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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

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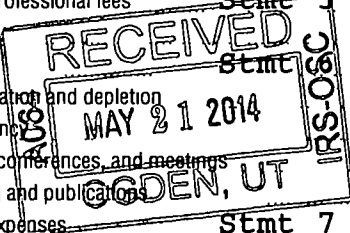
For calendar year 2013 or tax year beginning

, and ending

Name of foundation The Thoracic Foundation C/O Taylor, Ganson & Perrin, LLP		A Employer identification number 04-2226641
Number and street (or P O box number if mail is not delivered to street address) 160 Federal Street, 20th Fl.	Room/suite	B Telephone number (617)951-2777
City or town, state or province, country, and ZIP or foreign postal code Boston, MA 02110		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 6,964,057. (Part I, column (d) must be on cash basis)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received					
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		4,327.	4,327.	4,327.	Statement 1
4 Dividends and interest from securities		58,612.	58,612.	58,612.	Statement 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		831,748.			
b Gross sales price for all assets on line 6a	5,220,009.				
7 Capital gain net income (from Part IV, line 2)			831,748.		
8 Net short-term capital gain				N/A	
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		428.	428.	428.	Statement 3
12 Total. Add lines 1 through 11		895,115.	895,115.	63,367.	
13 Compensation of officers, directors, trustees, etc		22,064.	22,064.	0.	0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees Stmt 4		9,345.	9,345.	0.	0.
c Other professional fees Stmt 5		68,166.	68,166.	0.	0.
17 Interest					
18 Taxes Stmt 6		4,762.	4,762.	0.	0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		489.	489.	0.	0.
22 Printing and publications					
23 Other expenses Stmt 7		97.	97.	0.	0.
24 Total operating and administrative expenses. Add lines 13 through 23		104,923.	104,923.	0.	0.
25 Contributions, gifts, grants paid		220,000.			220,000.
26 Total expenses and disbursements. Add lines 24 and 25		324,923.	104,923.	0.	220,000.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		570,192.			
b Net investment income (if negative, enter -0-)			790,192.		
c Adjusted net income (if negative, enter -0-)				63,367.	

SCANNED MAY 29 2014



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			17,857.	17,857.
	2	Savings and temporary cash investments		953,173.	558,235.	558,235.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations Stmt 8		25,659.	29,246.	29,566.
	b	Investments - corporate stock Stmt 9		3,324,381.	4,273,023.	6,273,394.
	c	Investments - corporate bonds Stmt 10		50,651.	50,568.	56,231.
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other Stmt 11		32,673.	29,623.	28,774.	
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		4,386,537.	4,958,552.	6,964,057.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☒				
		and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted		4,386,537.	4,958,552.	
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ ☐				
		and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds				
28	Paid-in or capital surplus, or land, bldg., and equipment fund					
29	Retained earnings, accumulated income, endowment, or other funds					
30	Total net assets or fund balances		4,386,537.	4,958,552.		
31	Total liabilities and net assets/fund balances		4,386,537.	4,958,552.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,386,537.
2	Enter amount from Part I, line 27a	2	570,192.
3	Other increases not included in line 2 (itemize) ▶ Adjustment to Book values	3	1,823.
4	Add lines 1, 2, and 3	4	4,958,552.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,958,552.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b See Attached Statements				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 5,220,009.		4,388,261.	831,748.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			831,748.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	831,748.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	511,645.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	285,000.	5,446,470.	.052327
2011	431,129.	5,774,512.	.074661
2010	235,000.	5,360,603.	.043838
2009	234,515.	4,715,679.	.049731
2008	299,258.	5,870,565.	.050976

2 Total of line 1, column (d)	2	.271533
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.054307
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	6,128,732.
5 Multiply line 4 by line 3	5	332,833.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	7,902.
7 Add lines 5 and 6	7	340,735.
8 Enter qualifying distributions from Part XII, line 4	8	220,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	15,804.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	15,804.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	15,804.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,230.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,230.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	42.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	14,616.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>MA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address N/A				
14	The books are in care of Bradley R. Cook, Trustee Telephone no. (617) 951-2777 Located at 160 Federal Street, Boston, Massachusetts ZIP+4 02110			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Galen L. Stone 10 Longwood Drive, #422 Westwood, MA	Trustee 0.50	0.	0.	0.
Bradley R. Cook 160 Federal Street Boston, MA	Trustee 1.00	0.	0.	0.
Rev. Thomas J.S. Mikelson 3 Church Street Cambridge, MA	Trustee 0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services **0**

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,546,277.
b	Average of monthly cash balances	1b	675,786.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	6,222,063.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,222,063.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	93,331.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,128,732.
6	Minimum investment return. Enter 5% of line 5	6	306,437.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	306,437.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	15,804.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	15,804.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	290,633.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	290,633.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	290,633.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	220,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	220,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	220,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XM Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				290,633.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	7,214.			
b From 2009				
c From 2010				
d From 2011	151,849.			
e From 2012	16,171.			
f Total of lines 3a through e	175,234.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$	220,000.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				220,000.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	70,633.			70,633.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	104,601.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	104,601.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011	88,430.			
d Excess from 2012	16,171.			
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Bradley R. Cook, Trustee, 160 Federal Street, Boston, Massachusetts 02110

b The form in which applications should be submitted and information and materials they should include:

Open

c Any submission deadlines:

None

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

None

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution **	Amount
a Paid during the year				
Action on Smoking and Health(ASH), 2013 H Street NW, Washington, DC 20006	none	Health	General funding for non-smokers rights	5,000.
Asthma & Allergy Found. of America, 109 Highland Ave, Needham Hqts, MA 02494	none	Health	Asthma Education and Awareness	25,000.
Beth Israel Deaconess Surgery Foundation, 110 Francis St., Boston, MA 02115	none	Charity	Dr. Berger's Project-Lung Volume Reduction/Emphysema	25,000.
Campaign for Tobacco Free Kids, 1400 Eye St., NW Suite 1200, Washington, DC	none	Charity	Advocacy program - Kick Butts Day	20,000.
Campaign for Tobacco Free Kids, 1400 Eye St., NW Suite 1200, Washington, DC	none	Charity	Accountability program	25,000.
Total	See continuation sheet(s)			220,000.
b Approved for future payment				
None				
Total				0.

Form 990-PF (2013)

