Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation
Francis T and Louise T. Nichols Foundation

A Employer identification number
01-0493311

Number and street (or P O box number if mail is not delivered to street address)
PO Box 1210

Room/suite

B Telephone number (see instructions)
207-947-0111

City or town, state or province, country, and ZIP or foreign postal code
Bangor, ME 04402-1210

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 34,387,608

J Accounting method: ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

		Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	12,162	12,162	12,162	
	4	Dividends and interest from securities	675,455	675,455	675,455	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	(38,773)			
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			12,945	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	648,884	687,617	700,562	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	100,912	100,912	100,912	100,912
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	27,012	27,012	27,012	27,012
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	127,924	127,924	127,924	127,924
	25	Contributions, gifts, grants paid	854,000			854,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	981,924	981,924	981,924	981,924
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	(333,040)			
	b	**Net investment income** (if negative, enter -0-)		0		
	c	**Adjusted net income** (if negative, enter -0-)			0	

15

		Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	7,561,914	603,816	603,816
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	10,193,051	17,349,235	34,384,533
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	17,754,965	17,349,235	34,384,533
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	17,754,965	17,349,235	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	17,754,965	17,349,235	
	31	**Total liabilities and net assets/fund balances** (see instructions)	17,754,965	17,349,235	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,754,965
2	Enter amount from Part I, line 27a	2	(333,040)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	17,421,925
5	Decreases not included in line 2 (itemize) ▶ Basis adjustment	5	72,690
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	17,349,235

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b				
c	See Forms 8949 (2) Attached			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	(38,773)
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . }	3	12,945

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	841,842	17,708,630	.0475
2011	730,294	16,801,342	043466
2010	544,000	14,595,762	037271
2009	487,000	12,907,276	037731
2008	404,888	7,325,490	055271

2	**Total** of line 1, column (d)	2	221239
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0442
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	31,834,872
5	Multiply line 4 by line 3	5	1,407 101
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	0
7	Add lines 5 and 6	7	1,407,101
8	Enter qualifying distributions from Part XII, line 4	8	981,924

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary—see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	0
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	

Website address ▶

14 The books are in care of ▶ Calvin E. True Telephone no. ▶ 207-947-0111

Located at ▶ 80 Exchange Street, Bangor, ME ZIP+4 ▶ 04402-1210

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☐ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . . ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) . . . . ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here . . . . ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . **6b** ✓

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Calvin E True P.O Box 1210, Bangor, ME 04402-1210	Trustee	0	0	0
Susan Thibault 546 Spear Street, Charlotte, VT 05445	Trustee	0	0	0
William H Nichols 417 Pettingill Road, Essex Junction, VT 05482	Trustee	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over $50,000 . . . . ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Eaton Peabody P O Box 1210, Bangor, ME 04402-1210	Asset Management	100,912
Maine Asset Management 31 Main Street, Bangor, ME 04401	Investment Advice	27,012

Total number of others receiving over $50,000 for professional services . . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	28,150,167
b	Average of monthly cash balances	1b	4,169,500
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	32,319,667
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	32,319,667
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	484,795
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	31,834,872
6	**Minimum investment return.** Enter 5% of line 5	6	1,591,744

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,591,744
2a	Tax on investment income for 2013 from Part VI, line 5	2a			
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	0
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	1,591,744
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	1,591,744
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	1,591,744

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	981,924
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	981,924
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	981,924

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				1,591,744
2	Undistributed income, if any, as of the end of 2013:				
a	Enter amount for 2012 only			768,731	
b	Total for prior years: 20____,20____,20____				
3	Excess distributions carryover, if any, to 2013:				
a	From 2008				
b	From 2009				
c	From 2010				
d	From 2011				
e	From 2012				
f	**Total** of lines 3a through e				
4	Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 981,924				
a	Applied to 2012, but not more than line 2a			768,731	
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2013 distributable amount				213,193
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e Subtract line 5				
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see instructions				
e	Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				
f	Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				1,378,551
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9:				
a	Excess from 2009				
b	Excess from 2010				
c	Excess from 2011				
d	Excess from 2012				
e	Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter ²/₃ of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Calvin E True, PO Box 1210, Bangor, ME 04402-1210, (207)947-0111

b The form in which applications should be submitted and information and materials they should include:

