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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation BEN & MIRIAM LAU FOUNDATION C/O RICHARD C. WO		A Employer identification number 01-0629526						
Number and street (or P.O. box number if mail is not delivered to street address) 1314 SOUTH KING STREET, SUITE 524		B Telephone number (808) 596-0558						
City or town, state or province, country, and ZIP or foreign postal code HONOLULU, HI 96814		C If exemption application is pending, check here ☐						
G Check all that apply: <table border="0"> <tr> <td>☐ Initial return</td> <td>☐ Initial return of a former public charity</td> </tr> <tr> <td>☐ Final return</td> <td>☐ Amended return</td> </tr> <tr> <td>☐ Address change</td> <td>☐ Name change</td> </tr> </table>		☐ Initial return	☐ Initial return of a former public charity	☐ Final return	☐ Amended return	☐ Address change	☐ Name change	D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
☐ Initial return	☐ Initial return of a former public charity							
☐ Final return	☐ Amended return							
☐ Address change	☐ Name change							
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐						
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 3,861,525.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐						

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	64,409.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	52,846.	38,151.		STATEMENT 1
	4 Dividends and interest from securities	19,665.	18,655.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	215,463.			
	b Gross sales price for all assets on line 6a	2,694,180.			
	7 Capital gain net income (from Part IV, line 2)		215,463.		
	8 Net short-term capital gain				
	9 Income modifications: Gross sales less returns and allowances				
	b Less: Cost of goods sold				
c Gross profit or (loss)					
11 Other income	14,756.	0.		STATEMENT 3	
12 Total. Add lines 1 through 11	367,139.	272,269.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 4	26,542.	0.	0.
	c Other professional fees	STMT 5	3,148.	0.	0.
	17 Interest		1,817.	0.	0.
	18 Taxes	STMT 6	5,085.	0.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	STMT 7	1,153.	0.	0.
	24 Total operating and administrative expenses. Add lines 13 through 23		37,745.	0.	0.
	25 Contributions, gifts, grants paid		25,500.		25,500.
26 Total expenses and disbursements. Add lines 24 and 25		63,245.	0.	25,500.	
27 Subtract line 26 from line 12		303,894.			
a Excess of revenue over expenses and disbursements			272,269.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II, Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		239,165.	2,450,605.	2,450,605.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 8	3,369,657.	1,415,617.	1,410,920.
	c	Investments - corporate bonds				
	Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶				
12		Investments - mortgage loans				
13		Investments - other				
14		Land, buildings, and equipment: basis ▶				
		Less: accumulated depreciation ▶				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		3,608,822.	3,866,222.	3,861,525.
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds		10,714.	3,608,822.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		3,598,108.	257,400.	
	30	Total net assets or fund balances		3,608,822.	3,866,222.	
	31	Total liabilities and net assets/fund balances		3,608,822.	3,866,222.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,608,822.
2	Enter amount from Part I, line 27a	2	303,894.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	3,912,716.
5	Decreases not included in line 2 (itemize) ▶ UNREALIZED GAIN/TAX EXEMPT INTEREST	5	46,494.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,866,222.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Schwab ACCT # 6803 - SEE ATTACHED	D	11/23/12	02/28/13
b Schwab ACCT # 3526 - SEE ATTACHED	D	03/04/13	12/31/13
c Schwab ACCT # 3097 - SEE ATTACHED	D	03/01/13	12/31/13
d CAPITAL GAINS DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,449,370.		2,247,124.	202,246.
b 45,815.		38,096.	7,719.
c 195,775.		193,497.	2,278.
d 3,220.			3,220.
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			202,246.
b			7,719.
c			2,278.
d			3,220.
e			

2 Capital gain net income or (net capital loss)	2	215,463.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	55,000.	1,540,285.	.035708
2011	4,997.	7,312.	.683397
2010	4,997.	8,507.	.587399
2009	347.	7,870.	.044091
2008	0.	7,208.	.000000

2 Total of line 1, column (d)	2	1.350595
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.270119
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	3,786,607.
5 Multiply line 4 by line 3	5	1,022,834.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,723.
7 Add lines 5 and 6	7	1,025,557.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	25,500.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	5,445.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	5,445.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	5,445.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	4,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	4,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	7.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,452.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
	1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b		X
c Did the foundation file Form 1120-POL for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ HI			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► RICHARD C. WO Telephone no. ► (808) 596-0558 Located at ► C/O 1314 S. KING STREET, SUITE 524, HONOLULU, HI ZIP+4 ► 96814			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD C. WO	CHAIRPERSON			
1314 S. KING ST., #524				
HONOLULU, HI 96814	1.00	0.	0.	0.
BENNETT C. WO	VICE CHAIRPERSON			
1314 S. KING ST., #524				
HONOLULU, HI 96814	1.00	0.	0.	0.
ROBERT W. WO	PRESIDENT			
1314 S. KING ST., #524				
HONOLULU, HI 96814	1.00	0.	0.	0.
RONALD C. WO	VICE PRESIDENT, SECRETARY/			
1314 S. KING ST., #524				
HONOLULU, HI 96814	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,393,666.
b	Average of monthly cash balances	1b	2,450,605.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,844,271.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,844,271.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	57,664.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,786,607.
6	Minimum investment return. Enter 5% of line 5	6	189,330.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	189,330.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	5,445.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	5,445.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	183,885.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	183,885.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	183,885.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	25,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	25,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	25,500.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

BEN & MIRIAM LAU FOUNDATION
C/O RICHARD C. WO

Form 990-PF (2013)

01-0629526 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				183,885.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			12,253.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 25,500.				
a Applied to 2012, but not more than line 2a			12,253.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				13,247.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				170,638.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

BEN & MIRIAM LAU FOUNDATION
C/O RICHARD C. WO

Form 990-PF (2013)

01-0629526 Page 10

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

BEN & MIRIAM LAU FOUNDATION
C/O RICHARD C. WO

Form 990-PF (2013)

01-0629526 Page 11

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN RED CROSS, HAWAII STATE CHAPTER 4155 DIAMOND HEAD RD HONOLULU, HI 96816		501(C)(3)	FREE SUMMER SWIM & SUMMER BUDDIES PROGRAM	5,000.
INSTITUTE FOR HUMAN SERVICES 546 KAAHI ST HONOLULU, HI 96817		501(C)(3)	HOMEWARD BOUND FUNDRAISER	500.
KUNIA COUNTRY FARMS EDUCATIONAL OUTREACH 92-1770B KUNIA RD KUNIA, HI 96759		501(C)(3)	ANNUAL CONTRIBUTION	10,000.
PALOLO CHINESE HOME 2459 10TH AVE HONOLULU, HI 96816		501(C)(3)	CAPITAL CAMPAIGN - PROGRESSIVE CARE COMPLEX	5,000.
REHABILITATION HOSPITAL OF THE PACIFIC FOUNDATION 226 N. KUAKINI ST HONOLULU, HI 96817		501(C)(3)	CAPITAL CAMPAIGN - HOSPITAL RENOVATION	5,000.
Total			3a	25,500.
b Approved for future payment				
AMERICAN RED CROSS, HAWAII STATE CHAPTER 4155 DIAMOND HEAD RD HONOLULU, HI 96816		501(C)(3)	FREE SUMMER SWIM & SUMMER BUDDIES PROGRAM	20,000.
KAPIOLANI HEALTH FOUNDATION 55 MERCHANT ST, SUITE 2600 HONOLULU, HI 96813		501(C)(3)	CAMPAIGN FOR HAWAII'S CHILDREN	10,000.
NATURE CONSERVANCY 4245 NORTH FAIRFAX DR, SUITE 100 ARLINGTON, VA 22203		501(C)(3)	KANEOHE BAY, OAHU REEF RESTORATION PROJECT	35,000.
Total			3b	265,000.

SEE CONTINUATION SHEET(S)

323611
10-10-13

Form 990-PF (2013)

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

NOT APPLICABLE

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		

[illegible]

☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature 	Date NOV - 5 2014	Check ☐ if self-employed	PTIN P00089337
	Firm's name ▶ ACCUITY LLP			Firm's EIN ▶ 20-5325889	
	Firm's address ▶ 999 BISHOP STREET, STE. 1900 HONOLULU, HI 96813			Phone no. 808-531-3400	

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

BEN & MIRIAM LAU FOUNDATION
C/O RICHARD C. WO

Employer identification number

01-0629526

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules☐

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II

☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)**

Name of organization BEN & MIRIAM LAU FOUNDATION C/O RICHARD C. WO	Employer identification number 01-0629526
--	---

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BEN LAU AND MIRIAM C LAU TRUST DTD 12/4/01 1314 S KING ST #524 HONOLULU, HI 96814	\$ 64,409.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Employer identification number

01-0629526

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

[illegible]

Name of organization

Employer identification number

BEN & MIRIAM LAU FOUNDATION
C/O RICHARD C. WO

01-0629526

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

charlesSCHWAB

Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
9073-3526

TAX YEAR 2013
FORM 1099 COMPOSITE

Date Prepared: March 21, 2014

Recipient's Name and Address

THE BEN AND MIRIAM LAU FOUNDAT
1314 S KING ST STE 524
HONOLULU HI 96814

Taxpayer ID Number: 01-0629526
Account Number: 9073-3526

Payer's Name and Address

CHARLES SCHWAB & CO, INC
211 MAIN STREET
SAN FRANCISCO, CA 94105

Telephone Number: (800) 435-4000
Federal ID Number: 94-1737782

Proceeds from Broker Transactions — 2013

CORRECTED

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with **Box A** checked)

6b-Basis reported to IRS

SHORT-TERM HOLDING PERIOD
COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FIXED INCOME SHARES SERIES C	01882B205 FXICX	03/04/13 \$ 06/13/13	2,590.55 \$ 197.00	2,673.29 \$	0.00 \$	0.00
Security Subtotal			2,590.55 \$	2,673.29 \$	0.00 \$	0.00
FIXED INCOME SHARES SERIES M	01882B304 FXIMX	03/04/13 \$ 06/13/13	6,906.42 \$ 629.00	7,051.09 \$	0.00 \$	0.00
Security Subtotal			6,906.42 \$	7,051.09 \$	0.00 \$	0.00
Total Short-Term (Cost basis is reported to the IRS)			\$ 9,496.97	\$ 9,724.38		

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

INSTRUCTIONS FOR RECIPIENTS OF FORM 1099

1099-B: Proceeds from Broker Transactions

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 8.

Recipient's identification number.

For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account number.

May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number.

For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number of the item reported.

Box 1a.

Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 9 through 12, no entry will be present.

Box 1b.

This box may be blank if box 6a is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2a.

Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether the sales price or the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS. Report this

amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Box 2b.

If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 2a. Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement.

Box 3.

Shows the cost or other basis of securities sold. If box 6a is checked, box 3 may be blank. See the Form 8949 instructions, Schedule D instructions, or Pub 550 for details.

Box 4.

Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5.

Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub 550.

Box 6a.

If checked, the securities sold were noncovered securities and boxes 1b, 1c, 3, and 5 may be blank. Generally, a noncovered security means a security other than stock, stock purchased before 2011, stock in most mutual funds and other regulated investment companies purchased before 2012, and stock purchased in or transferred to a dividend reinvestment plan before 2012.

Box 6b.

If checked, the basis in box 3 has been reported to the IRS. If box 6b is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D.

Box 8.

Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Boxes 13-15.

Shows state income tax withheld.

charlesSCHWAB

Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
9073-3526

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: March 21, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with **Box B** checked)

6a-Noncovered security

SHORT-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FNMA PL AJ7689 4%41 DUE	3138EORR7	S 03/13/13 \$ 03/19/13	2,128.92 \$ 3,000.00	2,125.80 \$	0.00 \$	0.00
Security Subtotal			2,128.92 \$	2,125.80 \$	0.00 \$	0.00
FNMA PL AK9445 4%42 DUE	3138EETP78	S 03/13/13 \$ 03/19/13	2,484.35 \$ 3,000.00	2,480.71 \$	0.00 \$	0.00
Security Subtotal			2,484.35 \$	2,480.71 \$	0.00 \$	0.00
FNMA PL AL0069 5%40 DUE	3138EGCF9	S 04/12/13 \$ 08/20/13	1,757.78 \$ 5,000.00	1,778.01 \$	0.00 \$	0.00
FNMA PL AL0069 5%40 DUE	3138EGCF9	S 04/12/13 \$ 08/23/13	351.71 \$ 1,000.00	355.60 \$	0.00 \$	0.00
Security Subtotal			2,109.49 \$	2,133.61 \$	0.00 \$	0.00
FNMA PL AL0527 5%38 DUE	3138EGSR6	S 03/18/13 \$ 08/20/13	781.04 \$ 2,000.00	789.41 \$	0.00 \$	0.00
Security Subtotal			781.04 \$	789.41 \$	0.00 \$	0.00
FNMA PL AL3006 5%37 DUE	3138EKKU8	S 03/26/13 \$ 08/23/13	2,173.66 \$ 3,000.00	2,198.69 \$	0.00 \$	0.00
Security Subtotal			2,173.66 \$	2,198.69 \$	0.00 \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Charles SCHWAB

Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
9073-3526

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: March 21, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with **Box B** checked)

6a-Noncovered security

SHORT-TERM HOLDING PERIOD
COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FNMA PL AQ9330 3 5%43 DUE	3138MRL3	S 03/11/13 \$ 04/19/13	2,089.03 \$ 2,000.00	2,064.93 \$	0.00 \$	0.00
Security Subtotal			2,089.03 \$	2,064.93 \$	0.00 \$	0.00
FNMA PL 735402 5%35 DUE	31402RAB5	S 07/17/13 \$ 08/20/13	1,128.87 \$ 7,000.00	1,134.55 \$	0.00 \$	0.00
Security Subtotal			1,128.87 \$	1,134.55 \$	0.00 \$	0.00
FNMA PL 735484 5%35 DUE	31402RCV9	S 03/18/13 \$ 08/23/13	474.12 \$ 3,000.00	478.99 \$	0.00 \$	0.00
FNMA PL 735484 5%35 DUE	31402RCV9	S 03/18/13 \$ 08/23/13	632.15 \$ 4,000.00	638.64 \$	0.00 \$	0.00
FNMA PL 735484 5%35 DUE	31402RCV9	S 03/18/13 \$ 08/23/13	632.15 \$ 4,000.00	638.65 \$	0.00 \$	0.00
Security Subtotal			1,738.42 \$	1,756.28 \$	0.00 \$	0.00
US TREASU NT 1 375%02/19JUST NOTE DUE	912828SH4	S 03/05/13 \$ 08/09/13	1,980.47 \$ 2,000.00	2,038.79 \$	0.00 \$	0.00
US TREASU NT 1 375%02/19JUST NOTE DUE	912828SH4	S 03/05/13 \$ 08/09/13	4,951.17 \$ 5,000.00	5,096.98 \$	0.00 \$	0.00
Security Subtotal			6,931.64 \$	7,135.77 \$	0.00 \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

charles SCHWAB

Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
9073-3526

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: March 21, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with Box B checked)

