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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
NEW ENGLAND BIOLABS FOUNDATION

A Employer identification number
04-2776213

Number and street (or P O box number if mail is not delivered to street address) 240 COUNTY ROAD | Room/suite

B Telephone number (see instructions)
(978) 998-7990

City or town, state or province, country, and ZIP or foreign postal code
IPSWICH, MA 019382723

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 8,711,658

J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	275,100			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	174,674	174,674		
	5a	Gross rents				
	b	Net rental income or (loss) ________				
	6a	Net gain or (loss) from sale of assets not on line 10	672,790			
	b	Gross sales price for all assets on line 6a 4,347,458				
	7	Capital gain net income (from Part IV, line 2)		672,790		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	1,122,564	847,464		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	108,666			108,666
	14	Other employee salaries and wages	10,567			10,567
	15	Pension plans, employee benefits	15,856			28,506
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	8,065	5,065		3,000
	c	Other professional fees (attach schedule)	60,672	57,312		3,360
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	16,273			16,273
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy	441			441
	21	Travel, conferences, and meetings	9,112			9,112
	22	Printing and publications	119			119
	23	Other expenses (attach schedule)	49,356			49,356
	24	**Total operating and administrative expenses.** Add lines 13 through 23	279,127	62,377		229,400
	25	Contributions, gifts, grants paid	437,900			437,900
	26	**Total expenses and disbursements.** Add lines 24 and 25	717,027	62,377		667,300
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	405,537			
	b	**Net investment income** (if negative, enter -0-)		785,087		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	107,051	128,508	128,508
	3	Accounts receivable ▶ 10,600			
		Less allowance for doubtful accounts ▶	14,493	10,600	10,600
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶	1,000		
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ 100,000			
		Less allowance for doubtful accounts ▶	50,000	100,000	100,000
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges		1,950	1,950
	10a	Investments—U S and state government obligations (attach schedule)	790,029	1,026,431	922,887
	b	Investments—corporate stock (attach schedule)	4,389,559	5,001,533	6,974,690
	c	Investments—corporate bonds (attach schedule)	1,081,543	581,887	552,573
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	48,835	41,409	20,450
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	885,116	1,819,340	0
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	7,367,626	8,711,658	8,711,658
Liabilities	17	Accounts payable and accrued expenses	10,794	16,396	
	18	Grants payable	94,700	108,976	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	105,494	125,372	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	7,258,332	8,586,286	
	25	Temporarily restricted	3,800		
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	7,262,132	8,586,286	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	7,367,626	8,711,658	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,262,132
2	Enter amount from Part I, line 27a	2	405,537
3	Other increases not included in line 2 (itemize) ▶	3	918,617
4	Add lines 1, 2, and 3	4	8,586,286
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,586,286

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	INVESTMENT SECURITIES	P	2012-12-31	2013-12-31
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,347,458	0	3,674,668	672,790
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	0	0	0	672,790
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	672,790
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	574,744	6,943,277	0 082777
2011	513,158	6,745,627	0 076073
2010	560,980	6,536,860	0 085818
2009	476,119	6,087,172	0 078217
2008	520,581	6,863,832	0 075844

2	**Total** of line 1, column (d).	2	0 398729
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 079746
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	7,974,708
5	Multiply line 4 by line 3.	5	635,951
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	7,851
7	Add lines 5 and 6.	7	643,802
8	Enter qualifying distributions from Part XII, line 4.	8	667,300

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ___________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	**1**	7,851
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	**2**	0
3	Add lines 1 and 2	**3**	7,851
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	**4**	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	**5**	7,851
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — **6a**		
b	Exempt foreign organizations—tax withheld at source — **6b**		
c	Tax paid with application for extension of time to file (Form 8868) — **6c** 9,900		
d	Backup withholding erroneously withheld — **6d**		
7	Total credits and payments Add lines 6a through 6d	**7**	9,900
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached	**8**	174
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	**9**	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	**10**	1,875
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 1,875 **Refunded** ▶	**11**	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	**1a**		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	**1b**		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	**1c**		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	**2**		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	**3**		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	**4a**		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	**4b**		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	**5**		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	**6**		No
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	**7**	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	**8b**	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	**9**		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	**10**		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶www nebf org

