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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 12/1/2012, and ending 11/30/2013

Name of foundation C.A. BUCK EDUCATION FDN		A Employer identification number 94-6100778
Number and street (or P O box number if mail is not delivered to street address) Room/suite Wells Fargo Bank N.A. Trust Tax Dept - 1 W 4th St 4th Floor MAC D4000-041		B Telephone number (see instructions) (888) 730-4933
City or town, state, and ZIP code Winston Salem NC 27101-3818		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 506,351	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	13,263	13,191		
	5 a Gross rents				
	b Net rental income or (loss)				
	6 a Net gain or (loss) from sale of assets not on line 10	27,927			
	b Gross sales price for all assets on line 6a	224,534			
	7 Capital gain net income (from Part IV, line 2)		27,927		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	41,190	41,118	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	7,999	5,999		2,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,194			1,194
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	89	89		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	1,010			1,010
	24 Total operating and administrative expenses. Add lines 13 through 23	10,292	6,088	0	4,204
	25 Contributions, gifts, grants paid	20,000			20,000
26 Total expenses and disbursements. Add lines 24 and 25	30,292	6,088	0	24,204	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	10,898				
b Net investment income (if negative, enter -0-)		35,030			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

HTA

Form **990-PF** (2012)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	13,149	14,720	14,720
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	Liabilities	11	Investments—land, buildings, and equipment basis ▶		
		Less accumulated depreciation (attach schedule) ▶			
12		Investments—mortgage loans			
13		Investments—other (attach schedule)	400,435	412,552	491,631
14		Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
15		Other assets (describe ▶)			
16		Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	413,584	427,272	506,351
17		Accounts payable and accrued expenses			
18		Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24	Unrestricted			
25	Temporarily restricted				
26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
27	Capital stock, trust principal, or current funds	413,584	427,272		
28	Paid-in or capital surplus, or land, bldg, and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	413,584	427,272		
31	Total liabilities and net assets/fund balances (see instructions)	413,584	427,272		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	413,584
2	Enter amount from Part I, line 27a	2	10,898
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	4,274
4	Add lines 1, 2, and 3	4	428,756
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	1,484
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	427,272

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement			
b See Attached Statement			
c See Attached Statement			
d See Attached Statement			
e See Attached Statement			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b			0
c			0
d			0
e			0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			0
b			0
c			0
d			0
e			0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	27,927
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	22,510	466,059	0.048299
2010	17,889	478,537	0.037383
2009	16,814	444,900	0.037793
2008	20,874	383,960	0.054365
2007	26,686	518,405	0.051477

2 Total of line 1, column (d)	2	0.229317
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.045863
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	489,995
5 Multiply line 4 by line 3	5	22,473
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	350
7 Add lines 5 and 6	7	22,823
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	24,204

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1 350
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0
3 Add lines 1 and 2		3 350
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 350
6 Credits/Payments		
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a 128	
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7 128	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9 222	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 0	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax	11 0	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	X
c Did the foundation file Form 1120-POL for this year?	1c	X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of WELLS FARGO BANK N.A. Telephone no 888-730-4933 Located at 1 W 4th St 4th Floor MAC D4000-041 Winston Salem NC ZIP+4 27101-3818			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country 	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here 	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 20 , 20 , 20 , 20		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐**5b**

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**6b**

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No**7b**

N/A

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N.A. 1 W 4th St 4th Floor MAC D4000-041 Winston	Trustee	4.00	7,999	

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

Part IX-B**Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	479,319
b	Average of monthly cash balances	1b	18,138
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	497,457
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	497,457
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	7,462
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	489,995
6	Minimum investment return. Enter 5% of line 5	6	24,500

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	24,500
2a	Tax on investment income for 2012 from Part VI, line 5	2a	350
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	350
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	24,150
4	Recoveries of amounts treated as qualifying distributions	4	3,805
5	Add lines 3 and 4	5	27,955
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	27,955

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	24,204
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	24,204
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	350
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	23,854

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				27,955
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			645	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2012 from Part XII, line 4. ▶ \$ <u>24,204</u>				
a Applied to 2011, but not more than line 2a			645	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2012 distributable amount				23,559
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				4,396
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Attached Statement				
Total			▶ 3a	20,000
b Approved for future payment NONE				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies .					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	13,263	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	27,927	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0		41,190	0
13 Total. Add line 12, columns (b), (d), and (e)				13	41,190

