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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2012

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning 12/01, 2012, and ending 11/30, 2013

Tocker Foundation
3814 Medical Pkwy
Austin, TX 78756-4002

A Employer identification number
75-6037871

B Telephone number (see the instructions)
(512) 452-1044

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return	☐ Initial return of a former public charity
☐ Final return	☐ Amended return
☐ Address change	☐ Name change

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 32,630,887.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc. received (att sch)				
2 Ck ☒ if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	729,459.	729,459.		
5a Gross rents	25,716.	25,716.		
b Net rental income or (loss)	25,716.			
6a Net gain/(loss) from sale of assets not on line 10	1,187,789.			
b Gross sales price for all assets on line 6a	9,713,076.			
7 Capital gain net income (from Part IV, line 2)		1,187,789.		
8 Net short-term capital gain				
9 Income modifications			-9,262.	
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	1,942,964.	1,942,964.	-9,262.	
13 Compensation of officers, directors, trustees, etc	0.			
14 Other employee salaries and wages	296,783.	148,391.		148,392.
15 Pension plans, employee benefits	7,790.	3,895.		3,895.
16a Legal fees (attach schedule)				
b Accounting fees (attach sch) See St 1	172,100.	172,100.		
c Other prof fees (attach sch)				
17 Interest				
18 Taxes (attach schedule)(see instrs) See Stm 2	35,628.	20,266.		
19 Depreciation (attach sch) and depletion	1,259.	1,259.		
20 Occupancy	17,430.	17,430.		
21 Travel, conferences, and meetings	28,095.	20,934.		7,161.
22 Printing and publications	156.	156.		
23 Other expenses (attach schedule) See Statement 3	23,228.	22,370.		858.
24 Total operating and administrative expenses. Add lines 13 through 23	582,469.	406,801.		160,306.
25 Contributions, gifts, grants paid Part XV	1,787,191.			1,350,218.
26 Total expenses and disbursements. Add lines 24 and 25	2,369,660.	406,801.	0.	1,510,524.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-426,696.			
b Net investment income (if negative, enter -0-)		1,536,163.		
c Adjusted net income (if negative, enter -0-)			0.	

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ADMINISTRATIVE EXPENSES

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash — non-interest-bearing		35,736.	73,481.	73,481.
	2	Savings and temporary cash investments		863,830.	1,423,573.	1,423,573.
	3	Accounts receivable				
		Less: allowance for doubtful accounts		678.		
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)	10,485.			
		Less: allowance for doubtful accounts		17,865.	10,485.	10,485.
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		9,301.	3,250.	3,250.
	10a	Investments — U.S. and state government obligations (attach schedule)		5,636.	3,184.	3,278.
	b	Investments — corporate stock (attach schedule)		18,064,730.	17,897,889.	26,453,239.
	c	Investments — corporate bonds (attach schedule)		5,131,514.	4,541,926.	4,625,358.
	11	Investments — land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments — mortgage loans					
13	Investments — other (attach schedule)		44,241.	38,223.	38,223.	
14	Land, buildings, and equipment: basis	202,612.				
	Less: accumulated depreciation (attach schedule) See Stmt 4	202,612.	1,259.			
15	Other assets (describe)					
16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)		24,174,790.	23,992,011.	32,630,887.	
LIABILITIES	17	Accounts payable and accrued expenses		6,684.	1,237.	
	18	Grants payable		1,102,007.	1,352,616.	
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe) See Statement 5		1,773.	528.	
	23	Total liabilities (add lines 17 through 22)		1,110,464.	1,354,381.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.		☒			
	24	Unrestricted		23,064,326.	22,637,630.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.		☐			
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, building, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		23,064,326.	22,637,630.	
	31	Total liabilities and net assets/fund balances (see instructions)		24,174,790.	23,992,011.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	23,064,326.
2	Enter amount from Part I, line 27a	2	-426,696.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	22,637,630.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	22,637,630.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a See Schedule: Capital Gains/(Losses)		P	Various	Various
b Securities Litigation: Recovery		P	Various	Various
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,712,578.		8,525,287.	1,187,291.
b 498.			498.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			1,187,291.
b			498.
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	1,187,789.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).	If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	1,349,175.	27,945,398.	0.048279
2010	1,404,232.	28,207,836.	0.049782
2009	1,383,863.	27,727,013.	0.049910
2008	1,117,898.	23,094,723.	0.048405
2007	1,517,436.	29,931,858.	0.050696

2 Total of line 1, column (d)	2	0.247072
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.049414
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	30,045,826.
5 Multiply line 4 by line 3	5	1,484,684.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	15,362.
7 Add lines 5 and 6	7	1,500,046.
8 Enter qualifying distributions from Part XII, line 4	8	1,510,524.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	15,362.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	15,362.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	15,362.
6 Credits/Payments			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6 a	14,801.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	14,801.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	561.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) TX		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>www.tocker.org</u>	13	X	
14	The books are in care of <u>Darryl Tocker</u> Telephone no. <u>(512) 452-1044</u> Located at <u>3814 Medical Pkwy Austin TX</u> ZIP + 4 <u>78756-4002</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If 'Yes,' list the years <u>20</u> <u>20</u> <u>20</u> <u>20</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20</u> <u>20</u> <u>20</u> <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

N/A

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 6		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Darryl Tocker 3814 Medical Pkwy Austin, TX 78756	Exec. Dir. 60	215,000.	22,710.	0.

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
UBS Financial Services 5950 Sherry Ln., Suite 600 Dallas, TX 75225	Portfolio Management	83,897.
Luther King Capital Management 301 Commerce St. #1600 Fort Worth, TX 76102	Portfolio Management	60,672.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	29,341,687.
b Average of monthly cash balances	1b	1,147,955.
c Fair market value of all other assets (see instructions)	1c	13,735.
d Total (add lines 1a, b, and c)	1d	30,503,377.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	30,503,377.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	457,551.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	30,045,826.
6 Minimum investment return. Enter 5% of line 5	6	1,502,291.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	1,502,291.
2a Tax on investment income for 2012 from Part VI, line 5	2a	15,362.
b Income tax for 2012 (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	15,362.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	1,486,929.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	1,486,929.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,486,929.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	1,510,524.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,510,524.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	15,362.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,495,162.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII. Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7.				1,486,929.
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only			872,607.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e.	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ \$ 1,510,524.				
a Applied to 2011, but not more than line 2a			872,607.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2012 distributable amount				637,917.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions.		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount — see instructions.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				849,012.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

(4) Gross investment income

[illegible]

Form 990-PF (2012)

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i> See Attached Schedule #14 ,	N/A	public	See Attached Schedule	1,350,218.
Total			▶ 3a	1,350,218.
<i>b Approved for future payment</i>				
Total			▶ 3b	

Client 1

Tocker Foundation

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Statement 1
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Bank Fees	\$ 276.	\$ 276.		
Portfolio Fees	171,824.	171,824.		
Total	<u>\$ 172,100.</u>	<u>\$ 172,100.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 2
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Current Excise Tax.	\$ 15,362.			
Foreign Tax	5,891.	\$ 5,891.		
Property Tax	14,103.	14,103.		
Sales Tax	5.	5.		
Tax Penalty	267.	267.		
Total	<u>\$ 35,628.</u>	<u>\$ 20,266.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 3
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Insurance	\$ 9,882.	\$ 9,882.		
Other	13,346.	12,488.		\$ 858.
Total	<u>\$ 23,228.</u>	<u>\$ 22,370.</u>	<u>\$ 0.</u>	<u>\$ 858.</u>

Statement 4
Form 990-PF, Part II, Line 14
Land, Buildings, and Equipment

Category	Basis	Accum. Deprec.	Book Value	Fair Market Value
Furniture and Fixtures	\$ 14,482.	\$ 14,483.	\$ -1.	\$ 0.
Machinery and Equipment	17,713.	17,712.	1.	0.
Improvements	170,417.	170,417.	0.	0.
Total	<u>\$ 202,612.</u>	<u>\$ 202,612.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Client 1

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Statement 5
Form 990-PF, Part II, Line 22
Other Liabilities

Rent Deposit	\$	525.
Rounding		3.
Total	\$	528.

Statement 6
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compen- sation</u>	<u>Contri- bution to EBP & DC</u>	<u>Expense Account/ Other</u>
Robert Tocker 3814 Medical Pkwy Austin, TX 78756-4002	Chairman 0	\$ 0.	\$ 0.	\$ 0.
Barbara Tocker 3814 Medical Pkwy Austin, TX 78756-4002	Director 0	0.	0.	0.
Mel Kunze 3814 Medical Pkwy Austin, TX 78756	Director 0	0.	0.	0.
Brooke Sheldon (Dec'd) 3814 Medical Pkwy Austin, TX 78756	Director 0	0.	0.	0.
Darryl Tocker 3814 Medical Pkwy Austin, TX 78756	Executive Direc 0	0.	0.	0.
Total		\$ 0.	\$ 0.	\$ 0.

Statement 7
Form 990-PF, Part XV, Line 2a-d
Application Submission Information

Name of Grant Program:	Grant Application
Name:	Tocker Foundation
Care Of:	Karin Gerstenhaber
Street Address:	3814 Medical Pkwy
City, State, Zip Code:	Austin, TX 78756-4002
Telephone:	512-452-1044
E-Mail Address:	grants@tocker.org
Form and Content:	Tocker Foundation Application
Submission Deadlines:	January 15th & June 1st
Restrictions on Awards:	

2012

Federal Supporting Detail

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Client 1

Tocker Foundation

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Balance Sheet
Other (Form 990-PF)[O]

Accured Interest

Total	\$	38,223.
	\$	<u>38,223.</u>

Tocker Foundation

Form 990-PF Line 10 Year-End Investments

As of November 30, 2013
On Hand

Inventory

	Avg Cost	Asset Value	Sales Price	Retail Value
Gov (Government Securities)				
Managed Portfolio (Government Sec.)				
31390SDM0 (FNMA Pool 5 5% Due 9/1/2017)	1 03	3,183 65	1 05591	3,278 47
Total Government Securities		3,183 65		3,278.47
Bond Funds (Bond Equity Funds)				
UBS #1 (UBS #1 Bond Funds)				
TLT (ISHARES BARCLAYS 20+ YEAR TREAS BD FD)	106 30	124,799 01	115 75	122,624 30
Total UBS #1 (UBS #1 Bond Funds)		124,799 01		122,624 30
Bonds (Bonds)				
BOA (Banc of America Inv.)				
46625HHA1 (JP Morgan Chase Notes 7.9% 12/31/2049)	0 88	87,725 00	1 09936	109,936 00
Total BOA (Banc of America Inv)		87,725 00		109,936 00
Managed Fixed Inc (Managed Fixed Inc. Portfolio)				
00206RAV4 (AT&T Inc 2 5% mat 08/15/2015)	1 03	128,952 50	1 03	128,714 63
00724FAA9 (Adobe Syst 3 25% mat 2/1/2015)	1 03	77,054 50	1 03001	77,250 98
0258M0DA4 (American Express Cr. 2 75% mat 9/15/2015)	1 05	104,682 00	1 04	103,720 90
031162BJ8 (Amgen Inc 1 875% mat 11/15/2014)	1 02	25,457 75	1 01	25,318 55
031162BL3 (Amgen Inc 2 5% mat 11/15/2016)	1 03	138,428 35	1 03959	140,345 06
038222AE5 (Applied Materials 2.65% mat 06/15/2016)	1 06	126,641 80	1 04	124,995 96
05531FAK9 (BB&T Corp 2 15% mat 3/22/2017)	1 03	103,417 00	1 02	102,206 80
151020AD6 (Celgene Corp 2 45% due 10/15/2015)	1 01	100,750 50	1 03169	103,169 30
17275RAE2 (Cisco Systems 4 95% mat 2/15/2029)	1 04	104,286 00	1 13737	113,736 70
191216AL4 (Coca Cola 3 625% mat 3/15/2014)	1 02	101,612 50	1 005	100,500 00
25459HAY1 (DirecTV Hldgs 3 5% mat 3/1/2016)	1 04	103,946 00	1 05	105,229 70
277432AM2 (Eastman Chemical Corp)	1 03	103,369 75	1 02	102,048 00
278642AB9 (Ebay Inc 1 625% mat 10/15/2015)	1 02	127,772 50	1 02	127,712 13
29379VAS2 (Enterprise Prods 3 2% mat 2/1/2016)	1 06	132,063 75	1 05	131,185 13
302182AF7 (Express Scripts 3 125% mat 5/15/2016)	1 05	68,467 40	1 05	68,152 89