Applications must be in writing

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Schedule Attached				854,000
Total . . . . ▶ 3a				854,000
b *Approved for future payment*				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events . . .					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . 13 ______

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ 5/14/14 ▶ Trustee

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name Calvin E True	Preparer's signature	Date	Check ☐ if self-employed	PTIN P01460525
Firm's name ▶ Eaton Peabody			Firm's EIN ▶	01-0373027
Firm's address ▶ PO Box 1210, Bangor, ME 04402-1210			Phone no	207-947-0111

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949*.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return: Francis T. & Louise T. Nichols Foundation

Social security number or taxpayer identification number: 01-0493311

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.**		(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	**Social security number or taxpayer identification number**
Francis T & Louise T Nichols Foundation	01-0493311

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☑ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
See Schedule Attached			1,082,767	1,134,485			(51,718)
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			1,082,767	1,134,485			(51,718)

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

2013 Tax Information Statement

Payer's Name and Address:
EATON, PEABODY & CO.
PO BOX 1210, 80 EXCHANGE STREET
BANGOR, ME 04402-1210

Account Number:	430007013
Recipient's Tax ID Number:	01-0493311
Payer's Federal ID Number:	01-0427460
Payer's State ID Number:	
State:	ME
☐ **Corrected**	☐ **2nd TIN notice**

Recipient's Name and Address:
FRANCIS & LOUISE NICHOLS
FOUNDATION
C TRUE, S THIBAULT & W NICHOLS TEES
PO BOX 1210
BANGOR, ME 04401

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip Quantity Sold (Box 1e)	Description (Box 8) Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d) Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Short Term Sales Reported on 1099-B								
26054LNK5	DOW CHEM CO SR INTERNOTES MTNF 3.750% 9/15/18		DCCS18					
80000 0000	09/16/2013	07/23/2013	80,000.00	80,360 00	0.00	-360 00	0 00	0 00
292505104	ENCANA CORPORATION F		ECA					
6000 0000	11/25/2013	06/27/2013	114,698.45	102,422.95	0 00	12,275 50	0.00	0 00
464287226	ISHARES CORE TOTAL US BOND MARKET ETF		AGG					
1400 0000	11/26/2013	07/01/2013	150,424.11	149,960 43	0 00	463 68	0 00	0 00
464287242	ISHARES TR IBOXX INV CPBD		LQD					
1600 0000	12/11/2013	07/01/2013	182,379 81	181,813 75	0 00	566 06	0 00	0 00
Total Short Term Sales Reported on 1099-B			**527,502.37**	**514,557.13**	**0.00**	**12,945.24**	**0.00**	**0.00**
Report on Form 8949, Part I, with Box A checked								
Long Term Sales Reported on 1099-B								
149123101	CATERPILLAR INC		CAT					
4000 0000	11/25/2013		338,674.36	335,718.51	0 00	2,955 86	0 00	0 00
292505104	ENCANA CORPORATION F		ECA					
10000 0000	11/25/2013		191,164 08	217,707 90	0 00	-26,543 82	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Payer's Name and Address:
EATON, PEABODY & CO.
PO BOX 1210, 80 EXCHANGE STREET
BANGOR, ME 04402-1210

Account Number: 430007013
Recipient's Tax ID Number: 01-0493311
Payer's Federal ID Number: 01-0427460
Payer's State ID Number:
State: ME

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
FRANCIS & LOUISE NICHOLS
FOUNDATION
C TRUE, S THIBAULT & W NICHOLS TEES
PO BOX 1210
BANGOR, ME 04401