6a-Noncovered security

SHORT-TERM HOLDING PERIOD
COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) 1e-Quantity sold		3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
US TREASURY 2%02/23UST NOTE DUE	912828UN8	S 03/04/13 08/29/13	\$	4,691.02 5,000.00	\$ 5,055.97	\$ 0.00	\$ 0.00
Security Subtotal			\$	4,691.02	\$ 5,055.97	\$ 0.00	\$ 0.00
UST INFL IDX 2 375%01/25INFL INDEX DUE	912810FR4	S 10/23/13 12/23/13	\$	1,433.93 1,000.00	\$ 1,496.18	\$ 62.25	\$ 0.00
Security Subtotal			\$	1,433.93	\$ 1,496.18	\$ 62.25	\$ 0.00
Total Short-Term (Cost basis is available but not reported to the IRS)			\$	27,690.37	\$ 28,371.90		

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
9073-3526

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: March 21, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)
6a-Noncovered security

SHORT-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FHLMC G08323 5%39	3128MJLD0 P	09/30/13	3.43	Not Provided	-- \$	0.00 CORRECTED
FHLMC G08323 5%39	3128MJLD0 P	10/31/13	4.14	Not Provided	-- \$	0.00 CORRECTED
FHLMC G08323 5%39	3128MJLD0 P	11/30/13	4.75	Not Provided	-- \$	0.00 CORRECTED
FHLMC G08323 5%39	3128MJLD0 P	12/31/13	4.62	Not Provided	-- \$	0.00 CORRECTED
Security Subtotal			16.94	--	-- \$	0.00
FHLMC Q16644 3 5%43	3132J7ZJ4 P	09/30/13	13.76	Not Provided	-- \$	0.00 CORRECTED
FHLMC Q16644 3 5%43	3132J7ZJ4 P	10/31/13	11.83	Not Provided	-- \$	0.00 CORRECTED
FHLMC Q16644 3 5%43	3132J7ZJ4 P	11/30/13	1.60	Not Provided	-- \$	0.00 CORRECTED
FHLMC Q16644 3 5%43	3132J7ZJ4 P	12/31/13	1.65	Not Provided	-- \$	0.00 CORRECTED
Security Subtotal			28.84	--	-- \$	0.00
FHLMC Q17639 3%43	3132J84Z0 P	09/30/13	4.15	Not Provided	-- \$	0.00 CORRECTED
FHLMC Q17639 3%43	3132J84Z0 P	10/31/13	5.18	Not Provided	-- \$	0.00 CORRECTED

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Schwab Account # 3526 Attachment

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
9073-3526

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: March 21, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)
6a-Noncovered security

SHORT-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FHLMC Q17639 3%43	3132J84Z0 P	11/30/13	4.14	Not Provided	-- \$	0.00 CORRECTED
FHLMC Q17639 3%43	3132J84Z0 P	12/31/13	3.31	Not Provided	-- \$	0.00 CORRECTED
Security Subtotal			16.78	--	-- \$	0.00
FNMA PL AB6677 3%42	31417DM34 P	03/31/13	12.69	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AB6677 3%42	31417DM34 P	04/30/13	107.62	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AB6677 3%42	31417DM34 P	05/31/13	12.84	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AB6677 3%42	31417DM34 P	06/30/13	12.55	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AB6677 3%42	31417DM34 P	07/31/13	13.11	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AB6677 3%42	31417DM34 P	08/31/13	34.80	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AB6677 3%42	31417DM34 P	09/30/13	13.17	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AB6677 3%42	31417DM34 P	10/31/13	82.39	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AB6677 3%42	31417DM34 P	11/30/13	11.59	Not Provided	-- \$	0.00 CORRECTED

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Schwab Account # 3526 Attachment

Page 7 of 15

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
9073-3526

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: March 21, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked) SHORT-TERM HOLDING PERIOD
6a-Noncovered security COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FNMA PL AB6677 3%42	31417DM34 P	12/31/13	12.56	Not Provided	-- \$	0.00 CORRECTED
Security Subtotal			\$ 313.32	--	-- \$	0.00
FNMA PL AJ7689 4%41	3138E0RK7 P	03/31/13	468.45	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AJ7689 4%41	3138E0RK7 P	04/30/13	450.46	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AJ7689 4%41	3138E0RK7 P	05/31/13	448.83	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AJ7689 4%41	3138E0RK7 P	06/30/13	449.48	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AJ7689 4%41	3138E0RK7 P	07/31/13	295.14	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AJ7689 4%41	3138E0RK7 P	08/31/13	162.37	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AJ7689 4%41	3138E0RK7 P	09/30/13	125.28	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AJ7689 4%41	3138E0RK7 P	10/31/13	160.09	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AJ7689 4%41	3138E0RK7 P	11/30/13	87.10	Not Provided	-- \$	0.00 CORRECTED

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
9073-3526

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: March 21, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)
6a-Noncovered security

SHORT-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FNMA PL AJ7689 4%41	3138E0RK7 P	12/31/13	124.71	Not Provided	-- \$	0.00
Security Subtotal			\$ 2,771.91	--	-- \$	0.00
FNMA PL AL0069 5%40	3138EGCF9 P	04/30/13	137.17	Not Provided	-- \$	0.00
FNMA PL AL0069 5%40	3138EGCF9 P	05/31/13	100.73	Not Provided	-- \$	0.00
FNMA PL AL0069 5%40	3138EGCF9 P	06/30/13	89.76	Not Provided	-- \$	0.00
FNMA PL AL0069 5%40	3138EGCF9 P	07/31/13	114.41	Not Provided	-- \$	0.00
Security Subtotal			\$ 442.07	--	-- \$	0.00
FNMA PL AL0160 4 5%41	3138EGFA7 P	03/31/13	316.32	Not Provided	-- \$	0.00
FNMA PL AL0160 4 5%41	3138EGFA7 P	04/30/13	286.29	Not Provided	-- \$	0.00
FNMA PL AL0160 4 5%41	3138EGFA7 P	05/31/13	300.03	Not Provided	-- \$	0.00
FNMA PL AL0160 4 5%41	3138EGFA7 P	06/30/13	263.33	Not Provided	-- \$	0.00
FNMA PL AL0160 4 5%41	3138EGFA7 P	07/31/13	244.15	Not Provided	-- \$	0.00
Security Subtotal						

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
9073-3526

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: March 21, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked) SHORT-TERM HOLDING PERIOD
6a-Noncovered security COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FNMA PL AL0160 4 5%41	3138EGFA7 P	08/31/13	157.90	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AL0160 4 5%41	3138EGFA7 P	09/30/13	109.20	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AL0160 4 5%41	3138EGFA7 P	10/31/13	100.01	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AL0160 4 5%41	3138EGFA7 P	11/30/13	85.76	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AL0160 4 5%41	3138EGFA7 P	12/31/13	104.79	Not Provided	-- \$	0.00 CORRECTED
Security Subtotal			\$ 1,967.78	--	-- \$	0.00
FNMA PL AL0527 5%38	3138EGSR6 P	03/31/13	44.55	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AL0527 5%38	3138EGSR6 P	04/30/13	46.55	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AL0527 5%38	3138EGSR6 P	05/31/13	43.52	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AL0527 5%38	3138EGSR6 P	06/30/13	42.09	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AL0527 5%38	3138EGSR6 P	07/31/13	35.41	Not Provided	-- \$	0.00 CORRECTED
Security Subtotal			\$ 212.12	--	-- \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Schwab Account # 3526 Attachment

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
9073-3526

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: March 21, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)
6a-Noncovered security

SHORT-TERM HOLDING PERIOD
COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FNMA PL AL3006 5%37	3138EKKU8 P	04/30/13	118.20	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AL3006 5%37	3138EKKU8 P	05/31/13	115.72	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AL3006 5%37	3138EKKU8 P	06/30/13	110.09	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AL3006 5%37	3138EKKU8 P	07/31/13	94.24	Not Provided	-- \$	0.00 CORRECTED
Security Subtotal			438.25	--	-- \$	0.00
FNMA PL AQ9330 3 5%43	3138MRLL3 P	03/31/13	90.70	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AQ9330 3 5%43	3138MRLL3 P	04/30/13	79.49	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AQ9330 3 5%43	3138MRLL3 P	05/31/13	137.50	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AQ9330 3 5%43	3138MRLL3 P	06/30/13	206.56	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AQ9330 3 5%43	3138MRLL3 P	07/31/13	148.23	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AQ9330 3 5%43	3138MRLL3 P	08/31/13	74.82	Not Provided	-- \$	0.00 CORRECTED
FNMA PL AQ9330 3 5%43	3138MRLL3 P	09/30/13	87.23	Not Provided	-- \$	0.00 CORRECTED

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Schwab Account # 3526 Attachment

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
9073-3526

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: March 21, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)
6a-Noncovered security

SHORT-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FNMA PL AQ9330 3 5%43	3138MRLL3	P 10/31/13	-- \$ 74.45	Not Provided	-- \$	0.00
FNMA PL AQ9330 3 5%43	3138MRLL3	P 11/30/13	-- \$ 87.62	Not Provided	-- \$	0.00
FNMA PL AQ9330 3 5%43	3138MRLL3	P 12/31/13	-- \$ 49.88	Not Provided	-- \$	0.00
Security Subtotal			\$ 1,036.48	--	-- \$	0.00
FNMA PL AS0203 3%43	3138W9GM6	P 12/31/13	-- \$ 2.78	Not Provided	-- \$	0.00
Security Subtotal			\$ 2.78	--	-- \$	0.00
FNMA PL AS0415 4%43	3138W9N97	P 09/30/13	-- \$ 20.76	Not Provided	-- \$	0.00
FNMA PL AS0415 4%43	3138W9N97	P 10/31/13	-- \$ 14.81	Not Provided	-- \$	0.00
FNMA PL AS0415 4%43	3138W9N97	P 11/30/13	-- \$ 2.96	Not Provided	-- \$	0.00
FNMA PL AS0415 4%43	3138W9N97	P 12/31/13	-- \$ 11.38	Not Provided	-- \$	0.00
Security Subtotal			\$ 49.91	--	-- \$	0.00
FNMA PL AT3066 3 5%43	3138WQMQ2	P 12/31/13	-- \$ 1.56	Not Provided	-- \$	0.00
Security Subtotal			\$ 1.56	--	-- \$	0.00

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
9073-3526

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: March 21, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)
6a-Noncovered security

SHORT-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FNMA PL AT6306 4%43	3138WUAG8 P	12/31/13	1.63	Not Provided	-- \$	0.00
Security Subtotal			1.63	--	-- \$	CORRECTED 0.00
FNMA PL 735402 5%35	31402RAB5 P	07/31/13	48.99	Not Provided	-- \$	0.00
Security Subtotal			48.99	--	-- \$	CORRECTED 0.00
FNMA PL 735484 5%35	31402RCV9 P	03/31/13	227.46	Not Provided	-- \$	0.00
FNMA PL 735484 5%35	31402RCV9 P	04/30/13	206.23	Not Provided	-- \$	0.00
FNMA PL 735484 5%35	31402RCV9 P	05/31/13	197.21	Not Provided	-- \$	0.00
FNMA PL 735484 5%35	31402RCV9 P	06/30/13	187.45	Not Provided	-- \$	0.00
FNMA PL 735484 5%35	31402RCV9 P	07/31/13	178.12	Not Provided	-- \$	0.00
FNMA PL 735484 5%35	31402RCV9 P	08/31/13	73.88	Not Provided	-- \$	0.00
FNMA PL 735484 5%35	31402RCV9 P	09/30/13	54.06	Not Provided	-- \$	0.00
FNMA PL 735484 5%35	31402RCV9 P	10/31/13	52.57	Not Provided	-- \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Schwab Account # 3526 Attachment

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
9073-3526

TAX YEAR 2013
FORM 1099-B

Taxpayer ID Number: 01-0629526

Date Prepared: March 21, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)
6a-Noncovered security

SHORT-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FNMA PL 735484 5%35	31402RCV9 P	11/30/13	43.36	Not Provided	-- \$	0.00
FNMA PL 735484 5%35	31402RCV9 P	12/31/13	42.74	Not Provided	-- \$	0.00
Security Subtotal			\$ 1,263.08	--	-- \$	0.00
FNMA PL 745580 5%36	31403DJZ3 P	09/30/13	4.84	Not Provided	-- \$	0.00
FNMA PL 745580 5%36	31403DJZ3 P	10/31/13	3.77	Not Provided	-- \$	0.00
FNMA PL 745580 5%36	31403DJZ3 P	11/30/13	3.29	Not Provided	-- \$	0.00
FNMA PL 745580 5%36	31403DJZ3 P	12/31/13	3.07	Not Provided	-- \$	0.00
Security Subtotal			\$ 14.97	--	-- \$	0.00

Total Short-Term (Cost basis is missing and not reported to the IRS)

\$ 8,627.41

Total Short-Term Sales Price of Stocks, Bonds, etc.

\$ 45,814.75 \$ 38,096.28

Total Sales Price of Stocks, Bonds, etc.

\$ 45,814.75

Total Federal Income Tax Withheld

\$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
9073-3526

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: March 21, 2014

Notes for your Form 1099-B

Schwab has provided cost basis information whenever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. When value for the report is unavailable, it is noted as follows: "Missing" or "Not Provided"

Not Provided Schwab is not providing Cost Basis on this security type

Missing Cost Basis may be missing due to one of the following reasons

- Cost basis data may not be available for a number of reasons (for example, the security was purchased outside of Schwab and we did not receive cost basis from the transferring firm)
- The security was purchased more than 10 years ago

Acquisition Date If there is more than one lot in a sell transaction, the acquisition date for the individual lot in the transaction can be found in the Realized Gain Loss section of the Year End Summary

(1) If the acquisition date is blank, your sell transaction included multiple tax lots purchased on different acquisition dates within the same holding period and covered/non-covered status. The lots in the transaction are rolled up into a single sell transaction and thus **Box 1b-Date of Acquisition** will be blank

(2) If the cost basis is missing or not provided, a short-term holding period may have been applied for a position that may have been held long term.

Box (1c) Type of Gain or Loss

Long-term Long-Term Realized Gain or Loss has a holding period greater than one year
Short-term Short-Term Realized Gain or Loss has a holding period of one year or less

**Activity Codes (Not reported to the IRS)

C = Cash in Lieu	E = Exchange	P = Principal	S = Sale	T = Tender
CV = Conversion	M = Cash Merger	MT = Maturity	R = Redemption	SS = Short Sale

- The cost basis and basis adjustments for covered securities are reported to the IRS.
- Gross Proceeds from each of your security transactions are reported individually to the IRS.
- Gross Proceeds in aggregate are not reported to the IRS and should not be so reported on your tax return.

