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Return of Private Foundation

2013

Department of the Treasury
Internal Revenue Service

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Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
THISTLE & ROSE FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) Room/suite
1187 COAST VILLAGE ROAD, PMB 525

City or town, state or province, country, and ZIP or foreign postal code
MONTECITO, CA 93108

G Check all that apply
 Initial return
 Final return
 Address change
 Initial return of a former public charity
 Amended return
 Name change

H Check type of organization
 Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust
 Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)
\$ 1,732,815. (Part I, column (d) must be on cash basis.)

J Accounting method
 Cash
 Accrual
 Other (specify)

A Employer identification number
02-6175078

B Telephone number **805-565-1848**
~~(239) 262-6640~~

C If exemption application is pending, check here

D 1. Foreign organizations, check here

2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	0.		N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	44,429.	44,429.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	101,950.			
	b Gross sales price for all assets on line 6a	1,594,942.			
	7 Capital gain net income (from Part IV, line 2)		101,950.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11	146,379.	146,379.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 2	4,900.	0.	4,900.
	c Other professional fees				
	17 Interest				
	18 Taxes	STMT 3	433.	0.	0.
	19 Depreciation and depletion				
	20 Occupancy				
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		2,107.	2,097.	0.	
24 Total operating and administrative expenses. Add lines 13 through 23		7,440.	2,097.	4,900.	
25 Contributions, gifts, grants paid		121,050.		121,050.	
26 Total expenses and disbursements Add lines 24 and 25		128,490.	2,097.	125,950.	
27 Subtract line 26 from line 12		17,889.			
a Excess of revenue over expenses and disbursements		17,889.			
b Net investment income (if negative, enter -0-)			144,282.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	5,684.	27,268.	27,268.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations			
	b	Investments - corporate stock STMT 5	575,341.	528,509.	729,041.
	c	Investments - corporate bonds STMT 6	936,670.	979,807.	976,506.
	11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶				
12	Investments - mortgage loans				
13	Investments - other				
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item 1)	1,517,695.	1,535,584.	1,732,815.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0.	0.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds	1,517,695.	1,535,584.		
30	Total net assets or fund balances	1,517,695.	1,535,584.		
31	Total liabilities and net assets/fund balances	1,517,695.	1,535,584.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,517,695.
2	Enter amount from Part I, line 27a	2	17,889.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,535,584.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,535,584.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE STATEMENT ATTACHED	P	VARIOUS	VARIOUS
b SEE STATEMENT ATTACHED	P	VARIOUS	VARIOUS
c SEE STATEMENT ATTACHED	P	07/16/10	VARIOUS
d CAPITAL GAINS DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 500,038.		499,601.	437.
b 1,014,273.		939,065.	75,208.
c 71,730.		54,326.	17,404.
d 8,901.			8,901.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(j) FMV as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col (j) over col (k), if any	
a			437.
b			75,208.
c			17,404.
d			8,901.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	101,950.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	88,117.	1,703,075.	.051740
2011	66,184.	1,672,829.	.039564
2010	79,977.	1,622,659.	.049288
2009	96,161.	1,526,012.	.063015
2008	91,780.	1,866,833.	.049163

2 Total of line 1, column (d)	2	.252770
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.050554
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,729,068.
5 Multiply line 4 by line 3	5	87,411.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,443.
7 Add lines 5 and 6	7	88,854.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	125,950.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1,443.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	1,443.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	1,443.
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	7,274.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	7,274.
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,831.
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> 5,831. Refunded <input checked="" type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
d		
e		
2		X
3		X
4a		X
4b	N/A	
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X		
14	The books are in care of ▶ ROGER A. HAUPT Telephone no ▶ (805) 565-1848 Located at ▶ 1187 COAST VILLAGE ROAD, PMB 525, MONTECITO, CA ZIP+4 ▶ 93108			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		15	N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶		16	Yes No X X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		
			N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)		
			N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)		
			N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870. 6b X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d) N/A 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROGER A. HAUPT 1187 COAST VILLAGE ROAD, PMB 525 MONTECITO, CA 93108	TRUSTEE 0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,717,648.
b	Average of monthly cash balances	1b	37,751.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,755,399.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,755,399.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	26,331.
5	Net value of noncharitable-use assets Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,729,068.
6	Minimum investment return. Enter 5% of line 5	6	86,453.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	86,453.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,443.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,443.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	85,010.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	85,010.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	85,010.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	125,950.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	125,950.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	1,443.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	124,507.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				85,010.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			75,083.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 125,950.				
a Applied to 2012, but not more than line 2a			75,083.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				50,867.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below	0.			
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income: Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b: Taxable amount - see instructions		0.		
e Undistributed income for 2012: Subtract line 4a from line 2a: Taxable amount - see instr			0.	
f Undistributed income for 2013: Subtract lines 4d and 5 from line 1: This amount must be distributed in 2014				34,143.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ROGER A. HAUPT