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

BOSTON UNIVERSITY

Street

121 BAY STATE ROAD

City

BOSTON

State

MA

Zip Code

02215

Foreign Country

Relationship

NONE

Foundation Status

SCHOLARSHIP

Purpose of grant/contribution

SCHOLARSHIP RECIPIENT

Amount

2,500

Name

SAN JOSE STATE

Street

1 WASHINGTON SQ

City

SAN JOSE

State

CA

Zip Code

95112

Foreign Country

Relationship

NONE

Foundation Status

SCHOLARSHIP

Purpose of grant/contribution

SCHOLARSHIP RECIPIENT

Amount

2,500

Name

COLLEGE OF SAN MATEO

Street

1700 W HILLSDALE BLVD

City

SAN MATEO

State

CA

Zip Code

94402

Foreign Country

Relationship

NONE

Foundation Status

SCHOLARSHIP

Purpose of grant/contribution

SCHOLARSHIP RECIPIENT

Amount

1,000

Name

UNIVERSITY OF CALIFORNIA - MERCED

Street

5200 NORTH LAKE

City

MERCED

State

CA

Zip Code

95343

Foreign Country

Relationship

NONE

Foundation Status

SCHOLARSHIP

Purpose of grant/contribution

SCHOLARSHIP RECIPIENT

Amount

6,000

Name

VASSAR COLLEGE

Street

124 RAYMOND AVENUE

City

POUGHKEEPSIE

State

NY

Zip Code

12604

Foreign Country

Relationship

NONE

Foundation Status

SCHOLARSHIP

Purpose of grant/contribution

SCHOLARSHIP RECIPIENT

Amount

5,000

Name

CALIFORNIA STATE UNIVERSITY

Street

401 GOLDEN SHORE

City

LONG BEACH

State

CA

Zip Code

90802

Foreign Country

Relationship

NONE

Foundation Status

SCHOLARSHIP

Purpose of grant/contribution

SCHOLARSHIP RECIPIENT

Amount

3,000

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "y" to include in Part IV		Long Term CG Distributions		Short Term CG Distributions		Amount		Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	2	3	4	5	6	7	8												
1	X	DREYFUS EMG MKT DEBT LO	261980494			261980494				2/9/2011		10/14/2013	2,116	2,143					-27
2	X	DREYFUS EMG MKT DEBT LO	261980494			261980494				2/9/2011		10/23/2013	511	506					5
3	X	GATEWAY FUND - Y #1986	367629884			367629884				8/17/2012		7/15/2013	12,114	11,856					258
4	X	GATEWAY FUND - Y #1986	367629884			367629884				2/10/2009		7/15/2013	1,388	1,649					104
5	X	HUSSMAN STRATEGIC GROW	448108100			448108100				5/11/2008		2/7/2013	271	332					-61
6	X	HUSSMAN STRATEGIC GROW	448108100			448108100				8/11/2009		2/7/2013	3,350	4,201					-851
7	X	HUSSMAN STRATEGIC GROW	448108100			448108100				10/19/2009		2/7/2013	396	487					-91
8	X	HUSSMAN STRATEGIC GROW	448108100			448108100				2/9/2011		2/7/2013	3,161	3,600					-439
9	X	HUSSMAN STRATEGIC GROW	448108100			448108100				5/3/2011		2/7/2013	387	450					-63
10	X	HUSSMAN STRATEGIC GROW	448108100			448108100				2/17/2012		2/7/2013	2,191	2,486					-295
11	X	HUSSMAN STRATEGIC GROW	448108100			448108100				12/22/2008		2/7/2013	45,790	34,244					11,546
12	X	ISHARES MSCI EAFE	464287465			464287465				2/6/2009		2/7/2013	11,912	8,259					3,653
13	X	ISHARES MSCI EAFE	464287465			464287465				2/6/2009		2/7/2013	1,829	1,477					152
14	X	ISHARES S&P MIDCAP 400 GR	464287606			464287606				8/15/2012		10/23/2013	1,146	871					275
15	X	ISHARES S&P MIDCAP 400 G	464287606			464287606				7/15/2013		7/15/2013	2,456	1,669					787
16	X	ISHARES S&P MIDCAP 400 VA	464287705			464287705				7/26/2010		7/15/2013	214	135					78
17	X	ISHARES S&P MIDCAP 400 VA	464287705			464287705				10/17/2013		10/23/2013	674	405					269
18	X	ISHARES S&P MIDCAP 400 V	464287705			464287705				7/2/2010		2/7/2013	982	968					1,196
19	X	ISHARES CORE S&P SMALL-C	464287804			464287804				2/7/2011		2/7/2013	7,840	6,644					1,196
20	X	ISHARES CORE S&P SMALL-C	464287804			464287804				2/7/2011		10/23/2013	1,868	1,272					596
21	X	MERGER FD SH BEN INT #260	589509108			589509108				2/9/2011		7/15/2013	582	580					2
22	X	MERGER FD SH BEN INT #260	589509108			589509108				7/26/2010		7/15/2013	4,365	4,289					76
23	X	ASG GLOBAL ALTERNATIVES	638721885			638721885				8/17/2011		10/23/2013	509	509					0
24	X	PIMCO FOREIGN BD FD USD	693390882			693390882				8/17/2011		2/7/2013	982	982					0
25	X	PIMCO FOREIGN BD FD USD	693390882			693390882				5/2/2011		10/23/2013	1,091	1,040					51
