIONS INC	P	2013-01-23	2013-03-06
MOTOROLA SOLUTIONS INC	P	2013-01-23	2013-03-06
NATL-OILWELLVARCO INC	P	2012-03-26	2013-01-16
NATL-OILWELLVARCO INC	P	2012-03-26	2013-02-05
NATL-OILWELLVARCO INC	P	2012-06-07	2013-02-05
NATL-OILWELLVARCO INC	P	2012-08-07	2013-02-05
NATL-OILWELLVARCO INC	P	2012-08-07	2013-02-07
NATL-OILWELLVARCO INC	P	2012-10-19	2013-02-07

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NATL-OILWELLVARCO INC	P	2012-10-19	2013-02-07
NATL-OILWELLVARCO INC	P	2012-10-25	2013-02-07
NATL-OILWELLVARCO INC	P	2012-11-20	2013-02-07
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	P	2010-02-19	2013-01-08
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	P	2010-02-22	2013-01-08
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	P	2010-02-22	2013-09-05
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	P	2010-02-22	2013-09-06
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	P	2010-02-22	2013-09-20
NEWCREST MINING LTD SPON AUSTRALIA ADR	P	2009-02-03	2013-01-08
NEWCREST MINING LTD SPON AUSTRALIA ADR	P	2009-02-04	2013-01-15
NEWCREST MINING LTD SPON AUSTRALIA ADR	P	2009-02-04	2013-04-04
NEWCREST MINING LTD SPON AUSTRALIA ADR	P	2009-02-04	2013-04-05
NEWCREST MINING LTD SPON AUSTRALIA ADR	P	2009-03-03	2013-04-05
NEWCREST MINING LTD SPON AUSTRALIA ADR	P	2009-03-04	2013-04-05
NEWCREST MINING LTD SPON AUSTRALIA ADR	P	2010-02-22	2013-04-05
NEWCREST MINING LTD SPON AUSTRALIA ADR	P	2010-07-20	2013-04-05
NEWCREST MINING LTD SPON AUSTRALIA ADR	P	2011-02-14	2013-04-05
NEWCREST MINING LTD SPON AUSTRALIA ADR	P	2011-09-12	2013-04-05
NEWCREST MINING LTD SPON AUSTRALIA ADR	P	2012-06-07	2013-04-05
NEXEN INC CAD	P	2011-02-24	2013-01-08
NEXEN INC CAD	P	2011-02-24	2013-01-28
NEXEN INC CAD	P	2011-06-02	2013-01-28
NEXEN INC CAD	P	2012-06-05	2013-01-28
NIKE INC CL B	P	2011-03-18	2013-01-07
NIKE INC CL B	P	2011-03-18	2013-01-16
NIKE INC CL B	P	2011-03-18	2013-03-06
NIKE INC CL B	P	2012-11-20	2013-03-06
NIKE INC CL B	P	2013-02-08	2013-03-06
NISSAN MTR LTD SPONS ADR JAPAN ADR	P	2012-02-23	2013-01-08
NISSAN MTR LTD SPONS ADR JAPAN ADR	P	2012-02-23	2013-02-13

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
NISSAN MTR LTD SPONS ADR JAPAN ADR	P	2012-02-23	2013-09-06
NISSAN MTR LTD SPONS ADR JAPAN ADR	P	2012-03-12	2013-09-06
NISSAN MTR LTD SPONS ADR JAPAN ADR	P	2012-03-12	2013-09-20
NISSAN MTR LTD SPONS ADR JAPAN ADR	P	2012-03-12	2013-09-23
NOMURA HOLDINGS INC NEW SPON ADR	P	2010-02-22	2013-01-15
NOMURA HOLDINGS INC NEW SPON ADR	P	2010-07-20	2013-01-15
NOMURA HOLDINGS INC NEW SPON ADR	P	2010-07-20	2013-01-22
NOMURA HOLDINGS INC NEW SPON ADR	P	2011-02-14	2013-01-22
NOMURA HOLDINGS INC NEW SPON ADR	P	2011-02-14	2013-01-23
NOMURA HOLDINGS INC NEW SPON ADR	P	2011-02-17	2013-01-23
NOMURA HOLDINGS INC NEW SPON ADR	P	2011-10-27	2013-01-23
NOMURA HOLDINGS INC NEW SPON ADR	P	2011-10-28	2013-01-23
NOMURA HOLDINGS INC NEW SPON ADR	P	2011-10-28	2013-09-06
NOMURA HOLDINGS INC NEW SPON ADR	P	2011-11-14	2013-09-06
NOVARTIS AG SPON ADR	P	2008-10-24	2013-01-08
NOVARTIS AG SPON ADR	P	2009-03-05	2013-01-08
NOVARTIS AG SPON ADR	P	2009-03-05	2013-04-17
NOVARTIS AG SPON ADR	P	2009-10-15	2013-04-17
NOVARTIS AG SPON ADR	P	2009-10-15	2013-09-06
OLD MUTUAL PLC UNSPONSORED ADR	P	2013-04-09	2013-09-06
OPHIR ENERGY CO LTD GBP	P	2011-09-15	2013-01-08
OPHIR ENERGY CO LTD GBP	P	2011-09-15	2013-09-09
OPHIR ENERGY CO LTD GBP	P	2011-09-15	2013-10-21
OPHIR ENERGY CO LTD GBP	P	2012-06-06	2013-10-21
OPHIR ENERGY CO LTD GBP	P	2013-07-03	2013-10-21
OPPENHEIMER INTL BOND FD CL A	P	2009-12-03	2013-01-08
OPPENHEIMER INTL BOND FD CL A	P	2009-12-03	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2009-12-30	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2009-12-30	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2010-01-29	2013-03-06

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
OPPENHEIMER INTL BOND FD CL A	P	2010-02-26	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2010-03-31	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2010-04-30	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2010-05-28	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2010-06-30	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2010-07-20	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2010-07-30	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2010-08-31	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2010-09-30	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2010-10-29	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2010-11-30	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2010-12-30	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2010-12-30	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2011-01-31	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2011-02-14	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2011-02-28	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2011-03-31	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2011-04-30	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2011-05-31	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2011-06-30	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2011-07-31	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2011-08-31	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2011-09-30	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2011-10-31	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2011-11-30	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2011-12-29	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2012-01-31	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2012-02-29	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2012-03-30	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2012-04-30	2013-03-06

