Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
THE MCININCH FOUNDATION
C/O DOUGLAS A. MCININCH

A Employer identification number 02-6006053

Number and street (or P O box number if mail is not delivered to street address): 555 CANAL STREET
Room/suite: 710

B Telephone number: 603-622-4052

City or town, state or province, country, and ZIP or foreign postal code
MANCHESTER, NH 03101-1517

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 6,183,044.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

		Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received			N/A	
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	20,638.	20,638.		STATEMENT 1
	4	Dividends and interest from securities	97,982.	97,982.		STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	459,700.			
	b	Gross sales price for all assets on line 6a 2,553,397.				
	7	Capital gain net income (from Part IV, line 2)		459,700.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	**Total.** Add lines 1 through 11	578,320.	578,320.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	26,500.	18,000.		8,500.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees STMT 3	25,000.	18,750.		6,250.
	c	Other professional fees STMT 4	3,350.	3,350.		0.
	17	Interest	260.	260.		0.
	18	Taxes STMT 5	4,702.	4,702.		0.
	19	Depreciation and depletion	120.	96.		
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 6	47,388.	44,889.		2,499.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	107,320.	90,047.		17,249.
	25	Contributions, gifts, grants paid	227,937.			227,937.
	26	**Total expenses and disbursements.** Add lines 24 and 25	335,257.	90,047.		245,186.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	243,063.			
	b	**Net investment income** (if negative, enter -0-)		488,273.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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10-10-13 **LHA For Paperwork Reduction Act Notice, see instructions.**

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	139,073.	144,293.	144,293.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations STMT 7	533,019.	508,404.	505,538.
	b	Investments - corporate stock STMT 8	1,254,506.	1,402,946.	1,896,109.
	c	Investments - corporate bonds STMT 9	15,198.	0.	0.
	11	Investments - land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 10	2,788,239.	2,921,127.	3,632,089.
	14	Land, buildings, and equipment: basis ▶ 4,987.			
		Less accumulated depreciation STMT 11▶ 4,676.	431.	311.	311.
	15	Other assets (describe ▶ STATEMENT 12)	8,144.	4,704.	4,704.
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	4,738,610.	4,981,785.	6,183,044.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	4,738,610.	4,981,785.	
	30	**Total net assets or fund balances**	4,738,610.	4,981,785.	
	31	**Total liabilities and net assets/fund balances**	4,738,610.	4,981,785.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,738,610.
2	Enter amount from Part I, line 27a	2	243,063.
3	Other increases not included in line 2 (itemize) ▶ BOOK INCOME DIFFERENCE	3	112.
4	Add lines 1, 2, and 3	4	4,981,785.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,981,785.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	2,553,397.		2,093,697.	459,700.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				
b				
c				
d				
e				459,700.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	459,700.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	278,247.	5,427,660.	.051265
2011	346,877.	5,661,552.	.061269
2010	278,354.	5,569,473.	.049979
2009	227,590.	5,117,772.	.044471
2008	353,351.	6,729,177.	.052510

2 **Total** of line 1, column (d)	2	.259494
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051899
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	5,755,602.
5 Multiply line 4 by line 3	5	298,710.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,883.
7 Add lines 5 and 6	7	303,593.
8 Enter qualifying distributions from Part XII, line 4	8	245,186.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary-see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	9,765.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	9,765.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	9,765.
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a 1,300.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	1,300.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	8,465.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ NOT APPLICABLE

14 The books are in care of ▶ SHARI RIDLON, MC ININCH FOUNDATION Telephone no. ▶ (603)622-4052

Located at ▶ 555 CANAL ST, STE 710, MANCHESTER, NH ZIP+4 ▶ 03101-1517

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Yes	No
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
If "Yes," list the years ▶ ______, ______, ______, ______			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2013 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DOUGLAS A. MC ININCH 6 HAWK DRIVE BEDFORD, NH 03110	PRES/TREAS/TRUSTEE 10.00	24,000.	0.	0.
NANCY M. MC ININCH 6 HAWK DRIVE BEDFORD, NH 03110	SECRETARY/TRUSTEE 1.00	2,000.	0.	0.
JAMES A. SHANAHAN 200 HEATHER STREET MANCHESTER, NH 03104	TRUSTEE 1.00	500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NOT APPLICABLE	0.00	0.	0.	0.

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NOT APPLICABLE		0.

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

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10-10-13

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	5,714,369.
b	Average of monthly cash balances		1b	127,523.
c	Fair market value of all other assets		1c	1,359.
d	**Total** (add lines 1a, b, and c)		1d	5,843,251.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	5,843,251.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	87,649.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	5,755,602.
6	**Minimum investment return.** Enter 5% of line 5		6	287,780.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	287,780.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 9,765.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	9,765.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	278,015.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	278,015.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	278,015.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	245,186.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	245,186.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	245,186.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					278,015.
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only				0.	
b Total for prior years: ______ , ______ , ______			0.		
3 Excess distributions carryover, if any, to 2013:					
a From 2008	18,052.				
b From 2009					
c From 2010	2,784.				
d From 2011	65,785.				
e From 2012	8,140.				
f **Total** of lines 3a through e		94,761.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 245,186.					
a Applied to 2012, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2013 distributable amount					245,186.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)		32,829.			32,829.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		61,932.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		61,932.			
10 Analysis of line 9:					
a Excess from 2009					
b Excess from 2010					
c Excess from 2011	53,792.				
d Excess from 2012	8,140.				
e Excess from 2013					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 13

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED STATEMENT 16				227,937.
Total			▶ 3a	227,937.
b *Approved for future payment*				
SEE ATTACHED STATEMENT 17				81,000.
Total			▶ 3b	81,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	20,638.	
4 Dividends and interest from securities			14	97,982.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	459,700.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		578,320.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 578,320.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

323621 10-10-13

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee | 5-6-14 Date | ▶ President Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
LUCY S. POTTER, CPA	Lucy S Potter CPA	05/02/14		P00035609

Firm's name ▶ LUCY S. POTTER, CPA, PLLC | Firm's EIN ▶ 02-0445053

Firm's address ▶ 82 PALOMINO LANE, SUITE 704
BEDFORD, NH 03110-6448

Phone no. 603-666-5535

THE MCININCH FOUNDATION
C/O DOUGLAS A. MCININCH

CONTINUATION FOR 990-PF, PART IV
02-6006053 PAGE 1 OF 1

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED-GOLDMAN SACHS STMT-#25118-PG 33	P	VARIOUS	VARIOUS
b	SEE ATTACHED-GOLDMAN SACHS STMT-#25118-PG 33	P	VARIOUS	VARIOUS
c	SEE ATTACHED-GOLDMAN SACHS STMT-#25118-PGS 34-37	P	VARIOUS	VARIOUS
d	SEE ATTACHED-GOLDMAN SACHS STMT-#45434-PGS 78-81	P	VARIOUS	VARIOUS
e	SEE ATTACHED-GOLDMAN SACHS STMT-#45434-PGS 81-84	P	VARIOUS	VARIOUS
f	SEE ATTACHED-GOLDMAN SACHS STMT-#45434-PGS 85-90	P	VARIOUS	VARIOUS
g	SEE ATTACHED-TD BANK NA STMT-PG 7 OF 31	P	VARIOUS	VARIOUS
h	SEE ATTACHED-TD BANK NA STMT-PGS 7-10 OF 31	P	VARIOUS	VARIOUS
i	SEE ATTACHED-TD BANK NA STMT-PGS 11-17 OF 31	P	VARIOUS	VARIOUS
j	SEE ATTACHED-TD BANK NA STMT-PGS 17-18 OF 31	P	VARIOUS	VARIOUS
k	CAPITAL GAINS DIVIDENDS			
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	278,897.		270,189.	8,708.
b	114,197.		123,000.	<8,803.>
c	1,050,850.		939,898.	110,952.
d	61,659.		60,389.	1,270.
e	116,469.		113,276.	3,193.
f	193,226.		143,047.	50,179.
g	31,023.		30,973.	50.
h	67,726.		62,040.	5,686.
i	463,868.		304,239.	159,629.
j	44,758.		46,646.	<1,888.>
k	130,724.			130,724.
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				8,708.
b				<8,803.>
c				110,952.
d				1,270.
e				3,193.
f				50,179.
g				50.
h				5,686.
i				159,629.
j				<1,888.>
k				130,724.
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	459,700.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS-#25118	16,328.	16,328.	
GOLDMAN SACHS-#45434	29.	29.	
TD BANK, N.A.	4,272.	4,272.	
TD BANK, N.A.-CHECKING	9.	9.	
TOTAL TO PART I, LINE 3	20,638.	20,638.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS-#25118	157,408.	114,620.	42,788.	42,788.	
GOLDMAN SACHS-#45434	17,785.	0.	17,785.	17,785.	
TD BANK, N.A.	53,513.	16,104.	37,409.	37,409.	
TO PART I, LINE 4	228,706.	130,724.	97,982.	97,982.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BOOKKEEPING FEES	25,000.	18,750.		6,250.
TO FORM 990-PF, PG 1, LN 16B	25,000.	18,750.		6,250.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION AND TAX SERVICES	3,100.	3,100.		0.
TAX PREPARATION-TD BANKNORTH	250.	250.		0.
TO FORM 990-PF, PG 1, LN 16C	3,350.	3,350.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX (REFUND)	1,576.	1,576.		0.
FOREIGN TAX-GOLDMAN SACHS #25118	73.	73.		0.
FOREIGN TAX-GOLDMAN SACHS #45434	1,552.	1,552.		0.
FOREIGN TAX/RECLAIMABLE-GOLDMAN SACHS	1,169.	1,169.		0.
FOREIGN TAX-TD BANKNORTH	332.	332.		0.
TO FORM 990-PF, PG 1, LN 18	4,702.	4,702.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES-GS #25118	1,000.	1,000.		0.
INVESTMENT MANAGEMENT FEES-GS #45434	10,832.	10,832.		0.
TRUSTEE/AGENCY FEES-TD BANK, NA	8,425.	8,425.		0.
OFFICE & INVESTMENT ADMIN. EXPENSES	12,498.	9,999.		2,499.

AMORTIZATION OF BOND PREMIUM	13,748.	13,748.		0.
INVESTMENT EXPENSE-SPDR GOLD TR	108.	108.		0.
INVESTMENT EXPENSE-ADR FEES	697.	697.		0.
STATE FILING FEES	75.	75.		0.
OTHER INVESTMENT EXPENSE	5.	5.		0.
TO FORM 990-PF, PG 1, LN 23	47,388.	44,889.		2,499.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT 14	X		0.	0.
SEE ATTACHED STATEMENT 15	X		508,404.	505,538.
TOTAL U.S. GOVERNMENT OBLIGATIONS			508,404.	505,538.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			508,404.	505,538.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT 14	664,432.	896,455.
SEE ATTACHED STATEMENT 15	738,514.	999,654.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,402,946.	1,896,109.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT 14	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10C	0.	0.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT 14	COST	782,646.	833,511.
SEE ATTACHED STATEMENT 15	COST	2,138,481.	2,798,578.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,921,127.	3,632,089.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 11

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER EQUIP FOR INVESTMENTS	3,964.	3,964.	0.
FAX MACHINE	315.	315.	0.
MERLIN PHONE SYSTEM	610.	348.	262.
ADDITIONAL PHONE	98.	49.	49.
TOTAL TO FM 990-PF, PART II, LN 14	4,987.	4,676.	311.

FORM 990-PF OTHER ASSETS STATEMENT 12

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
INTEREST RECEIVABLE	391.	391.	391.
SECURITY DEPOSIT	500.	500.	500.
DIVIDEND RECEIVABLE	7,253.	3,813.	3,813.
TO FORM 990-PF, PART II, LINE 15	8,144.	4,704.	4,704.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 13
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

THE MC ININCH FOUNDATION, ATTN: DOUGLAS A. MC ININCH
555 CANAL ST, SUITE #710
MANCHESTER, NH 03101

TELEPHONE NUMBER

603-622-4052

FORM AND CONTENT OF APPLICATIONS

A COMPLETED GRANT APPLICATION AND A TAX EXEMPT STATUS LETTER-SEE ATTACHMENT ON BACK OF TAX RETURN FOR GRANT APPLICATION

ANY SUBMISSION DEADLINES

APRIL 15 AND OCTOBER 15

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE ORGANIZATION MUST BE IN COMPLIANCE WITH THE REGISTRATION AND REPORTING REQUIREMENTS OF THE NH DIRECTOR OF CHARITABLE TRUSTS, THE IRS AND THE NH SECRETARY STATE. IN ADDITION, THE ORGANIZATION MUST BE LOCATED IN NH.

Depreciation and Amortization Detail FORM 990-PF PAGE 1

990-PF

Asset Number	Description of property Date placed in service	Method/ IRC sec.	Life or rate	Line No.	Cost or other basis	Basis reduction	Accumulated depreciation/amortization	Current year deduction
1	COMPUTER EQUIP FOR INVESTMENTS							
	052105	SL	5.00	16	3,964.		3,964.	0.
2	FAX MACHINE							
	051606	SL	7.00	16	315.		296.	19.
3	MERLIN PHONE SYSTEM							
	122209	SL	7.00	16	610.		261.	87.
4	ADDITIONAL PHONE							
	062010	SL	7.00	16	98.		35.	14.
	* TOTAL 990-PF PG 1 DEPR							
					4,987.	0.	4,556.	120.

316261
05-01-13

- Current year section 179 (D) - Asset disposed

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization 990-PF
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2013

Attachment Sequence No 179

Name(s) shown on return	Business or activity to which this form relates	Identifying number
THE MCININCH FOUNDATION C/O DOUGLAS A. MCININCH	FORM 990-PF PAGE 1	02-6006053

Part I **Election To Expense Certain Property Under Section 179 Note:** *If you have any listed property, complete Part V before you complete Part I*

1	Maximum amount (see instructions)	1	500,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	2,000,000.
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6 (a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014. Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property. Instead, use Part V.*

Part II **Special Depreciation Allowance and Other Depreciation (Do not** include listed property.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	120.

