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Form **990-PF**

# Return of Private Foundation

## or Section 4947(a)(1) Nonexempt Charitable Trust

### Treated as a Private Foundation

OMB No. 1545-0052

**2012**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012 or tax year beginning

12/01, 2012, and ending

11/30, 2013

Name of foundation THE GREEN FOUNDATION

C/O GEORGE MCCRIMLISK

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

225 SOUTH LAKE AVENUE

1410

City or town, state, and ZIP code

PASADENA, CA 91101

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

J Accounting method

☒ Cash☐ Accrual

of year (from Part II, col (c), line

☐ Other (specify)

16) \$ 152,159,847.

(Part I, column (d) must be on cash basis)

A Employer identification number

95-4509163

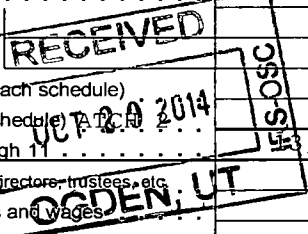
B Telephone number (see instructions)

(626) 744-0323

C If exemption application is  
pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the  
85% test, check here and attach  
computation ☐E If private foundation status was terminated  
under section 507(b)(1)(A) check here ☐F If the foundation is in a 60-month termination  
under section 507(b)(1)(B) check here ☐

### Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	2,000,000.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	7,330,814.	7,330,814.		ATCH 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	4,453,910.			
b Gross sales price for all assets on line 6a 30,481,905.				
7 Capital gain net income (from Part IV, line 2)		4,453,910.		
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	154,305.	154,305.		
12 Total. Add lines 1 through 11	11,939,029.	11,939,029.		
13 Compensation of officers, directors, trustees, etc.	0			
14 Other employee salaries and wages	304,502.	15,225.		
15 Pension plans, employee benefits	34,275.	1,714.		
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule) *	112,299.	5,615.		
17 Interest				
18 Taxes (attach schedule) (see instructions) ATCH 4	180.	180.		
19 Depreciation (attach schedule) and depletion	1,811.			
20 Occupancy	51,777.	2,589.		
21 Travel, conferences, and meetings	27,910.			
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 5	689,113.	682,335.		
24 Total operating and administrative expenses. Add lines 13 through 23	1,221,867.	707,658.		
25 Contributions, gifts, grants paid	8,514,600.			8,514,600.
26 Total expenses and disbursements Add lines 24 and 25	9,736,467.	707,658.		8,514,600.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	4,202,562.			
b Net investment income (if negative, enter -0-)		11,231,371.		
c Adjusted net income (if negative, enter -0-)				

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Operating and Administrative Expenses

5-18-27

4

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing . . . . .				
	2	Savings and temporary cash investments . . . . .	360,182.	1,543,447.	1,543,447.	
	3	Accounts receivable ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	4	Pledges receivable ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7	Other notes and loans receivable (attach schedule) ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10 a	Investments - U S and state government obligations (attach schedule) . . . . .				
	b	Investments - corporate stock (attach schedule) ATCH 6 . . . . .	111,548,786.	112,009,035.	125,514,110.	
	c	Investments - corporate bonds (attach schedule) . . . . .				
	Liabilities	11	Investments - land, buildings, and equipment basis ▶ . . . . .			
		Less accumulated depreciation (attach schedule) ▶ . . . . .				
12		Investments - mortgage loans . . . . .				
13		Investments - other (attach schedule) . . . . . ATCH 7	21,300,852.	23,829,122.	25,063,812.	
14		Land, buildings, and equipment basis ▶ . . . . . 36,022.				
		Less accumulated depreciation (attach schedule) ▶ . . . . . 5,244.		30,778.	30,778.	
15		Other assets (describe ▶ . . . . . ATCH 8 )		7,700.	7,700.	
16		<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	133,209,820.	137,420,082.	152,159,847.	
17		Accounts payable and accrued expenses . . . . .				
18		Grants payable . . . . .				
Net Assets or Fund Balances	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶ . . . . .)				
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds . . . . .				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .	133,209,820.	137,420,082.		
	30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	133,209,820.	137,420,082.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	133,209,820.	137,420,082.			

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	133,209,820.
2	Enter amount from Part I, line 27a . . . . .	2	4,202,562.
3	Other increases not included in line 2 (itemize) ▶ ATCH 9 . . . . .	3	7,700.
4	Add lines 1, 2, and 3 . . . . .	4	137,420,082.
5	Decreases not included in line 2 (itemize) ▶ . . . . .	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	137,420,082.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> SEE PART IV SCHEDULE					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) $\left\{ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter -0- in Part I, line 7} \end{array} \right\}$			<b>2</b>	4,453,910.	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			<b>3</b>	0	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	7,987,802.	142,964,695.	0.055873
2010	5,881,376.	144,776,127.	0.040624
2009	4,719,987.	136,770,259.	0.034510
2008	3,560,674.	124,786,479.	0.028534
2007	4,034,906.	97,578,339.	0.041350
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.200891
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.040178
<b>4</b> Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			<b>4</b> 144,654,430.
<b>5</b> Multiply line 4 by line 3			<b>5</b> 5,811,926.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 112,314.
<b>7</b> Add lines 5 and 6			<b>7</b> 5,924,240.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			<b>8</b> 8,514,600.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .		1	112,314.
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .		2	
3 Add lines 1 and 2 . . . . .		3	112,314.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .		4	0
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	112,314.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012 . . . . .	6a 104,343.		
b Exempt foreign organizations - tax withheld at source . . . . .	6b		
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c 50,000.		
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments Add lines 6a through 6d . . . . .		7	154,343.
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached . . . . .		8	379.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .		10	41,650.
11 Enter the amount of line 10 to be Credited to 2013 estimated tax <input checked="" type="checkbox"/> 41,650. Refunded <input type="checkbox"/> . . . . .		11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> CA, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	X	
Website address <b>WWW.LIGF.ORG</b>				
14	The books are in care of <b>GEORGE H. MCCRIMLISK &amp; COMPANY</b> Telephone no <b>626-744-0323</b>			
	Located at <b>225 SOUTH LAKE AVENUE, SUITE 1410 PASADENA, CA</b> ZIP+4 <b>91101</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . .	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	X	
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <b>_____</b>				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .	1b	
Organizations relying on a current notice regarding disaster assistance check here <b>_____</b>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years <b>_____</b>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . .	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <b>_____</b>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012) . . . . .	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? . . . . .	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 10		0	0	0

**2** Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		214,450.	17,280.	0

Total number of other employees paid over \$50,000 ☐

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3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

**Part IX-A Summary of Direct Charitable Activities**

## Expenses

1	NOT APPLICABLE	
2		
3		
4		

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2	
All other program-related investments See instructions	
3 NONE	
<b>Total.</b> Add lines 1 through 3	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	106,977,355.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	3,613,871.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	36,266,063.
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	146,857,289.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	146,857,289.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	2,202,859.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	144,654,430.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	7,232,722.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	7,232,722.
<b>2a</b>	Tax on investment income for 2012 from Part VI, line 5	<b>2a</b>	112,314.
<b>b</b>	Income tax for 2012 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	112,314.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	7,120,408.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	7,120,408.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	7,120,408.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	8,514,600.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	8,514,600.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	112,314.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	8,402,286.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
<b>1</b> Distributable amount for 2012 from Part XI, line 7 . . . . .				7,120,408.
<b>2</b> Undistributed income, if any, as of the end of 2012				
<b>a</b> Enter amount for 2011 only . . . . .			5,225,652.	
<b>b</b> Total for prior years 20 10, 20 09, 20 08 . . . . .				
<b>3</b> Excess distributions carryover, if any, to 2012				
<b>a</b> From 2007 . . . . .				
<b>b</b> From 2008 . . . . .				
<b>c</b> From 2009 . . . . .				
<b>d</b> From 2010 . . . . .				
<b>e</b> From 2011 . . . . .				
<b>f</b> Total of lines 3a through e . . . . .				
<b>4</b> Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 8,514,600.				
<b>a</b> Applied to 2011, but not more than line 2a . . . . .			5,225,652.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .				
<b>d</b> Applied to 2012 distributable amount . . . . .				3,288,948.
<b>e</b> Remaining amount distributed out of corpus . . . . .				
<b>5</b> Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a) ) . . . . .				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
<b>e</b> Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
<b>f</b> Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013 . . . . .				3,831,460.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a . . . . .	0			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2008 . . . . .				
<b>b</b> Excess from 2009 . . . . .				
<b>c</b> Excess from 2010 . . . . .				
<b>d</b> Excess from 2011 . . . . .				
<b>e</b> Excess from 2012 . . . . .				