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OPPENHEIMER INTL BOND FD CL A	P	2012-05-31	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2012-06-07	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2012-06-29	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2012-07-31	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2012-08-31	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2012-09-28	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2012-10-31	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2012-11-30	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2012-12-28	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2012-12-28	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2013-01-31	2013-03-06
OPPENHEIMER INTL BOND FD CL A	P	2013-02-28	2013-03-06
PENTAIR LTD	P	2012-11-02	2013-03-06
PENTAIR LTD	P	2012-11-02	2013-03-06
PENTAIR LTD	P	2012-11-02	2013-01-16
PENTAIR LTD	P	2012-11-15	2013-03-06
PENTAIR LTD	P	2012-11-21	2013-03-06
PENTAIR LTD	P	2012-11-26	2013-03-06
PENTAIR LTD	P	2012-11-27	2013-03-06
PENTAIR LTD	P	2013-01-29	2013-03-06
PENTAIR LTD	P	2013-02-13	2013-03-06
PENTAIR LTD	P	2013-02-19	2013-03-06
PENTAIR LTD	P	2013-02-20	2013-03-06
PERRIGO CO **MERGER-EFF-12/2013**	P	2012-08-21	2013-01-16
PERRIGO CO **MERGER-EFF-12/2013**	P	2012-08-21	2013-01-24
PERRIGO CO **MERGER-EFF-12/2013**	P	2012-08-23	2013-01-24
PETROLEO BRASILEIRO SA SPON ADR	P	2010-09-21	2013-01-08
PETROLEO BRASILEIRO SA SPON ADR	P	2011-02-01	2013-01-08
PETROLEO BRASILEIRO SA SPON ADR	P	2011-02-01	2013-03-15
PETROLEO BRASILEIRO SA SPON ADR	P	2011-02-14	2013-03-15

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PETROLEO BRASILEIRO SA SPON ADR	P	2012-01-18	2013-03-15
PETROLEO BRASILEIRO SA SPON ADR	P	2012-09-14	2013-03-15
PHILIPPINE LONG DISTANCE TEL CO SPONSORED ADR ADR	P	2013-03-25	2013-09-06
PRECISION CASTPARTS CORP	P	2011-03-18	2013-01-16
PRECISION CASTPARTS CORP	P	2011-03-18	2013-03-06
PRECISION CASTPARTS CORP	P	2011-06-28	2013-03-06
PRECISION CASTPARTS CORP	P	2012-11-06	2013-03-06
PRECISION CASTPARTS CORP	P	2013-02-08	2013-03-06
PRICELINE GROUP INC NEW	P	2011-11-15	2013-03-06
PRICELINE GROUP INC NEW	P	2012-03-06	2013-03-06
PRICELINE GROUP INC NEW	P	2012-05-01	2013-03-06
PRUDENTIAL PLC ADR UNITED KINGDOM	P	2012-07-13	2013-01-08
PRUDENTIAL PLC ADR UNITED KINGDOM	P	2012-07-13	2013-09-06
PUTNAM DIVERSIFIED INCOME TRUST CLASS A	P	2010-02-22	2013-03-06
PUTNAM DIVERSIFIED INCOME TRUST CLASS A	P	2010-02-22	2013-09-06
PUTNAM DIVERSIFIED INCOME TRUST CLASS A	P	2010-02-22	2013-01-07
PUTNAM DIVERSIFIED INCOME TRUST CLASS A	P	2010-02-22	2013-01-08
QUALCOMM INC	P	2011-11-11	2013-01-16
QUALCOMM INC	P	2011-11-11	2013-01-29
QUALCOMM INC	P	2011-11-22	2013-01-29
QUALCOMM INC	P	2011-11-22	2013-01-30
QUALCOMM INC	P	2011-12-28	2013-01-30
QUALCOMM INC	P	2012-09-14	2013-02-13
QUALCOMM INC	P	2012-09-14	2013-02-15
QUALCOMM INC	P	2012-09-14	2013-01-30
QUALCOMM INC	P	2012-09-20	2013-02-15
QUALCOMM INC	P	2012-09-20	2013-03-06
QUALCOMM INC	P	2012-10-05	2013-03-06
QUALCOMM INC	P	2012-11-05	2013-03-06
RIO TINTO PLC SPON ADR	P	2012-11-02	2013-01-08

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RIO TINTO PLC SPON ADR	P	2012-11-02	2013-03-26
RIO TINTO PLC SPON ADR	P	2012-11-02	2013-04-10
RIO TINTO PLC SPON ADR	P	2012-11-02	2013-09-06
RIO TINTO PLC SPON ADR	P	2012-11-02	2013-12-23
RIO TINTO PLC SPON ADR	P	2012-12-11	2013-12-23
RIO TINTO PLC SPON ADR	P	2012-12-17	2013-12-23
RIO TINTO PLC SPON ADR	P	2013-03-06	2013-12-23
RIO TINTO PLC SPON ADR	P	2013-06-26	2013-12-23
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2009-03-20	2013-03-05
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2009-03-20	2013-01-08
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2009-03-23	2013-03-05
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2009-03-23	2013-03-06
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2009-07-08	2013-03-06
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2010-02-22	2013-04-09
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2010-02-22	2013-03-06
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2010-03-15	2013-04-09
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2010-03-16	2013-04-09
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2010-03-16	2013-09-06
ROYAL BANK SCOTLAND SPON ADR	P	2012-04-23	2013-01-08
ROYAL BANK SCOTLAND SPON ADR	P	2012-04-26	2013-01-08
ROYAL BANK SCOTLAND SPON ADR	P	2012-04-26	2013-07-26
ROYAL BANK SCOTLAND SPON ADR	P	2012-04-26	2013-09-03
ROYAL BANK SCOTLAND SPON ADR	P	2012-04-30	2013-09-06
ROYAL BANK SCOTLAND SPON ADR	P	2012-04-30	2013-09-25
ROYAL BANK SCOTLAND SPON ADR	P	2012-04-30	2013-09-03
ROYAL BANK SCOTLAND SPON ADR	P	2012-05-18	2013-09-25
ROYAL BANK SCOTLAND SPON ADR	P	2012-05-18	2013-10-03
ROYAL BANK SCOTLAND SPON ADR	P	2012-06-05	2013-10-03
ROYAL BANK SCOTLAND SPON ADR	P	2013-03-06	2013-10-03
ROYAL BANK SCOTLAND SPON ADR	P	2013-04-29	2013-10-03

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ROYAL DUTCH SHELL PLC CL A SPON ADR	P	2013-02-28	2013-09-06
RTS BARCLAYS PLC SPON ADR EXP 10/02/13	P	2013-09-03	2013-09-19
RTS OPHIR ENERGY CO LTD EXP 03/25/13	P	2011-09-15	2013-03-15
RTS OPHIR ENERGY CO LTD EXP 03/25/13	P	2012-06-06	2013-03-15
SANOFI SPON ADR	P	2012-10-26	2013-01-08
SANOFI SPON ADR	P	2012-10-26	2013-09-05
SANOFI SPON ADR	P	2012-10-26	2013-09-06
SANTOS LTD ORD ORD AUD	P	2009-05-20	2013-01-08
SANTOS LTD ORD ORD AUD	P	2009-05-20	2013-03-26
SANTOS LTD ORD ORD AUD	P	2009-06-19	2013-03-26
SANTOS LTD ORD ORD AUD	P	2010-02-22	2013-03-26
SANTOS LTD ORD ORD AUD	P	2010-07-20	2013-03-26
SANTOS LTD ORD ORD AUD	P	2010-12-20	2013-03-26
SANTOS LTD ORD ORD AUD	P	2011-02-14	2013-03-26
SAP AG SPON ADR	P	2013-03-05	2013-09-06
SCHLUMBERGER LTD NETHERLANDS ANTILLES	P	2012-11-08	2013-01-16
SCHLUMBERGER LTD NETHERLANDS ANTILLES	P	2012-11-08	2013-03-06
SCHLUMBERGER LTD NETHERLANDS ANTILLES	P	2012-11-09	2013-03-06
SCHLUMBERGER LTD NETHERLANDS ANTILLES	P	2012-11-13	2013-03-06
SCHLUMBERGER LTD NETHERLANDS ANTILLES	P	2012-11-14	2013-03-06
SCHLUMBERGER LTD NETHERLANDS ANTILLES	P	2012-11-20	2013-03-06
SCHLUMBERGER LTD NETHERLANDS ANTILLES	P	2012-12-12	2013-03-06
SCHWAB CHARLES CORP NEW	P	2013-02-07	2013-02-27
SHISEIDO CO LTD SPONS ADR JAPAN	P	2011-06-30	2013-01-08
SHISEIDO CO LTD SPONS ADR JAPAN	P	2011-06-30	2013-05-23
SHISEIDO CO LTD SPONS ADR JAPAN	P	2011-06-30	2013-09-06
SHISEIDO CO LTD SPONS ADR JAPAN	P	2012-05-31	2013-09-06
SHISEIDO CO LTD SPONS ADR JAPAN	P	2012-11-08	2013-09-06
SHISEIDO CO LTD SPONS ADR JAPAN	P	2012-11-09	2013-09-06
SHISEIDO CO LTD SPONS ADR JAPAN	P	2012-12-19	2013-09-06