Tocker Foundation

Form 990-PF Line 10 Year-End Investments

As of November 30, 2013
On Hand

	Avg Cost	Asset Value	Sales Price	Retail Value
30219GAD0 (Express Scripts 2 65% mat 2/15/2017)	1.04	130,148 75	1 04	129,659 38
428236BP7 (Hewlett Packard 3% mat 9/15/2016)	1 03	154,646 50	1 04	156,102 30
428236BT9 (Hewlett Packard 2 625% mat 12/09/2014)	1 03	51,467 00	1 02	50,912 10
459200GJ4 (IBM Corp 5 7% mat 9/14/2017)	1 04	104,115 00	1 15677	115,677 10
46625HHW3 (JP Morgan Chase 2 6% mat 1/15/2016)	1 01	126,562 50	1 03	129,278 38
471109AD0 (Jarden Corp 7 5% mat 1/15/2020)	0 98	98,275 00	1 08	108,250 00
494550AR7 (Kinder Morgan Energy Partners 5 0% mat 12/15/2013)	1 02	101,547 00	1 00125	100,125 00
549271AE4 (Lubrizol Corp 5 5% mat 10/01/2014)	1 01	101,374 00	1 04241	104,240 90
581557AY1 (McKesson Corp 95% mat 12/04/2015)	1 01	50,264 50	1 00	50,044 90
58155QAC7 (McKesson Corp 3 25% mat 3/1/2016)	1 01	100,521 00	1 05	104,973 90
655044AA3 (Noble Energy Inc 5 25% due 4/15/2014)	0 95	47,658 50	1 01599	50,799 25
674599CA1 (Occidental Petroleum 1 45% mat 12/13/2013)	1 00	125,152 50	1 00	125,039 63
742718BG3 (Procter & Gamble Co 8% mat 09/01/2024)	1 30	129,767 00	1 3711	137,110 40
74432QAD7 (Prudential Financial 4 75% mat 4/1/2014)	1 05	94,112 80	1 01	91,248 48
74432QBL8 (Prudential Fin'l 3 875% mat 1/14/2015)	1 06	47,561 65	1 04	46,601 51
774341AA9 (Rockwell Collins 4 75% due 12/01/2013)	0 95	23,669 25	1 00012	25,003 05
776696AD8 (Roper Industries 1 85% mat 11/15/2017)	1 00	99,734 00	1 00	100,028 90
78387GAP8 (SBC Communications 5 1% due 9/15/2014)	1 01	125,857 50	1 03557	129,446 25
824348AN6 (Sherwin Williams 3 125% mat 12/15/2014)	1 00	75,241 75	1 02544	76,907 63
871503AJ7 (Symantec Corp 2 75% mat 6/15/2017)	1 03	128,672 50	1 02	127,998 13
911312AL0 (United Parcel 3 875% mat 4/1/2014)	1 03	128,928 75	1 01163	126,453 63
91159HBB9 (US Bancorp 2 2% mat 11/15/2016)	1 03	103,329 00	1 03	103,209 90
92343VBA1 (Verizo Comm Inc 1 95% mat 3/28/2014)	1 03	128,708 75	1 00	125,605 13
931422AJ8 (Walgreen Co 1 8% mat 9/15/2017)	1 02	127,131 25	1 01	125,672 88
947074AJ9 (Weatherford Int'l 6 35% mat 6/15/2017)	1 04	51,834 00	1 14344	57,171 75
94974BFE5 (Wells Fargo & Co 1 5% mat 7/1/2015)	1 02	152,380 00	1 01	152,054 55
959802AB5 (Western Union Co 5 93% mat 10/01/2016)	0 99	98,921 00	1 11792	111,792 20
959802AR0 (Western Union 2 875% mat 12/10/2017)	1 00	74,917 75	1 03	77,104 05
Total Managed Fixed Inc (Managed Fixed Inc Portfolio)		4,329,401 50		4,392,797 94
Total Bonds (Bonds)		4,305,000 00		4,502,733 94

Tocker Foundation

Form 990-PF Line 10 Year-End Investments

As of November 30, 2013
On Hand

Equity Funds (Equity Mutual Funds)

#1 UBS (#1 UBS Managed)

EPP (ISHARES INC MSCI PAC EX JAPAN INDEX FUND)	2,071 00	44 00	91,120 35	43 25	99,573 68
EWJ (ISHARES INC MSCI JAPAN INDEX FD)	13,688 00	11 48	157,185 41	11 00	165,077 28
MFPWSC G (SMA Relationship Trust Series G)	24,553 00	9 58	235,180 29	10 00	250,195 07
MFPWSC S (SMA Relationship Trust Series S)	23,513 00	9 30	218,638 85	9 59	306,844 65
SPY (Standard & Poors Dep Rcpts Unit Ser 1)	2,268 00	158 28	358,969 39	118 49	410,508 00
VGK (Vanguard MSCI European)	9,160 00	50 11	459,019 12	46 15	525,417 60
Total #1 UBS (#1 UBS Managed)	75,253 00		1,520,113 41		1,757,616 28

Banc of Amer. (Bank of America)

PHO (Powershares Global Water Port)	5,000 00	20 03	100,147 97	25 36	126,800 00
UTF (Cohen & Steers Infrastructure Fund)	5,000 00	18 03	90,151 38	20 13	100,650 00
Banc of Amer (Bank of America) - Other	0 00	0 00	0 00	0 00	0 00
Total Banc of Amer (Bank of America)	10,000 00		190,299 35		227,450 00

LKSCX (LKCM Small Cap Equity Fund)

	36,553 787	18 99	694,101 44	30 24	1,105,386 52
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Equity Funds (Equity Mutual Funds) - Other

Total Equity Funds (Equity Mutual Funds)	0 00	0 00	0 00	0 00	0 00
	121,806.787		2,404,514 20		3,090,452.80

Stocks (Common Stocks)

#1 UBS (UBS Managed Acct. #1)

AAIGF (AIA Group Ltd)	6,000 00	3 30	19,814 93	5 069	30,414 00
AAPL (Apple Computer Inc.)	195 00	255 32	49,787 15	556.07	108,433 65
ACOR (Acorda Therapeutics Inc)	387 00	28 18	10,904 58	34 81	13,471 47
ACXIF (Acciona SA)	158 00	83 52	13,196.45	61 262	9,679.40
ADBE (Adobe Systems Inc)	615 00	30 78	18,931.96	56 78	34,919 70
AEG (Aegon NV ADR)	2,443 00	8.49	20,734 47	8 88	21,693 84
AGN (Allergan Inc)	203 00	79 80	16,200 15	97 05	19,701 15
ALNY (Alnylam Pharmaceuticals Inc)	162 00	24 36	3,947 01	61 20	9,914.40
ALPMY (Astellas Pharma Inc.)	1,606 00	13 25	21,273 56	14 84	23,833 04

Tocker Foundation

Form 990-PF Line 10 Year-End Investments

As of November 30, 2013
On Hand

	Avg Cost	Asset Value	Sales Price	Retail Value
ALVOF (ALVOPETRO ENERGY LTD CAD)	487 00	11,259 93	11 541	5,620 47
ALXN (Alexion Pharmaceuticals Inc)	114 00	13,317 48	124 50	14,193 00
AMAT (Applied Materials, Inc)	714 00	12,545 62	17 30	12,352 20
AME (Ametek Inc)	210 00	8,637 20	49 22	10,336 20
AMZN (Amazon com Inc)	145 00	31,691 77	393 62	57,074 90
ANFI (Amira Nature Foods Ltd)	711 00	6,032 69	15 85	11,269 35
AON (AON Corp)	112 00	8,234 47	81 64	9,143 68
AOS (Smith A O Corp)	354 00	6,599 57	54 15	19,169 10
ARUN (Aruba Networks Inc)	279 00	5,812 92	17 84	4,977 36
ASBFY (Associated British Foods)	485 00	15,205 07	37 734	18,300 99
ASGLY (ASAHI Glass Japan)	2,286 00	21,663 85	6 43	14,698 98
ATRC (Articure Inc)	846 00	7,552 76	15 66	13,248 36
AV (Aviva PLC (Avaya Inc))	1,501 00	14,964 07	14 15	21,239 15
AWAY (Homeaway Inc)	205 00	6,357 11	36 50	7,482 50
AXP (American Express Co)	75 00	5,712 71	85 80	6,435 00
AZZ (AZZ Inc)	169 00	7,257 52	48 88	8,260 72
BAGL (Einstein Noah Restaurant Group)	482 00	7,700 43	15 99	7,707 18
BANR (Banner Corp)	262 00	5,713 86	43 46	11,386 52
BAX (Baxter Int'l Inc)	319 00	18,567 82	68 45	21,835 55
BAYRY (Bayer A G Spon ADR)	325 00	30,733 68	133 50	43,387 50
BBCN (BBCN Bancorp Inc)	1,224 00	13,597 49	16 69	20,428 56
BCSRT (Barclays PLC ADR)	1,511 00	24,012 33	17 78	26,865 58
BEAV (BE Aerospace Inc)	108 00	2,089 01	87 00	9,396 00
BECN (Beacon Roofing Supply)	245 00	9,298 04	37 18	9,109 10
BHI (Baker Hughes Inc)	685 00	34,522 26	56 96	39,017 60
BIG (Big Lots Inc)	232 00	8,323 70	38 33	8,892 56
BIIB (Biogen Idec Inc)	40 00	4,036 02	290 97	11,638 80
BIO (Bio Rad Laboratories Inc)	211 00	21,180 63	122 62	25,872 82
BLOX (Infoblox Inc)	213 00	4,265 67	31 78	6,769 14
BLUE (Bluebird Bio Inc)	54 00	1,370 44	20 42	1,102 68
BP (BP PLC Spon. ADR)	1,024 00	43,155 15	47 01	48,138 24
BRCM (Broadcom Corp Cl A)	964 00	28,311 49	26 69	25,729 16
BRDCY (Bridgestone Corp Ltd Japan)	956 00	11,742 11	18 26	17,456 56

