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Form 990-PF



Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052







2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE FEIGENBAUM FOUNDATION INC		A Employer identification number 04-3000345	
Number and street (or P O box number if mail is not delivered to street address) 2 SOUTH STREET		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code PITTSFIELD, MA 01201		B Telephone number (see instructions) (413) 499-2880	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		C If exemption application is pending, check here <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 17,469,279		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	14,681,614			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	74	74		
	4 Dividends and interest from securities.	334,217	288,243		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	366,894			
	b Gross sales price for all assets on line 6a 9,420,168				
	7 Capital gain net income (from Part IV, line 2) . . .		366,894		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	15,382,799	655,211		
	13 Compensation of officers, directors, trustees, etc	142,534	2,250		140,284
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	9,645	237		9,408
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	 14,905	7,453		7,452
	c Other professional fees (attach schedule)	 41,224	37,411		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	 4,323	1,386		315
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy	19,500			19,500
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	 14,065			14,065
	24 Total operating and administrative expenses. Add lines 13 through 23	246,196	48,737		191,024
	25 Contributions, gifts, grants paid	2,213,710			2,213,710
	26 Total expenses and disbursements. Add lines 24 and 25	2,459,906	48,737		2,404,734
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	12,922,893			
	b Net investment income (if negative, enter -0-)		606,474		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		48,481	48,481
	2	Savings and temporary cash investments	272,821	920,520	920,520
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	1,157,364	 1,680,616	1,664,703
	b	Investments—corporate stock (attach schedule)		 11,438,787	12,749,701
	c	Investments—corporate bonds (attach schedule).	1,830,114	 2,078,913	2,061,988
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)		 23,886	 23,886
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,260,299	16,191,203	17,469,279
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)		 8,011	
Net Assets or Fund Balances	23	Total liabilities (add lines 17 through 22)		8,011	
		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	3,260,299	16,183,192	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	3,260,299	16,183,192	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	3,260,299	16,191,203	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	3,260,299
2	Enter amount from Part I, line 27a	2	12,922,893
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	16,183,192
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	16,183,192

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	366,894
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))	
2012	162,000	3,250,219	0 04984	
2011	196,500	3,111,463	0 06315	
2010	260,750	3,082,937	0 08458	
2009	249,250	3,067,967	0 08124	
2008	222,250	2,998,709	0 07412	
2	Total of line 1, column (d).		2	0 35293
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3	0 07059
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.		4	10,412,588
5	Multiply line 4 by line 3.		5	734,993
6	Enter 1% of net investment income (1% of Part I, line 27b).		6	6,065
7	Add lines 5 and 6.		7	741,058
8	Enter qualifying distributions from Part XII, line 4.		8	2,404,734
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions				

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	6,065
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2.		3	6,065
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	6,065
6 Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,520
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d.		7	2,520
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	3,545
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded		11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?.		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?.		4b	No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none">By language in the governing instrument, orBy state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) MA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address www.feigenbaumfoundation.org	13	Yes	
14	The books are in care of RICHARD A LOMBARDI Telephone no (413) 499-2880 Located at 2 SOUTH STREET PITTSFIELD MA ZIP+4 01201			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____ <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

YesNo

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

YesNo

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

YesNo

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

YesNo

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

YesNo

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

No

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

YesNo

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

YesNo

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

YesNo

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Form 990-PF (2013)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	9,327,007
b	Average of monthly cash balances.	1b	1,240,167
c	Fair market value of all other assets (see instructions).	1c	3,981
d	Total (add lines 1a, b, and c).	1d	10,571,155
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	10,571,155
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	158,567
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	10,412,588
6	Minimum investment return. Enter 5% of line 5.	6	520,629

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	520,629
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	6,065
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	6,065
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	514,564
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	514,564
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	514,564

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	2,404,734
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,404,734
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	6,065
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,398,669
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				514,564
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.	74,090			
b From 2009.	97,314			
c From 2010.	108,249			
d From 2011.	43,163			
e From 2012.	1,991			
f Total of lines 3a through e.	324,807			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 2,404,734				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				514,564
e Remaining amount distributed out of corpus	1,890,170			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,214,977			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	74,090			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	2,140,887			
10 Analysis of line 9				
a Excess from 2009. . . .	97,314			
b Excess from 2010. . . .	108,249			
c Excess from 2011. . . .	43,163			
d Excess from 2012. . . .	1,991			
e Excess from 2013. . . .	1,890,170			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ARMAND V FEIGENBAUM

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

THE FEIGENBAUM FOUNDATION INC
2 SOUTH STREET SUITE 235
PITTSFIELD, MA 01201
(413) 499-2880
info@feigenbaumfoundation.com

b

The form in which applications should be submitted and information and materials they should include

Grant applications are submitted on standardized forms. APPLICANTS MUST ALSO PROVIDE AN IRS 501(C)(3) TAX EXEMPT LETTER, A DESCRIPTION OF THE GRANT PROPOSAL, INCLUDING A BUDGET FOR ANY PROJECT BEING FUNDED, RELEVANT INFORMATION ABOUT THE APPLICANT ORGANIZATION, AND THE APPLICANT'S MOST RECENT ANNUAL FINANCIAL STATEMENT AND OPERATING BUDGET.