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SHISEIDO CO LTD SPONS ADR JAPAN	P	2012-12-20	2013-09-06
SOFTBANK CORP ADR	P	2012-11-02	2013-01-08
SOFTBANK CORP ADR	P	2012-11-02	2013-07-17
SOFTBANK CORP ADR	P	2012-11-05	2013-07-17
SOFTBANK CORP ADR	P	2012-11-05	2013-09-06
SOFTBANK CORP ADR	P	2012-11-05	2013-09-17
SOFTBANK CORP ADR	P	2012-11-12	2013-09-17
SOFTBANK CORP ADR	P	2012-11-12	2013-10-17
SOFTBANK CORP ADR	P	2013-02-13	2013-10-17
SOFTBANK CORP ADR	P	2013-03-27	2013-10-17
SPDR BARCLAYS CAPITAL HIGH YIELD ETF	P	2010-02-22	2013-01-07
SPDR BARCLAYS CAPITAL HIGH YIELD ETF	P	2010-02-22	2013-01-08
SPDR BARCLAYS CAPITAL HIGH YIELD ETF	P	2010-02-22	2013-03-06
SPDR BARCLAYS CAPITAL HIGH YIELD ETF	P	2010-05-17	2013-03-06
SPDR BARCLAYS CAPITAL HIGH YIELD ETF	P	2010-11-11	2013-03-06
SPDR BARCLAYS CAPITAL HIGH YIELD ETF	P	2011-01-11	2013-03-06
SPDR BARCLAYS CAPITAL HIGH YIELD ETF	P	2011-02-14	2013-03-06
SPDR BARCLAYS CAPITAL HIGH YIELD ETF	P	2011-03-16	2013-03-06
SPDR BARCLAYS CAPITAL HIGH YIELD ETF	P	2011-06-10	2013-03-06
SPDR BARCLAYS CAPITAL HIGH YIELD ETF	P	2011-08-10	2013-03-06
SPDR BARCLAYS CAPITAL HIGH YIELD ETF	P	2011-10-12	2013-03-06
SPDR BARCLAYS CAPITAL HIGH YIELD ETF	P	2012-01-09	2013-03-06
SPDR BARCLAYS CAPITAL HIGH YIELD ETF	P	2012-04-16	2013-03-06
SPDR BARCLAYS CAPITAL HIGH YIELD ETF	P	2012-06-07	2013-03-06
SPDR BARCLAYS CAPITAL HIGH YIELD ETF	P	2012-08-10	2013-03-06
SPDR DOW JONES REIT ETF	P	2008-10-23	2013-03-06
SPDR DOW JONES REIT ETF	P	2008-10-23	2013-01-07
SPDR DOW JONES REIT ETF	P	2008-10-23	2013-01-08
SSE PLC SPON ADR	P	2011-04-12	2013-01-08
SSE PLC SPON ADR	P	2011-04-12	2013-02-11

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SSE PLC SPON ADR	P	2011-06-01	2013-02-12
SSE PLC SPON ADR	P	2011-06-01	2013-09-06
SSE PLC SPON ADR	P	2011-06-01	2013-11-14
SSE PLC SPON ADR	P	2011-07-19	2013-11-14
SSE PLC SPON ADR	P	2011-08-04	2013-11-14
SSE PLC SPON ADR	P	2011-12-13	2013-11-14
STANDARD CHARTERED PLC	P	2012-10-15	2013-01-08
STANDARD CHARTERED PLC	P	2012-10-15	2013-09-06
STARBUCKS CORP	P	2011-08-24	2013-01-16
STARBUCKS CORP	P	2011-08-24	2013-03-06
STARBUCKS CORP	P	2012-05-15	2013-03-06
STARBUCKS CORP	P	2012-05-18	2013-03-06
STARBUCKS CORP	P	2012-05-25	2013-03-06
STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW	P	2012-03-13	2013-01-07
STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW	P	2012-03-13	2013-01-16
STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW	P	2012-03-20	2013-01-16
STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW	P	2012-03-20	2013-03-06
STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW	P	2012-11-20	2013-03-06
SUN ART RETAIL GROUP LTD UN SPONSORED ADR	P	2013-05-22	2013-09-06
SYNGENTA AG SPON ADR	P	2010-02-22	2013-01-08
SYNGENTA AG SPON ADR	P	2010-07-08	2013-01-08
SYNGENTA AG SPON ADR	P	2010-07-08	2013-05-21
SYNGENTA AG SPON ADR	P	2010-07-08	2013-09-06
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	P	2012-01-05	2013-01-08
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	P	2012-01-05	2013-01-31
TCW EMERGING MARKETS INCOME FUND CLASS N	P	2013-03-07	2013-09-06
TCW EMERGING MARKETS INCOME FUND CLASS N	P	2013-03-28	2013-09-06
TCW EMERGING MARKETS INCOME FUND CLASS N	P	2013-04-30	2013-09-06
TCW EMERGING MARKETS INCOME FUND CLASS N	P	2013-05-31	2013-09-06
TCW EMERGING MARKETS INCOME FUND CLASS N	P	2013-06-28	2013-09-06