Form 990-PF (2012)

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NOT APPLICABLE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NOT APPLICABLE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
ATCH 12				
<b>Total</b> . . . . .			<b>▶ 3a</b>	8,514,600.
<b>b Approved for future payment</b>				
<b>Total</b> . . . . .			<b>▶ 3b</b>	

Form **990-PF** (2012)

## Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations )

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions )
▼	

[illegible]

		Yes	No
<b>1</b>	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
<b>a</b>	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	<b>1a(1)</b>	X
	(2) Other assets	<b>1a(2)</b>	X
<b>b</b>	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	<b>1b(1)</b>	X
	(2) Purchases of assets from a noncharitable exempt organization	<b>1b(2)</b>	X
	(3) Rental of facilities, equipment, or other assets	<b>1b(3)</b>	X
	(4) Reimbursement arrangements	<b>1b(4)</b>	X
	(5) Loans or loan guarantees	<b>1b(5)</b>	X
	(6) Performance of services or membership or fundraising solicitations	<b>1b(6)</b>	X
<b>c</b>	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	<b>1c</b>	X
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

10/9/14  
Date

► Pres. Sen.  
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date:

Check ☐ if self-employed

PTIN

P01341491

Firm's name ► GEORGE H. MCCRIMLISK & COMPANY

Firm's EIN ► 20-1657655

Firm's address ▶ 225 S LAKE AVENUE, STE 1410  
PASADENA, CA

91101

Phone no 626-744-0323

**Schedule of Contributors**

OMB No 1545-0047

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

**2012**

Name of the organization

THE GREEN FOUNDATION  
C/O GEORGE MCCRIMLISK

Employer identification number

95-4509163

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ► \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **THE GREEN FOUNDATION**  
**C/O GEORGE MCCRIMLISK**

Employer identification number  
**95-4509163**

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	LEONARD I. GREEN TRUST 232 NORTH CANON DRIVE BEVERLY HILLS, CA 90210	\$ 2,000,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)



Name of organization THE GREEN FOUNDATION  
C/O GEORGE MCCRIMLISK

Employer identification number  
95-4509163

**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----

Name of organization **THE GREEN FOUNDATION**  
C/O GEORGE MCCRIMLISK

Employer identification number  
95-4509163

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once See instructions) ▶ \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	----- ----- -----
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	----- ----- -----
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	----- ----- -----
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	----- ----- -----

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS					178,528.	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					95,074.	
268,708.		MERRILL LYNCH - STMT ATTCH 267,930.					VAR 778.	VAR
255,943.		MERRILL LYNCH - STMT ATTCH 250,013.					VAR 5,930.	VAR
306,588.		UBS FINANCIAL SERVICES - STMT ATTCH 306,238.					VAR 350.	VAR
25584296.		UBS FINANCIAL SERVICES - STMT ATTCH 23936488.					VAR 1,647,808.	VAR
136,812.		WHITTIER TRUST #4282 - STMT ATTCH 121,429.					VAR 15,383.	VAR
394,045.		WHITTIER TRUST #4282 - STMT ATTCH 255,698.				D	138,347.	
34,376.		WHITTIER TRUST #3674 - STMT ATTCH 30,233.					VAR 4,143.	VAR
215,440.		WHITTIER TRUST #3674 - STMT ATTCH 171,367.					VAR 44,073.	VAR
400,000.		WHITTIER TRUST #5200 - STMT ATTCH 398,861.					VAR 1,139.	VAR
265,601.		WHITTIER AGGRESSIVE GROWTH FUND A 281,545.					VAR -15,944.	VAR
4,808.		GS ASIA FUND, LP 5,801.					VAR -993.	VAR
2,269,254.		VTG, LLC					VAR 2,269,254.	VAR

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		WHITTIER INTERNAT'L FUND A 289.					VAR -289.	VAR
		WHITTIER INTERNAT'L FUND A 2,103.					VAR -2,103.	VAR
1,644.		WHITTIER VALUE FUND A					VAR 1,644.	VAR
17,107.		WHITTIER VALUE FUND A					VAR 17,107.	VAR
6,998.		WHITTIER AGGRESSIVE GROWTH FUND A					VAR 6,998.	VAR
45,331.		WHITTIER AGGRESSIVE GROWTH FUND A					VAR 45,331.	VAR
1,274.		VPC FUND II					VAR 1,274.	VAR
78.		NGP NATURAL RESOURCES X, LP					VAR 78.	VAR
TOTAL GAIN (LOSS) .....							<u>4,453,910.</u>	

PIMCO FIXED INCOME

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
		Date	Date				This Statement	Year to Date
Subtotal (Long-Term)								
Δ US TSY 2 000% NOV 15 2021	35000 0000	01/27/12	12/07/12		36,651 56	35,223 87	1,427 69	12,066 29
Δ US TSY 2 000% NOV 15 2021	30000 0000	01/31/12	12/07/12		31,415 62	30,499 92	915 70	
Δ US TSY 2 000% NOV 15 2021	36000 0000	02/15/12	12/07/12		37 698 75	36,311 74	1 387 01	
Δ US TSY 2 000% NOV 15 2021	6000 0000	03/06/12	12/07/12		6 283 13	6,043 94	239 19	
					112,049.06	108,079.47	3,969.59A	



+

PIMCO FIXED INCOME

YOUR EMA TRANSACTIONS

March 01, 2013 - March 28, 2013

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
Δ US TSY 0 750% OCT 31 2017	58000 0000	11/23/12	03/01/13	58,178 79	58,190 10	(11 31)	
Δ US TSY 0 750% OCT 31 2017	61000 0000	11/23/12	03/06/13	61 054 80	61,199 58	(144 78)	
Subtotal (Short-Term)						(156 09)	(156 09)
TOTAL				119,233.59	119,389.68	(156.09) <b>(A)</b>	(156.09)

\* - Excludes transactions for which we have insufficient data

Δ Debt Instruments purchased at a premium show amortization    θ Debt Instruments purchased at a discount show accretion

PIMCO FIXED INCOME

YOUR EMA TRANSACTIONS

August 01, 2013 - August 30, 2013

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
FNMA P995737 05%2038 COR	247000 0000	03/18/13	08/22/13	53,760 06	52,032 32	1,727.74	
US TSY 1 375% FEB 28 2019	62000 0000	03/01/12	08/09/13	61,428 44	61,757 81	(329 37)	
FNMA P735580 05%2035 COR	1000 0000	05/16/08	08/22/13	156 44	137.42	19.02	
Subtotal (Long-Term)						1,417.39 <sup>③</sup>	2,216 11
Δ US TSY 2 000% FEB 15 2023	32000 0000	03/01/13	08/26/13	29,940 00	32,406 14	(2,466 14)	
Δ US TSY 2 000% FEB 15 2023	8000 0000	03/06/13	08/26/13	7,485 00	8,054 72	(569 72)	
Subtotal (Short-Term)						(3,035.86) <sup>④</sup>	(3,191 95)
TOTAL				152,769.94	154,388.41	1,618.47	(975.84)

\* - Excludes transactions for which we have insufficient data

Δ Debt Instruments purchased at a premium show amortization    θ Debt Instruments purchased at a discount show accretion

N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased in the secondary market or the determination of ordinary income and/or capital items for discount and zero-coupon issues

COR - Reflects a correction entry

THE GREEN FOUNDATION

## YOUR EMA TRANSACTIONS

March 29 2013 - April 30, 2013

### REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
6 U.S. TRSY INFLATION NOTE	31000.0000	11/14/11	04/02/13	41,454.48	39,617.06	1,837.42	
8 U.S. TRSY INFLATION NOTE	3000.0000	02/13/12	04/02/13	4,011.73	3,882.40	129.33	
Subtotal (Long-Term)						1,966.75	1,966.75
TOTAL				45,466.21	43,499.46	1,966.75	1,966.75



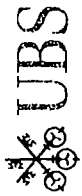
**REALIZED GAINS/(LOSSES)**

Description	Quantity	Acquired Liquidation		Sale Amount	Cost Basis	Gains/(Losses) *	
		Date	Date			This Statement	Year to Date
FIXED INCOME SHRS SER C	2323.0000	10/23/06	06/13/13	30,547.45	26,784.19	3,763.26	
♦ FIXED INCOME SHRS SER M	2884.0000	10/23/06	06/13/13	31,666.32	32,012.40	(346.08)	
♦ FIXED INCOME SHRS SER M	2825.0000	09/11/07	06/13/13	31,018.49	31,950.75	(932.26)	
FIXED INCOME SHRS SER M	173.0000	03/13/08	06/13/13	1,899.55	1,838.99	60.56	
Subtotal (Long-Term)						2,545.48	2,545.48
Subtotal (Short-Term)						(156.09)	(156.09)
<b>TOTAL</b>				<b>95,131.81</b>	<b>92,586.33</b>	<b>2,545.48</b>	<b>2,389.39</b>

*SUMMARY OF CAPITAL GAINS:*

£A - SHORT TERM - 777.64

£B - LONG TERM - 5,929.62



Business Services Account

Account name:

THE GREEN FOUNDATION

Realized gains and losses

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
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VANGUARD SHORT TERM  
INVESTMENT GRADE FUND  
ADMIRAL