Part III **MACRS Depreciation (Do not** include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B - Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property	/		27.5 yrs.	MM	S/L	
	/		27.5 yrs	MM	S/L	
i Nonresidential real property	/		39 yrs.	MM	S/L	
	/			MM	S/L	

Section C - Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year	/		40 yrs.	MM	S/L	

Part IV **Summary** (See instructions)

21	Listed property. Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr.	22	120.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

THE MC ININCH FOUNDATION			**STATEMENT 14**
02-6006053			
FORM 990-PF, PART II			
DECEMBER 31, 2013			
		END OF YEAR	**END OF YEAR**
		(b) BOOK VALUE	**(c) FMV**
LINE 10a - INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS			
		0 00	0 00
	TOTAL	**0.00**	**0.00**
LINE 10b - INVESTMENTS - CORPORATE STOCK			
73	ALLEGION PLC	3,130 53	3,225 87
140	DELPHI AUTOMOTIVE PLC	8,111 89	8,418 20
220	INTERSOLL-RAND PLC	12,337 38	13,552 00
170	SEAGATE TECHNOLOGY PLC	8,307 29	9,547 20
140	CHECKPOINT SOFTWARE	8,473 22	9,029 86
550	ABBOTT LABS CO	16,100.94	21,081 50
320	AGILENT TECHNOLOGIES	17,049 54	18,300 80
310	AMERICAN EXPRESS CO	14,430 03	28,126.30
260	AMERICAN INTL GROUP	12,997 04	13,273 00
120	AMERIPRISE FINANCIAL INC	12,918 64	13,806 00
50	APPLE COMPUTER INC	20,516 09	28,051 00
660	APPLIED MATERIALS	11,401 37	11,668 80
320	AUTO DATA PROCESSING INC	11,842 12	25,855 68
60	BLACKROCK INC CL A	18,196.68	18,988 20
160	BOEING CO	21,347.79	21,838 40
280	CIT GROUP INC	14,002 80	14,596 40
190	CME GROUP INC	15,769.79	14,907 40
260	CVS CORP	17,387 29	18,608 20
180	CAPITAL ONE FINANCIAL CORP	12,738 80	13,789 80
420	CENTURYLINK INC	12,900 80	13,377 00
205	CHEVRON CORPORATION	14,584 54	25,606 55
845	CISCO SYSTEMS INC	16,085 92	18,953 35
260	COLGATE PALMOLIVE CO	8,843 40	16,954.60
310	COMCAST CORP SPECIAL A	14,955 21	15,462.80
285	CONOCOPHILLIPS	13,416 22	20,135 25
260	DANAHER CORP SHS BEN INT	19,383 91	20,072 00
420	WALT DISNEY CO	14,253.09	32,088 00
735	EMC CORPORATION/MASS	8,406 03	18,485 25
245	EXXON MOBIL CORP	13,659.25	24,794 00
300	FIDELITY NATL INFORMATION SV	15,245 22	16,104 00
480	GENERAL ELECTRIC CO	16,992 00	13,454 40
380	HOME DEPOT INC	10,135 03	31,289 20
290	INTERNATIONAL PAPER	13,654 33	14,218 70
210	JOHNSON & JOHNSON	12,313.29	19,233 90
180	MCDONALDS CORP	11,765 36	17,465 40
630	MICROSOFT CORPORATION	12,011.06	23,568 30
115	MONSANTO CO NEW	7,797 37	13,403 25
230	NATIONAL-OILWELL INC	19,027 51	18,291 90
260	ORACLE CORPORATION	8,703 41	9,947 60
240	PEPSICO INC	13,385 00	19,905 60
140	PRAXAIR INC	17,718 32	18,204 20
270	SOUTHERN CO	9,465 93	11,099.70
420	TEXAS INSTRUMENTS	17,881 29	18,442 20
190	3M CO	16,522.86	26,647 50
270	TIME WARNER	18,061.46	18,824 40
185	UNITED TECHNOLOGIES	9,354 99	21,053 00
270	UNITEDHEALTH GROUP INC	12,492 24	20,331 00
210	WALMART STORES INC	11,560 52	16,524 90
240	YUM BRANDS INC	9,183 05	18,146 40
190	ZIMMER HLDGS INC	7,613.58	17,706.10
	TOTAL	**664,431.42**	**896,455.06**
	25		**STATEMENT 14**

THE MC ININCH FOUNDATION			**STATEMENT 14**
02-6006053			
FORM 990-PF, PART II			
DECEMBER 31, 2013			
		END OF YEAR	**END OF YEAR**
		(b) BOOK VALUE	**(c) FMV**
LINE 10c - INVESTMENTS - CORPORATE BONDS			
		0 00	0 00
	TOTAL	**0.00**	**0.00**
LINE 13 - INVESTMENTS - OTHER			
3,551 821	INVESCO INTERNATIONAL GROWTH FD INSTL CL	99,883 16	121,827.46
1,054.885	BUFFALO SMALL CAP FD #1444	28,989 60	39,368 31
1,663.463	HARBOR INTL FD-INST CL FUND #11	97,166 32	118,122 51
1,365 879	HARTFORD MUTUAL FDS INC MIDCAP FD CL Y #229	32,971 10	38,831 94
1,466 558	PERKINS MID CAP VALUE FUND	33,645 15	34,258 79
1,482 340	ROYCE SPECIAL EQUITY FD #327	31,803 86	37,088 15
8,885.142	FIDELITY HIGH INCOME FUND #455	80,850 14	83,253 78
13,416 896	PIMCO INVESTMENT GRADE CORP BD FD CL I	146,132 80	137,389 02
3,125.237	VANGUARD PRTCTD SEC FD #5119	83,718 24	79,599 79
9,503 438	VANGUARD INTERMEDIATE TERM TREAS-ADM #35	109,499 98	105,678 23
3,560 035	VANGUARD SHORT-TERM FED-ADM #549	37,985 57	38,092 37
	TOTAL	**782,645.92**	**833,510.35**

THE MC ININCH FOUNDATION			STATEMENT 16
02-6006053			
FORM 990-PF,	PART II		
DECEMBER 31	, 2013		
		END OF YEAR	END OF YEAR
		(b) BOOK VALUE	(c) FMV
LINE 10a - INVESTMENTS - US AND STATE GOVERNMENT OBLIGATIONS			
150,000	FHLB 1.375% 05/28/2014	150,730 53	150,758 00
150,000	FHLB 5 5% 08/13/2014	157,698 77	154,993 00
100,000	FEDERAL HOME LOAN BANK SYSTEM	100,314 97	100,126 00
100,000	FHLB 375% 06/24/2016	99,660 00	99,661 00
	TOTAL	**508,404.27**	**505,538.00**
LINE 10b - INVESTMENTS - CORPORATE STOCK			
141	ACCENTURE PLC CMN	9,351 70	11,593 02
326	ADIDAS AG ADR CMN	11,882 54	20,807.28
1,120	AIA GROUP LIMITED SPONSORED ADR CMN SERIES	17,505 01	22,476.16
242	AMADEUS IT HLDG S A ADR CMN	7,947 39	10,372.36
134	ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN	13,556 65	14,265.64
189	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	1,173 69	10,344 16
147	ASML HOLDING N V ADR CMN	10,938 49	13,773 90
585	ASSA ABLOY AB UNSPONSORED ADR (SWEDEN)	7,830 35	15,475 59
77	BAIDU, INC SPONSORED ADR CMN	8,804 47	13,696 76
920	BANCO BILBAO VIZCAYA S A ADR SPONSORED ADR CMN	10,187 39	11,398 80
300	BURBERRY GROUP PLC SPONSORED ADR CMN	13,024 76	15,065.40
320	CANADIAN NATIONAL RAILWAY CO. CMN	8,044.50	18,246.40
359	CARNIVAL CORPORATION CMN	10,961 09	14,421.03
257	CHINA MOBILE LIMITED SPONSORED ADR CMN	13,902 86	13,438 53
1,003	COMPASS GROUP PLC SPONSORED ADR CMN	12,247 77	16,081 10
81	DASSAULT SYSTEMES SPONSORED ADR CMN	5,184 21	10,070 89
241	DEUTSCHE BANK AG CMN	10,983 21	11,625 84
352	EMBRAER SA ADR CMN	5,722 46	11,327 36
526	EXPERIAN PLC SPONSORED ADR CMN	9,438 65	9,705.23
604	FANUC CORP UNSPONSORED ADR CMN	9,705 04	18,437.10
457	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	9,680 92	16,260 06
1,732	HENNES & MAURITZ AB ADR CMN	8,918.61	15,975 97
622	HONG KONG EXCHANGES & CLEARING UNSPONSORED ADR CMN	5,289 89	10,372 47
252	HSBC HOLDINGS PLC SPONSORED ADR CMN	11,658 94	13,892 76
662	INDUSTRIAL & COMMERCIAL BANK O ADR CMN	9,935 72	8,947.59
1,467	ING GROEP N V SPONS ADR SPONSORED ADR CMN	15,690 91	20,552 67
782	INTESA SANPAOLO SPONSORED ADR CMN	10,867 36	11,598 62
140	ISHARES CORE S&P 500 ETF CMN	23,747 98	25,991 00
632	ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN	11,150.51	8,576 24
941	JULIUS BAER GROUP LTD. ADR CMN	9,040 18	9,065.59
1,911	KINGFISHER PLC SPONSORED ADR CMN	9,917 92	24,351 87
452	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	9,015 88	9,190 06
400	KONE OYJ UNSPONSORED ADR CMN REPRESENTING B SHARES (FINLAND	8,673 34	9,039 20
164	KUBOTA CORP ADR ADR CMN	12,207.20	13,567 23
213	LAS VEGAS SANDS CORP CMN	9,465 87	16,799 31
230	LULULEMON ATHLETICA INC CMN	15,501 11	13,576 90
682	LVMH MOET HENNESSY LOUIS VUITTON S A ADR CMN	11,762 18	24,922 33
87	MERCADOLIBRE INC. CMN	6,286.73	9,377 73
730	MICHELIN COMPAGNIE GENERALE DE UNSPONSORED ADR CMN	11,631 58	15,540 97
2,733	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN	15,838 52	18,256 44
270	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	12,669 53	19,824.48
569	NOKIA CORP SPON ADR SPONSORED ADR CMN	4,601 43	4,614 59
236	NOVARTIS AG-ADR SPONSORED ADR CMN	11,112 06	18,969 68
143	NOVO-NORDISK A/S ADR ADR CMN	9,895.12	26,420 68
722	PACIFIC RUBIALES ENERGY CORP CMN	14,611 20	12,459 52
619	PEARSON PLC SPON ADR SPONSORED ADR CMN	11,662 98	13,865.60
503	PT BANK MANDIRI (PERSERO) ADR CMN	4,448 00	3,244 35
1,311	PUBLICIS GROUPE S A SPONSORED ADR CMN	18,173 15	30,037.63
1,194	RECKITT BENCKISER GROUP PLC SPONSORED ADR CMN	11,698 31	18,957 14
271	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	16,613 27	18,983 82
286	SABMILLER PLC SPONSORED ADR	8,634 31	14,689.25
124	SAP AG (SPON ADR)	6,207.94	10,805 36

THE MC ININCH FOUNDATION			STATEMENT 15
02-6006053			
FORM 990-PF, PART II			
DECEMBER 31, 2013			
		END OF YEAR (b) BOOK VALUE	END OF YEAR (c) FMV
LINE 10b - INVESTMENTS - CORPORATE STOCK (continued)			
230	SCHLUMBERGER LTD CMN	13,271 63	20,725.30
78	SINA CORPORATION CMN	4,640.34	6,571.50
234	SOUTHERN COPPER CORPORATION CMN	7,658 35	6,718 14
2,878	SUMITOMO MITSUI TRUST HOLDINGS SPONSORED ADR CMN	14,861 57	15,169 94
406	SWATCH GROUP SA (THE) ADR CMN	7,842 67	13,455 65
172	SYNGENTA AG SPONSORED ADR CMN	12,935 24	13,749 68
780	TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS EQUALS 5ORDS	14,246.54	13,603 20
300	TATA MOTORS LIMITED SPONSORED ADR CMN	7,379 23	9,240 00
314	TECHNOLOGY SELECT INDEX 'SPDR'	10,031 26	11,222 36
227	TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN	4,898 60	14,480 10
45	THE GOLDMAN SACHS GROUP, INC LNKD TO MSCI EMERGING MKT IN UPSI	45,000 00	47,535 21
181	TOYOTA MOTOR CORPORATION SPON ADR	15,074 78	22,067.52
376	YANDEX N V CMN	9,985 25	16,224.40
232	YUM BRANDS, INC. CMN	15,687 75	17,541 52
	TOTAL	**738,514.09**	**999,654.18**
LINE 13 - INVESTMENTS - OTHER			
57,000	BNP PARIBAS LINKED TO FTSE 100 INDEX UPSIDE LEVERED NO CAP STRUC	57,000 00	56,236 20
50,000	CREDIT SUISSE AG LINKED TO EURO STOXX 50 PR UPSIDE LEVERED NO CA	50,000 00	65,600 00
2,194 37	GS LOCAL EMERGING MARKETS DEB FD INSTL SHS	20,692 89	18,586 30
1,974 33	GS HIGH YIELD FLOATING RATE FUND INSTL SHS	20,000 00	19,940 77
16,701 47	GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES	173,307 46	178,037 67
24,361 49	GS SHORT DURATION INCOME INSTITUTIONAL MUTUAL FUND	248,000 00	248,000 00
26,000	GS GROUP, INC LINKED TO FX BASKET VS USD 0% COUPON DUE 02/04/2014	26,000 00	32,224 40
31,528 29	GS CONCENTRATED GROWTH FUND INSTITUTIONAL SHARES	327,196 48	580,120 48
2,570.90	GS INTERNATIONAL EQUITY INSIGHTS FUND I	28,202.77	28,999 75
18,072 29	GS MLP ENERGY INFRASTRUCTURE I	195,000 00	203,674 70
12,048 19	GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	200,000 00	190,361 45
3,694.76	GS SMALL CAP VALUE FUND INSTITUTIONAL CLASS SHARES	136,300 64	208,162 83
30,394 21	GS STRATEGIC GROWTH FUND INSTITUTIONAL SHARES	252,964.82	392,997 16
	GS HEDGE FUND PORTFOLIO	21,824 41	19,273 87
19,000	JPMORGAN CHASE & CO LINKED TO RUSSELL 2000 INDEX UPSIDE LEVERE	19,000 00	19,144 40
2,889	SPDR S&P 500 ETF TRUST	360,085 09	533,569 41
110	SPDR S&P BANK ETF	2,906 50	3,648 70
	TOTAL	**2,138,481.06**	**2,798,578.09**