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

TAX YEAR 2013
FORM 1099 COMPOSITE

Date Prepared: April 4, 2014

Recipient's Name and Address

THE BEN AND MIRIAM LAU FOUNDAT
1314 S KING ST STE 524
HONOLULU HI 96814

Taxpayer ID Number: 01-0629526
Account Number: 1893-3097

Payer's Name and Address

CHARLES SCHWAB & CO, INC
211 MAIN STREET
SAN FRANCISCO, CA 94105
Telephone Number: (800) 435-4000
Federal ID Number: 94-1737782

Proceeds from Broker Transactions — 2013

CORRECTED

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with **Box B** checked)

6a-Noncovered security

SHORT-TERM HOLDING PERIOD
COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
AT&T INC 1 4%17 DUE	00206RBM3	S 04/25/13 12/03/13	\$ 1,967.00 2,000.00	\$ 2,008.36	\$ 0.00	\$ 0.00
Security Subtotal			\$ 1,967.00	\$ 2,008.36	\$ 0.00	\$ 0.00
BB&T CORPORATION 1 6%17 DUE	05531FAL7	S 03/04/13 03/15/13	\$ 2,017.54 2,000.00	\$ 2,026.62	\$ 0.00	\$ 0.00
Security Subtotal			\$ 2,017.54	\$ 2,026.62	\$ 0.00	\$ 0.00
BP CAPITAL MK 0 8426%14F DUE	05565QBS6	S 06/17/13 08/28/13	\$ 1,002.89 1,000.00	\$ 1,004.10	\$ 0.00	\$ 0.00
BP CAPITAL MK 0 8426%14F DUE	05565QBS6	S 06/17/13 09/19/13	\$ 4,011.12 4,000.00	\$ 4,016.40	\$ 0.00	\$ 0.00
Security Subtotal			\$ 5,014.01	\$ 5,020.50	\$ 0.00	\$ 0.00

Schwab Account # 3097 Attachment

Page 1 of 22

INSTRUCTIONS FOR RECIPIENTS OF FORM 1099

1099-B: Proceeds from Broker Transactions

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 8.

Recipient's identification number.

For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account number.

May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number.

For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number of the item reported.

Box 1a.

Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 9 through 12, no entry will be present.

Box 1b.

This box may be blank if box 6a is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2a.

Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether the sales price or the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS. Report this

amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Box 2b.

If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 2a. Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement.

Box 3.

Shows the cost or other basis of securities sold. If box 6a is checked, box 3 may be blank. See the Form 8949 instructions, Schedule D instructions, or Pub. 550 for details.

Box 4.

Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5.

Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub. 550.

Box 6a.

If checked, the securities sold were noncovered securities and boxes 1b, 1c, 3, and 5 may be blank. Generally, a noncovered security means a security other than stock, stock purchased before 2011, stock in most mutual funds and other regulated investment companies purchased before 2012, and stock purchased in or transferred to a dividend reinvestment plan before 2012.

Box 6b.

If checked, the basis in box 3 has been reported to the IRS. If box 6b is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D.

Box 8.

Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Boxes 13-15.

Shows state income tax withheld.

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

TAX YEAR 2013
FORM 1099-COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with **Box B** checked)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums)		3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld					
			1e-Quantity sold									
BP CAPITAL MKT 1 846%17F	DUE	08/28/13	\$	2,034.46	\$	2,002.36	\$	0.00	\$	0.00	\$	0.00
		12/03/13		2,000.00								
Security Subtotal			\$	2,034.46	\$	2,002.36	\$	0.00	\$	0.00	\$	0.00
CELGENE CORPORAT 3 25%22	DUE	03/04/13	\$	2,958.27	\$	3,036.33	\$	0.00	\$	0.00	\$	0.00
		06/17/13		3,000.00								
Security Subtotal			\$	2,958.27	\$	3,036.33	\$	0.00	\$	0.00	\$	0.00
CME GROUP INC 3%22	DUE	03/05/13	\$	2,000.46	\$	2,012.79	\$	0.00	\$	0.00	\$	0.00
		05/21/13		2,000.00								
Security Subtotal			\$	2,000.46	\$	2,012.79	\$	0.00	\$	0.00	\$	0.00
CME GROUP INC 3%22	DUE	03/05/13	\$	1,966.52	\$	2,012.75	\$	0.00	\$	0.00	\$	0.00
		06/05/13		2,000.00								
Security Subtotal			\$	1,966.52	\$	2,012.75	\$	0.00	\$	0.00	\$	0.00
CNH EQUIP TR 201 0 44%16REMIC	DUE	04/16/13	\$	3,999.84	\$	3,995.00	\$	0.00	\$	0.00	\$	0.00
		11/14/13		4,000.00								
Security Subtotal			\$	3,999.84	\$	3,995.00	\$	0.00	\$	0.00	\$	0.00
COMCAST CORPORA 3 125%22	DUE	03/14/13	\$	2,026.62	\$	2,041.08	\$	0.00	\$	0.00	\$	0.00
		06/03/13		2,000.00								
Security Subtotal			\$	2,026.62	\$	2,041.08	\$	0.00	\$	0.00	\$	0.00
COMCAST CORPORA 3 125%22	DUE	03/14/13	\$	1,970.36	\$	2,040.64	\$	0.00	\$	0.00	\$	0.00
		07/16/13		2,000.00								
Security Subtotal			\$	1,970.36	\$	2,040.64	\$	0.00	\$	0.00	\$	0.00
Security Subtotal			\$	3,996.98	\$	4,081.72	\$	0.00	\$	0.00	\$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Schwab Account # 3097 Attachment

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with **Box B** checked)

6a-Noncovered security

SHORT-TERM HOLDING PERIOD

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8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
DISCOVERY COMMS 3 3%22 DUE	25470DAF6	S 04/09/13	\$ 2,007 70	\$ 2,087 77	\$ 0 00	\$ 0.00
		05/28/13	2,000 00			
DISCOVERY COMMS 3 3%22 DUE	25470DAF6	S 04/09/13	\$ 1,984 54	\$ 2,087 31	\$ 0 00	\$ 0.00
		06/14/13	2,000 00			
Security Subtotal			\$ 3,992.24	\$ 4,175.08	\$ 0.00	\$ 0.00
DUKE ENERGY PROGRES 3%21 DUE	144141DA3	S 03/07/13	\$ 2,130 88	\$ 2,091 16	\$ 0 00	\$ 0.00
		04/23/13	2,000 00			
DUKE ENERGY PROGRES 3%21 DUE	144141DA3	S 03/07/13	\$ 2,136 40	\$ 2,091 02	\$ 0 00	\$ 0.00
		04/26/13	2,000 00			
Security Subtotal			\$ 4,267.28	\$ 4,182.18	\$ 0.00	\$ 0.00
FNMA 2%41REMIC DUE	3136A82G4	S 04/02/13	\$ 5,826 94	\$ 5,818 70	\$ 0 00	\$ 0.00
		05/09/13	6,000 00			
Security Subtotal			\$ 5,826.94	\$ 5,818.70	\$ 0.00	\$ 0.00
FNMA 2%41REMIC DUE	3136A75E8	S 04/15/13	\$ 5,915 04	\$ 6,169 87	\$ 0 00	\$ 0.00
		07/18/13	7,000 00			
Security Subtotal			\$ 5,915.04	\$ 6,169.87	\$ 0.00	\$ 0.00
FNMA 2%42REMIC DUE	3136A8LL2	S 03/26/13	\$ 5,819 18	\$ 6,303 46	\$ 0 00	\$ 0.00
		10/04/13	7,000 00			
Security Subtotal			\$ 5,819.18	\$ 6,303.46	\$ 0.00	\$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Schwab Account # 3097 Attachment

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with Box B checked)

6a-Noncovered security

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8-Description		CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FFCB	0 6%17	DUE	3133ECMM3 S	05/01/13 \$ 3,964 64 12/04/13 4,000 00	4,002 01 \$	0 00 \$	0.00
FFCB	0 6%17	DUE	3133ECMM3 S	05/09/13 \$ 3,961 00 12/05/13 4,000 00	3,992 20 \$	0 00 \$	0.00
Security Subtotal				\$ 7,925.64	\$ 7,994.21	\$ 0.00	\$ 0.00
FHLMC	2%42REMIC	DUE	3137AT7C0 S	03/11/13 \$ 3,362 31 10/22/13 4,000 00	3,597 26 \$	0 00 \$	0.00
Security Subtotal				\$ 3,362.31	\$ 3,597.26	\$ 0.00	\$ 0.00
FHLMC	2 25%41REMIC	DUE	3137AUDQ9 S	03/22/13 \$ 4,601 94 07/12/13 5,000 00	4,863 54 \$	0 00 \$	0.00
Security Subtotal				\$ 4,601.94	\$ 4,863.54	\$ 0.00	\$ 0.00
FHLMC G14724	2 5%28	DUE	3128MDPH0 S	05/15/13 \$ 3,867 80 08/06/13 4,000 00	4,028 80 \$	0 00 \$	0.00
Security Subtotal				\$ 3,867.80	\$ 4,028.80	\$ 0.00	\$ 0.00
FHLMC J22836	2 5%28	DUE	31307BEH9 S	05/15/13 \$ 2,921 86 07/29/13 3,000 00	3,042 32 \$	0 00 \$	0.00
Security Subtotal				\$ 2,921.86	\$ 3,042.32	\$ 0.00	\$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Schwab Account # 3097 Attachment
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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with **Box B** checked)

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FNMA 2%40REMIC DUE	3136A8V64	04/05/13 \$ 05/15/13	6,887.06 \$ 7,000.00	6,832.96 \$	0.00 \$	0.00
Security Subtotal			6,887.06 \$	6,832.96 \$	0.00 \$	0.00
FNMA 2%42REMIC DUE	3136AAPG4	04/11/13 \$ 10/23/13	6,053.87 \$ 7,000.00	6,346.54 \$	0.00 \$	0.00
Security Subtotal			6,053.87 \$	6,346.54 \$	0.00 \$	0.00
FORD CR AUTO OWN 0 38%15REMIC DUE	34530BAB9	04/18/13 \$ 11/06/13	2,920.95 \$ 4,000.00	2,545.76 \$	0.00 \$	0.00
Security Subtotal			2,920.95 \$	2,545.76 \$	0.00 \$	0.00
GE EQUIP TRANSN L 0 5%15REMIC DUE	36162WAB3	03/12/13 \$ 10/23/13	3,998.75 \$ 4,000.00	3,999.84 \$	0.00 \$	0.00
Security Subtotal			3,998.75 \$	3,999.84 \$	0.00 \$	0.00
GOOGLE INC 3 625%21 DUE	38259PAB8	03/01/13 \$ 06/24/13	3,106.92 \$ 3,000.00	3,329.81 \$	0.00 \$	0.00
Security Subtotal			3,106.92 \$	3,329.81 \$	0.00 \$	0.00
GOVT OF ONTARIO 2 7%15F DUE	683234BY7	04/09/13 \$ 09/24/13	5,188.10 \$ 5,000.00	5,190.86 \$	0.00 \$	0.00
Security Subtotal			5,188.10 \$	5,190.86 \$	0.00 \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Schwab Account # 3097 Attachment

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

TAX YEAR 2013
FORM 1099-B

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with **Box B** checked)

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NEWMONT MINING CO 3 5%22 DUE	651639AN6 S	03/08/13 \$ 06/26/13	854 25 \$ 1,000 00	1,001 12 \$	0 00 \$	0.00
NEWMONT MINING CO 3 5%22 DUE	651639AN6 S	03/08/13 \$ 06/27/13	1,721 28 \$ 2,000 00	2,002 24 \$	0 00 \$	0.00
Security Subtotal		\$ 2,575.53	\$	3,003.36	0.00	0.00
PNC FUNDING COR 4 375%20 DUE	693476BL6 S	03/26/13 \$ 07/16/13	3,214 29 \$ 3,000 00	3,380 32 \$	0 00 \$	0.00
Security Subtotal		\$ 3,214.29	\$	3,380.32	0.00	0.00
PRIVATE EXPORT F 3 05%14 DUE	742651DH2 S	07/24/13 \$ 10/24/13	3,080 85 \$ 3,000 00	3,078 33 \$	0 00 \$	0.00
Security Subtotal		\$ 3,080.85	\$	3,078.33	0.00	0.00
SEMPRA ENERGY 2 875%22 DUE	816851AT6 S	03/08/13 \$ 07/15/13	1,869 40 \$ 2,000 00	1,979 18 \$	0 00 \$	0.00
Security Subtotal		\$ 1,869.40	\$	1,979.18	0.00	0.00
SHELL INTL FIN 2 375%22F DUE	822582AS1 S	03/20/13 \$ 05/13/13	1,970 92 \$ 2,000 00	1,977 48 \$	0 00 \$	0.00
Security Subtotal		\$ 1,970.92	\$	1,977.48	0.00	0.00
U S BANCORP 2 95%22 DUE	91159JAA4 S	03/13/13 \$ 05/30/13	1,951 26 \$ 2,000 00	1,976 78 \$	0 00 \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Schwab Account # 3097 Attachment

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with **Box B** checked)

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8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
U S BANCORP 2 95%22 DUE	91159JAA4	S 03/13/13 06/13/13	\$ 1,917 64 2,000 00	\$ 1,976 78	\$ 0 00	\$ 0 00
Security Subtotal						
US TREASU NT 0 875%01/18UST NOTE DUE	912828UJ7	S 03/01/13 05/28/13	\$ 3,868.90 995 90 1,000 00	\$ 3,953.56 1,006 40	\$ 0 00	\$ 0 00
US TREASU NT 0 875%01/18UST NOTE DUE	912828UJ7	S 03/01/13 10/02/13	\$ 3,949 53 4,000 00	\$ 4,025 34	\$ 0 00	\$ 0 00
US TREASU NT 0 875%01/18UST NOTE DUE	912828UJ7	S 03/15/13 11/08/13	\$ 1,978 36 2,000 00	\$ 2,004 14	\$ 0 00	\$ 0 00
Security Subtotal						
US TREASU NT 1 375%07/18UST NOTE DUE	912828VQ0	S 08/27/13 12/06/13	\$ 6,923.79 2,998 01 3,000 00	\$ 7,035.88 2,974 45	\$ 0 00	\$ 0 00
US TREASU NT 1 375%07/18UST NOTE DUE	912828VQ0	S 08/27/13 12/23/13	\$ 3,972 97 4,000 00	\$ 3,965 94	\$ 0 00	\$ 0 00
Security Subtotal						
US TREASU NT 2 375%07/17UST NOTE DUE	912828NR7	S 03/01/13 10/29/13	\$ 6,970.98 5,271 88 5,000 00	\$ 6,940.39 5,323 86	\$ 0 00	\$ 0 00
US TREASU NT 2 375%07/17UST NOTE DUE	912828NR7	S 03/01/13 11/18/13	\$ 2,110 70 2,000 00	\$ 2,127 69	\$ 0 00	\$ 0 00

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Schwab Account # 3097 Attachment