c

Any submission deadlines

APPLICATIONS ARE REVIEWED PERIODICALLY THROUGHOUT THE YEAR

d



Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GRANTS ARE MADE ONLY TO PRIVATE, NON-PROFIT 501(C)(3) ORGANIZATIONS THAT PROVIDE SERVICES THAT ARE CONSISTENT WITH THE FOUNDATION'S STATEMENT OF PURPOSE

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data TableSee Additional Data Table				
Total			 3a	2,213,710
b <i>Approved for future payment</i> MASSACHUSETTS COLLEGE OF LIBERAL ARTS 375 CHURCH STREET NORTH ADAMS, MA 01247	N/A	N/A	ENDOWMENT/CAPITAL CAMPAIGN	5,000,000
BERKSHIRE MUSEUM 39 SOUTH STREET PITTSFIELD, MA 01201	N/A	N/A	CAPITAL CAMPAIGN	100,000
BERKSHIRE MUSIC SCHOOL 30 WENDELL AVENUE PITTSFIELD, MA 01201	N/A	N/A	BUILDING IMPROVEMENTS	150,000
Total			 3b	5,250,000

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes

No

a

Transfers from the reporting foundation to a noncharitable exempt organization of

(1)

Cash.

1a(1)

No

(2)

Other assets.

1a(2)

No

b

Other transactions

(1)

Sales of assets to a noncharitable exempt organization.

1b(1)

No

(2)

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

(3)

Rental of facilities, equipment, or other assets.

1b(3)

No

(4)

Reimbursement arrangements.

1b(4)

No

(5)

Loans or loan guarantees.

1b(5)

No

(6)

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2014-05-15

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes

No

Paid Preparer Use Only

Print/Type preparer's name
RICHARD A LOMBARDI

Preparer's Signature

Date

Check if self-employed ☐

PTIN
P00225243

Firm's name ☐

Lombardi Clairmont & Keegan CPAs

Firm's EIN ☐

Firm's address ☐

35 Pearl Street Pittsfield, MA 012014718


Phone no (413) 499-3733

Form 990-PF (2013)


Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EMIL GEORGE	President 20 00	90,000		
2 SOUTH STREET PITTSFIELD,MA 01201				
RICHARD A LOMBARDI	Treasurer 5 00	9,000		
35 PEARL STREET PITTSFIELD,MA 01201				
LESLIE WARREN	Director 40 00	39,800	3,734	
2 SOUTH STREET PITTSFIELD,MA 01201				
BERNARD E RILEY	Director 0 00	0		
55 HILL PROVINCE ROAD WILLIAMSTOWN,MA 01267				
DONALD S FEIGENBAUM	Director 0 00	0		
2 SOUTH STREET PITTSFIELD,MA 01201				
ARMAND V FEIGENBAUM	Director 0 00	0		
2 SOUTH STREET PITTSFIELD,MA 01201				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BERKSHIRE ATHENAEUM 1 WENDELL AVENUE PITTSFIELD,MA 01201	N/A	N/A	UNRESTRICTED	1,000
BERKSHIRE MUSEUM 39 SOUTH STREET PITTSFIELD,MA 01201	N/A	N/A	CAPITAL CAMPAIGN	100,000
BERKSHIRE MUSEUM 39 SOUTH STREET PITTSFIELD,MA 01201	N/A	N/A	UNRESTRCITED	130
BERKSHIRE UNITED WAY 200 SOUTH STREET PITTSFIELD,MA 01201	N/A	N/A	UNRESTRICTED	10,000
COLONIAL THEATRE ASSOCIATION 111 SOUTH STREET PITTSFIELD,MA 01201	N/A	N/A	UNRESTRICTED	35,000
HANCOCK SHAKER VILLAGE 1843 WEST HOUSATONIC STREET PITTSFIELD,MA 01201	N/A	N/A	UNRESTRICTED	2,000
JEWISH FEDERATION OF THE BERKSHIRES 196 SOUTH STREET PITTSFIELD,MA 01201	N/A	N/A	UNRESTRICTED	30,000
ROTARY FOUNDATION 1 WEST STREET PITTSFIELD,MA 01201	N/A	N/A	UNRESTRICTED	500
AMERICAN RED CROSS OF BERKSHIRE COUNTY 480 WEST STREET PITTSFIELD,MA 01201	N/A	N/A	UNRESTRICTED	2,500
BERKSHIRE BOTANICAL GARDENS 5 WEST STOCKBRIDGE ROAD STOCKBRIDGE,MA 01262	N/A	N/A	UNRESTRICTED	500
MIT SLOAN SCHOOL OF MANAGEMENT 50 MEMORIAL DRIVE CAMBRIDGE,MA 02142	N/A	N/A	ANNUAL FUND	750
GLADYS ALLEN BRIGHAM CENTER 165 EAST STREET PITTSFIELD,MA 01201	N/A	N/A	CAMPERSHIPS	580
BERKSHIRE COMMUNITY COLLEGE FOUNDATION 1350 WEST STREET PITTSFIELD,MA 01201	N/A	N/A	UNRESTRICTED	1,000
BERKSHIRE NATURAL RESOURCES COUNCIL 20 BANK ROW 203 PITTSFIELD,MA 01201	N/A	N/A	UNRESTRICTED	500
TEMPLE ANSHE AMUNIM 26 BROAD STREET PITTSFIELD,MA 01201	N/A	N/A	UNRESTRICTED	25,000
Total  3a				2,213,710