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
		14	4,327.	
		14	58,612.	
		18	428.	
		18	831,748.	
	0.		895,115.	0.
		13		895,115.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	300 shs Suncor Energy Inc	P	02/16/11	04/24/13
b	930 shs Abbvie Inc.	P	08/17/95	04/24/13
c	1,200 shs Sysco Corp.	P	12/08/95	10/17/13
d	100 shs Baytex Energy	P	02/22/07	02/14/13
e	200 shs Baytex Energy	P	02/22/07	08/22/13
f	75 shs Citrix Systems	P	05/08/12	08/22/13
g	100 shs Coach Inc.	P	06/08/11	02/14/13
h	350 shs Encana	P	Various	11/07/13
i	300 shs Fresenius Medical Care AG	P	07/23/10	05/14/13
j	200 shs Goldcorp Inc.	P	02/22/07	02/14/13
k	350 shs Irobot Corp	P	Various	11/07/13
l	200 shs Novozymes A/S	P	11/26/03	05/14/13
m	50 shs Novozymes A/S	P	11/26/03	08/22/13
n	400 shs Petroleo Brasileiro SA Petrobras	P	Various	05/14/13
o	100 shs Rosetta Resources Inc.	P	10/25/10	11/07/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,592.		13,200.	<4,608.>
b 41,427.		8,294.	33,133.
c 38,340.		9,801.	28,539.
d 4,443.		1,840.	2,603.
e 7,583.		3,681.	3,902.
f 5,318.		6,171.	<853.>
g 4,726.		6,008.	<1,282.>
h 6,224.		8,487.	<2,263.>
i 10,144.		8,101.	2,043.
j 6,766.		5,803.	963.
k 11,365.		11,099.	266.
l 6,974.		848.	6,126.
m 1,797.		212.	1,585.
n 7,303.		17,353.	<10,050.>
o 5,148.		2,465.	2,683.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<4,608.>
b			33,133.
c			28,539.
d			2,603.
e			3,902.
f			<853.>
g			<1,282.>
h			<2,263.>
i			2,043.
j			963.
k			266.
l			6,126.
m			1,585.
n			** <10,050.>
o			2,683.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 300 shs Sanofi Sponsored ADR		P	Various	11/07/13
b 150 shs Siemens Aktiengesellschaft Sponsored ADR		P	Various	07/26/13
c Short Term sales from State Street Bank		P	SEE ATTACHED	
d Long Term sales from State Street Box D checked		P	SEE ATTACHED	
e Long Term sales from State Street Box E checked		P	SEE ATTACHED	
f Capital Gains Dividends				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 15,505.		10,866.	4,639.
b 458.		468.	<10.>
c 3,965,368.		3,443,673.	521,695.
d 881,241.		708,988.	172,253.
e 191,278.		120,903.	70,375.
f 9.			9.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			4,639.
b			<10.>
c		**	521,695.
d			172,253.
e			70,375.
f			9.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	831,748.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	511,645.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Children's Hospital 300 Longwood Ave, Boston, MA 02215	none	Charity	Asthma Program-Ms. Klements program	25,000.
Children's Hospital 300 Longwood Ave, Boston, MA 02215	none	Charity	Dr. Kim's research re: Lung Cancer research regarding understanding mechanism for	35,000.
Dana Farber Cancer Institute, 10 Brookline Place West, Brookline, MA 02445	none	Charity	Dr. Kwok-Kin Wong's research	35,000.
Iowa City Free Medical/Dental Clinic, 2440 Towncrest Drive, Iowa City, IA	none	Charity	Tobacco cessation medication and steroid inhalers for patients with asthma	25,000.
Total from continuation sheets				120,000.

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

Name of Recipient - Children's Hospital 300 Longwood Ave, Boston, MA

02215

Dr. Kim's research re: Lung Cancer research regarding understanding
mechanism for metastasis

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
RBC Dain Rauscher - Interest	2,944.	2,944.	2,944.
RBC Dain Rauscher - US Gov't Interest	531.	531.	531.
Winslow, Evans & Crocker, Inc.	852.	852.	852.
Total to Part I, line 3	4,327.	4,327.	4,327.

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Mellon Dividends	28,503.	9.	28,494.	28,494.	28,494.
RBC Dain Rauscher Corporate Dividends	15,214.	0.	15,214.	15,214.	15,214.
State Street Divs.(Domestic)	9,933.	0.	9,933.	9,933.	9,933.
State Street Divs.(Foreign)	4,971.	0.	4,971.	4,971.	4,971.
To Part I, line 4	58,621.	9.	58,612.	58,612.	58,612.

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Nondividend distributions (SSB)	428.	428.	428.
Total to Form 990-PF, Part I, line 11	428.	428.	428.

Form 990-PF	Accounting Fees			Statement	4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Tax Preparation Fee	9,345.	9,345.	0.	0.	
To Form 990-PF, Pg 1, ln 16b	9,345.	9,345.	0.	0.	

Form 990-PF	Other Professional Fees			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
State Street Agency fees	4,045.	4,045.	0.	0.	
Lowell Blake & Associates	4,850.	4,850.	0.	0.	
Taylor, Ganson & Perrin, LLP, for Administration					
Exps from 11/10-11/11	13,655.	13,655.	0.	0.	
Essex Management	42,016.	42,016.	0.	0.	
Medical consultant	3,600.	3,600.	0.	0.	
To Form 990-PF, Pg 1, ln 16c	68,166.	68,166.	0.	0.	

Form 990-PF	Taxes			Statement	6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Foreign Taxes paid Mellon Bank	684.	684.	0.	0.	
Foreign Taxes paid from State Street Bank	534.	534.	0.	0.	
Foreign Taxes paid RBC Dain Rauscher	1,274.	1,274.	0.	0.	
2012 tax due and estimated 2013 Excise tax	2,270.	2,270.	0.	0.	
To Form 990-PF, Pg 1, ln 18	4,762.	4,762.	0.	0.	

Form 990-PF	Other Expenses			Statement	7
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Form PC filing fee	35.	35.	0.	0.	
RBC Investment expenses	47.	47.	0.	0.	
ADR Fees Mellon	15.	15.	0.	0.	
To Form 990-PF, Pg 1, ln 23	97.	97.	0.	0.	

Form 990-PF	U.S. and State/City Government Obligations			Statement	8
Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value	
25,000 United States Treasury Note CPN 2.125% due 5/31/15	X		25,390.	25,710.	
3,856.440 Dreyfus Treasury Prime	X		3,856.	3,856.	
Total U.S. Government Obligations			29,246.	29,566.	
Total State and Municipal Government Obligations					
Total to Form 990-PF, Part II, line 10a			29,246.	29,566.	

Form 990-PF	Corporate Stock		Statement	9
Description	Book Value		Fair Market Value	
30 Shs. American International Group, Inc.	12,717.		1,532.	
900 shs Lilly Eli & Co.	17,001.		45,900.	
800 Shs. Royal Dutch Pete	23,540.		57,016.	
1300 Shs. Southwest Airlines	7,366.		24,492.	
666 Shs. Analog Devices	6,785.		33,919.	
1000 Shs. Microsoft Corp.	6,226.		37,410.	
789 Shs. AT & T Communications	17,949.		27,741.	
400 shs Omnicom Group	10,447.		29,748.	
200 shs Pfizer Inc.	5,814.		6,126.	
300 shs Southern Co.	8,541.		12,333.	
435 shs State Street Corp	19,323.		31,925.	
400 shs Medtronic Inc.	19,503.		22,956.	
400 shs General Electric	14,156.		11,212.	
400 shs Corning Inc.	7,604.		7,128.	

200 shs Deere & Co.	11,390.	18,266.
117 shs Ecotality Inc.	5,040.	2.
800 shs Intel Corp	6,620.	20,764.
1,300 shs Texas Instruments	7,772.	57,083.
100 shs Fedex Corp	10,921.	14,377.
200 shs Novartis AG Sponsored ADR	11,592.	16,076.
600 shs Roche Holdings Ltd Sponsored ADR	26,867.	42,120.
600 shs PNC Financial Services Group	14,961.	46,548.
246 shs Freeport-McMoran C & G	10,190.	9,284.
800 shs Dow Chemical Co.	19,883.	35,520.
150 shs McDonald's Corp Inc.	10,545.	14,555.
800 shs The Procter & Gamble Company	19,825.	65,128.
200 shs Aflac Inc.	7,524.	13,360.
80 shs Simon Property Group Inc.	7,788.	12,173.
270 shs US Bancorp	3,448.	10,908.
25 shs Google Inc.	13,250.	28,018.
150 shs Becton Dickinson & Co.	13,585.	16,574.
300 shs Emerson Electric Co.	10,504.	21,054.
200 shs Genuine Parts Co.	7,052.	16,638.
25 shs Google Inc.	13,679.	28,018.
300 shs McDonalds Corp	17,889.	29,109.
500 shs Microsoft Corp.	14,987.	18,705.
700 shs ABB Ltd Sponsored ADR	16,358.	18,592.
300 shs Canadian Natl Ry Co.	4,213.	34,212.
200 shs Novartis AG	11,199.	16,076.
150 shs Siemens AG	13,306.	20,777.
250 shs Sempra Energy	13,330.	22,440.
600 shs Exxon Mobil Corp	10,322.	60,720.
200 shs Accenture PLC	11,015.	16,444.
500 shs Caterpillar Inc.	8,586.	45,405.
26 shs Citigroup Inc.	6,147.	1,355.
300 shs Illinois Tool Wks Inc.	16,347.	25,224.
150 shs Akamai Technologies Inc.	4,731.	7,077.
600 shs Aptargroup Inc.	15,282.	40,686.
500 shs Intel Corp	2,905.	12,978.
300 shs Pepsico Inc.	20,881.	24,882.
500 shs Nestle SA-Sponsored ADR	14,575.	36,712.
600 shs Royal DSM Sponsored ADR	9,236.	11,815.
100 shs Sociedad Quimica Minera de Chile	6,188.	2,588.
600 shs Unilever PLC Sponsored ADR	18,884.	24,720.
500 shs International Business Machines	11,766.	93,785.
100 shs Exxon Mobil Corp	8,946.	10,120.
75 shs IDEXX Laboratories Corp	6,708.	7,978.
100 shs Johnson & Johnson	248.	9,159.
100 shs Thermo Fisher Scientific Inc.	5,434.	11,135.
200 shs 3M Company	15,221.	28,050.
1,500 shs Rogers Sugar Inc.	9,814.	7,586.
930 shs Abbott Laboratories	7,649.	35,647.
275 shs Nestle S A Sponsored ADR Repstg Reg	19,650.	20,237.
400 shs Volkswagen	19,535.	22,576.
500 shs Corning	7,911.	8,910.
250 shs Enterprise Products Partners	14,104.	16,575.
400 shs General Electric	9,686.	11,212.
200 shs IBM	28,319.	37,514.

