Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**
▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
Simmons Foundation - PerkinsThompson

A Employer identification number
01-0277832

Number and street (or P O box number if mail is not delivered to street address) PO Box 426 One Canal Plaza | Room/suite

B Telephone number (see instructions)
(207) 774-2635

City or town, state or province, country, and ZIP or foreign postal code
Portland, ME 04112

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,860,780

J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	62,290	62,290		
	5a	Gross rents				
	b	Net rental income or (loss) ________				
	6a	Net gain or (loss) from sale of assets not on line 10	408,298			
	b	Gross sales price for all assets on line 6a 1,078,048				
	7	Capital gain net income (from Part IV, line 2)		161,389		
	8	Net short-term capital gain			15,997	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	470,588	223,679	15,997	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	1,750	875		875
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	5,923	2,961		2,962
	b	Accounting fees (attach schedule)	2,300	767		1,533
	c	Other professional fees (attach schedule)	20,224	20,224		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	4,468			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	456	228		228
	22	Printing and publications				
	23	Other expenses (attach schedule)	97	49		48
	24	**Total operating and administrative expenses.** Add lines 13 through 23	35,218	25,104		5,646
	25	Contributions, gifts, grants paid	164,976			164,976
	26	**Total expenses and disbursements.** Add lines 24 and 25	200,194	25,104		170,622
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	270,394			
	b	**Net investment income** (if negative, enter -0-)		198,575		
	c	**Adjusted net income** (if negative, enter -0-)			15,997	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	14,558	13,056	13,056
	2	Savings and temporary cash investments	58,849	228,526	228,526
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	350,799	264,546	264,546
	b	Investments—corporate stock (attach schedule)	1,999,374	1,955,945	1,955,945
	c	Investments—corporate bonds (attach schedule)	165,506	394,613	394,613
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ 3,848 Less accumulated depreciation (attach schedule) ▶ 3,598	250	250	250
	15	Other assets (describe ▶)	2,582	3,844	3,844
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,591,918	2,860,780	2,860,780
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)	9,901	8,369	
	23	**Total liabilities** (add lines 17 through 22)	9,901	8,369	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	2,582,017	2,852,411	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	2,582,017	2,852,411	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,591,918	2,860,780	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,582,017
2	Enter amount from Part I, line 27a	2	270,394
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,852,411
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,852,411

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	161,389
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	15,997

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	138,132	2,488,981	0 055497
2011	149,866	2,464,219	0 060817
2010	137,674	2,424,287	0 056789
2009	145,742	2,288,470	0 063685
2008	91,129	2,658,653	0 034276

2	**Total** of line 1, column (d).	2	0 271064
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 054213
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	2,682,289
5	Multiply line 4 by line 3.	5	145,415
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,986
7	Add lines 5 and 6.	7	147,401
8	Enter qualifying distributions from Part XII, line 4.	8	170,622

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	1,986
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,986
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,986
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — **6a** 1,216		
b	Exempt foreign organizations—tax withheld at source — **6b**		
c	Tax paid with application for extension of time to file (Form 8868) — **6c** 6,000		
d	Backup withholding erroneously withheld — **6d**		
7	Total credits and payments Add lines 6a through 6d	7	7,216
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached	8	12
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	5,218
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 5,218 **Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ME			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶PAUL RAUSCH CPA Telephone no ▶(207) 774-2635

Located at ▶PO Box 426 One Canal Plaza Portland ME ZIP+4 ▶04112

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	2,709,079
b	Average of monthly cash balances.	1b	13,807
c	Fair market value of all other assets (see instructions).	1c	250
d	**Total** (add lines 1a, b, and c).	1d	2,723,136
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e		
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	2,723,136
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	40,847
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,682,289
6	**Minimum investment return.** Enter 5% of line 5.	6	134,114

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.			1	134,114
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	1,986		
b	Income tax for 2013 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	1,986
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	132,128
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	132,128
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	132,128

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	170,622
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	170,622
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	1,986
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	168,636

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					132,128
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only.					
b Total for prior years 20___, 20___, 20___					
3 Excess distributions carryover, if any, to 2013					
a From 2008.					
b From 2009.					
c From 2010.					
d From 2011.					
e From 2012.	6,994				
f **Total** of lines 3a through e.		6,994			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 170,622					
a Applied to 2012, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2013 distributable amount.					132,128
e Remaining amount distributed out of corpus		38,494			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		45,488			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .					
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		45,488			
10 Analysis of line 9					
a Excess from 2009. . . .					
b Excess from 2010. . . .					
c Excess from 2011. . . .					
d Excess from 2012. . . .	6,994				
e Excess from 2013. . . .	38,494				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