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TEMPLE ANSHE AMUNIM 20 BROAD STREET PITTSFIELD,MA 01201	N/A	N/A	UNRESTRICTED	1,000,000
TEMPLE ANSHE AMUNIM 20 BROAD STREET PITTSFIELD,MA 01201	N/A	N/A	RESTRICTED - LECTURE SERIES	1,000,000
NORMAN ROCKWELL MUSEUM 9 GLENDALE ROAD STOCKBRIDGE,MA 01262	N/A	N/A	UNRESTRICTED	2,500
SHAKESPEARE AND COMPANY 70 KEMBLE STREET LENOX,MA 01240	N/A	N/A	UNRESTRICTED	250
THANKSGIVING ANGELS C/O SOUTH CONGREGATIONAL CHURCH PITTSFIELD,MA 01201	N/A	N/A	UNRESTRICTED	500
BERKSHIRE MEDICAL CENTER 725 NORTH STREET PITTSFIELD,MA 01201	N/A	N/A	ANNUAL FUND	1,000
Total  3a				2,213,710

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.</div>	<div>OMB No 1545-0047</div> <div>2013</div>

<div>Name of the organization</div> <div>THE FEIGENBAUM FOUNDATION INC</div>	<div>Employer identification number</div> <div>04-3000345</div>
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Organization type (check one)

<div>Filers of:</div> <div>Form 990 or 990-EZ</div> <div>Form 990-PF</div>	<div>Section:</div> <div><div><input type="checkbox"/> 501(c)() (enter number) organization</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div><div><input type="checkbox"/> 527 political organization</div><div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div><div><input type="checkbox"/> 501(c)(3) taxable private foundation</div></div>
--	---

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE FEIGENBAUM FOUNDATION INC	Employer identification number 04-3000345
--	---

Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	ARMAND V FEIGENBAUM 2 SOUTH STREET PITTSFIELD, MA 01201	\$ 314,610	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
<u>2</u>	DONALD S FEIGENBAUM 1994 TRUST 2 SOUTH STREET PITTSFIELD, MA 01201	\$ 14,367,004	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
<u> </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
<u> </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
<u> </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
<u> </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
<u> </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Employer identification number

04-3000345

[illegible]

Name of organization THE FEIGENBAUM FOUNDATION INC	Employer identification number 04-3000345
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	<div>Transferee's name, address, and ZIP 4Relationship of transferor to transferee</div>		
—	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	<div>Transferee's name, address, and ZIP 4Relationship of transferor to transferee</div>		
—	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	<div>Transferee's name, address, and ZIP 4Relationship of transferor to transferee</div>		
—	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	<div>Transferee's name, address, and ZIP 4Relationship of transferor to transferee</div>		

TY 2013 Accounting Fees Schedule

Name: THE FEIGENBAUM FOUNDATION INC

EIN: 04-3000345

Software ID: 13000170

Software Version: 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	14,905	7,453	0	7,452

**TY 2013 Investments Corporate
Bonds Schedule**

Name: THE FEIGENBAUM FOUNDATION INC

EIN: 04-3000345

Software ID: 13000170

Software Version: 2013v3.1

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED SCHEDULE	2,078,913	2,061,988

TY 2013 Investments Corporate Stock Schedule

Name: THE FEIGENBAUM FOUNDATION INC

EIN: 04-3000345

Software ID: 13000170

Software Version: 2013v3.1

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED SCHEDULE	11,438,787	12,749,701

TY 2013 Investments Government Obligations Schedule

Name: THE FEIGENBAUM FOUNDATION INC

EIN: 04-3000345

Software ID: 13000170

Software Version: 2013v3.1

US Government Securities - End of

Year Book Value:

1,680,616

US Government Securities - End of

Year Fair Market Value:

1,664,703

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2013 Other Assets Schedule

Name: THE FEIGENBAUM FOUNDATION INC

EIN: 04-3000345

Software ID: 13000170

Software Version: 2013v3.1

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DEPOSITS ON FIXED ASSETS		23,886	23,886

TY 2013 Other Expenses Schedule**Name:** THE FEIGENBAUM FOUNDATION INC**EIN:** 04-3000345**Software ID:** 13000170**Software Version:** 2013v3.1

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DUES AND SUBSCRIPTIONS	855			855
INSURANCE	2,560			2,560
MISCELLANEOUS	2,279			2,279
OFFICE SUPPLIES AND STATIONERY	6,084			6,084
POSTAGE	593			593
TELEPHONE	1,694			1,694

TY 2013 Other Liabilities Schedule

Name: THE FEIGENBAUM FOUNDATION INC

EIN: 04-3000345

Software ID: 13000170

Software Version: 2013v3.1

Description	Beginning of Year - Book Value	End of Year - Book Value
PAYROLL TAXES PAYABLE		8,011

TY 2013 Other Professional Fees Schedule**Name:** THE FEIGENBAUM FOUNDATION INC**EIN:** 04-3000345**Software ID:** 13000170**Software Version:** 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSES	41,224	37,411	0	0

TY 2013 Taxes Schedule**Name:** THE FEIGENBAUM FOUNDATION INC**EIN:** 04-3000345**Software ID:** 13000170**Software Version:** 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	315			315
FOREIGN TAXES ON DIVIDENDS	1,386	1,386		
INCOME TAXES	2,622			

The Feigenbaum Foundation, Inc.