Name & Address Of Recipient	**Foundation Status of Recipient**	**Purpose of Grant or Contribution**	**$ Amount**
Manchester Community Music School 2291 Elm St. Manchester, NH 03104	Public	Composing our Futures Campaign	50,000
NH Charitable Foundation 37 Pleasant St. Concord, NH 03301	Public	William Green Fund	500
Castle Preservation Society PO Box 687 Moultonborough, NH 03254	Public	Restoration of the front facade	15,000
Alchemist's Workshop PO Box 658 Henniker, NH 03242	Public	Composition of The Lending Doll	1,600
First Congregational Church 508 Union St. Manchester, NH 03104	Public	Annual Drive	8,000
Ammonoosuc Conservation Trust 107 Glessner Rd Bethlehem, NH 03574	Public	Cooley-Jericho Community Forest	5,000
NH Center for Nonprofits 84 Silk Farm Rd. Concord, NH 03301	Public	General Support	1,000
Canterbury Shaker Village 288 Shaker Rd. Canterbury, NH 03224	Public	Repair of the horse barn	20,000
Friends of the Northern Rail Trail PO Box 154 Andover, NH 03216	Public	Trail building efforts	5,000
Merrimack Concert Assn PO Box 461 Merrimack, NH 03054	Public	New letter boards	1,337
Mt Washington Observatory PO Box 2310 North Conway, NH 03860	Public	Website upgrade	15,000
Neighborworks of Greater Manchester PO Box 3968 Manchester, NH 03105	Public	Capital campaign	25,000
NH Aviation Historical Society PO Box 388 Manchester, NH 03105	Public	Virtual Skies Program	2,500

Name & Address Of Recipient	Foundation Status of Recipient	Purpose of Grant or Contribution	$ Amount
The McIninch Art Gallery SNHU 2500 North River Rd Manchester, NH 03106	Public	General support	1,000
Webster House 135 Webster St. Manchester, NH 03104	Public	Emergency beds for youth	7,500
Women for Women Coalition 510 Chestnut St. Manchester, NH 03101	Public	After school program	2,500
NH Historical Society 30 Park St. Concord, NH 03301	Public	Digital images of collection	25,000
Bear-Paw Regional Greenways PO Box 19 Deerfield, NH 03037	Public	Hinman Pond Project	10,000
Francestown Land Trust PO Box 132 Francestown, NH 03043	Public	Brennan Brook Conservation	1,000
Piscataquog Land Conservancy 5A Mill St. New Boston, NH 03070	Public	Harry Brook Conservation	1,000
Strawbery Banke, Inc. PO Box 300 Portsmouth, NH 03802	Public	Thales Yeaton House preservation	15,000
Unitarian Universalist Church 669 Union St. Manchester, NH 03104	Public	New Sprinkler System	10,000
Opera New Hampshire PO Box 3426 Manchester, NH 03105	Public	Carmen	5,000
		TOTAL CONTRIBUTIONS PAID IN 2013	**$227,937**

GRANTS & CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT:

Name & Address Of Recipient	Foundation Status of Recipient	Purpose of Grant or Contribution	$ Amount
Belknap Mill Society 25 Beacon St. East Laconia, NH 03246	Public	Operational funding	20,000
NH Center for Nonprofits 84 Silk Farm Rd. Concord, NH 03301	Public	General support	3,000
The McIninch Art Gallery SNHU 2500 North River Rd Manchester, NH 03106	Public	General support	3,000
NH Humanities Council 117 Pleasant St. Concord, NH 03301	Public	Capital campaign	25,000
Palace Theatre Trust 80 Hanover St. Manchester, NH 03101	Public	New sound system	30,000
	TOTAL CONTRIBUTIONS APPROVED FOR FUTURE		**$81,000**

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	8,708 33	Net Covered Long-Term Gains (Losses)	(8,803 37)	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0 00	Net NonCovered Long-Term Gains (Losses)	110,952 34		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**8,708.33**	**Total Long-Term Gains (Losses)**	**102,148.97**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
GS ENHANCED INCOME FUND INSTITUTIONAL SHARES (38142Y518)	07/25/2012	04/05/2013	526 87	4,994 72	0 00	4,999 99	(5.27)
ISHARES MSCI EAFE ETF (464287465)	07/03/2013	08/01/2013	950 00	57,874 89	0 00	54,453 53	3,421 36
ISHARES MSCI EMERGING MKTS ETF (464287234)	07/16/2013	08/29/2013	119 00	4,496 97	0 00	4,696 47	(199 50)
ISHARES MSCI EMERGING MKTS ETF (464287234)	07/11/2013	08/29/2013	120 00	4,534 76	0 00	4,688 11	(153 35)
ISHARES RUSSELL 2000 ETF (464287655)	07/19/2013	08/29/2013	100 00	10,189 39	0 00	10,439 59	(250 20)
ALERIAN MLP EXCHANGE TRADED FUND (00162Q866)	03/28/2013	11/26/2013	11,225 00	196,806 72	0 00	190,911 43	5,895 29
Net Covered Short-Term Gains (Losses)				**278,897.45**	**0.00**	**270,189.12**	**8,708.33**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
GS ENHANCED INCOME FUND INSTITUTIONAL SHARES (38142Y518)	07/25/2012	11/26/2013	0 00	0 01	0 00	0 01	0 00
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	02/22/2012	11/26/2013	10,865 52	114,196 63	0 00	123,000 00	(8,803 37)
Net Covered Long-Term Gains (Losses)				**114,196.64**	**0.00**	**123,000.01**	**(8,803.37)**

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
FHLB 3 375% 02/27/2013 FA (3133XP2W3)	07/22/2010	02/27/2013	100,000 00	100,000 00	(6,413 10)	100,000 00	0 00
BNP PARIBAS LNKD TO BASKET OF 6 COMMOD FUT 0% COUPON DUE NOV 21, 2014 STRUCTURED NOTE (05567LY35)	05/23/2011	03/01/2013	50,000 00	48,200 90	0 00	50,000 00	(1,799 10)
SPDR S&P 500 ETF TRUST (78462F103)	12/01/2011	03/28/2013	2,555 00	400,035 55	0 00	318,455 32	81,580 23
GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES (38141W810)	07/22/2010	04/05/2013	652 99	7,000 00	0 00	6,425 06	574 94
SPDR S&P 500 ETF TRUST (78462F103)	12/01/2011	06/18/2013	610 00	100,834 29	0 00	76,030 43	24,803 86
THE GOLDMAN SACHS GROUP, INC LINKED TO MSCI EAFE 0% DUE 07/03/2013 STRUCTURED NOTE (38146M361)	02/28/2011	07/03/2013	55 00	55,000.00	0 00	55,000 00	0 00
RABOBANK NEDERLAND, UTRECHT LINKED TO MSCI TAIWAN INDX 0% DUE 07/11/2013 STRUCTURED NOTE (21686C498)	03/14/2011	07/11/2013	10 00	10,000 00	0 00	10,000 00	0 00
THE GOLDMAN SACHS GROUP, INC LNKD TO THE RUSSELL 2000 INDEX 0% COUPON DUE 07/19/2013 STRUCTURED NOTE (38146M213)	03/14/2011	07/19/2013	9 00	10,993 50	0 00	9,000 00	1,993 50
THE GOLDMAN SACHS GROUP, INC LINKED TO DJIA 0% COUPON DUE 07/29/2013 STRUCTURED NOTE (38146M197)	03/14/2011	07/29/2013	19 00	24,662 68	0 00	19,000 00	5,662 68
FHLB 3 625% 10/18/2013 AO SR LIEN (3133XSAE8)	07/22/2010	10/18/2013	100,000 00	100,000 00	(7,874 70)	100,000 00	0 00
THE GOLDMAN SACHS GROUP, INC LINKED TO FX BASKET VS USD 0% COUPON DUE 02/04/2014 STRUCTURED NOTE (38143UE80)	09/27/2011	11/11/2013	26,000 00	30,973 98	0 00	26,000 00	4,973 98
GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES (38141W810)	07/22/2010	11/26/2013	5,700 59	59,229 13	0 00	56,091 06	3,138 07
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	02/26/2010	11/26/2013	4 49	47 17	0.00	49 14	(1 97)
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	02/27/2009	11/26/2013	4 63	48 70	0 00	46 23	2 47
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	04/30/2010	11/26/2013	4 93	51 85	0 00	55 25	(3 40)
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	12/15/2008	11/26/2013	4 97	52 18	0 00	49 08	3 10
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	03/31/2009	11/26/2013	4 97	52 24	0 00	52 43	(0 19)

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	06/30/2009	11/26/2013	5.16	54 19	0 00	54 43	(0 24)
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	07/31/2008	11/26/2013	5 26	55 28	0 00	57 44	(2 16)
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	09/30/2009	11/26/2013	5 48	57 55	0 00	59 41	(1 86)
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	01/30/2009	11/26/2013	5 50	57.84	0 00	56 00	1 84
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	03/31/2010	11/26/2013	5 50	57 84	0.00	60 15	(2 31)
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	08/29/2008	11/26/2013	6 07	63 84	0 00	66 87	(3 03)
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	12/15/2008	11/26/2013	7 02	73 81	0 00	69 42	4 39
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	07/31/2009	11/26/2013	10 14	106 58	0 00	107 06	(0 48)
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	05/29/2009	11/26/2013	12 26	128 82	0 00	128 79	0 03
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	04/30/2009	11/26/2013	12 81	134 64	0 00	132 43	2 21
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	01/29/2010	11/26/2013	13 19	138 63	0 00	146 14	(7 51)
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	08/31/2009	11/26/2013	13 21	138 87	0 00	140 69	(1 82)
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	05/28/2010	11/26/2013	13 53	142 22	0 00	151 14	(8 92)
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	11/30/2009	11/26/2013	17 23	181 03	0 00	192 91	(11 88)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	10/30/2009	11/26/2013	18 49	194 28	0 00	202 58	(8 30)
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	12/31/2008	11/26/2013	26 43	277 73	0 00	267 05	10 68
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	11/28/2008	11/26/2013	26 84	282 07	0 00	258 03	24 04
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	12/31/2009	11/26/2013	27 47	288 68	0 00	300 46	(11 78)
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	09/30/2008	11/26/2013	28 01	294 36	0 00	295 70	(1 34)
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	10/31/2008	11/26/2013	29 19	306 78	0 00	281 21	25 57
GS INFLATION PROTECTED SECURITIES FUND INSTITUTIONAL SHARES (38144N841)	07/03/2008	11/26/2013	6,891 60	72,430 69	0 00	76,492 81	(4,062 12)
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/11/2009	12/20/2013	1 70	26 76	0 00	26 07	0 69
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/10/2007	12/20/2013	2 83	44 69	0 00	81 33	(36 64)
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/08/2006	12/20/2013	4 69	74 01	0 00	111 12	(37 11)
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/08/2006	12/20/2013	7 29	115 06	0 00	172.74	(57 68)
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/08/2006	12/20/2013	8 32	131 32	0 00	197 16	(65 84)
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/12/2008	12/20/2013	40 12	633 51	0 00	347 88	285 63
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/10/2007	12/20/2013	81 02	1,279 23	0 00	2,328 07	(1,048 84)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/12/2008	12/20/2013	118 04	1,863 84	0 00	1,023 51	840 33
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/10/2007	12/20/2013	215 63	3,404 83	0 00	6,196 49	(2,791 66)
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/12/2008	12/20/2013	365 75	5,775 19	0 00	3,171 39	2,603 80
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	03/15/2006	12/20/2013	940 74	14,854 33	0 00	20,467 85	(5,613 52)
Net NonCovered Long-Term Gains (Losses)				**1,050,850.67**	**(14,287.80)**	**939,898.33**	**110,952.34**

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REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		**LONG-TERM GAINS (LOSSES)**		**ORDINARY GAINS (LOSSES)**	
Net Covered Short-Term Gains (Losses)	1,270 26	Net Covered Long-Term Gains (Losses)	3,193 27	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0 00	Net NonCovered Long-Term Gains (Losses)	50,178 29		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**1,270.26**	**Total Long-Term Gains (Losses)**	**53,371.56**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
LI & FUNG LIMITED UNSPONSORED ADR CMN (501897102)	07/25/2012	01/15/2013	566 00	1,631 40	0 00	2,000 98	(369 58)
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES (M22465104)	05/24/2012	04/29/2013	16 00	728 21	0 00	842 87	(114 66)
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES (M22465104)	05/24/2012	04/30/2013	20 00	919 43	0 00	1,053 59	(134 16)
TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS EQUALS 5ORDS (874039100)	07/26/2012	06/06/2013	75 00	1,393 47	0 00	998 86	394 61
TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS EQUALS 5ORDS (874039100)	07/26/2012	06/07/2013	25 00	467 24	0 00	332 95	134 29
TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS EQUALS 5ORDS (874039100)	07/27/2012	06/07/2013	139 00	2,597 86	0 00	1,897 49	700 37
TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS EQUALS 5ORDS (874039100)	07/27/2012	06/12/2013	55 00	1,007 11	0 00	750.80	256 31
TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS EQUALS 5ORDS (874039100)	08/31/2012	06/12/2013	56 00	1,025 43	0 00	820 55	204.88
TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS EQUALS 5ORDS (874039100)	08/31/2012	06/13/2013	58 00	1,053 55	0 00	849 85	203 70
TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS EQUALS 5ORDS (874039100)	09/19/2012	06/13/2013	141 00	2,561 23	0 00	2,125 46	435 77
AIA GROUP LIMITED SPONSORED ADR CMN SERIES (001317205)	09/07/2012	06/18/2013	100 00	1,713 97	0 00	1,436 26	277 71

