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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

|                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                  |                                                                                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>Ferdinand &amp; Sarah Cinelli Charitable Trust</b>                                                                                                                                                                                                                                     |                                                                                                                                                  | A Employer identification number<br><b>03-6084732</b>                                                                                                                        |
| Number and street (or P O box number if mail is not delivered to street address)<br><b>P.O. Box 1297</b>                                                                                                                                                                                                        | Room/suite                                                                                                                                       | B Telephone number<br><b>207-781-4487</b>                                                                                                                                    |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>Yarmouth, ME 04096</b>                                                                                                                                                                                                           |                                                                                                                                                  | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |
| G Check all that apply:<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Address change<br><input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Name change |                                                                                                                                                  | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                               |                                                                                                                                                  | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16)<br><b>\$ 1,290,351.</b>                                                                                                                                                                                                       | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1 Contributions, gifts, grants, etc., received                                                                                                      |  |                                    |                           | N/A                     |                                                             |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B                                                       |  |                                    |                           |                         |                                                             |
| 3 Interest on savings and temporary cash investments                                                                                                |  | 8,493.                             | 8,493.                    |                         | Statement 1                                                 |
| 4 Dividends and interest from securities                                                                                                            |  | 19,990.                            | 19,990.                   |                         | Statement 2                                                 |
| 5a Gross rents                                                                                                                                      |  |                                    |                           |                         |                                                             |
| b Net rental income or (loss)                                                                                                                       |  |                                    |                           |                         |                                                             |
| 6a Net gain or (loss) from sale of assets not on line 10                                                                                            |  | 40,246.                            |                           |                         |                                                             |
| b Gross sales price for all assets on line 8a <b>720,149.</b>                                                                                       |  |                                    |                           |                         |                                                             |
| 7 Capital gain net income (from Part IV, line 2)                                                                                                    |  |                                    | 40,246.                   |                         |                                                             |
| 8 Net short-term capital gain                                                                                                                       |  |                                    |                           |                         |                                                             |
| 9 Income modifications                                                                                                                              |  |                                    |                           |                         |                                                             |
| 10a Gross sales less returns and allowances                                                                                                         |  |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                           |  |                                    |                           |                         |                                                             |
| c Gross profit or (loss)                                                                                                                            |  |                                    |                           |                         |                                                             |
| 11 Other income                                                                                                                                     |  | 2.                                 | 2.                        |                         | Statement 3                                                 |
| 12 Total. Add lines 1 through 11                                                                                                                    |  | 68,731.                            | 68,731.                   |                         |                                                             |
| 13 Compensation of officers, directors, trustees, etc                                                                                               |  | 0.                                 | 0.                        |                         | 0.                                                          |
| 14 Other employee salaries and wages                                                                                                                |  |                                    |                           |                         |                                                             |
| 15 Pension plans, employee benefits                                                                                                                 |  |                                    |                           |                         |                                                             |
| 16a Legal fees Stmt 4                                                                                                                               |  | 1,380.                             | 0.                        |                         | 0.                                                          |
| b Accounting fees                                                                                                                                   |  |                                    |                           |                         |                                                             |
| c Other professional fees Stmt 5                                                                                                                    |  | 11,086.                            | 11,086.                   |                         | 0.                                                          |
| 17 Interest                                                                                                                                         |  |                                    |                           |                         |                                                             |
| 18 Taxes Stmt 6                                                                                                                                     |  | 698.                               | 0.                        |                         | 0.                                                          |
| 19 Depreciation and depletion                                                                                                                       |  |                                    |                           |                         |                                                             |
| 20 Occupancy                                                                                                                                        |  |                                    |                           |                         |                                                             |
| 21 Travel, conferences, and meetings                                                                                                                |  |                                    |                           |                         |                                                             |
| 22 Printing and publications                                                                                                                        |  |                                    |                           |                         |                                                             |
| 23 Other expenses                                                                                                                                   |  |                                    |                           |                         |                                                             |
| 24 Total operating and administrative expenses Add lines 13 through 23                                                                              |  | 13,164.                            | 11,086.                   |                         | 0.                                                          |
| 25 Contributions, gifts, grants paid                                                                                                                |  | 60,000.                            |                           |                         | 60,000.                                                     |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                            |  | 73,164.                            | 11,086.                   |                         | 60,000.                                                     |
| 27 Subtract line 26 from line 12:                                                                                                                   |  |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                 |  | <4,433.>                           |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                    |  |                                    | 57,645.                   |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                      |  |                                    |                           | N/A                     |                                                             |

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# Ferdinand & Sarah Cinelli Charitable

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**Trust**

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| Part II Balance Sheets                                                                           |                                                                                           | Attached schedules and amounts in the description column should be for end-of-year amounts only |                |                       |
|--------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|----------------|-----------------------|
|                                                                                                  |                                                                                           | Beginning of year                                                                               | End of year    |                       |
|                                                                                                  |                                                                                           | (a) Book Value                                                                                  | (b) Book Value | (c) Fair Market Value |
| Assets                                                                                           | 1 Cash - non-interest-bearing                                                             | 47,454.                                                                                         | 147,517.       | 147,517.              |
|                                                                                                  | 2 Savings and temporary cash investments                                                  |                                                                                                 |                |                       |
|                                                                                                  | 3 Accounts receivable ▶                                                                   |                                                                                                 |                |                       |
|                                                                                                  | Less: allowance for doubtful accounts ▶                                                   |                                                                                                 |                |                       |
|                                                                                                  | 4 Pledges receivable ▶                                                                    |                                                                                                 |                |                       |
|                                                                                                  | Less: allowance for doubtful accounts ▶                                                   |                                                                                                 |                |                       |
|                                                                                                  | 5 Grants receivable                                                                       |                                                                                                 |                |                       |
|                                                                                                  | 6 Receivables due from officers, directors, trustees, and other disqualified persons      |                                                                                                 |                |                       |
|                                                                                                  | 7 Other notes and loans receivable ▶                                                      |                                                                                                 |                |                       |
|                                                                                                  | Less: allowance for doubtful accounts ▶                                                   |                                                                                                 |                |                       |
|                                                                                                  | 8 Inventories for sale or use                                                             |                                                                                                 |                |                       |
|                                                                                                  | 9 Prepaid expenses and deferred charges                                                   |                                                                                                 |                |                       |
|                                                                                                  | 10a Investments - U.S. and state government obligations                                   |                                                                                                 |                |                       |
|                                                                                                  | b Investments - corporate stock Stmt 7                                                    | 978,592.                                                                                        | 986,929.       | 986,929.              |
|                                                                                                  | c Investments - corporate bonds Stmt 8                                                    | 152,914.                                                                                        | 155,905.       | 155,905.              |
|                                                                                                  | 11 Investments - land, buildings, and equipment basis ▶                                   |                                                                                                 |                |                       |
| Less: accumulated depreciation ▶                                                                 |                                                                                           |                                                                                                 |                |                       |
| 12 Investments - mortgage loans                                                                  |                                                                                           |                                                                                                 |                |                       |
| 13 Investments - other                                                                           |                                                                                           |                                                                                                 |                |                       |
| 14 Land, buildings, and equipment; basis ▶                                                       |                                                                                           |                                                                                                 |                |                       |
| Less: accumulated depreciation ▶                                                                 |                                                                                           |                                                                                                 |                |                       |
| 15 Other assets (describe ▶)                                                                     |                                                                                           |                                                                                                 |                |                       |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1) | 1,178,960.                                                                                | 1,290,351.                                                                                      | 1,290,351.     |                       |
| Liabilities                                                                                      | 17 Accounts payable and accrued expenses                                                  |                                                                                                 |                |                       |
|                                                                                                  | 18 Grants payable                                                                         |                                                                                                 |                |                       |
|                                                                                                  | 19 Deferred revenue                                                                       |                                                                                                 |                |                       |
|                                                                                                  | 20 Loans from officers, directors, trustees, and other disqualified persons               |                                                                                                 |                |                       |
|                                                                                                  | 21 Mortgages and other notes payable                                                      |                                                                                                 |                |                       |
|                                                                                                  | 22 Other liabilities (describe ▶)                                                         |                                                                                                 |                |                       |
| 23 Total liabilities (add lines 17 through 22)                                                   | 0.                                                                                        | 0.                                                                                              |                |                       |
| Net Assets or Fund Balances                                                                      | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>                   |                                                                                                 |                |                       |
|                                                                                                  | and complete lines 24 through 26 and lines 30 and 31.                                     |                                                                                                 |                |                       |
|                                                                                                  | 24 Unrestricted                                                                           |                                                                                                 |                |                       |
|                                                                                                  | 25 Temporarily restricted                                                                 |                                                                                                 |                |                       |
|                                                                                                  | 26 Permanently restricted                                                                 |                                                                                                 |                |                       |
|                                                                                                  | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> |                                                                                                 |                |                       |
|                                                                                                  | and complete lines 27 through 31.                                                         |                                                                                                 |                |                       |
|                                                                                                  | 27 Capital stock, trust principal, or current funds                                       | 1,178,960.                                                                                      | 1,290,351.     |                       |
| 28 Paid-in or capital surplus, or land, bldg., and equipment fund                                | 0.                                                                                        | 0.                                                                                              |                |                       |
| 29 Retained earnings, accumulated income, endowment, or other funds                              | 0.                                                                                        | 0.                                                                                              |                |                       |
| 30 Total net assets or fund balances                                                             | 1,178,960.                                                                                | 1,290,351.                                                                                      |                |                       |
| 31 Total liabilities and net assets/fund balances                                                | 1,178,960.                                                                                | 1,290,351.                                                                                      |                |                       |