Tocker Foundation Form 990-PF Line 10 Year-End Investments

As of November 30, 2013
On Hand

	Avg Cost	Asset Value	Sales Price	Retail Value
BRKL (Brookline Bankcorp Inc)	1,247 00	8 45	10,534 58	9 14
BURBY (Burberry Group PLC)	340 00	47 67	16,207.19	49 84
C (Citigroup Inc)	929 00	46 01	42,746 39	52 92
CAH (Cardinal Health Inc)	158 00	41 34	6,532 38	64 60
CCG (Campus Crest Communities)	943 00	11 26	10,617 02	9 95
CCI (Crown Castle Int'l Corp)	174 00	56 68	9,862 01	74.23
CDNS (Cadence Design System)	677 00	6 93	4,688 29	13 25
CENTA (Central Garden & Pet Co)	1,089 00	9 62	10,471 65	7.82
CHKP (Check Point Software Tech)	996 00	51 48	51,277 14	61 86
CICHY (China Constr Bk Corp)	1,553 00	16 90	26,247 57	16 23
CIR (Circor Int'l Inc)	124 00	46 06	5,710 98	79 33
CJES (C&J Energy Svcs Inc)	400 00	21 11	8,445 78	23 70
CLC (Clarcor Inc)	217 00	54 65	11,859 99	60 53
CMCSK (Comcast Corp)	758 00	27 86	21,118 36	49 87
CMI (Cummins Inc)	67 00	109 85	7,359 78	132 36
CMP (Compass Minerals Int'l Inc)	98 00	72 81	7,135 08	71 55
CNK (Cinemark Holdings Inc)	498 00	14 78	7,358.91	32 99
VCLK (Conversant (Value Click Inc))	393 00	18 73	7,361 55	21 40
COF (Capital One Financial Corp)	222 00	65 83	14,615.23	71 63
COH (Coach Inc)	199 00	60 56	12,052 26	57 90
COO (Cooper Companies Inc)	120 00	32.04	3,844 24	131 74
CP (Canadian Pacific Railway Ltd)	30 00	141 53	4,245 95	153 88
CRM (Salesforce com Inc.)	313 00	34.23	10,714 67	52 09
CROX (Crocs Inc)	498 00	15 41	7,674 72	13 80
CRRFY (Carrefour SA)	3,998 00	6.18	24,694 51	7 84
CSR (Credit Suisse Group Spon ADR)	792 00	28 60	22,651 76	29 90
CTRX (Catamaran Corp)	201 00	50 76	10,203 51	45 63
CXO (Concho Resources Inc)	96 00	97 89	9,397 92	103 93
CYN (City National Corp)	133 00	39.16	5,208.28	76 36
CYT (Cytec Industries Inc)	135 00	51 09	6,896 91	89 48
DB (Deutsche Bank AG)	388 00	48 42	18,785 57	48 33
DFRG (Del Frisco Restaurant Group)	318 00	19 40	6,168 79	21 17
DFS (Discover Financial Svc (M Stanley spinoff))	178 00	45 06	8,019.79	53 30

Tocker Foundation

Form 990-PF Line 10 Year-End Investments

As of November 30, 2013
On Hand

As of November 30, 2013					
	On Hand	Avg Cost	Asset Value	Sales Price	Retail Value
DG (Dollar General Corp)	207 00	45 12	9,339 67	56 94	11,786 58
DHR (Danaher Corp)	740 00	63 07	46,669 86	74 80	55,352 00
DLR (Digital Realty Trust Inc REIT)	393 00	58 06	22,816 84	47 24	18,565 32
DOW (Dow Chemical Co)	640 00	31 35	20,061 15	39 06	24,998 40
DWSN (Dawson Geophysical Co)	252 00	23 88	6,017 22	32 32	8,144 64
EBAY (Ebay Inc)	321 00	50 64	16,254 74	50 52	16,216 92
EBS (Emergent Biosolutions Inc)	786 00	15 76	12,384 04	22 45	17,645 70
ECOM (Channel Advisor Corp)	193 00	25 09	4,841 59	34 33	6,625 69
EIX (Edison Int'l)	261 00	47 02	12,271 69	46 21	12,060 81
EJPRY (East Japan Railway Co)	1,570 00	13 94	21,890 39	13 69	21,493 30
EL (Lauder Estee Cos Cl A)	185 00	53 43	9,885 03	74 96	13,867 60
EOG (EOG Resources Inc)	141 00	112 06	15,800 44	165 00	23,265 00
EONGY (E ON AG Spon ADR)	926 00	32 37	29,975 59	19 26	17,834 76
EPMZ (Epizyme Inc)	62 00	21 82	1,352 62	20 94	1,298 28
ESL (Esterline Technologies Corp)	106 00	60 71	6,434 73	88 02	9,330 12
EVER (Everbank Financial Corp)	452 00	10 96	4,953 65	17 07	7,715 64
EVHC (Envision Healthcare Hldgs)	356 00	25 29	9,004 49	29 62	10,544 72
EVR (Evercore Partners Inc)	242 00	28 96	7,009 49	54 85	13,273 70
EWBC (East West Bancorp Inc)	477 00	17 26	8,231 44	34 28	16,351 56
FB (Facebook Inc)	367 00	31 97	11,731 31	47 01	17,252 67
FLTX (Fleetmatics Group)	157 00	39 84	6,255 01	38 70	6,075 90
FNSR (Finisar Corp com)	330 00	20 87	6,886 28	20 69	6,827 70
FRAN (Francescas Hldgs Corp)	522 00	23 59	12,312 29	19 62	10,241 64
FSL (Freescale Semiconductor Ltd)	417 00	10 65	4,439 30	14 56	6,071 52
FTI (FMC Technologies)	90 00	45 96	4,136 06	48 10	4,329 00
FUL (H B Fuller Co)	325 00	32 87	10,681 96	51 23	16,649 75
GB (Great Batch Inc)	402 00	23 49	9,441 49	40 63	16,333 26
GBDC (Golub Capital BDC Inc)	564 00	14 62	8,246 81	18 81	10,608 84
GD (General Dynamics Corp)	306 00	67 09	20,529 62	91 66	28,047 96
GILD (Gilead Sciences, Inc)	534 00	25 10	13,403 25	74 81	39,948 54
GIVN (Given Imaging Ltd)	388 00	14 54	5,643 07	23 41	9,083 08
GLCNF (Glencore Xstrata PLC)	4,023 00	4 76	19,134 19	5 08	20,416 73
GM (General Motors Corp Class H)	790 00	35 76	28,249 75	38 73	30,596 70

Tocker Foundation

Form 990-PF Line 10 Year-End Investments

As of November 30, 2013
On Hand

	Avg Cost	Asset Value	Sales Price	Retail Value
GOOG (Google Inc Cl A)	29 00	17,563 74	1,059 59	30,728 11
GWRE (Gudewire Software Inc)	199 00	5,901 53	47 73	9,498 27
HAIN (Hain Celestial Group Inc)	79 00	6,302 72	82 69	6,532 51
HAL (Halliburton Co)	777 00	26,490 89	52 68	40,932 36
HDELY (Heidelberg Cement ADR)	1,505 00	18,185 10	15 63	23,523 15
HES (Hess Corp)	375 00	23,013 77	81 13	30,423 75
HI (Hillenbrand Inc)	301 00	7,644 32	28 10	8,458 10
HINKY (Heineken)	562 00	16,068 91	34 10	19,163 64
HSP (Hospira Inc)	525 00	17,335 52	39 31	20,637 75
HTHIY (Hitachi Ltd ADR)	289 00	21,005 47	73 75	21,313 75
HTZ (Hertz Global Holdings)	1,648 00	30,590 12	24 26	39,980 48
HUBG (HUB Group Inc)	285 00	7,484 15	37 63	10,724 55
IFNNY (Infineon Technologies ADR)	2,149 00	18,228 06	10 13	21,769 37
INVN (Invensense Inc)	386 00	5,301 24	17 29	6,673 94
IP (International Paper Co)	307 00	11,556 63	46 65	14,321 55
IPXL (Impax Laboratories Inc)	480 00	8,668 31	24 04	11,539 20
IRG (Ignite Restaurant Group Inc)	514 00	7,116 87	12 35	6,347 90
ISNPY (Intesa Sampaolo Spon ADR)	1,582 00	23,441 21	14 49	22,923 18
ISRG (Intuitive Surgical Inc)	33 00	15,786 95	376 90	12,437 70
ITOCY (ITOCYU Corp)	1,176 00	24,447 02	25 39	29,858 64
ITW (Illinois Tool Works Inc)	422 00	23,915 25	79 58	33,582 76
ITYBY (Imperial Tobacco Group)	443 00	29,212 08	76 42	33,854 06
IVZ (Invesco LTD)	372 00	8,561 77	34 85	12,964 20
IX (ORIX Corp)	380 00	16,314 54	91 62	34,815 60
JBL (Jabil Circuit Inc)	685 00	15,322 83	20 27	13,884 95
JCP (Penney J.C. Co. Inc.)	999 00	16,473 51	10 19	10,179 81
JPM (JP Morgan Chase & Co)	788 00	34,802 73	57 22	45,089 36
K (Kellogg Co)	224 00	14,434 41	60 64	13,583 36
KDDIY (KDDI Corp ADR)	1,648 00	12,734 13	15 68	25,840 64
KDSKF (Koninklijke DSM)	367 00	20,551 73	78 66	28,866 75
KGPHY (Kingfisher PLC)	1,493 00	18,079 18	12 39	18,498 27
KOG (Kodiak Oil & Gas Corp)	1,205 00	10,595 86	11 34	13,664 70
KORS (Michael Kors Hldgs Ltd)	168 00	9,268 49	81 55	13,700 40

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	Avg Cost	Asset Value	Sales Price	Retail Value
LBTYA (Liberty Global Inc Cl A)	96 00	6,906 03	85 81	8,237 76
LHO (Lasalle Hotel Properties SBI)	401 00	9,781 42	31 32	12,559 32
LLY (Lilly Eli & Co)	365 00	18,516 32	50 22	18,330 30
LMIA (LMI Aerospace Inc)	410 00	8,667 88	12 69	5,202 90
LNC (Lincoln National Corp)	573 00	17,465 60	51 33	29,412 09
LDNF (Lundin Petroleum)	513 00	10,515 24	20 869	10,705 80
LULU (Lululemon Athletica Inc)	145 00	10,297 75	69 72	10,109 40
LVS (Las Vegas Sands Corp)	199 00	9,204 24	71 68	14,264 32
LXFR (Luxfer Hldgs PLC)	429 00	7,375 72	18 77	8,052 33
LXRX (Lexicon Pharmaceutical)	3,167 00	7,084 53	2 40	7,600 80
M (Macy's Inc)	394 00	15,254 44	53 26	20,984 44
MA (Mastercard Inc Cl A)	18 00	7,937 15	760 81	13,694 58
MDLZ (Mondelez Int'l Inc)	1,704 00	47,816 35	33 53	57,135 12
MDR (McDermott Int'l Inc)	2,339 00	22,596 88	8 15	19,062 85
MET (MetLife Inc)	392 00	16,632 26	52 19	20,458 48
MHK (Mohawk Industries Inc)	57 00	6,000 11	140 02	7,981 14
MITEY (Mitsubishi Estate Ltd)	847 00	24,214 72	27 95	23,673 65
MLNX (Mellanox Technologies)	419 00	16,889 30	38 94	16,315 86
MMM (3M Co)	196 00	23,606 78	133 51	26,167 96
MNK (Mallinckrodt Pub Ltd)	190 00	8,485 37	51 96	9,872 40
MNST (Monster Beverage Corp)	190 00	11,463 15	59 18	11,244 20
MON (Monsanto Co)	198 00	21,872 94	113 33	22,439 34
MOV (Movado Group Inc)	374 00	8,187 78	45 52	17,024 48
MS (Morgan Stanley)	1,173 00	23,782 65	31 30	36,714 90
MTN (Vail Resorts Inc)	177 00	7,768 44	75 79	13,414 83
MTU (Mitsubishi UFJ Financial Group)	5,224 00	24,148 61	6 49	33,903 76
MTZ (Mastec Inc)	374 00	5,598 58	31 65	11,837 10
MU (Micron Technology Inc)	1,046 00	7,367 62	21 10	22,070 60
NDSN (Nordson Corp)	119 00	4,960 35	72 12	8,582 28
NE (Noble Corp)	565 00	17,944 40	38 12	21,537 80
NEE (Nextera Energy Inc)	144 00	10,713 15	84 59	12,180 96
NICE (Nice Systems Ltd)	182 00	6,490 10	39 36	7,163 52
NIHD (Nii Holdings Inc)	3,902 00	34,420 93	2 54	9,911 08