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TCW EMERGING MARKETS INCOME FUND CLASS N	P	2013-07-31	2013-09-06
TCW EMERGING MARKETS INCOME FUND CLASS N	P	2013-08-30	2013-09-06
TELIASONERA AB ADR	P	2011-09-20	2013-08-16
TELIASONERA AB ADR	P	2011-09-20	2013-01-08
TELIASONERA AB ADR	P	2011-10-13	2013-08-16
TELIASONERA AB ADR	P	2011-10-13	2013-09-06
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR	P	2011-07-12	2013-01-08
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR	P	2011-07-12	2013-09-06
TJX COS INC NEW	P	2011-03-18	2013-01-03
TJX COS INC NEW	P	2011-03-18	2013-01-04
TJX COS INC NEW	P	2011-03-18	2013-01-16
TJX COS INC NEW	P	2011-05-05	2013-01-16
TJX COS INC NEW	P	2011-05-05	2013-03-06
TJX COS INC NEW	P	2011-06-21	2013-03-06
TJX COS INC NEW	P	2011-06-28	2013-03-06
TJX COS INC NEW	P	2012-11-20	2013-03-06
TOTAL S A FRANCE SPON ADR	P	2010-02-22	2013-01-08
TOTAL S A FRANCE SPON ADR	P	2010-02-22	2013-08-12
TOTAL S A FRANCE SPON ADR	P	2010-07-20	2013-08-12
TOTAL S A FRANCE SPON ADR	P	2011-02-14	2013-09-06
TOTAL S A FRANCE SPON ADR	P	2011-02-14	2013-08-12
TOTAL S A FRANCE SPON ADR	P	2011-10-07	2013-09-06
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2010-01-29	2013-01-08
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2010-02-04	2013-01-08
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2010-02-04	2013-01-15
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2010-02-22	2013-02-15
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2010-03-15	2013-02-15
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2010-03-16	2013-02-15
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2010-03-29	2013-09-06
UNION PACIFIC CORP	P	2011-03-18	2013-01-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
UNION PACIFIC CORP	P	2011-03-18	2013-03-06
UNION PACIFIC CORP	P	2011-12-22	2013-03-06
UNION PACIFIC CORP	P	2011-12-23	2013-03-06
UNION PACIFIC CORP	P	2013-01-23	2013-03-06
UNTD RENTALS INC	P	2012-12-20	2013-01-16
UNTD RENTALS INC	P	2012-12-20	2013-03-06
UNTD RENTALS INC	P	2012-12-21	2013-03-06
UNTD RENTALS INC	P	2013-01-03	2013-03-06
US BANCORP DEL (NEW)	P	2011-03-18	2013-01-16
US BANCORP DEL (NEW)	P	2011-12-21	2013-01-16
US BANCORP DEL (NEW)	P	2011-12-21	2013-01-18
US BANCORP DEL (NEW)	P	2012-01-11	2013-01-18
US BANCORP DEL (NEW)	P	2012-01-11	2013-01-25
US BANCORP DEL (NEW)	P	2012-01-13	2013-01-25
US BANCORP DEL (NEW)	P	2012-01-13	2013-01-28
US BANCORP DEL (NEW)	P	2012-11-20	2013-01-28
VALE SA-SP SPON ADR	P	2012-06-01	2013-06-11
VALE SA-SP SPON ADR	P	2012-06-01	2013-01-08
VALE SA-SP SPON ADR	P	2012-11-02	2013-06-11
VISA INC CL A	P	2011-11-02	2013-03-06
VISA INC CL A	P	2011-11-02	2013-01-16
VISA INC CL A	P	2011-11-03	2013-03-06
VISA INC CL A	P	2012-01-05	2013-03-06
VISA INC CL A	P	2012-01-12	2013-03-06
VISA INC CL A	P	2012-03-01	2013-03-06
VISA INC CL A	P	2012-03-13	2013-03-06
VMWARE INC CL A	P	2012-04-11	2013-01-16
VMWARE INC CL A	P	2012-04-11	2013-01-29
VMWARE INC CL A	P	2012-04-11	2013-01-29
VMWARE INC CL A	P	2012-10-04	2013-01-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
VMWARE INC CL A	P	2012-10-09	2013-01-29
VMWARE INC CL A	P	2012-10-09	2013-01-30
VMWARE INC CL A	P	2012-10-25	2013-01-30
VODAFONE GROUP PLC NEW SPON ADR	P	2013-09-05	2013-09-06
WAL MART STORES INC	P	2013-02-06	2013-03-06
WAL MART STORES INC	P	2013-02-07	2013-03-06
WELLS FARGO & CO NEW	P	2011-12-21	2013-01-07
WELLS FARGO & CO NEW	P	2011-12-21	2013-01-16
WELLS FARGO & CO NEW	P	2011-12-21	2013-01-18
WELLS FARGO & CO NEW	P	2012-01-06	2013-01-22
WELLS FARGO & CO NEW	P	2012-01-06	2013-01-18
WELLS FARGO & CO NEW	P	2012-01-06	2013-03-06
WELLS FARGO & CO NEW	P	2012-01-11	2013-03-06
WELLS FARGO & CO NEW	P	2012-01-11	2013-03-06
WELLS FARGO & CO NEW	P	2012-01-12	2013-03-06
WELLS FARGO & CO NEW	P	2012-01-13	2013-03-06
WELLS FARGO & CO NEW	P	2012-03-15	2013-03-06
WELLS FARGO & CO NEW	P	2012-10-04	2013-03-06
WELLS FARGO & CO NEW	P	2012-10-12	2013-03-06
WELLS FARGO & CO NEW	P	2012-11-20	2013-03-06
WELLS FARGO & CO NEW 8 000% PREFERRED CLBL	P	2011-03-18	2013-01-16
WELLS FARGO & CO NEW 8 000% PREFERRED CLBL	P	2011-03-18	2013-03-01
WELLS FARGO & CO NEW 8 000% PREFERRED CLBL	P	2011-03-18	2013-03-04
WOLSELEY PLC **REVERSE SPLIT EFF 12/2013** SPON ADR	P	2012-04-19	2013-01-08
WOLSELEY PLC **REVERSE SPLIT EFF 12/2013** SPON ADR	P	2012-04-19	2013-05-22
WOLSELEY PLC **REVERSE SPLIT EFF 12/2013** SPON ADR	P	2012-04-20	2013-09-06
WOLSELEY PLC **REVERSE SPLIT EFF 12/2013** SPON ADR	P	2012-04-20	2013-05-22
WOLSELEY PLC SPON ADR	P	2012-04-20	2013-12-03
WOLTERS KLUWER NV SPONSORED ADR NETHERLANDS ADR	P	2013-03-15	2013-09-06
WOLTERS KLUWER NV SPONSORED ADR NETHERLANDS ADR	P	2013-03-15	2013-08-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
WORLETPARSONS LTD ADR	P	2010-12-08	2013-01-08
WORLETPARSONS LTD ADR	P	2010-12-08	2013-03-01
WORLETPARSONS LTD ADR	P	2011-02-14	2013-03-01
WYNN RESORTS LTD	P	2011-09-08	2013-01-16
WYNN RESORTS LTD	P	2011-09-08	2013-03-06
WYNN RESORTS LTD	P	2011-10-03	2013-03-06
WYNN RESORTS LTD	P	2011-10-13	2013-03-06
WYNN RESORTS LTD	P	2011-10-14	2013-03-06
WYNN RESORTS LTD	P	2011-12-23	2013-03-06
WYNN RESORTS LTD	P	2013-02-08	2013-03-06
YUM! BRANDS INC	P	2012-06-13	2013-01-16
YUM! BRANDS INC	P	2012-06-20	2013-01-16
YUM! BRANDS INC	P	2012-06-20	2013-01-30
YUM! BRANDS INC	P	2012-06-22	2013-01-30
YUM! BRANDS INC	P	2012-06-22	2013-02-25
YUM! BRANDS INC	P	2012-07-20	2013-03-06
YUM! BRANDS INC	P	2012-07-20	2013-02-25
YUM! BRANDS INC	P	2013-02-05	2013-03-06
ZURICH INS GROUP LTD SPON ADR	P	2008-11-03	2013-01-08
ZURICH INS GROUP LTD SPON ADR	P	2008-11-03	2013-05-22
ZURICH INS GROUP LTD SPON ADR	P	2008-11-03	2013-09-06
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
163		120	43
362		259	103
77		49	28
49		31	18
99		76	23
236		134	102
138		109	29
207		159	48
70		53	17
463		318	145
618		581	37
200		138	62
141		105	36
148		79	69
103		54	49
248		183	65
75		52	23
80		52	28
3,194		1,971	1,223
264		230	34
35		30	5
1,403		1,200	203
819		697	122
9,966		8,340	1,626
79		69	10
347		282	65
544		443	101
92		79	13
38		35	3
466		449	17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
41,048		37,876	3,172
248		232	16
693		641	52
419		319	100
257		209	48
112		102	10
1,678		1,392	286
815		675	140
96		90	6
1,020		916	104
224		217	7
268		229	39
260		230	30
778		753	25
259		248	11
10,806		9,126	1,680
15,438		13,099	2,339
4,110		3,769	341
497		456	41
405		377	28
426		395	31
342		316	26
339		316	23
341		320	21
342		322	20
336		320	16
19,652		18,736	916
376		363	13
393		379	14
386		375	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
398		381	17
449		423	26
295		280	15
38,123		35,813	2,310
377		357	20
397		376	21
332		316	16
334		320	14
325		314	11
341		328	13
311		302	9
287		280	7
335		326	9
318		308	10
283		274	9
241		233	8
263		259	4
259		256	3
248		244	4
248		246	2
222		220	2
2,727		2,704	23
246		244	2
215		215	0
224		224	0
204		206	-2
206		207	-1
2,868		2,897	-29
226		228	-2
213		215	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,891		20,988	-97
183		183	0
208		209	-1
69		69	0
502		450	52
77		72	5
158		144	14
149		144	5
468		439	29
149		146	3
312		278	34
390		364	26
390		383	7
234		231	3
172		140	32
88		70	18
92		70	22
92		72	20
184		141	43
944		847	97
177		161	16
354		363	-9
708		711	-3
506		526	-20
1,532		1,800	-268
511		583	-72
75		54	21
236		144	92
379		384	-5
379		369	10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
379		369	10
379		384	-5
379		385	-6
379		384	-5
379		375	4
705		494	211
497		162	335
409		162	247
409		174	235
67		84	-17
97		116	-19
97		135	-38
65		96	-31
65		77	-12
453		533	-80
188		138	50
109		69	40
217		116	101
109		75	34
110		75	35
110		67	43
238		134	104
43		26	17
22		12	10
314		327	-13
512		578	-66
64		75	-11
512		556	-44
128		160	-32
128		184	-56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
192		286	-94
320		347	-27
128		122	6
128		137	-9
64		74	-10
285		209	76
1,548		958	590
688		443	245
688		569	119
344		303	41
172		143	29
344		292	52
2,765		789	1,976
33		33	0
189		175	14
151		141	10
792		688	104
528		482	46
38		32	6
226		207	19
415		380	35
302		279	23
490		465	25
415		401	14
452		441	11
205		95	110
103		47	56
77		76	1
114		114	0
152		143	9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
127		108	19
127		109	18
312		253	59
803		637	166
714		582	132
714		603	111
179		136	43
89		69	20
330		272	58
220		185	35
173		153	20
567		498	69
44		39	5
114		99	15
588		542	46
160		147	13
107		96	11
428		431	-3
107		100	7
330		284	46
661		530	131
42		38	4
255		226	29
299		263	36
43		39	4
532		464	68
576		506	70
576		519	57
266		235	31
354		332	22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
399		386	13
78		75	3
245		226	19
286		265	21
327		300	27
82		72	10
1,144		1,099	45
83		65	18
256		194	62
304		230	74
219		179	40
112		100	12
111		100	11
113		100	13
232		202	30
113		101	12
232		204	28
232		190	42
348		321	27
62		66	-4
675		598	77
120		109	11
248		219	29
310		275	35
310		285	25
186		175	11
370		369	1
3,406		2,590	816
2,496		1,902	594
12,645		9,133	3,512