FIFO	177,297.794	May 08, 09	Dec 10, 12	1,929,000.00	1,769,431.98
FIFO	459,559	May 08, 09	Dec 10, 12	5,000.00	4,585.40
FIFO	91,996.320	May 08, 09	Dec 21, 12	1,000,000.00	918,123.28 <sup>4</sup>

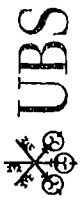
159,568.02

413.60

81,876.72

241,858.34

LONG TERM DECEMBER GAIN 2,934,000.00 2,692,141.60



## Realized gains and losses

Estimated 2013 gains and losses for transactions with trade dates through 12/31/13 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

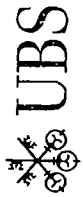
See important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

### Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
VANGUARD SHORT TERM INVESTMENT GRADE FUND ADMIRAL									
	FIFO	8,356,546	Jul 11, 12	May 07, 13	90,417.83	90,000.00			417.83
	FIFO	2,015,747	Dec 28, 12	May 07, 13	21,810.38	21,830.54		-20.16	
	FIFO	4,607,422	Dec 28, 12	May 07, 13	49,852.31	49,898.38		-46.07	
	FIFO	2,441,488	Dec 31, 12	May 07, 13	26,416.90	26,441.32		-24.42	
	FIFO	2,528,196	Jan 31, 13	May 07, 13	27,355.08	27,355.08			
	FIFO	2,225,532	Feb 28, 13	May 07, 13	24,080.25	24,102.51		-22.26	
	FIFO	2,347,516	Mar 28, 13	May 07, 13	25,400.13	25,376.65			23.48
	FIFO	681,646	Mar 28, 13	May 07, 13	7,375.41	7,368.59			6.82
	FIFO	2,317,595	Mar 28, 13	May 07, 13	25,076.38	25,053.20			23.18
	FIFO	813,639	Apr 30, 13	May 07, 13	8,803.57	8,811.71		-8.14	
Total					\$306,588.24	\$306,237.98		-\$121.05	\$471.31
Net short-term capital gains and losses									\$350.26

### Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
BANK OF AMERICA 8.200% PERPETUAL CALLABLE 05/01/13@25.00									
	FIFO	700,000	Aug 25, 11	Mar 06, 13	17,802.35	16,751.09			1,051.26
	FIFO	39,300,000	Aug 25, 11	Mar 06, 13	997,411.60	940,454.16			56,957.44
DOUBLE LINE TOTAL RETURN FUND INSTL									
	FIFO	89,552,239	Apr 06, 11	May 07, 13	1,020,000.00	980,597.02			39,402.98
	FIFO	57,075,982	Apr 06, 11	Jun 19, 13	639,251.00	624,982.00			14,269.00
	FIFO	13,768,116	Apr 06, 11	Jul 23, 13	152,000.00	150,760.87			1,239.13
									continued next page



Business Services Account

Account name.

THE GREEN FOUNDATION

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
MAP PHARMACEUTICALS INC *CASH MERGER- EFF-04/2013*	FIFO	45,620.438	Apr 06, 11	Jul 31, 13	500,000.00	499,543.80			456.20
	FIFO	58,356.164	Apr 06, 11	Nov 27, 13	639,000.00	638,999.99			0.01
MERRILL LYNCH CAP TR III 7.375%	FIFO	125.000	Oct 04, 07	Mar 04, 13	3,125.00	1,500.00			1,625.00
DUE 09/15/62 CALLABLE	FIFO	735.000	Aug 23, 11	Mar 07, 13	18,883.83	15,825.02			3,058.81
	FIFO	4,277.000	Aug 23, 11	Mar 07, 13	109,830.89	92,086.55			17,744.34
	FIFO	3,179.000	Aug 23, 11	Mar 07, 13	81,571.31	68,445.91			13,125.40
TEMPLETON GLOBAL BOND ADV	FIFO	49,324.923	Mar 08, 11	Jun 19, 13	639,251.00	670,819.66		-31,568.66	
	FIFO	38,819.876	Mar 08, 11	Jul 31, 13	500,000.00	527,950.87		-27,950.87	
VANGUARD SHORT TERM INVESTMENT GRADE FUND ADMIRAL	FIFO	22,160.665	May 08, 09	Jan 08, 13	240,000.00	221,163.43			18,836.57
	FIFO	77,264.325	May 08, 09	Mar 12, 13	836,000.00	771,097.97			64,902.03
	FIFO	46,251.426	May 08, 09	Apr 04, 13	499,977.92	461,589.23			38,388.69
	FIFO	832,562.442	May 08, 09	Apr 11, 13	9,000,000.00	8,308,973.17			691,026.83
	FIFO	527,062.477	May 08, 09	Apr 11, 13	5,697,545.38	5,260,083.52			437,461.86
	FIFO	27,979.151	Mar 23, 12	Apr 11, 13	302,454.62	300,216.29			2,238.33
	FIFO	17,687.205	Mar 23, 12	May 07, 13	191,375.56	189,783.71			1,591.85
Total					\$22,085,480.46	\$20,741,624.26		-\$59,519.53	\$1,403,375.73
UBP SELECTINVEST ARBITRAGE/RELATIVE VALUE LTD AIO 10/31/13	FIFO	564,815.000		May 09, 13	564,815.22	502,122.00			62,093.22
					22,650,795.68	21,244,346.26			1,405,949.42
					2,984,000.00	2,692,141.66			2,41,858.34
									1,647,807.76

TOTAL Long TERM GAIN 25,584,295.68 23,926,487.92



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PREPARED FOR

TAX LEDGER  
THE GREEN FOUNDATION CORPORATE AMERICAPAGE 1  
ACCOUNT SITUS = CA

PROCESS DATE 12/04/2013

FOR THE PERIOD 12/01/2012 TO 11/30/2013

## SECTION A - CAPITAL GAINS &amp; LOSSES

SHARES/PAR	SECURITY	REG	SHORT TERM SALES & MATURITIES DATE		CAPITAL PROCEEDS TO INCOME CASH PRINCIPAL CASH	COST BASIS	SHORT TERM GAIN OR LOSS
			ACQUIRED	SOLD			
1610 0000	AES CORP COM	1	12/16/11	12/04/12	17235 79	-18759 40	-1523 61
	(00130H105)			COVERED=Y			
156 0000	AMERICAN TOWER CORP NEW COM	1	06/07/12	01/28/13	12329.23	-10228 87	2100 36
	(03027X100)			COVERED=Y			
610 0000	BANK OF AMERICA CORPORATION	1	12/04/12	07/08/13	8055 40	-6020 21	2035 19
	COM (060505104)			COVERED=Y			
88 0000	BERKSHIRE HATHAWAY INC DEL	1	09/14/12	01/28/13	8564 72	-7787 84	776 88
	CL B NEW (084670702)			COVERED=Y			
296 0000	FORD MOTOR COMPANY	1	06/14/12	05/02/13	3963 32	-3069 52	893 80
	(345370860)			COVERED=Y			
1320 0000	FORD MOTOR COMPANY	1	05/24/12	05/02/13	17674 27	-14009 15	3665 12
	(345370860)			COVERED=Y			
530 0000	INTEL CORP COM	1	06/07/12	12/04/12	10453 49	-13856 48	-3402 99
	(458140100)			COVERED=Y			
121 0000	KRAFT FOODS GROUP INC COM	1	10/12/12	07/08/13	6657 65	-5705 90	951 75
	(50076Q106)			COVERED=Y			
8 0016	KRAFT FOODS GROUP INC COM	1	10/12/12	09/11/13	428 33	-377 33	51 00
	(50076Q106)			COVERED=Y			
98 9984	KRAFT FOODS GROUP INC COM	1	11/06/12	09/11/13	5299 39	-4458 65	840 74
	(50076Q106)			COVERED=Y			
315 0000	ROCKWELL INTL CORP NEW COM	1	07/23/12	12/04/12	24702 16	-20875 33	3826 83
	(773903109)			COVERED=Y			
161 0000	STARBUCKS CORP COM	1	08/30/12	08/26/13	11612 95	-8013 87	3599 08
	(855244109)			COVERED=Y			
230 0000	WELLS FARGO & CO NEW COM	1	10/04/12	07/08/13	9835 07	-8266 18	1568 89
	(949746101)			COVERED=Y			
	TOTAL SHORT TERM SALES & MATURITIES				136811 77	121428 73	15383.04
	TOTAL SHORT TERM GAIN & LOSSES						15383 04

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TAX LEDGER  
THE GREEN FOUNDATION CORPORATE AMERICAPAGE 2  
ACCOUNT SITUS = CA