26	X	SPDR DJ WILSHIRE INTERNA	76463X863			76463X863				2/7/2013		7/15/2013	1,202	1,180					22
27	X	AMEX MATERIALS SPDR	81369Y100			81369Y100				2/7/2013		10/14/2013	719	669					50
28	X	AMEX MATERIALS SPDR	81369Y100			81369Y100				2/7/2013		7/15/2013	1,046	909					137
29	X	AMEX HEALTH CARE SPDR	81369Y209			81369Y209				7/22/2010		7/15/2013	1,086	629					467
30	X	AMEX HEALTH CARE SPDR	81369Y209			81369Y209				7/22/2010		7/15/2013	911	821					90
31	X	CONSUMER STAPLES SECTO	81369Y308			81369Y308				7/22/2010		7/15/2013	1,946	1,262					684
32	X	CONSUMER STAPLES SECTO	81369Y308			81369Y308				7/22/2010		7/15/2013	2,626	2,221					405
33	X	AMEX CONSUMER DISCR SP	81369Y407			81369Y407				7/22/2010		7/15/2013	1,134	584					550
34	X	AMEX CONSUMER DISCR SP	81369Y407			81369Y407				7/22/2010		10/23/2013	559	277					282
35	X	AMEX CONSUMER DISCR SP	81369Y407			81369Y407				7/22/2010		7/15/2013	1,391	1,327					64
36	X	AMEX ENERGY SELECT SPDR	81369Y506			81369Y506				7/22/2010		7/15/2013	1,473	957					516
37	X	AMEX ENERGY SELECT SPDR	81369Y506			81369Y506				7/22/2010		7/15/2013	1,738	1,138					600
38	X	AMEX INDUSTRIAL SPDR	81369Y704			81369Y704				7/22/2010		7/15/2013	3,035	2,075					990
39	X	AMEX TECHNOLOGY SELECT	81369Y803			81369Y803				7/22/2010		7/15/2013	1,068	721					348
40	X	AMEX TECHNOLOGY SELECT	81369Y803			81369Y803				7/22/2010		10/14/2013	1,532	350					182
41	X	AMEX TECHNOLOGY SELECT	81369Y803			81369Y803				7/22/2010		7/15/2013	1,602	1,553					49
42	X	AMEX TECHNOLOGY SELECT	81369Y803			81369Y803				7/22/2010		7/15/2013	3,045	2,744					301
43	X	VANGUARD FTSE DEVELOPE	921943858			921943858				2/7/2013		10/14/2013	1,018	884					134
44	X	VANGUARD FTSE DEVELOPE	921943858			921943858				2/7/2013		7/15/2013	1,018	884					446
45	X	FINANCIAL SELECT SECTOR	81369Y605			81369Y605				7/22/2010		7/15/2013	1,487	1,041					10
46	X	FINANCIAL SELECT SECTOR	81369Y605			81369Y605				7/22/2010		10/23/2013	518	508					357
47	X	FINANCIAL SELECT SECTOR	81369Y605			81369Y605				7/22/2010		10/23/2013	2,993	2,636					-353
48	X	VANGUARD FTSE DEVELOPE	921943858			921943858				2/7/2013		10/14/2013	8,110	8,443					329
49	X	VANGUARD FTSE DEVELOPE	922042858			922042858				2/7/2013		10/23/2013	2,059	2,155					96
50	X	VANGUARD FTSE EMERGING	922042858			922042858				10/15/2013		10/23/2013	1,120	1,083					37
51	X	VANGUARD REIT VIPER	92208553			92208553				2/9/2011		2/7/2013	1,139	1,133					6
52	X	WELLS FARGO ADV INTL BON	94985D582			94985D582				2/9/2011		2/7/2013	1,139	1,133					0
53	X	WELLS FARGO ADV INTL BON	94985D582			94985D582				2/9/2011		10/23/2013	586	563					3
54	X	WELLS FARGO ADV HI INC-IN	949817538			949817538				2/21/2006		2/7/2013	5,116	5,084					32
55	X	WELLS FARGO ADV HI INC-IN	949817538			949817538				2/9/2011		2/7/2013	309	300					9
56	X	WELLS FARGO ADV HI INC-IN	949817538			949817538				5/3/2011		2/7/2013	564	554					10
57	X	WELLS FARGO ADV HI INC-IN	949817538			949817538				8/17/2012		2/7/2013	665	653					12
58	X	TAXABLE TOTAL RETURN BO	991283912			991283912				2/7/2011		2/7/2013	2,376	2,377					-1
59	X	TAXABLE TOTAL RETURN BO	991283912			991283912				10/13/2006		2/7/2013	1,650	1,533					87
60	X	TAXABLE TOTAL RETURN BO	991283912			991283912				10/13/2006		7/15/2013	2,506	2,456					50
61	X	TAXABLE SHORT-TERM BONI	998612906			998612906				4/22/2005		7/15/2013	1,418	1,445					-27
62	X	TAXABLE INTERMEDIATE TER	999708902			999708902				4/29/2011		2/7/2013	3,545	3,623					-78
63	X	TAXABLE INTERMEDIATE TER	999708902			999708902				2/15/2012		2/7/2013	184	188					-4
64	X	TAXABLE INTERMEDIATE TER	999708902			999708902				12/15/1999		2/7/2013	473	458					15
65	X	TAXABLE INTERMEDIATE TER	999708902			999708902				12/15/1999		7/15/2013	3,195	3,162					13
66	X	TAXABLE INTERMEDIATE TER	999708902			999708902				2/7/2013		7/15/2013	3,599	3,438					163
67	X	CORE EQUITY FUND	140995127			140995127				2/7/2011		10/31/2013	380	351					28
68	X	CORE EQUITY FUND	140995127			140995127				4/29/2011		10/31/2013	537	493					44
Totals													224,534	198,607					27,927
Capital Gains/Losses													0	0					0
Other sales													0	0					0