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,652		3,813	1,839
262		235	27
392		451	-59
131		154	-23
392		430	-38
131		143	-12
158		110	48
262		262	0
175		175	0
45		45	0
182		204	-22
227		258	-31
45		54	-9
182		215	-33
591		663	-72
361		175	186
225		175	50
158		88	70
54		48	6
212		193	19
215		193	22
269		251	18
376		352	24
215		198	17
107		94	13
698		680	18
322		330	-8
806		833	-27
322		335	-13
376		398	-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,550		13,527	1,023
17,255		15,209	2,046
2,638		2,191	447
85		69	16
1,366		1,390	-24
99		93	6
218		192	26
217		191	26
217		198	19
435		396	39
435		383	52
435		366	69
54		57	-3
54		57	-3
54		57	-3
215		227	-12
216		227	-11
162		177	-15
324		350	-26
220		233	-13
220		258	-38
55		55	0
128		96	32
89		67	22
247		234	13
247		238	9
134		113	21
65		57	8
131		126	5
33		33	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
47		23	24
77		57	20
231		170	61
91		57	34
907		617	290
635		468	167
725		555	170
816		593	223
91		73	18
91		75	16
635		548	87
1,043		914	129
133		86	47
81		77	4
33		33	0
73		73	0
283		265	18
121		121	0
283		263	20
40		35	5
364		313	51
831		695	136
831		735	96
831		744	87
831		749	82
208		208	0
692		636	56
461		365	96
231		179	52
692		623	69

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
231		205	26
231		216	15
81		68	13
11,087		71	11,016
39,307		251	39,056
50,894		321	50,573
127		106	21
211		159	52
423		310	113
352		257	95
70		63	7
986		941	45
79		86	-7
67		79	-12
44		63	-19
87		134	-47
87		136	-49
174		279	-105
131		205	-74
185		224	-39
224		183	41
292		235	57
168		118	50
78		66	12
272		246	26
272		245	27
68		64	4
408		385	23
521		495	26
521		504	17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
521		507	14
68		58	10
500		409	91
794		662	132
183		185	-2
832		827	5
175		132	43
105		81	24
245		242	3
3,402		3,124	278
3,596		3,302	294
1,848		1,665	183
12,250		12,250	0
14,989		14,995	-6
72,624		72,513	111
103		88	15
1,853		1,590	263
545		470	75
191		52	139
481		483	-2
37		37	0
110		110	0
481		484	-3
37		37	0
444		454	-10
94		117	-23
24		33	-9
188		196	-8
110		100	10
16		13	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
64		46	18
129		92	37
193		140	53
61		47	14
260		201	59
130		106	24
162		161	1
166		161	5
66		60	6
66		60	6
282		242	40
634		558	76
352		322	30
70		59	11
556		989	-433
370		385	-15
92		95	-3
48		48	0
144		137	7
191		166	25
144		123	21
113		92	21
117		92	25
234		209	25
117		94	23
109		81	28
98		61	37
33		21	12
35		35	0
179		175	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
350		315	35
545		492	53
545		507	38
545		507	38
1,011		998	13
151		122	29
76		74	2
67		74	-7
266		297	-31
417		421	-4
266		281	-15
139		137	2
209		209	0
244		187	57
59		47	12
61		46	15
366		276	90
61		52	9
177		157	20
59		52	7
188		155	33
437		381	56
250		211	39
1,000		841	159
125		100	25
63		47	16
88		77	11
191		154	37
268		231	37
414		347	67