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description *(Box 8)*		Stock or Other Symbol *(Box 1d)*					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	*(Box 1a)*	*(Box 1b)*	*(Box 2a)*	*(Box 3)*	*(Box 5)*		*(Box 4)*	*(Box 15)*
464287226	ISHARES CORE TOTAL US BOND MARKET ETF		AGG					
2600 0000	11/26/2013	07/18/2012	279,359.06	292,116.35	0.00	-12,757 29	0 00	0 00
464287242	ISHARES TR IBOXX INV CPBD		LQD					
2400 0000	12/11/2013	07/18/2012	273,569.71	288,942 55	0 00	-15,372 84	0 00	0.00
Total Long Term Sales Reported on 1099-B			**1,082,767.21**	**1,134,485.31**	**0.00**	**-51,718.09**	**0.00**	**0.00**

Report on Form 8949, Part II, with Box D checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949*.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2013

Attachment Sequence No. **12A**

Name(s) shown on return: FRANCIS T. & LOUISE T NICHOLS FOUNDATION

Social security number or taxpayer identification number: 01-0493311

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f). **See the separate instructions.**		(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return. (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

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☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 **(a)** Description of property (Example: 100 sh. XYZ Co.)	**(b)** Date acquired (Mo., day, yr.)	**(c)** Date sold or disposed (Mo., day, yr.)	**(d)** Proceeds (sales price) (see instructions)	**(e)** Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f). **See the separate instructions.**		**(h)** **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	**(g)** Amount of adjustment	
SEE SCHEDULE ATTACHED			1,082,767	1,134,485			(51,718)
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			1,082,767	1,134,485			(51,718)

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ **Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949*.**

▶ **File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0074

2013

Attachment Sequence No. **12A**

Name(s) shown on return	**Social security number or taxpayer identification number**
Francis T. & Louise T. Nichols Foundation	01-0493311

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You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☑ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
See Schedule Attached			527,502	514,557			12,945
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			529,502	514,557			12,945

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions. Cat No 37768Z Form **8949** (2013)

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	**Social security number or taxpayer identification number**
Francis T & Louise T Nichols Foundation	01-0493311

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example· 100 sh. XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

2013 Tax Information Statement

Payer's Name and Address:
EATON, PEABODY & CO.
PO BOX 1210, 80 EXCHANGE STREET
BANGOR, ME 04402-1210

Account Number: 430007013
Recipient's Tax ID Number: 01-0493311
Payer's Federal ID Number: 01-0427460
Payer's State ID Number:
State: ME

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
FRANCIS & LOUISE NICHOLS
FOUNDATION
C TRUE, S THIBAULT & W NICHOLS TEES
PO BOX 1210
BANGOR, ME 04401

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip | Description *(Box 8)* | Stock or Other Symbol *(Box 1d)*

Quantity Sold *(Box 1e)*	Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stocks Bonds, etc. *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
Short Term Sales Reported on 1099-B								
26054LNK5	DOW CHEM CO SR INTERNOTES MTNF 3 750% 9/15/18		DCCS18					
80000 0000	09/16/2013	07/23/2013	80,000 00	80,360 00	0.00	-360 00	0 00	0 00
292505104	ENCANA CORPORATION F		ECA					
6000.0000	11/25/2013	06/27/2013	114,698 45	102,422.95	0.00	12,275 50	0.00	0 00
464287226	ISHARES CORE TOTAL US BOND MARKET ETF		AGG					
1400.0000	11/26/2013	07/01/2013	150,424 11	149,960.43	0 00	463 68	0 00	0 00
464287242	ISHARES TR IBOXX INV CPBD		LQD					
1600 0000	12/11/2013	07/01/2013	182,379.81	181,813.75	0.00	566 06	0 00	0 00
Total Short Term Sales Reported on 1099-B			**527,502.37**	**514,557.13**	**0.00**	**12,945.24**	**0.00**	**0.00**
Report on Form 8949, Part I, with Box A checked								
Long Term Sales Reported on 1099-B								
149123101	CATERPILLAR INC		CAT					
4000 0000	11/25/2013		338,674 36	335,718 51	0.00	2,955.86	0 00	0.00
292505104	ENCANA CORPORATION F		ECA					
10000 0000	11/25/2013		191,164.08	217,707.90	0 00	-26,543 82	0.00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949*.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2013