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Totals														
Capital Gains/Losses														
Other sales														
Amount														
187														
Long Term CG Distributions														
Short Term CG Distributions														
Check "i" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
69	X	CORE EQUITY FUND			2/7/2013		10/31/2013	79	75				0	4
70	X	CREDIT SUISSE COMM RET S			8/17/2011		10/14/2013	2,871	3,744				0	-773
71	X	CREDIT SUISSE COMM RET S			11/23/2010		10/14/2013	1,730	2,105				0	-375
72	X	DIAMOND HILL LONG-SHORT			8/17/2012		2/7/2013	1,200	1,097				0	103
73	X	DIAMOND HILL LONG-SHORT			7/26/2010		7/15/2013	11,172	8,178				0	2,993
74	X	DREYFUS EMG MKT DEBT LO			8/17/2011		2/7/2013	5,158	5,062				0	96
75	X	DREYFUS EMG MKT DEBT LO			8/17/2011		10/14/2013	2,771	2,947				0	-176
76	X	DREYFUS EMG MKT DEBT LO			8/17/2012		10/14/2013	2,558	2,802				0	-44
77	X	AQR MANAGED FUTURES ST			7/17/2013		10/14/2013	4,815	4,689				0	-74
78	X	S/T CTF LOSS							430					-430
79	X	L/T CTF GAIN						2,543						2,543

Part I, Line 16b (990-PF) - Accounting Fees

		1,194	0	0	1,194
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEE	1,194			1,194

Part I, Line 18 (990-PF) - Taxes

		89	89	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	89	89		

Part I, Line 23 (990-PF) - Other Expenses

		1,010	0	0	1,010
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	CA AG FEE	10			10
2	FORM 8940 USER FEE	1,000			1,000

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		400,435		412,552		491,631
		Book Value	Book Value	Book Value	FMV	
		End of Year	End of Year	End of Year	End of Year	
1	SEE ATTACHED		400,435	412,552	491,631	

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	PRIOR YEAR RETURN OF GRANT	1	3,805
2	FEDERAL EXCISE TAX	2	327
3	COST BASIS ADJUSTMENT	3	142
4	Total	4	4,274

Line 5 - Decreases not included in Part III, Line 2

1	CTF BOOK TO TAX DIFFERENCE	1	584
2	UNDISTRIBUTED CTF CAPITAL LOSS	2	615
3	PY RETURN OF CAPITAL ADJUSTMENT	3	285
4	Total	4	1,484