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
280		193	87
182		168	14
97		84	13
774		721	53
97		85	12
1,064		1,045	19
99		88	11
114		102	12
97		81	16
405		366	39
135		91	44
113		91	22
81		56	25
476		315	161
448		273	175
112		65	47
62		67	-5
2,546		1,713	833
96		69	27
204		137	67
102		88	14
253		229	24
63		58	5
190		170	20
210		255	-45
343		427	-84
275		308	-33
343		388	-45
134		155	-21
336		456	-120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
68		91	-23
342		374	-32
68		87	-19
131		98	33
131		98	33
129		98	31
131		98	33
279		196	83
47		39	8
47		38	9
19		19	0
176		171	5
98		100	-2
59		58	1
98		149	-51
98		146	-48
78		151	-73
78		166	-88
59		75	-16
54		53	1
188		187	1
403		340	63
81		46	35
106		78	28
160		116	44
1,714		1,201	513
111		96	15
166		165	1
153		153	0
303		307	-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21		20	1
21		20	1
102		102	0
243		246	-3
50		64	-14
105		103	2
60		59	1
54		64	-10
21		26	-5
289		361	-72
70		53	17
230		182	48
22		13	9
52		23	29
129		93	36
193		104	89
145		69	76
363		258	105
76		52	24
46		48	-2
86		44	42
26		22	4
392		347	45
35		63	-28
181		199	-18
1,018		1,040	-22
19,462		19,968	-506
198		194	4
465		454	11
214		207	7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
167		162	5
126		124	2
133		131	2
125		117	8
124		118	6
8,973		8,740	233
127		126	1
156		156	0
143		149	-6
156		165	-9
154		152	2
433		431	2
179		178	1
155		153	2
11,551		11,251	300
153		152	1
175		175	0
165		171	-6
159		163	-4
161		166	-5
165		172	-7
153		159	-6
181		174	7
162		159	3
168		162	6
926		875	51
180		175	5
166		162	4
191		184	7
157		153	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
174		165	9
925		880	45
165		158	7
153		150	3
171		169	2
129		129	0
165		165	0
162		162	0
300		302	-2
142		142	0
153		155	-2
148		148	0
364		322	42
156		138	18
151		138	13
364		305	59
260		231	29
364		333	31
104		95	9
364		360	4
208		211	-3
104		105	-1
156		158	-2
106		108	-2
203		215	-12
202		216	-14
39		71	-32
19		38	-19
141		300	-159
53		110	-57

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
230		389	-159
283		389	-106
64		69	-5
187		141	46
1,704		1,268	436
379		319	60
379		356	23
189		190	-1
720		534	186
720		632	88
720		755	-35
117		94	23
35		23	12
832		793	39
755		726	29
837		808	29
1,665		1,610	55
258		227	31
317		284	33
127		109	18
191		163	28
255		218	37
327		324	3
65		65	0
318		324	-6
130		129	1
67		64	3
533		503	30
400		362	38
228		207	21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
468		511	-43
192		207	-15
48		52	-4
595		568	27
433		424	9
433		446	-13
54		56	-2
270		205	65
174		97	77
415		259	156
58		32	26
58		32	26
233		138	95
299		211	88
291		211	80
120		82	38
179		124	55
63		42	21
98		67	31
43		31	12
362		276	86
289		215	74
53		40	13
120		79	41
134		103	31
84		44	40
273		145	128
130		68	62
71		56	15
475		366	109

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
130		130	0
3			3
89			89
8			8
193		177	16
95		88	7
98		88	10
95		91	4
262		226	36
210		192	18
131		123	8
52		49	3
341		351	-10
131		141	-10
71		82	-11
291		275	16
462		413	49
847		753	94
616		559	57
462		410	52
77		70	7
462		438	24
473		495	-22
144		144	0
333		411	-78
109		131	-22
124		125	-1
62		75	-13
372		341	31
202		225	-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
93		85	8
124		117	7
61		33	28
638		346	292
65		33	32
878		445	433
325		173	152
679		312	367
1,170		584	586
1,170		672	498
164		155	9
1,272		1,205	67
14,499		13,799	700
613		573	40
572		564	8
735		721	14
3,962		3,933	29
15,479		15,061	418
776		754	22
572		523	49
572		524	48
1,062		1,003	59
653		627	26
490		459	31
613		597	16
618		320	298
743		400	343
519		280	239
117		108	9
66		65	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
110		115	-5
49		46	3
67		69	-2
225		231	-6
135		129	6
157		139	18
180		165	15
69		71	-2
108		74	34
343		221	122
229		214	15
744		689	55
229		218	11
60		61	-1
239		244	-5
60		57	3
2,945		2,774	171
60		59	1
28		27	1
82		53	29
164		87	77
239		130	109
79		43	36
70		53	17
856		633	223
27,965		31,821	-3,856
130		145	-15
128		146	-18
133		147	-14
141		148	-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
143		149	-6
115		115	0
475		430	45
151		143	8
58		54	4
29		27	2
76		98	-22
39		49	-10
89		49	40
223		122	101
132		73	59
88		54	34
360		214	146
901		509	392
540		309	231
90		88	2
154		175	-21
54		58	-4
107		97	10
56		56	0
107		107	0
56		48	8
94		77	17
187		144	43
96		72	24
308		220	88
308		234	74
308		237	71
126		81	45
131		95	36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
277		190	87
693		522	171
277		210	67
693		680	13
48		45	3
109		90	19
164		133	31
273		240	33
33		27	6
65		53	12
262		213	49
196		170	26
100		85	15
166		145	21
166		145	21
66		64	2
546		711	-165
102		91	11
238		318	-80
161		95	66
320		187	133
482		276	206
643		400	243
804		501	303
482		352	130
482		350	132
194		215	-21
313		430	-117
78		107	-29
312		412	-100

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
78		92	-14
234		299	-65
234		252	-18
33		33	0
1,541		1,493	48
440		426	14
69		53	16
315		238	77
35		27	8
244		202	42
174		144	30
180		144	36
325		266	59
613		497	116
361		294	67
469		383	86
108		101	7
180		178	2
685		650	35
72		65	7
30		28	2
115		112	3
461		448	13
115		97	18
100		79	21
53		41	12
105		83	22
3		2	1
24		22	2
294		262	32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
127		132	-5
614		608	6
80		89	-9
245		245	0
470		593	-123
118		107	11
235		257	-22
353		377	-24
823		871	-48
353		426	-73
66		63	3
66		66	0
127		127	0
127		129	-2
195		198	-3
269		256	13
65		64	1
269		254	15
108		82	26
240		184	56
25		20	5
1,022			1,022