PROCESS DATE 12/04/2013

FOR THE PERIOD 12/01/2012 TO 11/30/2013

## SECTION A - CAPITAL GAINS &amp; LOSSES

SHARES/PAR	SECURITY	REG	LONG TERM SALES & MATURITIES		CAPITAL PROCEEDS TO		COST BASIS	LONG TERM GAIN OR LOSS
			DATE	DATE	INCOME CASH	PRINCIPAL CASH		
140 0000	ABBOTT LABS COM	1	05/11/11	12/04/12		9067 29	-7476 19	1641 10
	(002824100)			COVERED=Y				
19 0000	AMERICAN EXPRESS CO COM	1	06/22/12	07/08/13		1463 36	-1080 94	382 42
	(025816109)			COVERED=Y				
130 0000	AMERICAN EXPRESS CO COM	1	05/09/12	07/08/13		10012 45	-7767 93	2244 52
	(025816109)			COVERED=Y				
26 0000	APPLE COMPUTER INC COM	1	04/28/08	03/11/13		11139 53	-4500 34	6639 19
	(037833100)							
1836 0000	BANK OF AMERICA CORPORATION	1	08/24/11	07/08/13		24245 43	-12745 48	11499 95
	COM (060505104)			COVERED=Y				
670 0000	BANK OF AMERICA CORPORATION	1	02/07/12	07/08/13		8847 73	-5306 07	3541 66
	COM (060505104)			COVERED=Y				
145 0000	BECTON DICKINSON & CO COM	1	10/18/05	12/04/12		11106 75	-7447 20	3659 55
	(075887109)							
70 0000	BECTON DICKINSON & CO COM	1	02/28/06	12/04/12		5361 88	-4484.20	877 68
	(075887109)							
5 0000	BOEING CO COM	1	05/11/11	04/23/13		440 38	-395 13	45 25
	(097023105)			COVERED=Y				
101 0000	BOEING CO COM	1	11/15/10	04/23/13		8895 69	-6451 02	2444 67
	(097023105)							
15 0000	BOEING CO COM	1	12/09/10	04/23/13		1321 14	-967 78	353 36
	(097023105)							
87 0000	BOEING CO COM	1	11/15/10	07/08/13		9101 14	-5556 82	3544 32
	(097023105)							
42 0000	BOEING CO COM	1	11/15/10	10/11/13		4955 18	-2682 61	2272 57
	(097023105)							
295 0000	BOEING CO COM	1	11/15/10	10/11/13		34804 24	-18842 09	15962 15
	(097023105)							
82 0000	CELGENE CORP COM	1	09/28/07	03/11/13		9150 90	-5835 12	3315 78
	(151020104)							
34 0000	CELGENE CORP COM	1	09/28/07	11/13/13		5071 35	-2419 44	2651 91
	(151020104)							

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TAX LEDGER  
THE GREEN FOUNDATION CORPORATE AMERICAPAGE 3  
ACCOUNT SITUS = CA

PROCESS DATE 12/04/2013

FOR THE PERIOD 12/01/2012 TO 11/30/2013

## SECTION A - CAPITAL GAINS &amp; LOSSES

SHARES/PAR	SECURITY	REG	LONG TERM SALES & MATURITIES DATE		CAPITAL PROCEEDS TO		COST BASIS	LONG TERM GAIN OR LOSS
			ACQUIRED	SOLD	INCOME CASH	PRINCIPAL CASH		
657 0000	COMCAST CORP	1	02/28/06	08/26/13		27259 38	-11777 82	15481 56
	(20030N101)							
931 0000	CEMIG SA-SPONS ADR	1	07/28/09	12/04/12		10966 75	-9467 90	1498 85
	SP ADR N-V PFD	(204409601)						
45 0000	DEERE & CO COM	1	06/22/11	12/04/12		3755 06	-3700 26	54 80
	(244199105)							
285 0000	DEERE & CO COM	1	09/21/09	12/04/12		23782 03	-12809 41	10972 62
	(244199105)							
105 0000	DIAGEO P L C SPNSRD ADR NEW	1	03/11/09	01/28/13		12310 49	-4499 96	7810 53
	(25243Q205)							
335.0000	E M C CORP MASS COM	1	02/28/06	03/11/13		8247 11	-4723 50	3523 61
	(268648102)							
50 0000	E M C CORP MASS COM	1	03/31/06	03/11/13		1230.91	-683 50	547.41
	(268648102)							
350 0000	E M C CORP MASS COM	1	10/18/05	03/11/13		8616 39	-4672 50	3943 89
	(268648102)							
65 0000	E M C CORP MASS COM	1	02/12/07	03/11/13		1600 19	-954 85	645 34
	(268648102)							
70 0000	EXXON MOBIL CORP COM	1	07/17/09	01/28/13		6390 16	-4801 30	1588 86
	(30231G102)							
44 0000	EXXON MOBIL CORP COM	1	08/08/11	01/28/13		4016 67	-3089 24	927.43
	(30231G102)							
288 0000	GENERAL ELECTRIC CO COM	1	02/28/06	07/08/13		6713 16	-9489 23	-2776 07
	(369604103)							
345 0000	GILEAD SCIENCES INC COM	1	09/28/07	12/04/12		25771 44	-14076.00	11695 44
	(375558103)							
40 0000	GILEAD SCIENCES INC COM	1	03/11/09	12/04/12		2987 99	-1768 40	1219 59
	(375558103)							
108 0000	GILEAD SCIENCES INC COM	1	09/28/07	11/13/13		7375 30	-2203 20	5172 10
	(375558103)							
26 0000	INTERNATIONAL BUSINESS MACHS COM	1	06/07/12	07/17/13		5033 71	-5079 56	-45 85
	(459200101)							

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TAX LEDGER  
THE GREEN FOUNDATION CORPORATE AMERICAPAGE 4  
ACCOUNT SITUS = CA

PROCESS DATE 12/04/2013

FOR THE PERIOD 12/01/2012 TO 11/30/2013

## SECTION A - CAPITAL GAINS &amp; LOSSES

SHARES/PAR	SECURITY	REG	LONG TERM SALES & MATURITIES		CAPITAL PROCEEDS TO		COST BASIS	LONG TERM GAIN OR LOSS
			DATE	DATE	INCOME CASH	PRINCIPAL CASH		
135 0000	PNC FINL SVCS GROUP INC COM (693475105)	1	08/05/10	12/04/12		7356 05	-8124 39	-768 34
90 0000	PNC FINL SVCS GROUP INC COM (693475105)	1	06/16/11	12/04/12		4904 04	-5348 58	-444 54
300 0000	PHILIP MORRIS INTL INC COM (718172109)	1	03/11/09	09/11/13		25883 91	-10132 41	15751 50
25.0000	PHILIP MORRIS INTL INC COM (718172109)	1	02/28/06	09/11/13		2156 99	-939.22	1217 77
30 0000	PROCTER & GAMBLE CO COM (742718109)	1	12/09/10	09/11/13		2341 50	-1885 48	456 02
21 0000	PROCTER & GAMBLE CO COM (742718109)	1	02/28/06	09/11/13		1639 05	-1260 84	378 21
20 0000	PROCTER & GAMBLE CO COM (742718109)	1	03/04/10	09/11/13		1561 00	-1273 00	288 00
112 0000	QUALCOMM INC COM (747525103)	1	05/11/11	07/17/13		6996 27	-6313 37	682 90
53 3100	TEVA PHARMACEUTICAL INDS LTD (881624209)	1	10/18/05	10/31/13		1993 35	-2259.63	-266 28
116.0300	TEVA PHARMACEUTICAL INDS LTD (881624209)	1	10/20/06	10/31/13		4338 56	-4918 02	-579 46
71 6600	TEVA PHARMACEUTICAL INDS LTD (881624209)	1	02/28/06	10/31/13		2679 49	-3100 76	-421 27
215 0000	TEVA PHARMACEUTICAL INDS LTD (881624209)	1	03/11/09	10/31/13		8039 23	-9358.11	-1318 88
295 0000	TEXAS INSTRS INC COM (882508104)	1	11/09/11	12/04/12		8711 80	-9000 66	-288 86
20 0000	WELLPOINT INC COM (94973V107)	1	04/21/11	03/11/13		1268 96	-1452 60	-183 64
13.0000	WELLPOINT INC COM (94973V107)	1	01/14/11	03/11/13		824 82	-828 56	-3 74
54 0000	WELLS FARGO & CO NEW COM (949746101)	1	03/13/12	07/08/13		2309 10	-1797 66	511 44



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TAX LEDGER  
THE GREEN FOUNDATION CORPORATE AMERICAPAGE 5  
ACCOUNT SITUS = CA

PROCESS DATE 12/04/2013

FOR THE PERIOD 12/01/2012 TO 11/30/2013

## SECTION A - CAPITAL GAINS &amp; LOSSES

SHARES/PAR	SECURITY	REG	DATE ACQUIRED	SOLD	CAPITAL PROCEEDS TO INCOME CASH PRINCIPAL CASH	COST BASIS	LONG TERM GAIN OR LOSS
	TOTAL LONG TERM SALES & MATURITIES				394045 30	255698 28	138347 02
	TOTAL LONG TERM GAIN & LOSSES						138347.02
	TOTAL CAPITAL GAINS & LOSSES						153730.06

