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**Return of Private Foundation**  
**or Section 4947(a)(1) Nonexempt Charitable Trust**  
**Treated as a Private Foundation**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

**For calendar year 2012 or tax year beginning** **DECEMBER 1**, **2012**, and ending **NOVEMBER 30**, **2013**

Name of foundation <b>SKB FOUNDATION</b>		A Employer identification number <b>94-3024121</b>
Number and street (or P O box number if mail is not delivered to street address) <b>1247 ELKO DRIVE</b>	Room/suite	B Telephone number (see instructions) <b>408-747-1769</b>
City or town, state, and ZIP code <b>SUNNYVALE, CALIFORNIA 94089</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ <b>5240672</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)	335300			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	190	190		
4	Dividends and interest from securities	87741	87741		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	123140			
b	Gross sales price for all assets on line 6a <b>1875265</b>				
7	Capital gain net income (from Part IV, line 2)		123140		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	<b>Total.</b> Add lines 1 through 11	546371	211071		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	3800	1900		1900
	c Other professional fees (attach schedule)	5917	5917		
	17 Interest	29	29		
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	5416	5367		49
	<b>Total operating and administrative expenses.</b> Add lines 13 through 23	15162	13213		1949
	25 Contributions, gifts, grants paid	248500			248500
	<b>Total expenses and disbursements.</b> Add lines 24 and 25	263662	13213		250449
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	282709			
	b Net investment income (if negative, enter -0-)		197858		
	c Adjusted net income (if negative, enter -0-)				

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b>	Cash—non-interest-bearing . . . . .	11642	9155	9155
	<b>2</b>	Savings and temporary cash investments . . . . .	140397	437653	437653
	<b>3</b>	Accounts receivable ▶ . . . . .			
		Less: allowance for doubtful accounts ▶			
	<b>4</b>	Pledges receivable ▶ . . . . .			
		Less: allowance for doubtful accounts ▶			
	<b>5</b>	Grants receivable . . . . .			
	<b>6</b>	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b>	Other notes and loans receivable (attach schedule) ▶ . . . . .			
		Less: allowance for doubtful accounts ▶			
	<b>8</b>	Inventories for sale or use . . . . .			
	<b>9</b>	Prepaid expenses and deferred charges . . . . .			
	<b>10a</b>	Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b>	Investments—corporate stock (attach schedule) . . . . .	4069461	4099556	4793864
	<b>c</b>	Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b>	Investments—land, buildings, and equipment: basis ▶ . . . . .			
<b>Liabilities</b>		Less: accumulated depreciation (attach schedule) ▶			
	<b>12</b>	Investments—mortgage loans . . . . .			
	<b>13</b>	Investments—other (attach schedule) . . . . .	89586	0	0
	<b>14</b>	Land, buildings, and equipment: basis ▶ . . . . .			
		Less: accumulated depreciation (attach schedule) ▶			
	<b>15</b>	Other assets (describe ▶) . . . . .	3265	3265	0
	<b>16</b>	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .	4314351	4549629	5240672
	<b>17</b>	Accounts payable and accrued expenses . . . . .			
	<b>18</b>	Grants payable . . . . .			
	<b>19</b>	Deferred revenue . . . . .			
	<b>20</b>	Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b>	Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b>	Other liabilities (describe ▶) . . . . .			
	<b>23</b>	<b>Total liabilities</b> (add lines 17 through 22) . . . . .			
<b>Net Assets or Fund Balances</b>		<b>Foundations that follow SFAS 117, check here</b> . . . . . <input type="checkbox"/>			
	<b>24</b>	Unrestricted . . . . .			
	<b>25</b>	Temporarily restricted . . . . .			
	<b>26</b>	Permanently restricted . . . . .			
		<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/>			
	<b>27</b>	Capital stock, trust principal, or current funds . . . . .			
	<b>28</b>	Paid-in or capital surplus, or land, bldg., and equipment fund			
	<b>29</b>	Retained earnings, accumulated income, endowment, or other funds	4314351	4549629	
	<b>30</b>	<b>Total net assets or fund balances</b> (see instructions) . . . . .	4314351	4549629	
	<b>31</b>	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	4314351	4549629	

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b>	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	4314351
<b>2</b>	Enter amount from Part I, line 27a . . . . .	<b>2</b>	282709
<b>3</b>	Other increases not included in line 2 (itemize) ▶ . . . . .	<b>3</b>	
<b>4</b>	Add lines 1, 2, and 3 . . . . .	<b>4</b>	4597060
<b>5</b>	Decreases not included in line 2 (itemize) ▶ . . . . .	<b>5</b>	47431
<b>6</b>	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	<b>6</b>	4549629

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> SEE SCHEDULE A ATTACHED (GAIN ADJUSTED FOR WASH SALE LOSS)	P	VARIOUS	VARIOUS
<b>b</b> SEE SCHEDULE B ATTACHED	D	VARIOUS	VARIOUS
<b>c</b> LONG TERM CAPITAL GAIN DIVIDENDS			
<b>d</b> FROM PARTNERSHIP - ML TREND-FOLLOWING FUTURES FUND LP			
<b>e</b> SECURITY SETTLEMENT			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 1704612		1671623	34004
<b>b</b> 148580		76462	72118
<b>c</b> 22073			22073
<b>d</b>			(5067)
<b>e</b>			12

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	123140
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . }	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	224998	4716083	0.04770865992
2010	265202	4909861	0.05401415641
2009	248272	4940668	0.05025069484
2008	328055	5008722	0.06549674747
2007	302504	6272850	0.04822433184

<b>2</b> Total of line 1, column (d) . . . . .	<b>2</b>	0.26569459048
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .	<b>3</b>	0.05313891810
<b>4</b> Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 . . . . .	<b>4</b>	5100295
<b>5</b> Multiply line 4 by line 3 . . . . .	<b>5</b>	271024
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .	<b>6</b>	1979
<b>7</b> Add lines 5 and 6 . . . . .	<b>7</b>	273003
<b>8</b> Enter qualifying distributions from Part XII, line 4 . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	250449