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ASML HOLDING N V ADR CMN (N07059210)	10/12/2012	06/18/2013	22 00	1,756 66	0 00	1,539 98	216 68
COMPASS GROUP PLC SPONSORED ADR CMN (20449X203)	12/07/2012	06/18/2013	130 00	1,719 87	0 00	1,519 13	200 74
DEUTSCHE BANK AG CMN (D18190898)	10/26/2012	06/18/2013	38 00	1,766 58	0 00	1,656.99	109 59
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	12/07/2012	06/18/2013	105 00	1,729 31	0 00	1,601 77	127 54
LULULEMON ATHLETICA INC CMN (550021109)	01/29/2013	06/18/2013	26 00	1,700 37	0 00	1,813 71	(113 34)
ROYAL BANK OF SCOTLAND GROUP P SPONSORED ADR CMN (780097689)	12/14/2012	06/28/2013	195 00	1,626 91	0 00	1,904 31	(277.40)
ROYAL BANK OF SCOTLAND GROUP P SPONSORED ADR CMN (780097689)	12/14/2012	07/01/2013	39 00	330 30	0 00	380 86	(50 56)
ROYAL BANK OF SCOTLAND GROUP P SPONSORED ADR CMN (780097689)	12/19/2012	07/01/2013	84 00	711 42	0 00	862 54	(151 12)
ROYAL BANK OF SCOTLAND GROUP P SPONSORED ADR CMN (780097689)	12/18/2012	07/01/2013	95 00	804 59	0 00	941 44	(136 85)
ROYAL BANK OF SCOTLAND GROUP P SPONSORED ADR CMN (780097689)	12/17/2012	07/01/2013	154 00	1,304 28	0 00	1,503 18	(198 90)
ROYAL BANK OF SCOTLAND GROUP P SPONSORED ADR CMN (780097689)	12/21/2012	07/02/2013	6 00	49 97	0 00	61 55	(11 58)
ROYAL BANK OF SCOTLAND GROUP P SPONSORED ADR CMN (780097689)	12/19/2012	07/02/2013	53 00	441 38	0 00	544 22	(102 84)
ROYAL BANK OF SCOTLAND GROUP P SPONSORED ADR CMN (780097689)	12/20/2012	07/02/2013	133 00	1,107 61	0 00	1,376 34	(268 73)
ROYAL BANK OF SCOTLAND GROUP P SPONSORED ADR CMN (780097689)	04/05/2013	07/03/2013	10 00	81 85	0 00	83 53	(1 68)
ROYAL BANK OF SCOTLAND GROUP P SPONSORED ADR CMN (780097689)	12/21/2012	07/03/2013	62 00	507 45	0 00	635 99	(128 54)
ROYAL BANK OF SCOTLAND GROUP P SPONSORED ADR CMN (780097689)	04/05/2013	07/03/2013	211 00	1,729 95	0 00	1,762 44	(32 49)
SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN (826197501)	07/26/2012	07/09/2013	12 00	1,247 57	0 00	983.16	264 41

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	12/07/2012	07/24/2013	67 00	1,164 64	0 00	1,022 08	142 56
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	12/07/2012	07/25/2013	19 00	325 09	0 00	289 84	35 25
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	12/10/2012	07/25/2013	46 00	787 06	0 00	700 75	86 31
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	12/10/2012	07/26/2013	20 00	339 00	0 00	304 67	34 33
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	12/10/2012	07/29/2013	43 00	733 68	0 00	655 05	78 63
BURBERRY GROUP PLC SPONSORED ADR CMN (12082W204)	10/22/2012	09/13/2013	48 00	2,445 87	0 00	1,819 84	626 03
ASML HOLDING N V ADR CMN (N07059210)	10/19/2012	10/15/2013	10 00	973 89	0 00	671 72	302 17
ASML HOLDING N V ADR CMN (N07059210)	12/14/2012	10/22/2013	21 00	1,977 86	0 00	1,333 74	644 12
TULLOW OIL PLC ADR CMN (899415202)	12/14/2012	10/23/2013	86 00	674 02	0 00	836 40	(162 38)
TULLOW OIL PLC ADR CMN (899415202)	12/14/2012	10/24/2013	118 00	918 60	0 00	1,147 62	(229 02)
TULLOW OIL PLC ADR CMN (899415202)	12/14/2012	10/24/2013	137 00	1,063 50	0 00	1,332 41	(268 91)
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	12/10/2012	10/30/2013	9 00	134 66	0 00	137.10	(2 44)
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	12/11/2012	10/30/2013	12 00	179 55	0 00	187 76	(8 21)
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	12/11/2012	10/30/2013	18 00	269 32	0 00	283 14	(13 82)
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	12/11/2012	10/31/2013	26 00	384 12	0 00	406 82	(22 70)
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	12/12/2012	10/31/2013	109 00	1,610 35	0 00	1,714 88	(104 53)
TULLOW OIL PLC ADR CMN (899415202)	12/14/2012	10/31/2013	62 00	468 67	0 00	602 99	(134 32)
TULLOW OIL PLC ADR CMN (899415202)	12/14/2012	10/31/2013	68 00	515 07	0 00	661 34	(146 27)
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	12/12/2012	11/01/2013	20 00	292 03	0 00	314 66	(22 63)
TULLOW OIL PLC ADR CMN (899415202)	12/14/2012	11/01/2013	320 00	2,413 55	0 00	3,112 19	(698 64)
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	12/12/2012	11/04/2013	31 00	451 75	0 00	487 72	(35 97)
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	01/24/2013	11/04/2013	39 00	568 33	0 00	583 91	(15 58)
TULLOW OIL PLC ADR CMN (899415202)	05/21/2013	11/04/2013	20 00	150 54	0 00	162 18	(11 64)
TULLOW OIL PLC ADR CMN (899415202)	12/14/2012	11/04/2013	71 00	534 40	0 00	690 52	(156 12)
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	01/24/2013	11/05/2013	8 00	116 12	0 00	119 78	(3 66)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
TULLOW OIL PLC ADR CMN (899415202)	05/21/2013	11/05/2013	79 00	593 10	0 00	640 60	(47 50)
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	03/07/2013	11/06/2013	23 00	332 72	0 00	336 36	(3 64)
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	01/29/2013	11/06/2013	32 00	462 91	0 00	486 39	(23 48)
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	01/29/2013	11/06/2013	37 00	535 24	0 00	562 37	(27 13)
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	01/30/2013	11/06/2013	80 00	1,157 27	0 00	1,216 10	(58 83)
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	01/24/2013	11/06/2013	104 00	1,504 46	0 00	1,557 08	(52 62)
TULLOW OIL PLC ADR CMN (899415202)	05/21/2013	11/06/2013	56 00	419 89	0.00	454 10	(34 21)
ELEKTA AB UNSPONSORED ADR CMN (28617Y101)	03/07/2013	11/07/2013	62 00	903 07	0 00	906 71	(3 64)
TULLOW OIL PLC ADR CMN (899415202)	05/21/2013	11/07/2013	18 00	134 16	0 00	145 96	(11 80)
TULLOW OIL PLC ADR CMN (899415202)	05/21/2013	11/08/2013	41 00	294 51	0 00	332 47	(37 96)
TULLOW OIL PLC ADR CMN (899415202)	05/21/2013	11/12/2013	80 00	565 33	0 00	648 71	(83.38)
TATA MOTORS LIMITED SPONSORED ADR CMN (876568502)	04/03/2013	12/13/2013	12 00	364 58	0 00	284 86	79 72
TATA MOTORS LIMITED SPONSORED ADR CMN (876568502)	04/02/2013	12/13/2013	19 00	577 25	0 00	467 57	109 68
TATA MOTORS LIMITED SPONSORED ADR CMN (876568502)	04/02/2013	12/13/2013	20 00	607 63	0 00	489 31	118 32
Net Covered Short-Term Gains (Losses)				**61,658.76**	**0.00**	**60,388.50**	**1,270.26**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
LI & FUNG LIMITED UNSPONSORED ADR CMN (501897102)	09/01/2011	01/03/2013	185 00	680 03	0 00	693 29	(13 26)
LI & FUNG LIMITED UNSPONSORED ADR CMN (501897102)	09/08/2011	01/03/2013	536 00	1,970 24	0 00	2,000 14	(29 90)
FLSMIDTH & CO A/S SPONSORED ADR CMN (343793105)	05/10/2011	01/07/2013	95 00	553 06	0 00	820 26	(267 20)
FLSMIDTH & CO A/S SPONSORED ADR CMN (343793105)	05/10/2011	01/08/2013	129 00	754 95	0 00	1,113 82	(358 87)
LI & FUNG LIMITED UNSPONSORED ADR CMN (501897102)	09/08/2011	01/15/2013	323 00	931.00	0 00	1,205 31	(274 31)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
LI & FUNG LIMITED UNSPONSORED ADR CMN (501897102)	09/19/2011	01/15/2013	347 00	1,000 17	0 00	1,188 61	(188 44)
LI & FUNG LIMITED UNSPONSORED ADR CMN (501897102)	09/16/2011	01/15/2013	364 00	1,049 17	0 00	1,317 50	(268 33)
LI & FUNG LIMITED UNSPONSORED ADR CMN (501897102)	09/09/2011	01/15/2013	675 00	1,945 57	0 00	2,540 16	(594 59)
KDDI CORP UNSPONSORED ADR CMN (48667L106)	05/10/2011	01/23/2013	551 00	9,481 66	0 00	9,442 45	39 21
SWATCH GROUP SA (THE) ADR CMN (870123106)	10/11/2011	01/29/2013	85 00	2,299 78	0 00	1,607 33	692 45
LAS VEGAS SANDS CORP CMN (517834107)	12/20/2011	01/31/2013	46 00	2,523 20	0 00	1,936 37	586 83
VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)	01/25/2012	02/01/2013	133 00	3,631 59	0 00	3,654 04	(22 45)
VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)	11/23/2011	02/01/2013	152 00	4,150 38	0 00	3,975 12	175 26
SWATCH GROUP SA (THE) ADR CMN (870123106)	10/18/2011	03/07/2013	38 00	1,072 52	0 00	730 15	342 37
SWATCH GROUP SA (THE) ADR CMN (870123106)	10/11/2011	03/07/2013	41 00	1,157 19	0 00	775 30	381 89
SBERBANK SPONSORED ADR CMN TRADEABLE ON LNSE & NASDAQ UK LINE SBNCYQ L-US LINE SBRCY (80585Y308)	09/12/2011	03/27/2013	696 00	8,631 59	0 00	7,397 09	1,234 50
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES (M22465104)	11/10/2011	04/25/2013	1 00	45 14	0 00	57 47	(12 33)
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES (M22465104)	11/09/2011	04/25/2013	47 00	2,121 24	0 00	2,690 76	(569 52)
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES (M22465104)	12/21/2011	04/26/2013	13 00	586 37	0 00	667 81	(81 44)
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES (M22465104)	11/10/2011	04/26/2013	24.00	1,082 52	0 00	1,379 17	(296 65)
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES (M22465104)	11/17/2011	04/26/2013	38 00	1,713 98	0 00	2,066 53	(352 55)
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES (M22465104)	12/21/2011	04/29/2013	15 00	682 70	0 00	770 55	(87 85)
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES (M22465104)	04/24/2012	04/29/2013	36 00	1,638 47	0 00	2,143 21	(504 74)
MERCADOLIBRE INC CMN (58733R102)	09/15/2011	05/01/2013	2 00	196 63	0 00	137 17	59.46
MERCADOLIBRE INC CMN (58733R102)	09/16/2011	05/01/2013	11 00	1,081 48	0 00	749 99	331 49
MERCADOLIBRE INC CMN (58733R102)	09/16/2011	05/08/2013	4 00	471 50	0 00	272 72	198 78
MERCADOLIBRE INC CMN (58733R102)	09/16/2011	05/09/2013	10 00	1,207 90	0 00	681 81	526 09
MERCADOLIBRE INC CMN (58733R102)	09/16/2011	05/10/2013	2 00	240 21	0 00	136 36	103 85
MERCADOLIBRE INC CMN (58733R102)	09/20/2011	05/10/2013	9 00	1,080 96	0 00	627 77	453 19