04-3000345

Attachment to Form 990 PF

Part II, Line 10A

U.S. and State Govt Obligations

December 31, 2013

Description	Face	Int Rate	Matures	Book Value	Market Value
Federal Natl Mtg Assoc Notes	32,000 00	5 375%	7/15/2016	36,062	35,810
Federal Natl Mtg Assoc Notes	11,000.00	5.375%	7/15/2016	12,401	12,310
Federal Natl Mtg Assoc Notes	14,000 00	5 375%	7/15/2016	15,664	15,667
Federal Natl Mtg Assoc Notes	48,000 00	1 250%	1/30/2017	48,714	48,577
Federal Natl Mtg Assoc Notes	16,000 00	1 250%	1/30/2017	16,279	16,192
Federal Natl Mtg Assoc Notes	22,000 00	1 250%	1/30/2017	22,227	22,265
Federal Natl Mtg Assoc Notes	38,000 00	1 125%	4/27/2017	38,289	38,148
Federal Natl Mtg Assoc Notes	13,000 00	1 125%	4/27/2017	13,123	13,051
Federal Natl Mtg Assoc Notes	18,000 00	1 125%	4/27/2017	18,057	18,070
FNMA PAB3419	156,513 05	4 500%	2041	74,010	73,472
FNMA PAB3419	55,000 00	4 500%	2041	26,246	25,576
FNMA PAB3419	78,000 00	4 500%	2041	36,350	36,271
FNMA PAE949	125,331 30	4 000%	2041	71,052	69,770
FNMA PAE949	45,000 00	4 000%	2041	25,122	24,918
FNMA PAH3431	27,810 91	3 500%	2026	13,475	13,283
FNMA PAH3431	20,000 00	3 500%	2026	9,566	9,488
FNMA PAU3742	89,683 45	3 500%	2043	89,816	88,411
FNMA PAU3742	31,000 00	3 500%	2043	31,108	30,453
FNMA PAU3742	43,000 00	3 500%	2043	42,438	42,241
FNMA PMA 1459	41,776 20	3 000%	2033	40,772	40,109
FNMA PMA 1459	15,000 00	3 000%	2033	14,275	14,325
U S Treasury Bond	16,000 00	4 375%	5/15/2040	17,725	17,380
U S Treasury Bond	6,000 00	4 375%	5/15/2040	6,681	6,518
U S Treasury Bond	7,000 00	4 375%	5/15/2040	7,690	7,604
U S Treasury Bond	29,000 00	3 000%	5/15/2042	24,804	24,319
U S Treasury Bond	11,000 00	3 000%	5/15/2042	9,465	9,224
U S Treasury Bond	14,000 00	3 000%	5/15/2042	11,882	11,740
U S Treasury Note	80,000 00	1 250%	4/15/2014	80,378	80,259
U S Treasury Note	38,000 00	1 250%	8/31/2015	38,656	38,604
U S Treasury Note	13,000 00	1 250%	8/31/2015	13,226	13,207
U S Treasury Note	17,000 00	1 250%	8/31/2015	17,266	17,270
U S Treasury Note	74,000 00	0 625%	10/15/2016	74,067	73,862
U S Treasury Note	25,000 00	0 625%	10/15/2016	25,074	24,953
U S Treasury Note	34,000 00	0 625%	10/15/2016	33,917	33,936
U S Treasury Note	84,000 00	1 000%	3/31/2017	84,565	84,184
U S Treasury Note	28,000 00	1 000%	3/31/2017	28,270	28,061
U S Treasury Note	39,000 00	1 000%	3/31/2017	39,076	39,085
U S Treasury Note	116,000 00	1 250%	10/31/2018	115,638	113,725
U S Treasury Note	41,000 00	1 250%	10/31/2018	40,212	40,196
U S Treasury Note	46,000 00	3 500%	5/15/2020	50,451	49,626
U S Treasury Note	17,000 00	3 500%	5/15/2020	18,729	18,340
U S Treasury Note	22,000 00	3 500%	5/15/2020	23,751	23,734
U S Treasury Note	115,000 00	1 750%	5/15/2023	105,585	103,653
U S Treasury Note	42,000 00	1 750%	5/15/2023	38,772	37,856
U S Treasury Note	56,000 00	1 750%	5/15/2023	50,656	50,474
U S Trsy Inflation Bond	11,000 00	2 375%	1/15/2025	16,080	15,667
U. S. Trsy Inflation Bond	3,000.00	2.375%	1/15/2025	4,393	4,273
U S Trsy Inflation Bond	6,000 00	2 375%	1/15/2025	8,561	8,546
Totals to Part II Line 10a				<u>1,680,616</u>	<u>1,664,703</u>

The Feigenbaum Foundation, Inc.