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

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			1e-Quantity sold				
US TREASU NT 2 375%07/17UST NOTE DUE	912828NR7	S 03/01/13 12/09/13	\$ 1,051.41 1,000.00		\$ 1,062.87	\$ 0.00	\$ 0.00
Security Subtotal							
US TREASURY NT 1%09/16UST NOTE DUE	912828RJ1	S 03/01/13 06/03/13	\$ 8,433.99 2,026.72	\$ 2,026.72	\$ 8,514.42	\$ 0.00	\$ 0.00
US TREASURY NT 1%09/16UST NOTE DUE	912828RJ1	S 03/01/13 08/27/13	\$ 7,037.19 7,000.00	\$ 7,037.19	\$ 7,116.18	\$ 0.00	\$ 0.00
US TREASURY NT 1%09/16UST NOTE DUE	912828RJ1	S 03/01/13 09/17/13	\$ 1,005.24 1,000.00	\$ 1,005.24	\$ 1,016.28	\$ 0.00	\$ 0.00
US TREASURY NT 1%09/16UST NOTE DUE	912828RJ1	S 03/01/13 10/10/13	\$ 3,026.49 3,000.00	\$ 3,026.49	\$ 3,047.69	\$ 0.00	\$ 0.00
US TREASURY NT 1%09/16UST NOTE DUE	912828RJ1	S 07/16/13 10/16/13	\$ 4,038.28 4,000.00	\$ 4,038.28	\$ 4,031.00	\$ 0.00	\$ 0.00
Security Subtotal							
US TREASURY NT 2%04/16UST NOTE DUE	912828QF0	S 03/01/13 04/04/13	\$ 2,100.31 2,000.00	\$ 2,100.31	\$ 2,098.17	\$ 0.00	\$ 0.00
US TREASURY NT 2%04/16UST NOTE DUE	912828QF0	S 03/01/13 04/22/13	\$ 2,099.06 2,000.00	\$ 2,099.06	\$ 2,096.84	\$ 0.00	\$ 0.00
US TREASURY NT 2%04/16UST NOTE DUE	912828QF0	S 03/01/13 04/25/13	\$ 2,098.44 2,000.00	\$ 2,098.44	\$ 2,096.32	\$ 0.00	\$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Schwab Account # 3097 Attachment

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Charles SCHWAB

Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with **Box B** checked)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
US TREASURY NT 2%04/16UST NOTE DUE	912828QF0	03/01/13 \$ 05/07/13	3,145 90 \$ 3,000 00	3,143 18 \$	0 00 \$	0.00
US TREASURY NT 2%04/16UST NOTE DUE	912828QF0	03/01/13 \$ 05/13/13	2,094 30 \$ 2,000 00	2,094 93 \$	0 00 \$	0.00
Security Subtotal		\$	11,538.01	11,529.44	0.00	0.00
US TREASURY 1 625%11/22UST BOND DUE	912828TY6	03/01/13 \$ 05/15/13	979 14 \$ 1,000 00	981 95 \$	2 81 \$	0.00
US TREASURY 1 625%11/22UST BOND DUE	912828TY6	03/01/13 \$ 06/10/13	1,909 69 \$ 2,000 00	1,963 91 \$	54 22 \$	0.00
US TREASURY 1 625%11/22UST BOND DUE	912828TY6	03/01/13 \$ 06/19/13	2,836 17 \$ 3,000 00	2,979 59 \$	143.42 \$	0.00
US TREASURY 1 625%11/22UST BOND DUE	912828TY6	08/14/13	2,752 50 \$ 3,000 00	3,002 61 \$	0 00 \$	0.00
US TREASURY 1 625%11/22UST BOND DUE	912828TY6	08/20/13	1,813 91 \$ 2,000 00	1,996 25 \$	0 00 \$	0.00
US TREASURY 1 625%11/22UST BOND DUE	912828TY6	04/26/13 \$ 08/23/13	1,813 52 \$ 2,000 00	1,999 37 \$	0 00 \$	0.00
Security Subtotal		\$	12,104.93	12,923.68	200.45	0.00
WAL-MART STORES 3 625%20 DUE	931142CU5	03/21/13 \$ 05/29/13	3,253 95 \$ 3,000 00	3,296 58 \$	0 00 \$	0.00
Security Subtotal		\$	3,253.95	3,296.58	0.00	0.00

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with **Box B** checked)

6a-Noncovered security

SHORT-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums)		3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
			1e-Quantity sold				
WORLD OMNI ART 1 0 93%15REMIC DUE	98158VAC9	\$ 03/21/13 11/15/13	\$ 4,012.50 4,000.00		\$ 4,020.00	\$ 0.00	\$ 0.00
Security Subtotal			\$ 4,012.50		\$ 4,020.00	\$ 0.00	\$ 0.00
Total Short-Term (Cost basis is available but not reported to the IRS)			\$ 189,562.92		\$ 193,497.04		

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

TAX YEAR 2013
FORM 1099-COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)
6a-Noncovered security

SHORT-TERM HOLDING PERIOD
COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FED HM LN MTG 2%42	3137ARP26 P	-- \$ 05/15/13	45 61	Not Provided	-- \$	0.00
FED HM LN MTG 2%42	3137ARP26 P	-- \$ 06/15/13	57 36	Not Provided	-- \$	0.00
FED HM LN MTG 2%42	3137ARP26 P	-- \$ 07/15/13	75 22	Not Provided	-- \$	0.00
FED HM LN MTG 2%42	3137ARP26 P	-- \$ 08/15/13	135 79	Not Provided	-- \$	0.00
FED HM LN MTG 2%42	3137ARP26 P	-- \$ 09/15/13	100 80	Not Provided	-- \$	0.00
FHLMC 2% 42	3137ARP26 P	-- \$ 10/15/13	56 62	Not Provided	-- \$	0.00
FHLMC 2%42	3137ARP26 P	-- \$ 11/15/13	53 66	Not Provided	-- \$	0.00
FHLMC 2%42	3137ARP26 P	-- \$ 12/15/13	71 62	Not Provided	-- \$	0.00
Security Subtotal		\$	596.68	--	-- \$	0.00
FED HM LN MTG 2 25%40	3137AQUM8 P	-- \$ 04/15/13	41 55	Not Provided	-- \$	0.00
FED HM LN MTG 2 25%40	3137AQUM8 P	-- \$ 05/15/13	43 15	Not Provided	-- \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
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Account Number
1893-3097

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked) **SHORT-TERM HOLDING PERIOD**
6a-Noncovered security **COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS**

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FED HM LN MTG 2 25%40	3137AQUM8 P	-- \$ 06/15/13	44 74	Not Provided	-- \$	0.00
FED HM LN MTG 2 25%40	3137AQUM8 P	-- \$ 07/15/13	46 31	Not Provided	-- \$	0.00
FED HM LN MTG 2 25%40	3137AQUM8 P	-- \$ 08/15/13	70 23	Not Provided	-- \$	0.00
FED HM LN MTG 2 25%40	3137AQUM8 P	-- \$ 09/15/13	90 31	Not Provided	-- \$	0.00
FHLMC 2 25% 40	3137AQUM8 P	-- \$ 10/15/13	80 57	Not Provided	-- \$	0.00
FHLMC 2 25%40	3137AQUM8 P	-- \$ 11/15/13	72 03	Not Provided	-- \$	0.00
FHLMC 2 25%40	3137AQUM8 P	-- \$ 12/15/13	58 67	Not Provided	-- \$	0.00
Security Subtotal		\$ 547.56		--	-- \$	0.00
FED NATL MTG 2%41	3136A5H66 P	-- \$ 05/25/13	160 41	Not Provided	-- \$	0.00
FED NATL MTG 2%41	3136A5H66 P	-- \$ 06/25/13	356 27	Not Provided	-- \$	0.00
FED NATL MTG 2%41	3136A5H66 P	-- \$ 07/25/13	213 83	Not Provided	-- \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

**TAX YEAR 2013
FORM 1099 COMPOSITE**

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked) **SHORT-TERM HOLDING PERIOD**
6a-Noncovered security **COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS**

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FED NATL MTG 2%41	3136A5H66 P	08/25/13	103 50	Not Provided	-- \$	0.00
FED NATL MTG 2%41	3136A5H66 P	09/25/13	130 11	Not Provided	-- \$	0.00
FNMA 2%41	3136A5H66 P	10/25/13	120 07	Not Provided	-- \$	0.00
FNMA 2%41	3136A5H66 P	11/25/13	61 92	Not Provided	-- \$	0.00
FNMA 2%41	3136A5H66 P	12/25/13	45 27	Not Provided	-- \$	0.00
Security Subtotal			\$ 1,191.38	--	-- \$	0.00
FED NATL MTG 2%41	3136A82G4 P	05/25/13	36 71	Not Provided	-- \$	0.00
Security Subtotal			\$ 36.71	--	-- \$	0.00
FED NATL MTG 2%41	3136A75E8 P	05/25/13	205 93	Not Provided	-- \$	0.00
FED NATL MTG 2%41	3136A75E8 P	06/25/13	168 67	Not Provided	-- \$	0.00
FED NATL MTG 2%41	3136A75E8 P	07/25/13	182 34	Not Provided	-- \$	0.00
Security Subtotal			\$ 556.94	--	-- \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Schwab Account # 3097 Attachment

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)
6a-Noncovered security

SHORT-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FED NATL MTG 2%42	3136A8LL2 P	04/25/13	54 85	Not Provided	-- \$	0.00
FED NATL MTG 2%42	3136A8LL2 P	05/25/13	56 46	Not Provided	-- \$	0.00
FED NATL MTG 2%42	3136A8LL2 P	06/25/13	58 05	Not Provided	-- \$	0.00
FED NATL MTG 2%42	3136A8LL2 P	07/25/13	59 61	Not Provided	-- \$	0.00
FED NATL MTG 2%42	3136A8LL2 P	08/25/13	61 14	Not Provided	-- \$	0.00
FED NATL MTG 2%42	3136A8LL2 P	09/25/13	60 82	Not Provided	-- \$	0.00
FNMA 2%42	3136A8LL2 P	10/25/13	62 51	Not Provided	-- \$	0.00
Security Subtotal			\$ 413.44	--	-- \$	0.00
FED NATL MTG 4%24	3136A1RZ0 P	08/25/13	16 07	Not Provided	-- \$	0.00
FED NATL MTG 4%24	3136A1RZ0 P	09/25/13	16 13	Not Provided	-- \$	0.00
FNMA 4%24	3136A1RZ0 P	10/25/13	16 18	Not Provided	-- \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Schwab Account # 3097 Attachment

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked) **SHORT-TERM HOLDING PERIOD**
6a-Noncovered security **COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS**

8-Description		CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FNMA 4%24		3136A1RZ0	P	-- \$ 16 23	Not Provided	-- \$	0.00
			11/25/13				
FNMA 4%24		3136A1RZ0	P	-- \$ 16 29	Not Provided	-- \$	0.00
			12/25/13				
Security Subtotal				\$ 80.90	--	-- \$	0.00
FED NATL MTG 4%41		3136AGFP2	P	-- \$ 121 00	Not Provided	-- \$	0.00
			09/25/13				
FNMA 4%41		3136AGFP2	P	-- \$ 80 47	Not Provided	-- \$	0.00
			10/25/13				
FNMA 4%41		3136AGFP2	P	-- \$ 78 66	Not Provided	-- \$	0.00
			11/25/13				
FNMA 4%41		3136AGFP2	P	-- \$ 67 41	Not Provided	-- \$	0.00
			12/25/13				
Security Subtotal				\$ 347.54	--	-- \$	0.00
FED HM LN MTG 2%42		3137AT7C0	P	-- \$ 29 06	Not Provided	-- \$	0.00
			04/15/13				
FED HM LN MTG 2%42		3137AT7C0	P	-- \$ 29 99	Not Provided	-- \$	0.00
			05/15/13				
FED HM LN MTG 2%42		3137AT7C0	P	-- \$ 30 91	Not Provided	-- \$	0.00
			06/15/13				

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked) **SHORT-TERM HOLDING PERIOD**
6a-Noncovered security **COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS**

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FED HM LN MTG 2%42	3137AT7C0	P 07/15/13	31 34	Not Provided	-- \$	0.00
FED HM LN MTG 2%42	3137AT7C0	P 08/15/13	31 76	Not Provided	-- \$	0.00
FED HM LN MTG 2%42	3137AT7C0	P 09/15/13	32 18	Not Provided	-- \$	0.00
FHLMC 2% 42	3137AT7C0	P 10/15/13	32 59	Not Provided	-- \$	0.00
Security Subtotal			\$ 217.83	--	-- \$	0.00
FED HM LN MTG 2 25%41	3137AUDQ9	P 04/15/13	26 69	Not Provided	-- \$	0.00
FED HM LN MTG 2 25%41	3137AUDQ9	P 05/15/13	28 29	Not Provided	-- \$	0.00
FED HM LN MTG 2 25%41	3137AUDQ9	P 06/15/13	29 70	Not Provided	-- \$	0.00
FED HM LN MTG 2 25%41	3137AUDQ9	P 07/15/13	31 03	Not Provided	-- \$	0.00
Security Subtotal			\$ 115.71	--	-- \$	0.00
FHLMC G14724 2 5%28	3128MDPH0	P 05/31/13	25.21	Not Provided	-- \$	0.00
						CORRECTED

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Schwab Account # 3097 Attachment

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)
6a-Noncovered security

SHORT-TERM HOLDING PERIOD
COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FHLMC G14724 2 5%28	3128MDPH0 P	06/30/13	33.67	Not Provided	-- \$	0.00 CORRECTED
FHLMC G14724 2 5%28	3128MDPH0 P	07/31/13	34.32	Not Provided	-- \$	0.00 CORRECTED
Security Subtotal			93.20	--	-- \$	0.00
FHLMC J22836 2 5%28	31307BEH9 P	05/31/13	17.32	Not Provided	-- \$	0.00 CORRECTED
FHLMC J22836 2 5%28	31307BEH9 P	06/30/13	18.12	Not Provided	-- \$	0.00 CORRECTED
Security Subtotal			35.44	--	-- \$	0.00
FED NATL MTG 2%40	3136A8V64 P	05/25/13	34.96	Not Provided	-- \$	0.00
Security Subtotal			34.96	--	-- \$	0.00
FED NATL MTG 2%42	3136AAPG4 P	05/25/13	60.99	Not Provided	-- \$	0.00
FED NATL MTG 2%42	3136AAPG4 P	06/25/13	62.67	Not Provided	-- \$	0.00
FED NATL MTG 2%42	3136AAPG4 P	07/25/13	64.32	Not Provided	-- \$	0.00
FED NATL MTG 2%42	3136AAPG4 P	08/25/13	64.56	Not Provided	-- \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
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Account Number
1893-3097