PAUL RAUSCH CO PERKINS THOMPSON
One Canal Plaza
Portland, ME 04101
(207) 774-2635

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHED SCHEDULE

c Any submission deadlines

THERE ARE NO SUBMISSION DEADLINES

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED SCHEDULE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total			▶ **3a**	164,976
b *Approved for future payment*				
Total			▶ **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	62,290	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			14	408,298	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				470,588	

13 Total. Add line 12, columns (b), (d), and (e). **13** 470,588

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?				
a Transfers from the reporting foundation to a noncharitable exempt organization of				
(1) Cash		1a(1)		No
(2) Other assets		1a(2)		No
b Other transactions				
(1) Sales of assets to a noncharitable exempt organization		1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization		1b(2)		No
(3) Rental of facilities, equipment, or other assets		1b(3)		No
(4) Reimbursement arrangements		1b(4)		No
(5) Loans or loan guarantees		1b(5)		No
(6) Performance of services or membership or fundraising solicitations		1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees		1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2014-07-05 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
DANA C CLARK		2014-07-24		
Firm's name ▶	CLARK FRIEL AND JOYCE PA		Firm's EIN ▶	
Firm's address ▶	128 AUBURN ST PORTLAND, ME 04103		Phone no (207) 797-2746	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
Abbvie Inc 235 shrs	P	2012-06-27	2013-01-15
Apple Computer Inc 53 shrs	P	2012-07-01	2013-05-07
Chubb Corporation 240 shrs	P	2012-07-01	2013-05-07
Motorola Solutions Inc 210 shrs	P	2012-04-27	2013-02-15
Occidental Petroleum Corp 110 shrs	P	2013-01-15	2013-05-07
Pimco Commodity Real Return 2628 shrs	P	2013-05-07	2013-12-16
TJX Cos Inc 230 shrs	P	2012-11-01	2013-05-07
Vanguard Ftse Developed Mkt 380 shrs	P	2013-02-15	2013-12-16
Vanguard Ftse Emerging Mkt 525 shrs	P	2013-05-07	2013-12-16
Vanguard Mid-Cap 770 shrs	P	2013-05-07	2013-12-16
Vanguard Small Cap Value 190 shrs	P	2013-05-07	2013-12-16
Vanguard Small Cap Growth 140 shrs	P	2013-05-07	2013-12-16
Wal-Mart Stores 135 shrs	P	2013-01-15	2013-12-16
Boeing Co 125 shrs	P	2012-04-27	2013-05-07
Cons Staples Select Sector 135 shrs	P	2012-06-27	2013-12-16
Deere & Co 275 shrs	P	2011-10-26	2013-12-16
Discover Financial Services 225 shrs	P	2012-04-27	2013-05-07
Microsoft Corp 60 shrs	P	2012-04-27	2013-05-07
Nextera Energy 135 shrs	P	2012-06-27	2013-12-16
Philip Morris Intl Inc 220 shrs	P	2012-04-27	2013-12-16
Pimco Commodity Real Return 5298 shrs	P	2012-04-27	2013-12-16
Prudential Finl Inc 150 shrs	P	2012-04-27	2013-05-07
Vanguard Ftse Developed Mkt 1040 shrs	P	2012-04-27	2013-12-16
Vanguard Ftse Emerging Mkt 4620 shrs	P	2012-04-27	2013-12-16
Vanguard REIT 70 shrs	P	2012-06-27	2013-12-16
Wal-Mart Stores 210 shrs	P	2012-06-27	2013-12-16
Ishares Gold Trust 2480 shrs	P	2012-04-27	2013-12-16
Apple Computer Inc 15 shrs	P	2006-03-22	2013-05-07
Coca Cola Co 480 shrs	P	2001-01-01	2013-01-15
Federal Home Loan Bank 3 125% 25000	P	2009-12-29	2013-12-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
Federal Home Loan Bank 4 5% 50000	P	2006-01-03	2013-09-16
General Electric 1360 shrs	P	2001-01-01	2013-01-15
Gilead Sciences Inc 220 shrs	P	2001-01-01	2013-05-07
Google Inc 14 shrs	P	2010-06-22	2013-02-15
Intel Corp 405 shrs	P	2008-12-24	2013-05-07
Ishares Iboxx USD Investment Grade 550 shrs	P	2001-01-01	2013-12-26
Ishare Tips Bond 600 shrs	P	2001-01-01	2013-12-26
Johnson & Johnson Inc 205 shrs	P	2001-01-01	2013-01-15
Microsoft Corp 340 shrs	P	2008-06-17	2013-05-07
Pfizer Inc 828 shrs	P	2001-01-01	2013-01-15
Proctor & Gamble Co 450 shrs	P	2001-01-01	2013-01-15
Barclays Int-term Corp Bonds 750 shrs	P	2010-09-24	2013-12-26
Vanguard Small-Cap Growth 140 shrs	P	2008-12-24	2013-02-15
Ishares Gold Trust 860 shrs	P	2001-01-01	2013-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
8,081	0	7,692	389
24,337	0	28,268	-3,931
21,378	0	18,069	3,309
12,879	0	10,655	2,224
9,807	0	9,068	739
14,586	0	16,583	-1,997
11,429	0	9,736	1,693
15,215	0	13,767	1,448
21,351	0	23,389	-2,038
82,721	0	74,220	8,501
18,178	0	15,977	2,201
16,527	0	14,234	2,293
10,488	0	9,322	1,166
11,835	0	9,679	2,156
5,702	0	4,595	1,107
24,486	0	20,245	4,241
10,336	0	7,702	2,634
1,999	0	1,923	76
11,208	0	9,149	2,059
18,662	0	19,811	-1,149
29,403	0	33,964	-4,561
9,879	0	9,222	657
41,641	0	34,840	6,801
187,893	0	196,917	-9,024
4,513	0	4,415	98
16,315	0	14,377	1,938
29,958	0	38,975	-9,017
6,888	0	928	5,960
17,870	0	844	17,026
25,000	0	25,647	-647

