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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
**or Section 4947(a)(1) Nonexempt Charitable Trust**  
**Treated as a Private Foundation**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

**2012**

Open to public inspection

For calendar year 2012 or tax year beginning

DEC 1, 2012

, and ending

NOV 30, 2013

Name of foundation

**FULL MOON FOUNDATION**

A Employer identification number

**85-0406316**

Number and street (or P O box number if mail is not delivered to street address)

**1000 CORDOVA PLACE, #618**

Room/suite

B Telephone number

**505-984-3222**

City or town, state, and ZIP code

**SANTA FE, NM 87505**C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☒ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

**\$ 780,877.**

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

(Part I, column (d) must be on cash basis.)

**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>			<b>N/A</b>	
1 Contributions, gifts, grants, etc., received				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	1.	1.		<b>STATEMENT 1</b>
4 Dividends and interest from securities	18,779.	18,779.		<b>STATEMENT 2</b>
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	47,455.			
b Gross sales price for all assets on line 6a	808,085.			
7 Capital gain net income (from Part IV, line 2)		47,455.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	66,235.	66,235.		
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees <b>STMT 3</b>	3,496.	1,748.		1,748.
b Accounting fees				
c Other professional fees <b>STMT 4</b>	3,637.	3,637.		0.
17 Interest				
18 Taxes <b>STMT 5</b>	1,630.	20.		10.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses <b>STMT 6</b>	5.	5.		0.
24 Total operating and administrative expenses. Add lines 13 through 23	8,768.	5,410.		1,758.
25 Contributions, gifts, grants paid	0.			0.
26 Total expenses and disbursements. Add lines 24 and 25	8,768.	5,410.		1,758.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	57,467.			
b Net investment income (if negative, enter -0-)		60,825.		
c Adjusted net income (if negative, enter -0-)			<b>N/A</b>	

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12-05-12

LHA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	2,740.	1,634.	1,634.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	663,761.	702,518.	733,867.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	25,560.	45,376.	45,376.
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶ )			
	16 Total assets (to be completed by all filers)	692,061.	749,528.	780,877.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ )			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	692,061.	749,528.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	692,061.	749,528.	
	31 Total liabilities and net assets/fund balances	692,061.	749,528.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	692,061.
2 Enter amount from Part I, line 27a	2	57,467.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	749,528.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	749,528.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a <b>SEE ATTACHED DETAIL - Statement 10</b>		<b>VARIOUS</b>	<b>VARIOUS</b>
b <b>CAPITAL GAINS DIVIDENDS</b>			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 802,751.		760,630.	42,121.
b 5,334.			5,334.
c			
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			42,121.
b			5,334.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	47,455.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	56,575.	651,636.	.086820
2010	7,558.	650,939.	.011611
2009	155,906.	657,972.	.236949
2008	1,274.	650,226.	.001959
2007	100,962.	895,606.	.112730

2 Total of line 1, column (d)	2	.450069
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.090014
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	722,225.
5 Multiply line 4 by line 3	5	65,010.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	608.
7 Add lines 5 and 6	7	65,618.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,758.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2012 estimated tax payments and 2011 overpayment credited to 2012

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2013 estimated tax

499. | Refunded

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year?

If "Yes," complete Part II, col. (c), and Part XV.

8a Enter the states to which the foundation reports or with which it is registered (see instructions) NM

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8b	X	
9		X
10		X

N/A

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		X	
Website address ► N/A				
14	The books are in care of ► LAWRENCE TAUB, ESQ	Telephone no. ►	505-984-3222	
	Located at ► 1000 CORDOVA PLACE, #618	ZIP+4 ►	87505	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	►	15	N/A
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►			X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
TOBI TRAVIS PO BOX 213 SUN VALLEY, ID 83353	PRES, SEC, TREAS, DIR	1.00	0.	0.
PHILLIP AARON HALEEN PO BOX 213 SUN VALLEY, ID 83353	DIRECTOR, ASST. SEC.	1.00	0.	0.
SEAN HALEEN PO BOX 213 SUN VALLEY, ID 83353	DIRECTOR, V.P.	1.00	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total number of others receiving over \$50,000 for professional services**