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>		3957
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>		0
<b>3</b>	Add lines 1 and 2	<b>3</b>		3957
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>		0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>		3957
<b>6</b>	Credits/Payments:			
<b>a</b>	2012 estimated tax payments and 2011 overpayment credited to 2012	<b>6a</b>	1617	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	4000	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>		5617
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>		
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>		1660
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2013 estimated tax</b> 1660 <b>Refunded</b>	<b>11</b>		

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		✓
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0 (2) On foundation managers. ▶ \$ 0		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CALIFORNIA		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	✓	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	13	✓	
14	The books are in care of ▶ HO TZU YEN Located at ▶ 1247 ELKO DRIVE, SUNNYVALE, CALIFORNIA	Telephone no. ▶	408-747-1769	
		ZIP+4 ▶	94089	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No
				✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? . . . . .	1c	✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20 , 20 , 20 , 20		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) . . . . .	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) . . . . .	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐ ☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HO TZU YEN 1247 ELKO DRIVE, SUNNYVALE, CA 94089	PRESIDENT	0	0	0
YUNG TSAI YEN 1247 ELKO DRIVE, SUNNYVALE, CA 94089	SECRETARY	0	0	0
SOPHIA YEN 1247 ELKO DRIVE, SUNNYVALE, CA 94089	TREASURER	0	0	0

**2** Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	4828038
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	349926
<b>c</b>	Fair market value of all other assets (see instructions) . . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	5177964
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	5177964
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>	77669
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	5100295
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	255015

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	255015
<b>2a</b>	Tax on investment income for 2012 from Part VI, line 5 . . . . .	<b>2a</b>	3957
<b>b</b>	Income tax for 2012. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	3957
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	251058
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	251058
<b>6</b>	Deduction from distributable amount (see instructions) . . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	251058

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 . . . . .	<b>1a</b>	250449
<b>b</b>	Program-related investments—total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	250449
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	250449

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
<b>1</b> Distributable amount for 2012 from Part XI, line 7 . . . . .				251058
<b>2</b> Undistributed income, if any, as of the end of 2012:				
<b>a</b> Enter amount for 2011 only . . . . .			218124	
<b>b</b> Total for prior years: 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2012:				
<b>a</b> From 2007 . . . . .				
<b>b</b> From 2008 . . . . .				
<b>c</b> From 2009 . . . . .				
<b>d</b> From 2010 . . . . .				
<b>e</b> From 2011 . . . . .				
<b>f</b> Total of lines 3a through e . . . . .	0			
<b>4</b> Qualifying distributions for 2012 from Part XII, line 4: ► \$ 250449				
<b>a</b> Applied to 2011, but not more than line 2a . . . . .			218124	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions) . . . . .	0			
<b>d</b> Applied to 2012 distributable amount . . . . .				32325
<b>e</b> Remaining amount distributed out of corpus . . . . .	0			
<b>5</b> Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	0			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013 . . . . .				218733
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .	0			
<b>8</b> Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . . . .	0			
<b>9</b> Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a . . . . .	0			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2008 . . . . .				
<b>b</b> Excess from 2009 . . . . .				
<b>c</b> Excess from 2010 . . . . .				
<b>d</b> Excess from 2011 . . . . .				
<b>e</b> Excess from 2012 . . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . . ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

YUNG TSAI YEN & HO TZU YEN

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

HO TZU YEN, 1247 ELKO DRIVE, SUNNYVALE, CA 94089, 408-747-1769

**b** The form in which applications should be submitted and information and materials they should include:

NO PRESCRIBED FORM

**c** Any submission deadlines:

NONE

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT ATTACHED

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>  SEE STATEMENT ATTACHED				248500
<b>Total</b> . . . . . ▶				<b>3a</b> 248500
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . . ▶				<b>3b</b>

## Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b>	Program service revenue:					
<b>a</b>	_____					
<b>b</b>	_____					
<b>c</b>	_____					
<b>d</b>	_____					
<b>e</b>	_____					
<b>f</b>	_____					
<b>g</b>	Fees and contracts from government agencies					
<b>2</b>	Membership dues and assessments . . . . .					
<b>3</b>	Interest on savings and temporary cash investments			<b>14</b>	<b>190</b>	
<b>4</b>	Dividends and interest from securities . . . . .			<b>14</b>	<b>87741</b>	
<b>5</b>	Net rental income or (loss) from real estate:					
<b>a</b>	Debt-financed property . . . . .					
<b>b</b>	Not debt-financed property . . . . .					
<b>6</b>	Net rental income or (loss) from personal property					
<b>7</b>	Other investment income . . . . .					
<b>8</b>	Gain or (loss) from sales of assets other than inventory			<b>18</b>	<b>123140</b>	
<b>9</b>	Net income or (loss) from special events . . . . .					
<b>10</b>	Gross profit or (loss) from sales of inventory . . . . .					
<b>11</b>	Other revenue: <b>a</b> _____					
<b>b</b>	_____					
<b>c</b>	_____					
<b>d</b>	_____					
<b>e</b>	_____					
<b>12</b>	Subtotal. Add columns (b), (d), and (e) . . . . .				<b>211071</b>	
<b>13</b>	<b>Total.</b> Add line 12, columns (b), (d), and (e) . . . . .				<b>13</b>	<b>211071</b>

(See worksheet in line 13 instructions to verify calculations.)