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	Avg Cost	Asset Value	Sales Price	Retail Value
NKE (Nike Inc)	202 00	9,970 25	79 14	15,986 28
NOW (Service Now Inc)	118 00	3,589 87	53 11	6,266 98
NSC (Norfolk Southern Corp)	429 00	30,336 58	87 69	37,619 01
NSRGY (Nestle Spon ADR)	710 00	44,559 27	73 11	51,908 10
NTAP (NetApp Inc)	359 00	13,805 10	41 25	14,808 75
NTGR (Netgear Inc)	250 00	4,902 78	32 11	8,027 50
NVS (Novartis AG Spon ADR)	652 00	39,494.37	79 12	51,586 24
NXPI (NXP Semiconductors)	424 00	11,344 51	42 50	18,020 00
ONNN (On Semiconductor Corp)	1,276 00	8,415.29	7 09	9,046 84
PB (Prosperity Bancshares Inc)	185 00	6,898 85	64 13	11,864 05
PCG (PG&E Corp)	618 00	28,195 30	40 37	24,948 66
PCLN (Priceline com Inc)	19 00	10,522 25	1,192 33	22,654 27
PCP (Precision Castparts Corp)	72 00	13,781 82	258 45	18,608 40
PCRFY (Panasonic Corp)	2,460 00	22,047.33	11.48	28,240 80
PDCO (Patterson Companies Inc)	210 00	4,511 38	41 49	8,712 90
PEUGY (Peugeot S A)	1,283 00	19,469 55	16 00	20,528 00
PFLT (Pennant Park Floating Rate CA)	546 00	7,904 98	13 84	7,556 64
PGR (Progressive Corp Ohio)	168 00	3,834 62	27 93	4,692 24
PH (Parker Hannifin Corp)	228 00	21,826 45	117 84	26,867 52
PM (Philip Morris Int'l)	421 00	38,328 60	85 54	36,012 34
PNNT (Pennantpark Invst Corp)	1,018 00	10,663.48	12 17	12,389 06
PUK (Prudential PLC ADR)	709 00	14,999 09	42 74	30,302 66
QCOM (Qualcomm Inc)	233 00	13,100 41	73 58	17,144 14
QLYS (Qualys Inc)	465 00	5,797 43	24 72	11,494 80
RDEN (Elizabeth Arden Inc)	206 00	7,923 95	39 53	8,143 18
RIO (Rio Tinto PLC Spon ADR)	555 00	32,109 85	53 05	29,442 75
RL (Polo Ralph Lauren Corp)	182 00	25,558 97	175 23	31,891 86
RLGY (Realogy Hldgs Corp)	176 00	8,912 99	47 39	8,340 64
RLOC (ReachLocal Inc)	506 00	7,628 12	12 65	6,400 90
RP (Realpage Inc Com)	226 00	3,346 46	22 41	5,064 66
RYAAY (Ryanair Holdings Plc)	367 00	11,179.56	48 025	17,625 18
SAN (Banco Santander SA Spon ADR)	3,828 00	34,694 70	8 94	34,222 32
SAXPY (Sampo Oyj ADR)	1,348 00	18,518 28	23.34	31,462 32

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	Avg Cost	Asset Value	Sales Price	Retail Value
SBGSY (Schneider Elec SA ADR)	1,427 00	18,405 34	16 92	24,144 84
SBMRV (Sabmiller PLC)	425.00	18,481 13	51 99	22,095 75
SBUX (Starbucks Corp)	204 00	12,323 66	81 46	16,617 84
SGPYV (Sage Group PLC)	801 00	13,964 28	22 74	18,214 74
SGSOY (SGS SA ADR)	862 00	14,701 26	22 50	19,395 00
SHECV (Shin Etsu Chemical Co Ltd)	1,708 00	21,450 00	14 48	24,731 84
SHPGY (Shire PLC)	185.00	17,217 54	135 81	25,124 85
SHW (Sherwin Williams Co)	61 00	6,904 04	183 03	11,164 83
SLB (Schlumberger LTD)	125 00	9,707 29	88 42	11,052 50
SLH (Solera Holdings Inc)	99 00	2,800 34	66 75	6,608 25
SLXP (Salix Pharmaceuticals Ltd)	158 00	8,484 90	84 81	13,399 98
SREV (Service Source Int'l)	2,204 00	18,748 83	9 71	21,400 84
SSDOY (Shiseido Co Ltd)	1,539 00	23,110 99	17 08	26,286 12
SSNC (SS&C Technologies Hldgs Inc)	259 00	4,277 93	43 11	11,165 49
STE (Steris Corp)	202 00	5,606 46	46 14	9,320 28
SU (Suncor Energy Inc New)	537 00	18,811 84	34 68	18,623 16
SUSS (Susser Holdings Corp)	254 00	12,880 45	64 02	16,261 08
SVNDY (Seven & I Hldgs Co)	310.00	18,152 27	73 95	22,924 50
SYMC (Symantec Corp)	981 00	16,773 50	22 49	22,062 69
TCBI (Texas Capital Bancshares)	212 00	9,688 10	56.17	11,908 04
TCK (Teck Resources Ltd)	398 00	13,124 61	24 21	9,635 58
TELNY (Telenor ASA ADR)	493 00	20,213 51	72 27	35,629 11
TEN (Tenneco Inc)	349 00	9,216.19	57.40	20,032 60
TEVA (Teva Pharmaceuticals Ind)	222 00	9,535 38	40 76	9,048 72
THKLY (THK Co Ltd)	1,927 00	16,341 22	12 08	23,278 16
TKOMY (Tokio Marine Holdings Inc)	566.00	13,731 57	33 41	18,910 06
TM (Toyota Motor Corp New)	393 00	33,609 82	125 05	49,144 65
TREX (Trex Co Inc)	212 00	9,475 13	72 39	15,346 68
TRNX (Torner NV Eur)	351 00	6,026 95	18 09	6,349.59
TWX (Time Warner Inc)	393 00	17,855 62	65.71	25,824.03
UNH (Unitedhealth Group Inc.)	315 00	15,508 67	74 48	23,461 20
UNP (Union Pacific Corp)	75.00	8,750 93	162 04	12,153 00
USB (US Bancorp)	631 00	19,252 32	39 22	24,747.82

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	Avg Cost	Asset Value	Sales Price	Retail Value
UTX (United Technologies Corp)	119 00	10,128 63	110 86	13,192 34
V (VISA Inc)	74 00	6,693 74	203 46	15,056 04
VIAB (Viacom Inc New Cl B)	254 00	13,696.37	80 17	20,363 18
VMW (VMWare)	157 00	13,481 74	80 63	12,658 91
VOD (Vodafone Group PLC)	1,148 00	30,056 36	37 09	42,579 32
WEFIF (Westfield Group Stapled Security)	1,522 00	14,542.46	9 50	14,465 09
WFC (Wells Fargo & Co)	286 00	9,012 94	44 02	12,589 72
WM (Waste Management)	402 00	15,320 56	45 68	18,363 36
WSO (Watsco Inc)	131 00	7,706 56	95 96	12,570 76
WTKWY (Wolters Kluwer NV)	734 00	14,819 09	28 20	20,698 80
YELP (Yelp Inc)	122 00	7,884 65	60 69	7,404 18
YUM (YUM! Brands Inc)	334 00	24,716 00	77 68	25,945 12
Total #1 UBS (UBS Managed Acct #1)	158,651.00	3,676,978 39		4,661,133.44

Bahl & Gaynor (Bahl & Gaynor Investment Mgmt)

AAPL (Apple Inc)	35 00	419 50	14,682 46	556 07	19,462 45
ABBV (Abbvie Inc)	350 00	36 08	12,629.56	48 45	16,957 50
ADI (Analog Devices, Inc)	275 00	40 91	11,251 26	48 22	13,260 50
ADP (Automatic Data Processing)	365 00	60 76	22,176 29	80 02	29,207 30
AJG (Arthur J Gallagher & Co)	355 00	37 07	13,160 21	46 54	16,521 70
BAX (Baxter Int'l Inc)	220 00	60 85	13,386 14	68 45	15,059 00
BCE (BCE Inc)	395 00	43 97	17,368 13	44 19	17,455 05
BLK (Blackrock Inc)	40 00	182 46	7,298 40	302 75	12,110 00
BMJ (Bristol Myers Squibb)	300 00	32 95	9,883 80	51 38	15,414 00
CFR (Cullen Frost Bankers)	335 00	72 00	24,120 03	71 82	24,059 70
CSCO (Cisco Systems Inc)	1,185 00	20 22	23,962.39	21 25	25,181 25
CVX (Chevron Corporation)	235 00	116 25	27,318.39	122 44	28,773 40
EMR (Emerson Electric Co)	395 00	50 77	20,053 82	66 99	26,461 05
GE (General Electric)	1,250 00	22 29	27,866.49	26 66	33,325 00
GPC (Genuine Parts Co)	160 00	62 35	9,976 00	82 84	13,254 40
GSK (Glaxo Smith Kline PLC)	325 00	46 69	15,174 34	52 92	17,199 00
HCN (Health Care REIT Inc)	345 00	59 48	20,520 92	55 99	19,316 55
HCP (HCP Inc)	480 00	45 93	22,045 49	36 77	17,649 60

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	Avg Cost	Asset Value	Sales Price	Retail Value
INTL (Intel Corp)	1,200 00	27,707 63	23 84	28,608 00
JNJ (Johnson & Johnson)	390 00	27,386 24	94 66	36,917 40
KMB (Kimberly Clark Corp)	315 00	27,113 97	109 16	34,385 40
KMI (Kinder Morgan Inc)	250 00	9,001 45	35 54	8,885 00
KO (Coca-Cola Company)	475 00	18,311 25	40 19	19,090 25
KRFT (Kraft Foods Group)	500 00	23,248 11	53 12	26,560 00
LMT (Lockheed Martin Corp)	180 00	22,326 46	141 67	25,500 60
MAT (Mattel Incorporated)	535 00	18,930 60	46 27	24,754 45
MCD (McDonalds Corp)	370 00	34,420 39	97 37	36,026 90
MO (Altria Group Inc)	530 00	17,593 35	36 98	19,599 40
MRK (Merck & Co)	454 00	18,194 46	49 83	22,622 82
MSFT (Microsoft Corp)	685 00	18,779 75	38 13	26,119 05
NEE (Nextera Energy Inc)	110 00	7,423 47	84 59	9,304 90
NVS (Novartis)	285 00	17,187 64	79 12	22,549 20
O (Reality Income Corp REIT)	190 00	7,895 98	38 11	7,240 90
OKE (Oneok Inc)	540 00	25,314 46	58 07	31,357 80
PAYX (Paychex Inc)	300 00	12,104 16	43 73	13,119 00
PG (Procter & Gamble Co.)	280 00	19,426 40	84 22	23,581 60
PM (Phillip Morris Int'l)	281 00	20,710 86	85 54	24,036 74
QCOM (Qualcomm Inc)	325 00	20,724 39	73 58	23,913 50
SE (Spectra Energy Corp)	455 00	15,309 34	33 55	15,265 25
T (AT&T Inc. Com (SBC))	375 00	14,175 00	35 21	13,203 75
TUP (Tupperware Corp)	115 00	8,920 91	91 34	10,504 10
USB (US Bancorp)	675 00	23,640 93	39 22	26,473 50
VTR (Ventas Inc)	130 00	10,566 57	56 83	7,387 90
WEC (Wisconsin Energy Corp)	445 00	18,821 32	41 77	18,587 65
WMB (Williams Companies Inc.)	735 00	24,960 60	35 22	25,886 70
Bahl & Gaynor (Bahl & Gaynor Investment Mgmt) - Other	0 00	0 00	0 00	0 00
Total Bahl & Gaynor (Bahl & Gaynor Investment Mgmt)	18,170 00	823,069 81		942,149 21
BoA (Banc of America Inv. Svcs.)				
ABBV (Abbvie Inc)	800 00	19,803 40	48 45	38,760 00
AAPL (Apple Computer Inc)	800 00	200,882 95	556 07	444,856 00