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked) SHORT-TERM HOLDING PERIOD
6a-Noncovered security COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FED NATL MTG 2%42	3136AAPG4 P	09/25/13	64.79	Not Provided	-- \$	0.00
FNMA 2%42	3136AAPG4 P	10/25/13	65.01	Not Provided	-- \$	0.00
Security Subtotal			\$ 382.34	--	-- \$	0.00
FNMA PL AB9392 2 5%23	31417GNJ1 P	05/31/13	55.29	Not Provided	-- \$	0.00
FNMA PL AB9392 2 5%23	31417GNJ1 P	06/30/13	74.19	Not Provided	-- \$	0.00
FNMA PL AB9392 2 5%23	31417GNJ1 P	07/31/13	57.93	Not Provided	-- \$	0.00
FNMA PL AB9392 2 5%23	31417GNJ1 P	08/31/13	45.83	Not Provided	-- \$	0.00
FNMA PL AB9392 2 5%23	31417GNJ1 P	09/30/13	50.77	Not Provided	-- \$	0.00
FNMA PL AB9392 2 5%23	31417GNJ1 P	10/31/13	54.22	Not Provided	-- \$	0.00
FNMA PL AB9392 2 5%23	31417GNJ1 P	11/30/13	64.71	Not Provided	-- \$	0.00
FNMA PL AB9392 2 5%23	31417GNJ1 P	12/31/13	58.40	Not Provided	-- \$	0.00
Security Subtotal			\$ 461.34	--	-- \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	**	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FNMA PL MA1212 2 5%22	31418AK23	P	03/31/13	88.55	Not Provided	-- \$	0.00 CORRECTED
FNMA PL MA1212 2 5%22	31418AK23	P	04/30/13	89.05	Not Provided	-- \$	0.00 CORRECTED
FNMA PL MA1212 2 5%22	31418AK23	P	05/31/13	119.92	Not Provided	-- \$	0.00 CORRECTED
FNMA PL MA1212 2 5%22	31418AK23	P	06/30/13	118.46	Not Provided	-- \$	0.00 CORRECTED
FNMA PL MA1212 2 5%22	31418AK23	P	07/31/13	101.18	Not Provided	-- \$	0.00 CORRECTED
FNMA PL MA1212 2 5%22	31418AK23	P	08/31/13	87.84	Not Provided	-- \$	0.00 CORRECTED
FNMA PL MA1212 2 5%22	31418AK23	P	09/30/13	79.78	Not Provided	-- \$	0.00 CORRECTED
FNMA PL MA1212 2 5%22	31418AK23	P	10/31/13	78.27	Not Provided	-- \$	0.00 CORRECTED
FNMA PL MA1212 2 5%22	31418AK23	P	11/30/13	83.44	Not Provided	-- \$	0.00 CORRECTED
FNMA PL MA1212 2 5%22	31418AK23	P	12/31/13	74.18	Not Provided	-- \$	0.00 CORRECTED
Security Subtotal				\$ 920.67	--	-- \$	0.00
FNMA PL MA1660 3%23	31418AZ27	P	10/31/13	56.15	Not Provided	-- \$	0.00 CORRECTED

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
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Account Number
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TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked) **SHORT-TERM HOLDING PERIOD**
6a-Noncovered security **COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS**

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FNMA PL MA1660 3%23	31418AZ27 P	11/30/13	57.50	Not Provided	-- \$	0.00
FNMA PL MA1660 3%23	31418AZ27 P	12/31/13	66.20	Not Provided	-- \$	0.00
Security Subtotal			179.85	--	-- \$	0.00

Total Short-Term (Cost basis is missing and not reported to the IRS) \$ 6,212.49

Total Short-Term Sales Price of Stocks, Bonds, etc. \$ 195,775.41 \$ 193,497.04

Total Sales Price of Stocks, Bonds, etc. \$ 195,775.41

Total Federal Income Tax Withheld \$ 0.00

Notes for your Form 1099-B

Schwab has provided cost basis information whenever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. When value for the report is unavailable, it is noted as follows "Missing" or "Not Provided"

Not Provided Schwab is not providing Cost Basis on this security type

Missing Cost Basis may be missing due to one of the following reasons

- Cost basis data may not be available for a number of reasons (for example, the security was purchased outside of Schwab and we did not receive cost basis from the transferring firm)
- The security was purchased more than 10 years ago

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Schwab Account # 3097 Attachment

Page 21 of 22

charlesSCHWAB

Schwab One® Account of
THE BEN AND MIRIAM LAU FOUNDAT

Account Number
1893-3097

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Notes for your Form 1099-B (continued)

Acquisition Date If there is more than one lot in a sell transaction, the acquisition date for the individual lot in the transaction can be found in the Realized Gain Loss section of the Year End Summary

(1) If the acquisition date is blank, your sell transaction included multiple tax lots purchased on different acquisition dates within the same holding period and covered/non-covered status. The lots in the transaction are rolled up into a single sell transaction and thus **Box 1b-Date of Acquisition** will be blank

(2) If the cost basis is missing or not provided, a short-term holding period may have been applied for a position that may have been held long term

Box (1c) Type of Gain or Loss

Long-term **Long-Term Realized Gain or Loss** has a holding period greater than one year
Short-term **Short-Term Realized Gain or Loss** has a holding period of one year or less

**Activity Codes (Not reported to the IRS)

C = Cash in Lieu **E** = Exchange **P** = Principal **S** = Sale **T** = Tender
CV = Conversion **M** = Cash Merger **MT** = Maturity **R** = Redemption **SS** = Short Sale

- The cost basis and basis adjustments for covered securities are reported to the IRS.
- Gross Proceeds from each of your security transactions are reported individually to the IRS.
- Gross Proceeds in aggregate are not reported to the IRS and should not be so reported on your tax return.

Charles SCHWAB

Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Recipient's Name and Address

THE BEN AND MIRIAM LAU
FOUNDATION
1314 S KING ST STE 524
HONOLULU HI 96814
Taxpayer ID Number: 01-0629526
Account Number: 4856-6803

Payer's Name and Address

CHARLES SCHWAB & CO, INC
211 MAIN STREET
SAN FRANCISCO, CA 94105
Telephone Number: (800) 435-4000
Federal ID Number: 94-1737782

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013

CORRECTED

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with **Box A** checked)

6b-Basis reported to IRS

SHORT-TERM HOLDING PERIOD
COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
ALCOA INC	013817101 AA	S 11/27/12 \$ 02/21/13	0 22 \$ 0 02	0 22 \$	0 00 \$	0.00
ALCOA INC	013817101 AA	S 11/27/12 \$ 02/21/13	25 90 \$ 3 00	25 22 \$	0 00 \$	0.00
Security Subtotal		\$ 26.12	\$ 25.44	\$ 25.44	\$ 0.00	0.00
BANK OF HAWAII CORP	062540109 BOH	S 12/17/12 \$ 02/21/13	32 41 \$ 0 66	29 46 \$	0 00 \$	0.00
BANK OF HAWAII CORP	062540109 BOH	S 12/17/12 \$ 02/21/13	291 88 \$ 6 00	265 29 \$	0 00 \$	0.00
Security Subtotal		\$ 324.29	\$ 294.75	\$ 294.75	\$ 0.00	0.00
CENTERPOINT ENERGY INC	15189T107 CNP	S 12/11/12 \$ 02/21/13	210 33 \$ 10 17	203 51 \$	0 00 \$	0.00
Security Subtotal		\$ 210.33	\$ 203.51	\$ 203.51	\$ 0.00	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Schwab Account # 6803 Attachment

Page 1 of 31

INSTRUCTIONS FOR RECIPIENTS OF FORM 1099

1099-B: Proceeds from Broker Transactions

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 8.

Recipient's identification number.

For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account number.

May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number.

For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number of the item reported.

Box 1a.

Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 9 through 12, no entry will be present.

Box 1b.

This box may be blank if box 6a is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2a.

Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether the sales price or the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS. Report this

amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Box 2b.

If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 2a. Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement.

Box 3.

Shows the cost or other basis of securities sold. If box 6a is checked, box 3 may be blank. See the Form 8949 instructions, Schedule D instructions, or Pub 550 for details.

Box 4.

Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5.

Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub 550.

Box 6a.

If checked, the securities sold were noncovered securities and boxes 1b, 1c, 3, and 5 may be blank. Generally, a noncovered security means a security other than stock, stock purchased before 2011, stock in most mutual funds and other regulated investment companies purchased before 2012, and stock purchased in or transferred to a dividend reinvestment plan before 2012.

Box 6b.

If checked, the basis in box 3 has been reported to the IRS. If box 6b is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D.

Box 8.

Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Boxes 13-15.

Shows state income tax withheld.

charlesSCHWAB

Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with **Box A** checked)

6b-Basis reported to IRS

SHORT-TERM HOLDING PERIOD
COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
CHEVRON CORPORATION	166764100 CVX	12/11/12 \$ 02/21/13	2 03 \$ 0 01	1 90 \$	0 00 \$	0.00
CHEVRON CORPORATION	166764100 CVX	12/11/12 \$ 02/21/13	572 13 \$ 5 00	538 10 \$	0 00 \$	0.00
Security Subtotal		\$ 574.16	\$	540.00 \$	0.00 \$	0.00
CITIGROUP INC NEW	172967424 C	11/23/12 \$ 02/21/13	2 82 \$ 0 06	2 40 \$	0 00 \$	0.00
CITIGROUP INC NEW	172967424 C	02/25/13 \$ 02/28/13	2 34 \$ 0 05	2 40 \$	0 00 \$	0.00
Security Subtotal		\$ 5.16	\$	4.80 \$	0.00 \$	0.00
CLOROX COMPANY	189054109 CLX	02/19/13 \$ 02/21/13	43 63 \$ 0 52	43 29 \$	0 00 \$	0.00
CLOROX COMPANY	189054109 CLX	\$ 02/21/13	1,156 53 \$ 14 00	1,091 80 \$	0 00 \$	0.00
Security Subtotal		\$ 1,200.16	\$	1,135.09 \$	0.00 \$	0.00
COCA COLA COMPANY	191216100 KO	12/18/12 \$ 02/21/13	1 85 \$ 0 04	1 84 \$	0 00 \$	0.00
COCA COLA COMPANY	191216100 KO	12/18/12 \$ 02/21/13	639 91 \$ 17 00	635 66 \$	0 00 \$	0.00
Security Subtotal		\$ 641.76	\$	637.50 \$	0.00 \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with **Box A** checked)

6b-Basis reported to IRS

SHORT-TERM HOLDING PERIOD
COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
CONOCOPHILLIPS	20825C104 COP	12/04/12 \$ 02/21/13	18 45 \$ 0 32	18 26 \$	0 00 \$	0.00
CONOCOPHILLIPS	20825C104 COP	12/04/12 \$ 02/21/13	57 58 \$ 1 00	56 98 \$	0 00 \$	0.00
Security Subtotal		\$	76.03	75.24	0.00	0.00
DOW CHEMICAL COMPANY	260543103 DOW	01/02/13 \$ 02/21/13	28 44 \$ 0 92	30 78 \$	0 00 \$	0.00
DOW CHEMICAL COMPANY	260543103 DOW	\$ 02/21/13	1,107 08 \$ 36 00	1,127 44 \$	0 00 \$	0.00
Security Subtotal		\$	1,135.52	1,158.22	0.00	0.00
DUKE ENERGY CORP NEW	26441C204 DUK	12/18/12 \$ 02/21/13	5 79 \$ 0 08	5 49 \$	0 00 \$	0.00
DUKE ENERGY CORP NEW	26441C204 DUK	12/18/12 \$ 02/21/13	344 81 \$ 5 00	327 29 \$	0 00 \$	0.00
Security Subtotal		\$	350.60	332.78	0.00	0.00
DUKE REALTY CORP REIT	264411505 DRE	12/03/12 \$ 02/21/13	11 67 \$ 0 75	10 23 \$	0 00 \$	0.00
DUKE REALTY CORP REIT	264411505 DRE	12/03/12 \$ 02/21/13	185 77 \$ 12 00	162 73 \$	0 00 \$	0.00
Security Subtotal		\$	197.44	172.96	0.00	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099-B COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with **Box A** checked)

6b-Basis reported to IRS

SHORT-TERM HOLDING PERIOD
COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
EXXON MOBIL CORPORATION	30231G102 XOM	S 12/11/12 \$ 02/21/13	9 68 \$ 0 10	9 73 \$	0 00 \$	0 00
EXXON MOBIL CORPORATION	30231G102 XOM	S 12/11/12 \$ 02/21/13	619 98 \$ 7 00	622 97 \$	0 00 \$	0 00
Security Subtotal		\$ 629.66 \$		632.70 \$	0.00 \$	0.00
HAWAIIAN ELEC INDUSTRIES	419870100 HE	S 12/13/12 \$ 02/21/13	8 83 \$ 0 32	8 35 \$	0 00 \$	0 00
HAWAIIAN ELEC INDUSTRIES	419870100 HE	S 12/13/12 \$ 02/21/13	991 61 \$ 37 00	937 77 \$	0 00 \$	0 00
Security Subtotal		\$ 1,000.44 \$		946.12 \$	0.00 \$	0.00
INTEGRYS ENERGY GROUP	45822P105 TEG	S 12/21/12 \$ 02/21/13	28 90 \$ 0 51	27 64 \$	0 00 \$	0 00
INTEGRYS ENERGY GROUP	45822P105 TEG	S 12/21/12 \$ 02/21/13	281 82 \$ 5 00	269 52 \$	0 00 \$	0 00
Security Subtotal		\$ 310.72 \$		297.16 \$	0.00 \$	0.00
INTL BUSINESS MACHINES	459200101 IBM	S 12/11/12 \$ 02/21/13	112 95 \$ 0 56	110 50 \$	0 00 \$	0 00
Security Subtotal		\$ 112.95 \$		110.50 \$	0.00 \$	0.00
JOHNSON & JOHNSON	478160104 JNJ	S 12/12/12 \$ 02/21/13	10 70 \$ 0 13	9 96 \$	0 00 \$	0 00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with Box A checked)

6b-Basis reported to IRS

SHORT-TERM HOLDING PERIOD
COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
JOHNSON & JOHNSON	478160104	S 12/12/12 \$	153 18 \$	142 54 \$	0 00 \$	0.00
	JNJ	02/21/13	2 00			
Security Subtotal		\$	163.88 \$	152.50 \$	0.00 \$	0.00
MATSON INC	57686G105	S 12/07/12 \$	15 55 \$	14 10 \$	0 00 \$	0.00
	MATX	02/21/13	0 60			
MATSON INC	57686G105	S 12/07/12 \$	128 39 \$	116 40 \$	0 00 \$	0.00
	MATX	02/21/13	5 00			
Security Subtotal		\$	143.94 \$	130.50 \$	0.00 \$	0.00
MERCK & CO INC NEW	58933Y105	S 01/09/13 \$	33 29 \$	33 31 \$	0 00 \$	0.00
	MRK	02/21/13	0 78			
MERCK & CO INC NEW	58933Y105	S 01/09/13 \$	42 35 \$	42 37 \$	0 00 \$	0.00
	MRK	02/21/13	1 00			
Security Subtotal		\$	75.64 \$	75.68 \$	0.00 \$	0.00
NORTHWEST NATURAL GAS CO	667655104	S	729 62 \$	689 33 \$	0 00 \$	0.00
	NWN	02/21/13	16 00			
Security Subtotal		\$	729.62 \$	689.33 \$	0.00 \$	0.00
PHILLIPS 66	718546104	S 12/04/12 \$	16 97 \$	14 25 \$	0 00 \$	0.00
	PSX	02/21/13	0 27			
Security Subtotal		\$	16.97 \$	14.25 \$	0.00 \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Charles SCHWAB

Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with **Box A** checked)