0

<b>Part IX-A</b>	<b>Summary of Direct Charitable Activities</b>
------------------	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

## Expenses

1	N/A	
2		
3		
4		

<b>Part IX-B</b>	<b>Summary of Program-Related Investments</b>
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

**Amount**

Describe the two largest program-related investments made by the foundation during the last year of the calendar year.	
1	N/A
2	
All other program-related investments. See instructions.	
3	

**All other program-related investments. See instructions.**

**3**

**Total.** Add lines 1 through 3

0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	731,161.
b	Average of monthly cash balances	1b	2,062.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	733,223.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	733,223.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	10,998.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	722,225.
6	Minimum investment return. Enter 5% of line 5	6	36,111.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	36,111.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	1,217.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,217.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	34,894.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	34,894.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	34,894.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,758.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,758.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,758.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
<b>1</b> Distributable amount for 2012 from Part XI, line 7				34,894.
<b>2</b> Undistributed income, if any, as of the end of 2012				
<b>a</b> Enter amount for 2011 only			0.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2012:				
<b>a</b> From 2007	56,744.			
<b>b</b> From 2008				
<b>c</b> From 2009	124,497.			
<b>d</b> From 2010				
<b>e</b> From 2011	27,501.			
<b>f</b> Total of lines 3a through e	208,742.			
<b>4</b> Qualifying distributions for 2012 from Part XII, line 4: ► \$	1,758.			
<b>a</b> Applied to 2011, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2012 distributable amount				1,758.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	33,136.			33,136.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	175,606.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2007 not applied on line 5 or line 7	23,608.			
<b>9</b> Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	151,998.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2008				
<b>b</b> Excess from 2009	124,497.			
<b>c</b> Excess from 2010				
<b>d</b> Excess from 2011	27,501.			
<b>e</b> Excess from 2012				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1** a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

**b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(a) 2012

(b) 2011

(c) 2010

(d) 2009

(e) Total

**b** 85% of line 2a

**c** Qualifying distributions from Part XII, line 4 for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

**e** Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

**3** Complete 3a, b, or c for the alternative test relied upon:

**a** "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

**b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

**c** "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)****1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**SEE STATEMENT 9**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE****2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part VII** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
NONE				
<b>Total</b>			<b>3a</b>	<b>0.</b>
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			<b>3b</b>	<b>0.</b>

**Part XVI-A**      **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	Related or exempt function income
<b>1</b> Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
<b>2</b> Membership dues and assessments						
<b>3</b> Interest on savings and temporary cash investments				14	1.	
<b>4</b> Dividends and interest from securities				14	18,779.	
<b>5</b> Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
<b>6</b> Net rental income or (loss) from personal property						
<b>7</b> Other investment income						
<b>8</b> Gain or (loss) from sales of assets other than inventory				18	47,455.	
<b>9</b> Net income or (loss) from special events						
<b>10</b> Gross profit or (loss) from sales of inventory						
<b>11</b> Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
<b>12</b> Subtotal. Add columns (b), (d), and (e)			0.		66,235.	0.
<b>13</b> Total. Add line 12, columns (b), (d), and (e)					13	66,235.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
<b>1</b>	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
	<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	<b>1a(1)</b>	<b>X</b>
	(2) Other assets	<b>1a(2)</b>	<b>X</b>
<b>b</b>	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	<b>1b(1)</b>	<b>X</b>
	(2) Purchases of assets from a noncharitable exempt organization	<b>1b(2)</b>	<b>X</b>
	(3) Rental of facilities, equipment, or other assets	<b>1b(3)</b>	<b>X</b>
	(4) Reimbursement arrangements	<b>1b(4)</b>	<b>X</b>
	(5) Loans or loan guarantees	<b>1b(5)</b>	<b>X</b>
	(6) Performance of services or membership or fundraising solicitations	<b>1b(6)</b>	<b>X</b>
<b>c</b>	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	<b>1c</b>	<b>X</b>
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		



[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
NONE		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge				May the IRS discuss this return with the preparer shown below (see instr 1)? <input checked="checked" type="checkbox"/> Yes <input type="checkbox"/> No
	 Signature of officer or trustee		16Apr14 Date	President Title	
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Lawrence Taub		10Apr14		P00028605
	Firm's name ▶ Lawrence Steven Taub, Counsellor at Law PC Firm's address ▶ 1000 CORDOVA PLACE, SUITE 618 SANTA FE, NM 87505				Firm's EIN ▶ 85-0352005 Phone no.