200 shs IPG Photonics Corp	12,410.	15,522.
100 shs Lindsay Corporation	9,271.	8,275.
100 shs MSC Industrial Direct Co	7,707.	8,087.
250 shs Nike Inc-CL B	11,766.	19,660.
250 shs Procter & Gamble Co	17,637.	20,353.
200 shs Roseta Resources	4,930.	9,608.
500 shs Sonoco Products Co.	17,134.	20,860.
150 shs WABTEC Corp	9,107.	11,141.
150 shs Walt Disney Co	10,271.	11,460.
250 shs Western Gas Partners LP	13,114.	15,423.
150 shs Novo Nordisk A/S ADR	23,194.	27,714.
550 shs Novozymes A/S	2,331.	23,447.
200 shs Vermilion Energy Inc.	10,424.	11,724.
893 shs Snap On Inc	38,962.	97,801.
5,552 shs Rockwell Medical Tech Inc	67,611.	57,963.
1,801 Natural Grocers By Vitamin C	62,994.	76,452.
1,323 shs Green Mountain Coffee Inc.	97,514.	99,939.
2,308 shs Endocyte Inc.	24,405.	24,649.
485 shs Puma Biotechnology	24,067.	50,212.
2,000 shs Cardiovascular Sys Inc.	54,035.	68,580.
745 shs Celgene Corp	41,266.	125,881.
2,320 shs Gilead Sciences Inc	71,002.	174,232.
2,000 shs Insulet Corp	39,637.	74,200.
7,677 shs Orexigen Therapeutics Inc.	48,219.	43,222.
2,911 shs Disney (The Walt) Company	114,576.	222,400.
2,406 shs Del Friscos Restuarant Group	50,432.	56,709.
1,377 shs Starbucks Corp	81,855.	107,943.
40 shs Vince Holdings Corp	800.	1,227.
440 shs LinkedIn Corp-A	82,825.	95,405.
315 shs Amazon.Com Inc.	84,417.	125,619.
161 shs Google Inc-A	99,244.	180,434.
666 shs Healthstream Inc.	21,592.	21,729.
2,830 shs Microsoft Corp	95,346.	105,870.
1,867 shs Salesforce Com	86,842.	103,040.
1,078 shs VMWare Inc	97,448.	96,707.
1,649 shs Web.com	24,851.	52,422.
2,724 shs Yahoo	95,979.	110,159.
688 shs BOFI Holding Inc	44,739.	53,960.
514 shs Barrett Business Services Inc.	49,197.	47,668.
1,846 shs Endurance International Group	25,634.	26,176.
6,029 shs Tearlab Corp	44,176.	56,311.
8,582 shs Wisdomtree Investments	120,912.	151,987.
3,650 shs General Electric	95,688.	102,310.
5,000 Staar Surgical Co	43,470.	80,950.
1,477 shs Astronics Corp	72,303.	75,327.
1,210 shs Boeing Co	139,864.	165,153.
908 shs Akamai Technologies	46,820.	42,839.
295 shs Apple Inc.	158,213.	165,501.
2,527 shs IXIA	23,408.	33,634.
1,224 shs Intuit Inc.	76,151.	93,416.
607 hs 3D Systems Corp	48,558.	56,409.
1,695 shs Quanta Services	39,918.	53,494.
377 shs Targa Resources Corp	8,294.	33,240.
558 shs Anadarko Petroleum Corp	46,462.	44,261.

6,872 shs Crawford & Co-B	38,420.	63,497.
6,526 shs GSV Capital Corp	86,045.	78,899.
2,0017 shs Marcus & Millichap Inc	24,204.	30,053.
2,388 shs Wageworks Inc.	21,492.	141,943.
99 shs Artisan Partners Asset Manag	2,970.	6,454.
4,004 shs Autobytel Inc	47,283.	60,581.
1,142 shs CDW Corp	20,586.	26,677.
1,528 shs Channeladvisor Corp	41,933.	63,733.
12 shs Cvent Inc	252.	437.
2,420 shs Iridex Corp	25,008.	24,611.
195 shs Power Solutions International	7,645.	14,645.
2,202 shs Silver Spring Networks Inc.	43,624.	46,242.
590 shs JTH Holding Inc	13,546.	14,337.
356 shs Everest RE Group LTD	28,178.	55,490.
1,466 shs Fleetmatics Group PLC	43,326.	63,405.
405 shs Stratasy LTD	53,338.	54,554.
3,806 shs Agnico Eagle Mines LTD	100,723.	100,402.
2,606 shs Novadaq Technologies	34,798.	42,973.
1,097 shs Schlumberger LTD	89,850.	98,851.
Total to Form 990-PF, Part II, line 10b	4,273,023.	6,273,394.

Form 990-PF	Corporate Bonds	Statement 10
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Description	Book Value	Fair Market Value
25,000 shs Oracle Corp Note 5% 7/8/19	25,311.	28,903.
25,000 shs Zimmer Holdings Note 4.625% 11/30/19	25,257.	27,328.
Total to Form 990-PF, Part II, line 10c	50,568.	56,231.

Form 990-PF	Other Investments	Statement 11
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Description	Valuation Method	Book Value	Fair Market Value
16 shs American International Group	COST		
Cw Warrant 1/19/21		261.	324.
10,000 CA Inc Moody BAA2	COST	10,326.	11,164.
100,000 Koenigreich Norwegen	COST		
Treasury Bonds		19,036.	17,286.
Total to Form 990-PF, Part II, line 13		29,623.	28,774.

2013 Tax Information Statement

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Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: 4517652
Recipient's Tax ID Number: 04-2226641
Payer's Federal ID Number: 20-8007203
Payer's State ID Number: MA
State: (800)392-9244
Questions?