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)	02/03/2011	05/21/2013	0.40	5 54	0 00	0 00	5 54
ACCENTURE PLC CMN (G1151C101)	06/01/2012	06/18/2013	20 00	1,661.98	0 00	1,106 59	555 39
ADIDAS AG ADR CMN (00687A107)	05/03/2011	06/18/2013	33 00	1,835 42	0 00	1,231 46	603 96
HSBC HOLDINGS PLC SPONSORED ADR CMN (404280406)	02/29/2012	06/18/2013	35 00	1,906 06	0 00	1,561 83	344 23
LAS VEGAS SANDS CORP CMN (517834107)	12/20/2011	06/18/2013	32 00	1,829 09	0 00	1,336 53	492 56
MICHELIN COMPAGNIE GENERALE DE UNSPONSORED ADR CMN (59410T106)	03/12/2012	06/18/2013	90 00	1,682 07	0 00	1,256 08	425 99
YANDEX N V CMN (N97284108)	05/27/2011	06/18/2013	60 00	1,652 37	0 00	2,066.13	(413 76)
YUM BRANDS, INC CMN (988498101)	03/07/2012	06/18/2013	26 00	1,878 46	0 00	1,723 05	155 41
SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN (826197501)	03/10/2011	06/24/2013	1 00	100 04	0 00	127 57	(27 53)
SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN (826197501)	01/11/2011	06/24/2013	2 00	200 09	0 00	236 70	(36 61)
SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN (826197501)	02/03/2011	06/24/2013	20 00	2,000 98	0 00	2,550 45	(549 47)
SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN (826197501)	05/09/2011	06/25/2013	2 00	200 08	0.00	274 83	(74 75)
SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN (826197501)	03/10/2011	06/25/2013	16 00	1,600 64	0 00	2,041 18	(440 54)
ACCENTURE PLC CMN (G1151C101)	06/01/2012	06/28/2013	20 00	1,435 66	0 00	1,106 59	329 07
SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN (826197501)	08/30/2011	07/05/2013	2 00	200 35	0 00	205.18	(4 83)
SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN (826197501)	05/09/2011	07/05/2013	20 00	2,003 49	0.00	2,748 28	(744 79)
ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)	02/03/2011	07/08/2013	64 00	762.33	0 00	1,247.56	(485 23)
SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN (826197501)	09/15/2011	07/08/2013	8 00	828 20	0 00	776 51	51 69
SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN (826197501)	08/30/2011	07/08/2013	26 00	2,691 63	0 00	2,667.33	24 30
SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN (826197501)	09/15/2011	07/09/2013	12 00	1,247 57	0 00	1,164 76	82 81
YANDEX N V CMN (N97284108)	05/27/2011	07/24/2013	16 00	487 80	0 00	550 97	(63 17)
YANDEX N V CMN (N97284108)	06/02/2011	07/24/2013	21 00	640 24	0 00	692 88	(52 64)
POTASH CORP OF SASKATCHEWAN INC (73755L107)	11/28/2011	07/31/2013	48 00	1,435 94	0 00	2,035 29	(599 35)
POTASH CORP OF SASKATCHEWAN INC (73755L107)	04/19/2011	07/31/2013	93 00	2,782 13	0 00	5,314 26	(2,532.13)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
MERCADOLIBRE INC CMN (58733R102)	09/22/2011	08/02/2013	2 00	257 36	0 00	120 04	137 32
MERCADOLIBRE INC CMN (58733R102)	09/20/2011	08/02/2013	10 00	1,286 82	0 00	697 53	589 29
CNOOC LIMITED SPONSORED ADR CMN (126132109)	08/09/2011	08/23/2013	6 00	1,208 09	0 00	1,109 81	98 28
CNOOC LIMITED SPONSORED ADR CMN (126132109)	08/09/2011	08/26/2013	4 00	803 68	0 00	739 88	63 80
CNOOC LIMITED SPONSORED ADR CMN (126132109)	08/09/2011	08/27/2013	7 00	1,372 82	0 00	1,294 78	78 04
ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)	02/03/2011	09/17/2013	65 00	901 56	0 00	1,267 05	(365 49)
ACCENTURE PLC CMN (G1151C101)	07/12/2012	09/24/2013	4 00	300 70	0 00	228 62	72 08
ACCENTURE PLC CMN (G1151C101)	06/01/2012	09/24/2013	26 00	1,954 59	0 00	1,438 56	516 03
MERCADOLIBRE INC CMN (58733R102)	09/22/2011	09/25/2013	13 00	1,685 12	0 00	780 27	904 85
ASSA ABLOY AB UNSPONSORED ADR (SWEDEN) (045387107)	01/07/2011	09/27/2013	9 00	205 84	0 00	126 32	79 52
ASML HOLDING N.V. ADR CMN (N07059210)	10/12/2012	10/15/2013	9 00	876 50	0 00	629 99	246 51
ASML HOLDING N.V ADR CMN (N07059210)	10/12/2012	10/15/2013	27 00	2,611 64	0 00	1,889 98	721 66
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	03/16/2011	10/15/2013	30 00	1,193 00	0 00	1,426 40	(233 40)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	03/16/2011	10/16/2013	54 00	2,148 64	0 00	2,567 53	(418 89)
HANG LUNG PPTYS LTD SPONSORED ADR CMN (41043M104)	10/05/2012	10/17/2013	93 00	1,523 58	0 00	1,613 10	(89 52)
ASML HOLDING N V ADR CMN (N07059210)	10/19/2012	10/22/2013	21 00	1,977 85	0 00	1,410 60	567 25
BAIDU, INC SPONSORED ADR CMN (056752108)	04/26/2012	10/30/2013	2 00	331 01	0 00	268 16	62 85
BAIDU, INC SPONSORED ADR CMN (056752108)	04/25/2012	10/30/2013	17 00	2,813 55	0 00	2,267 18	546 37
SYNGENTA AG SPONSORED ADR CMN (87160A100)	03/23/2012	11/15/2013	23 00	1,820 08	0 00	1,545 62	274 46
SYNGENTA AG SPONSORED ADR CMN (87160A100)	03/23/2012	11/18/2013	22 00	1,734 38	0 00	1,478 42	255 96
YUM BRANDS, INC CMN (988498101)	03/07/2012	11/22/2013	29 00	2,275 01	0 00	1,921 87	353 14
HSBC HOLDINGS PLC SPONSORED ADR CMN (404280406)	02/29/2012	12/04/2013	35 00	1,896 97	0 00	1,561 83	335 14
TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN (88032Q109)	01/10/2011	12/12/2013	4 00	240 94	0 00	92 01	148 93
Net Covered Long-Term Gains (Losses)				**116,469.09**	**0.00**	**113,275.82**	**3,193.27**

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Goldman Sachs

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN (042068106)	08/21/2008	01/02/2013	20 00	776 27	0 00	120 77	655 50
BG GROUP PLC SPON ADR ADR CMN (055434203)	07/13/2009	01/02/2013	187 00	3,107 18	0 00	3,009 13	98 05
BG GROUP PLC SPON ADR ADR CMN (055434203)	07/13/2009	01/03/2013	29 00	477 21	0 00	466 66	10 55
BG GROUP PLC SPON ADR ADR CMN (055434203)	04/30/2010	01/03/2013	150 00	2,468 31	0 00	2,574 21	(105 90)
BG GROUP PLC SPON ADR ADR CMN (055434203)	08/04/2009	01/03/2013	245 00	4,031 58	0 00	4,271 23	(239 65)
FLSMIDTH & CO A/S SPONSORED ADR CMN (343793105)	08/26/2009	01/03/2013	317 00	1,839 85	0 00	1,721 88	117 97
ASML HOLDING N V ADR CMN (N07059210)		01/04/2013	0 00	20 32	0 00	No Cost	20 32 [8]
FLSMIDTH & CO A/S SPONSORED ADR CMN (343793105)	09/10/2009	01/04/2013	207 00	1,196 18	0 00	1,199 38	(3 20)
FLSMIDTH & CO A/S SPONSORED ADR CMN (343793105)	08/26/2009	01/04/2013	212 00	1,225 08	0 00	1,151 54	73 54
FLSMIDTH & CO A/S SPONSORED ADR CMN (343793105)	09/10/2009	01/07/2013	370 00	2,154 01	0 00	2,143 82	10 19
EMBRAER SA ADR CMN (29082A107)	08/21/2008	01/24/2013	41 00	1,279 53	0 00	1,334 05	(54 52)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	08/21/2008	02/06/2013	60 00	2,280 74	0 00	2,804 40	(523 66)
CARNIVAL CORPORATION CMN (143658300)	08/21/2008	02/13/2013	56 00	2,094 09	0 00	2,016 56	77 53
EMBRAER SA ADR CMN (29082A107)	08/21/2008	02/26/2013	15 00	491 38	0 00	488 07	3 31
EMBRAER SA ADR CMN (29082A107)	08/21/2008	02/27/2013	34 00	1,147 23	0 00	1,106 29	40 94
TOYOTA MOTOR CORPORATION SPON ADR (892331307)	08/21/2008	03/27/2013	9 00	924 54	0 00	795 87	128 67
TOYOTA MOTOR CORPORATION SPON ADR (892331307)	08/26/2008	03/27/2013	15 00	1,540 91	0 00	1,343 40	197 51
TURKIYE GARANTI BANKASI AS GDS CMN (900148701)	06/30/2010	04/02/2013	253 00	1,317 67	0 00	1,088 56	229 11
TURKIYE GARANTI BANKASI AS GDS CMN (900148701)	03/16/2010	04/02/2013	677 00	3,525 95	0 00	2,816 87	709 08
TURKIYE GARANTI BANKASI AS GDS CMN (900148701)	06/30/2010	04/03/2013	341 00	1,765 28	0 00	1,467 18	298 10
TURKIYE GARANTI BANKASI AS GDS CMN (900148701)	12/01/2010	04/03/2013	463 00	2,396 85	0 00	2,658 41	(261 56)
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	10/21/2008	04/04/2013	196 00	4,229 20	0 00	2,207 35	2,021 85
EMBRAER SA ADR CMN (29082A107)	08/21/2008	04/12/2013	28 00	996 17	0.00	911 06	85 11
EMBRAER SA ADR CMN (29082A107)	08/21/2008	04/15/2013	17 00	576 72	0 00	553 14	23 58

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[8] Due to incomplete cost basis, gains may be incorrectly stated and should not be used for tax reporting purposes Please consult with your tax advisor

Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
EMBRAER SA ADR CMN (29082A107)	08/21/2008	04/16/2013	16 00	540 74	0 00	520 61	20 13
TOYOTA MOTOR CORPORATION SPON ADR (892331307)	11/19/2009	04/17/2013	6 00	670 05	0 00	468 26	201 79
TOYOTA MOTOR CORPORATION SPON ADR (892331307)	08/26/2008	04/17/2013	12 00	1,340 09	0 00	1,074 72	265 37
ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN (042068106)	08/26/2008	05/01/2013	3 00	142 80	0 00	18 63	124 17
ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN (042068106)	08/21/2008	05/01/2013	29 00	1,380 40	0 00	175 12	1,205 28
SCHLUMBERGER LTD CMN (806857108)	12/15/2008	05/20/2013	13 00	1,003 29	0 00	549 00	454 29
SCHLUMBERGER LTD CMN (806857108)	01/28/2009	05/20/2013	28 00	2,160 92	0 00	1,232 32	928 60
CNOOC LIMITED SPONSORED ADR CMN (126132109)	11/12/2009	05/21/2013	9 00	1,669 48	0 00	1,450 78	218 70
CNOOC LIMITED SPONSORED ADR CMN (126132109)	10/05/2009	05/21/2013	10 00	1,854 97	0 00	1,347 02	507 95
POTASH CORP OF SASKATCHEWAN INC (73755L107)	10/07/2009	06/12/2013	30 00	1,209 67	0 00	895 04	314 63
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	08/21/2008	06/12/2013	103 00	4,024 68	0 00	4,814 22	(789 54)
POTASH CORP OF SASKATCHEWAN INC (73755L107)	10/07/2009	06/13/2013	32 00	1,288 51	0 00	954 71	333 80
ASSA ABLOY AB UNSPONSORED ADR (SWEDEN) (045387107)	12/21/2010	06/18/2013	45 00	925 63	0 00	648 34	277 29
ASSA ABLOY AB UNSPONSORED ADR (SWEDEN) (045387107)	11/23/2010	06/18/2013	55 00	1,131 33	0 00	729 13	402 20
CANADIAN NATIONAL RAILWAY CO CMN (136375102)	08/21/2008	06/18/2013	20 00	1,972 36	0 00	1,000 00	972 36
CARNIVAL CORPORATION CMN (143658300)	08/21/2008	06/18/2013	55 00	1,879 31	0 00	1,980 55	(101 24)
EMBRAER SA ADR CMN (29082A107)	08/26/2008	06/18/2013	18 00	684 89	0 00	596 70	88 19
EMBRAER SA ADR CMN (29082A107)	08/21/2008	06/18/2013	32 00	1,217 58	0 00	1,041 21	176 37
FANUC CORP UNSPONSORED ADR CMN (307305102)	12/17/2008	06/18/2013	75 00	1,943 21	0 00	854 25	1,088 96
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106)	05/08/2009	06/18/2013	55 00	1,951 92	0 00	1,077 89	874 03
HENNES & MAURITZ AB ADR CMN (425883105)	08/21/2008	06/18/2013	250.00	1,724 96	0 00	1,243 75	481 21
KINGFISHER PLC SPONSORED ADR CMN (495724403)	08/21/2008	06/18/2013	184 00	2,036 84	0 00	857 44	1,179 40
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	11/20/2008	06/18/2013	34 00	858 48	0 00	335 75	522 73
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	10/21/2008	06/18/2013	41 00	1,035 23	0 00	461 74	573 49

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
LVMH MOET HENNESSY LOUIS VUITTON S A ADR CMN (502441306)	01/16/2009	06/18/2013	55 00	1,861 72	0 00	607 37	1,254 35
MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN (606822104)	06/12/2009	06/18/2013	250 00	1,534 97	0 00	1,678 43	(143 46)
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	08/21/2008	06/18/2013	27 00	1,824 89	0 00	1,196 10	628 79
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	08/26/2008	06/18/2013	23 00	1,684 03	0 00	1,263 39	420 64
NOVO-NORDISK A/S ADR ADR CMN (670100205)	08/21/2008	06/18/2013	10 00	1,618 77	0 00	580 78	1,037 99
PUBLICIS GROUPE S A SPONSORED ADR CMN (74463M106)	05/25/2010	06/18/2013	100 00	1,862 97	0 00	970 45	892 52
RECKITT BENCKISER GROUP PLC SPONSORED ADR CMN (756255204)	09/10/2009	06/18/2013	120 00	1,775 96	0 00	1,171 25	604 71
SABMILLER PLC SPONSORED ADR (78572M105)	08/21/2008	06/18/2013	34 00	1,725 12	0 00	707 20	1,017 92
SAP AG (SPON ADR) (803054204)	10/29/2009	06/18/2013	22 00	1,698 15	0 00	1,028 87	669 28
SCHLUMBERGER LTD CMN (806857108)	01/28/2009	06/18/2013	26 00	1,929 16	0 00	1,144 30	784 86
TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN (88032Q109)	04/23/2010	06/18/2013	40 00	1,550 77	0 00	829 70	721 07
TOYOTA MOTOR CORPORATION SPON ADR (892331307)	11/19/2009	06/18/2013	13 00	1,592.08	0 00	1,014 56	577 52
POTASH CORP OF SASKATCHEWAN INC (73755L107)	10/07/2009	06/24/2013	66 00	2,526 22	0 00	1,969 09	557 13
SAP AG (SPON ADR) (803054204)	10/29/2009	07/03/2013	7 00	497 00	0 00	327 37	169 63
SAP AG (SPON ADR) (803054204)	11/18/2009	07/03/2013	14 00	994 01	0 00	685 24	308 77
ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)	06/24/2009	07/05/2013	42 00	483 40	0 00	524 75	(41 35)
ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)	07/30/2009	07/05/2013	189 00	2,175 31	0 00	2,830 69	(655 38)
ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)	07/30/2009	07/08/2013	13 00	154 84	0 00	194 70	(39 86)
ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)	05/20/2010	07/08/2013	154 00	1,834 34	0 00	2,463 47	(629 13)
SAP AG (SPON ADR) (803054204)	01/25/2010	07/09/2013	1 00	71 96	0 00	46 41	25 55
SAP AG (SPON ADR) (803054204)	11/18/2009	07/09/2013	28 00	2,014 82	0 00	1,370 48	644 34
CNOOC LIMITED SPONSORED ADR CMN (126132109)	11/12/2009	07/15/2013	14 00	2,453 58	0 00	2,256 76	196 82
RECKITT BENCKISER GROUP PLC SPONSORED ADR CMN (756255204)	09/10/2009	07/17/2013	144 00	2,034 58	0 00	1,405 50	629 08
ASSA ABLOY AB UNSPONSORED ADR (SWEDEN) (045387107)	12/21/2010	07/19/2013	29 00	645.41	0 00	417 82	227 59
TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN (88032Q109)	04/23/2010	07/19/2013	44 00	1,872 89	0 00	912 67	960 22