Form **990-PF** (2012)

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
1ST NATIONAL BANK - CHECKING	1.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	1.

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
WELLS FARGO ACCOUNT	24,113.	5,334.	18,779.
TOTAL TO FM 990-PF, PART I, LN 4	24,113.	5,334.	18,779.

## FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	3,496.	1,748.		1,748.
TO FM 990-PF, PG 1, LN 16A	3,496.	1,748.		1,748.

## FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	3,637.	3,637.		0.
TO FORM 990-PF, PG 1, LN 16C	3,637.	3,637.		0.

## FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CORPORATION COMMISSION	10.	0.		10.
IRS-EXCISE TAX	1,600.	0.		0.
FOREIGN TAX	20.	20.		0.
TO FORM 990-PF, PG 1, LN 18	1,630.	20.		10.

## FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEES	5.	5.		0.
TO FORM 990-PF, PG 1, LN 23	5.	5.		0.

## FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS - SEE ATTACHED	702,518.	733,867.
TOTAL TO FORM 990-PF, PART II, LINE 10B	702,518.	733,867.

## FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MONEY MKT	COST	45,376.	45,376.
TOTAL TO FORM 990-PF, PART II, LINE 13		45,376.	45,376.



FORM 990-PF

PART XV - LINE 1A  
LIST OF FOUNDATION MANAGERS

STATEMENT 9

NAME OF MANAGERTOBI TRAVIS  
PHILLIP AARON HALEEN  
SEAN HALEEN

**FULL MOON FOUNDATION**  
**EIN: 85-0406316**  
**Form 990PF**  
**FYE 30November2013**  
**Page 3, Part IV, Line 16**

	<u>Quantity</u>	<u>Purchase Date</u>	<u>Cost Basis</u>	<u>Sold Date</u>	<u>Sales Amount</u>	<u>Gain / (Loss)</u>
Diamond Hill Long-Short	678.1460	10/05/12	12,593.17	10/09/13	14,288.54	1,695.37
Diamond Hill Long-Short	1,146.0000	10/05/12	21,281.23	07/29/13	24,432.72	3,151.49
Ishares MSCI EAFE	1,260.0000	10/05/12	68,670.00	07/31/13	76,418.55	7,748.55
Ishares TR MSCI Emerging	1,300.0000	10/05/12	54,834.00	07/31/13	51,648.10	(3,185.90)
Ishares TR Small Cap 600 Ind	350.0000	10/05/12	27,275.50	07/31/13	33,781.44	6,505.94
Ivy Asset Strategy	1,319.6900	10/05/12	34,048.00	07/29/13	37,558.38	3,510.38
Pimco Commodity	2,834.0340	10/05/12	20,235.00	07/29/13	16,097.31	(4,137.69)
Pimco Emerg Mkts	2,750.5460	10/05/12	34,024.25	07/29/13	31,246.20	(2,778.05)
Pimco Foreign Bond	1,168.9930	10/05/12	13,583.70	07/29/13	11,760.07	(1,823.63)
Pimco High Yield Fd-Inst	3,558.7970	10/05/12	34,022.10	07/29/13	33,986.51	(35.59)
Pimco Total Ret Fd-Inst	6,724.0000	10/05/12	77,931.16	07/29/13	72,551.96	(5,379.20)
SPR S&P 500 ETF	1,030.0000	10/05/12	151,348.20	07/31/13	173,603.69	22,255.49
SPDR S&P Midcap 400 ETF	230.0000	10/05/12	41,942.80	07/31/13	51,256.90	9,314.10
Vanguard Reit Viper	328.0000	10/05/12	21,353.92	07/31/13	23,271.22	1,917.30
AQR Managed Futures	692.0000	07/31/13	7,023.80	10/07/13	6,892.32	(131.48)
Artisan Midcap Fund	40.0000	07/31/13	1,891.60	10/07/13	2,053.60	162.00
Credit Suisse Com	1,016.0000	07/31/13	7,305.04	10/07/13	7,426.96	121.92
Dodge & Cox Intl Stock Fd	43.0000	07/31/13	1,665.82	10/07/13	1,759.99	94.17
Drefus EMG Mkt Deb LOC	766.0000	07/31/13	10,754.64	10/07/13	10,861.88	107.24
Harbor Capital Aprction-Inst	1,108.1140	07/31/13	55,040.04	10/07/13	58,297.88	3,257.84
Ishares Core S&P 500 ETF	98.0000	07/31/13	16,615.69	10/09/13	16,614.63	(1.06)
Kalmar Gr W/Val Sm Cap Fd #2	51.0000	07/31/13	1,020.51	10/08/13	1,075.57	55.06
MFS Value Fund Class 1	1,191.2120	07/31/13	36,927.57	10/09/13	36,129.46	(798.11)
Oppenheimer Developing Mkt Y	155.0000	07/31/13	5,401.75	10/07/13	5,764.45	362.70
Vanguard Ftse Emerging Mkt ETF	96.0000	07/31/13	3,840.86	10/09/13	3,973.37	132.51
<b>TOTALS:</b>			<b>760,630.35</b>		<b>802,751.70</b>	<b>42,121.35</b>