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PREPARED FOR

PROCESS DATE 12/04/2013

TAX LEDGER  
THE GREEN FOUNDATION GLOBAL QUALITY DIVIDEND  
WHITTIER TRUST, AGENT

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FOR THE PERIOD 12/01/2012 TO 11/30/2013

## SECTION A - CAPITAL GAINS &amp; LOSSES

SHARES/PAR	SECURITY	REG	DATE		CAPITAL PROCEEDS TO		COST BASIS	SHORT TERM GAIN OR LOSS
			ACQUIRED	SOLD	INCOME CASH	PRINCIPAL CASH		
33 0000	EATON CORP PLC SHS	1	11/30/12	03/21/13		2068 72	-1714 19	354 53
	(G29183103)			COVERED=Y				
30 0000	AUSTRALIA&NEW ZEALAND BKG GR	1	04/13/12	03/21/13		878 08	-712 80	165 28
	SPONSORED ADR	(052528304)		COVERED=Y				
30.0000	CONSOLIDATED EDISON INC COM	1	04/13/12	03/21/13		1767 40	-1737 30	30 10
	(209115104)			COVERED=Y				
150.0000	EATON CORP COM	1	12/15/11	12/06/12		7791 75	-6312 00	1479 75
	(278058102)			COVERED=Y				
235.0000	EATON CORP COM	1	02/10/12	12/06/12		12207 08	-12079 00	128 08
	(278058102)			COVERED=Y				
30 0000	HCP INC COM	1	04/13/12	03/21/13		1456 63	-1164 42	292 21
	(40414L109)			COVERED=Y				
30 0000	KIMBERLY CLARK CORP COM	1	04/13/12	03/21/13		2848 89	-2239 38	609 51
	(494368103)			COVERED=Y				
100 0000	LEGGETT & PLATT INC COM	1	04/13/12	03/21/13		3269 25	-2245 00	1024 25
	(524660107)			COVERED=Y				
75.0000	VODAFONE GROUP PLC NEW	1	04/13/12	03/21/13		2087 72	-2028 75	58 97
	SPONS ADR NEW	(92857W209)		COVERED=Y				
	TOTAL SHORT TERM SALES & MATURITIES					34375 52	30232 84	4142.68
	SHORT TERM CAPITAL GAIN DIVIDENDS							
	SECURITY		DATE		CODE	AMOUNT		
	SIEMENS A G SPONSORED ADR	1	07/31/13		59	616 23		
	(826197501)							
	TOTAL SHORT TERM CAPITAL GAIN DIVIDENDS					616 23		
	TOTAL SHORT TERM GAIN & LOSSES					4758 91		

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PREPARED FOR

PROCESS DATE 12/04/2013

TAX LEDGER  
THE GREEN FOUNDATION GLOBAL QUALITY DIVIDEND  
WHITTIER TRUST, AGENT

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ACCOUNT SITUS = CA

FOR THE PERIOD 12/01/2012 TO 11/30/2013

## SECTION A - CAPITAL GAINS &amp; LOSSES

SHARES/PAR	SECURITY	REG	LONG TERM SALES & MATURITIES		CAPITAL PROCEEDS TO INCOME CASH PRINCIPAL CASH	COST BASIS	LONG TERM GAIN OR LOSS
			DATE	ACQUIRED SOLD			
37 0000	ATMOS ENERGY CORP COM	1	12/15/11	03/21/13	1534.51	-1205 09	329.42
	(049560105)			COVERED=Y			
48 0000	AUSTRALIA&NEW ZEALAND BKG GR	1	02/10/12	03/21/13	1404.93	-1103 04	301.89
	SPONSORED ADR	(052528304)		COVERED=Y			
160.0000	CONOCOPHILLIPS	1	02/10/12	03/21/13	9750 20	-8915 30	834 90
	(20825C104)			COVERED=Y			
90 0000	CONOCOPHILLIPS	1	12/15/11	03/21/13	5484 49	-4747 05	737 44
	(20825C104)			COVERED=Y			
100 0000	CONSOLIDATED EDISON INC COM	1	12/15/11	03/21/13	5891 34	-5991 00	-99 66
	(209115104)			COVERED=Y			
190 0000	CONSOLIDATED EDISON INC COM	1	02/10/12	03/21/13	11193 54	-11238 50	-44.96
	(209115104)			COVERED=Y			
18.0000	DIAGEO P L C SPNSRD ADR NEW	1	02/10/12	03/21/13	2196 67	-1681 20	515 47
	(25243Q205)			COVERED=Y			
188 3333	DUKE ENERGY CORP NEW	1	02/10/12	03/21/13	13245 30	-12130.49	1114 81
	COM NEW	(26441C204)		COVERED=Y			
101 6667	DUKE ENERGY CORP NEW	1	12/15/11	03/21/13	7150.12	-6431 69	718 43
	COM NEW	(26441C204)		COVERED=Y			
295 0000	HCP INC COM	1	02/10/12	03/21/13	14323 49	-12116 68	2206.81
	(40414L109)			COVERED=Y			
160 0000	HCP INC COM	1	12/15/11	03/21/13	7768 67	-6161 80	1606 87
	(40414L109)			COVERED=Y			
205 0000	HOME DEPOT INC COM	1	02/10/12	03/21/13	14158 15	-9272 15	4886 00
	(437076102)			COVERED=Y			
170 0000	HOME DEPOT INC COM	1	12/15/11	03/21/13	11740 91	-6726 90	5014 01
	(437076102)			COVERED=Y			
185 0000	HONEYWELL INTL INC COM	1	02/10/12	03/21/13	13808 65	-10946 45	2862 20
	(438516106)			COVERED=Y			
125.0000	HONEYWELL INTL INC COM	1	12/15/11	03/21/13	9330 17	-6562 50	2767 67
	(438516106)			COVERED=Y			
4 0000	KIMBERLY CLARK CORP COM	1	02/10/12	03/21/13	379 85	-286 96	92 89
	(494368103)			COVERED=Y			

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PREPARED FOR

PROCESS DATE 12/04/2013

## TAX LEDGER

THE GREEN FOUNDATION GLOBAL QUALITY DIVIDEND  
WHITTIER TRUST, AGENT

PAGE 3

ACCOUNT SITUS = CA

FOR THE PERIOD 12/01/2012 TO 11/30/2013

## SECTION A - CAPITAL GAINS &amp; LOSSES

SHARES/PAR	SECURITY	REG	LONG TERM SALES & MATURITIES DATE		CAPITAL PROCEEDS TO		COST BASIS	LONG TERM	
			ACQUIRED	SOLD	INCOME CASH	PRINCIPAL CASH		GAIN OR LOSS	
96 0000	MONDELEZ INTL INC CL A	1	02/10/12	03/21/13		2737 89	-2406.55		331.34
	(609207105)			COVERED=Y					
38 0000	NOVARTIS A G SPONSORED ADR	1	12/15/11	03/21/13		2683 51	-2137 88		545 63
	(66987V109)			COVERED=Y					
63 0000	PFIZER INC COM	1	12/15/11	03/21/13		1772 89	-1337 96		434.93
	(717081103)			COVERED=Y					
45 0000	PHILLIPS 66 COM	1	12/15/11	03/21/13		3008 19	-1410 75		1597 44
	(718546104)			COVERED=Y					
80 0000	PHILLIPS 66 COM	1	02/10/12	03/21/13		5347 89	-2649 50		2698 39
	(718546104)			COVERED=Y					
51 0000	QUESTAR CORP COM	1	02/10/12	03/21/13		1209 69	-994 92		214 77
	(748356102)			COVERED=Y					
107 0000	TAIWAN SEMICONDUCTOR MFG LTD	1	02/10/12	03/21/13		1811 47	-1487 12		324 35
	SPONSORED ADR	1		COVERED=Y					
117 0000	3M CO	1	02/10/12	03/21/13		12288 47	-10201 22		2087 25
	(88579Y101)			COVERED=Y					
111 0000	TIME WARNER INC COM NEW	1	02/10/12	03/21/13		6234 73	-4185 77		2048 96
	(887317303)			COVERED=Y					
57 0000	TRAVELERS COMPANIES, INC	1	02/10/12	03/21/13		4777 06	-3376 67		1400 39
	COM	1		COVERED=Y					
39 0000	UNILEVER PLC SPON ADR NEW	1	12/15/11	03/21/13		1614 56	-1269 06		345 50
	(904767704)			COVERED=Y					
390 0000	VODAFONE GROUP PLC NEW	1	02/10/12	03/21/13		10856 15	-10681.20		174 95
	SPONS ADR NEW	1		COVERED=Y					
215 0000	VODAFONE GROUP PLC NEW	1	12/15/11	03/21/13		5984 80	-5873 80		111 00
	SPONS ADR NEW	1		COVERED=Y					
105 0000	WESTPAC BKG CORP	1	02/10/12	03/21/13		16387 85	-11697 00		4690 85
	SPONSORED ADR	1		COVERED=Y					
60 0000	WESTPAC BKG CORP	1	12/15/11	03/21/13		9364 49	-6141.00		3223 49
	SPONSORED ADR	1		COVERED=Y					
	TOTAL LONG TERM SALES & MATURITIES					215440 63	171367 20		44073 43