[illegible]

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |   |              |    |
|---|--------------|----|
| <b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  | Yes          | No |
|   |              |    |
| <b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of  |              |    |
| <b>(1)</b> Cash   | <b>1a(1)</b> | ✓  |
| <b>(2)</b> Other assets   | <b>1a(2)</b> | ✓  |
| <b>b</b> Other transactions:  |              |    |
| <b>(1)</b> Sales of assets to a noncharitable exempt organization   | <b>1b(1)</b> | ✓  |
| <b>(2)</b> Purchases of assets from a noncharitable exempt organization   | <b>1b(2)</b> | ✓  |
| <b>(3)</b> Rental of facilities, equipment, or other assets   | <b>1b(3)</b> | ✓  |
| <b>(4)</b> Reimbursement arrangements   | <b>1b(4)</b> | ✓  |
| <b>(5)</b> Loans or loan guarantees   | <b>1b(5)</b> | ✓  |
| <b>(6)</b> Performance of services or membership or fundraising solicitations   | <b>1b(6)</b> | ✓  |
| <b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | <b>1c</b>    | ✓  |
| <b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received. |              |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

8/4/14  
Date

► president  
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

HELEN WANG

Preparer's signature

7/2/15

Water	
-------	--

7/31/14

Check ☒ if self-employed

PTIN

P00086650

Firm's name ► HELEN WANG, CPA

Firm's address ► P.O. BOX 220, MORGAN HILL, CA 95038

Firm's EIN ▶ 77-0077013

Phone no	408-779-9600
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**Schedule of Contributors**

OMB No. 1545-0047

**2012**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

Name of the organization

SKB FOUNDATION

Employer identification number

94-3024121

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year . . . . . ▶ \$

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> SKB FOUNDATION	<b>Employer identification number</b> 94-3024121
---	---

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	HO TZU YEN  1247 ELKO DRIVE  SUNNYVALE, CA 94089	\$ 335300	<b>Person</b> <input checked="" type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



<b>Name of organization</b> SKB FOUNDATION	<b>Employer identification number</b> 94-3024121
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**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	PUBLICLY TRADED MARKETABLE SECURITIES SEE SCHEDULE ATTACHED	\$ 335300	12/28/12
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization	Employer identification number
----------------------	--------------------------------

**Part III** **Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<b>(e) Transfer of gift</b>		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	<b>(e) Transfer of gift</b>		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	<b>(e) Transfer of gift</b>		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	<b>(e) Transfer of gift</b>		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	<b>(e) Transfer of gift</b>		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**SKB FOUNDATION**  
**94-3024121**  
**FORM 990-PF**  
**FROM DECEMBER 1, 2012 TO NOVEMBER 30, 2013**

**ACCOUNTING FEES - PART 1, LINE 16b**

Preparation of returns of private foundation

**OTHER PROFESSIONAL FEES - PART 1, LINE 16c**

Investment management fees:  
Merrill Lynch

**INTEREST - PART 1, LINE 17**

From partnership investment  
ML Trend-Following Futures Fund LP

**OTHER EXPENSES - PART 1, LINE 23**

Loss from partnership investments	
ML Trend-Following Futures Fund LP	1,423
Expenses from partnership investments	3,894
Bank charges	15
Check printing	49
Filing fee - California Franchise Tax Board	10
Filing fee - California Attorney Generals Registry of Charitable Trusts	<u>25</u>
	<u>5,416</u>

**INVESTMENTS - CORPORATE STOCK - PART II, LINE 10b**

See Schedule C attached

**INVESTMENTS - OTHER - PART II, LINE 13**

	<u>Beginning of Year</u>	<u>End of Year Book Value</u>	<u>FMV</u>
Partnership investments			
ML Trend-Following Futures Fund LP	89,586	0	0

**SKB FOUNDATION**  
**94-3024121**  
**FORM 990-PF**  
**FROM DECEMBER 1, 2012 TO NOVEMBER 30, 2013**

**OTHER ASSETS – PART II, LINE 15**

	<u>Beginning of Year</u>	<u>End of Year Book Value</u>	<u>FMV</u>
Organization costs	3,265	3,265	0

**DECREASE IN FUND BALANCE – PART III, LINE 5**

To reduce stock received as donation from Foundation manager to donor's basis

**RESTRICTION OR LIMITATIONS ON AWARDS – PART XV, LINE 2d**

Individual recipients of the student loan program of SKB Foundation are restricted to applicants who:

1. are of Taiwanese or Chinese origin
2. reside in the San Francisco Bay area
3. are a high school senior or a student in a post secondary educational institution
4. have a fairly good academic record
5. are involved in the community or extra curricular activities
6. will use the funds provided to support post secondary educational activities