04-3000345

Attachment to Form 990 PF

Part II, Line 10B

Corporate Stocks

December 31, 2013

Quantity	Description	Book Value	Market Value
3,750	Adirondack Small Cap Fund	64,875	83,888
85	Apple Inc Com	34,404	47,687
90	Blackrock Inc Com	21,731	28,482
205	Cameron Int'l Corp Com	12,964	12,204
119	Celgene Corp Com	14,701	20,107
200	Chevron Corp New Com	23,592	24,982
245	Chubb Corp Com	21,011	23,674
700	Coca Cola Co Com	27,146	28,917
390	Conocophillips Com	22,497	27,554
223	Danaher Corp Com	5,632	17,216
173	Dominion Res Inc VA New Com	9,800	11,191
1,012	E M C Corp Mass Com	23,798	25,452
300	Exxon Mobil Corp Com	26,916	30,360
500	Family Dlr Stores Inc Com	29,455	32,485
3,400	Franklin Int'l Smaller Co Growth Fund #681	61,574	76,772
75	Google Inc Cl A	62,588	84,053
188	Int'l Business Machs Corp Com	36,618	35,263
3,870	I Shares Russell Mid-Cap Growth ETF	290,794	326,473
340	I Shares US Telecommunications ETF	8,169	10,108
400	Johnson & Johnson Com	24,502	36,636
900	Johnson Ctls Inc Com	13,293	46,170
160	Kimberly Clark Corp Com	15,765	16,714
195	L-3 Communications Hldgs Inc Com	14,882	20,838
500	Lilly Eli & Co Com	27,663	25,500
250	McDonalds Corp Com	23,929	24,258
100	Nextera Energy Inc Com	7,394	8,562
300	Novartis Ag Sponsored Adr	19,493	24,114
85	Novo-Nordisk A S Adr	15,488	15,705
510	Oracle Corp Com	18,077	19,513
400	Pepsico Inc Com	22,195	33,176
1,000	Pfizer Inc Com	27,985	30,630
840	Procter & Gamble Co Com	59,966	68,384
868	Qualcomm Inc Com	47,339	64,449
220	SPDR Gold Tr Gold Shs	33,605	25,546
670	SPDR Ser Tr S&P Homebuilders ETF	20,529	22,311
765	Schlumberger LTD Com	39,072	68,934
590	Southern Co Com	26,668	24,255
400	Stericycle Inc Com	22,302	46,468
720	TJX Cos Inc New Com	33,764	45,886
500	United Technologies Corp com	24,037	56,900
575	Vanguard Ftse Emerging Markets ETF	25,122	23,656
375	Vanguard Index Fds REIT ETF	26,045	24,210
1,500	Verizon Communications Inc Com	71,265	73,710
440	VISA Inc Com Cl A	46,599	97,979
1,540	Wells Fargo & Co New Com	47,509	69,916
425	Yum Brands Inc com	28,131	32,134
330	Air Products & Chem	28,766	36,887
350	Apache Corp	25,578	30,079
250	Apache Corp	21,878	21,485

The Feigenbaum Foundation, Inc.

04-3000345

Attachment to Form 990 PF

Part II, Line 10B

Corporate Stocks

December 31, 2013

Quantity	Description	Book Value	Market Value
80	Apple Inc	34,238	44,882
170	Blackrock Inc	41,046	53,800
360	Boeing Company	28,073	49,136
175	Celgene Corp Com	26,716	29,569
300	Chevron Corp	35,388	37,473
250	Costco Wholesale Crp Del	28,940	29,755
700	Danaher Corp Del Com	43,453	54,040
320	Deere Co	28,749	29,226
750	Ebay Inc Com	41,535	41,149
700	Enterprise Prdts Prtn LP L P	41,538	46,410
600	General Electric	14,145	16,818
30	Google Inc	33,400	33,621
600	Home Depot Inc	42,324	49,404
450	Lear Corp Shs	24,199	36,437
550	Merck and Co Inc Shs	26,125	27,528
1,350	Morgan Stanley	35,816	42,336
500	NXP Semiconductors N.V	18,945	22,965
650	Oasis Pete Inc New	23,732	30,531
100	Oasis Pete Inc New	4,669	4,697
165	Panera Bread Co Cl A	26,851	29,154
500	Pepsico Inc	38,390	41,470
100	Precision Castparts	23,837	26,930
800	TJX Cos Inc New	36,156	50,984
275	Thermo Fisher Scientific Inc	25,369	30,621
150	Tractor Supply Co	19,669	23,274
100	Trip Advisor Inc	8,332	8,283
375	Union Pacific Corp	52,121	63,000
325	United Techs Corp Com	29,380	36,985
1,000	US Bancorp (New)	34,155	40,400
1,500	I Shares MSCI Germany	41,786	47,640
700	SPDR S & P Midcap 400 EFT	141,868	170,940
400	SPDR S & P 500 EFT Trust	62,438	74,799
1,700	Sector SPDR Financial	34,438	37,162
471	Davis New York Venture Fund Cl A	19,496	19,496
2,833	Investment Company of America Cl A	103,969	103,970
4,351	New Perspective Fund A	163,414	163,415
102,283	Blackrock Multi Asset	1,141,584	1,157,844
113,379	Blackrock Strategic	1,141,918	1,151,931
800	Abbott Labs	29,379	30,664
800	Abbvie Inc Shs	35,555	42,248
560	Ace Limited	49,990	57,977
790	Amer Express Company	57,663	71,677
230	American Tower REIT Inc	18,652	18,359
2,160	AT&T Inc	77,449	75,946
1,140	Bank of Nova Scotia	65,074	71,307
1,370	BHP Billiton Ltd Adr	90,723	93,434
2,950	Bristol-Myers Squibb Co	132,040	156,793
1,260	Chevron Corp	154,420	157,387
950	Chubb Corp	88,548	91,799

The Feigenbaum Foundation, Inc.