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds if the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CALM 1236 CHAPALA STREET SANTA BARBARA, CA 93101	NONE	501(C)(3) ORGANIZATION	GENERAL	31,000.
SANTA BARBARA ZOOLOGICAL FOUNDATION 500 NINOS DRIVE SANTA BARBARA, CA 93103	NONE	501(C)(3) ORGANIZATION	GENERAL	35,000.
SCHOLARSHIP FOUNDATION OF SANTA BARBARA P.O. BOX 3620 SANTA BARBARA, CA 93130	NONE	501(C)(3) ORGANIZATION	GENERAL	20,000.
SPONDYLITIS ASSOCIATION OF AMERICA 16360 ROSCOE BLVD., SUITE 100 VAN NUYS, CA 91406	NONE	501(C)(3) ORGANIZATION	GENERAL	3,000.
VAIL VALLEY FOUNDATION P.O. BOX 309 VAIL, CO 81658	NONE	501(C)(3) ORGANIZATION	GENERAL	1,250.
Total SEE CONTINUATION SHEET(S) ▶ 3a				121,050.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
VISITING NURSE & HOSPICE CARE 509 EAST MONTECITO STREET, SUITE 200 SANTA BARBARA, CA 93103	NONE	501(C)(3) ORGANIZATION	GENERAL	28,300.
KAREN HAMITY MEMORIAL SCHOLARSHIP FUND 433 VINE AVENUE HIGHLAND PARK, IL 60035	NONE	501(C)(3) ORGANIZATION	GENERAL	1,000.
ORPHANS OF THE STORM 2200 RIVERWOODS ROAD RIVERWOODS, IL 60015	NONE	501(C)(3) ORGANIZATION	GENERAL	500.
MICHAEL J. FOX FOUNDATION P.O. BOX 4777 NEW YORK, NY 10163	NONE	501(C)(3) ORGANIZATION	GENERAL	1,000.
Total from continuation sheets				30,800.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
THE NORTHERN TRUST COMPANY - CHECKING ACCOUNT	1.	0.	1.	1.	
THE NORTHERN TRUST COMPANY - CONSOLIDATED	44,346.	0.	44,346.	44,346.	
THE NORTHERN TRUST COMPANY - CONSOLIDATED	8,901.	8,901.	0.	0.	
THE NORTHERN TRUST COMPANY - CONSOLIDATED	82.	0.	82.	82.	
TO PART I, LINE 4	53,330.	8,901.	44,429.	44,429.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,900.	0.		4,900.
TO FORM 990-PF, PG 1, LN 16B	4,900.	0.		4,900.

FORM 990-PF

TAXES

STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	433.	0.		0.
TO FORM 990-PF, PG 1, LN 18	433.	0.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	2,097.	2,097.		0.
FILING FEES	10.	0.		0.
TO FORM 990-PF, PG 1, LN 23	2,107.	2,097.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
17,010.15 SHARES MFB NORTHERN INTL EQUITY INDEX FD	183,066.	209,905.
12,286.60 SHARES MFB NORTHERN FDS STK INDEX FD	135,268.	280,872.
3,124.42 SHARES MFB NORTHERN MID CAP INDEX FD	26,815.	52,771.
5,345.62 SHARES MFB NORTHERN FDS MULTI-MANAGER EMERGING MKTS EQUITY FD	95,663.	101,834.
2,023.18 SHARES MFB NORTHERN FDS MULTI-MANAGER GLOBAL REAL ESTATE FD	31,169.	33,039.
MFC SPDR GOLD TR GOLD SHS	0.	0.
750 MFC FLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD	26,964.	25,733.
4,533.22 MFO PIMCO FDS PAC INVT MGMT SER COMMODITY REALRETURN STRATEGY FD	29,564.	24,887.
TOTAL TO FORM 990-PF, PART II, LINE 10B	528,509.	729,041.