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
ASSA ABLOY AB UNSPONSORED ADR (SWEDEN) (045387107)	12/21/2010	07/22/2013	24 00	534 24	0 00	345 78	188 46
MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN (606822104)	06/12/2009	07/29/2013	29 00	178 13	0 00	194 70	(16 57)
MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN (606822104)	07/02/2009	07/29/2013	269 00	1,652 28	0 00	1,676 68	(24 40)
POTASH CORP OF SASKATCHEWAN INC (73755L107)	10/07/2009	07/29/2013	38 00	1,419 66	0 00	1,133 72	285 94
TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN (88032Q109)	04/23/2010	07/29/2013	1 00	45 15	0 00	20 74	24 41
TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN (88032Q109)	04/26/2010	07/29/2013	91 00	4,108 82	0 00	1,929 98	2,178 84
CNOOC LIMITED SPONSORED ADR CMN (126132109)	11/12/2009	07/30/2013	4 00	721 84	0 00	644 79	77 05
CNOOC LIMITED SPONSORED ADR CMN (126132109)	05/20/2010	07/30/2013	7 00	1,263 22	0 00	1,071 62	191 60
POTASH CORP OF SASKATCHEWAN INC (73755L107)	10/07/2009	07/31/2013	33 00	987 20	0 00	984 54	2 66
TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN (88032Q109)	04/26/2010	08/01/2013	53 00	2,441 15	0 00	1,124 05	1,317 10
CNOOC LIMITED SPONSORED ADR CMN (126132109)	05/20/2010	08/22/2013	6 00	1,200 76	0 00	918 53	282 23
CNOOC LIMITED SPONSORED ADR CMN (126132109)	05/20/2010	08/23/2013	1 00	201 35	0 00	153 09	48 26
INDUSTRIAL & COMMERCIAL BANK O ADR CMN (455807107)	09/23/2009	09/06/2013	147 00	1,993 68	0 00	2,304 62	(310 94)
INDUSTRIAL & COMMERCIAL BANK O ADR CMN (455807107)	05/20/2009	09/06/2013	223 00	3,024 43	0 00	2,790 72	233 71
HANG LUNG PPTYS LTD SPONSORED ADR CMN (41043M104)	08/21/2008	09/09/2013	17 00	284 62	0 00	248 20	36 42
HANG LUNG PPTYS LTD SPONSORED ADR CMN (41043M104)	08/21/2008	09/10/2013	30 00	503 77	0 00	438 00	65.77
KINGFISHER PLC SPONSORED ADR CMN (495724403)	08/21/2008	09/13/2013	110 00	1,400 67	0 00	512 60	888 07
KINGFISHER PLC SPONSORED ADR CMN (495724403)	08/21/2008	09/16/2013	49 00	628 34	0 00	228 34	400 00
HANG LUNG PPTYS LTD SPONSORED ADR CMN (41043M104)	08/21/2008	09/17/2013	49 00	820 58	0 00	715 40	105 18
HANG LUNG PPTYS LTD SPONSORED ADR CMN (41043M104)	08/21/2008	09/18/2013	15 00	250 97	0 00	219 00	31 97
HANG LUNG PPTYS LTD SPONSORED ADR CMN (41043M104)	08/21/2008	09/19/2013	49 00	831 75	0 00	715 40	116.35
HANG LUNG PPTYS LTD SPONSORED ADR CMN (41043M104)	08/26/2008	09/23/2013	5 00	86 41	0 00	74 75	11 66
HANG LUNG PPTYS LTD SPONSORED ADR CMN (41043M104)	08/21/2008	09/23/2013	27 00	466 61	0 00	394 20	72 41
HANG LUNG PPTYS LTD SPONSORED ADR CMN (41043M104)	08/26/2008	09/24/2013	17 00	288 38	0 00	254 15	34 23
ASSA ABLOY AB UNSPONSORED ADR (SWEDEN) (045387107)	12/21/2010	09/25/2013	39 00	908 45	0 00	561 90	346 55

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
CARNIVAL CORPORATION CMN (143658300)	08/21/2008	09/25/2013	44 00	1,440 03	0 00	1,584 44	(144 41)
ASSA ABLOY AB UNSPONSORED ADR (SWEDEN) (045387107)	12/22/2010	09/26/2013	41 00	946 91	0 00	587.38	359 53
ASSA ABLOY AB UNSPONSORED ADR (SWEDEN) (045387107)	12/21/2010	09/26/2013	42 00	970 00	0 00	605 12	364 88
HANG LUNG PPTYS LTD SPONSORED ADR CMN (41043M104)	08/26/2008	09/26/2013	7 00	118 24	0 00	104 65	13 59
ASSA ABLOY AB UNSPONSORED ADR (SWEDEN) (045387107)	12/22/2010	09/27/2013	16 00	365 57	0 00	229 22	136 35
ASSA ABLOY AB UNSPONSORED ADR (SWEDEN) (045387107)	12/22/2010	09/27/2013	46 00	1,052 05	0 00	659 01	393 04
HANG LUNG PPTYS LTD SPONSORED ADR CMN (41043M104)	08/26/2008	09/27/2013	29 00	491 58	0 00	433 55	58 03
HANG LUNG PPTYS LTD SPONSORED ADR CMN (41043M104)	08/26/2008	09/30/2013	7 00	118 86	0 00	104 65	14 21
TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN (88032Q109)	06/17/2010	10/10/2013	17 00	896 87	0 00	272 25	624 62
TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN (88032Q109)	04/26/2010	10/10/2013	24 00	1,266 17	0 00	509 01	757 16
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	08/26/2008	10/10/2013	32 00	1,286 03	0 00	1,507 20	(221 17)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	08/21/2008	10/10/2013	85 00	3,416 03	0 00	3,972 90	(556 87)
HANG LUNG PPTYS LTD SPONSORED ADR CMN (41043M104)	08/26/2008	10/11/2013	84 00	1,406 37	0 00	1,255 80	150 57
HENNES & MAURITZ AB ADR CMN (425883105)	08/21/2008	10/14/2013	169 00	1,406 87	0 00	840 78	566 09
DASSAULT SYSTEMES SPONSORED ADR CMN (237545108)	08/21/2008	10/15/2013	9 00	1,009 99	0 00	545 40	464 59
HENNES & MAURITZ AB ADR CMN (425883105)	08/21/2008	10/15/2013	362 00	3,027 78	0 00	1,800 95	1,226 83
SAP AG (SPON ADR) (803054204)	01/25/2010	10/15/2013	31 00	2,250 19	0 00	1,438 72	811 47
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	08/26/2008	10/15/2013	10 00	397 67	0 00	471 00	(73 33)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	12/23/2010	10/15/2013	16 00	636 27	0 00	807 20	(170 93)
DASSAULT SYSTEMES SPONSORED ADR CMN (237545108)	08/26/2008	10/16/2013	3 00	334 97	0 00	180 09	154 88
DASSAULT SYSTEMES SPONSORED ADR CMN (237545108)	08/21/2008	10/16/2013	20.00	2,233 10	0 00	1,212 00	1,021 10
HANG LUNG PPTYS LTD SPONSORED ADR CMN (41043M104)	11/20/2008	10/16/2013	81 00	1,319 29	0 00	667 44	651 85
SAP AG (SPON ADR) (803054204)	01/25/2010	10/16/2013	16 00	1,161 47	0 00	742 56	418 91
HANG LUNG PPTYS LTD SPONSORED ADR CMN (41043M104)	11/20/2008	10/17/2013	74 00	1,212 31	0 00	609 76	602 55
SAP AG (SPON ADR) (803054204)	01/25/2010	10/17/2013	20 00	1,453 71	0 00	928 21	525 50

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
EMBRAER SA ADR CMN (29082A107)	08/26/2008	10/30/2013	5 00	152 66	0 00	165 75	(13 09)
EMBRAER SA ADR CMN (29082A107)	03/04/2009	10/30/2013	41 00	1,251 85	0 00	425 37	826 48
TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN (88032Q109)	06/17/2010	10/30/2013	43 00	2,354 16	0 00	688 63	1,665 53
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	06/12/2009	10/31/2013	15 00	1,165 63	0 00	626 69	538 94
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	08/26/2008	10/31/2013	17 00	1,321.05	0 00	933 81	387 24
WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS (93114W107)	01/28/2009	11/06/2013	98 00	2,405 41	0 00	1,103 65	1,301 76
WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS (93114W107)	02/20/2009	11/06/2013	110 00	2,699 96	0 00	1,094 64	1,605 32
WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS (93114W107)	02/20/2009	11/07/2013	116 00	2,785 67	0 00	1,154 34	1,631.33
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	05/11/2009	12/05/2013	60 00	1,189 72	0 00	847 86	341.86
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	11/20/2008	12/05/2013	82 00	1,625 95	0 00	809 75	816 20
TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN (88032Q109)	06/17/2010	12/12/2013	19 00	1,144 50	0 00	304 28	840 22
HENNES & MAURITZ AB ADR CMN (425883105)	08/26/2008	12/16/2013	26 00	223 72	0 00	131 30	92 42
HENNES & MAURITZ AB ADR CMN (425883105)	08/21/2008	12/16/2013	158 00	1,359 53	0 00	786 05	573 48
CARNIVAL CORPORATION CMN (143658300)	08/21/2008	12/19/2013	7 00	265 08	0 00	252 07	13 01
CARNIVAL CORPORATION CMN (143658300)	08/26/2008	12/19/2013	50 00	1,893 41	0 00	1,824 00	69 41
Net NonCovered Long-Term Gains (Losses)				**193,225.63**	**0.00**	**143,047.34**	**50,178.29**

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

2013 Tax Information Statement

Page 7 of 31
Ref: GMS

Payer's Name and Address:
TD BANK, N.A.
C/O FIDUCIARY TAX DEPARTMENT
307 MAIN ST, P.O. BOX 1330
HYANNIS, MA 02601

Account Number: 659784011
Recipient's Tax ID Number: XX-XXX6053
Payer's Federal ID Number: 03-0349319
Payer's State ID Number:
State: NH

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
MCININCH FOUNDATION
ATTN: SHARI RIDLON
555 CANAL STREET
WALL STREET TOWER, SUITE 710
MANCHESTER, NH 03101

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)					
Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Short Term Sales Reported on 1099-B								
G0176J109	ALLEGION PLC		ALLE					
.3330	12/13/2013	11/25/2013	13 67	14 28	0.00	-0 61	0 00	0 00
H8817H100	TRANSOCEAN LTD ZUG NAMEN AKT		RIG					
10.0000	06/19/2013	08/06/2012	494.00	491.57	0.00	2 43	0 00	0 00
922031844	VANGUARD SHORT-TERM FED-ADM #549		VSGDX					
2851.8900	07/29/2013	Various	30,515.22	30,466.93	0.00	48.29	0.00	0.00
Total Short Term Sales Reported on 1099-B			31,022 89	30,972.78	0.00	50.11	0.00	0.00

Report on Form 8949, Part I, with Box A checked

Long Term Sales Reported on 1099-B								
001055102	AFLAC INC		AFL					
20.0000	06/19/2013	02/14/2012	1,153.78	966 52	0.00	187.26	0.00	0 00
001055102	AFLAC INC		AFL					
120.0000	11/25/2013	02/14/2012	7,965.50	5,799.15	0.00	2,166 35	0 00	0.00
037411105	APACHE CORP COM		APA					
10.0000	06/19/2013	05/02/2012	869 98	954 51	0 00	-84.53	0.00	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Payer's Name and Address:
TD BANK, N.A.
C/O FIDUCIARY TAX DEPARTMENT
307 MAIN ST, P.O. BOX 1330
HYANNIS, MA 02601

Account Number: 659784011
Recipient's Tax ID Number: XX-XXX6053
Payer's Federal ID Number: 03-0349319
Payer's State ID Number:
State: NH
☐ **Corrected**
☐ **2nd TIN notice**

Recipient's Name and Address:
MCININCH FOUNDATION
ATTN: SHARI RIDLON
555 CANAL STREET
WALL STREET TOWER, SUITE 710
MANCHESTER, NH 03101