## Part III Analysis of Changes in Net Assets or Fund Balances

|                                                                                                                                                                 |            |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1,178,960. |
| 2 Enter amount from Part I, line 27a                                                                                                                            | <4,433.>   |
| 3 Other increases not included in line 2 (itemize) ▶ <u>Market value over book value</u>                                                                        | 115,824.   |
| 4 Add lines 1, 2, and 3                                                                                                                                         | 1,290,351. |
| 5 Decreases not included in line 2 (itemize) ▶                                                                                                                  | 0.         |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                         | 1,290,351. |

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## Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                 |                                                  |                                      |                                  |
| b See Attached Statement                                                                                                           |                                                  |                                      |                                  |
| c                                                                                                                                  |                                                  |                                      |                                  |
| d                                                                                                                                  |                                                  |                                      |                                  |
| e                                                                                                                                  |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a                     |                                            |                                                 |                                              |
| b                     |                                            |                                                 |                                              |
| c                     |                                            |                                                 |                                              |
| d                     |                                            |                                                 |                                              |
| e 720,149.            |                                            | 680,175.                                        | 40,246.                                      |

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|---------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| a                         |                                      |                                                 |                                                                                                 |
| b                         |                                      |                                                 |                                                                                                 |
| c                         |                                      |                                                 |                                                                                                 |
| d                         |                                      |                                                 |                                                                                                 |
| e                         |                                      |                                                 | 40,246.                                                                                         |

|                                                                                                                                                                                 |                                                                                     |   |         |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---|---------|
| 2 Capital gain net income or (net capital loss)                                                                                                                                 | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 } | 2 | 40,246. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 | { }                                                                                 | 3 | N/A     |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years<br>Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|-------------------------------------------------------------------|---------------------------------------|-------------------------------------------|----------------------------------------------------------|
| 2012                                                              | 57,000.                               | 1,137,080.                                | .050128                                                  |
| 2011                                                              | 55,000.                               | 1,107,474.                                | .049663                                                  |
| 2010                                                              | 53,000.                               | 1,055,815.                                | .050198                                                  |
| 2009                                                              | 51,019.                               | 971,104.                                  | .052537                                                  |
| 2008                                                              | 52,500.                               | 1,086,389.                                | .048325                                                  |

|                                                                                                                                                                                |   |            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 Total of line 1, column (d)                                                                                                                                                  | 2 | .250851    |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .050170    |
| 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5                                                                                                 | 4 | 1,190,447. |
| 5 Multiply line 4 by line 3                                                                                                                                                    | 5 | 59,725.    |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   | 6 | 576.       |
| 7 Add lines 5 and 6                                                                                                                                                            | 7 | 60,301.    |
| 8 Enter qualifying distributions from Part XII, line 4                                                                                                                         | 8 | 60,000.    |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

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## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

|                                                                                                                                                                                                                                        |    |      |        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|------|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |    |      |        |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                      |    | 1    | 1,153. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                                                                                             |    |      |        |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                            |    | 2    | 0.     |
| 3 Add lines 1 and 2                                                                                                                                                                                                                    |    | 3    | 1,153. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                          |    | 4    | 0.     |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                              |    | 5    | 1,153. |
| 6 Credits/Payments:                                                                                                                                                                                                                    |    |      |        |
| a 2013 estimated tax payments and 2012 overpayment credited to 2013                                                                                                                                                                    | 6a | 880. |        |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                                | 6b |      |        |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                  | 6c |      |        |
| d Backup withholding erroneously withheld                                                                                                                                                                                              | 6d |      |        |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                  | 7  | 880. |        |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                    | 8  | 11.  |        |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                        | 9  | 284. |        |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                           | 10 |      |        |
| 11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>                                                                                                        | 11 |      |        |

## Part VII-A Statements Regarding Activities

|                                                                                                                                                                                                                                                                                                                                               | Yes | No |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                       |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                        |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.                                                                                                                      |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.                                                                                                                                                                        |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                               |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                  |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                            |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T.                                                                                                                                                                         |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?          |     | X  |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV                                                                                                                                                                                                           | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> MI, ME                                                                                                                                                                                                         |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                 | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                        |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                         |     | X  |

N/A

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## Part VII-A Statements Regarding Activities (continued)

|    |                                                                                                                                                                                                                                                                                                                                   |    |     |    |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                           | 11 |     | X  |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                          | 12 |     | X  |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ▶ <u>N/A</u>                                                                                                                                                                               | 13 | X   |    |
| 14 | The books are in care of ▶ <u>Peter Cinelli</u> Telephone no. ▶ <u>207-846-3971</u><br>Located at ▶ <u>9 Seaspray Reach, Yarmouth, ME</u> ZIP+4 ▶ <u>04096</u>                                                                                                                                                                    |    |     |    |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here<br>and enter the amount of tax-exempt interest received or accrued during the year                                                                                                                                            | 15 | N/A |    |
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ | 16 | Yes | No |
|    |                                                                                                                                                                                                                                                                                                                                   |    |     | X  |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Yes                                                                 | No |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|
| 1a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                        | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                      | N/A                                                                 | 1b |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                                                                         |                                                                     | 1c |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                  |                                                                     |    |
| a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?<br>If "Yes," list the years ▶                                                                                                                                                                                                                                                                                                                 | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)                                                                                                                                                                                       | N/A                                                                 | 2b |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>▶                                                                                                                                                                                                                                                                                                                                                                           |                                                                     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) | N/A                                                                 | 3b |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                |                                                                     | 4a |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                               |                                                                     | 4b |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                     | X  |

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1** List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address                          | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|-----------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| Peter Cinelli<br>Box 84<br>Yarmouth, ME 04096 | Trustee                                                   | 4.00                                      | 0.                                                                    | 0.                                    |
|                                               |                                                           |                                           |                                                                       |                                       |
|                                               |                                                           |                                           |                                                                       |                                       |
|                                               |                                                           |                                           |                                                                       |                                       |
|                                               |                                                           |                                           |                                                                       |                                       |
|                                               |                                                           |                                           |                                                                       |                                       |
|                                               |                                                           |                                           |                                                                       |                                       |
|                                               |                                                           |                                           |                                                                       |                                       |
|                                               |                                                           |                                           |                                                                       |                                       |
|                                               |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

Ferdinand & Sarah Cinelli Charitable

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NONE                                                        |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

Total number of others receiving over \$50,000 for professional services



0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|                          | Expenses |
|--------------------------|----------|
| 1 Etruscan Foundation    |          |
|                          | 0.       |
| 2 Spannocchia Foundation |          |
|                          | 0.       |
| 3                        |          |
| 4                        |          |

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

|                                                          | Amount |
|----------------------------------------------------------|--------|
| 1 N/A                                                    |        |
| 2                                                        |        |
| All other program-related investments. See instructions. |        |
| 3                                                        |        |
| Total. Add lines 1 through 3                             | 0.     |

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## Part X

### Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                             |    |            |
|---|-------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |            |
| a | Average monthly fair market value of securities                                                             | 1a | 1,208,576. |
| b | Average of monthly cash balances                                                                            | 1b |            |
| c | Fair market value of all other assets                                                                       | 1c |            |
| d | Total (add lines 1a, b, and c)                                                                              | 1d | 1,208,576. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.         |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                        | 2  | 0.         |
| 3 | Subtract line 2 from line 1d                                                                                | 3  | 1,208,576. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 18,129.    |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4        | 5  | 1,190,447. |
| 6 | Minimum investment return. Enter 5% of line 5                                                               | 6  | 59,522.    |