FORM 990-PF CORPORATE BONDS STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MFB NORTHERN FDS BD INDEX FD	0.	0.
MFO VANGUARD FIXED INCOME SECS FD	0.	0.
13,070.03 MFB NORTHERN FUNDS MULTI MANAGER HIGH YIELD OPPORTUNITY FUND	137,229.	137,758.
8,956.68 MFB NORTHERN FDS SHORT BD FD	171,162.	171,073.
16,759.51 MFB NORTHERN FDS ULTRA SHORT FIXED INCOME FD	171,282.	171,115.
1,641 MFC ISHARES 1-3 YEAR CREDIT BOND ETF	172,679.	173,060.
20,608.56 MFO PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL	222,979.	220,306.
4,141 MFC FLEXSHARES TR IBOXX 3 YR TARGET DURATION TIPS INDEX FD	104,476.	103,194.
TOTAL TO FORM 990-PF, PART II, LINE 10C	979,807.	976,506.

2013 Tax Information Statement

Recipient's Name and Address:
 THISLE & ROSE FOUNDATION
 1187 COAST VILLAGE ROAD, PMB 525
 MONTECITO, CA 93108

Account Number: 23-67339
 Recipient's Tax ID Number: 02-6175078

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Corrected 2nd TIN notice

2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Quantity Sold	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
665162772	MFB NORTHERN FDS STK INDEX FD	01/07/2013	26.9700	NOSIX	489.00	0.00	1.93	0.00	0.00
665130100	MFB NORTHN MID CAP INDEX FD FD	09/24/2012	135.2900	NOMIX	487.07	0.00	148.95	0.00	0.00
665162442	MFB NORTHERN FUNDS MULTI MANAGER HIGH YIELD OPPORTUNITY FUND	02/11/2013	78.3800	NMHYX	1,938.72	0.00	-6.19	0.00	0.00
665162475	MFB NORTHN FDS MULTI-MANAGER GLOBAL REALESTATE FD	02/11/2013	161.1200	NMMGX	863.67	0.00	94.10	0.00	0.00
665162772	MFB NORTHERN FDS STK INDEX FD	Various	149.9200	NOSIX	3,000.00	0.00	149.59	0.00	0.00
78463V107	MFC SPDR GOLD TR GOLD SHS	02/11/2013	124.0000	GLD	2,824.49	0.00	-620.58	0.00	0.00
665162475	MFB NORTHN FDS MULTI-MANAGER GLOBAL REALESTATE FD	Various	79.7900	NMMGX	19,761.44	0.00	62.25	0.00	0.00
665162533	MFB NORTHERN FUNDS BD INDEX FD	Various	922.5100	NOBOX	1,500.00	0.00	-155.63	0.00	0.00
665162772	MFB NORTHERN FDS STK INDEX FD	Various	67.1000	NOSIX	10,000.01	0.00	35.66	0.00	0.00
		04/11/2013			1,327.25	0.00			
		03/25/2013			1,291.59	0.00			

