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Form **990-PF**

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2013**Department of the Treasury  
Internal Revenue Service

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► Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation <b>BRAGDON R. GARROW FOUNDATION</b>		A Employer identification number <b>03-0442445</b>
Number and street (or P O box number if mail is not delivered to street address) <b>73-350 EL PASEO DRIVE</b>	Room/suite <b>201</b>	B Telephone number (see instructions) <b>760-346-7413</b>
City or town, state or province, country, and ZIP or foreign postal code <b>PALM DESERT CA 92260</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ <b>765,340</b>	J Accounting method. <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
(Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	5	5		
4	Dividends and interest from securities	18,615	18,615		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10 Stmt 1	23,415			
b	Gross sales price for all assets on line 6a 662,790				
7	Capital gain net income (from Part IV, line 2)		6,117		
8	Net short-term capital gain			0	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) Stmt 2	1,200			
12	Total. Add lines 1 through 11	43,235	24,737	0	
13	Compensation of officers, directors, trustees, etc	25,000	2,500		22,500
14	Other employee salaries and wages				
15	Pension plans, employee benefits	4,075	408		
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) Stmt 3	2,800	2,800		
c	Other professional fees (attach schedule) Stmt 4	7,547	7,547		
17	Interest				
18	Taxes (attach schedule) (see instructions) Stmt 5	161	136		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (att. sch)				
24	Total operating and administrative expenses. Add lines 13 through 23	39,583	13,391	0	22,500
25	Contributions, gifts, grants paid See Statement 6	9,700			9,700
26	Total expenses and disbursements. Add lines 24 and 25	49,283	13,391	0	32,200
27	Subtract line 26 from line 12	-6,048			
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative, enter -0-)		11,346		
c	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2013)

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<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	16,841	75,629	75,629
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att. schedule) ▶			
	Less allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule) Stmt 7	713,726	648,890	689,711
	b Investments – corporate stock (attach schedule)			
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach sch ) ▶				
12 Investments – mortgage loans				
13 Investments – other (attach schedule)				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation (attach sch ) ▶				
15 Other assets (describe ▶ )				
16 <b>Total assets</b> (to be completed by all filers – see the instructions Also, see page 1, item I)	730,567	724,519	765,340	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ )			
	23 <b>Total liabilities</b> (add lines 17 through 22)	0	0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	730,567	724,519	
	30 <b>Total net assets or fund balances</b> (see instructions)	730,567	724,519	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions)	730,567	724,519	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	730,567
2 Enter amount from Part I, line 27a	2	-6,048
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	724,519
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	724,519

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a LPL FINANCIAL</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> <b>6,117</b>			<b>6,117</b>
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			<b>6,117</b>
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

**2** Capital gain net income or (net capital loss)

If gain, also enter in Part I, line 7  
If (loss), enter -0- in Part I, line 7

**2****6,117****3** Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in  
Part I, line 8

**3****Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

**N/A**

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012			
2011			
2010			
2009			
2008			

**2** Total of line 1, column (d)**2****3** Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years**3****4** Enter the net value of noncharitable-use assets for 2013 from Part X, line 5**4****5** Multiply line 4 by line 3**5****6** Enter 1% of net investment income (1% of Part I, line 27b)**6****7** Add lines 5 and 6**7****8** Enter qualifying distributions from Part XII, line 4**8**

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the  
Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input checked="" type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>N/A</b>	<b>1</b>
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		<b>0</b>
<b>3</b>	Add lines 1 and 2		
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		<b>0</b>
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		<b>0</b>
<b>6</b>	<b>Credits/Payments</b>		
<b>a</b>	2013 estimated tax payments and 2012 overpayment credited to 2013	<b>6a</b>	
<b>b</b>	Exempt foreign organizations – tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d		<b>7</b>
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		<b>8</b>
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>		<b>9</b>
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>		<b>10</b>
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>		<b>11</b>

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>X</b>
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		<b>X</b>
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		<b>X</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		<b>X</b>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<b>X</b>
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		<b>X</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	<b>X</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <b>CA</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	<b>X</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		<b>X</b>
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		<b>X</b>