Attachment Sequence No. **12A**

Name(s) shown on return: FRANCIS T. & LOUISE T NICHOLS FOUNDATION

Social security number or taxpayer identification number: 01-0493311

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example. 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
SEE SCHEDULE ATTACHED			527,502	514,557			12,945
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			527,502	514,557			12,945

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return. (Name and SSN or taxpayer identification no. not required if shown on other side.)

Social security number or taxpayer identification number

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 **(a)** Description of property (Example: 100 sh. XYZ Co.)	**(b)** Date acquired (Mo., day, yr.)	**(c)** Date sold or disposed (Mo., day, yr.)	**(d)** Proceeds (sales price) (see instructions)	**(e)** Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f). **See the separate instructions.**		**(h)** **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	**(g)** Amount of adjustment	
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

```
149   BENEFICIARY DISTRIBUTIONS
             DISTRIBUTIONS NOT MATCHED TO BENEFICIARY
             ----------------------------------- ---
05/01/13     GEORGE STEVENS ACADEMY                                        -70000 00       -70000.00                       1305000003
             FRANCIS AND LOUISE NICHOLS
             SCHOLARSHIPS
             CHECK NUMBER          1304240000001
             FOR·
             TR TRAN#=01305010000024  TR CD=601    REG=3232 PORT=INC

12/16/13     GEORGE STEVENS ACADEMY                                        -20000 00       -20000 00                       1312000038
             BALANCE OF 2013 SCHOLARSHIP                                                                      **CHANGED** 01/17/14 TZA
               DISTRIBUTION
             CHECK NUMBER          1312160000001
             FOR·
             TR TRAN#=01312160000019  TR CD=603    REG=3232 PORT=INC

12/16/13     GEORGE STEVENS ACADEMY                                         -3000 00        -3000 00                       1312000039
             2013 DISTRIBUTION TO ANNUAL FUND                                                                 **CHANGED** 01/17/14 TZA
             CHECK NUMBER          1312160000002
             FOR
             TR TRAN#=01312160000020  TR CD=603    REG=3232 PORT=INC

12/16/13     MAINE CHILDREN'S HOME FOR                                     -49000 00       -49000 00                       1312000040
             2013 CHARITABLE DISTRIBUTION                                                                     **CHANGED** 01/17/14 TZA
             CHECK NUMBER          1312160000003
             FOR
             TR TRAN#=01312160000021  TR CD=603    REG=3232 PORT=INC

12/16/13     HANCOCK COUNTY HOMECARE AND HOSPICE                           -49000 00       -49000 00                       1312000041
             2013 CHARITABLE DISTRIBUTION                                                                     **CHANGED** 01/17/14 TZA
             CHECK NUMBER          1312160000004
             FOR.
             TR TRAN#=01312160000022  TR CD=603    REG=3232 PORT=INC

12/16/13     BLUE HILL MEMORIAL HOSPITAL                                   -48000 00       -48000 00                       1312000042
             2013 CHARITABLE DISTRIBUTION                                                                     **CHANGED** 01/17/14 TZA
             CHECK NUMBER          1312160000005
             FOR:
             TR TRAN#=01312160000023  TR CD=603    REG=3232 PORT=INC

12/16/13     SPRUCE RUN                                                    -50500 00       -50500 00                       1312000043
             2013 CHARITABLE DISTRIBUTION                                                                     **CHANGED** 01/17/14 TZA
             CHECK NUMBER          1312160000006
             FOR·
             TR TRAN#=01312160000024  TR CD=603    REG=3232 PORT=INC

12/16/13     PENINSULA AMBULANCE CORPS                                     -29000 00       -29000 00                       1312000044
             2013 CHARITABLE DISTRIBUTION                                                                     **CHANGED** 01/17/14 TZA
             CHECK NUMBER          1312160000007
             FOR·
             TR TRAN#=01312160000025  TR CD=603    REG=3232 PORT=INC

12/16/13     COMMUNITY HEALTH & COUNSELING                                 -29000 00       -29000 00                       1312000045
             2013 CHARITABLE DISTRIBUTION                                                                     **CHANGED** 01/17/14 TZA
             CHECK NUMBER          1312160000008
             FOR.
             TR TRAN#=01312160000026  TR CD=603    REG=3232 PORT=INC

12/16/13     BLUE HILL HERITAGE TRUST                                      -29000.00       -29000 00                       1312000046
             2013 CHARITABLE DISTRIBUTION                                                                     **CHANGED** 01/17/14 TZA
             CHECK NUMBER          1312160000009
             FOR.
             TR TRAN#=01312160000027  TR CD=603    REG=3232 PORT=INC

12/16/13     BLUE HILL PUBLIC LIBRARY                                      -14000.00       -14000 00                       1312000047
             2013 CHARITABLE DISTRIBUTION                                                                     **CHANGED** 01/17/14 TZA
             CHECK NUMBER.         1312160000010
             FOR
             TR TRAN#=01312160000028  TR CD=603    REG=3232 PORT=INC

12/16/13     BROOKLIN VOLUNTEER FIRE CO , INC                              -14000 00       -14000 00                       1312000048
             2013 CHARITABLE DISTRIBUTION                                                                     **CHANGED** 01/17/14 TZA
             CHECK NUMBER          1312160000011
             FOR.
             TR TRAN#=01312160000029  TR CD=603    REG=3232 PORT=INC
```