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										Short Term CG Distributions										Amount	
Description of Property Sold										Description of Property Sold										187	0
CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses									
1 DREYFUS ENG MGT DEBT LOC C-1 #608		2/6/2011	10/14/2013	2,118		0	2,143	-27	0	0	0	-27									
2 DREYFUS ENG MGT DEBT LOC C-1 #608		2/6/2011	10/14/2013	511		0	506	5	0	0	0	5									
3 GATEWAY FUND - Y #1988		8/17/2012	7/15/2013	12,114		0	11,856	258	0	0	0	258									
4 GATEWAY FUND - Y #1988		8/17/2012	7/15/2013	7,711		0	7,607	104	0	0	0	104									
5 HUSSMAN STRATEGIC GROWTH FUND		2/10/2008	2/7/2013	1,368		0	1,648	-281	0	0	0	-281									
6 HUSSMAN STRATEGIC GROWTH FUND		5/11/2008	2/7/2013	271		0	332	-61	0	0	0	-61									
7 HUSSMAN STRATEGIC GROWTH FUND		8/11/2008	2/7/2013	3,350		0	4,201	-851	0	0	0	-851									
8 HUSSMAN STRATEGIC GROWTH FUND		10/19/2009	2/7/2013	386		0	467	-81	0	0	0	-81									
9 HUSSMAN STRATEGIC GROWTH FUND		2/6/2012	2/7/2013	3,161		0	3,600	-439	0	0	0	-439									
10 HUSSMAN STRATEGIC GROWTH FUND		5/5/2011	2/7/2013	387		0	450	-63	0	0	0	-63									
11 HUSSMAN STRATEGIC GROWTH FUND		2/7/2012	2/7/2013	2,191		0	2,488	-295	0	0	0	-295									
12 HUSSMAN STRATEGIC GROWTH FUND		12/22/2008	2/7/2013	45,790		0	34,244	11,546	0	0	0	11,546									
13 ISHARES MSCI EAFE		2/6/2008	2/7/2013	11,912		0	8,259	3,653	0	0	0	3,653									
14 ISHARES S&P MIDCAP 400 GROWTH		2/7/2012	7/15/2013	1,828		0	1,477	351	0	0	0	351									
15 ISHARES S&P MID-CAP 400 GROWTH		8/15/2012	10/23/2013	1,146		0	871	275	0	0	0	275									
16 ISHARES S&P MIDCAP 400 VALUE		8/15/2011	7/15/2013	2,456		0	1,669	787	0	0	0	787									
17 ISHARES S&P MID-CAP 400 VALUE		7/22/2010	7/15/2013	214		0	135	79	0	0	0	79									
18 ISHARES CORE S&P SMALL-CAP E		7/22/2010	10/23/2013	674		0	405	269	0	0	0	269									
19 ISHARES CORE S&P SMALL-CAP E		2/7/2011	2/7/2013	7,840		0	6,644	1,196	0	0	0	1,196									
20 ISHARES CORE S&P SMALL-CAP E		2/7/2011	10/23/2013	1,868		0	1,272	596	0	0	0	596									
21 MERGER FUND BEN INT #260		2/6/2011	7/15/2013	562		0	560	2	0	0	0	2									
22 MERGER FUND BEN INT #260		7/26/2010	7/15/2013	4,365		0	4,289	76	0	0	0	76									
23 ASG GLOBAL ALTERNATIVES-Y #1993		10/17/2013	10/23/2013	508		0	509	0	0	0	0	0									
24 PIMCO FOREIGN BD FD USD H-INST #1		8/17/2011	7/15/2013	882		0	868	14	0	0	0	14									
25 PIMCO FOREIGN BD FD USD H-INST #1		8/17/2011	7/15/2013	635		0	638	-3	0	0	0	-3									
26 SPDR DJ WILSHIRE INTERNATIONAL R		5/2/2011	10/23/2013	1		0	1,040	51	0	0	0	51									
27 AMEX MATERIALS SPDR		2/7/2013	7/15/2013	1,202		0	1,180	22	0	0	0	22									
28 AMEX MATERIALS SPDR		2/7/2013	10/14/2013	719		0	669	50	0	0	0	50									
29 AMEX HEALTH CARE SPDR		2/7/2013	7/15/2013	1,048		0	909	137	0	0	0	137									
30 AMEX HEALTH CARE SPDR		7/22/2010	7/15/2013	1,098		0	628	467	0	0	0	467									
31 CONSUMER STAPLES SECTOR SPDR		7/22/2010	7/15/2013	911		0	821	90	0	0	0	90									
32 CONSUMER STAPLES SECTOR SPDR		7/22/2010	7/15/2013	1,846		0	1,262	584	0	0	0	584									
33 AMEX CONSUMER DISCR SPDR		7/22/2010	7/15/2013	2,828		0	2,221	607	0	0	0	607									
34 AMEX CONSUMER DISCR SPDR		7/22/2010	7/15/2013	1,134		0	584	550	0	0	0	550									
35 AMEX CONSUMER DISCR SPDR		7/22/2010	10/23/2013	559		0	277	282	0	0	0	282									
36 AMEX ENERGY SELECT SPDR		7/22/2010	7/15/2013	1,381		0	1,327	54	0	0	0	54									
37 AMEX ENERGY SELECT SPDR		7/22/2010	7/15/2013	1,473		0	957	516	0	0	0	516									
38 AMEX INDUSTRIAL SPDR		7/22/2010	7/15/2013	1,738		0	1,138	600	0	0	0	600									