Recipient's Name and Address:
THORACIC FOUNDATION
BRADLEY COOK, TAYLOR GANSON &
PERRIN
160 FEDERAL STREET
BOSTON, MA 02110

☐ Corrected ☐ 2nd TIN notice

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
(Box 1e)	(Box 1a)	(Box 1b)						
Short Term Sales Reported on 1099-B								
88554D205	3D SYSTEMS CORP	05/15/2013	Various	DDD	51,717.32	0.00	7,755.64	0.00
1085.0000								
88554D205	3D SYSTEMS CORP	05/17/2013	Various	DDD	39,915.28	0.00	5,972.45	0.00
873.0000								
88554D205	3D SYSTEMS CORP	06/19/2013	Various	DDD	33,724.64	0.00	8,815.01	0.00
724.0000								
88554D205	3D SYSTEMS CORP	06/20/2013	04/10/2013	DDD	9,843.31	0.00	2,081.92	0.00
221.0000								
88554D205	3D SYSTEMS CORP	09/20/2013	Various	ADBE	45,812.20	0.00	3,365.78	0.00
854.0000								
00724F101	ADOBE SYS INC COM	09/06/2013	Various	ADBE	149,487.28	0.00	38,013.23	0.00
3185.0000								
00724F101	ADOBE SYS INC COM	09/09/2013	12/04/2012	ADT	235.35	0.00	57.40	0.00
5.0000								
00101J106	ADT CORP	05/20/2013	Various	ADT	71,871.22	0.00	1,397.30	0.00
1710.0000								

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2013 Tax Information Statement

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Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol (Box 1d)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
00771V108	AERIE PHARMACEUTICALS INC	11/12/2013	10/24/2013	AERI	1,428.72	1,310.00	0.00	118.72	0.00	0.00
00771V108	AERIE PHARMACEUTICALS INC	11/13/2013	10/24/2013	AERI	7,750.99	7,400.00	0.00	350.99	0.00	0.00
00771V108	AERIE PHARMACEUTICALS INC	11/14/2013	10/24/2013	AERI	2,318.28	2,200.00	0.00	118.28	0.00	0.00
00771V108	AERIE PHARMACEUTICALS INC	11/15/2013	10/24/2013	AERI	106.72	100.00	0.00	6.72	0.00	0.00
00771V108	AERIE PHARMACEUTICALS INC	11/18/2013	10/24/2013	AERI	2,609.57	2,490.00	0.00	119.57	0.00	0.00
00971T101	AKAMAI TECHNOLOGIES INC	02/07/2013	11/23/2012	AKAM	70,615.06	73,146.07	0.00	-2,531.01	0.00	0.00
019344100	ALLIED NEVADA GOLD CORP	02/22/2013	01/29/2013	ANV	6,502.77	8,169.21	0.00	-1,666.44	0.00	0.00
019344100	ALLIED NEVADA GOLD CORP	04/08/2013	01/29/2013	ANV	23,389.62	47,576.50	0.00	-24,186.88	0.00	0.00
032511107	ANADARKO PETROLEUM CORP COM	11/27/2013	01/02/2013	APC	28,998.96	24,686.14	0.00	4,312.82	0.00	0.00

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2013 Tax Information Statement

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PERRIN
160 FEDERAL STREET
BOSTON, MA 02110

Questions?

☐ Corrected

☐ 2nd TIN notice

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol (Box 1d)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
(Box 1e) 032511107	(Box 1a) ANADARKO PETROLEUM CORP COM				(Box 2a) APC				
531.0000	12/06/2013	01/02/2013			45,982.31	40,086.68	0.00	5,895.63	0.00
032511107	ANADARKO PETROLEUM CORP COM				APC				
397.0000	12/31/2013	03/22/2013			31,437.25	34,307.27	2,870.02	0.00	0.00
032511107	ANADARKO PETROLEUM CORP COM				APC				
161.0000	12/31/2013	01/02/2013			12,749.11	12,154.34	0.00	594.77	0.00
037411105	APACHE CORP COM (**)				APA				
956.0000	02/15/2013	01/02/2013			73,475.27	76,623.02	0.00	-3,147.75	0.00
037411105	APACHE CORP COM (**)				APA				
468.0000	10/21/2013	06/17/2013			41,771.41	40,508.86	0.00	1,262.55	0.00
037833100	APPLE COMPUTER INC COM				AAPL				
11.0000	09/11/2013	05/30/2013			5,180.09	4,985.34	0.00	194.75	0.00
037833100	APPLE COMPUTER INC COM				AAPL				
189.0000	09/16/2013	Various			85,616.46	85,151.58	0.00	464.88	0.00
042068106	ARM HOLDINGS PLC-SPONS ADR				ARMH				
1113.0000	03/07/2013	Various			47,456.58	39,717.15	0.00	7,739.43	0.00
042068106	ARM HOLDINGS PLC-SPONS ADR				ARMH				
945.0000	04/22/2013	11/23/2012			37,752.28	33,741.79	0.00	4,010.49	0.00

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Account Number: 4517652
Recipient's Tax ID Number: 04-2226641
Payer's Federal ID Number: 20-8007203
Payer's State ID Number: MA
State: (800)392-9244
Questions?

Recipient's Name and Address:
THORACIC FOUNDATION
BRADLEY COOK, TAYLOR GANSON &
PERRIN
160 FEDERAL STREET
BOSTON, MA 02110

☐ Corrected ☐ 2nd TIN notice

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e) 046433108	(Box 1a) ASTRONICS CORP	(Box 1b)			ATRO						
1470.0000	07/31/2013	Various				57,018.83	40,504.25	0.00	16,514.58	0.00	0.00
08180D106	BENEFITFOCUS INC				BNFT						
42.0000	09/30/2013	09/18/2013				1,959.27	1,113.00	0.00	846.27	0.00	0.00
09069N108	BIOSCRIP INC				BIOS						
158.0000	09/24/2013	04/19/2013				1,372.43	1,896.00	0.00	-523.57	0.00	0.00
101388106	BOTTOMLINE TECHNOLOGIES DEL INC				EPAY						
1486.0000	02/05/2013	12/05/2012				40,127.48	37,286.12	0.00	2,841.36	0.00	0.00
109194100	BRIGHT HORIZONS FAMILY SOLUT				BFAM						
25.0000	07/02/2013	01/25/2013				833.47	550.00	0.00	283.47	0.00	0.00
13100M509	CALIX INC				CALX						
2215.0000	11/12/2013	Various				22,576.21	23,146.98	0.00	-570.77	0.00	0.00
13739U104	CANCER GENETICS INC				CGIX						
279.0000	11/12/2013	10/23/2013				3,461.79	3,906.00	0.00	-444.21	0.00	0.00
13739U104	CANCER GENETICS INC				CGIX						
1135.0000	11/13/2013	10/23/2013				14,051.50	15,890.00	0.00	-1,838.50	0.00	0.00
15117V109	CELLULAR DYNAMICS INTERNATIO				ICEL						
195.0000	07/26/2013	07/25/2013				1,917.88	2,340.00	0.00	-422.12	0.00	0.00

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2013 Tax Information Statement

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STATE STREET BANK & TRUST COMPANY
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PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

4517652
04-2226641
20-8007203

Recipient's Tax ID Number:

MA
(800)392-9244

Payer's Federal ID Number:

MA
(800)392-9244

Payer's State ID Number:

☐ 2nd TIN notice

Recipient's Name and Address:

THORACIC FOUNDATION
BRADLEY COOK, TAYLOR GANSON &
PERRIN
160 FEDERAL STREET
BOSTON, MA 02110

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks or Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
16115Q308	CHART INDUSTRIES INC	10/29/2013	Various	10,973.95	6,625.19	0.00	4,348.76	0.00
16115Q308	CHART INDUSTRIES INC	10/29/2013	03/01/2013	18,234.27	11,617.48	0.00	6,616.79	0.00
16115Q308	CHART INDUSTRIES INC	10/30/2013	03/01/2013	37,007.04	23,467.32	0.00	13,539.72	0.00
163572209	CHEMBIO DIAGNOSTICS INC	11/12/2013	03/28/2013	271.20	400.00	0.00	-128.80	0.00
163572209	CHEMBIO DIAGNOSTICS INC	11/13/2013	03/28/2013	3,336.55	4,990.00	0.00	-1,653.45	0.00
212015101	CONTINENTAL RESOURCES INC-OK	11/27/2013	12/05/2012	4,689.96	3,313.64	0.00	1,376.32	0.00
225223304	CRAY INC	05/01/2013	Various	32,635.37	19,335.46	0.00	13,299.91	0.00
225223304	CRAY INC	05/01/2013	05/24/2012	20,405.43	12,168.82	0.00	8,236.61	0.00
225223304	CRAY INC	05/03/2013	05/24/2012	1,433.00	928.67	0.00	504.33	0.00