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip Quantity Sold (Box 1e)	Description (Box 8) Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d) Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
037411105	APACHE CORP COM		APA					
10.0000	11/25/2013	05/02/2012	935.82	954 51	0 00	-18.69	0 00	0 00
064058100	BANK OF NEW YORK MELLON CORP COM		BK					
40.0000	06/19/2013	02/11/2011	1,191.98	1,367 87	0.00	-175 89	0.00	0.00
064058100	BANK OF NEW YORK MELLON CORP COM		BK					
320.0000	11/25/2013	Various	10,851.46	10,329.71	0 00	521 75	0.00	0 00
17275R102	CISCO SYSTEMS INC		CSCO					
50.0000	06/19/2013	03/26/2012	1,239 70	1,037.30	0 00	202.40	0 00	0 00
194162103	COLGATE PALMOLIVE		CL					
20.0000	06/19/2013	05/15/2012	1,168.78	1,008 42	0.00	160.36	0.00	0 00
194162103	COLGATE PALMOLIVE		CL					
20 0000	07/01/2013	05/15/2012	1,158 80	1,008 42	0.00	150.38	0 00	0 00
20825C104	CONOCOPHILLIPS COM		COP					
20.0000	06/19/2013	05/02/2012	1,242.79	1,095.23	0 00	147.56	0.00	0 00
316146406	FIDELITY SPARTAN HIGH INCOME		SPHIX					
1118 6620	06/19/2013	Various	10,437.12	10,038.47	0 00	398 65	0.00	0.00
35671D857	FREEPORT-MCMORAN COPPER & GOCL B		FCX					
10.0000	06/19/2013	02/14/2012	294.93	434.67	0 00	-139.74	0 00	0 00

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2013 Tax Information Statement

Payer's Name and Address:
TD BANK, N.A.
C/O FIDUCIARY TAX DEPARTMENT
307 MAIN ST, P.O. BOX 1330
HYANNIS, MA 02601

Account Number: 659784011
Recipient's Tax ID Number: XX-XXX6053
Payer's Federal ID Number: 03-0349319
Payer's State ID Number:
State: NH

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
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ATTN: SHARI RIDLON
555 CANAL STREET
WALL STREET TOWER, SUITE 710
MANCHESTER , NH 03101

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip Quantity Sold (Box 1e)	Description (Box 8) Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d) Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
35671D857	FREEPORT-MCMORAN COPPER & GOCL B		FCX					
150.0000	11/25/2013	02/14/2012	5,376.97	6,520.07	0 00	-1,143.10	0 00	0.00
437076102	HOME DEPOT INC		HD					
20 0000	06/19/2013	05/09/2011	1,525.31	740.69	0 00	784 62	0.00	0 00
458140100	INTEL CORP		INTC					
50.0000	06/19/2013	03/26/2012	1,257.15	1,408.16	0.00	-151.01	0.00	0.00
458140100	INTEL CORP		INTC					
30.0000	11/25/2013	03/26/2012	712.28	844.90	0.00	-132 62	0 00	0.00
580135101	MCDONALDS CORP		MCD					
10.0000	06/19/2013	05/09/2011	989.18	795.87	0 00	193 31	0.00	0 00
594918104	MICROSOFT CORP		MSFT					
40.0000	06/19/2013	03/26/2012	1,391.85	1,298.04	0.00	93.81	0.00	0 00
594918104	MICROSOFT CORP		MSFT					
10.0000	11/25/2013	03/26/2012	377 00	324.51	0 00	52.49	0 00	0 00
68389X105	ORACLE CORP		ORCL					
10.0000	06/19/2013	02/11/2011	343.02	334.45	0.00	8.57	0.00	0.00
713448108	PEPSICO		PEP					
20 0000	06/19/2013	05/15/2012	1,632.44	1,347.35	0.00	285 09	0.00	0.00

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2013 Tax Information Statement

Page 10 of 31
Ref: GMS

Payer's Name and Address:
TD BANK, N.A.
C/O FIDUCIARY TAX DEPARTMENT
307 MAIN ST, P.O. BOX 1330
HYANNIS, MA 02601

Account Number: 659784011
Recipient's Tax ID Number: XX-XXX6053
Payer's Federal ID Number: 03-0349319
Payer's State ID Number:
State: NH

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
MCININCH FOUNDATION
ATTN: SHARI RIDLON
555 CANAL STREET
WALL STREET TOWER, SUITE 710
MANCHESTER, NH 03101

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip Quantity Sold (Box 1e)	Description (Box 8) Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d) Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
H8817H100	TRANSOCEAN LTD ZUG NAMEN AKT		RIG					
140 0000	11/25/2013	08/06/2012	7,159 56	6,881 91	0.00	277.65	0 00	0 00
922031844	VANGUARD SHORT-TERM FED-ADM #549		VSGDX					
170.1960	07/29/2013	Various	1,821 10	1,844.67	0 00	-23 57	0 00	0.00
949746101	WELLS FARGO & COMPANY COM NEW		WFC					
40.0000	06/19/2013	02/14/2012	1,633.94	1,207.12	0.00	426 82	0 00	0.00
949746101	WELLS FARGO & COMPANY COM NEW		WFC					
80 0000	11/25/2013	02/14/2012	3,559.34	2,414.25	0 00	1,145.09	0 00	0.00
988498101	YUM BRANDS INC		YUM					
20.0000	06/19/2013	05/09/2011	1,435 97	1,083.20	0.00	352.77	0 00	0 00
Total Long Term Sales Reported on 1099-B			**67,725.75**	**62,039.97**	**0.00**	**5,685.78**	**0.00**	**0 00**

Report on Form 8949, Part II, with Box D checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is *ultimately responsible for the accuracy of their own tax return.*

2013 Tax Information Statement

Payer's Name and Address:
TD BANK, N.A.
C/O FIDUCIARY TAX DEPARTMENT
307 MAIN ST, P.O. BOX 1330
HYANNIS, MA 02601

Account Number: 659784011
Recipient's Tax ID Number: XX-XXX6053
Payer's Federal ID Number: 03-0349319
Payer's State ID Number:
State: NH

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
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ATTN: SHARI RIDLON
555 CANAL STREET
WALL STREET TOWER, SUITE 710
MANCHESTER, NH 03101

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc , less commissions and option premiums.
For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip Quantity Sold	Description (Box 8) Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d) Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)
Long Term Sales Reported on 1099-B								
88579Y101	3M CO		MMM					
20.0000	06/19/2013	01/12/2005	2,240.36	1,690 00	0 00	550 36	0.00	0.00
002824100	ABBOTT LABORATORIES		ABT					
30.0000	06/19/2013	01/12/2010	1,095.88	797.16	0.00	298.72	0.00	0 00
002824100	ABBOTT LABORATORIES		ABT					
40.0000	11/25/2013	01/12/2010	1,528 37	1,062 88	0.00	465.49	0.00	0 00
00287Y109	ABBVIE INC		ABBV					
310.0000	01/15/2013	Various	10,575.23	8,289 95	0.00	2,285.28	0.00	0 00
001055102	AFLAC INC		AFL					
230.0000	11/25/2013	01/12/2005	15,267.20	9,145.95	0.00	6,121.25	0.00	0 00
025816109	AMERICAN EXPRESS CO		AXP					
20.0000	06/19/2013	01/12/2005	1,492.57	930.97	0.00	561.60	0.00	0.00
025816109	AMERICAN EXPRESS CO		AXP					
10.0000	11/25/2013	01/12/2005	846.08	465 48	0.00	380.60	0 00	0 00

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2013 Tax Information Statement

Account Number: 659784011
Recipient's Tax ID Number: XX-XXX6053
Payer's Federal ID Number: 03-0349319
Payer's State ID Number:
State: NH

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
MCININCH FOUNDATION
ATTN: SHARI RIDLON
555 CANAL STREET
WALL STREET TOWER, SUITE 710
MANCHESTER, NH 03101

Payer's Name and Address:
TD BANK, N.A.
C/O FIDUCIARY TAX DEPARTMENT
307 MAIN ST, P.O. BOX 1330
HYANNIS, MA 02601

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description *(Box 8)*		Stock or Other Symbol *(Box 1d)*					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	*(Box 1a)*		*(Box 2a)*				*(Box 4)*	*(Box 15)*
032654105	ANALOG DEVICES INC		ADI					
30 0000	06/19/2013	02/24/2009	1,386.49	563 07	0.00	823.42	0.00	0 00
032654105	ANALOG DEVICES INC		ADI					
400 0000	11/25/2013	02/24/2009	19,766 94	7,507 60	0 00	12,259.34	0.00	0.00
037411105	APACHE CORP COM		APA					
185 0000	11/25/2013	12/26/2008	17,312.68	13,185.69	0 00	4,126 99	0 00	0 00
052769106	AUTODESK INC		ADSK					
20.0000	06/19/2013	12/26/2008	716 59	379.35	0.00	337 24	0.00	0 00
052769106	AUTODESK INC		ADSK					
370.0000	11/25/2013	Various	16,776 88	5,966 11	0 00	10,810 77	0.00	0 00
053015103	AUTOMATIC DATA PROCESSING INC		ADP					
20.0000	06/19/2013	12/26/2008	1,385 58	765.00	0.00	620 58	0.00	0 00
064058100	BANK OF NEW YORK MELLON CORP COM		BK					
190 0000	11/25/2013	02/14/2011	6,443.05	6,060.54	0.00	382.51	0.00	0.00
14912L3S8	CATERPILLAR FIN SR 4.250% 02/08/2013 DTD 02/08/08		CFSM13					
15000.0000	02/08/2013	01/08/2009	15,000.00	15,198 30	0 00	-198.30	0 00	0.00
166764100	CHEVRONTEXACO CORP		CVX					
10.0000	06/19/2013	01/20/2009	1,211 73	684 38	0.00	527 35	0.00	0 00
194162103	COLGATE PALMOLIVE		CL					
120.0000	07/01/2013	12/26/2008	6,952.78	4,081.57	0.00	2,871 21	0 00	0 00

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C/O FIDUCIARY TAX DEPARTMENT
307 MAIN ST, P.O. BOX 1330
HYANNIS, MA 02601

Account Number: 659784011
Recipient's Tax ID Number: XX-XXX6053
Payer's Federal ID Number: 03-0349319
Payer's State ID Number:
State: NH

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WALL STREET TOWER, SUITE 710
MANCHESTER, NH 03101

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Cusip Quantity Sold	Description (Box 8) Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d) Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)
268648102	E M C CORP MASS		EMC					
40.0000	06/19/2013	02/24/2009	1,000.54	436.40	0.00	564.14	0.00	0.00
291011104	EMERSON ELECTRIC CO		EMR					
10 0000	06/19/2013	01/12/2010	567.49	446.33	0 00	121 16	0.00	0 00
291011104	EMERSON ELECTRIC CO		EMR					
200.0000	11/25/2013	01/12/2010	13,469 91	8,926.64	0 00	4,543.27	0.00	0.00
30231G102	EXXON MOBIL CORP		XOM					
10.0000	06/19/2013	12/16/2009	916 90	695.70	0.00	221.20	0 00	0.00
3128X2TM7	FED HOME LN MTG 5.00% 01/30/2014 DTD 01/30/2004		FHLM14					
10000.0000	02/19/2013	03/04/2009	10,453 40	10,955.00	0 00	-501.60	0 00	0 00
316146406	FIDELITY SPARTAN HIGH INCOME		SPHIX					
5449.3580	06/19/2013	Various	50,842 51	49,637.18	0.00	1,205.33	0.00	0.00
369604103	GENERAL ELECTRIC		GE					
30.0000	06/19/2013	01/12/2005	724.33	1,062.00	0.00	-337.67	0 00	0 00
369604103	GENERAL ELECTRIC		GE					
10.0000	11/25/2013	01/12/2005	269.52	354.00	0.00	-84 48	0.00	0.00
452308109	ILLINOIS TOOL WKS INC		ITW					
20.0000	06/19/2013	12/26/2008	1,416.49	683.10	0 00	733 39	0.00	0 00
452308109	ILLINOIS TOOL WKS INC		ITW					
280.0000	11/25/2013	12/26/2008	22,284.64	9,563.45	0.00	12,721.19	0 00	0 00

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2013 Tax Information Statement

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Payer's Federal ID Number: 03-0349319
Payer's State ID Number:
State: NH

☐ **Corrected** ☐ **2nd TIN notice**

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HYANNIS, MA 02601

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Cusip Quantity Sold (Box 1e)	Description (Box 8) Date of Sale or Exchange (Box 1a)	Date of Acquisition	Stock or Other Symbol (Box 1d) Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
458140100	INTEL CORP		INTC					
700.0000	11/25/2013	12/26/2008	16,619 88	9,974 30	0.00	6,645 58	0.00	0 00
46625H100	J P MORGAN CHASE & CO		JPM					
30 0000	06/19/2013	12/26/2008	1,616 16	890.74	0.00	725 42	0.00	0 00
46625H100	J P MORGAN CHASE & CO		JPM					
385.0000	11/25/2013	12/26/2008	22,354 17	11,431 19	0.00	10,922 98	0.00	0 00
478160104	JOHNSON & JOHNSON		JNJ					
10.0000	06/19/2013	12/26/2008	850 88	586.35	0.00	264 53	0.00	0.00
478160104	JOHNSON & JOHNSON		JNJ					
10.0000	11/25/2013	12/26/2008	959.22	586.35	0.00	372.87	0.00	0.00
585055106	MEDTRONIC INC		MDT					
20 0000	06/19/2013	01/12/2005	1,048.45	1,016 00	0.00	32 45	0.00	0 00
585055106	MEDTRONIC INC		MDT					
315 0000	11/25/2013	01/12/2005	18,136.56	16,002.00	0.00	2,134.56	0 00	0 00
718172109	PHILIP MORRIS INTL INC COM		PM					
20.0000	06/19/2013	12/26/2008	1,822.72	850 00	0.00	972.72	0 00	0 00
718172109	PHILIP MORRIS INTL INC COM		PM					
90.0000	07/01/2013	12/26/2008	7,881.70	3,825 00	0.00	4,056.70	0 00	0 00
718172109	PHILIP MORRIS INTL INC COM		PM					
155 0000	11/25/2013	12/26/2008	13,417 22	6,587.50	0 00	6,829.72	0.00	0 00

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Recipient's Tax ID Number: XX-XXX6053
Payer's Federal ID Number: 03-0349319
Payer's State ID Number:
State: NH