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
49,247	0	49,247	0
28,736	0	975	27,761
11,677	0	3,907	7,770
11,066	0	6,877	4,189
9,760	0	5,751	4,009
62,827	0	60,174	2,653
65,813	0	62,193	3,620
14,805	0	561	14,244
11,325	0	9,867	1,458
21,933	0	675	21,258
31,409	0	1,415	29,994
25,264	0	25,208	56
13,513	0	5,598	7,915
110	0	0	110

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	389
0	0	0	-3,931
0	0	0	3,309
0	0	0	2,224
0	0	0	739
0	0	0	-1,997
0	0	0	1,693
0	0	0	1,448
0	0	0	-2,038
0	0	0	8,501
0	0	0	2,201
0	0	0	2,293
0	0	0	1,166
0	0	0	2,156
0	0	0	1,107
0	0	0	4,241
0	0	0	2,634
0	0	0	76
0	0	0	2,059
0	0	0	-1,149
0	0	0	-4,561
0	0	0	657
0	0	0	6,801
0	0	0	-9,024
0	0	0	98
0	0	0	1,938
0	0	0	-9,017
0	0	0	5,960
0	0	0	17,026
0	0	0	-647

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
0	0	0	0
0	0	0	27,761
0	0	0	7,770
0	0	0	4,189
0	0	0	4,009
0	0	0	2,653
0	0	0	3,620
0	0	0	14,244
0	0	0	1,458
0	0	0	21,258
0	0	0	29,994
0	0	0	56
0	0	0	7,915
0	0	0	110