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
665162442	MFB NORTHERN FUNDS MULTI-MANAGER HIGH YIELD	07/31/2013	Various	NMHYX	4,765.09	0.00	-121.73	0.00	0.00
431.9400	OPPORTUNITY FUND	07/31/2013	Various						
665162475	MFB NORTH FDS MULTI-MANAGER GLOBAL REALESTATE FD	07/31/2013	Various	NMMGX	979.69	0.00	19.78	0.00	0.00
54.2600									
665162533	MFB NORTHERN FUNDS BD INDEX FD	07/31/2013	Various	NOBOX	14,086.03	0.00	-384.46	0.00	0.00
1302.4300									
693390700	PIMCO FUNDS TOTAL RETURN FUND INSTL CLASS	07/31/2013	Various	PTRRX	34,841.74	0.00	-1,282.64	0.00	0.00
3110.2100									
665162772	MFB NORTHERN FDS STK INDEX FD	08/05/2013	06/24/2013	NOSIX	1,568.90	0.00	115.10	0.00	0.00
80.4200									
693390700	PIMCO FUNDS TOTAL RETURN FUND INSTL CLASS	08/20/2013	Various	PTRRX	70.16	0.00	-0.68	0.00	0.00
6.5200									
922031869	MFO VANGUARD FXD INC SECS FD INC INFLATION-PROTECTED SECS FD #119	08/27/2013	Various	VIPSX	1,265.00	0.00	-101.77	0.00	0.00
87.0700									
665162533	MFB NORTHERN FUNDS BD INDEX FD	10/07/2013	Various	NOBOX	3,132.57	0.00	13.53	0.00	0.00
299.0600									
464288646	MFC ISHARES 1-3 YEAR CREDIT BOND ETF	10/28/2013	07/31/2013	CSJ	17,993.95	0.00	27.96	0.00	0.00
171.0000									

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Quantity Sold	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
665162475	MFB NORTH FDS MULTI-MANAGER GLOBAL REALESTATE FD	10/28/2013	Various	NMMGX	38.4900	679.48	0.00	57.99	0.00	0.00
693390700	PIMCO FUNDS TOTAL RETURN FUND INSTL CLASS	10/28/2013	10/07/2013	PTRRX	13748.8500	148,762.56	0.00	1,237.44	0.00	0.00
33939L407	MFC FLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD	10/29/2013	02/11/2013		28.0000	1,015.28	0.00	-33.06	0.00	0.00
33939L506	MFC FLEXSHARES TR TRIBOXX 3 YR TARGET DURATION TIPS INDEX FD	10/29/2013	04/15/2013		40.0000	1,016.73	0.00	-17.95	0.00	0.00
464288646	MFC ISHARES 1-3 YEAR CREDIT BOND ETF	10/29/2013	07/31/2013	CSJ	76.0000	7,997.31	0.00	10.67	0.00	0.00
665130209	MFB NORTHN INTL EQTY INDEX FD	10/29/2013	10/28/2013	NOINX	726.3900	8,985.43	0.00	14.57	0.00	0.00
665162442	MFB NORTHERN FUNDS MULTI MANAGER HIGH YIELD OPPORTUNITY FUND	10/29/2013	10/24/2013	NMHYX	55.9500	609.78	0.00	1.19	0.00	0.00
665162467	MFB NORTHERN FUNDS ULTRA SHORT FIXED INCOME FD	10/29/2013	10/28/2013	NUSFX	782.7800	8,000.01	0.00	-0.01	0.00	0.00
665162483	MFB NORTHN FDS MULTI-MANAGER EMERGING MKTS EQTY FD	10/29/2013	10/28/2013	NMMEX	152.5200	3,009.23	0.00	9.23	0.00	0.00