04-3000345

Attachment to Form 990 PF

Part II, Line 10B

Corporate Stocks

December 31, 2013

Quantity	Description	Book Value	Market Value
1,420	Citigroup Inc Com New	71,798	73,996
1,930	Coca Cola Com	79,235	79,728
2,720	Comcast Crp New Cl A Spl	106,071	135,674
900	Conocophillips	55,119	63,585
800	Consol Energy Inc Com	27,406	30,432
1,140	Deere Co	100,606	104,116
1,020	Diageo Plc Spds Adr New	122,448	135,068
1,700	Dominion Res Inc New VA	99,557	109,973
1,020	Dow Chemical Co	34,897	45,288
2,160	Du Pont E I De Nemours	119,508	140,335
570	Duke Energy Corp New	40,065	39,336
1,820	Enbridge Inc Com	81,454	79,498
1,710	Exxon Mobil Corp Com	154,222	173,052
3,400	Fifth Third Bancorp	60,556	71,502
6,116	General Electric	141,993	171,431
1,020	General Mills	48,945	50,908
1,360	Home Depot Inc	104,495	111,982
800	Honeywell Intl Inc Del	61,380	73,096
2,050	Intel Corp	50,654	53,208
492	Intl Business Machine	101,791	92,284
900	Intl Paper Co	43,700	44,127
1,020	Johnson and Johnson com	86,242	93,422
910	Johnson Controls Inc	33,356	46,683
3,725	JPMorgan Chase & Co	195,819	217,838
680	Kimberly Clark	67,560	71,033
1,140	Kinder Morgan Inc Del	43,925	41,040
1,590	Lorillard Inc	67,723	80,581
1,360	Marathon Oil Corp	46,227	48,008
680	Marathon Petroleum Corp	54,919	62,376
1,250	McDonalds Corp Com	123,752	121,288
1,590	Meadwestvaco	55,667	58,719
2,160	Merck and Co Inc Shs	103,788	108,108
600	MetLife Inc Com	29,393	32,352
1,930	Microsoft Corp	65,827	72,201
1,820	Mondelez International	55,128	64,246
700	Motorola Solutions Inc	42,189	47,250
910	Newmont Mining Corp	30,598	20,957
1,140	Nextera Energy Inc Shs	89,403	97,607
1,140	Northeast Utilities Com	49,097	48,324
680	Northrop Grumman Corp	54,440	77,935
910	Occidental Pete Corp Cal	83,194	86,541
3,410	Pfizer Inc	96,305	104,448
1,480	Phillip Morris Intl Inc	137,412	128,952
450	Phillips 66 Shs	28,545	34,708
570	Praxair Inc	65,341	74,117
1,250	Procter & Gamble Co	96,283	101,762
1,350	Prudential Financial Inc	93,790	124,497
1,140	Pub Svc Enterprise Grp	39,278	36,525
1,480	Raytheon Co Delaware New	95,474	134,236

The Feigenbaum Foundation, Inc.

04-3000345

Attachment to Form 990 PF

Part II, Line 10B

Corporate Stocks

December 31, 2013

Quantity	Description	Book Value	Market Value
2,096	Schlumberger Ltd	161,039	188,870
570	Sempra Energy	46,727	51,163
2,280	Suntrust Bks Inc Com	71,798	83,927
1,600	Travelers Cos, Inc.	137,810	144,864
1,360	Toronto Dominion Bank	55,337	64,083
1,370	Total S A Sp Adr	69,247	83,940
2,620	Unilever NV NY Reg Shs	108,822	105,402
680	United Parcel Svc Cl B	58,505	71,454
1,360	United Techs Corp Com	127,699	154,768
2,270	US Bancorp (new)	78,074	91,708
2,000	V F Corporation	90,605	124,680
3,190	Verizon Communicatns Com	161,274	156,756
910	Wal-Mart Stores Inc	69,483	71,608
3,870	Wells Fargo & Co New Del	153,158	175,698
2,500	Weyerhaeuser Co	76,152	78,925
570	3M Company	61,681	79,943
		<hr/>	<hr/>
	Totals to Part II Line 10b	<u>11,438,787</u>	<u>12,749,701</u>

The Feigenbaum Foundation, Inc.