**FULL MOON FOUNDATION**

**EIN: 85-0406316**

**Form 990PF**

**FYE 30November2013**

**Page 2, Part II, Line 10b**

	<u>Quantity</u>	<u>Purchase Date</u>	<u>Cost Basis</u>	<u>Market Value</u>
Pimco Total Ret Fd-Inst	2,081.1680	10/05/12	24,120.74	22,643.11
Vanguard Reit Viper	407 0000	10/05/12	26,497.08	26,658.50
3M Co	25.0000	10/15/13	2,947.25	3,337.75
Accenture Plc	35 0000	10/15/13	2,463 65	2,711.45
Ace Limited	30.0000	10/15/13	2,737.50	3,083 40
Anheuser-Busch Inbev Spn Adr	30.0000	10/15/13	2,881 63	3,063.30
Apple Inc	12.0000	10/15/13	5,834.88	6,672.84
AQR Managed Futures	735.4050	07/31/13	7,464.36	7,662.92
Artisan Intl Fund	1,449 3460	07/31/13	39,828.04	43,277 47
Artisan Midcap Fund	618 3250	07/31/13	29,240.60	29,840.36
ASG Global Alternative	2,563.3480	07/31/13	29,372.80	30,606.38
Baxter Intl Inc	45.0000	10/15/13	2,930 85	3,080 25
Berkshire Hathaway	25 0000	10/15/13	2,802.44	2,913 25
BHP Billiton Ltd ADR	35 0000	10/15/13	2,294 04	2,387.70
Boeing Co	20 0000	10/15/13	2,298.40	2,685.00
Capital One Fin Corp	40 0000	10/15/13	2,730.77	2,865 20
Chevron Corp	35 0000	10/15/13	4,081 70	4,285 40
Cisco Systems	55 0000	10/15/13	1,243 00	1,168 75
CME Group Inc.	40 0000	10/15/13	2,891 76	3,278 00
Coach Inc	55.0000	10/15/13	2,977.70	3,184.50
Comcast Corp	75 0000	10/15/13	3,330 42	3,740 25
ConocoPhillips	50 0000	10/15/13	3,507 50	3,640.00
Credit Suisse Com	4,020.4450	07/31/13	28,907 00	28,786 39
CVS/Caremark Corp	45 0000	10/15/13	2,613.98	3,013.20
Diageo Plc - ADR	25 0000	10/15/13	3,052.37	3,191 75
Dodge & Cox Income Fd	2,118.8410	07/31/13	28,676.89	28,922.18
Dodge & Cox Intl Stock Fd	985 5510	07/31/13	38180.24	42,398.40
Drefus EMG Mkt Deb LOC	1,813.6670	07/31/13	25,463.88	25,300.65
Driehaus Active Income Fd	2,056.8690	07/31/13	22,082.96	22,193.62
Eaton Corp Plc	40.0000	10/15/13	2,641.60	2,906.40
Exxon Mobil Corp	30 0000	10/15/13	2,561.70	2,804.40
Fidelity Adv Emer Mkts Inc	814.2120	10/09/13	11,000.00	10,861.59
Goldman Sachs Group Inc	15.0000	10/15/13	2,322 69	2,534 10
HSBC - ADR	45 0000	10/15/13	2,422.34	2,524.50
Intl Business Machs Corp	15 0000	10/15/13	2,722.81	2,695.20
Johnson & Johnson	30 0000	10/15/13	2,583 00	2,839.80
JP Morgan Chase & Co	80.0000	10/15/13	4,080 00	4,577.60
JP Morgan Mid Cap Value	619.7310	07/31/13	21,002.68	22,335.11
Kalmar Gr W/Val Sm Cap Fd #2	961.6600	07/31/13	19,260.29	21,820.07
Keeley Small Cap Val Fd Cl1	580.6460	07/31/13	20,293.58	22,383.90
Laudus Mondrian Intl Fi-Inst	333 3810	07/31/13	3,597.18	3,590 51
Merck & Co Inc. New	65 0000	10/15/13	3,081.00	3,238 95
Merger Fd Sh Ben Int	904.1270	07/31/13	14,484.12	14,728 23