6b-Basis reported to IRS

SHORT-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
SCHLUMBERGER LTD F	806857108	S 01/14/13	\$ 585.50	\$ 550.00	\$ 0.00	\$ 0.00
	SLB	02/28/13	7.50			
Security Subtotal			\$ 585.50	\$ 550.00	\$ 0.00	\$ 0.00
SPECTRA ENERGY CORP	847560109	S 12/11/12	\$ 5.25	\$ 4.85	\$ 0.00	\$ 0.00
	SE	02/21/13	0.17			
SPECTRA ENERGY CORP	847560109	S 12/11/12	\$ 116.89	\$ 108.00	\$ 0.00	\$ 0.00
	SE	02/21/13	4.00			
Security Subtotal			\$ 122.14	\$ 112.85	\$ 0.00	\$ 0.00
WESTAR ENERGY INC	95709T100	S 01/03/13	\$ 2.83	\$ 2.68	\$ 0.00	\$ 0.00
	WR	02/21/13	0.09			
WESTAR ENERGY INC	95709T100	S 01/03/13	\$ 372.24	\$ 352.07	\$ 0.00	\$ 0.00
	WR	02/21/13	12.00			
Security Subtotal			\$ 375.07	\$ 354.75	\$ 0.00	\$ 0.00
3M COMPANY	88579Y101	S 12/13/12	\$ 81.59	\$ 74.11	\$ 0.00	\$ 0.00
	MMM	02/21/13	0.79			
3M COMPANY	88579Y101	S 12/13/12	\$ 308.13	\$ 279.89	\$ 0.00	\$ 0.00
	MMM	02/21/13	3.00			
Security Subtotal			\$ 389.72	\$ 354.00	\$ 0.00	\$ 0.00
Total Short-Term (Cost basis is reported to the IRS)			\$ 9,397.82	\$ 9,000.63		

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, Part I, with **Box B** checked)

6a-Noncovered security

SHORT-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums)		3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
			1e-Quantity sold				
KON PHILIPS ADR FSPONSORED NY SH	500472303 PHG	05/31/12 \$ 02/21/13	3,807.69 135.40	\$	2,388.17 \$	0.00 \$	0.00
Security Subtotal			3,807.69	\$	2,388.17 \$	0.00 \$	0.00
Total Short-Term (Cost basis is available but not reported to the IRS)			3,807.69	\$	2,388.17		

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

charlesSCHWAB

Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)
6a-Noncovered security

SHORT-TERM HOLDING PERIOD
COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
ALLY FINANCIAL INC 6%19	DUE 3704A0GV8	S 09/26/12 \$ 02/04/13	49,880 00 50,000 00	Missing	-- \$	0.00
Security Subtotal		\$ 49,880.00		--	-- \$	0.00
BANK AMER CORP 6 05%38	DUE 06050XA94	S 09/26/12 \$ 02/04/13	60,434 00 55,000 00	Missing	-- \$	0.00
Security Subtotal		\$ 60,434.00		--	-- \$	0.00
COUNTRYWIDE ALT 5 25%35	P 12668ABG9	01/25/13	823 40	Not Provided	-- \$	0.00
COUNTRYWIDE ALT 5 25%35	P 12668ABG9	02/25/13	840 70	Not Provided	-- \$	0.00
COUNTRYWIDE ALT 5 25%35	P 12668ABG9	03/25/13	581 16	Not Provided	-- \$	0.00
COUNTRYWIDE ALT 5 25%35	P 12668ABG9	04/25/13	600 00	Not Provided	-- \$	0.00
COUNTRYWIDE ALT 5 25%35	P 12668ABG9	05/25/13	842 09	Not Provided	-- \$	0.00
COUNTRYWIDE ALT 5 25%35	P 12668ABG9	06/25/13	727 49	Not Provided	-- \$	0.00
COUNTRYWIDE ALT 5 25%35	P 12668ABG9	07/25/13	733 18	Not Provided	-- \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Charles SCHWAB

Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

**TAX YEAR 2013
FORM 1099 COMPOSITE**

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked) **SHORT-TERM HOLDING PERIOD**
6a-Noncovered security **COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS**

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
COUNTRYWIDE ALT 5 25%35	12668ABG9 P	08/25/13	893 91	Not Provided	-- \$	0.00
COUNTRYWIDE ALT 5 25%35	12668ABG9 P	09/25/13	517 99	Not Provided	-- \$	0.00
CWALT INC 5 25%35	12668ABG9 P	10/25/13	995 55	Not Provided	-- \$	0.00
CWALT INC 5 25%35	12668ABG9 P	11/25/13	0.00	Not Provided	-- \$	0.00
CWALT INC 5 25%35	12668ABG9 P	11/25/13	427 75	Not Provided	-- \$	0.00
CWALT INC 5 25%35	12668ABG9 P	12/25/13	599 11	Not Provided	-- \$	0.00
Security Subtotal			\$ 8,582.33	--	-- \$	0.00
COUNTY OF HAWAII 4 25%26GO UTX DUE	419722WY5 S	09/26/12	26,595 00	Missing	-- \$	0.00
Security Subtotal			\$ 26,595.00	--	-- \$	0.00
COUNTY OF KAUAI 5 763%33GO UTX DUE	486116XY7 S	09/26/12	29,763 25	Missing	-- \$	0.00
Security Subtotal			\$ 29,763.25	--	-- \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

charlesSCHWAB

Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

**TAX YEAR 2013
FORM 1099-COMPOSITE**

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked) **SHORT-TERM HOLDING PERIOD**
6a-Noncovered security **COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS**

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
COUNTY OF MAUI 4 2%24GO UTX DUE	577285W88	S 09/26/12 \$ 02/05/13	27,101 75 25,000 00	Missing	-- \$	0.00
Security Subtotal			\$ 27,101.75	--	-- \$	0.00
FED NATL MTG 5 5%33	31392HMNO	P -- \$ 08/25/13	1,844 72	Not Provided	-- \$	0.00
FED NATL MTG 5 5%33	31392HMNO	P -- \$ 09/25/13	1,700 51	Not Provided	-- \$	0.00
FNMA 5 5%33	31392HMNO	P -- \$ 10/25/13	1,233 72	Not Provided	-- \$	0.00
FNMA 5 5%33	31392HMNO	P -- \$ 11/25/13	2,159 22	Not Provided	-- \$	0.00
FNMA 5 5%33	31392HMNO	P -- \$ 12/25/13	1,241 94	Not Provided	-- \$	0.00
Security Subtotal			\$ 8,180.11	--	-- \$	0.00
FHLB 5 125%36 DUE	3133XEXR5	S 09/26/12 \$ 02/04/13	62,055 57 50,000 00	Missing	-- \$	0.00
Security Subtotal			\$ 62,055.57	--	-- \$	0.00
FHLMC 5 5%33REMIC DUE	31394KRS5	R 09/26/12 \$ 01/15/13	2,000 00 2,000 00	Missing	-- \$	0.00
FHLMC 5 5%33REMIC DUE	31394KRS5	R 09/26/12 \$ 02/15/13	2,000 00 2,000 00	Missing	-- \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Charles SCHWAB

Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

**TAX YEAR 2013
FORM 1099-COMPOSITE**

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FHLMC 5 5%33REMIC DUE	31394KRS5	R 09/26/12	\$ 2,000 00	Missing	-- \$	0.00
		03/15/13	2,000 00			
FHLMC 5 5%33REMIC DUE	31394KRS5	R 09/26/12	\$ 2,000 00	Missing	-- \$	0.00
		04/16/13	2,000 00			
FHLMC 5 5%33REMIC DUE	31394KRS5	R 09/26/12	\$ 2,000 00	Missing	-- \$	0.00
		05/15/13	2,000 00			
FHLMC 5 5%33REMIC DUE	31394KRS5	R 09/26/12	\$ 3,000 00	Missing	-- \$	0.00
		06/17/13	3,000 00			
FHLMC 5 5%33REMIC DUE	31394KRS5	R 09/26/12	\$ 1,000 00	Missing	-- \$	0.00
		07/15/13	1,000 00			
FHLMC 5 5%33REMIC DUE	31394KRS5	R 09/26/12	\$ 2,000 00	Missing	-- \$	0.00
		08/15/13	2,000 00			
FHLMC 5 5%33REMIC DUE	31394KRS5	R 09/26/12	\$ 1,000 00	Missing	-- \$	0.00
		09/16/13	1,000 00			
Security Subtotal			\$ 17,000.00	--	-- \$	0.00
FHLMC 6 1%31REMIC DUE	3133TVFP6	R 09/26/12	\$ 1,000 00	Missing	-- \$	0.00
		09/16/13	1,000 00			
Security Subtotal			\$ 1,000.00	--	-- \$	0.00
FHLMC 5 625%35 DUE	3128X4UZ2	S 09/26/12	\$ 100,935 00	Missing	-- \$	0.00
		02/04/13	90,000 00			
Security Subtotal			\$ 100,935.00	--	-- \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Schwab Account # 6803 Attachment

Page 12 of 31

Charles SCHWAB

Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked) **SHORT-TERM HOLDING PERIOD**
6a-Noncovered security **COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS**

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FNMA 5 5%32REMIC DUE	31392FVB0	R 09/26/12	\$ 1,000 00	Missing	-- \$	0.00
		03/25/13	1,000 00			
FNMA 5 5%32REMIC DUE	31392FVB0	R 09/26/12	\$ 1,000 00	Missing	-- \$	0.00
		05/28/13	1,000 00			
FNMA 5 5%32REMIC DUE	31392FVB0	R 09/26/12	\$ 1,000 00	Missing	-- \$	0.00
		07/25/13	1,000 00			
Security Subtotal			\$ 3,000.00	--	-- \$	0.00
FNMA 5 5%33REMIC DUE	31393T6E1	R 09/26/12	\$ 2,000 00	Missing	-- \$	0.00
		04/25/13	2,000 00			
FNMA 5 5%33REMIC DUE	31393T6E1	R 09/26/12	\$ 3,000 00	Missing	-- \$	0.00
		05/28/13	3,000 00			
FNMA 5 5%33REMIC DUE	31393T6E1	R 09/26/12	\$ 3,000 00	Missing	-- \$	0.00
		06/25/13	3,000 00			
FNMA 5 5%33REMIC DUE	31393T6E1	R 09/26/12	\$ 2,000 00	Missing	-- \$	0.00
		07/25/13	2,000 00			
FNMA 5 5%33REMIC DUE	31393T6E1	R 09/26/12	\$ 2,000 00	Missing	-- \$	0.00
		08/26/13	2,000 00			
FNMA 5 5%33REMIC DUE	31393T6E1	R 09/26/12	\$ 1,000 00	Missing	-- \$	0.00
		09/25/13	1,000 00			
Security Subtotal			\$ 13,000.00	--	-- \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked) **SHORT-TERM HOLDING PERIOD**
6a-Noncovered security **COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS**

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
GNMA PL 004048M 5 5%37	36202EP94 P	01/31/13	487.68	Not Provided	-- \$	0.00 CORRECTED
GNMA PL 004048M 5 5%37	36202EP94 P	02/28/13	418.48	Not Provided	-- \$	0.00 CORRECTED
GNMA PL 004048M 5 5%37	36202EP94 P	03/31/13	303.38	Not Provided	-- \$	0.00 CORRECTED
GNMA PL 004048M 5 5%37	36202EP94 P	04/30/13	375.79	Not Provided	-- \$	0.00 CORRECTED
GNMA PL 004048M 5 5%37	36202EP94 P	05/31/13	490.47	Not Provided	-- \$	0.00 CORRECTED
GNMA PL 004048M 5 5%37	36202EP94 P	06/30/13	308.80	Not Provided	-- \$	0.00 CORRECTED
GNMA PL 004048M 5 5%37	36202EP94 P	07/31/13	396.22	Not Provided	-- \$	0.00 CORRECTED
GNMA PL 004048M 5 5%37	36202EP94 P	08/31/13	147.20	Not Provided	-- \$	0.00 CORRECTED
GNMA PL 004048M 5 5%37	36202EP94 P	09/30/13	415.53	Not Provided	-- \$	0.00 CORRECTED
GNMA PL 004048M 5 5%37	36202EP94 P	10/31/13	256.29	Not Provided	-- \$	0.00 CORRECTED
GNMA PL 004048M 5 5%37	36202EP94 P	11/30/13	293.30	Not Provided	-- \$	0.00 CORRECTED

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
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Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)
6a-Noncovered security

SHORT-TERM HOLDING PERIOD
COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
GNMA PL 004048M 5 5%37	36202EP94	P	12/31/13	Not Provided	-- \$	0.00
Security Subtotal			\$ 4,092.21	--	-- \$	0.00
HAWAII PACIFIC H 5 6XXX**CALLED**	419771AA8	S	09/26/12 \$ 02/05/13	Missing	-- \$	0.00
Security Subtotal			\$ 50,459.00	--	-- \$	0.00
HONOLULU CITY & 4 75%23GO UTX DUE	438670ZZ7	R	09/26/12 \$ 03/01/13	Missing	-- \$	0.00
Security Subtotal			\$ 45,000.00	--	-- \$	0.00
HONOLULU CITY & C 4 5%37UTIL DUE	438701KW3	S	09/26/12 \$ 02/05/13	Missing	-- \$	0.00
Security Subtotal			\$ 53,613.50	--	-- \$	0.00
HONOLULU CITY & CO 4%18UTIL DUE	438701FK5	S	09/26/12 \$ 02/05/13	Missing	-- \$	0.00
Security Subtotal			\$ 16,383.75	--	-- \$	0.00
HONOLULU CITY & CO 5%28GO UTX DUE	438670QF1	S	09/26/12 \$ 02/05/13	Missing	-- \$	0.00
Security Subtotal			\$ 28,316.50	--	-- \$	0.00
Security Subtotal			\$ 28,316.50	--	-- \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099-COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)
6a-Noncovered security

SHORT-TERM HOLDING PERIOD
COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
HONOLULU CITY & 6 339%35UTIL SWR DUE	438701PT5	S 09/26/12 \$ 02/05/13	56,253.00 50,000.00	Missing	-- \$	0.00
Security Subtotal			\$ 56,253.00	--	-- \$	0.00
MERCK & CO INC NEW	58933Y105 MRK	S 09/26/12 \$ 02/21/13	3,218.68 76.00	Missing	-- \$	0.00
MERCK & CO INC NEW	58933Y105 MRK	S 09/26/12 \$ 02/21/13	4,235.41 100.00	Missing	-- \$	0.00
Security Subtotal			\$ 7,454.09	--	-- \$	0.00
PACIFIC BELL TE 7 375%43 DUE	694032AV5	R 09/26/12 \$ 07/15/13	25,722.50 25,000.00	Missing	-- \$	0.00
Security Subtotal			\$ 25,722.50	--	-- \$	0.00
PR CONV BUREAU 4 5%36TAX DUE	745266BD7	S 09/26/12 \$ 02/05/13	9,200.50 10,000.00	Missing	-- \$	0.00
Security Subtotal			\$ 9,200.50	--	-- \$	0.00
PUERTO RICO CMWLTH 5%34GO LTX DUE	74514LQW0	S 09/26/12 \$ 02/05/13	9,750.00 10,000.00	Missing	-- \$	0.00
Security Subtotal			\$ 9,750.00	--	-- \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)