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		<b>X</b>
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		<b>X</b>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>N/A</b>	13		
14	The books are in care of ► <b>DAVID L ABEL</b> <b>73-350 EL PASEO, STE 201</b> Located at ► <b>PALM DESERT</b>	Telephone no ► <b>760-346-7413</b> <b>CA</b> ZIP+4 ► <b>92260</b>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No <b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	<b>N/A</b>	<b>1b</b>
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	<b>N/A</b>	<b>1c</b>
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions)	<b>N/A</b>	<b>2b</b>
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	<b>N/A</b>	<b>3b</b>
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		<b>X</b>
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		<b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☒

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** ☐ Yes ☒ No **N/A**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID L. ABEL 73-350 EL PASEO #201 PALM DESERT CA 92260	DIRECTOR 1.00	25,000	0	0
ROBERT D. WILMETH 73-350 EL PASEO #201 PALM DESERT CA 92260	BOARD MEMBER 0.10	0	0	0
DOROTHY WILMETH 73-350 EL PASEO #201 PALM DESERT CA 92260	BOARD MEMBER 0.10	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

**0**

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> N/A	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 <span style="float: right;">▶</span>	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	<b>701,720</b>
<b>b</b>	Average of monthly cash balances	<b>1b</b>	<b>7,700</b>
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	<b>0</b>
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	<b>709,420</b>
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	<b>0</b>
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	<b>0</b>
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	<b>709,420</b>
<b>4</b>	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	<b>4</b>	<b>10,641</b>
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	<b>698,779</b>
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	<b>34,939</b>

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	<b>34,939</b>
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	
<b>b</b>	Income tax for 2013 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	<b>34,939</b>
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	<b>34,939</b>
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	<b>34,939</b>

**Part XII Qualifying Distributions** (see instructions)

<b>a</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	<b>1a</b>	<b>32,200</b>
<b>b</b>	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	<b>1b</b>	
<b>2</b>	Program-related investments – total from Part IX-B	<b>2</b>	
<b>3</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>3</b>	
<b>a</b>	Amounts set aside for specific charitable projects that satisfy the suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	<b>32,200</b>
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	<b>0</b>
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	<b>32,200</b>

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				<b>34,939</b>
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2013.				
<b>a</b> From 2008	<b>41,855</b>			
<b>b</b> From 2009	<b>9,993</b>			
<b>c</b> From 2010	<b>61,325</b>			
<b>d</b> From 2011	<b>18,084</b>			
<b>e</b> From 2012				
<b>f</b> Total of lines 3a through e	<b>131,257</b>			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <b>32,200</b>				
<b>a</b> Applied to 2012, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required – see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required – see instructions)				
<b>d</b> Applied to 2013 distributable amount				<b>32,200</b>
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) )	<b>2,739</b>			<b>2,739</b>
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	<b>128,518</b>			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount – see instructions				
<b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions				
<b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				<b>0</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	<b>39,116</b>			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	<b>89,402</b>			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009	<b>9,993</b>			
<b>b</b> Excess from 2010	<b>61,325</b>			
<b>c</b> Excess from 2011	<b>18,084</b>			
<b>d</b> Excess from 2012				
<b>e</b> Excess from 2013				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon.					
<b>a</b> "Assets" alternative test – enter					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test – enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )  
**N/A**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
**N/A**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed  
**DAVID ABEL 760-346-7413**  
**73-350 EL PASEO, STE 201 PALM DESERT CA 92260**