✓

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                                                                                                                     EDIT
    /DATE TRANSACTIONS                                                      TAXABLE         INCOME      PRINCIPAL   NUMBER
    ----- ------------                                                  ------------    ------------   ------------   ----------

149   BENEFICIARY DISTRIBUTIONS (CONTINUED)
          DISTRIBUTIONS NOT MATCHED TO BENEFICIARY (CONTINUED)
          ---- ------ ------------------- --- ------- ------

12/16/13  BLUE HILL FIRE DEPARTMENT                                       -14000 00      -14000 00                   1312000049
          2013 CHARITABLE DISTRIBUTION                                                              **CHANGED** 01/17/14 TZA
          CHECK NUMBER          1312160000012
          FOR
          TR TRAN#=01312160000030  TR CD=603    REG=3232 PORT=INC

12/16/13  FRIEND MEMORIAL LIBRARY                                         -14000 00      -14000 00                   1312000050
          2013 CHARITABLE DISTRIBUTION                                                              **CHANGED** 01/17/14 TZA
          CHECK NUMBER          1312160000013
          FOR
          TR TRAN#=01312160000031  TR CD=603    REG=3232 PORT=INC

12/16/13  WILLIAMS COLLEGE                                                -14000 00      -14000 00                   1312000051
          2013 CHARITABLE DISTRIBUTION                                                              **CHANGED** 01/17/14 TZA
          CHECK NUMBER          1312160000014
          FOR
          TR TRAN#=01312160000032  TR CD=603    REG=3232 PORT=INC

12/16/13  PENOBSCOT MARINE MUSEUM                                           6000 00        6000 00                   1312000052
          2013 CHARITABLE DISTRIBUTION                                                              **CHANGED** 01/17/14 TZA
          CHECK NUMBER          1312160000015
          FOR
          TR TRAN#=01312160000033  TR CD=603    REG=3232 PORT=INC