6a-Noncovered security

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
PUERTO RICO CMWLTH 5%34GO LTX DUE	74514LQV2	S 09/26/12 02/05/13	\$ 15,874.80 15,000.00	Missing	-- \$	0.00
Security Subtotal			\$ 15,874.80	--	-- \$	0.00
SELECT NTS TR VAR 33 DUE	81619PAC1	S 09/26/12 02/04/13	\$ 75,937.50 75,000.00	Missing	-- \$	0.00
Security Subtotal			\$ 75,937.50	--	-- \$	0.00
STATE OF HAWAII 5%26GO UTX DUE	419787BC9	S 09/26/12 02/05/13	\$ 16,833.75 15,000.00	Missing	-- \$	0.00
Security Subtotal			\$ 16,833.75	--	-- \$	0.00
STATE OF HAWAII 5%39TRAN DUE	419794XR8	S 09/26/12 02/05/13	\$ 173,798.40 155,000.00	Missing	-- \$	0.00
Security Subtotal			\$ 173,798.40	--	-- \$	0.00
STATE OF HAWAII 3 8%17GO UTX DUE	4197805V9	S 09/26/12 02/05/13	\$ 55,322.50 50,000.00	Missing	-- \$	0.00
Security Subtotal			\$ 55,322.50	--	-- \$	0.00
STATE OF HAWAII 4 2%21GO UTX DUE	419787AR7	S 09/26/12 02/05/13	\$ 22,039.60 20,000.00	Missing	-- \$	0.00
Security Subtotal			\$ 22,039.60	--	-- \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
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FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099-B COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)

6a-Noncovered security

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
STATE OF ILLINO 6 725%35GO UTX DUE	452152FA4	S 09/26/12 02/05/13	\$ 83,437.50 75,000.00	Missing	-- \$	0.00
Security Subtotal			\$ 83,437.50	--	-- \$	0.00
UNIV HAWAII AT 4 625%31EDUC PUB DUE	91428LBC9	S 09/26/12 02/05/13	\$ 21,277.60 20,000.00	Missing	-- \$	0.00
Security Subtotal			\$ 21,277.60	--	-- \$	0.00
V F CORP 6%33 DUE	918204AR9	S 09/26/12 02/04/13	\$ 57,750.00 50,000.00	Missing	-- \$	0.00
Security Subtotal			\$ 57,750.00	--	-- \$	0.00
VAN KAMPEN INSD INC TR *	92114K824	P -- \$ 09/25/13	10,093.50	Not Provided	-- \$	0.00
Security Subtotal			\$ 10,093.50	--	-- \$	0.00
VIRGIN ISLANDS PFA 5%31TAX ALLC DUE	927676KV7	S 09/26/12 02/05/13	\$ 41,276.40 40,000.00	Missing	-- \$	0.00
Security Subtotal			\$ 41,276.40	--	-- \$	0.00
Total Short-Term (Cost basis is missing and not reported to the IRS)			\$ 1,287,413.61	--		
Total Short-Term Sales Price of Stocks, Bonds, etc.			\$ 1,300,619.12	\$		11,388.80

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Long-term (Report on Form 8949, Part II, with **Box A** checked)

6b-Basis reported to IRS

LONG-TERM HOLDING PERIOD
COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
DUKE REALTY CORP REIT	264411505 DRE	11/04/11 \$ 02/21/13	3,096 13 200 00	2,300 69 \$	0 00 \$	0.00
DUKE REALTY CORP REIT	264411505 DRE	11/04/11 \$ 02/21/13	3,560 55 230 00	2,645 79 \$	0 00 \$	0.00
DUKE REALTY CORP REIT	264411505 DRE	11/04/11 \$ 02/21/13	4,458 43 288 00	3,313 00 \$	0 00 \$	0.00
DUKE REALTY CORP REIT	264411505 DRE	11/04/11 \$ 02/21/13	4,644 20 300 00	3,451 03 \$	0 00 \$	0.00
Security Subtotal		\$ 15,759.31		11,710.51 \$	0.00 \$	0.00
NORTHWEST NATURAL GAS CO	667655104 NWN	11/04/11 \$ 02/21/13	42 76 0 93	43 49 \$	0 00 \$	0.00
Security Subtotal		\$ 42.76		43.49 \$	0.00 \$	0.00
Total Long-Term (Cost basis is reported to the IRS)		\$ 15,802.07		11,754.00		

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

charlesSCHWAB

Schwab One® Account of
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FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Long-term (Report on Form 8949, Part II, with Box B checked)

LONG-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums)		3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
			1e-Quantity sold				
ALCOA INC	013817101 AA	11/04/11 \$ 02/21/13	837 28 97 00	\$	1,047 11 \$	0 00 \$	0.00
ALCOA INC	013817101 AA	11/04/11 \$ 02/21/13	863 08 100 00	\$	1,079 50 \$	0 00 \$	0.00
ALCOA INC	013817101 AA	11/04/11 \$ 02/21/13	863 18 100 00	\$	1,079 50 \$	0 00 \$	0.00
ALCOA INC	013817101 AA	11/04/11 \$ 02/21/13	1,303 25 151 00	\$	1,630 05 \$	0 00 \$	0.00
ALCOA INC	013817101 AA	11/04/11 \$ 02/21/13	1,726 16 200 00	\$	2,159 00 \$	0 00 \$	0.00
ALCOA INC	013817101 AA	11/04/11 \$ 02/21/13	1,726 16 200 00	\$	2,159 00 \$	0 00 \$	0.00
Security Subtotal		\$	7,319.11	\$	9,154.16	\$	0.00
ALEXANDER & BALDWIN NEW	014491104 ALEX	11/04/11 \$ 02/21/13	3,435 92 100 00	\$	2,154 09 \$	0 00 \$	0.00
ALEXANDER & BALDWIN NEW	014491104 ALEX	11/04/11 \$ 02/21/13	6,873 85 200 00	\$	4,308 18 \$	0 00 \$	0.00
ALEXANDER & BALDWIN NEW	014491104 ALEX	11/04/11 \$ 02/21/13	6,881 85 200 00	\$	4,308 18 \$	0 00 \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Charles SCHWAB

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4856-6803

TAX YEAR 2013
FORM 1099-COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Department of the Treasury-Internal Revenue Service

Form 1099-B

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Long-term (Report on Form 8949, Part II, with **Box B** checked)
6a-Noncovered security

LONG-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
ALEXANDER & BALDWIN NEW	014491104 ALEX	11/04/11 02/21/13	\$ 12,709 22 370 00	\$ 7,970 12	\$ 0 00	\$ 0 00
Security Subtotal			\$ 29,900.84	\$ 18,740.57	\$ 0.00	\$ 0.00
BANK OF HAWAII CORP	062540109 BOH	11/04/11 02/21/13	\$ 4,863 99 100 00	\$ 4,240 00	\$ 0 00	\$ 0 00
BANK OF HAWAII CORP	062540109 BOH	11/04/11 02/21/13	\$ 4,863 99 100 00	\$ 4,240 00	\$ 0 00	\$ 0 00
BANK OF HAWAII CORP	062540109 BOH	11/04/11 02/21/13	\$ 4,863 99 100 00	\$ 4,240 00	\$ 0 00	\$ 0 00
BANK OF HAWAII CORP	062540109 BOH	11/04/11 02/21/13	\$ 7,831 02 161 00	\$ 6,826 40	\$ 0 00	\$ 0 00
BANK OF HAWAII CORP	062540109 BOH	11/04/11 02/21/13	\$ 9,437 50 194 00	\$ 8,225 60	\$ 0 00	\$ 0 00
Security Subtotal			\$ 31,860.49	\$ 27,772.00	\$ 0.00	\$ 0.00
CENTERPOINT ENERGY INC	15189T107 CNP	11/04/11 02/21/13	\$ 3 63 0 17	\$ 3 56	\$ 0 00	\$ 0 00
CENTERPOINT ENERGY INC	15189T107 CNP	11/04/11 02/21/13	\$ 20,769 25 1,004 82	\$ 20,337 64	\$ 0 00	\$ 0 00
Security Subtotal			\$ 20,772.88	\$ 20,341.20	\$ 0.00	\$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Long-term (Report on Form 8949, Part II, with **Box B** checked)

6a-Noncovered security

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8-Description	CUSIP Number 1d-Stock or other symbol	**	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
CHEVRON CORPORATION	166764100	S	11/04/11	\$ 68,655.87	\$ 63,323.34	\$ 0.00	\$ 0.00
	CVX		02/21/13	600.00			
Security Subtotal				\$ 68,655.87	\$ 63,323.34	\$ 0.00	\$ 0.00
CITIGROUP INC NEW	172967424	S	11/04/11	\$ 10,145.53	\$ 7,273.20	\$ 0.00	\$ 0.00
	C		02/21/13	240.00			
Security Subtotal				\$ 10,145.53	\$ 7,273.20	\$ 0.00	\$ 0.00
CLOROX COMPANY	189054109	S	11/04/11	\$ 7,104.38	\$ 5,543.56	\$ 0.00	\$ 0.00
	CLX		02/21/13	86.00			
CLOROX COMPANY	189054109	S	11/04/11	\$ 8,013.09	\$ 6,252.62	\$ 0.00	\$ 0.00
	CLX		02/21/13	97.00			
CLOROX COMPANY	189054109	S	11/04/11	\$ 8,260.91	\$ 6,446.00	\$ 0.00	\$ 0.00
	CLX		02/21/13	100.00			
CLOROX COMPANY	189054109	S	11/04/11	\$ 8,261.01	\$ 6,446.00	\$ 0.00	\$ 0.00
	CLX		02/21/13	100.00			
CLOROX COMPANY	189054109	S	11/04/11	\$ 8,262.01	\$ 6,446.00	\$ 0.00	\$ 0.00
	CLX		02/21/13	100.00			
CLOROX COMPANY	189054109	S	11/04/11	\$ 33,048.06	\$ 25,784.00	\$ 0.00	\$ 0.00
	CLX		02/21/13	400.00			
Security Subtotal				\$ 72,949.46	\$ 56,918.18	\$ 0.00	\$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Charles SCHWAB

Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Long-term (Report on Form 8949, Part II, with **Box B** checked)
6a-Noncovered security

LONG-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
COCA COLA COMPANY	191216100 KO	11/04/11 02/21/13	\$ 94,102.88 2,500.00	\$ 84,650.00	\$ 0.00	\$ 0.00
Security Subtotal			\$ 94,102.88	\$ 84,650.00	\$ 0.00	\$ 0.00
CONOCOPHILLIPS	20825C104 COP	11/04/11 02/21/13	\$ 6,564.55 114.00	\$ 6,169.79	\$ 0.00	\$ 0.00
Security Subtotal			\$ 6,564.55	\$ 6,169.79	\$ 0.00	\$ 0.00
DOW CHEMICAL COMPANY	260543103 DOW	11/04/11 02/21/13	\$ 55,354.17 1,800.00	\$ 50,602.50	\$ 0.00	\$ 0.00
Security Subtotal			\$ 55,354.17	\$ 50,602.50	\$ 0.00	\$ 0.00
DUKE ENERGY CORP NEW	26441C204 DUK	11/04/11 02/21/13	\$ 29,998.23 435.00	\$ 26,190.01	\$ 0.00	\$ 0.00
Security Subtotal			\$ 29,998.23	\$ 26,190.01	\$ 0.00	\$ 0.00
EASTMAN KODAK COMPANYXXXBANKRUPT	277461109	11/04/11 02/21/13	\$ 90.90 450.00	\$ 501.75	\$ 0.00	\$ 0.00
Security Subtotal			\$ 90.90	\$ 501.75	\$ 0.00	\$ 0.00
EXXON MOBIL CORPORATION	30231G102 XOM	11/04/11 02/21/13	\$ 8,857.00 100.00	\$ 7,799.27	\$ 0.00	\$ 0.00
EXXON MOBIL CORPORATION	30231G102 XOM	11/04/11 02/21/13	\$ 17,713.80 200.00	\$ 15,598.54	\$ 0.00	\$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
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Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Long-term (Report on Form 8949, Part II, with Box B checked)

6a-Noncovered security

LONG-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
EXXON MOBIL CORPORATION	30231G102 XOM	11/04/11 \$ 02/21/13	18,599 50 210 00	16,378 46 \$	0 00 \$	0.00
EXXON MOBIL CORPORATION	30231G102 XOM	11/04/11 \$ 02/21/13	26,570 70 300 00	23,397 81 \$	0 00 \$	0.00
EXXON MOBIL CORPORATION	30231G102 XOM	11/04/11 \$ 02/21/13	26,570 70 300 00	23,397 81 \$	0 00 \$	0.00
Security Subtotal		\$	98,311.70	86,571.89 \$	0.00 \$	0.00
HAWAIIAN ELEC INDUSTRIES	419870100 HE	11/04/11 \$ 02/21/13	2,680 04 100 00	2,626 50 \$	0 00 \$	0.00
HAWAIIAN ELEC INDUSTRIES	419870100 HE	11/04/11 \$ 02/21/13	2,681 04 100 00	2,626 50 \$	0 00 \$	0.00
HAWAIIAN ELEC INDUSTRIES	419870100 HE	11/04/11 \$ 02/21/13	2,681 25 100 00	2,626 50 \$	0 00 \$	0.00
HAWAIIAN ELEC INDUSTRIES	419870100 HE	11/04/11 \$ 02/21/13	2,682 04 100 00	2,626 50 \$	0 00 \$	0.00
HAWAIIAN ELEC INDUSTRIES	419870100 HE	11/04/11 \$ 02/21/13	2,682 04 100 00	2,626 50 \$	0 00 \$	0.00
HAWAIIAN ELEC INDUSTRIES	419870100 HE	11/04/11 \$ 02/21/13	2,682 04 100 00	2,626 50 \$	0 00 \$	0.00
HAWAIIAN ELEC INDUSTRIES	419870100 HE	11/04/11 \$ 02/21/13	2,682 04 100 00	2,626 50 \$	0 00 \$	0.00
HAWAIIAN ELEC INDUSTRIES	419870100 HE	11/04/11 \$ 02/21/13	2,682 04 100 00	2,626 50 \$	0 00 \$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
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Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Long-term (Report on Form 8949, Part II, with Box B checked)