**GRANTS AND CONTRIBUTIONS PAID - PART XV, LINE 3a**

See Schedule D attached

SKB FOUNDATION  
94-3024121  
SCHEDULE A  
SALE OF SECURITIES - PURCHASED  
FYE NOVEMBER 30, 2013

	SHARES	DATE ACQUIRED	DATE SOLD	SALES PROCEEDS	COST	GAIN (LOSS)	
ALLIANCEBERSTEIN GLOBAL BOND	4,050	1/8/10 - 9/20/12	10/17/13	33,613 87	32,711 85	902 02	
ALLIANCEBERSTEIN GLOBAL BOND	5,888	10/19/12 - 9/30/13	10/17/13	48,872 99	47,901 37	971 62	
ALLIANCEBERSTEIN HIGH INCOME BOND	10,793	1/8/10 - 9/20/12	10/17/13	103,293 42	97,241 32	6,052 10	
ALLIANCEBERSTEIN HIGH INCOME BOND	4,832	10/22/12 - 9/30/13	10/17/13	46,244 15	42,738 55	3,505 60	
DELAWARE DIVERSIFIED INCOME	12,115	1/8/10 - 9/21/12	10/17/13	108,187 00	112,491 87	(4,304 87)	
DELAWARE DIVERSIFIED INCOME	468	10/22/12 - 9/20/13	10/17/13	4,183 24	4,312 24	(129 00)	
DELAWARE CORPORATE BOND	10,187	1/8/10 - 9/21/12	10/17/13	60,511 25	60,108 50	402 75	
DELAWARE CORPORATE BOND	676	10/22/12 - 9/20/13	10/17/13	4,016 60	4,151 00	(134 40)	
IVY GLOBAL NATURAL RESOURCES	2,833	1/8/10	10/17/13	45,240 79	50,000 00	(4,759 21)	
JOHN HANCOCK GLOBAL OPPORTUNITIES	2,836	2/10/11 - 9/26/11	10/17/13	36,890 49	50,119 32	(13,228 83)	
JOHN HANCOCK GLOBAL OPPORTUNITIES	5	12/17/12	10/17/13	62 71	66 66	(3 95)	
LORD ABBETT HI YIELD	6,484	1/18/10 - 9/28/12	10/17/13	51,483 68	48,703 13	2,780 55	
LORD ABBETT HI YIELD	556	10/31/12-9/30/13	10/17/13	4,412 50	4,379 43	33 07	
PIMCO FOREIGN BOND	3,237	1/18/10 - 9/28/12	10/17/13	34,184 43	32,784 22	1,400 21	
PIMCO FOREIGN BOND	238	10/31/12-9/30/13	10/17/13	2,512 94	2,592 18	(79 24)	
PRINCIPAL HIGH YIELD	6,504	1/18/10 - 9/28/12	10/17/13	51,318 13	51,677 60	(359 47)	
PRINCIPAL HIGH YIELD	501	10/31/12-9/30/13	10/17/13	3,953 33	3,951 77	1 56	
VAN ECK INTERNATIONAL INVESTORS GOLD	2,187	1/18/10 - 12/21/11	10/17/13	21,588 30	47,698 19	(26,109 89)	
VAN ECK INTERNATIONAL INVESTORS GOLD	57	12/21/12	10/17/13	559 35	918 65	(359 30)	
ENERGY ARN ISSUER RBC 6/28/13	9,039	4/26/12	6/28/13	107,988 93	90,390 00	17,598 93	
SP500 CLIRN ISSUER BAC 2/22/13	10,000	2/24/11	2/22/13	117,900 00	100,000 00	17,900 00	
ML TREND FOLLOWING FUTURES FD LP	470	7/1/05	3/5/13	79,175 97	79,173 10	2 87	
ABERDEEN INDONESIA FD	1,354	9/10/12 - 1/31/13	2/25/13	16,407 67	17,954 00	(1,546 33)	
ALPS ALERIAN MLP ETF	993	5/23/12 - 2/15/13	2/25/13	16,821 14	15,811 96	1,009 18	
ALPS ALERIAN MLP ETF	1	2/15/13	3/25/13	13 67	13 49	0 18	
CONSUMER DISCRETIONARY SPDR	13	7/26/12	2/25/13	648 95	562 38	86 57	
CONSUMER DISCRETIONARY SPDR	23	7/26/12	5/28/13	1,326 50	994 99	331 51	
CONSUMER DISCRETIONARY SPDR	4	7/26/12	6/21/13	220 27	173 04	47 23	
CONSUMER DISCRETIONARY SPDR	311	7/26/12 & 8/17/12	9/5/13	18,291 12	13,460 42	4,830 70	
CONSUMER DISCRETIONARY SPDR	1	3/25/13	9/5/13	58 81	52 62	6 19	