**b** The form in which applications should be submitted and information and materials they should include  
**NONE**

**c** Any submission deadlines  
**NONE**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  
**NONE**

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year				
<b>CHRIS RISENMAY</b> <b>78610 ALDEN CIRCLE</b> <b>LA QUINTA CA 92253</b>	NONE		BOY SCOUTING	850
<b>DESERT CHRISTIAN ACADEMY</b> <b>40-700 YUCCA LANE</b> <b>BERMUDA DUNES CA 92203</b>	NONE		EDUCATION	2,000
<b>JOHN LUNEKE</b> <b>2553 CARLOS STREET</b> <b>ALHAMBRA CA 91803</b>	NONE		BOY SCOUTING	2,000
<b>KURT LEAVITT</b> <b>811 N RIEDEL AVENUE</b> <b>FULLERTON CA 92831</b>	NONE		BOY SCOUTING	2,000
<b>PARK SCHOOL ELEMENTARY</b> <b>1800 W GRAND AVENUE</b> <b>ALHAMBRA CA 91801</b>	NONE		FUND RAISER	350
<b>ROY TRAFALSKI</b> <b>1000 N ALAMEDA STREET</b> <b>LOS ANGELES CA 90012</b>	NONE	DEAF CHILDREN SPORTS	SUPPORT	2,500
<b>Total</b>			<b>▶ 3a</b>	<b>9,700</b>
<b>b</b> Approved for future payment <b>N/A</b>				
<b>Total</b>			<b>▶ 3b</b>	

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
<b>1</b> Program service revenue						
<b>a</b> _____						
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>f</b> _____						
<b>g</b> Fees and contracts from government agencies						
<b>2</b> Membership dues and assessments						
<b>3</b> Interest on savings and temporary cash investments						<b>5</b>
<b>4</b> Dividends and interest from securities			<b>14</b>	<b>18,615</b>		
<b>5</b> Net rental income or (loss) from real estate						
<b>a</b> Debt-financed property						
<b>b</b> Not debt-financed property						
<b>6</b> Net rental income or (loss) from personal property						
<b>7</b> Other investment income						
<b>8</b> Gain or (loss) from sales of assets other than inventory			<b>14</b>	<b>6,117</b>		<b>17,298</b>
<b>9</b> Net income or (loss) from special events						
<b>10</b> Gross profit or (loss) from sales of inventory						
<b>11</b> Other revenue <b>a</b> _____						
<b>b</b> <b>JPM ALERIAN MLP</b>			<b>14</b>	<b>1,200</b>		
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>12</b> Subtotal. Add columns (b), (d), and (e)			<b>0</b>	<b>25,932</b>		<b>17,303</b>
<b>13</b> Total. Add line 12, columns (b), (d), and (e)				<b>13</b>		<b>43,235</b>

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



1099-B

Account Number 4626-9566

## DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

The proceeds stated on this page are reported net of option premiums. However, option premiums are built into the gain or loss amounts computed below. If you have securities sold because of the exercise of an option granted or acquired before 2014, we have included option premium information as a separate column on this page, to help you reconcile to the gain/loss amount shown on this statement. Should you elect to report net of option premiums, you must adjust your gain/loss amounts on your Form 8949.

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS 2	WASH SALE LOSS DISALLOWED 3 (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF) 4
SHORT-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD ONE YEAR OR LESS (BOX 1C)										
COVERED (BOX 6B) FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS										
COLUMBIA LTD DUR CRDT Z QTY (BOX 1E) 149 870	19763T384	VARIOUS	01/17/13	1,518.20	1,517.18	1.02	0.00	0.00	0.00	
FRK FLTG RT DLY ADVS QTY (BOX 1E) 2397 575	353612781	VARIOUS	12/27/13	22,081.67	22,033.65	48.02	0.00	0.00	0.00	
JPM INCM BLDR SEL QTY (BOX 1E) 98 439	4812A3254	VARIOUS	12/20/13	1,010.03	981.85	28.18	0.00	0.00	0.00	
JPM MTG BKD SEC SEL QTY (BOX 1E) 86 795	4812C1215	VARIOUS	10/11/13	980.80	998.08	(17.28)	0.00	0.00	0.00	
JPM SHRT DUR BD SEL QTY (BOX 1E) 40 798	4812C1330	VARIOUS	12/19/13	444.74	446.48	(1.74)	0.00	0.00	0.00	
PIMCO SHRT TRM P QTY (BOX 1E) 610 212	72201M594	11/15/12	01/22/13	6,035.00	6,047.20	(12.20)	0.00	0.00	0.00	
PIMCO SHRT TRM P QTY (BOX 1E) 610 830	72201M594	VARIOUS	02/22/13	6,035.00	6,048.36	(13.36)	0.00	0.00	0.00	
PIMCO SHRT TRM P QTY (BOX 1E) 610 212	72201M594	01/17/13	03/22/13	6,035.00	6,028.90	6.10	0.00	0.00	0.00	
PIMCO SHRT TRM P QTY (BOX 1E) 609 596	72201M594	01/17/13	04/22/13	6,035.00	6,022.81	12.19	0.00	0.00	0.00	
PIMCO SHRT TRM P QTY (BOX 1E) 608 367	72201M594	01/17/13	05/22/13	6,035.00	6,010.66	24.34	0.00	0.00	0.00	
PIMCO SHRT TRM P QTY (BOX 1E) 615 189	72201M594	VARIOUS	06/24/13	6,035.00	6,095.34	(60.34)	0.00	0.00	0.00	
PIMCO SHRT TRM P QTY (BOX 1E) 613 313	72201M594	05/07/13	07/22/13	6,035.00	6,077.94	(42.94)	0.00	0.00	0.00	