## Part XI

### Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                    |    |         |
|----|----------------------------------------------------------------------------------------------------|----|---------|
| 1  | Minimum investment return from Part X, line 6                                                      | 1  | 59,522. |
| 2a | Tax on investment income for 2013 from Part VI, line 5                                             | 2a | 1,153.  |
| b  | Income tax for 2013. (This does not include the tax from Part VI.)                                 | 2b |         |
| c  | Add lines 2a and 2b                                                                                | 2c | 1,153.  |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                              | 3  | 58,369. |
| 4  | Recoveries of amounts treated as qualifying distributions                                          | 4  | 0.      |
| 5  | Add lines 3 and 4                                                                                  | 5  | 58,369. |
| 6  | Deduction from distributable amount (see instructions)                                             | 6  | 0.      |
| 7  | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 58,369. |

## Part XII

### Qualifying Distributions (see instructions)

|   |                                                                                                                                   |    |         |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|---------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |    |         |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 60,000. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 0.      |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |         |
| 3 | Amounts set aside for specific charitable projects that satisfy the:                                                              |    |         |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |         |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |         |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                        | 4  | 60,000. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 0.      |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4                                                                    | 6  | 60,000. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7                                                                                                                       |               |                            |             | 58,369.     |
| 2 Undistributed income, if any, as of the end of 2013                                                                                                                      |               |                            |             |             |
| a Enter amount for 2012 only                                                                                                                                               |               |                            | 0.          |             |
| b Total for prior years:                                                                                                                                                   |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2013:                                                                                                                         |               |                            |             |             |
| a From 2008                                                                                                                                                                |               |                            |             |             |
| b From 2009                                                                                                                                                                | 2,273.        |                            |             |             |
| c From 2010                                                                                                                                                                | 1,050.        |                            |             |             |
| d From 2011                                                                                                                                                                | 748.          |                            |             |             |
| e From 2012                                                                                                                                                                | 1,003.        |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              | 5,074.        |                            |             |             |
| 4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$                                                                                                            | 60,000.       |                            |             |             |
| a Applied to 2012, but not more than line 2a                                                                                                                               |               |                            | 0.          |             |
| b Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| d Applied to 2013 distributable amount                                                                                                                                     |               |                            |             | 58,369.     |
| e Remaining amount distributed out of corpus                                                                                                                               | 1,631.        |                            |             |             |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:                                                                                                                   | 6,705.        |                            |             |             |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                        |               |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |             |
| f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014                                                              |               |                            |             | 0.          |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)                                                     | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7                                                                                                 | 0.            |                            |             |             |
| 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a                                                                                              | 6,705.        |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| a Excess from 2009                                                                                                                                                         | 2,273.        |                            |             |             |
| b Excess from 2010                                                                                                                                                         | 1,050.        |                            |             |             |
| c Excess from 2011                                                                                                                                                         | 748.          |                            |             |             |
| d Excess from 2012                                                                                                                                                         | 1,003.        |                            |             |             |
| e Excess from 2013                                                                                                                                                         | 1,631.        |                            |             |             |



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**Part XV** **Supplementary Information** (continued)

| <b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b> |                                                                                                                    |                                      |                                      |                |
|---------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|--------------------------------------|----------------|
| Recipient<br>Name and address (home or business)                                      | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount         |
| <b>a Paid during the year</b>                                                         |                                                                                                                    |                                      |                                      |                |
| Etruscan Foundation<br>Box 84<br>Yarmouth, ME 04096                                   |                                                                                                                    | 501(c)(3)                            | Research of Etruscan<br>Civilization | 30,000.        |
| Spannocchia Foundation<br>Box 84<br>Yarmouth, ME 04096                                |                                                                                                                    | 501(c)(3)                            | Research of Etruscan<br>Civilization | 30,000.        |
|                                                                                       |                                                                                                                    |                                      |                                      |                |
|                                                                                       |                                                                                                                    |                                      |                                      |                |
|                                                                                       |                                                                                                                    |                                      |                                      |                |
| <b>Total</b>                                                                          |                                                                                                                    |                                      |                                      | <b>60,000.</b> |
| <b>b Approved for future payment</b>                                                  |                                                                                                                    |                                      |                                      |                |
| None                                                                                  |                                                                                                                    |                                      |                                      |                |
|                                                                                       |                                                                                                                    |                                      |                                      |                |
|                                                                                       |                                                                                                                    |                                      |                                      |                |
| <b>Total</b>                                                                          |                                                                                                                    |                                      |                                      | <b>0.</b>      |





**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. |  | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|-----------------------------------------------------------------------------------------------------------------------------------|--|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a Wells Fargo                                                                                                                    |  | P                                                |                                      |                                  |
| b Wells Fargo                                                                                                                     |  | P                                                |                                      |                                  |
| c Wells Fargo                                                                                                                     |  | P                                                |                                      |                                  |
| d Wells Fargo                                                                                                                     |  | P                                                |                                      |                                  |
| e The Blackstone Group, L.P.                                                                                                      |  |                                                  |                                      |                                  |
| f The Blackstone Group, L.P.                                                                                                      |  |                                                  |                                      |                                  |
| g Capital Gains Dividends                                                                                                         |  |                                                  |                                      |                                  |
| h                                                                                                                                 |  |                                                  |                                      |                                  |
| i                                                                                                                                 |  |                                                  |                                      |                                  |
| j                                                                                                                                 |  |                                                  |                                      |                                  |
| k                                                                                                                                 |  |                                                  |                                      |                                  |
| l                                                                                                                                 |  |                                                  |                                      |                                  |
| m                                                                                                                                 |  |                                                  |                                      |                                  |
| n                                                                                                                                 |  |                                                  |                                      |                                  |
| o                                                                                                                                 |  |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 158,099.            |                                            | 155,290.                                        | 2,809.                                       |
| b 66,408.             |                                            | 65,004.                                         | 1,404.                                       |
| c 490,182.            |                                            | 459,881.                                        | 30,301.                                      |
| d 177.                |                                            |                                                 | 177.                                         |
| e                     |                                            |                                                 | 4.                                           |
| f                     |                                            |                                                 | 268.                                         |
| g 5,283.              |                                            |                                                 | 5,283.                                       |
| h                     |                                            |                                                 |                                              |
| i                     |                                            |                                                 |                                              |
| j                     |                                            |                                                 |                                              |
| k                     |                                            |                                                 |                                              |
| l                     |                                            |                                                 |                                              |
| m                     |                                            |                                                 |                                              |
| n                     |                                            |                                                 |                                              |
| o                     |                                            |                                                 |                                              |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                                 | (i) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69                                                                   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                                         |
| a                                                                                           |                                      |                                                 | 2,809.                                                                                                  |
| b                                                                                           |                                      |                                                 | 1,404.                                                                                                  |
| c                                                                                           |                                      |                                                 | 30,301.                                                                                                 |
| d                                                                                           |                                      |                                                 | 177.                                                                                                    |
| e                                                                                           |                                      |                                                 | 4.                                                                                                      |
| f                                                                                           |                                      |                                                 | 268.                                                                                                    |
| g                                                                                           |                                      |                                                 | 5,283.                                                                                                  |
| h                                                                                           |                                      |                                                 |                                                                                                         |
| i                                                                                           |                                      |                                                 |                                                                                                         |
| j                                                                                           |                                      |                                                 |                                                                                                         |
| k                                                                                           |                                      |                                                 |                                                                                                         |
| l                                                                                           |                                      |                                                 |                                                                                                         |
| m                                                                                           |                                      |                                                 |                                                                                                         |
| n                                                                                           |                                      |                                                 |                                                                                                         |
| o                                                                                           |                                      |                                                 |                                                                                                         |

|                                                                                                                                                                                     |   |         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }                                               | 2 | 40,246. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 } | 3 | N/A     |



As of Date: 2/07/14

**Your Financial Advisor:**  
TIMPSON & GEORGE WEALTH MANAGEMENT GROUP  
2 PORTLAND SQUARE  
PORTLAND ME 04101  
(207) 774-5626

**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID # 23-2384840

FERNINAND N CINELLI AND SARAH  
M CINELLI CHARITABLE TR  
PETER CINELLI TTEE  
U/A DTD 05/22/2003  
PO BOX 1297  
YARMOUTH ME 04096-0084

Account Number: 2040-0661  
Your Federal Identification Number: 03-0485290

## Reportable Tax Information

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. Where available, we have also provided additional information for courtesy purposes that is not provided to the IRS. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: TAXPAYERS ARE ULTIMATELY RESPONSIBLE FOR THE ACCURACY OF THEIR TAX RETURNS.