**FULL MOON FOUNDATION****EIN: 85-0406316****Form 990PF****FYE 30November2013****Page 2, Part II, Line 10b**

	<u>Quantity</u>	<u>Purchase Date</u>	<u>Cost Basis</u>	<u>Market Value</u>
Microsoft Corp	120.0000	10/15/13	3,993.60	4,575.60
Monstanto Co New	25 0000	10/15/13	2,604 75	2,833.25
Nestle S.A Reg Shares-ADR	40.0000	10/15/13	2,687.60	2,924.40
Nextera Energy Inc	30.0000	10/15/13	2,403 30	2,537.70
Oppenheimer Developing Mkt Y	1,008 2860	07/31/13	35,138.75	37,891.39
Oracle Corporation	80 0000	10/15/13	2,582.38	2,823.20
Pimco Foreign Bd Fd USD H-Inst	342 0000	07/31/13	3,618.36	3,649.14
Pimco Total Ret FD-Inst	563.7710	10/09/13	6,100.00	6,133 83
Qualcomm Inc	40.0000	10/15/13	2,632 80	2,943 20
Ridgeworth Seix Hy Bd-1	3,675 4570	07/31/13	37,114 02	37,232.38
Robeco BP Lng/Shrt Res-Ins	1,617.7940	07/31/13	21,726.98	22,875.61
Schlumberger Ltd	25.0000	10/15/13	2,181 95	2,210 50
SPDR DJ Wilshire Intl Real	701.0000	07/31/13	28,913 24	29,624 26
Stryker Corp	45.0000	10/15/13	3,094 20	3,348 90
T Rowe Price Short Term Bd Fd	3,363 3190	07/31/13	16,110 30	16,143.93
Target Corp	30 0000	10/15/13	1,882.50	1,917.90
TJX Cos Inc New	40 0000	10/15/13	2,207 20	2,515.20
Total S.A. - ADR	45 0000	10/15/13	2,626 49	2,714.40
Travelers Companies Inc	40.0000	10/15/13	3,303 20	3,629.60
Union Pacific Corp	25 0000	10/15/13	3,821 06	4,051.00
United Health Group Inc	25 0000	10/15/13	1,785.00	1,862.00
United Parcel Service-CL B	35 0000	10/15/13	3,113 60	3,583.30
US Bancorp Del New	90 0000	10/15/13	3,246 30	3,529.80
Vanguard Ftse Emerging Mkt ETF	913.0000	07/31/13	36,528.22	37,871 24
Vanguard Reit Viper	37.0000	10/09/13	2,435.41	2,423 50
Verizon Communications	70 0000	10/15/13	3,245 90	3,473 40
Walt Disney Co.	30 0000	10/15/13	1,913 40	2,116.20
<b>TOTALS:</b>			<b>702,517.93</b>	<b>733,867.16</b>