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ROBERT WOODWARD 115 North High Street Bridgton, ME 04009	Pres 1 00	50	0	0
STEPHEN G WOODWARD 208 Lufkin Road North Yarmouth, ME 04097	1st VP 1 00	50	0	0
DOROTHY WENTWORTH 55 Hardy Road Falmouth, ME 04105	2nd VP 1 00	50	0	0
PAUL H RAUSCH 86 Valview Drive Auburn, ME 04210	Treasurer 2 00	1,250	0	0
SUZANNE G W MCGUFFEY 207 Lufkin Road North Yarmouth, ME 04097	Trustee 1 00	50	0	0
ROBERT ARANSON MD 20 Lookout Drive Freeport, ME 04032	Trustee 1 00	50	0	0
TINA JENNINGS 208 Lufkin Road North Yarmouth, ME 04097	Trustee 1 00	50	0	0
REV ANITA WHITE 15 Forest Ave Auburn, ME 04210	Trustee 1 00	50	0	0
SAMUEL WOODWARD 912 Roosevelt Trail Apt 8 Windham, ME 04062	Trustee 1 00	50	0	0
JOHN R OPPERMAN ESQ 25 Woodmont Street Portland, ME 04102	Trustee 1 00	50	0	0
ELIZABETH MORELAND 111 Pond Road Standish, ME 04084	Trustee 1 00	50	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LaDawn Quarter Horse Theraputic Riding Ctr P O Box 558 West Kennebunk, ME 04094		Exempt	SystemLift	5,000
The Summer Camp 8 Church Street Bridgton, ME 04009		Exempt	ScholarshipCampProgram	3,000
Good Shepherd Food Bank PO Box 1807 Auburn, ME 04211		Exempt	MatchingAgencyProgram	5,000
Portland Symphony Orchestra 50 Monument Sq 2nd Floor Portland, ME 04104		Exempt	KonzertsKinderProgram	4,000
Camp Sunshine at Sebago Lake 35 Acadia Road Casco, ME 04015		Exempt	SponsorshipFamilyProgram	4,000
Maine Housing & Building Materials Exchange PO Box 475 Topsham, ME 04086		Exempt	for Low incomeBldg MatlProject	2,500
Lake Region Senior Service PO Box 816 Bridgton, ME 04009		Exempt	TransportationSeniorProgram	5,000
Cancer Community Center 778 Main Street South Portland, ME 04106		Exempt	SurvivorshipCancerProgram	5,000
The Progress Center 35 Cottage Street Norway, ME 04268		Exempt	Soup Kitchen	5,000
Jobs for Maine Graduates 45 Commerce Drive Ste 9 Augusta, ME 04330		Exempt	LiteracyFinancialProgram	5,000
Aroostook Mental Health Srv PO Box 1018 Caribou, ME 04736		Exempt	Camp ProgramTeen Leadership	2,500
Goodwill Industries of NNE 353 Cumberland Ave Portland, ME 04101		Exempt	FundVeterens'Project	7,000
Wayside Food Program PO Box 1278 Portland, ME 04102		Exempt	ProgramsFood	6,000
Hebron Academy 339 Paris Road Hebron, ME 04238		Exempt	ProgramTuition	5,000
University of Southern Maine 96 Falmouth Street Portland, ME 04103		Exempt	ProgramScholarship	10,000
Total . . . . ▶ **3a**				164,976

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Gould Academy 39 Church Street Bethel, ME 04217		Exempt	ProgramScholarship	5,000
Biddeford Free Clinic 189 Alfred Street Biddeford, ME 04005		Exempt	ProgramPharmacy	3,000
Seeds of Peace 370 Lexington Ave Ste 1201 New York, NY 10017		Exempt	LeadershipYouthProgram	5,000
Coastal Humane Society 190 Pleasant Street Brunswick, ME 04011		Exempt	for CatsHumane HousingProject	5,000
Coastal Trans Inc 46 Summer Street Rockland, ME 04861		Exempt	TransportationAutomation ofCoupon Program	4,000
Community Concepts Inc 240 Bates Street Lewiston, ME 04240		Exempt	Big SisterBig BrotherProgram	5,000
Hospice of Southern Maine 180 US Route 1 Suite 1 Scarborough, ME 04074		Exempt	NursesTravelingProgram	5,337
Kids Free To Grow 62 Portland Road Kennebunk, ME 04043		Exempt	Over ProjectBay Think It	2,639
Maine Audubon Society 20 Gilsland Farm Road Falmouth, ME 04105		Exempt	LearningServiceProject	3,000
Oasis Health Network Inc 66 Baribeau Drive Box 1 Brunswick, ME 04011		Exempt	AssistancePrescriptionProgram	2,500
Peregrine Corp STRIVE 28 Foden Road South Portland, ME 04106		Exempt	EducationTransitionalProgram	5,000
Pine Tree Hospice 883 West Main Street DoverFoxcroft, ME 04426		Exempt	OperatingGeneralSupport	3,500
Portland Public Library 5 Monument Square Portland, ME 04101		Exempt	ProjectBook Mobile	2,000
Ronald McDonald House of Bangor 654 State Street Bangor, ME 04401		Exempt	ProjectShare a Night	5,000
SeniorsPlus 8 Falcon Road Lewiston, ME 04240		Exempt	EnrollmentBenefitsService Project	5,000
Total . . . . ▶ **3a**				164,976