The 1099-B data shown is reported to the IRS. Individual tax lots for each trade, where applicable, have been broken out and shown in italics. This detailed information is not reported to the IRS, but may be helpful in completing Form 8949 of Schedule D of your tax return.

### SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED 1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange                   | 1e-Quantity Sold                       | 1b-Date of Acquisition                      | 2a-Sales Price*                      | 3-Cost or Other Basis                | Supplemental information not reported to the IRS |                        |
|------------------------------------------------------------------------|----------------------------------------|---------------------------------------------|--------------------------------------|--------------------------------------|--------------------------------------------------|------------------------|
|                                                                        |                                        |                                             |                                      |                                      | Gain or Loss Amount                              | Additional Information |
| BARRICK GOLD CORP / 067901108<br>08/14/13                              | 1,000.0000                             | 04/18/13                                    | 18,832.37                            | 18,000.00                            | 832.37                                           | SALE                   |
| CALAMOS INVT TR NEW / 128119872<br>GROWTH & INCOME FD CL I<br>06/03/13 | 27.7170                                | 12/21/12                                    | 913.01                               | 866.44                               | 46.57                                            | SALE                   |
| DOUBLELINE FDS TR / 258620103<br>TOTAL RETURN BD FD CL I<br>06/03/13   | 1,500.0000                             | 06/18/12                                    | 16,920.00                            | 16,830.00                            | 90.00                                            | SALE                   |
| FIRST EAGLE FUNDS / 32008F200<br>SOGEN OVERSEAS FUND CL I              | 31 0000<br>4.7270<br>5.3640<br>41.0910 | 12/14/12<br>12/14/12<br>12/17/12<br>Various | 728.50<br>111.08<br>126.06<br>965.64 | 682.00<br>104.00<br>118.00<br>904.00 | 46.50<br>7.08<br>8.06<br>61.64                   | SALE                   |
| 07/11/13                                                               |                                        |                                             |                                      |                                      |                                                  | TOTAL 3 LOTS           |
| PIMCO FDS / 72201M719<br>INCOME FUND CLASS P                           | 2,500.0000<br>5.0070                   | 06/18/12<br>07/02/12                        | 31,600.00<br>63.28                   | 28,450.00<br>57.33                   | 3,150.00<br>5.95                                 |                        |

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## 2013 ENHANCED 1099

Page 6 of 28

As of Date: 2/07/14

**Your Financial Advisor :**  
 TIMPSON & GEORGE WEALTH MANAGEMENT GROUP  
 2 PORTLAND SQUARE  
 PORTLAND ME 04101  
 (207) 774-5626

**Payer:**  
 FIRST CLEARING, LLC  
 2801 MARKET STREET  
 SAINT LOUIS, MO 63103  
 Payer ID #: 23-2384840

**FERNINAND N CINELLI AND SARAH**  
 M CINELLI CHARITABLE TR  
 PETER CINELLI TTEE  
 U/A DTD 05/22/2003  
 PO BOX 1297  
 YARMOUTH ME 04096-0084

Account Number: 2040-0661  
 Your Federal Identification Number: 03-0485290

## Reportable Tax Information

**SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED** *continued*  
**1099-B Proceeds from Broker and Barter Exchange Transactions for 2013** **OMB NO. 1545-0715**

| Supplemental Information not reported to the IRS |                             |                  |                        |                 |                       |                                                  |
|--------------------------------------------------|-----------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|
| 8-Description / CUSIP                            | 1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Gain or Loss<br>Amount<br>Additional Information |
|                                                  |                             | 11,7340          | 08/01/12               | 148.31          | 137.05                | 11.26                                            |
|                                                  |                             | 11,6010          | 09/04/12               | 146.63          | 137.70                | 8.93                                             |
|                                                  |                             | 11,3160          | 10/01/12               | 143.03          | 138.28                | 4.75                                             |
|                                                  |                             | 11,3190          | 11/02/12               | 143.07          | 138.89                | 4.18                                             |
|                                                  |                             | 11,2780          | 12/03/12               | 142.55          | 139.51                | 3.04                                             |
|                                                  |                             | 160,5850         | 12/07/12               | 2,029.83        | 1,999.29              | 30.54                                            |
| 06/03/13                                         |                             | 2,722.8400       | Various                | 34,416.70       | 31,198.05             | 3,218.65 SALE                                    |
| TOTAL 8 LOTS                                     |                             |                  |                        |                 |                       |                                                  |
| PIMCO FDS PAC INVT / 693390700                   |                             |                  |                        |                 |                       |                                                  |
| MGMT SER TOTAL RETURN FD                         |                             |                  |                        |                 |                       |                                                  |
| INSTL CL                                         |                             |                  |                        |                 |                       |                                                  |
|                                                  |                             | 24,4470          | 11/01/12               | 266.47          | 283.34                | -16.87                                           |
|                                                  |                             | 25,0660          | 12/03/12               | 273.22          | 291.27                | -18.05                                           |
|                                                  |                             | 68,1520          | 12/13/12               | 742.88          | 774.21                | -31.33                                           |
|                                                  |                             | 50,0240          | 12/13/12               | 545.28          | 568.27                | -22.99                                           |
|                                                  |                             | 52,0380          | 12/28/12               | 567.23          | 584.91                | -17.68                                           |
|                                                  |                             | 13,3460          | 01/02/13               | 145.47          | 150.01                | -4.54                                            |
|                                                  |                             | 8,7660           | 02/01/13               | 95.55           | 98.09                 | -2.54                                            |
|                                                  |                             | 9,0360           | 03/01/13               | 98.49           | 101.47                | -2.98                                            |
|                                                  |                             | 9,7100           | 04/01/13               | 105.84          | 109.14                | -3.30                                            |
|                                                  |                             | 11,4130          | 05/01/13               | 124.41          | 129.42                | -5.01                                            |
|                                                  |                             | 9,7000           | 06/03/13               | 105.74          | 107.38                | -1.64                                            |
|                                                  |                             | 4,5310           | 09/03/13               | 49.39           | 48.26                 | 1.13                                             |
|                                                  |                             | 3,4730           | 10/01/13               | 37.87           | 37.58                 | 0.29                                             |
| 10/31/13                                         |                             | 289.7020         | Various                | 3,157.84        | 3,283.35              | -125.51 SALE                                     |
| TOTAL 13 LOTS                                    |                             |                  |                        |                 |                       |                                                  |
| TEMPLETON INCOME TR / 880208400                  |                             |                  |                        |                 |                       |                                                  |
| GLOBAL BD FD ADVISOR CL                          |                             |                  |                        |                 |                       |                                                  |
|                                                  |                             | 64,7700          | 12/18/12               | 842.02          | 854.97                | -12.95                                           |
|                                                  |                             | 0,6480           | 12/18/12               | 8.43            | 8.55                  | -0.12                                            |

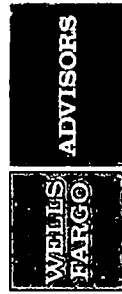
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# 2013 ENHANCED 1099

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WELLS FARGO ADVISORS

FERNINAND N CINELLI AND SARAH  
M CINELLI CHARITABLE TR  
PETER CINELLI TTEE  
U/A DTD 05/22/2003  
PO BOX 1297  
YARMOUTH ME 04096-0084

Your Financial Advisor:  
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2 PORTLAND SQUARE  
PORTLAND ME 04101  
(207) 774-5626

Payer:  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

As of Date: 2/07/14

Account Number: 2040-0661  
Your Federal Identification Number: 03-0485290

## Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of<br>Acquisition | 2a-Sales Price* | 3-Cost or Other<br>Basis | Supplemental Information not reported to the IRS |                        |
|------------------------------------------------------|------------------|---------------------------|-----------------|--------------------------|--------------------------------------------------|------------------------|
|                                                      |                  |                           |                 |                          | Gain or Loss<br>Amount                           | Additional Information |
| 06/11/13                                             | 65.4180          | 12/18/12                  | 850.45          | 863.52                   | -13.07                                           | SALE                   |