39 AMEX TECHNOLOGY SELECT SPDR		7/22/2010	7/15/2013	3,610		0	3,344	266	0	0	0	266									
40 AMEX TECHNOLOGY SELECT SPDR		7/22/2010	7/15/2013	3,035		0	2,075	960	0	0	0	960									
41 AMEX TECHNOLOGY SELECT SPDR		7/22/2010	10/14/2013	1,069		0	721	348	0	0	0	348									
42 AMEX TECHNOLOGY SELECT SPDR		7/22/2010	10/23/2013	532		0	350	182	0	0	0	182									
43 VANGUARD FTSE DEVELOPED ETF		2/7/2013	7/15/2013	1,802		0	1,553	249	0	0	0	249									
44 VANGUARD FTSE DEVELOPED ETF		2/7/2013	7/15/2013	3,045		0	2,744	301	0	0	0	301									
45 FINANCIAL SELECT SECTOR SPDR		7/22/2010	7/15/2013	1,018		0	884	134	0	0	0	134									
46 FINANCIAL SELECT SECTOR SPDR		7/22/2010	7/15/2013	1,487		0	1,041	446	0	0	0	446									
47 VANGUARD FTSE DEVELOPED ETF		10/15/2013	10/23/2013	518		0	508	10	0	0	0	10									
48 VANGUARD FTSE EMERGING MARKET		2/7/2013	10/23/2013	2,983		0	2,639	357	0	0	0	357									
49 VANGUARD FTSE EMERGING MARKET		2/7/2013	10/14/2013	8,110		0	8,443	-333	0	0	0	-333									
50 VANGUARD FTSE EMERGING MARKET		2/7/2013	10/23/2013	2,059		0	2,155	-96	0	0	0	-96									
51 VANGUARD FTSE EMERGING MARKET		10/15/2013	10/23/2013	1,120		0	1,083	37	0	0	0	37									
52 WELLS FARGO ADV INTL BOND-INS #470		2/6/2011	2/7/2013	1,139		0	1,133	6	0	0	0	6									
53 WELLS FARGO ADV INTL BOND-INS #470		2/6/2011	2/7/2013	586		0	583	3	0	0	0	3									
54 WELLS FARGO ADV INTL BOND-INS #470		2/6/2011	2/7/2013	5,116		0	5,084	32	0	0	0	32									
55 WELLS FARGO ADV INTL BOND-INS #470		2/6/2011	2/7/2013	309		0	300	9	0	0	0	9									
56 WELLS FARGO ADV INTL BOND-INS #470		5/9/2011	2/7/2013	564		0	554	10	0	0	0	10									
57 WELLS FARGO ADV INTL BOND-INS #470		8/17/2012	2/7/2013	665		0	653	12	0	0	0	12									
58 TAXABLE TOTAL RETURN BOND FUND		2/7/2011	2/7/2013	2,377		0	2,377	0	0	0	0	0									
59 TAXABLE TOTAL RETURN BOND FUND		10/13/2008	2/7/2013	1,630		0	1,533	97	0	0	0	97									
60 TAXABLE TOTAL RETURN BOND FUND		10/13/2008	7/15/2013	2,548		0	2,459	89	0	0	0	89									
61 TAXABLE TOTAL RETURN BOND FUND		4/22/2005	7/15/2013	1,418		0	1,443	-27	0	0	0	-27									
62 TAXABLE INTERMEDIATE TERM BD FD		4/28/2011	2/7/2013	3,545		0	3,023	522	0	0	0	522									
63 TAXABLE INTERMEDIATE TERM BD FD		12/15/2009	2/7/2013	473		0	188	285	0	0	0	285									
64 TAXABLE INTERMEDIATE TERM BD FD		12/15/2009	7/15/2013	3,185		0	3,182	3	0	0	0	3									
65 TAXABLE INTERMEDIATE TERM BD FD		2/7/2013	7/15/2013	3,599		0	3,438	163	0	0	0	163									
66 CORE EQUITY FUND		2/7/2011	10/31/2013	380		0	351	29	0	0	0	29									
67 CORE EQUITY FUND		4/29/2011	10/31/2013	537		0	483	54	0	0	0	54									
68 CORE EQUITY FUND		2/7/2013	10/31/2013	79		0	75	4	0	0	0	4									
69 CREDIT SUISSE COMM RET STI #2156		8/17/2011	10/14/2013	2,971		0	3,744	-773	0	0	0	-773									
70 CREDIT SUISSE COMM RET STI #2156		11/23/2010	10/14/2013	1,730		0	2,105	-375	0	0	0	-375									
71 DIAMOND HILL LONG-SHORT FD CL #1		8/17/2012	7/15/2013	1,097		0	1,097	0	0	0	0	0									
72 DIAMOND HILL LONG-SHORT FD CL #1		7/15/2010	7/15/2013	11,172		0	8,179	2,993	0	0	0	2,993									
73 DREYFUS ENG MGT DEBT LOC C-1 #608		8/17/2011	10/14/2013	5,158		0	5,082	76	0	0	0	76									
74 DREYFUS ENG MGT DEBT LOC C-1 #608		8/17/2011	10/14/2013	2,947		0	2,947	0	0	0	0	0									
75 DREYFUS ENG MGT DEBT LOC C-1 #608		8/17/2012	10/14/2013	2,558		0	2,602	-44	0	0	0	-44									
76 DREYFUS ENG MGT DEBT LOC C-1 #608		7/17/2013	10/14/2013	4,815		0	4,689	126	0	0	0	126									
77 AGR MANAGED FUTURES STR-1 #1521		7/17/2013	10/14/2013	430		0	430	0	0	0	0	0									
78 JTF CTF GAIN		7/17/2013	10/14/2013	2,543		0	2,543	0	0	0	0	0									