1 These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislation passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

2 Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

3 The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

4 Collectibles Allocation Factor (CAF) Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered collectibles, which is subject to a higher long-term capital gains rate of 28% when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

# 1099-B, continued

Account Number 4626-9566

## DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS 2	WASH SALE LOSS DISALLOWED 3 (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF) 4
COVERED (BOX 6B) FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
PIMCO SHRT TRM P QTY (BOX 1E) 615 816	72201M594	05/07/13	08/22/13	6 035 00	6,102 74	(67 74)	0 00	0 00	0 00	
PIMCO SHRT TRM P QTY (BOX 1E) 613 313	72201M594	05/07/13	09/23/13	6 035 00	6,077 93	(42 93)	0 00	0 00	0 00	
PIMCO SHRT TRM P QTY (BOX 1E) 611 449	72201M594	VARIOUS	10/22/13	6 035 00	6,058 15	(23 15)	0 00	0 00	0 00	
PIMCO SHRT TRM P QTY (BOX 1E) 610 830	72201M594	10/09/13	11/22/13	6 035 00	6,016 68	18 32	0 00	0 00	0 00	
PIMCO SHRT TRM P QTY (BOX 1E) 612 069	72201M594	10/09/13	12/23/13	6,035 00	6 028 88	6 12	0 00	0 00	0 00	
PIMCO INCM P QTY (BOX 1E) 1023 789	72201M719	VARIOUS	10/09/13	12,531 21	12 522 57	8 64	0 00	0 00	0 00	
PIMCO INCM P QTY (BOX 1E) 814 996	72201M719	07/09/13	12/20/13	10,000 00	9,910 36	89 64	0 00	0 00	0 00	
PIMCO INCM P QTY (BOX 1E) 1704 943	72201M719	VARIOUS	12/27/13	20,885 55	20,739 69	145 86	0 00	0 00	0 00	
PIMCO HI YLD P QTY (BOX 1E) 261 379	72201M735	VARIOUS	07/09/13	2 462 25	2 508 15	(45 90)	0 00	0 00	0 00	
PIMCO GLB MULT ASSET P QTY (BOX 1E) 214 601	72201P605	VARIOUS	05/03/13	2 513 00	2 451 57	61 43	0 00	0 00	0 00	
PIMCO SR FLTGT RT P QTY (BOX 1E) 1461 988	72201W782	08/19/13	10/09/13	15 000 00	15,043 86	(43 86)	0 00	0 00	0 00	
PIMCO SR FLTGT RT P QTY (BOX 1E) 1104 594	72201W782	08/19/13	12/20/13	11,300 00	11 366 28	(66 28)	0 00	0 00	0 00	
PIMCO SR FLTGT RT P QTY (BOX 1E) 977 517	72201W782	08/19/13	12/20/13	10,000 00	10,058 65	(58 65)	0 00	0 00	0 00	
PRIN GLB DIVRS INCM P QTY (BOX 1E) 1391 533	74255L860	12/20/12	08/19/13	19,147 49	19,523 21	(375 72)	0 00	0 00	0 00	

1 These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

2 Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

3 The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

4 Collectibles Allocation Factor (CAF) Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered collectibles, which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