CONSUMER DISCRETIONARY SPDR	1	3/25/13 & 7/1/13	9/23/13	60 52	56 45	4 07	
DWS RREEF GLOBAL INFRAST SEC FUND	23	5/23/12	2/25/13	282 32	247 28	35 04	
DWS RREEF GLOBAL INFRAST SEC FUND	1,374	5/23/12 & 8/17/12	9/5/13	16,991 59	14,575 38	2,416 21	
DWS RREEF GLOBAL INFRAST SEC FUND	34	3/21/13 - 6/21/13	9/5/13	422 78	414 95	7 83	
FIDELITY ADV EMERGING MKTS	735	5/23/12 - 2/15/13	2/25/13	10,809 34	10,064 15	745 19	
FIDELITY ADV EMERGING MKTS	2	2/15/13	2/25/13	29 40	29 82	(0 42)	
FIDELITY ADV EMERGING MKTS						0 42	wash sale
FIDELITY ADV EMERGING MKTS	2	2/28/13 - 4/30/13	5/28/13	29 02	30 10	(1 08)	
FIDELITY ADV EMERGING MKTS	0	5/31/13	6/21/13	0 09	0 10	(0 01)	
HEALTH CARE SELECT SPDR	25	7/26/12	2/25/13	1,081 34	948 89	132 45	
HEALTH CARE SELECT SPDR	27	7/26/12	5/28/13	1,349 17	1,024 81	324 36	
HEALTH CARE SELECT SPDR	2	7/26/12	6/21/13	94 65	75 91	18 74	
HEALTH CARE SELECT SPDR	7	7/26/12	9/5/13	351 32	265 70	85 62	
ICON ENERGY FUND	2,234	6/24/13 - 11/7/13	11/26/13	52,599 64	48,968 18	3,631 46	
ISHARES TRUST SHS ISHARE MSCI EMERGING	4	7/26/12	2/25/13	242 52	216 81	25 71	
ISHARES TRUST SHS ISHARE MSCI EMERGING	186	7/26/12	9/5/13	10,452 99	10,090 04	362 95	
ISHARES TRUST SHS ISHARE MSCI EMERGING	22	6/19/13 - 7/5/13	9/5/13	1,236 37	1,242 73	(6 36)	
ISHARES TRUST SHS ISHARE MSCI EMERGING	0	7/5/13	9/23/13	18 49	17 28	1 21	
ISHARES GOLD TRUST	265	1/16/13	6/21/13	3,330 99	4,334 34	(1,003 35)	
ISHARES GOLD TRUST						1,003 35	wash sale
ISHARES GOLD TRUST	85	1/16/13	6/21/13	1,068 43	1,390 26	(321 83)	
ISHARES DOW JONES US HOME CONSTRUCTIC	96	12/5/12	5/28/13	2,491 58	1,930 25	561 33	
ISHARES DOW JONES US ENERGY SECTOR INC	9	5/23/12	2/25/13	394 82	333 86	60 96	
ISHARES DOW JONES US ENERGY SECTOR INC	513	5/23/12 - 4/1/13	4/24/13	22,715 18	19,073 18	3,642 00	
ISHARES DOW JONES US ENERGY SECTOR INC	0	04/01/13	5/20/13	8 71	8 36	0 35	
MARKET VECTORS ETF TR GOLD MINERS ETF	118	7/26/12	12/5/12	5,418 89	4,954 43	464 46	
MARKET VECTORS ETF TR GOLD MINERS ETF	234	7/26/12	2/25/13	9,058 62	9,824 89	(766 27)	
MARKET VECTORS AGRBUSNSS	385	7/26/12 - 6/19/13	6/21/13	19,759 75	19,000 41	759 34	
MATTHEWS KOREA FD	1,991	9/10/12	2/25/13	10,730 42	9,973 92	756 50	
PIMCO COMMODITY REAL RETURN STRATEGY	1,516	5/23/12	6/24/13	8,395 95	9,562 90	(1,166 95)	
PIMCO COMMODITY REAL RETURN STRATEGY	491	8/17/12 - 6/21/13	6/24/13	2,721 77	3,043 66	(321 89)	
POWERSHARES ETF TR DYNAMIC PHARM POR	35	5/23/12	2/25/13	1,317 77	1,069 28	248 49	
POWERSHARES ETF TR DYNAMIC PHARM POR	35	5/23/12	5/28/13	1,495 89	1,038 73	457 16	
POWERSHARES ETF TR DYNAMIC PHARM POR	7	5/23/12	6/21/13	294 29	213 86	80 43	
POWERSHARES ETF TR DYNAMIC PHARM POR	17	5/23/12	9/5/13	780 30	519 37	260 93	
POWERSHARES ETF TR II S&P 500 LOW VOLATI	962	7/26/12-11/7/12	2/25/13	28,360 85	26,683 03	1,677 82	