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Questions? (800)392-9244

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225223304	CRAY INC	10/01/2013	Various	CRAY	46,351.38	0.00	19,320.04	0.00	0.00
225447101	CREE RESH INC COM	01/07/2013	10/17/2012	CREE	41,916.33	0.00	3,054.66	0.00	0.00
23247W104	CYAN INC	05/09/2013	05/09/2013	CYNI	294.97	0.00	-2.03	0.00	0.00
278642103	EBAY INC COM	03/13/2013	Various	EBAY	81,394.85	0.00	15,646.24	0.00	0.00
1595,0000	ENVISION HEALTHCARE HOLDINGS	08/29/2013	08/14/2013	EVHC	656.49	0.00	81.49	0.00	0.00
29413U103	EOG RESOURCES INC	11/27/2013	12/05/2012	EOG	3,832.53	0.00	1,103.02	0.00	0.00
26875P101	EPIZYME INC	11/12/2013	Various	EPZM	3,422.54	0.00	1,565.42	0.00	0.00
29428V104	EXONE CO/THE	05/15/2013	Various	XONE	66,029.73	0.00	20,906.47	0.00	0.00
106,0000	EXONE CO/THE	05/16/2013	02/11/2013	XONE	7,649.14	0.00	1,920.34	0.00	0.00

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302104104	EXONE CO/THE	06/03/2013	Various	XONE	28,821.34	18,248.19	0.00	10,573.15	0.00
302104104	EXONE CO/THE	07/03/2013	02/28/2013	XONE	26,517.44	11,720.03	0.00	14,797.41	0.00
302104104	EXONE CO/THE	07/15/2013	Various	XONE	33,130.17	15,977.54	0.00	17,152.63	0.00
492.0000	EXONE CO/THE	07/16/2013	03/22/2013	XONE	27,659.12	15,764.52	0.00	11,894.60	0.00
302104104	EXONE CO/THE	08/14/2013	08/13/2013	XONE	12,252.04	14,538.42	0.00	-2,286.38	0.00
190.0000	FACEBOOK INC-A	01/31/2013	11/19/2012	FB	22,429.59	18,179.39	0.00	4,250.20	0.00
30303M102	FACEBOOK INC-A	02/04/2013	11/19/2012	FB	24,878.78	20,375.08	0.00	4,503.70	0.00
863.0000	FACEBOOK INC-A	02/08/2013	11/19/2012	FB	19,822.27	16,385.06	0.00	3,437.21	0.00
30303M102	FACEBOOK INC-A	02/12/2013	11/19/2012	FB	19,039.81	16,337.85	0.00	2,701.96	0.00

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30303M102	FACEBOOK INC-A	05/28/2013	05/02/2013	FB	34,700.20	40,827.84	6,127.64	0.00	0.00	0.00
1438.0000										
30303M102	FACEBOOK INC-A	10/01/2013	05/15/2013	FB	44,347.16	25,529.61	0.00	18,817.55	0.00	0.00
889.0000										
30303M102	FACEBOOK INC-A	10/08/2013	Various	FB	39,890.79	22,833.54	0.00	17,057.25	0.00	0.00
838.0000										
30303M102	FACEBOOK INC-A	10/30/2013	Various	FB	65,819.46	41,048.06	0.00	24,771.40	0.00	0.00
1346.0000										
30303M102	FACEBOOK INC-A	11/07/2013	07/24/2013	FB	8,229.81	5,228.09	0.00	3,001.72	0.00	0.00
171.0000										
30303M102	FACEBOOK INC-A	11/07/2013	10/04/2013	FB	6,689.73	7,072.22	382.49	0.00	0.00	0.00
139.0000										
30303M102	FACEBOOK INC-A	11/08/2013	Various	FB	55,654.06	59,365.78	0.00	-3,711.72	0.00	0.00
1170.0000										
30603D109	FAIRWAY GROUP HOLDINGS CORP			FWM	3,673.04	4,064.37	0.00	-391.33	0.00	0.00
158.0000										
30603D109	FAIRWAY GROUP HOLDINGS CORP			FWM	7,610.86	9,229.27	0.00	-1,618.41	0.00	0.00
336.0000										

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30603D109	FAIRWAY GROUP HOLDINGS CORP	265.0000	10/10/2013	07/09/2013	FWM 5,954.12	7,396.15	0.00	-1,442.03	0.00	0.00
30603D109	FAIRWAY GROUP HOLDINGS CORP	345.0000	10/10/2013	07/09/2013	FWM 7,771.16	9,628.95	0.00	-1,857.79	0.00	0.00
30603D109	FAIRWAY GROUP HOLDINGS CORP	447.0000	10/11/2013	07/09/2013	FWM 10,271.79	12,475.77	0.00	-2,203.98	0.00	0.00
31816Q101	FIREEYE INC	14.0000	09/26/2013	09/19/2013	FEYE 580.74	280.00	0.00	300.74	0.00	0.00
37518B102	GIGAMON INC	148.0000	09/30/2013	Various	GIMO 5,632.19	3,311.73	0.00	2,320.46	0.00	0.00
36191J101	GSV CAPITAL CORP	2635.0000	11/12/2013	Various	GSVC 33,895.51	27,289.85	0.00	6,605.66	0.00	0.00
36191J101	GSV CAPITAL CORP	301.0000	11/27/2013	08/08/2013	GSVC 3,249.06	3,472.31	223.25	0.00	0.00	0.00
36191J101	GSV CAPITAL CORP	1033.0000	11/27/2013	Various	GSVC 11,150.41	10,997.54	0.00	152.87	0.00	0.00
36191J101	GSV CAPITAL CORP	1450.0000	12/02/2013	Various	GSVC 14,816.42	16,858.07	2,041.65	0.00	0.00	0.00

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2013 Tax Information Statement

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: 4517652
Recipient's Tax ID Number: 04-2226641
Payer's Federal ID Number: 20-8007203
Payer's State ID Number: MA
State: (800)392-9244
Questions? ☐ 2nd TIN notice

Recipient's Name and Address:
THORACIC FOUNDATION
BRADLEY COOK, TAYLOR GANSON &
PERRIN
160 FEDERAL STREET
BOSTON, MA 02110

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold (Box 1e)	(Box 1a)	(Box 1b)							
36191J101	GSV CAPITAL CORP	12/30/2013	Various	GSVC	29,428.24	32,031.06	0.00	-2,602.82	0.00
2527.0276									
36191J101	GSV CAPITAL CORP	12/30/2013	Various	GSVC	3,504.94	3,542.66	0.00	0.00	0.00
300.9724									
40171V100	GUIDEWIRE SOFTWARE INC	06/27/2013	Various	GWRE	51,596.71	37,919.88	0.00	13,676.83	0.00
1225.0000									
40537Q209	HALCON RESOURCES CORP	03/04/2013	12/05/2012	HK	3,081.46	3,240.55	0.00	-159.09	0.00
492.0130									
40537Q209	HALCON RESOURCES CORP	03/04/2013	12/05/2012	HK	3,995.68	4,201.97	0.00	0.00	0.00
637.9870									
40537Q209	HALCON RESOURCES CORP	03/05/2013	12/05/2012	HK	11,739.19	11,967.31	0.00	-228.12	0.00
1817.0000									
40537Q209	HALCON RESOURCES CORP	03/06/2013	12/05/2012	HK	597.76	599.35	0.00	-1.59	0.00
91.0000									
40537Q209	HALCON RESOURCES CORP	05/23/2013	Various	HK	29,126.70	38,211.29	0.00	-9,084.59	0.00
5300.0000									
436440101	HOLOGIC INC COM	10/16/2013	01/10/2013	HOLX	39,246.95	39,995.98	0.00	-749.03	0.00
1770.0000									

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2013 Tax Information Statement

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STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

4517652
04-2226641
20-8007203

Payer's State ID Number:

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Questions?