TOTAL 2 LOTS

VIRTUS OPPORTUNITIES / 92828R610  
MULTI SECTOR SHRT TERM  
BD FD CL I

|          |            |          |           |           |         |      |
|----------|------------|----------|-----------|-----------|---------|------|
| 05/29/13 | 5.000.0000 | 09/24/12 | 24,700.00 | 24,700.00 | 0.00    | SALE |
| 06/25/13 | 5 000.0000 | 09/24/12 | 24,100.00 | 24,700.00 | -600.00 | SALE |

WALTER ENERGY INC / 93317Q105

|          |            |          |          |           |           |      |
|----------|------------|----------|----------|-----------|-----------|------|
| 06/26/13 | 1.000.0000 | 06/05/13 | 9,992.93 | 16,405.20 | -6,412.27 | SALE |
|----------|------------|----------|----------|-----------|-----------|------|

WELLPOINT INC / 94973V107

|          |          |          |           |           |          |                                      |
|----------|----------|----------|-----------|-----------|----------|--------------------------------------|
| 07/19/13 | 300.0000 | 09/12/12 | 23,249.60 | 17,539.80 | 6,324.68 | SALE<br>Option Premium Close -614 88 |
|----------|----------|----------|-----------|-----------|----------|--------------------------------------|

TOTAL SHORT TERM GAINS OR LOSSES FOR BASIS  
REPORTED TO IRS

|            |            |          |
|------------|------------|----------|
| 158,098.54 | 155,290.36 | 3,423.06 |
|------------|------------|----------|

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of<br>Acquisition | 2a-Sales Price* | 3-Cost or Other<br>Basis | Gain or Loss<br>Amount | Additional Information               |
|------------------------------------------------------|------------------|---------------------------|-----------------|--------------------------|------------------------|--------------------------------------|
|                                                      |                  |                           |                 |                          |                        |                                      |
| CONOCOPHILLIPS / 20825C104<br>11/15/13               | 300.0000         | 05/17/12                  | 20,999.63       | 15,500.98                | 6,068.53               | SALE<br>Option Premium Close -569 88 |

FIRST EAGLE FUNDS / 32008F200  
SOGEN OVERSEAS FUND CL I

|          |            |          |           |           |          |      |
|----------|------------|----------|-----------|-----------|----------|------|
| 07/11/13 | 1,000.0000 | 03/21/12 | 23,500.00 | 22,370.00 | 1,130.00 | SALE |
|----------|------------|----------|-----------|-----------|----------|------|

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001 / PPM2 / RF01

## 2013 ENHANCED 1099

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As of Date: 2/07/14

**Payer:**  
 FIRST CLEARING, LLC  
 2801 MARKET STREET  
 SAINT LOUIS, MO 63103  
 Payer ID #: 23-2384840

**Your Financial Advisor :**  
 TIMPSON & GEORGE WEALTH MANAGEMENT  
 GROUP  
 2 PORTLAND SQUARE  
 PORTLAND ME 04101  
 (207) 774-5626

FERNINAND N CINELLI AND SARAH  
 M CINELLI CHARITABLE TR  
 PETER CINELLI TTEE  
 U/A DTD 05/22/2003  
 PO BOX 1297  
 YARMOUTH ME 04096-0084

Account Number: 2040-0661  
 Your Federal Identification Number: 03-0485290

## Reportable Tax Information

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED *continued*  
 1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental information not reported to the IRS

| 8-Description / CUSIP          | 1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Gain or Loss Amount | Additional Information |
|--------------------------------|-----------------------------|------------------|------------------------|-----------------|-----------------------|---------------------|------------------------|
| PIMCO FDS PAC INVT / 693390700 |                             |                  |                        |                 |                       |                     |                        |
| MGMT SER TOTAL RETURN FD       |                             |                  |                        |                 |                       |                     |                        |
| INSTL CL                       |                             |                  |                        |                 |                       |                     |                        |
|                                |                             | 6.8680           | 09/09/08               | 74.86           | 74.51                 | 0.35                | Original Basis: 73.90  |
|                                |                             | 5.7320           | 09/30/08               | 62.47           | 62.37                 | 0.10                | Original Basis: 61.85  |
|                                |                             | 24.5020          | 01/03/12               | 267.07          | 266.34                | 0.73                |                        |
|                                |                             | 22.7680          | 02/01/12               | 248.17          | 253.18                | -5.01               |                        |
|                                |                             | 21.3180          | 03/01/12               | 232.37          | 237.06                | -4.69               |                        |
|                                |                             | 26.4230          | 04/02/12               | 288.01          | 293.03                | -5.02               |                        |
|                                |                             | 24.2440          | 05/01/12               | 264.26          | 272.02                | -7.76               |                        |
|                                |                             | 26.8370          | 06/01/12               | 292.52          | 302.72                | -10.20              |                        |
|                                |                             | 23.9740          | 07/02/12               | 261.32          | 270.91                | -9.59               |                        |
|                                |                             | 19.1950          | 08/01/12               | 209.23          | 220.17                | -10.94              |                        |
|                                |                             | 20.6780          | 09/04/12               | 225.39          | 237.80                | -12.41              |                        |
|                                |                             | 16.6440          | 10/01/12               | 181.42          | 192.74                | -11.32              |                        |
| 10/31/13                       |                             | 239.1830         | Various                | 2,607.09        | 2,682.85              | -75.76              | SALE                   |
|                                |                             |                  |                        |                 |                       |                     | TOTAL 12 LOTS          |

TEVA PHARMACEUTICAL / 881624209  
 ADR INDS LTD  
 09/24/13

-5,149.14 SALE

TOTAL LONG TERM GAINS OR LOSSES FOR BASIS  
 REPORTED TO IRS

1,973.63

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001 / P / RF01

0000 001065

2013 ENHANCED 1099

As of Date: 2/07/14

**Your Financial Advisor:**  
TIMPSON & GEORGE WEALTH MANAGEMENT GROUP  
2 PORTLAND SQUARE  
PORTLAND ME 04101  
(207) 774-5626

**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2364840

FERNINAND N CINELLI AND SARAH  
M CINELLI CHARITABLE TR  
PETER CINELLI TTEE  
U/A DTD 05/22/2003  
PO BOX 1297  
YARMOUTH ME 04096-0084

Account Number: 2040-0661  
Your Federal Identification Number: 03-0485290

Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\*  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| Supplemental Information not reported to the IRS |                             |                  |                        |                 |                       |                     |                        |
|--------------------------------------------------|-----------------------------|------------------|------------------------|-----------------|-----------------------|---------------------|------------------------|
| 8-Description / CUSIP                            | 1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Gain or Loss Amount | Additional Information |
| ABBVIE INC / 00287Y109                           | 01/03/13                    | 300.0000         | 04/03/09               | 10,465.27       | 6,940.29              | 3,524.98            | SALE                   |
| APPLE INC / 037833100                            | 06/26/13                    | 50.0000          | 12/13/10               | 19,931.80       | 16,211.50             | 3,720.30            | SALE                   |
| AUTOZONE INC / 053332AD4                         |                             |                  |                        |                 |                       |                     |                        |
| NOTES                                            |                             |                  |                        |                 |                       |                     |                        |
| CALLABLE                                         |                             |                  |                        |                 |                       |                     |                        |
| CPN 4 375% DUE 06/01/13                          |                             |                  |                        |                 |                       |                     |                        |
| 06/03/13                                         |                             | 25,000.0000      | 05/01/09               | 25,000.00       | 25,000.00             | 0.00                | REDEMPTION             |
| CALAMOS INVT TR NEW / 128119872                  |                             |                  |                        |                 |                       |                     |                        |
| GROWTH & INCOME FD CL I                          |                             |                  |                        |                 |                       |                     |                        |
|                                                  |                             | 648.9940         | 06/13/03               | 21,377.86       | 15,996.38             | 5,381.48            |                        |
|                                                  |                             | 3,7260           | 11/18/04               | 122.73          | 105.68                | 17.05               |                        |
|                                                  |                             | 7,4960           | 11/18/04               | 246.91          | 212.56                | 34.35               |                        |
|                                                  |                             | 51,2870          | 11/18/05               | 1,689.39        | 1,537.03              | 152.36              |                        |
|                                                  |                             | 114,7970         | 11/17/06               | 3,781.42        | 3,536.92              | 244.50              |                        |
|                                                  |                             | 1,7260           | 12/29/06               | 56.85           | 53.36                 | 3.49                |                        |
|                                                  |                             | 171,9740         | 11/19/07               | 5,664.83        | 5,350.58              | 314.25              |                        |
| 06/03/13                                         |                             | 1,000.0000       | Various                | 32,939.99       | 26,792.51             | 6,147.48            | SALE                   |
| TOTAL 7 LOTS                                     |                             |                  |                        |                 |                       |                     |                        |
| DIEBOLD INCORPORATED / 253651103                 |                             |                  |                        |                 |                       |                     |                        |
| 11/20/13                                         |                             | 400.0000         | 04/17/09               | 13,197.37       | 10,284.00             | 2,913.37            | SALE                   |
| DOUBLELINE FDS TR / 258620103                    |                             |                  |                        |                 |                       |                     |                        |
| TOTAL RETURN BD FD CL I                          |                             |                  |                        |                 |                       |                     |                        |
| 06/03/13                                         |                             | 2,500.0000       | 11/07/11               | 28,200.00       | 27,850.00             | 350.00              | SALE                   |