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Cusip	Description	Date of Sale of Exchange	Stocks or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
665162772	MFB NORTHERN FDS STK INDEX FD	10/29/2013	NOSIX	1,430.86	1,369.01	0.00	61.85	0.00	0.00
65.0100	10/29/2013 09/24/2013								
693390700	PIMCO FUNDS TOTAL RETURN FUND INSTL CLASS	10/29/2013	PTTRX	19,000.00	18,843.25	0.00	156.75	0.00	0.00
1741.5200	10/29/2013 10/07/2013								
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND	10/29/2013	PCRIX	1,000.00	1,173.11	0.00	-173.11	0.00	0.00
174.8300	10/29/2013 02/11/2013								
665130209	MFB NORTHN INTL EQTY INDEX FD	11/25/2013	NOINX	1,000.00	1,004.94	0.00	-4.94	0.00	0.00
81.2400	11/25/2013 10/28/2013								
665162442	MFB NORTHERN FUNDS MULTI MANAGER HIGH YIELD OPPORTUNITY FUND	11/25/2013	NMHYX	573.98	573.90	0.00	0.08	0.00	0.00
52.6600	11/25/2013 11/25/2013								
665162467	MFB NORTHERN FUNDS ULTRA SHORT FIXED INCOME FD	11/25/2013	NUSFX	2,000.00	1,998.02	0.00	1.98	0.00	0.00
195.5000	11/25/2013 Various								
693390700	PIMCO FUNDS TOTAL RETURN FUND INSTL CLASS	11/25/2013	PTTRX	175,000.00	173,881.14	0.00	1,118.86	0.00	0.00
16069.7900	11/25/2013 Various								
Total Short Term Sales				500,038.10	499,601.39	0.00	436.71	0.00	0.00
Long Term Sales									
665130100	MFB NORTHN MID CAP INDEX FD FD	02/11/2013	NOMIX	1,061.28	813.92	0.00	247.36	0.00	0.00
74.0600	02/11/2013 09/06/2011								

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
665130209	MFB NORTN INTL EQTY INDEX FD	NONIX	374.5300	02/11/2013	10/14/2009	4,000.00	3,880.13	0.00	119.87	0.00	0.00
665162442	MFB NORTHERN FUNDS MULTI MANAGER HIGH YIELD OPPORTUNITY FUND	NMHYX	3669.3400	02/11/2013	Various	40,142.53	39,818.17	0.00	324.36	0.00	0.00
665162772	MFB NORTHERN FDS STK INDEX FD	NOSIX	1017.8100	02/11/2013	09/06/2011	19,175.51	14,737.90	0.00	4,437.61	0.00	0.00
665130100	MFB NORTN MID CAP INDEX FD FD	NOMIX	103.0900	02/19/2013	09/06/2011	1,500.00	1,132.96	0.00	367.04	0.00	0.00
665130209	MFB NORTN INTL EQTY INDEX FD	NONIX	185.0100	02/19/2013	10/14/2009	2,000.00	1,916.70	0.00	83.30	0.00	0.00
665162442	MFB NORTHERN FUNDS MULTI MANAGER HIGH YIELD OPPORTUNITY FUND	NMHYX	364.3000	02/19/2013	01/13/2011	4,000.00	3,952.66	0.00	47.34	0.00	0.00
665162483	MFB NORTN FDS MULTI-MANAGER EMERGING MKTS ECITY FD	NMMEX	129.0000	02/19/2013	02/01/2010	2,500.00	2,488.41	0.00	11.59	0.00	0.00
665162772	MFB NORTHERN FDS STK INDEX FD	NOSIX	420.3900	02/19/2013	09/06/2011	8,000.00	6,087.25	0.00	1,912.75	0.00	0.00
665162772	MFB NORTHERN FDS STK INDEX FD	NOSIX	413.1800	04/11/2013	09/06/2011	8,172.75	5,982.85	0.00	2,189.90	0.00	0.00

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THE NORTHERN TRUST COMPANY
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CHICAGO, IL 60680

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Cusip	Description	Date of Sale or Exchange	Stocks or Other Symbol	Quantity Sold	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
665130100	MFB NORTHN MID CAP INDEX FD	07/31/2013	NOMIX	311.7200	5,000.00	3,425.79	0.00	1,574.21	0.00	0.00
665162442	MFB NORTHERN FUNDS MULTI-MANAGER HIGH YIELD OPPORTUNITY FUND	07/31/2013	NMHYX	7754.1100	83,356.65	84,132.11	0.00	-775.46	0.00	0.00
665162475	MFB NORTHN FDS MULTI-MANAGER GLOBAL REALESTATE FD	07/31/2013	NMMGX	868.6500	16,000.53	15,296.89	0.00	703.64	0.00	0.00
665162533	MFB NORTHERN FUNDS BD INDEX FD	07/31/2013	NOBOX	8583.3800	90,297.13	91,882.58	0.00	-1,585.45	0.00	0.00
665162533	MFB NORTHERN FUNDS BD INDEX FD	07/31/2013	NOBOX	475.4100	5,001.32	5,172.99	0.00	-171.67	0.00	0.00
665162772	MFB NORTHERN FDS STK INDEX FD	08/05/2013	NOSIX	2737.1500	57,316.01	37,995.81	0.00	19,320.20	0.00	0.00
922031869	MFO VANGUARD FXD INC SECS FD INC INFLATION-PROTECTED SECS FD #119	08/27/2013	VIPSX	2362.9300	31,568.51	28,613.75	0.00	2,954.76	0.00	0.00
922031869	MFO VANGUARD FXD INC SECS FD INC INFLATION-PROTECTED SECS FD #119	08/27/2013	VIPSX	7.0600	94.32	102.14	0.00	-7.82	0.00	0.00
665162533	MFB NORTHERN FUNDS BD INDEX FD	10/07/2013	NOBOX	53179.8200	559,449.48	533,925.39	0.00	25,524.09	0.00	0.00