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	Avg Cost	Asset Value	Sales Price	Retail Value
ARUN (Aruba Networks Inc)	1,000 00	25,712 63	17 84	17,840 00
BA (Boeing Co)	750 00	38,670 26	134 25	100,687 50
BP (British Petroleum PLC)	1,900 00	100,264 80	47 01	89,319 00
BRCM (Broadcom Corp)	1,600 00	51,740 47	26 69	42,704 00
BRKA (Berkshire Hathaway Inc)	2 00	222,955 00	174,750 00	349,500 00
CAG (Conagra Foods Inc)	1,500 00	32,532 52	32 99	49,485 00
CAT (Caterpillar Inc)	750 00	37,348 29	84 60	63,450 00
CERN (Cerner Corp)	1,000 00	48,754 39	57 47	57,470 00
CNP (Centerpoint Energy Inc)	5,500 00	84,194 17	23 43	128,865 00
COP (Conoco Phillips)	1,000 00	65,832 21	72 80	72,800 00
CPSI (Computer Programs & Systems)	1,000 00	53,059 60	61 52	61,520 00
CRUS (Cirrus Logic Inc)	900 00	31,898 62	20 18	18,162 00
CVX (ChevronTexaco Corp)	1,200 00	80,572 03	122 44	146,928 00
D (Dominion Resources Inc)	800 00	34,557 36	64 91	51,928 00
DE (Deere & Company)	800 00	47,446 19	84 24	67,392 00
DUK (Duke Energy Corp)	1,000 00	48,930 51	69 96	69,960 00
EMR (Emerson Electric Co)	1,000 00	45,246 01	66 99	66,990 00
First Financial Corp Tex	168 00	worthless sec	worthless sec	0 00
FCX (Freeport-McMoran Copper)	1,000 00	34,343 10	34 69	34,690 00
GD (General Dynamics Corp)	900 00	57,909 51	91 66	82,494 00
GE (General Electric Co)	1,000 00	12,822 94	26 66	26,660 00
GLW (Corning Inc)	2,000 00	26,673 54	17 08	34,160 00
HON (Honeywell Int'l Inc)	300 00	10,865 07	88 51	26,553 00
HSP (Hospira Inc)	2,000 00	58,877 00	39 31	78,620 00
INTX (Intersections Inc)	2,500 00	25,998 80	7 74	19,350 00
IP (International Paper)	1,300 00	59,389 35	46 65	60,645 00
JNJ (Johnson & Johnson)	1,500 00	92,228 25	94 66	141,990 00
KEYW (Keyw Hldg)	2,200 00	24,706 50	12 39	27,258 00
KMB (Kimberly Clark)	1,450 00	92,631 66	109 16	158,282 00
KO (Coca-Cola Co)	1,200 00	32,456 64	40 19	48,228 00
LMT (Lockheed Martin Corp)	750 00	59,560 90	141 67	106,252 50
MO (Altria Group Inc)	1,600 00	17,218 95	36 98	59,168 00
MRK (Merck & Co)	2,000 00	68,993 68	49 83	99,660 00

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	Avg Cost	Asset Value	Sales Price	Retail Value
NVO (Novo Nordisk ADR)	400.00	27,938.75	178.73	71,492.00
NWL (Newell Rubbermaid Inc)	3,000.00	43,977.50	30.35	91,050.00
PAYX (Paychex Inc.)	1,100.00	42,878.12	43.73	48,103.00
PEP (Pepsico Inc)	800.00	49,254.37	84.46	67,568.00
PM (Philip Morris Int'l)	1,500.00	36,777.75	85.54	128,310.00
POR (Portland Gen Elec Co)	1,500.00	41,864.74	29.81	44,715.00
PX (Praxair Inc)	800.00	61,682.88	126.26	101,008.00
QCOM (QualComm)	1,200.00	48,233.57	73.58	88,296.00
SCCO (Southern Copper Corp)	1,414.00	50,742.58	25.10	35,491.40
SE (Spectra Energy Corp)	1,500.00	36,433.06	33.55	50,325.00
SNY (Sanofi-Aventis Spon ADR)	1,000.00	42,451.13	52.83	52,830.00
T (AT&T Corp)	2,500.00	100,460.38	35.21	88,025.00
TGT (Target Corp)	750.00	34,926.27	63.93	47,947.50
UPS (United Parcel Service B)	1,000.00	73,807.57	102.38	102,380.00
WIN (Windstream Corp Com)	5,250.00	50,098.27	8.07	42,367.50
WM (Waste Management Inc)	2,000.00	74,213.55	45.68	91,360.00
XOM (Exxon Mobil Corp)	1,000.00	81,166.06	93.48	93,480.00
Total BoA (Banc of America Inv Svcs)	71,884.00	2,841,983.85		4,187,375.40

LKCM (Luther King Capital Mgmt)

AAPL (Apple Inc)	600.00	51,526.77	556.07	333,642.00
ABBV (Abbvie Inc)	2,000.00	42,983.47	48.45	96,900.00
ABT (Abbott Laboratories)	2,000.00	42,983.47	38.19	76,380.00
ADBE (Adobe Systems Inc)	6,000.00	188,875.00	56.78	340,680.00
AKAM (Akamai Technologies)	7,500.00	158,783.95	44.72	335,400.00
AMZN (Amazon com Inc)	700.00	141,392.15	393.62	275,534.00
APC (Anadarko Petroleum Corp)	2,500.00	107,479.20	88.82	222,050.00
AVP (Avon Products Inc)	6,000.00	172,979.80	17.83	106,980.00
AXP (American Express Co)	2,600.00	106,157.85	85.80	223,080.00
CE (Celanese Corp)	3,800.00	163,524.85	56.13	213,294.00
CELG (Celgene Corp)	2,500.00	145,302.18	161.77	404,425.00
CFBI (Cullen Frost Bankers Inc)	2,000.00	24,125.00	71.82	143,640.00
CCFN (Capitol Fed Financial Inc)	12,500.00	145,793.95	12.06	150,750.00

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	Avg Cost	Asset Value	Sales Price	Retail Value
CL (Colgate Palmolive Co)	4,000 00	38,842 50	65 81	263,240 00
CNK (Cinemark Holdings Inc)	7,500 00	119,772 75	32 99	247,425 00
COV (Covidien PLC)	3,000 00	107,558 60	68 26	204,780 00
CVS (CVS Caremark Corp)	4,000 00	99,160 00	66 96	267,840 00
DHR (Danaher Corp)	4,000 00	106,863 35	74 80	299,200 00
EMC (EMC Corporation)	10,000 00	161,859 30	23 85	238,500 00
ESRX (Express Scripts Hldg Co)	4,000 00	225,962 15	67 35	269,400 00
GE (General Electric Co)	6,000 00	110,047 78	26 66	159,960 00
GPOR (Guilford Energy CP)	8,800 00	186,654 73	58 43	514,184 00
HD (Home Depot Inc)	4,000 00	62,183 81	80 67	322,680 00
JEC (Jacobs Engineering Group)	4,500 00	186,959 45	59 77	268,965 00
JPM (J P Morgan Chase & Co)	3,036 00	92,644 00	57 22	173,719 92
KMB (Kimberly-Clark Corp)	2,000 00	82,033 63	109 16	218,320 00
KO (Coca Cola Co)	6,000 00	134,146 50	40 19	241,140 00
KRFT (Kraft Foods Group Inc)	3,500 00	158,676 20	53 12	185,920 00
KSS (Kohl's Corp)	4,500 00	235,563 65	55 28	248,760 00
MDLZ (Mondelez Int'l Inc)	6,000 00	165,869 95	33 53	201,180 00
MET (MetLife Inc)	6,000 00	224,453 79	52 19	313,140 00
MNK (Mallinckrodt Pub)	375 00	10,304 80	51 96	19,485 00
MON (Monsanto Co)	2,000 00	142,585 55	113 33	226,660 00
MRK (Merck & Co Inc)	5,400 00	238,879 03	49 83	269,082 00
NTAP (NetApps Inc)	4,800 00	190,617 43	41 25	198,000 00
PEP (Pepsico Inc)	1,500 00	41,493 65	84 46	126,690 00
PETM (PetSmart Inc)	1,500 00	41,889 08	74 11	111,165 00
PFE (Pfizer Inc)	7,500 00	128,482 45	31 73	237,975 00
PG (Proctor & Gamble Co)	2,437 00	89,495 27	84 22	205,244 14
PRU (Prudential Financial Inc)	3,500 00	164,992 65	88 76	310,660 00
RRC (Range Resources Corp)	3,000 00	74,928 58	77 65	232,950 00
SLB (Schlumberger Ltd)	1,500 00	61,253 95	88 42	132,630 00
T (AT&T Communications Inc (SBC))	4,000 00	100,854 40	35 21	140,840 00
TIF (Tiffany & Co)	3,000 00	168,699 55	89 14	267,420 00
TWX (Time Warner Inc.)	5,200 00	159,579 79	65 71	341,692 00
UPS (United Parcel Service B)	1,500 00	111,818 90	102 38	153,570 00

Tocker Foundation

Form 990-PF Line 10 Year-End Investments

As of November 30, 2013
On Hand

	Avg Cost	Asset Value	Sales Price	Retail Value
USB (U S Bancorp Del New)	6,500 00	148,139 25	39 22	254,930 00
VOD (Vodafone Group ADR)	6,200 00	184,511 03	37 09	229,958 00
VZ (Verizon Communications)	4,500 00	229,565 09	49 62	223,290 00
WMB (Williams Cos Inc)	6,500 00	124,726 74	35 22	228,930 00
WU (Western Union Co)	6,500 00	105,078 85	16 67	108,355 00
XOM (Exxon Mobil Corp)	2,000 00	37,764 47	93 48	186,960 00
XRAY (Dentsply Intern'l Inc)	4,400 00	128,942 00	47 56	209,264 00
ZION (Zions Bancorp)	9,500 00	195,012 97	29 33	278,635 00
Total LKCM (Luther King Capital Mgmt)	234,848 00	6,870,775 26		12,255,494 06

Private (Private Placements)

Gen Band (General Bandwidth)	17,962 00	50,000 46	2 78367	50,000 28
AIC Investments Private REIT	0 00	493,228 69	0 00	493,228 69
Total Private (Private Placements)	17,962 00	543,229 15		543,228 97

Wachovia (Pru) (Wachovia Securities)

ABBV (Abbvie Inc)	354 00	15,764 19	44 53	48 45	17,151 30
AEP (American Electric Power Inc)	281 00	12,983 83	46 21	47 06	13,223 86
BMJ (Bristol Myers Squibb Co)	398 00	18,106 17	45 49	51 38	20,449 24
CAG (Conagra Foods Inc)	321 00	11,715 22	36 50	32 99	10,589 79
COP (Conoco Phillips)	212 00	13,728 27	64 76	72 80	15,433 60
CSCO (Cisco Systems Inc)	705 00	17,852 16	25 32	21 25	14,981 25
D (Dominion Restaurants Inc)	237 00	13,893 41	58 62	64 91	15,383 67
DD (Du Pont E I De Nemors & Co)	319 00	17,314 43	54 28	61 38	19,580 22
DEO (Diageo PLC)	110 00	13,348 50	121 35	127 67	14,043 70
DOV (Dover Corp)	163 00	13,214 57	81 07	90 74	14,790 62
GD (General Dynamics Corp)	183 00	15,189 57	83 00	91 66	16,773 78
GPC (Genuine Parts Co)	154 00	13,027 78	84 60	82 84	12,757 36
GSK (Glaxosmithkline PLC)	318 00	16,820 16	52 89	52 92	16,828 56
HCN (Health Care REIT Inc)	152 00	10,348 77	68 08	55 99	8,510 48
HCP (HCP Inc)	285 00	13,198 92	46 31	36 77	10,479 45
HD (Home Depot Inc)	378 00	30,471 18	80 61	80 67	30,493 26
INTC (Intel Corp)	862 00	20,495 52	23 78	23 84	20,550 08