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2013 ENHANCED 1099

Page 11 of 28

WELLS FARGO

ADVISORS

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Payer:  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

As of Date: 2/07/14

Account Number: 2040-0661  
Your Federal Identification Number: 03-0485290

Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\* continued  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| Supplemental information not reported to the IRS |                  |                        |                 | Gain or Loss          |        | Additional Information |              |
|--------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------|------------------------|--------------|
| 8-Description / CUSIP                            | 1a-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Amount |                        |              |
|                                                  | 43.6060          | 11/03/08               | 468.76          | 442.16                | 26.60  |                        |              |
|                                                  | 38.5160          | 12/01/08               | 414.04          | 396.71                | 17.33  |                        |              |
|                                                  | 295.7360         | 12/12/08               | 3,179.17        | 2,927.79              | 251.38 |                        |              |
|                                                  | 168.4510         | 12/12/08               | 1,810.85        | 1,667.66              | 143.19 |                        |              |
|                                                  | 47.0860          | 01/02/09               | 506.17          | 477.45                | 28.72  |                        |              |
|                                                  | 50.5110          | 02/02/09               | 543.00          | 512.69                | 30.31  |                        |              |
|                                                  | 28.3750          | 03/02/09               | 305.04          | 284.04                | 21.00  |                        |              |
|                                                  | 2,034.1270       | Various                | 21,866.88       | 21,456.93             | 409.95 | SALE                   | TOTAL 9 LOTS |
| 07/11/13                                         | 25.6230          | 03/02/09               | 279.29          | 256.48                | 22.81  |                        |              |
|                                                  | 52.1050          | 04/01/09               | 567.94          | 527.82                | 40.12  |                        |              |
|                                                  | 51.7240          | 05/01/09               | 563.79          | 528.62                | 35.17  |                        |              |
|                                                  | 50.4520          | 06/01/09               | 549.92          | 526.21                | 23.71  |                        |              |
|                                                  | 50.2570          | 07/01/09               | 547.80          | 525.19                | 22.61  |                        |              |
|                                                  | 52.1260          | 08/03/09               | 568.17          | 554.10                | 14.07  |                        |              |
|                                                  | 50.4910          | 09/01/09               | 550.35          | 544.29                | 6.06   |                        |              |
|                                                  | 47.3700          | 10/01/09               | 516.33          | 517.28                | -0.95  |                        |              |
|                                                  | 39.9950          | 11/02/09               | 435.94          | 437.55                | -1.61  |                        |              |
|                                                  | 28.1290          | 12/01/09               | 306.60          | 310.54                | -3.94  |                        |              |
|                                                  | 66.7880          | 12/11/09               | 727.99          | 727.32                | 0.67   |                        |              |
|                                                  | 18.5790          | 12/11/09               | 202.51          | 202.32                | 0.19   |                        |              |
|                                                  | 27.1580          | 01/04/10               | 296.02          | 293.31                | 2.71   |                        |              |
|                                                  | 20.5240          | 02/01/10               | 223.71          | 224.94                | -1.23  |                        |              |
|                                                  | 18.4570          | 03/01/10               | 201.18          | 202.84                | -1.66  |                        |              |
|                                                  | 18.6970          | 04/01/10               | 203.79          | 206.41                | -2.62  |                        |              |
|                                                  | 19.1510          | 05/03/10               | 208.74          | 213.15                | -4.41  |                        |              |
|                                                  | 17.5880          | 06/01/10               | 191.70          | 195.23                | -3.53  |                        |              |
|                                                  | 19.4060          | 07/01/10               | 211.52          | 218.51                | -6.99  |                        |              |
|                                                  | 20.5680          | 08/02/10               | 224.19          | 234.48                | -10.29 |                        |              |

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## 2013 ENHANCED 1099

Page 12 of 28

As of Date: 2/07/14

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 Your Federal Identification Number: 03-0485290

## Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\* continued  
 1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Gain or Loss Amount | Additional Information |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|---------------------|------------------------|
|                                                      | 18.9160          | 09/01/10               | 206.18          | 218.29                | -12.11              |                        |
|                                                      | 19.3720          | 10/01/10               | 211.15          | 224.71                | -13.56              |                        |
|                                                      | 21.2150          | 11/01/10               | 231.24          | 248.00                | -16.76              |                        |
|                                                      | 22.2250          | 12/01/10               | 242.25          | 255.36                | -13.11              |                        |
|                                                      | 263.7990         | 12/10/10               | 2,875.42        | 2,849.03              | 26.39               |                        |
|                                                      | 120.6210         | 12/10/10               | 1,314.77        | 1,302.71              | 12.06               |                        |
|                                                      | 25.9530          | 01/03/11               | 282.89          | 281.59                | 1.30                |                        |
|                                                      | 21.5120          | 02/01/11               | 234.48          | 233.41                | 1.07                |                        |
|                                                      | 22.2900          | 03/01/11               | 242.96          | 242.51                | 0.45                |                        |
|                                                      | 23.1990          | 04/01/11               | 252.87          | 252.40                | 0.47                |                        |
|                                                      | 23.1530          | 05/02/11               | 252.37          | 255.38                | -3.01               |                        |
|                                                      | 23.2910          | 06/01/11               | 253.87          | 257.60                | -3.73               |                        |
|                                                      | 22.4500          | 07/01/11               | 244.70          | 246.73                | -2.03               |                        |
|                                                      | 21.0270          | 08/01/11               | 229.19          | 233.40                | -4.21               |                        |
|                                                      | 19.9080          | 09/01/11               | 216.99          | 219.19                | -2.20               |                        |
|                                                      | 23.0060          | 10/03/11               | 250.76          | 248.24                | 2.52                |                        |
|                                                      | 22.6310          | 11/01/11               | 246.68          | 246.90                | -0.22               |                        |
|                                                      | 24.8910          | 12/01/11               | 271.31          | 268.33                | 2.98                |                        |
|                                                      | 50.2040          | 12/30/11               | 547.23          | 543.71                | 3.52                |                        |
| 10/31/13                                             | 1,484.8510       | Various                | 16,184.79       | 16,074.08             | 110.71              | SALE                   |
| TOTAL 39 LOTS                                        |                  |                        |                 |                       |                     |                        |
| PROCTER & GAMBLE CO / 742718109                      |                  |                        |                 |                       |                     |                        |
| 11/15/13                                             | 500.0000         | 02/09/05               | 39,999.30       | 25,900.00             | 14,664.10           | SALE                   |
| Option Premium Close: -564.80                        |                  |                        |                 |                       |                     |                        |

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WELLS  
FARGO

ADVISORS

As of Date: 2/07/14

Your Financial Advisor :  
TIMPSON & GEORGE WEALTH MANAGEMENT  
GROUP  
2 PORTLAND SQUARE  
PORTLAND ME 04101  
(207) 774-5626

FERNINAND N CINELLI AND SARAH  
M CINELLI CHARITABLE TR  
PETER CINELLI TTEE  
U/A DTD 05/22/2003  
PO BOX 1297  
YARMOUTH ME 04096-0084

Payer:  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Account Number: 2040-0661  
Your Federal Identification Number: 03-0485290

## Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\* continued  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP                                                                                                | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|----------------------------------------------------------------------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                                                                                      |                  |                        |                 |                       | Gain or Loss                                     | Additional Information |
| ROYAL CARIBBEAN CRUISE / 780153AQ5<br>SENIOR NOTE<br>CPN 7.000% DUE 06/15/13<br>DTD 06/12/06 FC 12/15/06<br>06/17/13 | 25,000.0000      | 07/01/11               | 25,000.00       | 26,687.50             | -1,687.50                                        | REDEMPTION             |
|                                                                                                                      |                  |                        |                 |                       |                                                  |                        |
|                                                                                                                      |                  |                        |                 |                       |                                                  |                        |
|                                                                                                                      |                  |                        |                 |                       |                                                  |                        |
| STEEL DYNAMICS INC / 858119AH3<br>SENIOR NOTES<br>CALLABLE<br>CPN 6.750% DUE 04/01/15<br>04/09/13                    | 25,000.0000      | 12/13/10               | 25,000.00       | 25,622.50             | -622.50                                          | REDEMPTION             |
|                                                                                                                      |                  |                        |                 |                       |                                                  |                        |
|                                                                                                                      |                  |                        |                 |                       |                                                  |                        |
|                                                                                                                      |                  |                        |                 |                       |                                                  |                        |
| TEMPLETON INCOME TR / 880208400<br>GLOBAL BD FD ADVISOR CL                                                           | 2,000.0000       | 07/30/09               | 25,999.99       | 24,280.00             | 1,719.99                                         |                        |
|                                                                                                                      |                  |                        |                 |                       |                                                  |                        |
|                                                                                                                      |                  |                        |                 |                       |                                                  |                        |
|                                                                                                                      |                  |                        |                 |                       |                                                  |                        |
| TRANSOCEAN INC / 893830AR0<br>SR NOTES<br>CALLABLE<br>CPN 5.250% DUE 03/15/13<br>03/15/13                            | 25,000.0000      | 07/14/10               | 25,000.00       | 25,000.00             | 0.00                                             | REDEMPTION             |
|                                                                                                                      |                  |                        |                 |                       |                                                  |                        |
|                                                                                                                      |                  |                        |                 |                       |                                                  |                        |
|                                                                                                                      |                  |                        |                 |                       |                                                  |                        |
| TSAKOS ENERGY NAVIGATION / G9108L108<br>LTD<br>01/04/13                                                              | 600.0000         | 09/06/07               | 2,430.42        | 19,595.97             | -17,165.55                                       | SALE                   |
|                                                                                                                      |                  |                        |                 |                       |                                                  |                        |
|                                                                                                                      |                  |                        |                 |                       |                                                  |                        |
|                                                                                                                      |                  |                        |                 |                       |                                                  |                        |
| 06/11/13                                                                                                             | 5,032.2190       | Various                | 65,418.83       | 62,115.00             | 3,303.83                                         | SALE                   |
|                                                                                                                      |                  |                        |                 |                       | TOTAL 4 LOTS                                     |                        |

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## 2013 ENHANCED 1099

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As of Date: 2/07/14

FERNINAND N CINELLI AND SARAH  
M CINELLI CHARITABLE TR  
PETER CINELLI TTEE  
U/A DTD 05/22/2003  
PO BOX 1297  
YARMOUTH ME 04096-0084

Your Financial Advisor :  
TIMPSON & GEORGE WEALTH MANAGEMENT  
GROUP  
2 PORTLAND SQUARE  
PORTLAND ME 04101  
(207) 774-5626

Payer:  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Account Number: 2040-0661  
Your Federal Identification Number: 03-0485290

## Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\* continued  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange      | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|-----------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                           |                  |                        |                 |                       | Gain or Loss Amount                              | Additional Information |
| WASATCH MICRO CAP VALLU / 936793504 FUND                  |                  |                        |                 |                       |                                                  |                        |
|                                                           | 510.7270         | 07/28/03               | 1,394.28        | 1,021.45              | 372.83                                           |                        |
|                                                           | 9.2800           | 12/22/03               | 25.33           | 21.90                 | 3.43                                             |                        |
|                                                           | 158.9070         | 10/18/04               | 433.81          | 359.13                | 74.68                                            |                        |
|                                                           | 383.7930         | 10/18/04               | 1,047.77        | 867.38                | 180.39                                           |                        |
| 01/04/13                                                  | 1,062.7070       | Various                | 2,901.19        | 2,269.86              | 631.33                                           | SALE                   |
| TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES |                  |                        |                 |                       | 490,182.42                                       | 459,880.97 31,989.21   |

UNKNOWN TERM GAINS OR LOSSES# - BASIS NOT REPORTED TO THE IRS.  
REPORT ON FORM 8949, WITH BOX B OR E CHECKED AS APPLICABLE\*\*

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                                                                |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|----------------------------------------------------------------|
|                                                      |                  |                        |                 |                       | Gain or Loss Amount                              | Additional Information                                         |
| SPDR GOLD TRUST ET / 78463V107                       |                  |                        |                 |                       |                                                  |                                                                |
| 01/07/13                                             | 300.0000         | N/A                    | 15.76           | N/A                   | N/A                                              | PRINCIPAL PAYMENT<br>Cost Basis Allocation Factor: 0.000329643 |
| 02/21/13                                             | 300.0000         | N/A                    | 16.28           | N/A                   | N/A                                              | PRINCIPAL PAYMENT<br>Cost Basis Allocation Factor: 0.000355489 |
| 03/12/13                                             | 300.0000         | N/A                    | 15.82           | N/A                   | N/A                                              | PRINCIPAL PAYMENT<br>Cost Basis Allocation Factor: 0.000341809 |
| 04/09/13                                             | 300.0000         | N/A                    | 16.20           | N/A                   | N/A                                              | PRINCIPAL PAYMENT<br>Cost Basis Allocation Factor: 0.000353866 |
| 05/10/13                                             | 300.0000         | N/A                    | 16.72           | N/A                   | N/A                                              | PRINCIPAL PAYMENT<br>Cost Basis Allocation Factor: 0.000403935 |

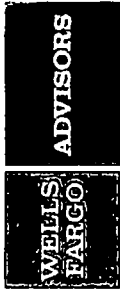
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2013 ENHANCED 1099

ADVISORS

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SAINT LOUIS, MO 63103  
Payer ID # 23-2384840

As of Date: 2/07/14

Account Number: 2040-0661  
Your Federal Identification Number: 03-0485290

Reportable Tax Information

UNKNOWN TERM GAINS OR LOSSES# - BASIS NOT REPORTED TO THE IRS.  
REPORT ON FORM 8949, WITH BOX B OR E CHECKED AS APPLICABLE\*\*

continued

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of<br>Acquisition | 2a-Sales Price* | 3-Cost or Other<br>Basis | Amount<br>Gain or Loss | Additional Information                                          |
|------------------------------------------------------|------------------|---------------------------|-----------------|--------------------------|------------------------|-----------------------------------------------------------------|
| 06/17/13                                             | 300.0000         | N/A                       | 14.62           | N/A                      | N/A                    | PRINCIPAL PAYMENT.<br>Cost Basis Allocation Factor: 0.000364055 |
| 07/10/13                                             | 300.0000         | N/A                       | 14.40           | N/A                      | N/A                    | PRINCIPAL PAYMENT<br>Cost Basis Allocation Factor: 0.000395335  |
| 08/15/13                                             | 300.0000         | N/A                       | 13.25           | N/A                      | N/A                    | PRINCIPAL PAYMENT<br>Cost Basis Allocation Factor: 0.000343817  |
| 09/19/13                                             | 300.0000         | N/A                       | 14.05           | N/A                      | N/A                    | PRINCIPAL PAYMENT<br>Cost Basis Allocation Factor: 0.000355207  |
| 10/14/13                                             | 300.0000         | N/A                       | 14.46           | N/A                      | N/A                    | PRINCIPAL PAYMENT<br>Cost Basis Allocation Factor: 0.000388300  |
| 11/13/13                                             | 300.0000         | N/A                       | 12.94           | N/A                      | N/A                    | PRINCIPAL PAYMENT<br>Cost Basis Allocation Factor: 0.000351151  |
| 12/17/13                                             | 300.0000         | N/A                       | 12.43           | N/A                      | N/A                    | PRINCIPAL PAYMENT<br>Cost Basis Allocation Factor: 0.000348786  |

TOTAL UNKNOWN TERM GAINS OR LOSSES FOR  
NONCOVERED SECURITIES

176.93 0.00 0.00

\*Box 2a Sales price less commissions and option premiums

\*\*For noncovered securities, the following information is not reported to the IRS. COST OR OTHER BASIS (Box 3), DATE OF ACQUISITION (Box 1b), TYPE OF GAIN OR LOSS (Box 1c), WASH SALE LOSS AMOUNT  
DISALLOWED (Box 5)

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss columns, or Cost column. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss.  
Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A

#Investor must provide missing data to determine what box to check on Form 8949

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## 2013 ENHANCED 1099

Page 16 of 28

As of Date: 2/07/14

## Your Financial Advisor :

FERMINAND N CINELLI AND SARAH  
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PO BOX 1297  
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FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Account Number: 2040-0661

Your Federal Identification Number: 03-0485290

## Details of Reportable Tax Information

While only the Form 1099 box totals are reported to the IRS, the following specific transaction detail is being provided to you for courtesy purposes. The IRS box numbers referenced for individual items listed below comprise the totals which appear on the actual Forms 1099, shown on the summary page of this package. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

Tax reporting requirements can create differences with the amounts previously reported in monthly client statements. If you have an investment in a mutual fund, regulated investment company (RIC), real estate investment trust (REIT), or unit investment trust (UIT), some of those issuers provide reclassification information after the original tax form is printed. We will issue an amended form for information received after your original tax forms are generated.