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
665130100	MFB NORTHN MID CAP INDEX FD	10/28/2013	09/06/2011	NOMIX	649.95	0.00	350.05	0.00	0.00
59.1400				1,000.00					
665162475	MFB NORTHN FDS MULTI-MANAGER GLOBAL REALESTATE FD	10/28/2013	Various	NMMGX	15,403.26	0.00	2,321.12	0.00	0.00
925.0700				17,724.38					
665162475	MFB NORTHN FDS MULTI-MANAGER GLOBAL REALESTATE FD	10/28/2013	Various	NMMGX	16,003.86	0.00	1,534.28	0.00	0.00
915.3500				17,538.14					
665130100	MFB NORTHN MID CAP INDEX FD	10/29/2013	09/06/2011	NOMIX	1,941.71	0.00	1,058.29	0.00	0.00
176.6800				3,000.00					
665162442	MFB NORTHERN FUNDS MULTI MANAGER HIGH YIELD OPPORTUNITY FUND	10/29/2013	01/13/2011	NMHYX	4,369.94	0.00	28.09	0.00	0.00
401.9300				4,389.03					
665162475	MFB NORTHN FDS MULTI-MANAGER GLOBAL REALESTATE FD	10/29/2013	09/21/2009	NMMGX	1,740.76	0.00	259.24	0.00	0.00
104.5500				2,000.00					
665162483	MFB NORTHN FDS MULTI-MANAGER EMERGING MKTS EQTY FD	10/29/2013	02/01/2010	NMMEX	1,946.36	0.00	44.41	0.00	0.00
100.9000				1,990.77					
665162772	MFB NORTHERN FDS STK INDEX FD	10/29/2013	Various	NOSIX	8,625.45	0.00	6,943.68	0.00	0.00
707.3700				15,569.13					
665130100	MFB NORTHN MID CAP INDEX FD	11/25/2013	09/06/2011	NOMIX	643.46	0.00	356.54	0.00	0.00
58.5500				1,000.00					

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Corrected 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
665162442	MFB NORTHERN FUNDS MULTI MANAGER HIGH YIELD OPPORTUNITY FUND	NMHYX	130.8300	11/25/2013	01/13/2011	1,426.02	1,419.51	0.00	6.51	0.00	0.00
665162772	MFB NORTHERN FDS STK INDEX FD	NOSIX	445.6300	11/25/2013	Various	10,000.00	4,970.89	0.00	5,029.11	0.00	0.00
Total Long Term Sales						1,014,273.49	939,064.55	0.00	75,208.94	0.00	0.00
Long Term 28% Sales											
78463V107	MFC SPDR GOLD TR GOLD SHS	GLD	146.0000	02/11/2013	07/16/2010	23,267.50	17,020.68	0.00	6,246.82	0.00	0.00
78463V107	MFC SPDR GOLD TR GOLD SHS	GLD	320.0000	04/11/2013	07/16/2010	48,462.91	37,305.60	0.00	11,157.31	0.00	0.00
Total Long Term 28% Sales						71,730.41	54,326.28	0.00	17,404.13	0.00	0.00

This is important tax information and is being furnished to you.