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SeniorsPlus 8 Falcon Road Lewiston, ME 04240		Exempt	ProjectMeals on Wheels	5,000
Tedford Housing 14 Middle Street Brunswick, ME 04011		Exempt	ProjectCargo Van	7,500
Trinity Jubilee Center 247 Bates Street Lewiston, ME 04240		Exempt	AssistanceRefugeeProgram	2,500
Washington County Children's Program PO Box 311 Machais, ME 04654		Exempt	OutreachOral Health	5,000
Westbrook Youth Center 755 Main Street Westbrook, ME 04092		Exempt	ProjectFun a Kid	5,000
York County Community Action Corp 6 Spruce Street Sanford, ME 04073		Exempt	throughService EnhancementTechnology Project	5,000
Total . . . . ▶ **3a**				164,976

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TY 2013 Accounting Fees Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Clark, Friel and Joyce Tax and financial stmt	2,300	767		1,533

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
Investments		Purchased	2013-12		1,078,048	669,750			408,298	

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TY 2013 General Explanation Attachment

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Identifier	Return Reference	Explanation
	Part XV Line 2	LINE 2B THERE ARE NO PRESCRIBED FORMS FOR THE SUBMISSION OF APPLICATIONS HOWEVER, THE FOLLOWING INFORMATION MUST BE PROVIDED 1) THE APPLICANT'S TAX EXEMPT NUMBER 2) A COPY OF THE ORGANIZATION'S HANDBOOK 3) A BRIEF OUTLINE OF THE HISTORY OF THE ORGANIZATION 4) THE CHARITABLE PURPOSE OF THE APPLICANT ORGANIZATION 5) DETAILS REGARDING THE SPECIFIC REQUEST MADE AS PART OF THE APPLICATION FOR FUNDS LINE 2D THE FOUNDATION DOES NOT MAKE AWARDS TO INDIVIDUALS OR ORGANIZATIONS WITHOUT VALID FEDERAL INCOME TAX EXEMPTIONS AWARDS ARE GENERALLY RESTRICTED TO ORGANIZATIONS OPERATING WITHIN MAINE

TY 2013 Investments Corporate Bonds Schedule

Name: Sımmons Foundatıon - PerkınsThompson

EIN: 01-0277832

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Fıdelıty Convertıble Securıtes 1157 shrs	35,996	35,996
Fıdelıty Floatıng Rate Hıgh Inc 3622 shrs	36,077	36,077
Fıdelıty Conservatıve Income Bond 3343 shrs	33,561	33,561
Goldman Sachs Strategıc Income 4658 shrs	49,653	49,653
Pımco Hıgh Yıeld 3758 shrs	36,115	36,115
Pımco Low Duratıon Fund 5249 shrs	54,218	54,218
Pımco Total Return 8875 shrs	94,879	94,879
Pımco Investment Grade Corp Bd 5285 shrs	54,114	54,114

TY 2013 Investments Corporate Stock Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3M Co. 205 shrs	28,751	28,751
Abbott Labs 745 shrs	28,556	28,556
Actavis PLC 255 shrs	42,840	42,840
Allergan Inc. 325 shrs	36,101	36,101
Amgen Inc 35 shrs	3,993	3,993
Apache Corp 35 shrs	3,008	3,008
Apple Inc 38 shrs	21,319	21,319
AT&T Inc 480 shrs	16,877	16,877
Biogen Idec Inc 15 shrs	4,194	4,194
Boeing Co 155 shrs	21,156	21,156
Capital One Finl Corp 500 shrs	38,305	38,305
Celgene Corp 30 shrs	5,069	5,069
Chevron Corp 275 shrs	34,350	34,350
Chubb Corporation 180 shrs	17,393	17,393
Cisco Systems Inc 145 shrs	3,252	3,252
Conocophillips 390 shrs	27,553	27,553
Cons Staples Select Sector 290 shrs	12,464	12,464
Costco Whsl Corp 205 shrs	24,399	24,399
Cummins Inc 25 shrs	3,524	3,524
CVS/Caremark Corp 200 shrs	14,314	14,314
Discover Financial Services 550 shrs	30,772	30,772
Dover Corp 160 shrs	15,446	15,446
Dupont E I De Nemours & Co. 430 shrs	27,937	27,937
Ebay Inc Com 265 shrs	14,539	14,539
EMC Corp 1180 shrs	29,677	29,677
EOG Resources Inc 20 shrs	3,357	3,357
Express Scripts Hldg Co 385 shrs	27,042	27,042
Exxon Mobil Corp 520 shrs	52,624	52,624
General Mills Inc 375 shrs	18,716	18,716
Genuine Parts Co. 440 shrs	36,604	36,604