04-3000345

Attachment to Form 990 PF

Part II, Line 10C

Corporate Bonds

December 31, 2013

Description	Face	Int Rate	Matures	Book Value	Market Value
A T & T Inc Glb	12,000	5 100%	9/15/2014	12,462	12,382
A T & T Inc Glb	5,000	5 100%	9/15/2014	5,179	5,159
A T & T Inc Glb	5,000	5 100%	9/15/2014	5,170	5,159
Aetna Inc Glb	15,000	2 750%	11/15/2022	13,823	13,817
Aetna Inc Glb	5,000	2 750%	11/15/2022	4,648	4,606
Aetna Inc Glb	7,000	2 750%	11/15/2022	6,488	6,448
American Express Credit Ser Mtn	21,000	2 375%	3/24/2017	21,749	21,585
American Express Credit Ser Mtn	6,000	2 375%	3/24/2017	6,249	6,167
American Express Credit Ser Mtn	10,000	2 375%	3/24/2017	10,314	10,279
American Intl Group Glb	17,000	3 800%	3/22/2017	18,175	18,154
American Intl Group Glb	6,000	3 800%	3/22/2017	6,447	6,407
American Intl Group Glb	7,000	3 800%	3/22/2017	7,497	7,475
Anheuser-Busch Inbev Wor Company Guarnt Glb	22,000	1 375%	7/15/2017	22,013	21,954
Anheuser-Busch Inbev Wor Company Guarnt Glb	7,000	1 375%	7/15/2017	7,029	6,985
Anheuser-Busch Inbev Wor Company Guarnt Glb	10,000	1 375%	7/15/2017	9,970	9,979
Apple Inc Glb	15,000	1 000%	5/3/2018	14,545	14,504
Apple Inc Glb	5,000	1 000%	5/3/2018	4,876	4,835
Apple Inc Glb	7,000	1 000%	5/3/2018	6,771	6,769
Capital One Financial Co Glb	19,000	4 750%	7/15/2021	20,248	20,204
Capital One Financial Co Glb	6,000	4 750%	7/15/2021	6,433	6,380
Capital One Financial Co Glb	8,000	4 750%	7/15/2021	8,540	8,507
Cisco Systems Inc Glb	26,000	5 500%	2/22/2016	28,839	28,603
Cisco Systems Inc Glb	9,000	5 500%	2/22/2016	9,981	9,901
Cisco Systems Inc Glb	12,000	5 500%	2/22/2016	13,222	13,202
Citigroup Inc Glb	21,000	1 750%	5/1/2018	20,618	20,649
Citigroup Inc Glb	7,000	1 750%	5/1/2018	6,946	6,883
Citigroup Inc Glb	10,000	1 750%	5/1/2018	9,822	9,833
Comcast Corp Company Guarnt	12,000	5 850%	11/15/2015	13,222	13,129
Comcast Corp Company Guarnt	5,000	5 850%	11/15/2015	5,506	5,470
DirecTV Hldg/Fin Inc Company Guarnt	19,000	3 500%	3/1/2016	19,956	19,944
DirecTV Hldg/Fin Inc Company Guarnt	7,000	3,500%	3/1/2016	7,375	7,348
DirecTV Hldg/Fin Inc Company Guarnt	8,000	3 500%	3/1/2016	8,407	8,398
Enterprise Products Oper Company Guarnt	12,000	4 450%	2/15/2043	10,437	10,600
Enterprise Products Oper Company Guarnt	4,000	4 450%	2/15/2043	3,586	3,533
General Elect Cap Corp Glb	14,000	2 950%	5/9/2016	14,686	14,658
General Elect Cap Corp Glb	4,000	2 950%	5/9/2016	4,202	4,188
General Elect Cap Corp Glb	7,000	2 950%	5/9/2016	7,332	7,329
Goldman Sachs Group Inc Glb	14,000	5 250%	7/27/2021	15,256	15,328
Goldman Sachs Group Inc Glb	5,000	5 250%	7/27/2021	5,512	5,474
Goldman Sachs Group Inc Glb	6,000	5 250%	7/27/2021	6,596	6,569
HCP Inc Glb	18,000	2 625%	2/1/2020	17,375	17,157
HCP Inc Glb	6,000	2,625%	2/1/2020	5,816	5,719
Intl Paper Co Glb	13,000	4 750%	2/15/2022	13,775	13,629
Intl Paper Co Glb	4,000	4 750%	2/15/2022	4,249	4,194
Intl Paper Co Glb	6,000	4 750%	2/15/2022	6,330	6,291
JPMorgan Chase & Co Glb	19,000	3 200%	1/25/2023	17,916	18,013
JPMorgan Chase & Co Glb	7,000	5 250%	1/25/2023	6,661	6,636
JPMorgan Chase & Co Glb	8,000	3 200%	1/25/2023	7,643	7,584
Kinder Morgan Ener Part	15,000	3 500%	9/1/2023	13,905	13,773
Kinder Morgan Ener Part	5,000	3 500%	9/1/2023	4,710	4,591
Kinder Morgan Ener Part	6,000	3 500%	9/1/2023	5,575	5,509
Morgan Stanley Glb	17,000	1 750%	2/25/2016	17,209	17,228
Morgan Stanley Glb	6,000	1,750%	2/25/2016	6,091	6,081
Morgan Stanley Glb	8,000	1 750%	2/25/2016	8,124	8,107
Oracle Corp/Ozark Hldg Glb	11,000	5 250%	1/15/2016	12,083	12,008
Oracle Corp/Ozark Hldg Glb	4,000	5 250%	1/15/2016	4,394	4,366
Oracle Corp/Ozark Hldg Glb	5,000	5 250%	1/15/2016	5,464	5,458

The Feigenbaum Foundation, Inc.