6a-Noncovered security

LONG-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
HAWAIIAN ELEC INDUSTRIES	419870100 HE	11/04/11 02/21/13	\$ 5,065 28 189 00	\$ 4,964.08	\$ 0 00	\$ 0.00
HAWAIIAN ELEC INDUSTRIES	419870100 HE	11/04/11 02/21/13	\$ 5,360 08 200 00	\$ 5,253 00	\$ 0 00	\$ 0.00
HAWAIIAN ELEC INDUSTRIES	419870100 HE	11/04/11 02/21/13	\$ 52,609 19 1,963 00	\$ 51,558 19	\$ 0 00	\$ 0.00
Security Subtotal			\$ 81,805.04	\$ 80,160.77	\$ 0 00	\$ 0.00
INTEGRYS ENERGY GROUP	45822P105 TEG	11/04/11 02/21/13	\$ 2,367 33 42 00	\$ 2,222 64	\$ 0 00	\$ 0.00
INTEGRYS ENERGY GROUP	45822P105 TEG	11/04/11 02/21/13	\$ 5,636 27 100 00	\$ 5,292 00	\$ 0 00	\$ 0.00
INTEGRYS ENERGY GROUP	45822P105 TEG	11/04/11 02/21/13	\$ 5,636 47 100 00	\$ 5,292 00	\$ 0 00	\$ 0.00
INTEGRYS ENERGY GROUP	45822P105 TEG	11/04/11 02/21/13	\$ 10,991 13 195 00	\$ 10,319 40	\$ 0 00	\$ 0.00
Security Subtotal			\$ 24,631.20	\$ 23,126.04	\$ 0 00	\$ 0.00
INTL BUSINESS MACHINES	459200101 IBM	11/04/11 02/21/13	\$ 25,815 08 130 00	\$ 24,172 20	\$ 0 00	\$ 0.00
Security Subtotal			\$ 25,815.08	\$ 24,172.20	\$ 0 00	\$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Long-term (Report on Form 8949, Part II, with Box B checked)

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LONG-TERM HOLDING PERIOD

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8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
JOHNSON & JOHNSON	478160104	S 11/04/11	\$ 19,147.83	\$ 15,993.76	\$ 0.00	\$ 0.00
	JNJ	02/21/13	250.00			
Security Subtotal			\$ 19,147.83	\$ 15,993.76	\$ 0.00	\$ 0.00
KON PHILIPS ADR NY SH	500472303	S 11/04/11	\$ 2,812.26	\$ 2,063.00	\$ 0.00	\$ 0.00
	PHG	02/21/13	100.00			
KON PHILIPS ADR NY SH	500472303	S 11/04/11	\$ 2,812.26	\$ 2,063.00	\$ 0.00	\$ 0.00
	PHG	02/21/13	100.00			
KON PHILIPS ADR NY SH	500472303	S 11/04/11	\$ 3,374.44	\$ 2,475.60	\$ 0.00	\$ 0.00
	PHG	02/21/13	120.00			
KON PHILIPS ADR NY SH	500472303	S 11/04/11	\$ 5,624.07	\$ 4,126.00	\$ 0.00	\$ 0.00
	PHG	02/21/13	200.00			
KON PHILIPS ADR NY SH	500472303	S 11/04/11	\$ 56,622.99	\$ 41,540.42	\$ 0.00	\$ 0.00
	PHG	02/21/13	2,013.59			
Security Subtotal			\$ 71,246.02	\$ 52,268.02	\$ 0.00	\$ 0.00
MATSON INC	57686G105	S 11/04/11	\$ 1,925.29	\$ 1,488.31	\$ 0.00	\$ 0.00
	MATX	02/21/13	75.00			
MATSON INC	57686G105	S 11/04/11	\$ 2,567.74	\$ 1,984.41	\$ 0.00	\$ 0.00
	MATX	02/21/13	100.00			
MATSON INC	57686G105	S 11/04/11	\$ 17,845.81	\$ 13,791.65	\$ 0.00	\$ 0.00
	MATX	02/21/13	695.00			
Security Subtotal			\$ 22,338.84	\$ 17,264.37	\$ 0.00	\$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Long-term (Report on Form 8949, Part II, with Box B checked)

6a-Noncovered security

LONG-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
NORTHWEST NATURAL GAS CO	667655104 NWN	11/04/11 02/21/13	\$ 36,480.78 800.00	\$ 37,152.00	\$ 0.79	\$ 0.00
Security Subtotal			\$ 36,480.78	\$ 37,152.00	\$ 0.79	\$ 0.00
PHILLIPS 66	718546104 PSX	11/04/11 02/21/13	\$ 3,515.74 57.00	\$ 1,833.57	\$ 0.00	\$ 0.00
Security Subtotal			\$ 3,515.74	\$ 1,833.57	\$ 0.00	\$ 0.00
ROYAL DUTCH SHELL A ADRFSPONSORED ADR	780259206 RDS/A	11/04/11 02/21/13	\$ 52,160.43 800.00	\$ 55,644.00	\$ 0.00	\$ 0.00
Security Subtotal			\$ 52,160.43	\$ 55,644.00	\$ 0.00	\$ 0.00
SCHLUMBERGER LTD F	806857108 SLB	11/04/11 02/28/13	\$ 39.51 0.50	\$ 38.16	\$ 0.00	\$ 0.00
SCHLUMBERGER LTD F	806857108 SLB	11/04/11 02/28/13	\$ 15,599.65 200.00	\$ 15,065.00	\$ 0.00	\$ 0.00
SCHLUMBERGER LTD F	806857108 SLB	11/04/11 02/28/13	\$ 54,013.28 692.49	\$ 52,162.06	\$ 0.00	\$ 0.00
SCHLUMBERGER LTD F	806857108 SLB	11/04/11 02/28/13	\$ 86,344.07 1,107.00	\$ 83,384.78	\$ 0.00	\$ 0.00
Security Subtotal			\$ 155,996.51	\$ 150,650.00	\$ 0.00	\$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Charles SCHWAB

Schwab One® Account of
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Account Number
4856-6803

TAX YEAR 2013
FORM 1099-COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Long-term (Report on Form 8949, Part II, with **Box B** checked)

6a-Noncovered security

LONG-TERM HOLDING PERIOD

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8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
SPECTRA ENERGY CORP	847560109 SE	11/04/11 02/21/13	\$ 10,812.27 370.00	\$ 10,715.20	\$ 0.00	\$ 0.00
Security Subtotal			\$ 10,812.27	\$ 10,715.20	\$ 0.00	\$ 0.00
WESTAR ENERGY INC	95709T100 WR	11/04/11 02/21/13	\$ 3,102.03 100.00	\$ 2,727.50	\$ 0.00	\$ 0.00
WESTAR ENERGY INC	95709T100 WR	11/04/11 02/21/13	\$ 5,428.56 175.00	\$ 4,773.12	\$ 0.00	\$ 0.00
WESTAR ENERGY INC	95709T100 WR	11/04/11 02/21/13	\$ 6,204.06 200.00	\$ 5,455.00	\$ 0.00	\$ 0.00
WESTAR ENERGY INC	95709T100 WR	11/04/11 02/21/13	\$ 18,612.78 600.00	\$ 16,365.00	\$ 0.00	\$ 0.00
Security Subtotal			\$ 33,347.43	\$ 29,320.62	\$ 0.00	\$ 0.00
3M COMPANY	88579Y101 MMM	11/04/11 02/21/13	\$ 20,541.73 200.00	\$ 15,766.00	\$ 0.00	\$ 0.00
3M COMPANY	88579Y101 MMM	11/04/11 02/21/13	\$ 20,541.74 200.00	\$ 15,766.00	\$ 0.00	\$ 0.00
3M COMPANY	88579Y101 MMM	11/04/11 02/21/13	\$ 20,541.74 200.00	\$ 15,766.00	\$ 0.00	\$ 0.00
Security Subtotal			\$ 61,625.21	\$ 47,298.00	\$ 0.00	\$ 0.00
Total Long-Term (Cost basis is available but not reported to the IRS)			\$ 1,124,948.99	\$ 1,003,807.14		

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Account Number
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TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Long-term (Report on Form 8949, Part II, with **Box B** checked)

6a-Noncovered security

LONG-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FHLMC 5 5%33REMIC DUE	31394KRS5	R 09/26/12 \$ 10/15/13	1,000 00 1,000 00	Missing	-- \$	0.00
FHLMC 5 5%33REMIC DUE	31394KRS5	R 09/26/12 \$ 11/15/13	3,000 00 3,000 00	Missing	-- \$	0.00
FHLMC 5 5%33REMIC DUE	31394KRS5	R 09/26/12 \$ 12/16/13	1,000 00 1,000 00	Missing	-- \$	0.00
Security Subtotal		\$ 5,000.00		--	-- \$	0.00
FNMA 5 5%33REMIC DUE	31393T6E1	R 09/26/12 \$ 10/25/13	1,000 00 1,000 00	Missing	-- \$	0.00
FNMA 5 5%33REMIC DUE	31393T6E1	R 09/26/12 \$ 11/25/13	1,000 00 1,000 00	Missing	-- \$	0.00
FNMA 5 5%33REMIC DUE	31393T6E1	R 09/26/12 \$ 12/26/13	1,000 00 1,000 00	Missing	-- \$	0.00
Security Subtotal		\$ 3,000.00		--	-- \$	0.00
Total Long-Term (Cost basis is missing and not reported to the IRS)		\$ 8,000.00		--		

Total Long-Term Sales Price of Stocks, Bonds, etc. \$ 1,148,751.06 \$ 1,015,561.14

Total Sales Price of Stocks, Bonds, etc. \$ 2,449,370.18

Total Federal Income Tax Withheld \$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 01-0629526

Date Prepared: April 4, 2014

Notes for your Form 1099-B

Schwab has provided cost basis information whenever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. When value for the report is unavailable, it is noted as follows: "Missing" or "Not Provided"

Not Provided

Schwab is not providing Cost Basis on this security type

Missing

Cost Basis may be missing due to one of the following reasons

- Cost basis data may not be available for a number of reasons (for example, the security was purchased outside of Schwab and we did not receive cost basis from the transferring firm)
- The security was purchased more than 10 years ago

Acquisition Date

If there is more than one lot in a sell transaction, the acquisition date for the individual lot in the transaction can be found in the Realized Gain Loss section of the Year End Summary

(1) If the acquisition date is blank, your sell transaction included multiple tax lots purchased on different acquisition dates within the same holding period and covered/non-covered status. The lots in the transaction are rolled up into a single sell transaction and thus **Box 1b-Date of Acquisition** will be blank

(2) If the cost basis is missing or not provided, a short-term holding period may have been applied for a position that may have been held long term

Box (1c) Type of Gain or Loss

Long-term Long-Term Realized Gain or Loss has a holding period greater than one year
Short-term Short-Term Realized Gain or Loss has a holding period of one year or less

**Activity Codes (Not reported to the IRS)

C = Cash in Lieu	E = Exchange	P = Principal	S = Sale	T = Tender
CV = Conversion	M = Cash Merger	MT = Maturity	R = Redemption	SS = Short Sale

- The cost basis and basis adjustments for covered securities are reported to the IRS.
- Gross Proceeds from each of your security transactions are reported individually to the IRS.
- Gross Proceeds in aggregate are not reported to the IRS and should not be so reported on your tax return.

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Schwab One® Account of
THE BEN AND MIRIAM LAU
FOUNDATION

Account Number
4856-6803

TAX YEAR 2013
YEAR-END SUMMARY

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Date Prepared: April 4, 2014

Realized Gain or (Loss) Summary

Total Realized Gain or (Loss)

Description	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
Total Short-Term Realized Gain or (Loss) (Cost basis is reported to the IRS Report on Form 8949, Part I, with Box A checked)	\$ 9,397.82 \$	\$ 9,000.63 \$	0.00 \$	397.19
Total Short-Term Realized Gain or (Loss) (Cost basis is available but not reported to the IRS Report on Form 8949, Part I, with Box B checked)	\$ 3,807.69 \$	\$ 2,388.17 \$	0.00 \$	1,419.52
Total Short-Term Realized Gain or (Loss) (Cost basis is missing and not reported to the IRS Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)	\$ 1,256,465.46	1,220,173.88	--	--
Total Short-Term Realized Gain or (Loss)	\$ 1,269,670.97 \$	\$ 11,388.80 ¹ \$	0.00 \$	1,816.71 ¹
Total Long-Term Realized Gain or (Loss) (Cost basis is reported to the IRS Report on Form 8949, Part II, with Box A checked)	\$ 15,802.07 \$	\$ 11,754.00 \$	0.00 \$	4,048.07
Total Long-Term Realized Gain or (Loss) (Cost basis is available but not reported to the IRS Report on Form 8949, Part II, with Box B checked)	\$ 1,124,948.99 \$	\$ 1,003,807.14 \$	0.79 \$	121,142.64
Total Long-Term Realized Gain or (Loss) (Cost basis is missing and not reported to the IRS Report on Form 8949, Part II with Box B checked)	\$ 8,000.00	--	--	--
Total Long-Term Realized Gain or (Loss)	\$ 1,148,751.06 \$	\$ 1,015,561.14 ¹ \$	0.79 \$	125,190.71 ¹
TOTAL REALIZED GAIN OR (LOSS)	\$ 2,418,422.03 \$	\$ 1,026,949.94 ¹ \$	0.79 \$	127,007.42 ¹

**BEN & MIRIAM LAU FOUNDATION
C/O RICHARD C. WO**

01-0629526

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PALOLO CHINESE HOME 2459 10TH AVE HONOLULU, HI 96816		501(C)(3)	CAPITAL CAMPAIGN - PROGRESSIVE CARE COMPLEX	20,000.
REHABILITATION HOSPITAL OF THE PACIFIC FOUNDATION 226 N. KUAKINI ST HONOLULU, HI 96817		501(C)(3)	CAPITAL CAMPAIGN - HOSPITAL RENOVATION	20,000.
UH FOUNDATION 2444 DONE ST, BACHMAN HALL 105 HONOLULU, HI 96822		501(C)(3)	JABSOM SCHOLARSHIP	160,000.
Total from continuation sheets				200,000.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
SCHWAB ACCT # 3097	2,706.	2,706.	
SCHWAB ACCT # 3526	1,750.	1,750.	
SCHWAB ACCT # 6803	48,390.	33,695.	
TOTAL TO PART I, LINE 3	52,846.	38,151.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CAPITAL GAINS -					
SCHWAB ACCT # 3526	3,145.	3,145.	0.	0.	
CAPITAL GAINS -					
SCHWAB ACCT # 6803	75.	75.	0.	0.	
DIVIDENDS - SCHWAB					
ACCT # 3526	5,242.	0.	5,242.	5,242.	
DIVIDENDS - SCHWAB					
ACCT # 6803	11,897.	0.	11,897.	11,897.	
NON DIVIDEND -					
SCHWAB ACCT # 6803	593.	0.	593.	0.	
OID - SCHWAB ACCT					
# 3526	417.	0.	417.	0.	
TREASURY INTEREST					
- SCHWAB ACCT #					
3097	895.	0.	895.	895.	
TREASURY INTEREST					
- SCHWAB ACCT #					
3526	621.	0.	621.	621.	
TO PART I, LINE 4	22,885.	3,220.	19,665.	18,655.	

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTH INC - 1099S	14,756.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	14,756.	0.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	26,542.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	26,542.	0.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT EXPENSE	460.	0.		0.
INVESTMENT FEES	2,688.	0.		0.
TO FORM 990-PF, PG 1, LN 16C	3,148.	0.		0.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES	4,902.	0.		0.
FOREIGN TAX	183.	0.		0.
TO FORM 990-PF, PG 1, LN 18	5,085.	0.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
POSTAGE, MAILING SERVICE	13.	0.		0.
OTHER INVESTMENT EXPENSE	1,140.	0.		0.
TO FORM 990-PF, PG 1, LN 23	1,153.	0.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT - CHARLES SCHWAB	1,415,617.	1,410,920.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,415,617.	1,410,920.