# 1099-B, continued

Account Number 4626-9566

## DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS 2	WASH SALE LOSS DISALLOWED 3	COLLECTIBLES ALLOCATION FACTOR (CAF) 4 (BOX 5)
COVERED (BOX 6B) FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
PRIN GLB DIVRS INCM P QTY (BOX 1E) 1151 860	74255L860	VARIOUS	12/27/13	16,149 08	16 203 28	(54 20)	0 00	0 00	0 00	
TEMPLE GLB BAL ADVS QTY (BOX 1E) 432 526	88019R765	VARIOUS	06/14/13	1,293 28	1,190 08	103 20	0 00	0 00	0 00	
TOTAL SHORT-TERM COVERED				219,737 30	220 110 53	(373 23)	0 00	0 00	0 00	
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
COVERED (BOX 6B) FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS										
COLUMBIA LTD DUR CRDT Z QTY (BOX 1E) 8 762	19763T384	01/03/12	01/17/13	88 75	86 83	1 93	0 00	0 00	0 00	
COLUMBIA DIV INCM Z QTY (BOX 1E) 388 027	19765N245	02/27/12	12/20/13	7 000 00	5,564 31	1 435 69	0 00	0 00	0 00	
JPM INCM BLDR SEL QTY (BOX 1E) 294 438	4812A3254	VARIOUS	12/20/13	3 021 46	2 761 28	260 18	0 00	0 00	0 00	
JPM MTG BKD SEC SEL QTY (BOX 1E) 1682 314	4812C1215	05/08/12	09/09/13	18 858 74	19 413 91	(555 17)	0 00	0 00	0 00	
JPM MTG BKD SEC SEL QTY (BOX 1E) 1599 838	4812C1215	VARIOUS	10/11/13	18,078 15	18 464 14	(385 99)	0 00	0 00	0 00	
JPM SHRT DUR BD SEL QTY (BOX 1E) 3948 578	4812C1330	VARIOUS	12/19/13	43,039 46	43,395 21	(355 75)	0 00	0 00	0 00	
PIMCO INCM P QTY (BOX 1E) 0 006	72201M719	01/03/12	08/19/13	0 08	0 07	0 01	0 00	0 00	0 00	
PIMCO INCM P QTY (BOX 1E) 283 401	72201M719	VARIOUS	10/09/13	3,468 79	3,238 64	230 15	0 00	0 00	0 00	
PIMCO HI YLD P QTY (BOX 1E) 165 998	72201M735	VARIOUS	07/09/13	1,563 68	1,530 06	33 62	0 00	0 00	0 00	
PRIN GLB DIVRS INCM P QTY (BOX 1E) 257 790	74255L860	12/20/12	12/27/13	3 614 21	3,616 79	(2 58)	0 00	0 00	0 00	

1 These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

2 Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

3 The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

4 Collectibles Allocation Factor (CAF) Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered collectibles which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

# 1099-B, continued

Account Number 4626-9566

## DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS 2	WASH SALE LOSS DISALLOWED 3	COLLECTIBLES ALLOCATION FACTOR (CAF) 4 (BOX 5)
COVERED (BOX 6B) FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
TEMPLE GLB BAL ADVS QTY (BOX 1E) 24 216	88019R765	03/19/12	06/14/13	72 40	68 53	3 87	0 00	0 00	0 00	
TOTAL LONG-TERM COVERED				98,805 73	98 139 77	685 98	0 00	0 00	0 00	
NON-COVERED (BOX 6A) FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS										
COLUMBIA LTD DUR CRDT Z QTY (BOX 1E) 3801 838	19763T384	VARIOUS	01/17/13	38 512 60	38,279 11	233 49	0 00	0 00	0 00	
JPM INCM BLDR SEL QTY (BOX 1E) 4527 163	4812A3254	05/19/10	01/03/13	45,000 00	38,616 70	6,383 30	0 00	0 00	0 00	
JPM INCM BLDR SEL QTY (BOX 1E) 961 409	4812A3254	VARIOUS	12/20/13	9,864 00	8,683 69	1 180 31	0 00	0 00	0 00	
PIMCO INCM P QTY (BOX 1E) 1591 090	72201M719	08/22/11	01/17/13	20,000 00	17 836 12	2 163 88	0 00	0 00	0 00	
PIMCO INCM P QTY (BOX 1E) 3756 061	72201M719	VARIOUS	08/19/13	45 222 97	42 046 32	3 176 65	0 00	0 00	0 00	
PIMCO HI YLD P QTY (BOX 1E) 3663 892	72201M735	VARIOUS	07/09/13	34,513 82	34,625 10	(111 28)	0 00	0 00	0 00	
PIMCO GLB MULT ASSET P QTY (BOX 1E) 6198 508	72201P605	VARIOUS	05/03/13	72,584 51	71 003 22	1,581 29	0 00	0 00	0 00	
TEMPLE GLB BAL ADVS QTY (BOX 1E) 1860 258	88019R765	VARIOUS	06/14/13	5 562 15	5,272 44	289 71	0 00	0 00	0 00	
TEMPLE GLB BAL ADVS QTY (BOX 1E) 5062 403	88019R765	01/28/11	06/14/13	15,136 58	14,584 99	551 59	0 00	0 00	0 00	
TEMPLE GLB TTL RET ADVS QTY (BOX 1E) 2107 496	880208855	VARIOUS	05/03/13	29,800 00	27,909 56	1,890 44	0 00	0 00	0 00	
TEMPLE GLB TTL RET ADVS QTY (BOX 1E) 1665 680	880208855	02/18/11	08/19/13	21,803 75	22,136 89	(333 14)	0 00	0 00	0 00	
TOTAL LONG-TERM NON-COVERED				338,000.38	320,994.14	17 008 24	0 00	0 00	0 00	