Tocker Foundation

Form 990-PF Line 10 Year-End Investments

As of November 30, 2013
On Hand

	Avg Cost	Asset Value	Sales Price	Retail Value
IP (International Paper Co)	398.00	18,837.98	46.65	18,566.70
ITW (Illinois Tool Works Inc)	241.00	17,298.14	79.58	19,178.78
JNJ (Johnson & Johnson)	243.00	21,850.54	94.66	23,002.38
JPM (JP Morgan Chase)	217.00	11,895.09	57.22	12,416.74
KMB (Kimberly-Clark Corp)	199.00	19,819.96	109.16	21,722.84
KRFT (Kraft Foods Group Inc.)	266.00	9,575.19	53.12	14,129.92
LMT (Lockheed Martin Corp)	164.00	18,514.55	141.67	23,233.88
MAT (Mattel Inc)	300.00	14,085.30	46.27	13,881.00
MCD (McDonalds Corp)	159.00	16,146.62	97.37	15,481.83
MCHP (Microchip Technology Inc)	383.00	14,983.34	43.29	16,580.07
MO (Altria Group Inc)	479.00	17,652.57	36.98	17,713.42
MSFT (Microsoft Corp)	428.00	15,153.68	38.13	16,319.64
MTB (M & T Bank Corp)	124.00	14,460.09	115.36	14,304.64
MXIM (Maxim Integrated Products Inc)	436.00	12,446.62	28.48	12,417.28
NEE (Nextera Energy Inc)	178.00	14,804.17	84.59	15,057.02
NUE (Nucor Corp)	204.00	9,150.62	51.06	10,416.24
PBCT (People's United Financial)	1,167.00	17,535.08	15.14	17,668.38
PEP (Pepsico Inc)	193.00	16,221.84	84.46	16,300.78
PFE (Pfizer Inc)	653.00	18,682.98	31.73	20,719.69
PG (Procter & Gamble Co)	202.00	16,441.95	84.22	17,012.44
PSX (Phillips 66)	196.00	11,621.25	69.61	13,643.56
RDSA (Royal Dutch Shell PLC)	191.00	12,782.03	66.70	12,739.70
SE (Spectra Energy Corp)	487.00	17,232.88	33.55	16,338.85
SO (Southern Company)	266.00	11,921.42	40.63	10,807.58
SON (Sonoco Products Co)	345.00	12,603.20	40.06	13,820.70
T (AT&T Inc)	515.00	18,401.26	35.21	18,133.15
UPS (United Parcel Service-B)	201.00	17,380.01	102.38	20,578.38
VOD (Vodafone Group PLC)	430.00	12,666.30	37.09	15,948.70
VZ (Verizon Communications)	302.00	15,240.88	49.62	14,985.24
WAG (Walgreen Co)	256.00	12,418.56	59.20	15,155.20
WM (Waste Management Inc.)	287.00	12,042.07	45.68	13,110.16
Total Wachovia (Pru) (Wachovia Securities)	15,542.00	737,338.82		773,405.11
Total Stocks (Common Stocks)	517,057.00	15,493,375.28		23,362,786.19

Tocker Foundation
 EIN #75-6037871
 State Reg #00207304-01
 990-PF

Statement

Part II: Line 18 Grants & Pledges Payable

Grantee	Origin Date	Beginning Balance	Debit	Credit	Ending Balance	Status at date of filing
Austin Children's Museum	04/20/10	12,500	12,500		0	
BookSpring	03/05/12	5,000	10,000	5,000	0	
Crosby County Library	03/05/12	20,750	20,750	17,350	17,350	Awaiting Final Furnishings
D. Brown Memorial Library	03/05/12	7,834	7,834		0	
Dilley Public Library	08/24/12	5,400	5,400		0	
Gibbs Memorial Library	03/05/12	16,825	16,825		0	
Irion County Library	08/24/12	4,800	4,800		0	
La Joya Municipal Library	08/24/12	24,366	24,366		0	
Mineola Memorial Library	03/05/12	11,025	11,076	51	0	
Munday City County Library	08/24/12	4,800	4,800		0	
Silverton Public Library	03/05/12	1,830	1,830		0	
Singletary Memorial Library	08/24/12	14,570			14,570	Awaiting Ground Breaking
Friends of Libraries & Archives of Texas	03/05/12	10,000	5,000		5,000	Scheduled Distribution
Writer's League of Texas	08/24/12	11,000	11,000		0	
Cochran County Love Memorial Library	10/28/13	0		4,800	4,800	Payment 01/27/2014
Coleman Public Library	08/02/13	0	18,031	36,062	18,031	Payment 01/09/2014
Dimmit County Public Library	10/28/13	0		7,500	7,500	Payment 12/04/2013
Jourdanton Community Library	03/05/13	0		8,100	8,100	Payment 01/27/2014
Friends of the Kerens Library	08/02/13	0		2,210	2,210	Payment 12/16/2013
Marble Falls Public Library	08/20/13	0	44,788	50,000	5,212	Payment 01/27/2014
Stamford Carnegie Library	03/05/13	0	1,582	6,382	4,800	Payment Dec 2013
Sustainable Food Center	03/05/13	0	12,500	25,000	12,500	Scheduled Distribution
Total Grants Payable		150,699	213,080	162,455	100,073	
 Pledges (Not Qualified Distributions)		951,308	746,316	1,047,551	1,252,543	
Total Grants & Pledges Payable		1,102,007	959,397	1,210,006	1,352,616	

Tocker Foundation
Form 990-PF; Part IV Capital Gains & Losses
December 2012 through November 2013

Qty	Item Description	Purchased	Date Sold	Sales Price	Basis	Gain/(Loss)
16	3M Co	L/T	08/15/2013	1,862	1,824	(38)
100,000	3M Notes 4.375% mat 8/15/2013	L/T	08/15/2013	101,944	100,000	(1,944)
800	Abbott Laboratories	L/T	05/06/2013	18,262	28,354	10,092
350	Abbott Laboratories	L/T	01/16/2013	11,646	11,496	(150)
160	Accenture PLC	L/T	07/03/2013	11,995	11,643	(351)
81	Acorda Therapeutics Inc.	L/T	03/14/2013	2,018	2,429	411
783	Admiral Group PLC	L/T	01/14/2013	12,148	15,079	2,930
211	Adobe Systems Inc	L/T	08/15/2013	6,495	9,883	3,388
36	Aegerion Pharmaceuticals Inc	L/T	04/15/2013	550	1,440	889
88	Aegerion Pharmaceuticals Inc	L/T	05/15/2013	1,345	3,901	2,556
81	Aegerion Pharmaceuticals Inc	L/T	08/15/2013	1,238	7,508	6,270
56	Agilent Technologies Inc	L/T	12/14/2012	2,627	2,284	(343)
67	Agilent Technologies Inc	L/T	01/14/2013	3,143	2,959	(184)
77	Agilent Technologies Inc	L/T	03/14/2013	3,612	3,207	(405)
161	Agilent Technologies Inc	L/T	04/15/2013	7,553	6,831	(722)
315	Agilent Technologies Inc	L/T	05/15/2013	14,778	13,996	(782)
400	AIA Group Ltd	L/T	04/15/2013	1,286	1,716	430
125,000	AirGas 2 85% mat 10/01/2013	L/T	10/14/2013	128,470	125,000	(3,470)
81	Allergan Inc.	L/T	01/14/2013	6,250	8,299	2,048
100	Allergan Inc	L/T	02/16/2013	7,716	10,608	2,892
18	Allergan Inc	L/T	03/14/2013	1,389	1,980	591
16	Allergan Inc.	L/T	04/15/2013	1,235	1,793	558
19	Allergan Inc	L/T	06/17/2013	1,516	1,596	80
77	Allergan Inc	L/T	07/15/2013	6,145	7,104	959
55	Allergan Inc	L/T	08/15/2013	4,389	5,005	616
157	Alnylam Pharmaceuticals Inc	L/T	05/15/2013	2,925	3,864	939
40	Alnylam Pharmaceuticals Inc	L/T	08/15/2013	975	1,939	965
350	Amazon com Inc	L/T	06/13/2013	77,812	95,035	17,223
20	Amazon com Inc	L/T	12/14/2012	4,168	5,098	930
19	Amazon com Inc	L/T	02/16/2013	3,960	5,164	1,204
12	Amazon com Inc	L/T	04/15/2013	2,501	3,165	664
10	Amazon com Inc	L/T	06/17/2013	2,084	2,679	594
32	Amazon com Inc.	L/T	07/15/2013	6,669	9,901	3,232
17	Amazon com Inc.	L/T	08/15/2013	3,543	4,848	1,305
573	American Cap Strategies	L/T	07/12/2013	21,858	7,629	(14,230)
98	American Capital Agency Corp	L/T	01/14/2013	2,719	3,076	357
525	American Capital Agency Corp	L/T	11/14/2013	13,728	11,012	(2,716)
7,590	American High Income Trust A	L/T	07/12/2013	89,198	84,320	(4,879)
42	Ametek Inc	L/T	06/17/2013	1,727	1,689	(39)
199	Ametek Inc	L/T	07/15/2013	8,185	9,106	922
30	Ametek Inc.	L/T	08/15/2013	1,234	1,318	84
2,000	Amgen Inc	L/T	07/12/2013	23,805	206,052	182,247
500	AMR Corp Del Pub Inc 7 875%	L/T	07/12/2013	12,500	13,675	1,175
200	Analog Devices, Inc	L/T	08/15/2013	8,183	9,408	1,225
256	Angie's List Inc	L/T	11/14/2013	6,807	3,558	(3,248)

Tocker Foundation
Form 990-PF;Part IV Capital Gains & Losses
December 2012 through November 2013

Qty	Item Description	Purchased	Date Sold	Sales Price	Basis	Gain/(Loss)
3	Apple Computer Inc.	L/T	12/14/2012	619	1,629	1,010
11	Apple Computer Inc	L/T	01/14/2013	2,401	5,534	3,133
8	Apple Computer Inc	L/T	04/15/2013	1,876	3,202	1,326
14	Apple Computer Inc	L/T	06/17/2013	3,282	5,597	2,314
8	Apple Computer Inc	L/T	07/15/2013	1,876	3,528	1,652
23	Apple Computer Inc	L/T	08/15/2013	5,392	11,550	6,157
120	Archer Daniels Midland Co	L/T	04/15/2013	3,189	3,865	675
93	Archer Daniels Midland Co	L/T	05/15/2013	2,472	3,181	710
285	Archer Daniels Midland Co	L/T	07/15/2013	7,575	10,184	2,610
160	Aruba Networks Inc	L/T	08/15/2013	3,334	2,929	(404)
558	ATMEL Corp	L/T	01/14/2013	4,255	3,874	(381)
1,974	ATMEL Corp	L/T	10/14/2013	15,060	13,923	(1,138)
877	Australia & New Zealand Bkg	L/T	08/15/2013	20,639	23,703	3,064
40	Automatic Data Processing	L/T	05/15/2013	2,430	2,861	431
366	Avago Technologies LTD	L/T	10/14/2013	12,460	16,620	4,160
61	Avalonbay Communities REIT Inc	L/T	11/15/2013	8,555	7,359	(1,196)
77	Baidu Inc	L/T	12/14/2012	10,603	6,822	(3,781)
500	Bank of America Corp.	L/T	07/12/2013	17,225	6,807	(10,418)
166	Bank of Hawaii Corp	L/T	04/15/2013	5,859	8,232	2,373
38	Banner Corp	L/T	04/15/2013	769	1,160	392
89	Baxter Int'l Inc	L/T	08/15/2013	5,180	6,331	1,151
711	BazaarVoice Inc	L/T	01/14/2013	6,600	4,726	(1,874)
143	BBCN Bancorp Inc.	L/T	04/15/2013	1,330	1,867	537
47	BE Aerospace Inc.	L/T	04/15/2013	909	2,854	1,945
85	BE Aerospace Inc.	L/T	11/14/2013	1,644	6,822	5,177
67	Beiersdorf AG	L/T	12/14/2012	4,001	5,292	1,291
72	Beiersdorf AG	L/T	03/14/2013	4,633	6,333	1,700
117	Beiersdorf AG	L/T	08/15/2013	7,529	10,599	3,071
41	Berry Petroleum Co	L/T	04/15/2013	1,513	1,970	457
230	Berry Petroleum Co	L/T	07/15/2013	8,488	9,551	1,063
18	Bio Rad Laboratories Inc	L/T	04/15/2013	1,743	2,174	430
20	Biogen Idec Inc	L/T	03/14/2013	2,018	3,512	1,494
14	Biogen Idec Inc	L/T	06/17/2013	1,413	2,796	1,384
46	Biogen Idec Inc	L/T	07/15/2013	4,641	10,268	5,627
7	Biogen Idec Inc	L/T	08/15/2013	706	1,456	750
8	Biogen Idec Inc	L/T	10/14/2013	807	2,006	1,199
235	BK Nova Scotia Halifax	L/T	07/03/2013	12,917	12,411	(506)
50	Blackrock Inc	L/T	08/15/2013	9,123	14,266	5,143
500	BMC Software Inc	L/T	07/12/2013	27,075	22,922	(4,153)
82	Boeing Company	L/T	05/15/2013	6,009	7,710	1,701
201	Boeing Company	L/T	07/15/2013	14,729	20,450	5,721
105	Boeing Company	L/T	11/14/2013	9,079	13,929	4,849
0	BP Amoco PLC	L/T	07/12/2013	3	3	0
915	BP Amoco PLC	L/T	07/12/2013	34,400	38,684	4,284
41	BP PLC Spon ADR	L/T	04/15/2013	1,728	1,689	(39)