14 The books are in care of ▶JESSICA BROWN Telephone no ▶(978) 998-7990

Located at ▶240 COUNTY ROAD IPSWICH MA ZIP+4 ▶019382723

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
MORGAN STANLEY SMITH BARNEY 1 PENN PLAZA NEW YORK, NY 10001	INVESTMENT MANAGEMENT	57,312
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NEBF SUPPORTS PRIMARILY GRASSROOTS ORGANIZATIONS WITH AN EMPHASIS ON CONSERVATION, CULTURAL DIVERSITY, ECOSYSTEMS, FOOD SECURITY AND THE MARINE ENVIRONMENT	437,900
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	7,978,370
b	Average of monthly cash balances	1b	117,780
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	8,096,150
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	8,096,150
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	121,442
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	7,974,708
6	**Minimum investment return.** Enter 5% of line 5	6	398,735

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	398,735
2a	Tax on investment income for 2013 from Part VI, line 5	2a	7,851		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	7,851
3	Distributable amount before adjustments Subtract line 2c from line 1			3	390,884
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	390,884
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	390,884

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	667,300
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	667,300
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	7,851
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	659,449

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					390,884
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only.					
b Total for prior years 20___ , 20___ , 20___					
3 Excess distributions carryover, if any, to 2013					
a From 2008.	282,982				
b From 2009.	172,768				
c From 2010.	239,460				
d From 2011.	184,384				
e From 2012.	236,360				
f **Total** of lines 3a through e.		1,115,954			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 667,300					
a Applied to 2012, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2013 distributable amount.					390,884
e Remaining amount distributed out of corpus		276,416			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,392,370			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .		282,982			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		1,109,388			
10 Analysis of line 9					
a Excess from 2009. . . .	172,768				
b Excess from 2010. . . .	239,460				
c Excess from 2011. . . .	184,384				
d Excess from 2012. . . .	236,360				
e Excess from 2013. . . .	276,416				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

JESSICA L BROWN
240 COUNTY ROAD
IPSWICH, MA 019382723
(978) 998-7990

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHED NOTE REGARDING MISSION AND GUIDELINES

c Any submission deadlines

APPLICATIONS ARE REVIEWED TWICE A YEAR

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED NOTE REGARDING MISSION AND GUIDELINES

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED SEE ATTACHED SEE ATTACHED, MA 01938		SEEATTACHED	SEE ATTACHED	437,900
Total . . . . ▶ 3a				437,900
b *Approved for future payment*				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	174,674	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18		672,790
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				174,674	672,790

13 Total. Add line 12, columns (b), (d), and (e). **13** 847,464

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
8	Investment income is used to provide grants

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2014-10-21 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed	PTIN
TIMOTHY F HAGAN CPA		2014-11-14	☐	
Firm's name ▶	BERNARD JOHNSON & COMPANY PC		Firm's EIN ▶	
Firm's address ▶	15 MAIN STREET TOPSFIELD, MA 01983		Phone no (978) 887-2220	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JESSICA L BROWN 275 HIGH ROAD NEWBURY, MA 01951	EXECUTIVE DIRECTOR 30 00	75,503	15,260	0
HENRY P PAULUS 85 EAST INDIA ROW 35A BOSTON, MA 02110	TRUSTEE 5 00	0	0	0
DAVID C COMB 1 NORTONS POINT MANCHESTER, MA 01944	TRUSTEE 5 00	0	0	0
HEIDI ELLARD 15 FOREST LANE BOXFORD, MA 01921	TRUSTEE 5 00	0	0	0
MARCOS A NETO 5 SARGENT STREET CAMBRIDGE, MA 02140	TRUSTEE 5 00	0	0	0

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

2013

Name of the organization	**Employer identification number**
NEW ENGLAND BIOLABS FOUNDATION	04-2776213