☐ **Corrected** ☐ **2nd TIN notice**

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ATTN: SHARI RIDLON
555 CANAL STREET
WALL STREET TOWER, SUITE 710
MANCHESTER, NH 03101

Payer's Name and Address:
TD BANK, N.A.
C/O FIDUCIARY TAX DEPARTMENT
307 MAIN ST, P O. BOX 1330
HYANNIS, MA 02601

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Cusip Quantity Sold *(Box 1e)*	Description *(Box 8)* Date of Sale or Exchange *(Box 1a)*	Date of Acquisition	Stock or Other Symbol *(Box 1d)* Stocks Bonds, etc. *(Box 2a)*	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
742718109	PROCTER & GAMBLE CO		PG					
10.0000	06/19/2013	01/12/2010	782.19	611.08	0.00	171.11	0.00	0.00
742718109	PROCTER & GAMBLE CO		PG					
200.0000	11/25/2013	01/12/2005	17,077.94	11,236 00	0 00	5,841 94	0 00	0.00
74834L100	QUEST DIAGNOSTICS INC COM		DGX					
10.0000	06/19/2013	12/26/2008	623.19	503 09	0 00	120.10	0.00	0.00
74834L100	QUEST DIAGNOSTICS INC COM		DGX					
210.0000	11/25/2013	12/26/2008	13,082.90	10,564.89	0.00	2,518.01	0.00	0.00
816851109	SEMPRA ENERGY COMMON		SRE					
20.0000	06/19/2013	12/26/2008	1,630.97	803 55	0.00	827.42	0.00	0 00
816851109	SEMPRA ENERGY COMMON		SRE					
40.0000	07/01/2013	12/26/2008	3,218 93	1,607.11	0.00	1,611.82	0.00	0 00
816851109	SEMPRA ENERGY COMMON		SRE					
180 0000	11/25/2013	12/26/2008	16,140.61	7,231.97	0 00	8,908.64	0.00	0 00
826552101	SIGMA ALDRICH CORP		SIAL					
10.0000	06/19/2013	01/20/2009	813.29	367.75	0 00	445.54	0 00	0.00
826552101	SIGMA ALDRICH CORP		SIAL					
160.0000	11/25/2013	01/20/2009	13,983.60	5,883.94	0.00	8,099.66	0.00	0.00
842587107	SOUTHERN CO		SO					
10.0000	06/19/2013	01/20/2009	439.29	350 59	0.00	88.70	0.00	0 00

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HYANNIS, MA 02601

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Cusip Quantity Sold *(Box 1e)*	Description *(Box 8)* Date of Sale or Exchange *(Box 1a)*	Date of Acquisition	Stock or Other Symbol *(Box 1d)* Stocks Bonds, etc. *(Box 2a)*	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
857477103	STATE STREET CORP COM		STT					
20.0000	06/19/2013	12/26/2008	1,343.18	722.22	0 00	620 96	0 00	0 00
857477103	STATE STREET CORP COM		STT					
270.0000	11/25/2013	12/26/2008	19,540.32	9,749 91	0.00	9,790.41	0 00	0 00
911312106	UNITED PARCEL SVC INC CL B		UPS					
10 0000	06/19/2013	11/10/2010	867.58	680 20	0.00	187.38	0.00	0 00
911312106	UNITED PARCEL SVC INC CL B		UPS					
140.0000	11/25/2013	02/24/2009	14,234 63	6,061.77	0.00	8,172.86	0 00	0.00
913017109	UNITED TECHNOLOGIES		UTX					
10 0000	06/19/2013	01/12/2005	946.98	505.67	0 00	441.31	0 00	0.00
91324P102	UNITEDHEALTH GROUP INC COM		UNH					
20.0000	06/19/2013	01/12/2005	1,313 58	880 40	0.00	433.18	0 00	0 00
922031844	VANGUARD SHORT-TERM FED-ADM #549		VSGDX					
932.4150	07/29/2013	Various	9,976.85	10,017 54	0 00	-40 69	0 00	0 00
92343V104	VERIZON COMMUNICATIONS		VZ					
20.0000	06/19/2013	01/20/2009	1,007 04	565.39	0 00	441 65	0 00	0 00
92343V104	VERIZON COMMUNICATIONS		VZ					
280.0000	11/25/2013	01/20/2009	14,018.15	7,915.40	0.00	6,102.75	0 00	0.00
931142103	WALMART STORES, INC		WMT					
10.0000	06/19/2013	12/26/2008	746.99	553 78	0.00	193 21	0 00	0 00

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Cusip	Description *(Box 8)*		Stock or Other Symbol *(Box 1d)*					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	*(Box 1a)*		*(Box 2a)*				*(Box 4)*	*(Box 15)*
254687106	WALT DISNEY CO		DIS					
30.0000	06/19/2013	02/20/2007	1,942 48	1,038 52	0.00	903.96	0 00	0.00
949746101	WELLS FARGO & COMPANY COM NEW		WFC					
490.0000	11/25/2013	05/27/2010	21,800 94	14,303 15	0.00	7,497.79	0 00	0.00
98956P102	ZIMMER HLDGS INC		ZMH					
10.0000	06/19/2013	02/24/2009	783 79	400 72	0 00	383.07	0.00	0.00
98956P102	ZIMMER HLDGS INC		ZMH					
10.0000	11/25/2013	02/24/2009	901.67	400.72	0.00	500 95	0 00	0.00
Total Long Term Sales Reported on 1099-B			**463,868.19**	**304,238.59**	**0.00**	**159,629.60**	**0.00**	**0.00**

Report on Form 8949, Part II, with Box E checked

Long Term 28% Sales Reported on 1099-B

78463V107	SPDR GOLD TRUST		GLD					
340.0000	01/07/2013	Various	17.86	0.00	0.00	17.86	0.00	0 00
78463V107	SPDR GOLD TRUST		GLD					
340.0000	02/21/2013	Various	18.45	0.00	0.00	18 45	0.00	0.00
78463V107	SPDR GOLD TRUST		GLD					
340 0000	03/12/2013	Various	17.93	0.00	0.00	17.93	0 00	0.00
78463V107	SPDR GOLD TRUST		GLD					
340 0000	04/09/2013	Various	18.36	0.00	0.00	18.36	0 00	0 00

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Cusip Quantity Sold *(Box 1e)*	Description *(Box 8)* Date of Sale or Exchange *(Box 1a)*	Date of Acquisition	Stock or Other Symbol *(Box 1d)* Stocks Bonds, etc *(Box 2a)*	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
78463V107	SPDR GOLD TRUST		GLD					
340.0000	05/10/2013	Various	18.95	0.00	0 00	18.95	0.00	0.00
78463V107	SPDR GOLD TRUST		GLD					
340 0000	06/17/2013	Various	16 57	0.00	0.00	16 57	0.00	0.00
78463V107	SPDR GOLD TRUST		GLD					
340 0000	06/19/2013	11/10/2010	44,650 20	46,646 41	0 00	-1,996 21	0.00	0 00
Total Long Term 28% Sales Reported on 1099-B			**44,758.32**	**46,646.41**	**0.00**	**-1,888.09**	**0.00**	**0.00**

Report on Form 8949, Part II, with Box E checked

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THE McININCH FOUNDATION
555 Canal Street – Suite 710
Manchester, New Hampshire 03101-1517

Trustees
Douglas A. McIninch
Nancy M. McIninch

Telephone
603-622-4052
Fax 603-627-2845
E-mail: FDTN555@aol.com

The McIninch Foundation was created in 1961 for general charitable purposes. **The Foundation has focused its grant making on New Hampshire-based nonprofit charitable entities which are 501 (c) (3) organizations formally registered with the NH Director of Charitable Trusts. Rare exceptions are made to this policy.**

A copy of the Grant Application accompanies this letter. In completing the Grant Application, your close attention is directed to the Organization Profile, and those responses relating to the registration and filing requirements of charitable entities. Inquiries about these registration requirements should be directed to the Charitable Trust Division of the Office of Attorney General, 33 Capitol Street, Concord, NH 03301-6397 (603-271-3591) (www.state.nh.us/nhdoj/CHARITABLE/).

In order that your time and the Trustees' time are efficiently used, you should understand that the Trustees often are called upon to review fifty or more applications at a time. Accordingly, brevity as well as clarity of purpose in describing the proposed grant will be highly regarded. A precise description of what will be purchased with the grant dollars is most important in the decision making process. Similarly, the future sustainability of the project funded by the proposed grant is of great significance. Thus, your attention is directed to Sections IV A through IV E of the Grant Application. Please verify that you are using the application form intended for use after December 8, 2009. Out of date forms may be rejected.

Grant Applications must be ***received*** **by *April 15* and *October 15*.** Two complete copies of the Grant Application packages with supporting materials should be provided to the Foundation. You should include a copy of your Tax Exempt Status Letter and ONE copy of IRS Form 990. Please do *not* submit videotapes or CDs, documents or information in binders or notebooks. Delivery of Grant Applications by certified mail is inconvenient and should be avoided, as the office is not open on a full-time basis. All grant applicants will receive written notification of the application results within 90 days of the submission deadline. Successful grant applicants will be asked to complete and return a follow-up report one year after the grant is received

Very truly yours,

Douglas McIninch, Trustee

12/8/09
NOTE: Guidelines and Grant Applications are available by e-mail.

THE MCININCH FOUNDATION - GRANT APPLICATION

(For grants exceeding $1,000)
(Please type your response)

I. APPLICANT ORGANIZATION PROFILE

Name

Address

E-Mail

Phone ( ) Fax ( )

Contact Person:

Organization's Legal Status **(Corp/LLC/NH Voluntary Association):**

Year Founded

501 C (3) IRS Exemption Letter Date

Federal EIN:

NH Dir Of Charitable Trust Registration) #:
(See RSA 7: 19-32-b)

Tax Return Form 990 Filed w/IRS (Attach **ONE** Copy) ☐Y ☐N

Annual Report **(NHCT 2A) or IRS Form 990 filed with NH Director of Charitable Trusts. If yes, attach ONE copy.** ☐Y ☐N

Conflict of Interest Policy Adoption Date

BOARD OF DIRECTORS

President/Chair

VP/Chair

Treasurer

Secretary

MEMBERS

1
2
3
4.
5
6
7
8.
9.

II. SERVICE PROFILE

of registered members.

# of people served in past 12 months.

Area of Service (Geographic)

National Affiliations

1
2
3
4

Description of services provided **(Please be concise)**

Staff (Full time) (Part Time)

Compensation of 5 highest paid employees & position.

Mere Reference to Tax Return Not Acceptable

1)	$
2)	$
3)	$
4)	$
5)	$

GRANT REQUEST $

THE MCININCH FOUNDATION – GRANT APPLICATION

(Continued)

III. APPLICATION GUIDELINES

Please provide a concise but detailed description of the project to be funded by this grant (Section IV A-E should accurately show how the grant will be invested, what goals will be accomplished and how the project will be sustained after the grant funds are expended). Two legible copies must be received **on or before** the deadlines of April 15 or October 15.

IV. GRANT REQUEST

Application for $______________ (Please specify amount)

IV A. Project Description Please describe the specific project for which these funds are requested. Attach a proposed budget for this project showing how the grant will be spent; include a list of the project's expenses and revenues. Copies of third party bids and estimates should also be attached for all capital items. **FAILURE TO PROVIDE A WRITTEN BUDGET WILL RESULT IN INACTION ON YOUR GRANT REQUEST.**

IV B. Project Goals & Objectives: Please list the specific goals and objectives by which the success of this project may be measured after its completion.

GRANT REQUEST $

THE MCININCH FOUNDATION – GRANT APPLICATION

(Continued)

IV B. Project Goals & Objectives – Continued:

IV C. Please provide a written analysis of the financial sustainability of this project once the grant funds have been expended. Has the Board analyzed the project's long-term financial sustainability? ☐Y ☐N

IV D. Project Commencement Date:

Project Completion Date:

Total Project Budget: $

Funds Received to Date: $

Funds Pledged to Date: $

Fundraising Commenced:

% of Board Solicited:

% of Membership Solicited:

Membership Contribution: $

Board Contribution: $

IV E. Outstanding Foundation Requests:

ENTITY	AMOUNT REQUESTED	AMOUNT GRANTED

GRANT REQUEST $

THE MCININCH FOUNDATION – GRANT APPLICATION
(Continued)

V. FUNDING HISTORY

Prior McIninch Requests:

Date	Amount	Project: (Brief Description)	Granted
			Y N
			Y N
			Y N
			Y N
			Y N
			Y N

VI. FINANCIAL SUMMARY OF APPLICANT

Last Fiscal Year (FY) Ended	
Last FY Expenditures	$
Last FY Total Income	$
Operating Fund Balance at End of FY	$
Current FY Operating Budget:	$
Endowment- Today's Value	$
Debt	$
Dues to National.	$
Real Estate Owned	$
Equipment Owned	$

Last FY Sources of Support	Amount	Percent
Government Grants	$	%
Program Fees	$	%
Endowment Income	$	%
Other Earned Income	$	%
Event Fundraising	$	%
Membership	$	%
United Way	$	%
Contributions		
- Business	$	%
- Individuals	$	%
- Foundations	$	%
TOTAL	$	

GRANT REQUEST $

VII. CERTIFICATION

Are copies of audited financial statements routinely provided to your Board? ☐Y ☐N

Does the Board routinely receive photocopies of each endowment's bank and investment statements? ☐Y ☐N

Is your endowment spending in compliance with NH RSA 292-B? ☐Y ☐N

I certify that we have provided precise information concerning how the requested funds will be expended. **This organization is in compliance with the registration and reporting requirements of the NH Director of Charitable Trusts, the IRS and the NH Secretary State**. This grant request along with the application guidelines in Section III has been reviewed. Two copies of this application are being provided to the McIninch Foundation office at 555 Canal Street, Suite 710, Manchester, NH 03101 ***on or before*** April 15 or October 15.

President/Chair (Signature) Print or Type Name Date

Executive Director (Signature) Print or Type Name Date

You will be informed of the Trustees' decisions within 90 days of the submission deadline.

GRANT REQUEST $