1 These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislation passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

2 Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

3 The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

4 Collectibles Allocation Factor (CAF) Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered collectibles, which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

# 1099-B, continued

Account Number 4626-9566

## DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS 2	WASH SALE LOSS DISALLOWED 3	COLLECTIBLES ALLOCATION FACTOR (CAF) 4
NON-COVERED 1(BOX 6A) FORM 8949 (BOX E) WITH BASIS <u>NOT</u> REPORTED TO THE IRS, continued										
TOTAL SALE TRADES OR EXCHANGES (BOX 2A)				656,543.41	639,244.44	17,298.97	0.00	0.00	0.00	

1 These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislation passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

2 Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

3 The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

4 Collectibles Allocation Factor (CAF) Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered collectibles, which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 W-8TFIT Guide online for details.

1099-B

Account Number 4626-9566

## DETAILS - PRINCIPAL PAYMENTS FROM NON-MORTGAGE WIDELY HELD FIXED INVESTMENT TRUSTS

The issuers for the payments below include Unit Investment Trusts, Holding Company Depositary Receipts Trusts, Commodity Trusts and Royalty Trusts, which are treated as grantor trusts and fall under WHFIT regulations, as defined by § 1.671-5. The information provided to you in this section was obtained from the issuer, its trustee or an agent of the issuer. Some or all of a distribution paid as late as March 2014 may be recognized as income for the 2013 tax year.

With these trusts, sales are reported by when the trust sells the assets within its portfolio. In some cases, proceeds from these sales were used to pay the trust's expenses, which may have resulted in no distributions paid to you. Expenses and other adjustments associated with these assets can be found in the Non-Federally Reported Items section, which may be deductible on your tax return on Schedules A or E (if applicable) or may impact your cost basis on Schedule D. Please review this information with your tax advisor.