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
NEW ENGLAND BIOLABS FOUNDATION	04-2776213

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NEW ENGLAND BIOLABS INC 240 COUNTY ROAD IPSWICH, MA 01938	$ 143,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	MR AND MRS DONALD COMB 240 COUNTY ROAD IPSWICH, MA 01938	$ 125,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	MR DAVID COMB 240 COUNTY ROAD IPSWICH, MA 01938	$ 5,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
4	NEW ENGLAND BIOLABS INC 240 COUNTY ROAD IPSWICH, MA 01938	$ 27,650	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
NEW ENGLAND BIOLABS FOUNDATION	04-2776213

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
4	FACILITY RENT	$ 15,000	2013-12-31

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
4	GROUP INSURANCE BENEFITS	$ 12,650	2013-12-31

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
NEW ENGLAND BIOLABS FOUNDATION

Employer identification number
04-2776213

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc ,
contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

TY 2013 Accounting Fees Schedule

Name: NEW ENGLAND BIOLABS FOUNDATION

EIN: 04-2776213

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BERNARD JOHNSON & COMPANY REVIEW & TAX	8,065	5,065		3,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: NEW ENGLAND BIOLABS FOUNDATION

EIN: 04-2776213

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
INVESTMENT SECURITIES		Purchased			4,347,458	3,674,668	Cost		672,790	

TY 2013 Investments Corporate Bonds Schedule

Name: NEW ENGLAND BIOLABS FOUNDATION

EIN: 04-2776213

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	581,887	552,573

TY 2013 Investments Corporate Stock Schedule

Name: NEW ENGLAND BIOLABS FOUNDATION

EIN: 04-2776213

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE EQUITIES	5,001,533	6,974,690

TY 2013 Investments Government Obligations Schedule

Name:	NEW ENGLAND BIOLABS FOUNDATION
EIN:	04-2776213
US Government Securities - End of Year Book Value:	1,026,431
US Government Securities - End of Year Fair Market Value:	922,887
State & Local Government Securities - End of Year Book Value:	
State & Local Government Securities - End of Year Fair Market Value:	

TY 2013 Investments - Other Schedule

Name: NEW ENGLAND BIOLABS FOUNDATION

EIN: 04-2776213

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS		41,409	20,450

TY 2013 Other Assets Schedule

Name: NEW ENGLAND BIOLABS FOUNDATION

EIN: 04-2776213

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
UNREALIZED GAIN ON INVESTMENTS	885,116	1,819,340	

TY 2013 Other Assets Schedule

Name: NEW ENGLAND BIOLABS FOUNDATION

EIN: 04-2776213

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
UNREALIZED GAIN ON INVESTMENTS	885,116	1,819,340	

TY 2013 Other Assets Schedule

Name: NEW ENGLAND BIOLABS FOUNDATION

EIN: 04-2776213

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
UNREALIZED GAIN ON INVESTMENTS	885,116	1,819,340	

TY 2013 Other Expenses Schedule

Name: NEW ENGLAND BIOLABS FOUNDATION

EIN: 04-2776213

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	253			253
PAYROLL SERVICE FEES	1,616			1,616
OFFICE SUPPLIES	864			864
MEMBER DUES	6,875			6,875
EVALUATION/GRANTEE NETWORKING	29,182			29,182
FUNDRAISERS	1,000			1,000
BOARD EXPENSES	901			901
PUBLICATIONS/WEB DESIGN	388			388
COMPUTER EXPENSE	1,116			1,116
FILING FEES	125			125
OTHER EXPENSES	1,715			1,715
OUTSIDE SERVICES	5,321			5,321

TY 2013 Other Increases Schedule

Name: NEW ENGLAND BIOLABS FOUNDATION

EIN: 04-2776213

Description	Amount
NET UNREALIZED GAIN ON INVESTMENTS	918,617

TY 2013 Other Professional Fees Schedule

Name: NEW ENGLAND BIOLABS FOUNDATION

EIN: 04-2776213

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MORGAN STANLEY INVESTMENT MANAGEMENT FEES	57,312	57,312		
GAIL McELHINNEY BOOKKEEPING	3,360			3,360