Tocker Foundation
Form 990-PF;Part IV Capital Gains & Losses
December 2012 through November 2013

Qty	Item Description	Purchased	Date Sold	Sales Price	Basis	Gain/(Loss)
514	Bravo Brio Restaurant Group	L/T	10/14/2013	7,181	7,779	598
196	Bravo Brio Restaurant Group	L/T	11/14/2013	2,738	2,935	197
77	Bridgestone Corp Ltd Japan	L/T	05/15/2013	3,783	5,467	1,684
107	Bridgestone Corp Ltd Japan	L/T	08/15/2013	5,257	7,841	2,584
165	Bristol Myers Squibb	L/T	06/07/2013	5,436	7,762	2,326
180	Bristol Myers Squibb	L/T	11/15/2013	5,930	9,379	3,448
686	Bruker Corp	L/T	02/16/2013	8,710	12,296	3,586
73	Burberry Group PLC	L/T	10/14/2013	3,480	3,532	52
2,000	C H Robinson Worldwide	L/T	05/15/2013	113,858	114,418	560
91	C&J Energy Svcs. Inc	L/T	04/15/2013	1,921	1,739	(183)
287	Cabot Oil & Gas Corp	L/T	03/14/2013	11,271	18,106	6,835
45	Cabot Oil & Gas Corp	L/T	05/15/2013	1,767	3,031	1,264
58	Cabot Oil & Gas Corp	L/T	06/17/2013	2,278	4,057	1,780
67	Cabot Oil & Gas Corp	L/T	07/15/2013	2,631	5,170	2,539
15	Cabot Oil & Gas Corp	L/T	08/15/2013	333	1,143	810
100	Cabot Oil & Gas Corp	L/T	09/16/2013	2,220	3,599	1,379
210	Campus Crest Communities	L/T	04/15/2013	2,317	2,900	582
452	Capital Income Builder CI A	L/T	06/28/2013	27,271	24,623	(2,649)
82	Cardinal Health Inc	L/T	05/15/2013	3,390	3,736	346
176	Cardinal Health Inc	L/T	06/17/2013	7,277	8,328	1,052
66	Cardinal Health Inc	L/T	07/15/2013	2,729	3,370	641
109	Cardinal Health Inc	L/T	08/15/2013	4,507	5,674	1,168
149	Cardinal Health Inc	L/T	10/14/2013	6,160	8,169	2,009
42	Cardinal Health Inc	L/T	11/14/2013	1,736	2,532	796
828	Caribou Coffee Co	L/T	01/14/2013	9,426	13,248	3,822
736	Carrefour SA	L/T	02/16/2013	4,546	4,082	(464)
672	Carrefour SA	L/T	06/17/2013	4,151	3,911	(240)
26	Catamaran Corp	L/T	06/17/2013	1,275	1,268	(7)
147	Catamaran Corp	L/T	07/15/2013	7,210	7,606	396
90	CDN Imperial Bank	L/T	03/15/2013	7,163	7,244	81
157	Celanese Corp	L/T	12/14/2012	6,034	7,046	1,012
1,000	Celgene Corp	L/T	04/24/2013	58,121	112,364	54,243
133	Central Garden & Pet Co	L/T	04/15/2013	1,279	1,188	(91)
318	Central Garden & Pet Co	L/T	07/15/2013	3,058	2,195	(863)
84	Check Point Software Tech	L/T	08/15/2013	4,003	4,756	753
2,097	Cincinnati Bell (Broadwing/IXC merger)	L/T	07/12/2013	35,872	6,669	(29,204)
36	Cinemark Holdings Inc	L/T	02/16/2013	532	1,052	520
28	Circor Int'l Inc.	L/T	04/15/2013	1,290	1,235	(54)
39	Circor Int'l Inc	L/T	07/15/2013	1,796	2,122	326
31	Citigroup Inc.	L/T	04/15/2013	1,353	1,399	46
99	Citigroup Inc	L/T	06/17/2013	4,321	5,250	930
100	Citigroup Inc	L/T	07/12/2013	50,480	5,052	(45,428)
102	Citigroup Inc	L/T	08/15/2013	4,662	5,032	370
31	City National Corp	L/T	04/15/2013	1,214	1,701	487
	Ltd Partner Civic Center Dr LP	L/T	11/22/2013	19,447	37,436	17,989

Tocker Foundation
Form 990-PF;Part IV Capital Gains & Losses
December 2012 through November 2013

Qty	Item Description	Purchased	Date Sold	Sales Price	Basis	Gain/(Loss)
197	CME Group Inc	L/T	12/14/2012	11,249	10,430	(820)
41	Coach Inc	L/T	08/15/2013	2,483	2,152	(331)
149	Coach Inc	L/T	10/14/2013	9,024	8,113	(911)
230	Colgate Palmolive Co	L/T	07/15/2013	9,746	13,620	3,874
90	Comcast Corp	L/T	08/15/2013	2,507	3,776	1,268
188	Commercial Metals Co	L/T	08/15/2013	2,667	2,954	287
558	Commercial Metals Co.	L/T	10/14/2013	7,915	9,331	1,416
19	Compass Minerals Int'l Inc	L/T	04/15/2013	1,383	1,525	141
21	Compass Minerals Int'l Inc	L/T	10/14/2013	1,529	1,599	70
32	Concho Resources Inc	L/T	02/16/2013	3,133	2,960	(172)
27	Concho Resources Inc	L/T	06/17/2013	2,643	2,267	(376)
80	Concho Resources Inc	L/T	07/15/2013	7,832	6,965	(866)
32	Conmed Corp	L/T	04/15/2013	573	1,040	466
237	Conmed Corp	L/T	05/15/2013	4,247	7,390	3,143
19	Conseco Inc New	L/T	07/12/2013	500	271	(229)
175	Conversant (Value Click Inc)	L/T	02/16/2013	2,727	4,567	1,840
41	Conversant (Value Click Inc.)	L/T	04/15/2013	639	1,198	559
116	Conversant (Value Click Inc)	L/T	05/15/2013	2,110	3,708	1,598
75,000	Covidien Int'l 1 875% mat 6/15/2013	L/T	06/17/2013	76,140	75,000	(1,140)
185	Crescent Point Energy	L/T	01/16/2013	8,279	7,196	(1,083)
27	Crown Castle Int'l Corp.	L/T	06/17/2013	1,398	1,820	422
128	Crown Castle Int'l Corp	L/T	07/15/2013	6,628	9,389	2,761
24	Crown Castle Int'l Corp	L/T	08/15/2013	1,243	1,645	402
53	Cubist Pharmaceuticals Inc	L/T	03/14/2013	2,295	2,515	220
141	Cubist Pharmaceuticals Inc	L/T	06/17/2013	6,105	7,521	1,416
19	Cummins Inc	L/T	06/17/2013	2,087	2,014	(73)
64	Cummins Inc	L/T	07/15/2013	7,030	7,416	386
10	Cummins Inc	L/T	08/15/2013	1,098	1,240	142
110	CVS Corp	L/T	12/14/2012	4,288	5,390	1,102
280	CVS Corp	L/T	01/14/2013	10,914	14,363	3,449
502	Cypress Sharpridge Invt Inc	L/T	08/15/2013	7,073	3,891	(3,182)
80,000	Cytec Inds Inc 4 6% mat 7/1/2013	L/T	03/25/2013	83,311	80,000	(3,311)
22	Cytec Industries Inc	L/T	04/15/2013	1,041	1,636	594
54	Danaher Corp	L/T	03/14/2013	2,911	3,310	399
42	Danaher Corp	L/T	05/15/2013	2,264	2,553	289
34	Danaher Corp	L/T	06/17/2013	1,833	2,093	260
35	Danaher Corp	L/T	07/15/2013	1,887	2,335	448
78	Danaher Corp	L/T	08/15/2013	4,833	5,173	341
400	Darden Restaurants	L/T	12/17/2012	22,130	18,387	(3,743)
45	Dawson Geophysical Co	L/T	04/15/2013	1,075	1,405	331
500	Dell Computer Corp	L/T	04/22/2013	13,590	6,441	(7,149)
100,000	DELL Inc 5 625 mat 04/15/2014	L/T	01/15/2013	104,501	104,867	366
78	Deutsche Bank AG	L/T	12/14/2012	3,677	3,451	(226)
100	Deutsche Bank AG	L/T	02/16/2013	4,842	5,121	279
125	Digital Realty Trust Inc	L/T	05/15/2013	9,016	7,952	(1,064)