SKB FOUNDATION  
94-3024121  
SCHEDULE A (CONTINUED)  
SALE OF SECURITIES - PURCHASED  
FYE NOVEMBER 30, 2013

	SHARES	DATE ACQUIRED	DATE SOLD	SALES PROCEEDS	COST	GAIN (LOSS)
POWERSHARES ETF TR II S&P 500 LOW VOLATI	2	3/1/13	5/31/13	64 15	59 24	4 91
POWERSHARES ETF TR II S&P 500 LOW VOLATI	0	3/1/13 - 5/31/13	6/24/13	7 21	7 08	0 13
POWERSHARES S&P SC INFO TECH	2	2/25/13	5/28/13	71 54	65 49	6 05
POWERSHARES S&P SC INFO TECH	15	2/23/13	6/21/13	529 49	491 15	38 34
SPDR GOLD TRUST	128	5/23/12-12/5/12	1/16/13	20,845 47	19,632 87	1,212 60
SPDR SP OIL GAS EQUIP	440	2/25/13-6/21/13	6/24/13	16,712 36	16,962 30	(249 94)
SPDR SP OIL GAS EQUIP	1	7/1/13	7/22/13	32 49	30 15	2 34
SPDR S AND P INTL DVD ETF	3	7/26/12	2/25/13	142 58	126 60	15 98
SPDR S AND P INTL DVD ETF	10	7/26/12	9/5/13	448 39	422 00	26 39
TCW EMERGING MARKETS INCOME	1,170	5/23/12	2/25/13	10,925 32	10,052 39	872 93
VANGUARD MID-CAP ETF	199	5/23/12	2/25/13	17,484 94	14,897 50	2,587 44
VANGUARD CONSUMER STAPLES ETF	118	5/23/12 - 11/7/12	12/5/12	10,897 52	9,954 21	943 31
VANGUARD GROWTH ETF	832	5/23/12 - 11/7/12	2/25/13	61,960 73	55,014 74	6,945 99
VANGUARD TOTAL STOCK MARKET ETF	41	2/25/13	5/28/13	3,538 01	3,184 39	353 62
VANGUARD TOTAL STOCK MARKET ETF	22	2/25/13	6/21/13	1,810 90	1,708 70	102 20
VANGUARD SMALL CAP	5	2/25/13	5/28/13	482 49	432 29	50 20
VANGUARD SMALL CAP	2	2/25/13	6/21/13	183 95	172 92	11 03
VANGUARD VALUE ETF	7	2/25/13	5/28/13	492 09	440 97	51 12
VANGUARD VALUE ETF	5	2/25/13	6/21/13	337 69	314 98	22 71
VANGUARD VALUE ETF	508	2/25/13 - 6/28/13	9/5/13	35,274 96	32,783 06	2,491 90
VANGUARD VALUE ETF	0	6/28/13	9/23/13	32 49	31 22	1 27
VANGUARD INFORMATION TECH ETF	11	9/20/12	2/25/13	779 44	825 80	(46 36)
VANGUARD INFORMATION TECH ETF	1	9/20/12	5/28/13	76 86	75 07	1 79
VANGUARD INFORMATION TECH ETF	6	9/20/12	6/21/13	443 21	450 44	(7 23)
VANGUARD INFORMATION TECH ETF						7 23 wash sale
VANGUARD INFORMATION TECH ETF	6	9/20/12	9/5/13	473 58	450 44	23 14
VANGUARD ENERGY ETF	204	4/24/13	6/21/13	22,835 83	22,637 39	198 44
VANGUARD REIT ETF	6	5/23/12	5/28/13	452 33	539 53	(87 20)
VANGUARD REIT ETF	82	5/23/12	6/21/13	5,471 92	5,033 28	438 64
VANGUARD REIT ETF	154	5/23/12	7/11/13	10,994 18	9,420 42	1,573 76
VANGUARD REIT ETF	105	9/10/12 - 6/28/13	7/11/13	7,496 03	7,074 32	421 71
VANGUARD REIT ETF	1	6/28/13	7/22/13	58 70	56 81	1 89
VANGUARD MSCI EUROPEAN ETF	26	2/25/13	9/5/13	1,353 64	1,269 07	84 57
VANGUARD DIVIDEND APPRECIATION ETF	21	5/23/12	2/25/13	1,332 00	1,162 47	169 53
VANGUARD DIVIDEND APPRECIATION ETF	19	5/23/12	5/28/13	1,322 27	1,051 76	270 51
VANGUARD DIVIDEND APPRECIATION ETF	12	5/23/12	6/21/13	795 83	664 27	131 56
VANGUARD MSCI EAFE ETF	318	12/5/12 - 6/21/13	6/21/13	11,388 24	11,005 56	382 68
VANGUARD MSCI EAFE ETF	0	6/21/13	7/22/13	14 72	14 14	0 58
VANGUARD MEGA CAP 300 GROWTH	375	9/20/12 - 12/5/12	2/25/13	21,678 71	21,413 72	264 99
VIRTUS EMERGING MARKETS OPPORTUNITIES	1,057	9/10/12-11/7/12	2/25/13	10,812 69	10,163 10	649 59
WISDOMTREE TRUST JAPAN	5	5/1/13	5/30/13	236 34	234 78	1 56
WISDOMTREE TRUST JAPAN	2	5/1/13	6/21/13	90 39	93 91	(3 52)
WISDOMTREE TRUST JAPAN						3 52 wash sale
PIMCO TOTAL RETURN	16,936	4/14/10 - 8/1/12	8/29/13	180,704 18	187,141 38	(6,437 20)
PIMCO TOTAL RETURN	857	9/4/12 - 8/1/13	8/29/13	9,148 51	9,703 59	(555 08)
				<u>1,704,612 33</u>	<u>1,671,622 66</u>	<u>34,004 19</u>
WASH SALE LOSS ADJUSTMENTS INCLUDED IN GAIN						1,014 52