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
45882D109	INTERMOLECULAR INC	06/11/2013	Various	7,242.95	8,410.46	0.00	-1,167.51	0.00	0.00
45882D109	INTERMOLECULAR INC	06/12/2013	01/02/2013	9,170.33	11,161.20	0.00	-1,990.87	0.00	0.00
48576U106	KARYOPHARM THERAPEUTICS INC	11/12/2013	11/05/2013	1,437.27	1,440.00	0.00	-2.73	0.00	0.00
48576U106	KARYOPHARM THERAPEUTICS INC	11/13/2013	11/05/2013	2,829.05	2,832.00	0.00	-2.95	0.00	0.00
493723100	KEYW HOLDING CORP	02/08/2013	11/09/2012	459.53	500.82	0.00	-41.29	0.00	0.00
493723100	KEYW HOLDING CORP	02/08/2013	11/09/2012	9,732.99	10,892.83	0.00	-1,159.84	0.00	0.00
493723100	KEYW HOLDING CORP	02/11/2013	Various	22,217.70	25,451.99	0.00	-3,234.29	0.00	0.00
50047H201	KONA GRILL INC	01/24/2013	05/10/2012	20,167.91	19,539.29	0.00	628.62	0.00	0.00
2427.0000	LDR HOLDING CORP	11/12/2013	10/09/2013	1,253.61	825.00	0.00	428.61	0.00	0.00
50185U105									
55.0000									

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks or Other Symbol (Box 1d)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)	State Tax Withheld
538146101	LIVERPERSON INC	01/09/2013	06/18/2012	LPSN	2,661.61	3,766.70	0.00	-1,105.09	0.00	0.00
56804T106	MARIN SOFTWARE INC	04/24/2013	03/22/2013	MRIN	489.84	462.00	0.00	27.84	0.00	0.00
64049M209	NEOGENOMICS INC	07/09/2013	02/27/2013	NEO	76.96	60.00	0.00	16.96	0.00	0.00
64049M209	NEOGENOMICS INC	07/10/2013	Various	NEO	2,198.59	2,424.39	0.00	-225.80	0.00	0.00
64051M402	NEONODE INC	06/04/2013	Various	NEON	9,267.61	8,711.16	0.00	556.45	0.00	0.00
64051M402	NEONODE INC	06/05/2013	01/07/2013	NEON	6,935.07	7,127.15	0.00	-192.08	0.00	0.00
64051M402	NEONODE INC	06/05/2013	Various	NEON	4,375.88	4,432.54	0.00	-56.66	0.00	0.00
64051M402	NEONODE INC	09/30/2013	09/11/2013	NEON	4,256.59	4,356.00	0.00	-99.41	0.00	0.00
64051M402	NEONODE INC	10/01/2013	09/11/2013	NEON	3,361.15	3,577.20	0.00	-216.05	0.00	0.00

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Cusip	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks or Other Symbol (Box 1d)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
682159108	ON ASSIGNMENT INC	03/01/2013	07/05/2012	ASGN	20,940.00	16,355.11	0.00	4,584.89	0.00
682159108	ON ASSIGNMENT INC	03/01/2013	07/05/2012	ASGN	24,662.69	19,195.73	0.00	5,466.96	0.00
682159108	ON ASSIGNMENT INC	03/04/2013	Various	ASGN	51,394.53	39,943.85	0.00	11,450.68	0.00
68554V108	ORASURE TECHNOLOGIES INC	01/30/2013	Various	OSUR	24,046.22	37,840.12	0.00	-13,793.90	0.00
71377E105	PERFORMANT FINANCIAL CORP	04/19/2013	01/31/2013	PFMT	4,276.08	3,951.15	0.00	324.93	0.00
71377E105	PERFORMANT FINANCIAL CORP	04/19/2013	01/31/2013	PFMT	3,065.32	2,875.50	0.00	189.82	0.00
72941W100	PLY GEM HOLDINGS INC	08/13/2013	Various	PGEM	15,159.68	21,402.13	0.00	-6,242.45	0.00
73754Y100	POTBELLY CORP	10/21/2013	10/03/2013	PBPB	394.16	196.00	0.00	198.16	0.00
743713109	PROTO LABS INC	07/16/2013	06/18/2013	PRLB	20,344.84	20,625.73	0.00	-280.89	0.00

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2013 Tax Information Statement

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange	Acquisition	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
74734M109	QIHOO 360 TECHNOLOGY CO LTD	02/08/2013	12/28/2012	QIHU	32,178.44	28,316.60	0.00	3,861.84	0.00
1040.0000									
74734M109	QIHOO 360 TECHNOLOGY CO LTD	02/08/2013	Various	QIHU	12,003.93	10,712.36	0.00	1,291.57	0.00
390.0000									
74734M109	QIHOO 360 TECHNOLOGY CO LTD	02/11/2013	01/24/2013	QIHU	15,167.35	15,831.66	664.31	0.00	0.00
493.0000									
74734M109	QIHOO 360 TECHNOLOGY CO LTD	02/11/2013	12/31/2012	QIHU	14,675.10	13,980.06	0.00	695.04	0.00
477.0000									
74734M109	QIHOO 360 TECHNOLOGY CO LTD	02/12/2013	01/24/2013	QIHU	4,824.59	5,041.73	217.14	0.00	0.00
157.0000									
74734M109	QIHOO 360 TECHNOLOGY CO LTD	03/13/2013	Various	QIHU	37,285.67	41,268.84	0.00	-3,983.17	0.00
1269.0000									
74734M109	QIHOO 360 TECHNOLOGY CO LTD	09/27/2013	08/19/2013	QIHU	25,066.59	22,010.45	0.00	3,056.14	0.00
293.0000									
747525103	QUALCOMM			QCOM					
342.0000									
74876Y101	QUINTILES TRANSNATIONAL HOLD	07/08/2013	03/05/2013	Q	20,516.63	23,328.26	0.00	-2,811.63	0.00
53.0000									
					2,317.10	2,120.00	0.00	197.10	0.00

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WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: 4517652
Recipient's Tax ID Number: 04-2226641
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Recipient's Name and Address:
THORACIC FOUNDATION
BRADLEY COOK, TAYLOR GANSON &
PERRIN
160 FEDERAL STREET
BOSTON, MA 02110

☐ **Corrected** ☐ **2nd TIN notice**

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
751198102	RALLY SOFTWARE DEVELOPMENT C	09/30/2013	04/12/2013	RALY	6,484.42	3,024.00	0.00	3,460.42	0.00
216.0000									
768573107	RIVERBED TECHNOLOGY INC	02/08/2013	02/01/2013	RVBD	16,762.66	19,736.80	0.00	-2,974.14	0.00
1014.0000									
774374102	ROCKWELL MEDICAL TECH INC	12/17/2013	10/15/2013	RMTI	21,406.52	23,675.76	0.00	-2,269.24	0.00
2160.0000									
780287108	ROYAL GOLD INC	04/10/2013	03/06/2013	RGLD	8,541.86	8,628.32	0.00	-86.46	0.00
131.0000									
780287108	ROYAL GOLD INC	04/11/2013	03/06/2013	RGLD	12,635.54	13,107.13	0.00	-471.59	0.00
199.0000									
806857108	SCHLUMBERGER LTD	11/27/2013	07/19/2013	SLB	35,370.73	33,007.96	0.00	2,362.77	0.00
403.0000									
817337405	SEQUENOM INC	01/30/2013	Various	SQNM	50,100.47	52,772.99	0.00	-2,672.52	0.00
12278.0000									
817337405	SEQUENOM INC	01/31/2013	Various	SQNM	16,481.78	18,333.99	0.00	-1,852.21	0.00
4045.0000									
83616T108	SOURCEFIRE INC	04/19/2013	Various	FIRE	37,449.08	39,869.84	0.00	-2,420.76	0.00
737.0000									

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2013 Tax Information Statement