SECURITY DESCRIPTION (BOX 9)	CUSIP	DATE 1 (BOX 1A)	PROCEEDS AMOUNT (BOX 2A)	TRANSACTION TYPE	COST BASIS
					ALLOCATION FACTOR 2 (IF APPLICABLE)
SPDR GOLD TRUST GOLD ETF QTY (BOX 1E) 220.000	78463V107	01/07/13	11.56	PRINCIPAL	0.000330
SPDR GOLD TRUST GOLD ETF QTY (BOX 1E) 220.000	78463V107	02/21/13	11.94	PRINCIPAL	0.000355
SPDR GOLD TRUST GOLD ETF QTY (BOX 1E) 220.000	78463V107	03/12/13	11.60	PRINCIPAL	0.000342
SPDR GOLD TRUST GOLD ETF QTY (BOX 1E) 220.000	78463V107	04/09/13	11.88	PRINCIPAL	0.000354
SPDR GOLD TRUST GOLD ETF QTY (BOX 1E) 220.000	78463V107	05/10/13	12.26	PRINCIPAL	0.000404
SPDR GOLD TRUST GOLD ETF QTY (BOX 1E) 220.000	78463V107	06/17/13	10.72	PRINCIPAL	0.000364
SPDR GOLD TRUST GOLD ETF QTY (BOX 1E) 220.000	78463V107	07/10/13	10.56	PRINCIPAL	0.000395
SPDR GOLD TRUST GOLD ETF QTY (BOX 1E) 220.000	78463V107	08/15/13	9.72	PRINCIPAL	0.000344
SPDR GOLD TRUST GOLD ETF QTY (BOX 1E) 220.000	78463V107	09/19/13	10.31	PRINCIPAL	0.000355
SPDR GOLD TRUST GOLD ETF QTY (BOX 1E) 220.000	78463V107	10/14/13	10.60	PRINCIPAL	0.000388
SPDR GOLD TRUST GOLD ETF QTY (BOX 1E) 220.000	78463V107	11/13/13	9.49	PRINCIPAL	0.000351
SPDR GOLD TRUST GOLD ETF QTY (BOX 1E) 220.000	78463V107	12/17/13	9.12	PRINCIPAL	0.000349
TOTAL PRINCIPAL PAYMENTS FROM NON-MORTGAGE WIDELY HELD FIXED INVESTMENT TRUSTS (BOX 2A)			129.78		

1 Date

Proceeds from WHFITS are reportable by when they are received by the trust, regardless of when they are paid to you.

2 Cost Basis Allocation Factor

This factor represents the ratio of assets sold divided by the total net asset value of the trust, and tells you what portion of your current basis in the trust is to be applied to the proceeds for gain/loss reporting. This factor allows you to calculate your new adjusted basis. Under § 1.671-5, LPL Financial is required to provide you this ratio for your adjusted basis calculation.

## Federal Statements

## Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Whom Sold	Description	Date Acquired	Date Sold	How Received		Cost	Expense	Depreciation	Net Gain / Loss
				Sale Price	Purchase				
LPL S/T STATEMENT ATTACHED	Various	Various	Various	\$ 219,737	Purchase	220,111	\$	\$	-374
LPL L/T STATEMENT ATTACHED	Various	Various	Various	98,806	Purchase	98,140			666
LPL L/T STATEMENT ATTACHED	Various	Various	Various	338,000	Purchase	320,994			17,006
PRINCIPAL PYMTS ON SPDR GOLD TR	Various	Various	Various	130	Purchase	130			
Total				\$ 656,673	\$	639,375	\$ 0	\$ 0	\$ 17,298

## Statement 2 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
JPM ALERIAN MLP	\$ 1,200	\$	\$
Total	\$ 1,200	\$ 0	\$ 0

## Statement 3 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
TAX RETURN AND ACCOUNTING	\$ 2,800	\$ 2,800	\$	\$
Total	\$ 2,800	\$ 2,800	\$ 0	\$ 0

## Federal Statements

Statement 4 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ADVISORY FEES	\$ 7,547	\$ 7,547	\$	\$
Total	\$ 7,547	\$ 7,547	\$ 0	\$ 0

Statement 5 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FEDERAL INCOME TAX	\$	\$	\$	\$
STATE FEES	10	10		
FOREIGN TAX PAID	126	126		
ATTORNEY GENERAL	25			
Total	\$ 161	\$ 136	\$ 0	\$ 0

Statement 6 - Form 990-PF, Part I, Line 25 - Noncash Contributions, Gifts, Grants

Amount	Noncash Description	FMV Explanation	Book Value Amount	Book Value Explanation	Date
850					3/11/13
2,000					12/31/13
2,000					10/23/13
2,000					10/23/13
350					11/30/13
2,500					12/16/13

Statement 7 - Form 990-PF, Part II, Line 10a - US and State Government Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
LPL FINANCIAL MUTUAL FUNDS	\$ 713,726	\$ 648,890	Cost	\$ 689,711
Total	\$ 713,726	\$ 648,890		\$ 689,711

**Form 990-PF, Part XV, Line 2b - Application Format and Required Contents**

Description

NONE

**Form 990-PF, Part XV, Line 2c - Submission Deadlines**

Description

NONE

**Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations**

Description

NONE