TY 2013 Taxes Schedule

Name: NEW ENGLAND BIOLABS FOUNDATION

EIN: 04-2776213

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	8,273			8,273
FEDERAL EXCISE	8,000			8,000

AREA	RECIPIENT	PURPOSE OF GRANT	AMOUNT	FDN STATUS
Gulf of Honduras				
Belize	**Toledo Institute of Development and Environment (TIDE)**	Continuing support for community participation in research and monitoring in the Port Honduras Marine Reserve (first year of two-year grant)	$ 9,000	Foreign Equivalent
Belize	**Ya'axche Conservation Trust (YCT)**	Continuing support for promoting greater dissemination of sustainable agricultural practices in the Maya Golden Landscape	$ 9,100	Foreign Equivalent
Guatemala	**Foundation for Ecodevelopment and Conservation (FUNDAECO)**	Building stewardship with local communities of fishers involved in the king fish restoration zone	$ 9,000	Foreign Equivalent
		Gulf of Honduras total	**$ 27,100**	
Mesoamerica				
El Salvador	**Mangrove Association**	Support for environmental education and capacity development of youth in 11 schools in the communities around the Bay of Jiquilisco Biosphere Reserve	$ 8,400	Foreign Equivalent
Guatemala	**Alliance for International Reforestation (AIR)**	Continued support for the establishment of tree nurseries and tree-planting and sustainable farming in three Mayan communities near Tecpan	$ 4,500	PC
Guatemala	**Asociacion para la Promocion y el Desarrollo de la Comunidad (CEIBA)**	Support for seed banks for conservation and food sustainability in 12 rural communities in southern Guatemala	$ 6,000	Foreign Equivalent
Guatemala	**Grassroots International**	Continuing support for indigenous women's vegetable gardening and leadership project in 10 communities around Sololá	$ 7,500	PC
Guatemala	**Nature Defenders Foundation**	Enhancing local livelihoods through sustainable fishing practices in Guaritas, Izabal	$ 5,500	Foreign Equivalent
Honduras	**Bica Guanaja**	Continuing support for restoration and recovery of mangrove ecosystem of Guanaja	$ 8,000	Foreign Equivalent
Honduras	**Mesoamerican Development Institute (MDI)**	Establishing integrated open canopy agroforestry systems with Cooperativa Mixta Subirana Yoro Limitada (COMISUYL)	$ 7,000	PC
Nicaragua	**El Porvenir**	In support of watershed and stream restoration work in La Montanita	$ 7,400	PC
Nicaragua	**Fauna & Flora International**	In support of local capacity building for the sustainable management of the Ometepe Island Biosphere Reserve	$ 7,000	PC
Nicaragua	**Institute for Development, Evaluation, Assistance and Solutions (IDEAS)**	Educating 40 at-risk youth in solar energy installation and maintenance	$ 3,500	PC
Nicaragua	**MADRE**	Establishing a community seed bank in Kisalaya, a village of 300 families, on the Coco River in the North Atlantic Autonomous Region	$ 8,000	PC
		Mesoamerica total	**$ 72,800**	
South America				
Bolivia (& Guatemala)	**First Peoples Worldwide**	In support of Native Abundance Initiative, a small grants program addressing food security issues and promoting traditional food production methods	$ 8,000	PC
Bolivia	**Association of United Women for Sustainable Development (ASMUDES)**	In support of agroforestry activities using native fruit trees and consolidation of management regulations in two Quechua communities in the El Palmar Natural Area of Integrated Management	$ 8,000	Foreign Equivalent
Bolivia	**Tomas Katari**	Promoting community-led improvement of agricultural production and sustainable natural resource management to help rural people adapt to the effects of climate change in a vulnerable high-altitude environment	$ 7,500	Foreign Equivalent