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PO BOX 5300
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Cusip	Description (Box 8)	Date of Sale or Exchange	Quantity Sold	Stock or Other Symbol (Box 1d)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)	State Tax Withheld
848637104	(Box 1a) SPLUNK INC	(Box 1b) 01/11/2013	260 0000	SPLK	16,112.54	8,381.20	0.00	7,731.34	0.00
848637104	SPLUNK INC	10/25/2013	260 0000	SPLK	16,112.54	8,381.20	0.00	7,731.34	0.00
1284.0000	SPLUNK INC	10/28/2013	Various	SPLK	79,768.14	41,684.52	0.00	38,083.62	0.00
848637104	SPLUNK INC	11/29/2013	Various	SPLK	22,318.31	10,327.38	0.00	11,990.93	0.00
848637104	SPLUNK INC	12/02/2013	02/01/2013	SPLK	9,842.50	4,642.70	0.00	5,199.80	0.00
336.0000	SPLUNK INC	12/06/2013	02/01/2013	SPLK	23,313.92	11,386.46	0.00	11,927.46	0.00
85208M102	SPROUTS FARMERS MARKETS INC	10/08/2013	Various	SFMM	46,936.37	42,833.16	0.00	4,103.21	0.00
1098.0000	STRATASYS LTD	07/16/2013	04/10/2013	SSYS	1,973.44	1,732.56	0.00	240.88	0.00
M85548101	STRATASYS LTD	08/06/2013	04/10/2013	SSYS	21,930.12	19,688.20	0.00	2,241.92	0.00
250.0000	STRATASYS LTD	08/07/2013	04/10/2013	SSYS	19,193.21	17,955.64	0.00	1,237.57	0.00
M85548101	STRATASYS LTD	08/07/2013	04/10/2013	SSYS	19,193.21	17,955.64	0.00	1,237.57	0.00

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Cusip	Description. (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
M85548101	STRATASYS LTD	09/13/2013	Various	SSYS	27,668.38	31,376.78	3,708.40	0.00	0.00
M85548101	STRATASYS LTD	11/19/2013	Various	SSYS	63,687.19	58,647.76	0.00	5,039.43	0.00
M85548101	STRATASYS LTD	11/19/2013	08/01/2013	SSYS	23,116.09	24,375.24	1,259.15	0.00	0.00
M85548101	STRATASYS LTD	11/20/2013	07/24/2013	SSYS	21,300.36	25,314.82	4,014.46	0.00	0.00
M85548101	STRATASYS LTD	12/06/2013	Various	SSYS	5,371.85	5,833.37	461.52	0.00	0.00
M85548101	STRATASYS LTD	12/06/2013	10/14/2013	SSYS	19,618.90	17,420.27	0.00	2,198.63	0.00
87336U105	TABLEAU SOFTWARE INC-CL A	05/21/2013	05/17/2013	DATA	685.14	403.00	0.00	282.14	0.00
87336U105	TABLEAU SOFTWARE INC-CL A	10/23/2013	Various	DATA	63,361.11	52,368.49	0.00	10,992.62	0.00
87336U105	TABLEAU SOFTWARE INC-CL A	10/24/2013	Various	DATA	40,534.51	36,702.24	0.00	3,832.27	0.00

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(Box 1e)		(Box 1a)		(Box 1b)		(Box 2a)		(Box 3)		(Box 5)		(Box 4)		(Box 15)							
87336U105	39.0000	TABLEAU SOFTWARE INC-CL A		11/12/2013 11/07/2013		DATA		2,492.14		2,535.00		0.00		-42.86		0.00		0.00		0.00	
883211104	130.0000	TEXTURA CORP		10/25/2013 06/07/2013		TXTR		5,329.64		3,027.89		0.00		2,301.75		0.00		0.00		0.00	
883211104	300.0000	TEXTURA CORP		10/28/2013 06/07/2013		TXTR		12,118.05		6,987.45		0.00		5,130.60		0.00		0.00		0.00	
883211104	870.0000	TEXTURA CORP		10/31/2013 06/07/2013		TXTR		33,193.75		20,263.61		0.00		12,930.14		0.00		0.00		0.00	
883211104	468.0000	TEXTURA CORP		11/04/2013 06/07/2013		TXTR		18,060.50		10,900.42		0.00		7,160.08		0.00		0.00		0.00	
88677Q109	253.0000	TILE SHOP HLDGS INC		01/02/2013 12/13/2012		TTS		4,251.01		3,795.00		0.00		456.01		0.00		0.00		0.00	
897871109	190.0000	TRUETT-HURST INC		08/22/2013 06/20/2013		THST		1,037.15		1,140.00		0.00		102.85		0.00		0.00		0.00	
897871109	63.0000	TRUETT-HURST INC		08/23/2013 06/20/2013		THST		346.20		378.00		0.00		-31.80		0.00		0.00		0.00	
897871109	210.0000	TRUETT-HURST INC		08/26/2013 06/20/2013		THST		1,085.47		1,260.00		0.00		-174.53		0.00		0.00		0.00	

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2013 Tax Information Statement

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

4517652

Recipient's Tax ID Number:

04-2226641

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

MA

State:

(800)392-9244

Questions?

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

THORACIC FOUNDATION
BRADLEY COOK, TAYLOR GANSON &
PERRIN
160 FEDERAL STREET
BOSTON, MA 02110

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
897871109	TRUETT-HURST INC	09/03/2013	06/20/2013	THST	3,287.58	3,600.00	0.00	-312.42	0.00
600.0000									
90184L102	TWITTER INC	11/07/2013	11/07/2013	TWTR	1,169.68	676.00	0.00	493.68	0.00
26.0000									
904572203	UNI-PIXEL INC	02/06/2013	08/09/2012	UNXL	10,014.69	3,307.50	0.00	6,707.19	0.00
630.0000									
904572203	UNI-PIXEL INC	02/07/2013	08/09/2012	UNXL	28,049.07	9,198.00	0.00	18,851.07	0.00
1752.0000									
904572203	UNI-PIXEL INC	03/13/2013	08/09/2012	UNXL	3,492.67	682.50	0.00	2,810.17	0.00
130.0000									
904572203	UNI-PIXEL INC	05/17/2013	Various	UNXL	167,226.76	87,490.30	0.00	79,736.46	0.00
5249.0000									
904572203	UNI-PIXEL INC	07/03/2013	06/19/2013	UNXL	2,678.43	3,477.13	0.00	-798.70	0.00
203.0000									
904572203	UNI-PIXEL INC	07/05/2013	06/19/2013	UNXL	10,005.41	13,925.63	0.00	-3,920.22	0.00
813.0000									
904572203	UNI-PIXEL INC	07/15/2013	06/19/2013	UNXL	23,263.97	26,652.26	0.00	-3,388.29	0.00
1556.0000									

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2013 Tax Information Statement

Page 27 of 41

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

4517652
04-2226641
20-8007203

State:

MA

Questions?

☐ Corrected

Recipient's Name and Address:

THORACIC FOUNDATION
BRADLEY COOK, TAYLOR GANSON &
PERRIN
160 FEDERAL STREET
BOSTON, MA 02110

☐ 2nd TIN notice

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange	Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Cost or Other Basis (Box 2a)	Wash Sale Loss Disallowed (Box 3)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 5)	State Tax Withheld (Box 15)
922475108	VEEVA SYSTEMS INC-CLASS A	10/28/2013	VEEV	27.0000	1,096.28	540.00	556.28	0.00	0.00
928563402	VMWARE INC CL A	07/02/2013	VMW	1002.0000	65,583.06	82,958.69	-17,375.63	0.00	0.00
92912107	VOXELJET AG-ADR	11/19/2013	VJET	459.0000	26,955.91	23,447.56	3,508.35	0.00	0.00
960908309	WESTPORT INNOVATIONS INC	05/03/2013	WPRT	813.0000	23,633.78	23,929.31	-295.53	0.00	0.00
960908309	WESTPORT INNOVATIONS INC	05/06/2013	WPRT	528.0000	15,135.78	15,640.58	-504.80	0.00	0.00
960908309	WESTPORT INNOVATIONS INC	09/26/2013	WPRT	1414.0000	35,297.49	41,014.33	-5,716.84	0.00	0.00
98419Q101	XOOM CORP	04/24/2013	XOOM	993.0000	18,248.65	21,338.49	-3,089.84	0.00	0.00
N97284108	YANDEX NV-A	11/12/2013	YNDX	458.0000	16,495.82	13,182.20	3,313.62	0.00	0.00
985817105	YELP INC	10/23/2013	YELP	1309.0000	86,134.37	85,448.11	686.26	0.00	0.00

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PO BOX 5300
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Recipient's Tax ID Number: 04-2226641
Payer's Federal ID Number: 20-8007203
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160 FEDERAL STREET
BOSTON, MA 02110

Questions?