12/16/13  MYSTIC SEAPORT MUSEUM                                            -4000 00       -4000 00                   1312000053
          2013 CHARITABLE DISTRIBUTION                                                              **CHANGED** 01/17/14 TZA
          CHECK NUMBER          1312160000016
          FOR
          TR TRAN#=01312160000034  TR CD=603    REG=3232 PORT=INC

12/16/13  MAINE MARITIME MUSEUM                                            -6000 00       -6000 00                   1312000054
          2013 CHARITABLE DISTRIBUTION                                                              **CHANGED** 01/17/14 TZA
          CHECK NUMBER          1312160000017
          FOR.
          TR TRAN#=01312160000035  TR CD=603    REG=3232 PORT=INC

12/16/13  BETH EDEN CHAPEL                                                  1000 00        1000 00                   1312000055
          2013 CHARITABLE DISTRIBUTION                                                              **CHANGED** 01/17/14 TZA
          CHECK NUMBER          1312160000018
          FOR
          TR TRAN#=01312160000036  TR CD=603    REG=3232 PORT=INC

12/16/13  DOWNEAST HEALTH SERVICES                                         25000 00       25000 00                   1312000056
          2013 CHARITABLE DISTRIBUTION                                                              **CHANGED** 01/17/14 TZA
          CHECK NUMBER          1312160000019
          FOR.
          TR TRAN#=01312160000037  TR CD=603    REG=3232 PORT=INC

12/16/13  VOLUNTEERS OF AMERICA                                            -2000 00       -2000.00                   1312000057
          2013 CHARITABLE DISTRIBUTION                                                              **CHANGED** 01/17/14 TZA
          CHECK NUMBER          1312160000020
          FOR
          TR TRAN#=01312160000038  TR CD=603    REG=3232 PORT=INC

12/16/13  BROOKLIN YOUTH CORPS                                              -500 00        -500 00                   1312000058
          2013 CHARITABLE DISTRIBUTION                                                              **CHANGED** 01/17/14 TZA
          CHECK NUMBER          1312160000021
          FOR
          TR TRAN#=01312160000039  TR CD=603    REG=3232 PORT=INC

12/16/13  ISLESFORD BOATWORKS                                              -5000 00       -5000 00                   1312000059
          2013 CHARITABLE DISTRIBUTION                                                              **CHANGED** 01/17/14 TZA
          CHECK NUMBER          1312160000022
          FOR
          TR TRAN#=01312160000040  TR CD=603    REG=3232 PORT=INC

12/16/13  SEABEE MEMORIAL SCHOLARSHIP ASSN INC                            -11000 00      -11000 00                   1312000060
          2013 CHARITABLE DISTRIBUTION                                                              **CHANGED** 01/17/14 TZA
          CHECK NUMBER.         1312160000023
          FOR
          TR TRAN#=01312160000041  TR CD=603    REG=3232 PORT=INC

12/16/13  APDA VERMONT CHAPTER                                             -8000 00       -8000 00                   1312000061
          2013 CHARITABLE DISTRIBUTION                                                              **CHANGED** 01/17/14 TZA
          CHECK NUMBER          1312160000024
          FOR.
          TR TRAN#=01312160000042  TR CD=603    REG=3232 PORT=INC

12/16/13  WOMEN HELPING BATTERED WOMEN                                    -35000 00      -35000 00                   1312000062
          2013 CHARITABLE DISTRIBUTION                                                              **CHANGED** 01/17/14 TZA
          CHECK NUMBER          1312160000025
          FOR
          TR TRAN#=01312160000043  TR CD=603    REG=3232 PORT=INC
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ATE TRANSACTIONS                                     TAXABLE         INCOME        PRINCIPAL   EDIT
                                                                                                NUMBER
---- ------------                                  ------------   ------------   ------------  ----------

49  BENEFICIARY DISTRIBUTIONS (CONTINUED)
       DISTRIBUTIONS NOT MATCHED TO BENEFICIARY (CONTINUED)
       ---------------------------------------------------- -