04-3000345

Attachment to Form 990 PF

Part II, Line 10C

Corporate Bonds

December 31, 2013

Description	Face	Int Rate	Matures	Book Value	Market Value
Pfizer Inc	18,000	5 350%	3/15/2015	19,160	19,020
Pfizer Inc	6,000	5 350%	3/15/2015	6,376	6,340
Pfizer Inc	8,000	5 350%	3/15/2015	8,463	8,453
Shell International	12,000	4 000%	3/21/2014	12,160	12,095
Shell International	5,000	4 000%	3/21/2014	5,057	5,040
UnitedHealth Group Inc Glb	15,000	1 625%	3/15/2019	14,558	14,445
UnitedHealth Group Inc Glb	5,000	1 625%	3/15/2019	4,906	4,815
USD Total Capital	15,000	2 125%	8/10/2018	15,177	15,058
USD Total Capital	5,000	2 125%	8/10/2018	5,097	5,019
USD Total Capital	7,000	2 125%	8/10/2018	7,073	7,027
Ventas Lp/Cap Crp Company Guarnt	14,000	4 250%	3/1/2022	14,242	14,088
Ventas Lp/Cap Crp Company Guarnt	5,000	4 250%	3/1/2022	5,104	5,031
Verizon Communications Glb	26,000	4 600%	4/1/2021	27,657	27,464
Verizon Communications Glb	7,000	4 600%	4/1/2021	7,462	7,394
Wal-Mart Stores Inc Glb	21,000	1 125%	4/11/2018	20,535	20,381
Wal-Mart Stores Inc Glb	7,000	1 125%	4/11/2018	6,893	6,794
Wal-Mart Stores Inc Glb	10,000	1 125%	4/11/2018	9,758	9,705
Watson Pharmaceuticals Glb	23,000	3 250%	10/1/2022	21,629	21,453
Watson Pharmaceuticals Glb	6,000	3 250%	10/1/2022	5,673	5,596
Watson Pharmaceuticals Glb	10,000	3 250%	10/1/2022	9,418	9,327
Wells Fargo & Company Ser Mtn Glb	22,000	3 500%	3/8/2022	22,059	22,006
Wells Fargo & Company Ser Mtn Glb	7,000	3 500%	3/8/2022	7,074	7,002
Wells Fargo & Company Ser Mtn Glb	10,000	3 500%	3/8/2022	10,058	10,003
Allergen Inc Sr Nt	50,000	3 375%	9/15/2020	52,250	51,142
America Movil SAB De Cv Gtd Sr	50,000	2 375%	9/8/2016	51,545	51,459
Apache Corp Sr Nt	50,000	3 250%	4/15/2022	48,601	49,263
Apple Inc Gbl Nt	50,000	2 400%	5/3/2023	46,272	44,961
Astrazeneca Plc Sr Nt	50,000	1 950%	9/18/2019	49,400	48,581
Boeing Cap Corp Sr Nt	50,000	2 900%	8/15/2018	51,635	52,016
CME Group Inc Sr Nt	50,000	3 000%	9/15/2022	48,271	47,216
Dr Pepper Snapple Group Inc Sr Nt	50,000	2.600%	1/15/2019	50,890	50,267
E-Bay Inc Sr Nt	50,000	2 600%	7/15/2022	47,156	45,987
I Shares Intermediate Credit Bond				54,087	53,940
Intel Corp Sr Nt	50,000	3 300%	10/1/2021	49,706	49,674
John Deere Cap Corp Mtns Fr	50,000	2 250%	4/17/2019	49,390	49,893
JPMorgan Chase & Co Sr Nt	50,000	1 625%	5/15/2018	49,426	48,960
Nike Inc Sr Nt	50,000	2 250%	5/1/2023	46,075	44,706
Petrobras Intl Fin Co Gbl Nt	50,000	3 500%	2/6/2017	50,713	50,457
PIMCO 1-5 Year U S Tips Index ETF				53,045	52,896
Ryder Sys Mtn Nt	50,000	2 500%	3/1/2017	50,807	50,868
Smucker J M Co Sr Nt	50,000	3 500%	10/15/2021	50,702	49,642
Teva Pharmaceutical Finance IV Sr NT	50,000	2.250%	3/18/2020	48,529	47,545
Transcanada Corp Sr Nt	50,000	3 800%	10/1/2020	51,552	51,756
Morgan Stanley Ser MTN	50,000	VAR	9/22/2019	50,515	50,545
Libor Floater Iss Bac	25,000	4 840%	5/3/2016	25,265	24,781
Goldman Sachs Group Inc Ser NOTZ	50,000		8/15/2018	50,015	49,401
Dow Chemical Co	50,000	3 650%	9/15/2020	50,015	49,658
Goldman Sachs Group Inc Ser MTN	50,000		8/30/2023	50,015	47,680
Totals to Part II Line 10c				<u>2,078,913</u>	<u>2,061,988</u>