## 1099-DIV Dividends and Distributions

| Description              | Notes | Payment Date | # of Payments | Activity            | Amount   | IRS Box | Country | CUSIP     |
|--------------------------|-------|--------------|---------------|---------------------|----------|---------|---------|-----------|
| ABBOTT LABORATORIES      |       | VARIOUS      | 4             | QUALIFIED DIVIDEND  | 210.00   | 1a, 1b  |         | 002824100 |
| ACCENTURE PLC IRELAND    |       | 11/15/2013   | 1             | QUALIFIED DIVIDEND  | 372.00   | 1a, 1b  | EI      | G1151C101 |
| AMERICAN ELECTRIC POWER  |       | VARIOUS      | 4             | QUALIFIED DIVIDEND  | 975.00   | 1a, 1b  |         | 025537101 |
| APPLE INC                |       | VARIOUS      | 4             | QUALIFIED DIVIDEND  | 875.00   | 1a, 1b  |         | 037833100 |
| BARRICK GOLD CORP        |       | 06/17/2013   | 1             | QUALIFIED DIVIDEND  | 200.00   | 1a, 1b  | CA      | 067901108 |
| BEAM INC                 |       | VARIOUS      | 3             | QUALIFIED DIVIDEND  | 202.50   | 1a, 1b  |         | 073730103 |
| CHEVRON CORPORATION      |       | VARIOUS      | 4             | QUALIFIED DIVIDEND  | 1,560.00 | 1a, 1b  |         | 166764100 |
| CONOCOPHILLIPS           |       | VARIOUS      | 4             | QUALIFIED DIVIDEND  | 810.00   | 1a, 1b  |         | 20825C104 |
| DIEBOLD INCORPORATED     |       | VARIOUS      | 4             | QUALIFIED DIVIDEND  | 460.00   | 1a, 1b  |         | 253651103 |
| DOUBLELINE TTL RET CL I  |       | VARIOUS      | 5             | DIVIDEND            | 880.42   | 1a      |         | 258620103 |
| E/V FLTNG RT ADV FD CL I |       | VARIOUS      | 5             | DIVIDEND            | 972.94   | 1a      |         | 277923637 |
| E/V FLTNG RT ADV FD CL I |       | 01/02/2014   | 1             | DIVIDEND            | 266.18   | 1a      |         | 277923637 |
| FIDELITY NAT INFO SVCS   |       | VARIOUS      | 4             | QUALIFIED DIVIDEND  | 440.00   | 1a, 1b  |         | 31620M106 |
| GABELLI FOCUS FIVE FD I  |       | VARIOUS      | 2             | SHORT TERM CAP GAIN | 298.70   | 1a      |         | 36239T822 |
| GABELLI FOCUS FIVE FD I  |       | VARIOUS      | 2             | QUALIFIED DIVIDEND  | 64.28    | 1a, 1b  |         | 36239T822 |
| ILL TOOL WORKS INC       |       | VARIOUS      | 3             | QUALIFIED DIVIDEND  | 472.00   | 1a, 1b  |         | 452308109 |
| INTL BUSINESS MACH CORP  |       | VARIOUS      | 4             | QUALIFIED DIVIDEND  | 740.00   | 1a, 1b  |         | 459200101 |
| INVECO SR INCOME TRUST   |       | VARIOUS      | 12            | DIVIDEND            | 1,830.00 | 1a      |         | 46131H107 |
| JM SMUCKER CO            |       | VARIOUS      | 3             | QUALIFIED DIVIDEND  | 336.00   | 1a, 1b  |         | 832696405 |
| L-3 COMMUNICATIONS HLDGS |       | VARIOUS      | 4             | QUALIFIED DIVIDEND  | 440.00   | 1a, 1b  |         | 502424104 |
| LOOMIS SAYLES STRT INC Y |       | VARIOUS      | 5             | DIVIDEND            | 753.41   | 1a      |         | 543487250 |

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES



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Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

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| Source                     | (a)<br>Revenue<br>Per Books | (b)<br>Net Investment<br>Income | (c)<br>Adjusted<br>Net Income |
|----------------------------|-----------------------------|---------------------------------|-------------------------------|
| The Blackstone Group, L.P. | 85.                         | 85.                             |                               |
| Wells Fargo Advisors       | 8,408.                      | 8,408.                          |                               |
| Total to Part I, line 3    | 8,493.                      | 8,493.                          |                               |

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Form 990-PF Dividends and Interest from Securities Statement 2

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| Source                     | Gross<br>Amount | Capital<br>Gains<br>Dividends | (a)<br>Revenue<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income |
|----------------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| The Blackstone Group, L.P. | 42.             | 0.                            | 42.                         | 42.                               |                               |
| Wells Fargo Advisors       | 25,231.         | 5,283.                        | 19,948.                     | 19,948.                           |                               |
| To Part I, line 4          | 25,273.         | 5,283.                        | 19,990.                     | 19,990.                           |                               |

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Form 990-PF Other Income Statement 3

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| Description                           | (a)<br>Revenue<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| The Blackstone Group, L.P.            | 2.                          | 2.                                |                               |
| Total to Form 990-PF, Part I, line 11 | 2.                          | 2.                                |                               |

|             |            |           |   |
|-------------|------------|-----------|---|
| Form 990-PF | Legal Fees | Statement | 4 |
|-------------|------------|-----------|---|

| Description                      | (a)<br>Expenses<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|----------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Surridge, Afton & Martin,<br>LLP | 1,380.                       | 0.                                |                               | 0.                            |
| To Fm 990-PF, Pg 1, ln 16a       | 1,380.                       | 0.                                |                               | 0.                            |

|             |                         |           |   |
|-------------|-------------------------|-----------|---|
| Form 990-PF | Other Professional Fees | Statement | 5 |
|-------------|-------------------------|-----------|---|

| Description                  | (a)<br>Expenses<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Wells Fargo advisory fees    | 11,086.                      | 11,086.                           |                               | 0.                            |
| To Form 990-PF, Pg 1, ln 16c | 11,086.                      | 11,086.                           |                               | 0.                            |

|             |       |           |   |
|-------------|-------|-----------|---|
| Form 990-PF | Taxes | Statement | 6 |
|-------------|-------|-----------|---|

| Description                 | (a)<br>Expenses<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Estimated tax               | 577.                         | 0.                                |                               | 0.                            |
| Foreign tax                 | 121.                         | 0.                                |                               | 0.                            |
| To Form 990-PF, Pg 1, ln 18 | 698.                         | 0.                                |                               | 0.                            |

|             |                 |           |   |
|-------------|-----------------|-----------|---|
| Form 990-PF | Corporate Stock | Statement | 7 |
|-------------|-----------------|-----------|---|

| Description                             | Book Value | Fair Market<br>Value |
|-----------------------------------------|------------|----------------------|
| CORPORATE STOCK                         | 695,941.   | 695,941.             |
| MUTUAL FUNDS                            | 290,988.   | 290,988.             |
| Total to Form 990-PF, Part II, line 10b | 986,929.   | 986,929.             |

|             |                 |           |   |
|-------------|-----------------|-----------|---|
| Form 990-PF | Corporate Bonds | Statement | 8 |
|-------------|-----------------|-----------|---|

| Description                             | Book Value | Fair Market Value |
|-----------------------------------------|------------|-------------------|
| FIXED INCOME SECURITIES                 | 155,905.   | 155,905.          |
| Total to Form 990-PF, Part II, line 10c | 155,905.   | 155,905.          |