SKB FOUNDATION  
94-3024121  
SCHEDULE B  
SALE OF SECURITIES - DONATED  
FYE NOVEMBER 30, 2013

	SHARES	DATE ACQUIRED	DATE SOLD	SALES PROCEEDS	COST	GAIN (LOSS)
MARVELL TECHNOLOGY	7,142	12/31/09	2/13/13	66,722 63	1,564 10	65,158 53
MARVELL TECHNOLOGY	7,142	12/31/09	10/17/13	81,857 34	1,564 32	80,293 02
NETUSA	110,000	5/5/97	11/30/13	0 00	73,333 33	(73,333 33)
				<u>148,579 97</u>	<u>76,461 75</u>	<u>72,118 22</u>

SKB FOUNDATION  
94-3024121  
SCHEDULE C  
INVESTMENTS  
NOVEMBER 30, 2013 & 2012

	SHARES	11/30/2012 AT COST	11/30/13 BALANCE AT COST	FMV
CORPORATE STOCK & MUTUAL FUNDS				
ENERGY ARN ISSUER RBC 6/28/13	9,039	90,390 00	0 00	0 00
MARVELL TECHNOLOGY	14,285	3,128 42	0 00	0 00
NETUSA INC NEW	110,000	73,333 33	0 00	0 00
SP500 CLIRN ISSUER BAC 2/22/13	10,000	100,000 00	0 00	0 00
SP500 CLIRN ISSUER HSBC 2/27/15	11,790	0 00	117,900 00	126,153 00
SP500 CLIRN ISSUER HSBC 4/25/14	10,250	102,500 00	102,500 00	122,282 50
ALGER SMID CAP GROWTH	4,409	30,000 00	54,060 99	85,616 39
ALLIANCEBERNSTEIN SMALL/MID CAP VALUE	6,178	47,926 74	86,015 19	131,906 77
ALLIANCEBERNSTEIN GLOBAL BOND	4,062	32,813 00	0 00	0 00
ALLIANCEBERNSTEIN HIGH INCOME	10,901	98,267 82	0 00	0 00
AMERICAN NEW PERSPECTIVE	5,549	80,247 03	147,786 69	208,809 32
AMERICAN CENTURY SMALL CAP GROWTH	4,651	30,000 00	30,000 00	53,488 37
BLACKROCK FOCUS GROWTH	24,277	50,277 34	50,277 34	77,687 38
BLACKROCK INFLATION PROTECTED BOND PORTFOLIO	16,416	166,592 49	171,691 41	178,110 82
BLACKROCK BASIC VALUE	5,570	76,906 33	123,170 94	176,618 45
BLACKROCK GLOBAL ALLOCATION	6,676	104,867 82	105,576 13	137,256 32
DELAWARE DIVERSIFIED INCOME	12,171	113,017 25	0 00	0 00
DELAWARE CORPORATE BOND	10,557	62,393 79	0 00	0 00
FIDEITY ADVISOR NEW INSIGHTS	2,975	48,897 79	48,897 79	82,135 17
FRANKLIN REAL RETURN	4,031	42,610 78	43,291 27	42,972 75
GOLDMAN SACHS STRATEGIC INCOME	10,499	105,612 72	109,565 93	110,655 16
HARTFORD VALUE	7,850	80,565 98	82,255 88	115,004 36
INVESCO DEVELOPING MARKETS	1,859	51,224 71	51,224 71	59,528 54
INVESCO INTERNATIONAL GROWTH	1,512	35,313 44	35,481 90	46,640 54
IVY GLOBAL NATURAL RESOURCES	2,833	50,000 00	0 00	0 00
JANUS FORTY	1,487	50,223 87	50,444 57	72,936 27
JOHN HANCOCK GLOBAL OPPORTUNITIES	2,836	50,119 32	0 00	0 00
LOOMIS SAYLES INVESTMENT GRADE BOND	16,742	179,529 94	189,604 91	203,920 71
LOOMIS SAYLES GLOBAL MARKETS	6,143	35,719 00	79,106 86	118,744 46
LORD ABBETT HI YIELD	6,518	48,972 02	0 00	0 00
LORD ABBETT SHORT DURATION INCOME	64,385	283,648 68	292,530 25	296,169 17
LORD ABBETT GROWTH OPPORTUNITIES	3,482	59,085 47	59,099 05	78,301 95
PIMCO FOREIGN BOND	3,240	32,816 37	0 00	0 00
PIMCO REAL RETURN	4,141	43,883 87	45,542 22	46,459 50
PRINCIPAL HIGH YIELD	6,545	51,994 67	0 00	0 00
PRINCIPAL INVESTORS SHORT TERM INCOME	7,012	83,231 33	83,626 11	86,104 52
PRUDENTIAL SHORT TERM CORPORATE BOND	25,056	276,632 14	282,757 03	285,389 29
TEMPLETON GLOBAL BOND	4,724	57,099 11	60,790 55	61,834 70
TOUCHSTONE LARGE CAP GROWTH	5,798	100,015 50	100,015 50	184,376 40
VAN ECK INTERNATIONAL INVESTORS GOLD	2,187	47,698 19	0 00	0 00
ABERDEEN INDONESIA FD	1,145	15,356 13	0 00	0 00
ALPS ALERIAN MLP ETF	979	15,569 93	0 00	0 00
CONSUMER DISCRETIONARY SPDR	351	15,190 83	0 00	0 00
DWS RREEF GLOBAL INFRAST SEC FUND	1,396	14,822 66	0 00	0 00
FIDELITY ADV EMERGING MKTS	730	10,000 00	0 00	0 00
HEALTH CARE SELECT SPDR	656	15,759 79	29,334 97	36,239 99
ISHARES TRUST SHS ISHARE MSCI EMERGING	190	10,306 85	0 00	0 00
ISHARES GOLD TRUST	3,078	0 00	43,840 03	37,336 14
ISHARES DOW JONES US ENERGY SECTOR INDEX	520	19,317 56	0 00	0 00
ISHARES DOW JONES US HOME CONSTRUCTION	1,917	0 00	41,618 28	44,362 85
MARKET VECTORS ETF TR GOLD MINERS ETF	352	14,779 32	0 00	0 00
MARKET VECTORS AGRBUSNSS	368	18,100 60	0 00	0 00
MATTHEWS KOREA FD	1,990	9,973 92	0 00	0 00
PERMANENT PORFOLIO FUND	849	20,634 48	39,980 06	40,308 20
PIMCO COMMODITY REAL RETURN STRATEGY	1,572	9,952 03	0 00	0 00
POWERSHARES ETF TR DYNAMIC PHARM PORTFOLIO	718	14,756 08	27,649 03	37,739 71
POWERSHARES ETF TR II S&P 500 LOW VOLATILITY PORT	962	26,683 03	0 00	0 00
POWERSHARES S&P INFO TECH	1,013	0 00	38,512 87	44,045 24
SPDR S AND P INTL DVD ETF	793	15,076 75	35,677 56	37,350 21
TCW EMERGING MARKETS INCOME	1,164	10,000 00	0 00	0 00
VANGUARD MID-CAP ETF	199	14,897 50	0 00	0 00
VANGUARD CONSUMER STAPLES ETF	118	9,954 21	0 00	0 00
VANGUARD GROWTH ETF	832	55,014 74	0 00	0 00



SKB FOUNDATION  
94-3024121  
SCHEDULE C  
INVESTMENTS  
NOVEMBER 30, 2013 & 2012

	SHARES	11/30/2012 AT COST	11/30/13 BALANCE AT COST	FMV
VANGUARD TOTAL STOCK MARKET ETF	3,164	0 00	266,450 56	296,961 99
VANGUARD SMALL CAP	548	0 00	53,487 61	59,523 76
VANGUARD INFORMATION TECH ETF	688	24,404 57	54,003 28	59,601 44
VANGUARD INDUSTRIAL ETF	624	0 00	55,836 52	60,584 16
VANGUARD REIT ETF	324	20,481 38	0 00	0 00
VANGUARD MSCI EUROPEAN ETF	1,103	0 00	57,358 84	63,266 12
VANGUARD MSCI EMERGING MARKETS ETF	1,206	0 00	48,522 72	50,024 88
VANGUARD DIVIDEND APPRECIATION ETF	1,756	55,104 76	111,171 88	130,286 07
VANGUARD MEGA CAP 300 GROWTH	277	15,958 07	0 00	0 00
VIRTUS EMERGING MARKETS OPPORTUNITIES	1,056	10,163 10	0 00	0 00
SPDR GOLD TRUST	101	15,200 01	0 00	0 00
WISDOMTREE TRUST JAPAN	868	0 00	39,408 80	43,669 08
WISDOMTREE EUR S/C DIV	702	0 00	35,380 09	38,588 94
LEGG MASON PARTNER SHORT TERM BOND	46,817	176,461 12	178,114 52	183,521 69
PIMCO PAC INVS MGMR SHORT TERM FUND	19,367	0 00	189,995 21	191,350 25
PIMCO TOTAL RETURN	17,009	187,985 27	0 00	0 00
AUTHENEX		150,000 00	150,000 00	150,000 00
		<u>4,069,460 95</u>	<u>4,099,556 05</u>	<u>4,793,863 53</u>