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return	1	128
2 First quarter estimated tax payment	2	
3 Second quarter estimated tax payment	3	
4 Third quarter estimated tax payment	4	
5 Fourth quarter estimated tax payment	5	
6 Other payments	6	0
7 Total	7	128

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2011 that remained undistributed at the beginning of the 2012 tax year	1	645
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	645

Account #	Security Type	EIN	CUSIP	Asset Name	FMV	Units	Fed Cost
102717	Invest - Other	94-6100778	589509108	MERGER FD SH BEN INT #260	10107.7	620.485	9770.19
102717	Invest - Other	94-6100778	693390882	PIMCO FOREIGN BD FD USD H-INST #103	2497.94	234.109	2490.92
102717	Invest - Other	94-6100778	81369Y308	CONSUMER STAPLES SECTOR SPDR TR	5591.3	130	3490.62
102717	Savings & Temp Inv	94-6100778	99999Y944	SECURED MARKET DEPOSIT ACCOUNT	12160.95	12160.95	12160.95
102717	Savings & Temp Inv	94-6100778	99999Y944	SECURED MARKET DEPOSIT ACCOUNT	2559.41	2559.41	2559.41
102717	Invest - Other	94-6100778	81369Y605	FINANCIAL SELECT SECTOR SPDR	8699.4	405	5938.93
102717	Invest - Other	94-6100778	81369Y100	AMEX MATERIALS SPDR	1729.26	39	1231.1
102717	Invest - Other	94-6100778	81369Y803	AMEX TECHNOLOGY SELECT SPDR	10094.79	291	6357.35
102717	Invest - Other	94-6100778	81369Y506	AMEX ENERGY SELECT SPDR	5013.52	58	3084.27
102717	Invest - Other	94-6100778	999612906	TAXABLE SHORT-TERM BOND FUND	12170.84	1519.4559	12253.38
102717	Invest - Other	94-6100778	999708902	TAXABLE INTERMEDIATE TERM BD FD	19328.38	1913.7012	19014.38
102717	Invest - Other	94-6100778	991283912	TAXABLE TOTAL RETURN BOND FUND	19294.68	2552.2059	18652.58
102717	Invest - Other	94-6100778	315920702	FID ADV EMER MKTS INC- CL I #607	7417.09	556.004	7606.13
102717	Invest - Other	94-6100778	464287804	ISHARES CORE S&P SMALL-CAP E	29592	274	18820.54
102717	Invest - Other	94-6100778	464287705	ISHARES S&P MID-CAP 400 VALUE	14775.8	130	8765.75
102717	Invest - Other	94-6100778	464287606	ISHARES S&P MID-CAP 400 GROWTH	22011.27	151	13090.3
102717	Invest - Other	94-6100778	81369Y704	AMEX INDUSTRIAL SPDR	6148.8	122	3559.89
102717	Invest - Other	94-6100778	81369Y209	AMEX HEALTH CARE SPDR	6133.86	111	3175.7
102717	Invest - Other	94-6100778	81369Y407	AMEX CONSUMER DISCR SPDR	7216	110	3381.92
102717	Invest - Other	94-6100778	140995127	CORE EQUITY FUND	27264.92	1902.6461	20715.08
102717	Invest - Other	94-6100778	261980494	DREYFUS EMG MKT DEBT LOC C-I #6083	16934.18	1213.92	17446.89
102717	Invest - Other	94-6100778	262028855	DRIEHAUS ACTIVE INCOME FUND	15310.49	1418.952	15220.38
102717	Invest - Other	94-6100778	63872T885	ASG GLOBAL ALTERNATIVES-Y #1993	20715.97	1735.006	20005.42
102717	Invest - Other	94-6100778	94985D582	WELLS FARGO ADV INTL BOND-IS #4705	2473.56	221.844	2537.9
102717	Invest - Other	94-6100778	922908553	VANGUARD REIT VIPER	18995	290	9726.93
102717	Invest - Other	94-6100778	00203H859	AQR MANAGED FUTURES STR-I #15213	5335.45	512.039	5197.2
102717	Invest - Other	94-6100778	922042858	VANGUARD FTSE EMERGING MARKETS ETF	50107.84	1208	44999.92
102717	Invest - Other	94-6100778	78463X863	SPDR DJ WILSHIRE INTERNATIONAL REAL	19524.12	462	16974.85
102717	Invest - Other	94-6100778	921943858	VANGUARD FTSE DEVELOPED ETF	55429.76	1348	48677.23
102717	Invest - Other	94-6100778	74925K581	ROBEKO BP LNG/SHRT RES-INS	15743.84	1113.426	14830.83
102717	Invest - Other	94-6100778	25264S833	DIAMOND HILL LONG-SHORT FD CL I #11	10497.97	471.819	7808.83