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TAX LEDGER  
THE GREEN FOUNDATION, WTC- AGENTPAGE 1  
ACCOUNT SITUS - CA

PROCESS DATE 12/04/2013

FOR THE PERIOD 12/01/2012 TO 11/30/2013

## SECTION A - CAPITAL GAINS &amp; LOSSES

		SHORT TERM CAPITAL GAIN DIVIDENDS					
SECURITY			DATE	CODE		AMOUNT	
	ISHARES LEHMAN AGGREGATE	1	12/07/12	59		966 41	
	BONDS (464207226)						
	TEMPLETON INCOME TR	P	12/19/12	59		31 30	
	GLB BD ADVSOR (880208400)						
TOTAL SHORT TERM CAPITAL GAIN DIVIDENDS							997 71
TOTAL SHORT TERM GAIN & LOSSES							997 71

		LONG TERM SALES & MATURITIES		CAPITAL PROCEEDS TO			LONG TERM
SHARES/PAR	SECURITY	REG	DATE	INCOME CASH	PRINCIPAL CASH	COST BASIS	GAIN OR LOSS
150000	0000 BANK OF AMERICA CORPORATION	1	04/13/09 08/15/13		150000 00	-150000 00	0 00
	NOTE 4 750% 8/15/13 (060505BD5)						
2000	0000 BANK OF AMERICA CORPORATION	1	06/18/08 05/28/13		50000 00	-48861 40	1138 60
	PTD 1/1200SER8 (060505559)						
200000	0000 SEMPRA ENERGY	1	05/12/09 11/15/13		200000.00	-200000 00	0 00
	NOTE 8 900%11/15/13 (816851AL3)						
TOTAL LONG TERM SALES & MATURITIES				400,000		398,861	1,139

		LONG TERM CAPITAL GAIN DIVIDENDS					
SECURITY			DATE	CODE		AMOUNT	
	ISHARES LEHMAN AGGREGATE	1	12/07/12	60		95 08	
	BONDS (464287226)						
	TEMPLETON INCOME TR	P	12/19/12	60		3127 76	
	GLB BD ADVSOR (880208400)						
TOTAL LONG TERM CAPITAL GAIN DIVIDENDS							3222 84

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
UNION BANK - ACCT	2,063.	2,063.
JP MORGAN - ACCT	53,539.	53,539.
MERRILL LYNCH - ACCT	669,509.	669,509.
WILMINGTON TRUST - ACCT	38,245.	38,245.
WHITTIER TRUST - ACCT	349,600.	349,600.
UBS FINANCIAL SERVICES - ACCT	2,774,795.	2,774,795.
NORTHERN TRUST - ACCT	264,908.	264,908.
GOLDMAN SACHS - ACCT	6.	6.
WTC VALUE FUND A, LP	14,248.	14,248.
WTC AGG FUND A, LP	3,660.	3,660.
WTC INTL FUND A, LP	14,949.	14,949.
VPC FUND II, LP	189,678.	189,678.
VTG, LLC	46.	46.
CORPORATE NOTE	597,013.	597,013.
GPL SERVICING LTD - CORP	1,751,973.	1,751,973.
RCP SECONDARY OPP FUND - CORP	605,091.	605,091.
NGP NAT RESOURCES X, LP	1,489.	1,489.
GS ASIA FUND, LP	2.	2.
TOTAL	7,330,814.	7,330,814.

## FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
WTC INTL FUND A, LP	795.	795.
WTC AGG GROWTH FUND A, LP	53.	53.
VTG, LLC	80.	80.
VPC FUND II, LP (PORTFOLIO INCOME)	92,306.	92,306.
WHITTIER TRUST TRANSACTIONS	262.	262.
LITIGATION SETTLEMENTS	1,013.	1,013.
GS ASIA FUND, LP	368.	368.
NGP NAT RESOURCES X, LP	6,974.	6,974.
LOWELL INVESTORS, LLC	49,984.	49,984.
WHITTIER VALUE FUND A, LP	2,470.	2,470.
TOTALS	154,305.	154,305.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
PROFESSIONAL EXPENSES	112,299.	5,615.
TOTALS	112,299.	5,615.



FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
TAXES AND LICENSES	180.	180.
TOTALS	<u>180.</u>	<u>180.</u>

## FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT FEES	547,044.	547,044.
FOREIGN TAXES PAID	2,299.	2,299.
INSURANCE EXPENSE	6,178.	
BANK CHARGES	1,547.	1,547.
OTHER PORTFOLIO DEDUCTIONS K-1	131,445.	131,445.
OFFICE SUPPLIES & EXPENSE	600.	
TOTALS	689,113.	682,335.

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 6

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
WHITTIER TRUST COMPANY - ACCT	10,329,993.	12,439,132.
JP MORGAN CHASE - ACCT	2,512,493.	2,920,791.
MERRILL LYNCH - ACCT	15,340,537.	18,042,831.
WILMINGTON TRUST - ACCT		
UBS FINANCIAL SERVICES - ACCT	56,599,367.	64,410,936.
NORTHERN TRUST COMPANY - ACCT	9,959,271.	10,173,810.
GOLDMAN SACHS - ACCT	7,566.	7,566.
RCP SECONDARY OPP FUND - CORP	2,979,808.	3,020,928.
GPL SERVICING LTD - CORP	8,000,000.	8,000,000.
RCP#2 SECONDARY OPP FUND- CORP	280,000.	249,553.
WELLS FARGO - ACCT	5,000,000.	5,000,000.
CALEDONIAN FUND	1,000,000.	1,248,563.
TOTALS	<u>112,009,035.</u>	<u>125,514,110.</u>

ATTACHMENT 7

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
WTC AGG GROWTH FUND A, LP		
WTC VALUE FUND A, LP	514,148.	868,016.
WTC INTL FUND A, LP	399,728.	498,602.
CARRICK CAPITAL, LP	1,151,039.	1,151,039.
NGP NAT RES X, LP	822,825.	977,557.
VTG, LLC	5,521,432.	5,611,965.
VPC FUND II, LP	3,322,466.	3,841,633.
CORPORATE NOTE	10,000,000.	10,000,000.
3400 S LOWELL INV, LLC	2,097,484.	2,115,000.
TOTALS	<u>23,829,122.</u>	<u>25,063,812.</u>

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 8

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
DEPOSITS	7,700.	7,700.
TOTALS	<u>7,700.</u>	<u>7,700.</u>

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
COST BASIS ADJUSTMENT	7,700.
TOTAL	<u>7,700.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
GEORGE H. MCCRIMLISK 225 SOUTH LAKE AVENUE, SUITE 1410 PASADENA, CA 91101	PRESIDENT/CEO 10.00	0	0	0
SUZANNE GREEN 1277 HARTSVILLE-MILL RIVER ROAD NEW MARLBOROUGH, MA 01230	BOARD MEMBER 1.00	0	0	0
RON WILCOX 1569 VIRGINIA ROAD SAN MARINO, CA 91108	BOARD MEMBER 1.00	0	0	0
KATHLEEN MCCRIMLISK 225 SOUTH LAKE AVENUE, SUITE 1410 PASADENA, CA 91101	BOARD MEMBER	0	0	0
GRAND TOTALS		0	0	0

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 11

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>
KYLIE WRIGHT 225 SOUTH LAKE AVENUE SUITE 1410 PASADENA, CA 91101	PROGRAM DIRECTOR 40.00	129,083.	10,400. 0
ELENA HERMANSON 225 SOUTH LAKE AVENUE SUITE 1410 PASADENA, CA 91101	PROGRAM DIRECTOR 24.00	85,367.	6,880. 0
	TOTAL COMPENSATION	<u>214,450.</u>	<u>17,280.</u>



FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE ATTACHMENT

SEE ATTACHMENT

8,514,600

TOTAL CONTRIBUTIONS PAID

8,514,600

# **The Green Foundation - Grants Awarded FYE13**

<b>Date</b>	<b>Agency</b>	<b>Amount</b>
<b>Dec-13</b>	Pasadena Guild of Children's Hospital	\$ 10,000.00
	Southern CA Golf Association Fdn.	\$ 10,000.00
<b>Jan-13</b>	CalTech	\$ 3,000.00
	Foothill Family Services	\$ 10,000.00
	St. Andrew's Abbey	\$ 16,000.00
<b>Feb-13</b>	Childrens Hospital LA	\$ 10,000.00
<b>Mar-13</b>	Men's Guild - Childrens Hospital	\$ 10,000.00
	A Home Within	\$ 25,000.00
	Alliance for College Ready Schools	\$ 250,000.00
	AnimalKind	\$ 40,000.00
	Arc of LA/Orange Counties	\$ 35,300.00
	Assistance League LA	\$ 25,000.00
	Assistance League of Pasadena	\$ 10,000.00
	Barrington Stage Co.	\$ 35,000.00
	Beit T'Shuvah	\$ 25,000.00
	Bishop Almany High School	\$ 25,000.00
	Boys and Girls Club of Hollywood	\$ 30,000.00
	Boys and Girls Club of Stanton	\$ 10,000.00
	Braille Institute	\$ 20,000.00
	CASA of LA	\$ 20,000.00
	CASA Youth Shelter	\$ 25,000.00
	Chrysalis	\$ 30,000.00
	City Scholars Foundation	\$ 10,000.00
	Community Career Development	\$ 25,000.00
	Door of Hope	\$ 35,000.00
	Dramatic Results	\$ 40,000.00
	Free Arts for Abused Children	\$ 25,000.00
	FVO Solutions	\$ 25,000.00
	Girls and Gangs	\$ 25,000.00
	Girls Inc. of Orange County	\$ 10,000.00
	Good Shepherd	\$ 75,000.00
	Hathaway Sycamores	\$ 10,000.00
	HEAR Center	\$ 20,000.00
	Help of Ojai	\$ 10,000.00
	Homes for Life Foundation	\$ 25,000.00
	Imagine LA	\$ 30,000.00
	Junior Blind	\$ 30,000.00
	LA Education Partnership	\$ 15,000.00
	LA Master Chorale	\$ 15,000.00
	LA Opera	\$ 250,000.00
	LA Youth Network	\$ 30,000.00
	LB Museum of Art	\$ 15,000.00
	Learning Ally	\$ 20,000.00
	Lee Memorial Health Systems Fdn.	\$ 50,000.00
	LeRoy Hanes Center	\$ 25,000.00

	Library Fdn. Of LA	\$	25,000.00
	Make a Wish	\$	10,000.00
	Maple Counseling Center	\$	25,000.00
	MEND	\$	50,000.00
	Mother's Club	\$	30,000.00
	New Directions	\$	60,000.00
	New Visions Foundation	\$	25,000.00
	No Limits	\$	20,000.00
	North Valley Caring Services	\$	25,000.00
	Operation Jump Start	\$	20,000.00
	Orange County Children/Parents	\$	20,000.00
	Ordinary Women	\$	20,000.00
	Our House	\$	25,000.00
	Para Los Ninos	\$	50,000.00
	Partnership for LA Schools	\$	100,000.00
	Public Counsel	\$	25,000.00
	Ramona Convent	\$	25,000.00
	Roundup River Ranch	\$	125,000.00
	Ryman Arts	\$	25,000.00
	Southern CA Counseling Center	\$	25,000.00
	Stone Soup	\$	30,000.00
	The Gary Center	\$	10,000.00
	The Hillside School	\$	10,000.00
	The Shea Center	\$	25,000.00
	The Whole Child	\$	35,000.00
	TLC for the Blind	\$	30,000.00
	Toberman Center	\$	2,500.00
	Tom Wathen Center	\$	25,000.00
	TrinityCare Hospice Foundation	\$	10,000.00
	Venice Arts	\$	25,000.00
	Village Family Services	\$	25,000.00
	Western Justice Center	\$	25,000.00
	Wings of Hope	\$	25,000.00
	Women at Work	\$	35,000.00
	Young and Healthy	\$	50,000.00
	YWCA - San Gabriel	\$	25,000.00
	YWCA - Santa Monica	\$	10,000.00
<b>Apr-13</b>	LA Police Foundation	\$	10,000.00
	Malta House	\$	50,000.00
	Trashmasters	\$	10,000.00
	Red Cross - LA	\$	100,000.00
	Tower Cancer Research	\$	1,500.00
<b>May-13</b>	Five Acres	\$	5,000.00
	Stop Hunger Now	\$	10,000.00
<b>Jun-13</b>	A Place Called Home	\$	40,000.00
	AbilityFirst	\$	30,000.00
	Asian Pacific American Legal Center	\$	25,000.00

Asian Pacific Women's Center	\$	15,000.00
Beauty Bus Foundation	\$	15,000.00
Berkshire Children and Families	\$	25,000.00
Berkshire South Regional Community Cent	\$	25,000.00
Boys and Girls Club – Foothills	\$	15,000.00
Boys and Girls Club – Long Beach	\$	25,000.00
Boys and Girls Club – Pasadena	\$	20,000.00
Camp Ronald McDonald	\$	25,000.00
Cancer Support Community – Pasadena	\$	35,000.00
Children's Hospital Orange County	\$	250,000.00
Children's Music Fund	\$	5,000.00
Children's Therapy Center	\$	20,000.00
City Hearts	\$	25,000.00
Direct Relief International	\$	100,000.00
Downtown Women's Center	\$	25,000.00
Dress for Success	\$	50,000.00
Education thru Music	\$	25,000.00
El Centro de Accion Social	\$	25,000.00
Elizabeth Freeman Center	\$	25,000.00
Esperanza Community Housing Corp.	\$	25,000.00
Girl Scouts Greater LA	\$	20,000.00
Grand Performances	\$	15,000.00
Grandparents as Parents	\$	30,000.00
Great Beginnings	\$	15,000.00
Habitat for Humanity Greater LA	\$	50,000.00
Han-Schneider International Child Fdn.	\$	10,000.00
Harmony Project	\$	20,000.00
Helpline Youth Counseling	\$	15,000.00
Hillcrest Educational Center	\$	30,000.00
Human Options	\$	20,000.00
Idyllwild	\$	26,000.00
Inner-City Filmmakers	\$	25,000.00
Inside Out Community Arts	\$	30,000.00
Jovenes	\$	25,000.00
KIPP LA Schools	\$	50,000.00
LA Center for Law and Justice	\$	25,000.00
LA Team Mentoring	\$	20,000.00
Lifesavers Wild Horse Rescue	\$	21,000.00
Mar Vista Family Center	\$	20,000.00
Methodist Hospital	\$	50,000.00
Move a Child Higher	\$	5,000.00
Move a Child Higher	\$	25,000.00
Midnight Mission	\$	100,000.00
MOCA	\$	40,000.00
New Village Charter School	\$	25,000.00
Pacific Asia Museum	\$	25,000.00
Pacific Symphony	\$	20,000.00

	Pasadena Child Development Associates	\$	50,000.00
	People Assisting the Homeless	\$	25,000.00
	Project Dignity	\$	12,000.00
	Project Native	\$	15,000.00
	Shoes that Fit	\$	25,000.00
	Society of St. Vincent de Paul	\$	20,000.00
	Special Olympics – San Gabriel Valley	\$	15,000.00
	St. Vincent Medical Center	\$	10,000.00
	Street Poets	\$	20,000.00
	Strive Foundation	\$	30,000.00
	Support the Enlisted Project	\$	100,000.00
	Switzer Learning Center	\$	30,000.00
	Taking the Reins	\$	20,000.00
	Theatricum Botanicum	\$	25,000.00
	Union Rescue Mission	\$	100,000.00
	Venice Community Housing Corp.	\$	30,000.00
	Westridge School	\$	25,000.00
	Writegirl	\$	25,000.00
	Young Horizons	\$	10,000.00
<b>Jul-13</b>	Hands Together	\$	100,000.00
	Glendale Association for the Retarded	\$	20,000.00
<b>Aug-13</b>	American Cancer Society	\$	25,000.00
	Desert Classic Charities	\$	25,000.00
	LA Opera	\$	100,000.00
	Mayfield Junior School	\$	2,500.00
	Mr. Holland's Opus	\$	47,800.00
	Sketcher's Foundation	\$	1,000.00
	Special Ops Survivors	\$	10,000.00
<b>Sep-13</b>	826LA	\$	30,000.00
	A Noise Within	\$	25,000.00
	Alliance for Housing/Healing	\$	25,000.00
	America SCORES	\$	20,000.00
	Aquarium of the Pacific	\$	20,000.00
	Armory Center for the Arts	\$	30,000.00
	Asian Youth Center	\$	20,000.00
	Assistance League of LB	\$	25,000.00
	Berkshire Museum	\$	25,000.00
	Bethune Theatredanse	\$	15,000.00
	Boys & Girls Club of Pomona Valley	\$	15,000.00
	Boys & Girls Club Vista	\$	15,000.00
	Boys and Girls Club of La Habra	\$	15,000.00
	Broad Stage	\$	20,000.00
	Camino Nuevo Charter Academy	\$	20,000.00
	Center for the Pacific Asian Family	\$	25,000.00
	Child Welfare Initiative	\$	25,000.00
	Children's Maritime Foundation	\$	6,000.00
	CLARE Foundation	\$	30,000.00