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			43
			103
			28
			18
			23
			102
			29
			48
			17
			145
			37
			62
			36
			69
			49
			65
			23
			28
			1,223
			34
			5
			203
			122
			1,626
			10
			65
			101
			13
			3
			17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,172
			16
			52
			100
			48
			10
			286
			140
			6
			104
			7
			39
			30
			25
			11
			1,680
			2,339
			341
			41
			28
			31
			26
			23
			21
			20
			16
			916
			13
			14
			11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			17
			26
			15
			2,310
			20
			21
			16
			14
			11
			13
			9
			7
			9
			10
			9
			8
			4
			3
			4
			2
			2
			23
			2
			0
			0
			-2
			-1
			-29
			-2
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-97
			0
			-1
			0
			52
			5
			14
			5
			29
			3
			34
			26
			7
			3
			32
			18
			22
			20
			43
			97
			16
			-9
			-3
			-20
			-268
			-72
			21
			92
			-5
			10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10
			-5
			-6
			-5
			4
			211
			335
			247
			235
			-17
			-19
			-38
			-31
			-12
			-80
			50
			40
			101
			34
			35
			43
			104
			17
			10
			-13
			-66
			-11
			-44
			-32
			-56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-94
			-27
			6
			-9
			-10
			76
			590
			245
			119
			41
			29
			52
			1,976
			0
			14
			10
			104
			46
			6
			19
			35
			23
			25
			14
			11
			110
			56
			1
			0
			9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			19
			18
			59
			166
			132
			111
			43
			20
			58
			35
			20
			69
			5
			15
			46
			13
			11
			-3
			7
			46
			131
			4
			29
			36
			4
			68
			70
			57
			31
			22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			13
			3
			19
			21
			27
			10
			45
			18
			62
			74
			40
			12
			11
			13
			30
			12
			28
			42
			27
			-4
			77
			11
			29
			35
			25
			11
			1
			816
			594
			3,512

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,839
			27
			-59
			-23
			-38
			-12
			48
			0
			0
			0
			-22
			-31
			-9
			-33
			-72
			186
			50
			70
			6
			19
			22
			18
			24
			17
			13
			18
			-8
			-27
			-13
			-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,023
			2,046
			447
			16
			-24
			6
			26
			26
			19
			39
			52
			69
			-3
			-3
			-3
			-12
			-11
			-15
			-26
			-13
			-38
			0
			32
			22
			13
			9
			21
			8
			5
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			24
			20
			61
			34
			290
			167
			170
			223
			18
			16
			87
			129
			47
			4
			0
			0
			18
			0
			20
			5
			51
			136
			96
			87
			82
			0
			56
			96
			52
			69

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			26
			15
			13
			11,016
			39,056
			50,573
			21
			52
			113
			95
			7
			45
			-7
			-12
			-19
			-47
			-49
			-105
			-74
			-39
			41
			57
			50
			12
			26
			27
			4
			23
			26
			17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			14
			10
			91
			132
			-2
			5
			43
			24
			3
			278
			294
			183
			0
			-6
			111
			15
			263
			75
			139
			-2
			0
			0
			-3
			0
			-10
			-23
			-9
			-8
			10
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			18
			37
			53
			14
			59
			24
			1
			5
			6
			6
			40
			76
			30
			11
			-433
			-15
			-3
			0
			7
			25
			21
			21
			25
			25
			23
			28
			37
			12
			0
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			35
			53
			38
			38
			13
			29
			2
			-7
			-31
			-4
			-15
			2
			0
			57
			12
			15
			90
			9
			20
			7
			33
			56
			39
			159
			25
			16
			11
			37
			37
			67

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			87
			14
			13
			53
			12
			19
			11
			12
			16
			39
			44
			22
			25
			161
			175
			47
			-5
			833
			27
			67
			14
			24
			5
			20
			-45
			-84
			-33
			-45
			-21
			-120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-23
			-32
			-19
			33
			33
			31
			33
			83
			8
			9
			0
			5
			-2
			1
			-51
			-48
			-73
			-88
			-16
			1
			1
			63
			35
			28
			44
			513
			15
			1
			0
			-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			1
			0
			-3
			-14
			2
			1
			-10
			-5
			-72
			17
			48
			9
			29
			36
			89
			76
			105
			24
			-2
			42
			4
			45
			-28
			-18
			-22
			-506
			4
			11
			7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5
			2
			2
			8
			6
			233
			1
			0
			-6
			-9
			2
			2
			1
			2
			300
			1
			0
			-6
			-4
			-5
			-7
			-6
			7
			3
			6
			51
			5
			4
			7
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			9
			45
			7
			3
			2
			0
			0
			0
			-2
			0
			-2
			0
			42
			18
			13
			59
			29
			31
			9
			4
			-3
			-1
			-2
			-2
			-12
			-14
			-32
			-19
			-159
			-57

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-159
			-106
			-5
			46
			436
			60
			23
			-1
			186
			88
			-35
			23
			12
			39
			29
			29
			55
			31
			33
			18
			28
			37
			3
			0
			-6
			1
			3
			30
			38
			21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-43
			-15
			-4
			27
			9
			-13
			-2
			65
			77
			156
			26
			26
			95
			88
			80
			38
			55
			21
			31
			12
			86
			74
			13
			41
			31
			40
			128
			62
			15
			109

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			3
			89
			8
			16
			7
			10
			4
			36
			18
			8
			3
			-10
			-10
			-11
			16
			49
			94
			57
			52
			7
			24
			-22
			0
			-78
			-22
			-1
			-13
			31
			-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8
			7
			28
			292
			32
			433
			152
			367
			586
			498
			9
			67
			700
			40
			8
			14
			29
			418
			22
			49
			48
			59
			26
			31
			16
			298
			343
			239
			9
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5
			3
			-2
			-6
			6
			18
			15
			-2
			34
			122
			15
			55
			11
			-1
			-5
			3
			171
			1
			1
			29
			77
			109
			36
			17
			223
			-3,856
			-15
			-18
			-14
			-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-6
			0
			45
			8
			4
			2
			-22
			-10
			40
			101
			59
			34
			146
			392
			231
			2
			-21
			-4
			10
			0
			0
			8
			17
			43
			24
			88
			74
			71
			45
			36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			87
			171
			67
			13
			3
			19
			31
			33
			6
			12
			49
			26
			15
			21
			21
			2
			-165
			11
			-80
			66
			133
			206
			243
			303
			130
			132
			-21
			-117
			-29
			-100

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-14
			-65
			-18
			0
			48
			14
			16
			77
			8
			42
			30
			36
			59
			116
			67
			86
			7
			2
			35
			7
			2
			3
			13
			18
			21
			12
			22
			1
			2
			32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5
			6
			-9
			0
			-123
			11
			-22
			-24
			-48
			-73
			3
			0
			0
			-2
			-3
			13
			1
			15
			26
			56
			5
			1,022