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Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
98978V103	ZOETIS INC (Box 1a)	ZTS	794.99	650.00	0.00	144.99	0.00	0.00
25.0000	11/12/2013 01/31/2013							
989774104	ZULIY INC - CL A	ZU	2,064.31	1,166.00	0.00	898.31	0.00	0.00
53.0000	11/15/2013 11/15/2013							
Total Short Term Sales Reported on 1099-B			3,965,367.65	3,465,886.89	22,214.04	521,694.80	0.00	0.00
Report on Form 8949, Part I, with Box A checked								
Long Term Sales Reported on 1099-B								
019344100	ALLIED NEVADA GOLD CORP	ANV	24,633.44	38,209.40	13,575.96	0.00	0.00	0.00
1000 0000	01/23/2013 11/03/2011							
019344100	ALLIED NEVADA GOLD CORP	ANV	13,801.40	26,990.73	0.00	-13,189.33	0.00	0.00
710.9998	02/22/2013 11/09/2011							
019344100	ALLIED NEVADA GOLD CORP	ANV	5,609.86	10,970.93	5,361.07	0.00	0.00	0.00
289 0002	02/22/2013 11/09/2011							
019344100	ALLIED NEVADA GOLD CORP	ANV	3,464.68	10,576.65	0.00	-7,111.97	0.00	0.00
289 0000	04/08/2013 11/21/2011							
09069N108	BIOSCRIP INC	BIOS	25,172.74	20,399.89	0.00	4,772.85	0.00	0.00
2898 0000	09/24/2013 03/09/2012							

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2013 Tax Information Statement

Page 29 of 41

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STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: 4517652
Recipient's Tax ID Number: 04-2226641
Payer's Federal ID Number: 20-8007203
Payer's State ID Number: MA
State: (800)392-9244
Questions? ☐ 2nd TIN notice

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THORACIC FOUNDATION
BRADLEY COOK, TAYLOR GANSON &
PERRIN
160 FEDERAL STREET
BOSTON, MA 02110

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc.	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 4)	(Box 15)
212015101	CONTINENTAL RESOURCES INC-OK		CLR					
450 0000	12/06/2013	12/05/2012	47,506.57	33,889.45	0.00	13,617.12	0.00	0.00
225223304	CRAY INC		CRAY					
473 0000	10/01/2013	05/24/2012	10,837.47	5,048.99	0.00	5,788.48	0.00	0.00
278642103	EBAY INC COM		EBAY					
1598 0000	07/17/2013	06/18/2012	86,860.49	68,070.49	0.00	18,790.00	0.00	0.00
284131208	ELAN CORP PLC-SPONSORED ADR		ELN					
4289 0000	01/11/2013	06/14/2011	43,536.23	44,871.25	0.00	-1,335.02	0.00	0.00
28849P100	ELLIE MAE INC		ELLI					
2381 0000	11/01/2013	06/22/2012	55,286.09	39,347.22	0.00	15,938.87	0.00	0.00
26875P101	EOG RESOURCES INC		EOG					
290 0000	12/06/2013	12/05/2012	46,431.73	34,415.51	0.00	12,016.22	0.00	0.00
351858105	FRANCO-NEVADA CORP		FNINV					
708 0000	08/06/2013	08/22/2011	27,305.31	31,548.41	0.00	-4,243.10	0.00	0.00
375558103	GILEAD SCIENCES INC COM		GILD					
512 0000	05/20/2013	07/28/2011	28,555.48	11,095.04	0.00	17,460.44	0.00	0.00
458118106	INTEGRATED DEVICE TECHNOLOGY INC		IDTI					
6434 0000	11/19/2013	11/14/2012	60,813.75	37,185.30	0.00	23,628.45	0.00	0.00

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WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: 4517652
Recipient's Tax ID Number: 04-2226641
Payer's Federal ID Number: 20-8007203
Payer's State ID Number: MA
State: (800)392-9244
Questions?

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THORACIC FOUNDATION
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PERRIN
160 FEDERAL STREET
BOSTON, MA 02110

☐ Corrected ☐ 2nd TIN notice

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
644535106	NEW GOLD INC	05/14/2013	Various	NGD	16,537.40	24,066.04	0.00	-7,528.64	0.00
644535106	NEW GOLD INC	05/17/2013	04/17/2012	NGD	3,984.23	6,271.58	0.00	-2,287.35	0.00
644535106	NEW GOLD INC	05/20/2013	Various	NGD	14,617.97	22,989.95	0.00	-8,371.98	0.00
G72800108	PROTHENA CORP PLC	01/24/2013	06/14/2011	PRTA	625.51	780.57	0.00	-155.06	0.00
747525103	QUALCOMM	07/08/2013	03/17/2011	QCOM	50,091.78	44,008.68	0.00	6,083.10	0.00
835 0000	ROYAL GOLD INC	04/10/2013	Various	RGLD	59,271.38	65,003.22	0.00	-5,731.84	0.00
780287108	US AIRWAYS GROUP INC	08/13/2013	Various	LCC	104,839.21	52,639.82	0.00	52,199.39	0.00
90341W108	VERISK ANALYTICS INC-CLASS A	11/06/2013	10/31/2012	VRSK	45,631.54	37,851.91	0.00	7,779.63	0.00
6189 0000	WEB COM GROUP INC	10/08/2013	04/01/2011	94733A104	44,273.79	22,936.02	0.00	21,337.77	0.00
92345Y106									
735 0000									
94733A104									
1539 0000									

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PERRIN
160 FEDERAL STREET
BOSTON, MA 02110

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Quantity Sold	Date of Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Yields	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e) N97284108	(Box 1a) YANDEX NV-A				(Box 2a) YNDX						
1709.0000	11/12/2013	Various			61,553.18		38,758.21	0.00	22,794.97	0.00	0.00
Total Long Term Sales Reported on 1099-B					881,241.23		727,925.26	18,937.03	172,253.00	0.00	0.00

Report on Form 8949, Part II, with Box D checked

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2013 Tax Information Statement

Page 32 of 41

Account Number:

4517652

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☐ Corrected

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BRADLEY COOK, TAYLOR GANSON &
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160 FEDERAL STREET
BOSTON, MA 02110

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)			(Box 2a)				(Box 4)	(Box 15)	
Long Term Sales Reported on 1099-B										
037833100	APPLE COMPUTER INC COM	01/15/2013	05/24/2010	AAPL	485.76	248.79	0.00	236.97	0.00	0.00
037833100	APPLE COMPUTER INC COM	01/23/2013	05/24/2010	AAPL	59,265.81	30,601.72	0.00	28,664.09	0.00	0.00
059460303	BANCO BRADESCO ADR	04/03/2013	10/31/2008	BBD	3.33	1.79	0.00	1.54	0.00	0.00
059460303	BANCO BRADESCO ADR	07/03/2013	10/31/2008	BBD	87,832.18	64,155.22	0.00	23,676.96	0.00	0.00
38259P508	GOOGLE INC-A	10/14/2013	Various	GOOG	43,690.80	25,895.23	0.00	17,795.57	0.00	0.00
50.0000					191,277.88	120,902.75	0.00	70,375.13	0.00	0.00
Total Long Term Sales Reported on 1099-B										

Report on Form 8949, Part II, with Box E checked

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