Conejo Free Clinic	\$	25,000.00
Craft & Folk Art Museum	\$	10,000.00
Damien High School	\$	25,000.00
Dolores Mission	\$	35,000.00
Educating Young Minds	\$	25,000.00
El Viento Foundation	\$	10,000.00
Elizabeth House	\$	25,000.00
Exceptional Minds	\$	30,000.00
Five Acres	\$	50,000.00
Friends of Expo Center	\$	30,000.00
Hollenbeck Police Activities League	\$	35,000.00
INMED Partnerships	\$	10,000.00
Inner City Arts	\$	50,000.00
International City Theatre	\$	25,000.00
JWCH Institute	\$	20,000.00
Koreatown Youth Center	\$	25,000.00
LA County High School for the Arts	\$	35,000.00
LA Foodbank	\$	25,000.00
Little Kids Rock	\$	35,000.00
Los Angeles Music and Art School	\$	15,000.00
Malashock Dance	\$	10,000.00
Operation Safehouse	\$	30,000.00
Orange County Child Abuse Prevention Ce	\$	25,000.00
Partnerships to Uplift Communities	\$	25,000.00
Pasadena Conservatory of Music	\$	25,000.00
Pasadena Education Foundation	\$	25,000.00
Pasadena Showcase House for the Arts	\$	60,000.00
PEN Center USA West	\$	20,000.00
Prototypes	\$	25,000.00
Queenscare Family Clinics	\$	25,000.00
Reading Partners	\$	30,000.00
Santa Anita Family Services	\$	20,000.00
Santa Cecilia Orchestra	\$	25,000.00
Shanti Orange County	\$	20,000.00
Sharefest Community Development	\$	25,000.00
Side Street Projects	\$	25,000.00
South Asian Helpline	\$	10,000.00
South County Outreach	\$	15,000.00
The Learning Centers at Fairplex	\$	20,000.00
UNITE-LA	\$	15,000.00
Verbum Dei High School	\$	25,000.00
Villa Esperanza Services	\$	50,000.00
Violence Intervention Program	\$	30,000.00
Washington Middle School	\$	15,000.00
Wise and Healthy Aging	\$	25,000.00
Writer's Guild Foundation	\$	15,000.00
YMCA Greater LB	\$	30,000.00

	Youth Services Network	\$	15,000.00
<b>Oct-13</b>	#American Cancer Society	\$	33,000.00
	Alzheimer's Association/NJ	\$	12,000.00
	Malta House	\$	10,000.00
	Habitat for Humanity Powhatan	\$	100,000.00
<b>Nov-13</b>	Accelerated School	\$	7,100.00
	Action Inc.	\$	14,000.00
	Airport Marina Counseling Center	\$	25,000.00
	Beyond Shelter	\$	25,000.00
	Boys and Girls Club Santa Clarita	\$	15,000.00
	CA Aquatic Therapy Center	\$	15,000.00
	CAST	\$	25,000.00
	Catholic Relief Services	\$	100,000.00
	Children's Bureau	\$	150,000.00
	College Bound	\$	25,000.00
	Don Bosco Tech	\$	20,000.00
	EngAGE	\$	30,000.00
	Eva's Village	\$	50,000.00
	Friends of Cabrillo Marine	\$	10,000.00
	Heal the Bay	\$	25,000.00
	Homeboy Industries	\$	75,000.00
	Jewish Big Brothers Big Sisters	\$	40,000.00
	Jewish Vocational Services	\$	25,000.00
	LA's Promise	\$	20,000.00
	Many Mansions	\$	15,000.00
	MIND	\$	50,000.00
	Museum of the Holocaust	\$	25,000.00
	Nativity Prep	\$	10,000.00
	Partners in Care	\$	50,000.00
	Pasadena Playhouse	\$	40,000.00
	Pasadena YMCA	\$	15,000.00
	Peace Over Violence	\$	25,000.00
	People and Stories	\$	15,000.00
	Performances to Grow On	\$	15,000.00
	Proyecto Pastoral	\$	35,000.00
	Reading to Kids	\$	15,000.00
	Saban Community Clinic	\$	35,000.00
	Shakespeare Center LA	\$	50,000.00
	Shelter House	\$	20,000.00
	Southern CA Grantmakers	\$	8,900.00
	Teach First	\$	50,000.00
	Union Station Homeless Services	\$	100,000.00
	Upward Bound House	\$	25,000.00
	Vista Del Mar Child/Family Services	\$	35,000.00
	WomenShelter LB	\$	25,000.00
	Wooden Floor	\$	30,000.00
	<b>Total</b>	<b>\$</b>	<b>8,514,600.00</b>

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

► Attach to Form 1041, Form 5227, or Form 990-T.  
► Information about Schedule D (Form 1041) and its separate instructions is at  
[www.irs.gov/form1041](http://www.irs.gov/form1041).

OMB No 1545-0092

**2012**

Name of estate or trust **THE GREEN FOUNDATION**

C/O GEORGE MCCRIMLISK

Employer identification number

95-4509163

**Note:** Form 5227 filers need to complete **only** Parts I and II

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>1a</b> SHORT-TERM CAPITAL GAIN DIVIDENDS			ATCH 2		178,528.

<b>b</b> Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	<b>1b</b>	30,359.
<b>2</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	<b>2</b>	
<b>3</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	<b>3</b>	
<b>4</b> Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	<b>4</b>	( )
<b>5</b> <b>Net short-term gain or (loss).</b> Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back	<b>5</b>	208,887.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>6a</b> LONG-TERM CAPITAL GAIN DIVIDENDS			ATCH 3		95,074.

<b>b</b> Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	<b>6b</b>	4,149,949.
<b>7</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	<b>7</b>	
<b>8</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	<b>8</b>	
<b>9</b> Capital gain distributions	<b>9</b>	
<b>10</b> Gain from Form 4797, Part I	<b>10</b>	
<b>11</b> Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	<b>11</b>	( )
<b>12</b> <b>Net long-term gain or (loss).</b> Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back	<b>12</b>	4,245,023.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2012



<b>Part III Summary of Parts I and II</b>		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
<b>Caution: Read the instructions before completing this part.</b>				
<b>13</b>	<b>Net short-term gain or (loss)</b> . . . . .	<b>13</b>		208,887.
<b>14</b>	<b>Net long-term gain or (loss):</b>			
a	Total for year . . . . .	<b>14a</b>		4,245,023.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht) . . . . .	<b>14b</b>		
c	28% rate gain . . . . .	<b>14c</b>		
<b>15</b>	<b>Total net gain or (loss).</b> Combine lines 13 and 14a . . . . .	<b>15</b>		4,453,910.

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

**Part IV Capital Loss Limitation**

<b>16</b>	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the <b>smaller of</b> a The loss on line 15, column (3) or b \$3,000	<b>16</b>	( )
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**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

<b>17</b>	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . . .	<b>17</b>		
<b>18</b>	Enter the <b>smaller</b> of line 14a or 15 in column (2) but not less than zero . . . . .	<b>18</b>		
<b>19</b>	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . .	<b>19</b>		
<b>20</b>	Add lines 18 and 19 . . . . .	<b>20</b>		
<b>21</b>	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . . .	<b>21</b>		
<b>22</b>	Subtract line 21 from line 20. If zero or less, enter -0- . . . . .	<b>22</b>		
<b>23</b>	Subtract line 22 from line 17. If zero or less, enter -0- . . . . .	<b>23</b>		
<b>24</b>	Enter the <b>smaller</b> of the amount on line 17 or \$2,400 . . . . .	<b>24</b>		
<b>25</b>	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> <b>Yes.</b> Skip lines 25 and 26, go to line 27 and check the "No" box <input type="checkbox"/> <b>No.</b> Enter the amount from line 23. . . . .	<b>25</b>		
<b>26</b>	Subtract line 25 from line 24. . . . .	<b>26</b>		
<b>27</b>	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> <b>Yes.</b> Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> <b>No.</b> Enter the smaller of line 17 or line 22 . . . . .	<b>27</b>		
<b>28</b>	Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . . .	<b>28</b>		
<b>29</b>	Subtract line 28 from line 27 . . . . .	<b>29</b>		
<b>30</b>	Multiply line 29 by 15% ( 15) . . . . .	<b>30</b>		
<b>31</b>	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>31</b>		
<b>32</b>	Add lines 30 and 31 . . . . .	<b>32</b>		
<b>33</b>	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>33</b>		
<b>34</b>	<b>Tax on all taxable income.</b> Enter the <b>smaller</b> of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . .	<b>34</b>		

Schedule D (Form 1041) 2012

Department of the Treasury  
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

► Information about Schedule D (Form 1041) and its separate instructions is at [www.irs.gov/form1041](http://www.irs.gov/form1041).

OMB No 1545-0092

2012

THE GREEN FOUNDATION

95-4509163

[illegible]

<b>1b Total.</b> Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . .	30,359.
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

Employer identification number

[illegible]

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . 4,149,949.

Schedule D-1 (Form 1041) 2012

FEDERAL CAPITAL GAIN DIVIDENDS

ATTACHMENT 2

SHORT-TERM CAPITAL GAIN DIVIDENDS

NORTHERN TRUST - ACCT  
WHITTIER TRUST - ACCT

176,914.  
1,614.

TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS

178,528.

ATTACHMENT 3

LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

NORTHERN TRUST - ACCT  
WHITTIER TRUST - ACCT

91,851.  
3,223.

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

95,074.

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

95,074.