**SKB FOUNDATION**  
**94-3024121**  
**SCHEDULE D**  
**SCHEDULE OF CONTRIBUTIONS**  
**FYE 11/30/13**

RECIPIENT		FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
American Evergreen Buddhist Association	13000 NE 84th Street Kirkland, WA 98033	Religious	General	1,000
Avatamsaka Buddhist Lotus Society	50 South Main Street Milpitas, CA 95035	Religious	General	1,000
Buddhist Association of The United States	2020 Route 301 Carmel, NY 10512	Religious	Construction & maintenance	1,000
Buddhist Tzu Chi Foundation	2355 Oakland Road San Jose, CA 95131	Religious	Development fund	15,000
Buddhist Wisdom Foundation	2179 Santa Cruz Avenue Menlo Park, CA 94025	Religious	Disaster Relief General	2,000 50,000
Catholic Academy of Sunnyvale	195 Leota Avenue Sunnyvale, CA 94086	Public	General	2,000
Community Services Agency	204 Stierlin Road Mountain View, CA 94043	Public	Turkey dinners for 250 families	5,000
Feminist Majority Foundation	433 South Beverly Drive Beverly Hills, CA 90212	Public	Campus work for reproductive rights & get out the vote	10,000
Formosan Association for Human Rights	P O Box 652 Blue Bell, PA 19422	Public	General	1,000
Holbrook-Palmer Recreation Park Foundation	150 Watkins Avenue Atherton, CA 94027	Public	General	1,000
Jacaranda Health	207 Bennington Street San Francisco, CA 94110	Public	Yen Chunag Hospital in Africa	30,000
Mahavaipulya Buddhist Association	8781 Knott Avenue Buena Park, CA 90620	Religious	General	1,000
Massachusetts Institute of Technology	600 Memorial Drive, Third Floor Cambridge, MA 02139-4819	Public	Yen Chuang Scholarship Fund	50,000
North America Taiwanese Women's Association	15519 Cardmon Way Tustin, CA 92782	Public	General	1,000
Palo Alto Buddhist Temple	2751 Louis Road Palo Alto, CA 94303	Religious	100th Anniversary Match Program	5,000
Second Harvest Food Bank	4001 North First Street San Jose, CA 95134	Public	General	2,000
Taiwanese Alliance for Interculture	7174 Calcaterra Drive San Jose, CA 95120	Public	General	2,000
Taiwanese American Citizens League - LA	PO Box 5014 Hacienda Heights, CA 91745	Public	2013 programs	2,000
Taiwanese American Federation of No CA	1141 Limeridge Drive Concord, CA 94518	Public	General	1,500
University of California San Francisco	220 Montgomery Street, 5th Floor San Francisco, CA 94143-0248	Public	UCSF Cardiology Council	5,000
Wisdom Foundation	449 Galloping Path Natural Bridge, VA 24578	Religious	Building shelter for Buddha statue	60,000

**248,500**

**SKB FOUNDATION**

**94-3024121**

**PART II, NONCASH PROPERTY RECEIVED**

**FYE NOVEMBER 30, 2013**

	<b>Shares</b>	<b>Date Received</b>	<b>FMV</b>
ALGER SM/MIDCAP GROWTH	1,870	12/28/12	27,769.50
ALLIANCEBERNSTEIN DISCOVERY VALUE	2,617	12/28/12	41,217.75
ALLIANCEBERNSTEIN GLOBAL BOND	5,713	12/28/12	49,360.32
ALLIANCEBERNSTEIN HIGH INCOME	3,960	12/28/12	38,055.60
AMERICAN NEW PERSPECTIVE	2,614	12/28/12	78,759.82
BLACKROCK BASIC VALUE	1,832	12/28/12	42,612.32
LOOMIS SAYLES GLOVAL EQUITY & INCOME	3,420	12/28/12	57,524.40
			<hr/>
			<u>335,299.71</u>

**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No. 1545-1709

► **File a separate application for each return.**► **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **►**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on **e-file for Charities & Nonprofits**.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐ **►**

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

<b>Type or print</b>  File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	SKB FOUNDATION	94-3024121
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	1247 ELKO DRIVE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	SUNNYVALE, CALIFORNIA 94089	

Enter the Return code for the return that this application is for (file a separate application for each return)  

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► **JOAN HUANG**

Telephone No. ► 408-747-1769 Fax No. ► 408-747-1108

- If the organization does not have an office or place of business in the United States, check this box ☐ **►**
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)  . If this is for the whole group, check this box ☐ **►** . If it is for part of the group, check this box ☐ **►** and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **JULY 15**, 20 **14**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year 20  or

- ☒ tax year beginning **DECEMBER 1**, 20 **12**, and ending **NOVEMBER 30**, 20 **13**.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	5600
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	1617
<b>c</b> <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	<b>3c</b>	\$	4000

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **Part II**

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Type or print  File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions. <b>SKB FOUNDATION</b>	Enter filer's identifying number, see instructions Employer identification number (EIN) or <b>94-3024121</b>
	Number, street, and room or suite no. If a P.O. box, see instructions. <b>1247 ELKO DRIVE</b>	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>SUNNYVALE, CALIFORNIA 94089</b>	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**


- The books are in the care of **▶ JOAN HUANG**  
Telephone No. **▶ 408-747-1769** Fax No. **▶ 408-747-1108**
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until **OCTOBER 15**, 20 **14**.
- 5 For calendar year **DECEMBER 1**, 20 **12**, and ending **NOVEMBER 30**, 20 **13**.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return  
☐ Change in accounting period
- 7 State in detail why you need the extension  
**ADDITIONAL TIME IS NEEDED TO GATHER INFORMATION TO PREPARE A COMPLETE AND ACCURATE RETURN**

<b>8a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>8a</b>	\$	<b>5600</b>
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	<b>8b</b>	\$	<b>5617</b>
<b>c Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>8c</b>	\$	<b>0</b>

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **▶**  Title **▶ CPA** Date **▶ 7/10/14**