Ecuador	**Minga Peru**	In support of women's leadership and bio-cultural preservation project incorporating agro-forestry, capacity-building workshops, and community radio and involving local communities near a reserve in the Peruvian Amazon	$	7,000	Foreign Equivalent
Peru	**American Bird Conservancy/ECOBAN**	In support of private conservation area creation and easement expansion at Huembo Reserve	$	7,000	PC
Peru	**Asociacion Civil Alianza Arkana**	In support of Grow and Cook, an agricultural project involving 120 Shipibo school children that strengthens cultural identity, uses traditional knowledge, and combats issues of declining food security and environmental degradation	$	8,000	Foreign Equivalent
Peru	**Center for Amazon Community Ecology (CACE)**	In support of sustainable harvest and marketing of value-added, non-timber forest products with indigenous communities in the Ampiyacu River region	$	4,200	PC
Peru	**Pachamama's Path**	Establishing indigenous-centered educational activities in Pisac, Peru	$	8,000	PC
Peru	**Peruvian Society for Environmental Law (SPDA)**	In support of *Conservamos por Naturaleza,* a project that fosters community support for conservation of the growing number of private and community-based protected areas in Peru	$	8,000	Foreign Equivalent
Peru	**Proyecto Mono Tocon**	In support of community-engagement initiatives for the conservation of the San Martin titi monkey in eastern San Martin, Peru	$	7,350	Foreign Equivalent
Peru	**World Land Trust-US/Centro para el Desarrollo del Indigena Amazonico (CEDIA)**	Helping 16 indigenous communities in the Peruvian Amazon to reclaim mining and logging rights on their lands	$	7,000	PC
		South America total	**$**	**80,050**	
Africa					
Cameroon	**Cameroon Gender & Environment Watch (CAMGEW)**	Promoting integrated organic agriculture in 8 primary schools in Oku	$	6,000	Foreign Equivalent
Cameroon	**Environment and Rural Development Foundation (ERuDeF)**	In support of a conservation education program involving 11 communities around the proposed Tofala Hill Wildlife Sanctuary in the Lebialem Forest Highlands	$	7,800	Foreign Equivalent
Cameroon	**Environmental Governance Institute (EGI)**	Promoting community-led conservation in communities around the Takamanda National Park	$	5,000	Foreign Equivalent
Cameroon	**Forest and Agroforestry Promoters (FAP)**	Reducing the degradation of water catchments in Bamenda Highlands region of Cameroon	$	6,500	Foreign Equivalent
Cameroon	**Organization for Gender, Civic Engagement and Youth Development (OGCEYOD)**	In support of Nurture Youth to Grow Environmental Friendly, a peer-education training program involving 600 primary school teachers	$	6,000	Foreign Equivalent
Cameroon	**Pan African Sanctuary Alliance (PASA)**	Expanding a human-wildlife conflict resolution program in Cameroon	$	7,800	Foreign Equivalent
Cameroon	**Pandrillus Foundation/Limbe Wildlife Centre**	In support of green leaf vegetable crops as an alternative livelihood for ex-hunter wives in communities surrounding Mount Cameroon National Park	$	2,200	PC
Cameroon	**UNAFAS**	Fostering community-led watershed protection in Somie	$	7,000	Foreign Equivalent
Ghana	**Centre for Sustainable Rural Agriculture & Development (CSRAD)**	Safeguarding Montonso Sacred Forest of Saamang Stool Landa, a local-capacity building conservation project involving four communities in western Ghana	$	6,550	Foreign Equivalent
Ghana	**Gate of Hope Humanity Foundation**	In support of reforestation of degraded areas of a traditional forest and educational activities around Kalakpa Forest Reserve	$	2,000	Foreign Equivalent
Ghana	**Kalesea Foundation**	In support of aquatic weed management on Kpong/Atimpoku Head Pond of Volta Lake	$	5,500	Foreign Equivalent