102717	Invest - Other	94-6100778	949917538	WELLS FARGO ADV HI INC-INS #3110	25775.88	3325.92	23975.03
102717	Invest - Other	94-6100778	22544R305	CREDIT SUISSE COMM RET ST-I #2156	19699.5	2751.327	23751.29
	Total				\$ 506,351		\$ 427,272

APPLICATION FOR C.A. BUCK EDUCATIONAL FOUNDATION SCHOLARSHIP

FOR THE SCHOOL YEAR 20____ - ____

(Check one: Original _____ 2nd _____ 3rd _____ 4th _____ 5th _____)

Name of applicant: _____ SS#: _____ - _____ - _____

Home address: _____
(street) (City) (State) (Zip)

Home Phone: _____ Date of Birth: _____ Age: _____

High school or college last attended _____
(School Name) (City)

Date of high school graduation: _____

Additional training or education (military, special classes, schools, etc.) _____

College or university you will attend: _____

1st choice: _____ 2nd choice: _____ 3rd choice: _____

What is your planned future vocation? (Be specific.) _____

Where will you live while attending school? Home: _____ Dormitory: _____ Rent: _____ Other: _____

Family Information: List parents and all persons supported by your parents. Include your spouse if married.

<u>Name</u>	<u>Age</u>	<u>Occupation or Grade in School</u>	<u>Gross Annual Income</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
Spouse _____	_____	_____	_____

List the school activities in which you have participated

1. _____
2. _____
3. _____
4. _____
5. _____
6. _____

List the community, church or other activities in which you have participated.

1. _____
2. _____
3. _____
4. _____
5. _____

Financial Status

Assets of Parent (s) or Guardian

Cash in bank _____
Stocks, bonds, trusts, etc _____
Automobile (s) _____
Equity in home _____
Other assets: itemize _____

Total assets: 1. _____

Liabilities of Parent (s) or Guardian

Charge accounts _____
Automobile loan _____
Home mortgage _____
Other money owed: itemize _____

Total Liabilities: 2. _____

NET WORTH (1 minus 2) _____

Assets of Applicant

Cash in bank _____
Stocks, bonds, trusts, etc _____
Automobile _____
Other assets: itemize _____

Total Assets: 1. _____

Liabilities of Applicant

Charge accounts _____
Automobile loan _____
Other money owed: itemize _____

Total Liabilities: 2. _____

NET WORTH (1 minus 2) _____

Income and Expenses of Applicant for the Next School Year

Income

Parental support _____
Work (at school) _____
Work (summer) _____
Trusts, grandparents, etc. _____
Scholarships received: _____

Educational loan (s) _____
Total income: 3. _____

Expenses

Tuition _____
Room and board _____
Books, lab fees, etc. _____
Transportation _____
Other expenses: itemize _____

Total Expenses: 4. _____

NET AMOUNT NEEDED FROM SEPTEMBER 20____ TO JUNE 20____ (4 minus 3)

Use space below, if needed, to explain any of the above items.

What do you intend to do this coming summer? (approximately 50 words)

References (one counselor, one teacher, and two outside of school non- relatives).

These letters are to be confidential and sent directly to the C A. Buck Educational Foundation, 316 Burlingame Ave., Burlingame, CA 94010 by the person writing the reference.

	<u>Name</u>	<u>Address</u>	<u>Telephone</u>
1.	_____	_____	_____
	(Counselor)		
2.	_____	_____	_____
	(Teacher)		
3.	_____	_____	_____
	(Person outside of school)		
4.	_____	_____	_____
	(Person outside of school)		

In your own handwriting please state why you are applying for a grant from this foundation and how you are planning to use the education you obtain (approximately 200 words). Write your essay on the back page (4).