12/16/13  LUND FAMILY CENTER                             -35000 00                       -35000 00   1312000063
          2013 CHARITABLE DISTRIBUTION                                               **CHANGED** 01/17/14 TZA
          CHECK NUMBER·           1312160000026
          FOR
          TR TRAN#=01312160000044  TR CD=603    REG=3232 PORT=PRIN

12/16/13  RONALD MCDONALD HOUSE CHARITIES                -10000 00      -10000 00                    1312000064
          2013 CHARITABLE DISTRIBUTION                                               **CHANGED** 01/17/14 TZA
          CHECK NUMBER            1312160000027
          FOR
          TR TRAN#=01312160000045  TR CD=603    REG=3232 PORT=INC

12/16/13  VERMONT CHILDREN'S TRUST FOUNDATION            -45000.00      -45000 00                    1312000065
          2013 CHARITABLE DISTRIBUTION                                               **CHANGED** 01/17/14 TZA
          CHECK NUMBER            1312160000028
          FOR
          TR TRAN#=01312160000046  TR CD=603    REG=3232 PORT=INC

12/16/13  LAKE CHAMPLAIN MARITIME MUSEUM                  -6000 00       -6000 00                    1312000066
          2013 CHARITABLE DISTRIBUTION                                               **CHANGED** 01/17/14 TZA
          CHECK NUMBER            1312160000029
          FOR
          TR TRAN#=01312160000047  TR CD=603    REG=3232 PORT=INC

12/16/13  CHAMPLAIN ADAPTIVE MOUNTED PROGRAM              -6000 00       -6000 00                    1312000067
          2013 CHARITABLE DISTRIBUTION                                               **CHANGED** 01/17/14 TZA
          CHECK NUMBER            1312160000030
          FOR
          TR TRAN#=01312160000048  TR CD=603    REG=3232 PORT=INC

12/16/13  CAMP TA-KUM-TA                                 -16000 00      -16000 00                    1312000068
          2013 CHARITABLE DISTRIBUTION                                               **CHANGED** 01/17/14 TZA
          CHECK NUMBER            1312160000031
          FOR
          TR TRAN#=01312160000049  TR CD=603    REG=3232 PORT=INC

12/16/13  MAKE-A-WISH FOUNDATION OF VERMONT               15000 00      -15000 00                    1312000069
          2013 CHARITABLE DISTRIBUTION                                               **CHANGED** 01/17/14 TZA
          CHECK NUMBER            1312160000032
          FOR
          TR TRAN#=01312160000050  TR CD=603    REG=3232 PORT=INC

12/16/13  KIDSAFE                                        -30000 00      -30000 00                    1312000070
          2013 CHARITABLE DISTRIBUTION                                               **CHANGED** 01/17/14 TZA
          CHECK NUMBER            1312160000033
          FOR
          TR TRAN#=01312160000051  TR CD=603    REG=3232 PORT=INC

12/16/13  CHILDREN'S MIRACLE NETWORK                     -15000 00      -15000 00                    1312000071
          2013 CHARITABLE DISTRIBUTION                                               **CHANGED** 01/17/14 TZA
          CHECK NUMBER            1312160000034
          FOR
          TR TRAN#=01312160000052  TR CD=603    REG=3232 PORT=INC

12/16/13  VT CAMPAIGN TO END CHILDHOOD HUNGER            -30000 00      -30000 00                    1312000072
          2013 CHARITABLE DISTRIBUTION                                               **CHANGED** 01/17/14 TZA
          CHECK NUMBER            1312160000035
          FOR
          TR TRAN#=01312160000053  TR CD=603    REG=3232 PORT=INC

12/16/13  HORATIO ALGER ASSOCIATION OF                   -13000 00      -13000 00                    1312000073
          2013 CHARITABLE DISTRIBUTION                                               **CHANGED** 01/17/14 TZA
          CHECK NUMBER.           1312160000036
          FOR.
          TR TRAN#=01312160000054  TR CD=603    REG=3232 PORT=INC