Ghana	**Simli AiD**	Promoting integrated water management in 10 communities in the Central Gonja district of the northern Ghana	$	7,000	Foreign Equivalent
Ghana	**Transform Ghana (fdba Together Ghana)**	Providing a bridge grant for mountain forest protection, biodiversity conservation, and community afforestation for carbon sequestration	$	3,500	Foreign Equivalent
Tanzania	**Zanzibar Zoological Society (ZAZOSO)**	Restoring biodiversity in the sacred forests of Zanzibar	$	6,500	Foreign Equivalent
		Africa total	**$**	**79,350**	
Papua New Guinea					
	Woodland Park Zoo	Support for a tree kangaroo conservation program in Papua New Guinea	**$**	**7,000**	PC
Local					
	Cape Ann Farmers' Market	Expansion of the community-at-large Backyard Growers program and an added market season	$	5,000	PC
	Essex County Greenbelt	Support for a GIS summer intern	$	5,000	PC
	Essex National Heritage Commission	Support for the third annual summer workshop and mentoring program for Essex County teachers	$	5,000	PC
	Friends of the Boxford Library	Establishing a children's edible garden and education program at the library	$	5,000	PC
	Gloucester Adventure	Continuing support for community history-sharing programming	$	5,000	PC
	Gloucester Fishermen's Wives Association	Continuing support for Cape Ann Fresh Catch, a community-supported fisheries program	$	5,000	PC
	Gulf of Maine Institute (GOMI)	Support for GOMI Ocean Drifters, a project providing students with hands-on experience in studying and mapping the Gulf of Maine's currents	$	5,000	PC
	Maritime Gloucester	Support for Stock the Tank, a public-arts workshop	$	1,250	PC
	Massachusetts Audubon	Support for Seeking Relief from Sea Level Rise, a student mapping and leadership initiative	$	5,000	PC
	RAW Art Works	Continuing support for Door 2 Door, an arts-based employment program for young adults	$	5,000	PC
	The Food Project	Continuing support for Central Square Market, a fresh produce market in Lynn, MA	$	5,000	PC
	The Sudanese Education Fund (SEF)	Support for Teen Artist Expression, an artistic self-expression project involving 20+ South Sudanese teens	$	3,000	PC
		Local total	**$**	**54,250**	
Special Initiative					
	ArtCorps	Year II of three-year general operating support grant (includes $15,000 in contract services)	**$**	**95,000**	PC
		BOARD-APPROVED GRANTS TOTAL	**$**	**415,550**	
		DISCRETIONARY GRANTS TOTAL (See attached list)	**$**	**22,350**	
		2013 GRANTS GRAND TOTAL	**$**	**437,900**	

Mission and Guidelines
New England Biolabs Foundation

Mission Statement

The New England Biolabs Foundation (NEBF) is a private, independent foundation whose mission is to foster community-based conservation of landscapes and seascapes and the bio-cultural diversity found in these places Working internationally in selected countries of Central America, South America and West Africa, as well as locally (the north shore of Massachusetts), the Foundation supports primarily grassroots organizations with an emphasis on the following priorities

- Conservation of biological diversity
- Sustaining cultural diversity (linguistic diversity, as well as traditional knowledge systems and practices)
- Maintaining ecosystem services (water, soil, carbon sequestration)
- Supporting food security and economic vitality of local communities
- In the marine environment –sustaining healthy reefs and support of sustainable fisheries

In addition, local projects involving artistic expression are also considered for support

Who Can Apply

- Grassroots and community-based organizations (CBOs)
- Other non-profit, charitable organizations (NGOs)
- Emerging support groups

Only projects within our areas of **geographic focus** and within our **priority areas of interest** will be considered

Geographic focus

The geographic focus of our international grant-making is the following priority regions

- in Central America Belize, Guatemala, Honduras, Nicaragua and El Salvador
- in Andean South America Bolivia, Ecuador and Peru
- in West Africa Ghana and Cameroon

In addition, we occasionally support invited proposals from countries where we have been working over the past decade These include Papua New Guinea and Tanzania

Our guidelines to applicants are posted here http //nebf org/funding_priorities html