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Gilead Sciences Inc 430 shrs	32,293	32,293
Goodyear Tire & Rubber Co 125 shrs	2,981	2,981
Google Inc Cl A 36 shrs	40,346	40,346
Harman Intl Inds Inc 40 shrs	3,274	3,274
Home Depot Inc. 395 shrs	32,524	32,524
Intel Corp 585 shrs	15,184	15,184
Ishares US Home Construction 1355 shrs	33,631	33,631
JPMorgan Chase & Co 455 shrs	26,608	26,608
Kroger Corp 90 shrs	3,558	3,558
McDonalds Corp 325 shrs	31,535	31,535
Microsoft Corp 705 shrs	26,374	26,374
Motorola Solutions Inc 465 shrs	31,388	31,388
Nextera Energy Inc 145 shrs	12,415	12,415
Occidental Petroleum Corp 115 shrs	10,936	10,936
Omnicom Group 500 shrs	37,185	37,185
Oracle Corporation 895 shrs	34,243	34,243
Philip Morris Intl Inc 35 shrs	3,050	3,050
Phillips 66 250 shrs	19,283	19,283
Procter & Gamble Co 145 shrs	11,804	11,804
Prudential Finl Inc 330 shrs	30,433	30,433
Qualcomm Inc 445 shrs	33,041	33,041
Schlumberger Limited 215 shrs	19,374	19,374
State Street Corp 515 shrs	37,796	37,796
Stericycle Inc 25 shrs	2,904	2,904
Thermo Fisher Scientific Inc 390 shrs	43,426	43,426
TJX Cos Inc 500 shrs	31,865	31,865
United Parcel Srv Inc B 230 shrs	24,168	24,168
United Technologies 230 shrs	26,174	26,174
Unitedhealth Group Inc 335 shrs	25,226	25,226
US Bancorp Del New 800 shrs	32,320	32,320

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Vanguard Ftse Developed Mkt 2125 shrs	88,570	88,570
Vanguard Ftse Emerging Mkt 700 shrs	28,798	28,798
Vanguard Mid-Cap ETF 1760 shrs	193,635	193,635
Vanguard Small-Cap Growth ETF 460 shrs	56,258	56,258
Vanguard Small-Cap Value ETF 540 shrs	52,580	52,580
Verizon Communications 490 shrs	24,079	24,079
Wells Fargo & Co New 820 shrs	37,228	37,228
Wells Fargo Premier Lg Co Growth 3037 shrs	44,065	44,065
Ishares Gold Trust 860 shrs	10,045	10,045
Pimco Commodity Real Return 1861 shares	10,214	10,214
Vanguard REIT ETF 790 shares	51,002	51,002

TY 2013 Investments Government Obligations Schedule

Name:	Simmons Foundation - PerkinsThompson
EIN:	01-0277832
US Government Securities - End of Year Book Value:	264,546
US Government Securities - End of Year Fair Market Value:	264,546
State & Local Government Securities - End of Year Book Value:	
State & Local Government Securities - End of Year Fair Market Value:	

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TY 2013 Land, Etc. Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Equipment	3,848	3,598	250	

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TY 2013 Legal Fees Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Perkins Thompson Legal	5,923	2,961		2,962

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TY 2013 Other Assets Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Accrued investment income	2,582	3,844	3,844

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TY 2013 Other Assets Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Accrued investment income	2,582	3,844	3,844

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TY 2013 Other Assets Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Accrued investment income	2,582	3,844	3,844

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TY 2013 Other Expenses Schedule

Name: Sımmons Foundatıon - PerkınsThompson

EIN: 01-0277832

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Office expenses	97	49		48

TY 2013 Other Liabilities Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Description	Beginning of Year - Book Value	End of Year - Book Value
Deferred excise tax	5,117	7,587
Excise tax payable	4,784	782

TY 2013 Other Liabilities Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Description	Beginning of Year - Book Value	End of Year - Book Value
Deferred excise tax	5,117	7,587
Excise tax payable	4,784	782

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TY 2013 Other Professional Fees Schedule

Name: Sımmons Foundatıon - PerkınsThompson

EIN: 01-0277832

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
People Unıted Bank Investment fees	20,224	20,224		

TY 2013 Taxes Schedule

Name: Sımmons Foundatıon - PerkınsThompson

EIN: 01-0277832

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Excıse tax	4,468			