12/16/13  COMMUNITY HEALTH CENTER                         -5000 00       -5000 00                    1312000074
          2013 CHARITABLE DISTRIBUTION                                               **CHANGED** 01/17/14 TZA
          CHECK NUMBER            1312160000037
          FOR
          TR TRAN#=01312160000055  TR CD=603    REG=3232 PORT=INC

12/16/13  ADDISON COUNTY HEALTH CENTER                    -5000 00       -5000 00                    1312000075
          2013 CHARITABLE DISTRIBUTION                                               **CHANGED** 01/17/14 TZA
          CHECK NUMBER            1312160000038
          FOR
          TR TRAN#=01312160000056  TR CD=603    REG=3232 PORT=INC

12/16/13  VERMONT FOODBANK                               -13000 00      -13000 00                    1312000076
          2013 CHARITABLE DISTRIBUTION                                               **CHANGED** 01/17/14 TZA
          CHECK NUMBER·           1312160000039
          FOR·
          TR TRAN#=01312160000057  TR CD=603    REG=3232 PORT=INC
```

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                                                                                                    PAGE 28
    430007013                                                                                       RUN 02/20/2014
ADMN:CET INV.CET                              TAX TRANSACTION DETAIL                                    02 22·58 PM

                                                                                                          EDIT
                                                               TAXABLE       INCOME       PRINCIPAL     NUMBER
/DATE    TRANSACTIONS                                         -----------   -----------   -----------   ---------
 ------  -----------
149   BENEFICIARY DISTRIBUTIONS (CONTINUED)
         DISTRIBUTIONS NOT MATCHED TO BENEFICIARY (CONTINUED)
         ------------------- ------------ -- - - - ---- --
                                                                                                     1312000077
12/16/13 -FOSTER CARE TO SUCCESS                              -20000 00      20000 00   **CHANGED** 01/17/14 TZA
          2013 CHARITABLE DISTRIBUTION
          CHECK NUMBER               1312160000040
          FOR:
          TR TRAN#=01312160000058  TR CD=603    REG=3232 PORT=INC
                                                                                                     1312000078
12/16/13  VERMONT STUDENT ASSISTANCE CORPORATI                -25000 00     -25000.00   **CHANGED** 01/17/14 TZA
          2013 CHARITABLE DISRIBUTION
          CHECK NUMBER.              1312160000041
          FOR
          TR TRAN#=01312160000059  TR CD=603    REG=3232 PORT=INC
                                                                                                     1312000079
12/16/13  VABVI                                                -5000 00      -5000.00   **CHANGED** 01/17/14 TZA
          2013 CHARITABLE DISTRIBUTION
          CHECK NUMBER               1312160000042
          FOR.
          TR TRAN#=01312160000060  TR CD=603    REG=3232 PORT=INC
                                                                                                     1312000080
12/16/13  DREAM PROGRAM                                        -5000 00      -5000 00   **CHANGED** 01/17/14 TZA
          2013 CHARITABLE DISTRIBUTION
          CHECK NUMBER               1312160000043
          FOR
          TR TRAN#=01312160000061  TR CD=603    REG=3232 PORT=INC
                                                                                                     1312000081
12/16/13  JOHN GRAHAM SHELTER                                   5000.00      -5000 00   **CHANGED** 01/17/14 TZA
          2013 CHARITABLE DISTRIBUTION
          CHECK NUMBER.              1312160000044
          FOR.
          TR TRAN#=01312160000062  TR CD=603    REG=3232 PORT=INC
                                                              -----------   -----------   -----------
          TOTAL CODE 149 - BENEFICIARY DISTRIBUTIONS          -854000 00    -819000 00     -35000 00
                                                              ===========   ===========   ===========
```

✓ 854,00

✓