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

BARBARA L HARMAN
WILLIAM E CAIN

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ACTORS SHAKESPEARE PROJECT PO BOX 400986 CAMBRIDGE,MA 02140	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
AMERICASHARE 15 WEST 26TH STREET 11TH FLOOR NEWYORK,NY 10010	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
CATALOGUE FOR PHILANTHROPY GREATER WASHINGTON 1889 L STREET NW SUITE 900 WASHINGTON,DC 20036	NONE	501(C)(3) ORGANIZATI	CHARITABLECHARITABLE	17,500
COLLEGIATE DIRECTIONS 4833 RUGBY AVENUE SUITE 301 BETHESDA,MD 20814	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
DANA FARBER CANCER INSTITUTE 10 BROOKLINE PLACE WEST BROOKLINE,MA 024457226	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
FORUM THEATRE PO BOX 24572 WASHINGTON,DC 20043	NONE	501(C)(3) ORGANIZATI	CHARITABLE	10,000
GUGGENHEIM MUSEUM 1071 FIFTH AVENUE NEWYORK,NY 10128	NONE	501(C)(3) ORGANIZATI	CHARITABLE	2,500
HOMELESS CHILDREN'S PLAYTIME PROJECT 1525 NEWTON STREET NW WASHINGTON,DC 20010	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
HOPE HOUSE DC PO BOX 60682 WASHINGTON,DC 20039	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
LEGAL COUNCIL FOR THE ELDERLY 601 E STREET NW WASHINGTON,DC 20049	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
MAUTNER PROJECT 1875 CONNECTICUT AVENUE NW WASHINGTON,DC 20009	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
NATIONAL GALLERY OF ART 2000B SOUTH CLUB DRIVE LANDOVER,MD 20785	NONE	501(C)(3) ORGANIZATI	CHARITABLE	7,000
POINT FOUNDATION 1357 BROADWAY SUITE 401 NEWYORK,NY 10018	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
RAWART WORKS 37 CENTRAL SQUARE LYNN,MA 01901	NONE	501(C)(3) ORGANIZATI	CHARITABLE	10,000
SKIDMORE COLLEGE 815 N BROADWAY SARATOGA SPRINGS,NY 12866	NONE	501(C)(3) ORGANIZATI	CHARITABLE	25,000
Total  3a				88,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ST JOHN'S PREP 72 SPRING STREET DANVERS,MA 01923	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
THE BARKER FOUNDATION 7979 OLD GEORGETOWN ROAD BETHESDA,MD 20814	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
THE MICHAEL J FOX FOUNDATION FOR PARKINSON'S RESEARCH CHURCH STREET STATION PO BOX 780 NEWYORK,NY 100080780	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
TEEN AND YOUNG ADULT HEALTH CONNECTION 1400 SPRING STREET SUITE 200 SILVER SPRING,MD 20814	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
JOY OF MOTION DANCE CENTER 1333 H STREET NE WASHINGTON,DC 20036	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
ARTS FOR THE AGING 12320 PARKLAWN DRIVE ROCKVILLE,MD 20852	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
BRAIN FOUNDATION PO BOX 231227 CENTERVILLE,VA 20120	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
DC YOUTH ORCHESTRA PROGRAM 1700 E CAPITOL STREET NE WASHINGTON,DC 20003	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
FOR LOVE OF CHILDREN 1763 COLUMBIA ROAD NW WASHINGTON,DC 20009	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
INNER CITY INNER CHILD 3133 DUMBARTON STREET NW WASHINGTON,DC 20007	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
JUMPSTART DC 1612 K STREET NW WASHINGTON,DC 20006	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
MENTORING TO MANHOOD 2509 LAKE FOREST DRIVE UPPER MARLBORO,MD 20774	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
PHOENIX BIKES 4200 SOUTH FOUR MILE RUN ROAD ARLINGTON,VA 22206	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
SEXUAL MINORITY YOUTH ASSISTANCE LEAGUE 3410 7TH STREET SE WASHINGTON,DC 20003	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
WE ARE FAMILY SENIOR OUTREACH NETWORK 1525 NEWTON STREET NW WASHINGTON,DC 20010	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
Total  3a				88,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
A WIDER CIRCLE 4808 MOORLAND LANE SUITE 802 BETHESDA, MD 20814	NONE	501(C)(3) ORGANIZATI	CHARITABLE	500
Total  3a				88,000

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.	OMB No 1545-0047 2013
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Name of the organization THE HARMAN CAIN FAMILY FOUNDATION	Employer identification number 04-3340178
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE HARMAN CAIN FAMILY FOUNDATION	Employer identification number 04-3340178
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Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed
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(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	WILLIAM CAIN BARBARA HARMAN 397 SOUTH STREET NEEDHAM, MA 02492	\$ 249,428	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization THE HARMAN CAIN FAMILY FOUNDATION	Employer identification number 04-3340178
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	3,143 SHARES OF HARMAN INDUSTRIES STOCK	\$ <u>249,428</u>	<u>2013-12-12</u>
<u> </u>	<u> </u> <u> </u> <u> </u> <u> </u>	\$ <u> </u>	<u> </u>
<u> </u>	<u> </u> <u> </u> <u> </u> <u> </u>	\$ <u> </u>	<u> </u>
<u> </u>	<u> </u> <u> </u> <u> </u> <u> </u>	\$ <u> </u>	<u> </u>
<u> </u>	<u> </u> <u> </u> <u> </u> <u> </u>	\$ <u> </u>	<u> </u>
<u> </u>	<u> </u> <u> </u> <u> </u> <u> </u>	\$ <u> </u>	<u> </u>
<u> </u>	<u> </u> <u> </u> <u> </u> <u> </u>	\$ <u> </u>	<u> </u>
<u> </u>	<u> </u> <u> </u> <u> </u> <u> </u>	\$ <u> </u>	<u> </u>

Name of organization THE HARMAN CAIN FAMILY FOUNDATION	Employer identification number 04-3340178
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

TY 2013 Accounting Fees Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION

EIN: 04-3340178

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BERGER, KATZ, WEISHAUS, NIKOSEY & LENZA	1,500	0		0

TY 2013 Investments Corporate Stock Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION

EIN: 04-3340178

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITIES	253,485	748,355

TY 2013 Investments - Other Schedule**Name:** THE HARMAN CAIN FAMILY FOUNDATION**EIN:** 04-3340178

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FIXED INCOME	AT COST	428,082	419,346
ALTERNATIVE STRATEGIES	AT COST	64,946	97,090
BROAD COMMODITIES	AT COST	0	0
EQUITIES	AT COST	292,214	353,860

TY 2013 Other Expenses Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION

EIN: 04-3340178

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MA ANNUAL FILING FEE	35	0		0

TY 2013 Other Liabilities Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION

EIN: 04-3340178

Description	Beginning of Year - Book Value	End of Year - Book Value
ADJUSTMENT FOR CHECKS NOT CLEARED	17,500	10,500
DUE TO OFFICER	3,735	5,270

TY 2013 Other Professional Fees Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION

EIN: 04-3340178

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UBS FINANCIAL	6,683	6,683		0

TY 2013 Taxes Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION

EIN: 04-3340178

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES WITHHELD	386	386		0

TY 2013 IRS Payment**Name:** THE HARMAN CAIN FAMILY FOUNDATION**EIN:** 04-3340178**Routing Transit Number:** 011000138**Bank Account Number:** 000034459797**Type of Account:** Checking**Payment Amount in Dollars and** 730**Cents:****Requested Payment Date:** 2014-11-14**Taxpayer's Daytime Phone** (781) 449-4189**Number:**