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Qty	Item Description	Purchased	Date Sold	Sales Price	Basis	Gain/(Loss)
135	Digital Realty Trust Inc	L/T	08/15/2013	9,737	7,391	(2,346)
116	DirectTV Group Inc	L/T	02/16/2013	5,608	5,950	343
309	DirectTV Group Inc	L/T	03/14/2013	14,937	15,370	433
32	Discover Financial Svc (M Stanley spinoff)	L/T	06/17/2013	1,442	1,450	8
137	Discover Financial Svc (M Stanley spinoff)	L/T	07/15/2013	6,173	6,775	602
25	Discover Financial Svc (M Stanley spinoff)	L/T	08/15/2013	1,126	1,223	96
68	Dollar General Corp	L/T	03/14/2013	3,068	3,525	456
47	Dollar General Corp	L/T	05/15/2013	2,121	2,547	427
50	Dollar General Corp	L/T	06/17/2013	2,256	2,494	238
245	Dollar General Corp	L/T	07/15/2013	11,054	13,175	2,121
36	Dollar General Corp	L/T	08/15/2013	1,624	1,946	321
50	Dollar General Corp	L/T	09/16/2013	2,256	2,756	500
82	Dow Chemical Co	L/T	08/15/2013	2,502	3,055	553
124	Dow Chemical Co	L/T	11/14/2013	3,887	4,868	981
333	Duke Energy Corp	L/T	07/12/2013	20,283	23,216	2,933
24	Ebay Inc	L/T	04/15/2013	1,211	1,241	30
62	Ebay Inc	L/T	06/17/2013	3,140	3,125	(15)
267	Ebay Inc	L/T	07/15/2013	13,520	13,951	430
32	Elizabeth Arden Inc.	L/T	04/15/2013	1,231	1,323	92
34	Elizabeth Arden Inc	L/T	10/14/2013	1,308	1,306	(2)
49	Elizabeth Arden Inc	L/T	11/14/2013	1,885	1,817	(68)
65	Envision Healthcare Hldgs	L/T	10/14/2013	1,644	1,882	238
72	EOG Resources	L/T	12/14/2012	7,331	8,580	1,249
4	EOG Resources	L/T	07/15/2013	448	583	135
22	EOG Resources	L/T	08/15/2013	2,465	3,355	890
100,000	EOG Resources Inc 6 125% mat 10/01/2013	L/T	10/14/2013	101,229	100,000	(1,229)
250	Equitable Resources Inc	L/T	03/14/2013	13,948	15,655	1,706
48	Esterline Technologies Corp	L/T	08/15/2013	2,914	3,835	921
82	Everbank Financial Corp	L/T	04/15/2013	899	1,177	278
60	Evercore Partners Inc.	L/T	04/15/2013	1,738	2,179	441
77	Evercore Partners Inc	L/T	08/15/2013	2,230	3,453	1,223
71	Facebook Inc	L/T	04/15/2013	2,228	1,860	(367)
90	Facebook Inc	L/T	06/17/2013	2,824	2,119	(705)
363	Facebook Inc	L/T	07/15/2013	11,389	12,345	957
61	Facebook Inc	L/T	08/15/2013	1,914	2,343	429
76	Facebook Inc	L/T	09/16/2013	2,384	3,719	1,335
1,818	Fiat Ind Europe	L/T	10/14/2013	20,466	22,236	1,770
496	Fidelity Nat'l Information Svcs	L/T	08/15/2013	16,412	21,618	5,207
202	Finisar Corp com	L/T	08/15/2013	4,215	4,172	(43)
2,586	FLSMIDTH & Co	L/T	07/15/2013	18,342	12,247	(6,095)
49	FMC Technologies	L/T	03/14/2013	2,252	2,494	242
131	FMC Technologies	L/T	04/15/2013	6,020	6,645	625
39	FMC Technologies	L/T	05/15/2013	1,792	2,165	373
74	FMC Technologies	L/T	06/17/2013	3,401	4,133	732
104	FMC Technologies	L/T	07/15/2013	4,779	5,673	894

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13	FMC Technologies	L/T	08/15/2013	597	687	89
117	FNMA Pool 5.5% Due 9/1/2017	L/T	12/10/2012	120	117	(3)
391	FNMA Pool 5 5% Due 9/1/2017	L/T	01/15/2013	401	391	(10)
197	FNMA Pool 5 5% Due 9/1/2017	L/T	02/14/2013	202	197	(5)
142	FNMA Pool 5 5% Due 9/1/2017	L/T	03/25/2013	146	142	(4)
295	FNMA Pool 5 5% Due 9/1/2017	L/T	04/25/2013	302	295	(8)
392	FNMA Pool 5.5% Due 9/1/2017	L/T	05/28/2013	402	392	(10)
106	FNMA Pool 5.5% Due 9/1/2017	L/T	06/17/2013	109	106	(3)
125	FNMA Pool 5 5% Due 9/1/2017	L/T	07/25/2013	128	125	(3)
147	FNMA Pool 5 5% Due 9/1/2017	L/T	08/15/2013	151	147	(4)
103	FNMA Pool 5 5% Due 9/1/2017	L/T	09/16/2013	105	103	(3)
276	FNMA Pool 5 5% Due 9/1/2017	L/T	10/14/2013	284	276	(7)
100	FNMA Pool 5 5% Due 9/1/2017	L/T	11/25/2013	103	100	(3)
1,000	Freeport-McMoran Copper	L/T	10/24/2013	34,343	36,766	2,423
216	Freeport-McMoran Copper & Gold	L/T	09/16/2013	6,156	6,522	366
75,000	General Dynamics 4 25% mat 05/15/2013	L/T	12/10/2012	75,402	76,268	867
104	General Dynamics Corp	L/T	05/15/2013	6,408	7,830	1,422
103	General Dynamics Corp	L/T	07/15/2013	6,346	8,659	2,312
57	General Dynamics Corp	L/T	08/15/2013	3,824	4,865	1,041
75	Genuine Parts Co	L/T	09/13/2013	4,676	5,985	1,309
100,000	Genworth Financial Inc 7.7% mat 6/15/2020	L/T	04/22/2013	98,628	120,675	22,047
37	Gilead Sciences, Inc.	L/T	03/14/2013	884	1,608	725
36	Gilead Sciences, Inc.	L/T	04/15/2013	860	1,943	1,083
58	Gilead Sciences, Inc	L/T	06/17/2013	1,456	2,814	1,359
212	Gilead Sciences, Inc	L/T	07/15/2013	5,321	13,092	7,771
160	Gilead Sciences, Inc	L/T	08/15/2013	4,016	9,669	5,653
28	Gilead Sciences, Inc	L/T	10/14/2013	703	1,673	970
5	Google Inc Cl A	L/T	06/17/2013	2,808	4,329	1,522
23	Google Inc Cl A	L/T	07/15/2013	12,915	20,363	7,447
3	Google Inc Cl A	L/T	08/15/2013	1,685	2,609	925
215	Great Batch Inc	L/T	07/15/2013	4,586	7,624	3,038
99	Great Batch Inc	L/T	08/15/2013	2,112	3,711	1,599
29	Guidewire Software Inc	L/T	04/15/2013	784	1,121	337
87	Guidewire Software Inc	L/T	08/15/2013	2,352	3,844	1,492
91	Halliburton Co	L/T	08/15/2013	3,103	4,278	1,175
150,000	Harris 5% mat 10/01/2015	L/T	05/28/2013	157,825	166,108	8,283
110	Heineken	L/T	06/17/2013	3,145	3,821	676
240	Heinz H J Co	L/T	02/14/2013	13,554	17,309	3,755
240	Heinz H J Co	L/T	03/15/2013	13,874	17,398	3,525
151	Hertz Global Holdings	L/T	08/15/2013	2,645	3,745	1,100
44	Hess Corp	L/T	08/15/2013	2,700	3,219	519
400	Hewlett-Packard Co	L/T	07/12/2013	21,076	10,484	(10,593)
47	Hill Rom Holdings Inc	L/T	10/14/2013	1,450	1,832	383
201	Hill Rom Holdings Inc	L/T	11/14/2013	6,199	8,464	2,265
306	Home Depot Inc	L/T	12/14/2012	16,012	18,881	2,868

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2,196	Hon Hai Precision Ind	L/T	08/15/2013	13,525	11,234	(2,290)
145	Hospira Inc	L/T	08/15/2013	4,788	5,843	1,055
42	HSBC Holdings PLC	L/T	04/15/2013	1,971	2,198	226
374	HSBC Holdings PLC	L/T	10/14/2013	17,839	20,463	2,624
569	HSBC Holdings PLC	L/T	11/14/2013	27,140	31,641	4,501
84	Hudson Pac PPTYS Inc	L/T	04/15/2013	1,394	1,861	466
102	Hudson Pac PPTYS Inc	L/T	07/15/2013	1,693	2,220	526
521	Hudson Pac PPTYS Inc	L/T	09/16/2013	8,649	10,305	1,656
185	Iconix Brand Group, Inc	L/T	01/14/2013	3,015	4,323	1,307
364	Iconix Brand Group, Inc	L/T	03/14/2013	5,933	8,528	2,595
65	ICU Medical Inc	L/T	07/15/2013	2,536	4,940	2,404
68	ICU Medical Inc.	L/T	08/15/2013	2,653	4,990	2,337
70	Ignite Restaurant Group Inc	L/T	04/15/2013	969	1,149	179
176	Ignite Restaurant Group Inc	L/T	07/15/2013	2,437	3,356	919
12	IHS Inc CI A	L/T	06/17/2013	1,160	1,156	(4)
57	IHS Inc CI A	L/T	07/15/2013	5,512	6,181	669
68	IHS Inc CI A	L/T	09/16/2013	6,575	7,384	809
22	Illinois Tool Works Inc	L/T	04/15/2013	1,102	1,339	236
99	Illinois Tool Works Inc	L/T	05/15/2013	4,960	6,668	1,707
82	Illinois Tool Works Inc	L/T	07/15/2013	4,109	6,033	1,925
56	Illinois Tool Works Inc	L/T	08/15/2013	3,082	4,052	970
95	Illinois Tool Works Inc	L/T	10/14/2013	5,384	7,156	1,773
132	Impax Laboratories Inc	L/T	08/15/2013	2,384	2,814	430
107	Infoblox Inc	L/T	09/16/2013	2,143	3,724	1,582
73	Informatica Corp	L/T	02/16/2013	2,017	2,669	652
68	Informatica Corp	L/T	05/15/2013	1,879	2,424	545
134	Informatica Corp	L/T	07/15/2013	3,703	5,053	1,350
134	Informatica Corp	L/T	09/16/2013	3,703	4,854	1,151
359	Innerworkings Inc	L/T	08/15/2013	3,504	4,145	641
403	Innerworkings Inc	L/T	09/16/2013	3,934	4,279	345
212	Integra Lifesciences Hldg	L/T	02/16/2013	6,740	8,630	1,891
118	Inter Parfums Inc	L/T	04/15/2013	2,098	3,092	994
91	Inter Parfums Inc	L/T	06/17/2013	1,618	2,917	1,299
285	Inter Parfums Inc	L/T	08/15/2013	5,067	9,496	4,428
54	Inter Parfums Inc	L/T	09/16/2013	960	1,619	659
90	Intercontinental Exchange Inc	L/T	06/17/2013	13,112	15,389	2,277
38	International Paper Co	L/T	04/15/2013	1,306	1,793	487
36	International Paper Co	L/T	08/15/2013	1,355	1,685	330
831	Interpublic Group of Cos	L/T	07/15/2013	9,450	13,051	3,601
4	Intuitive Surgical Inc	L/T	06/17/2013	2,074	1,999	(75)
177	Invensense Inc	L/T	04/15/2013	2,318	1,839	(479)
249	Invensense Inc	L/T	09/16/2013	3,260	4,351	1,091
242	Invesco LTD	L/T	06/17/2013	4,810	8,329	3,519
2,231	ISHARES BARCLAYS 20+ YEAR TREAS BD F	L/T	10/14/2013	237,161	241,505	4,344
867	iShares IBOXX Inv Grade Corp Bond Fund	L/T	01/14/2013	97,755	104,592	6,837

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180	iShares IBOXX Inv Grade Corp Bond Fund	L/T	04/15/2013	20,314	21,913	1,600
801	iShares IBOXX Inv Grade Corp Bond Fund	L/T	06/17/2013	90,396	89,593	(803)
3,376	iShares IBOXX Inv Grade Corp Bond Fund	L/T	07/15/2013	380,994	381,650	656
15	iShares Trust Russell 2000 Index Fund	L/T	04/15/2013	1,225	1,363	138
156	iShares Trust Russell 2000 Index Fund	L/T	05/15/2013	12,743	15,111	2,368
85	JP Morgan Chase & Co	L/T	08/15/2013	3,679	4,391	712
114	Kayak Software Corp. Cl A	L/T	02/16/2013	3,185	4,582	1,397
265	Kaydon Corp	L/T	01/14/2013	9,247	6,268	(2,979)
129	Kellogg Co	L/T	07/15/2013	8,245	8,640	395
450	Kinder Morgan Inc	L/T	09/13/2013	16,203	15,751	(451)
349	Knight Transporation Inc	L/T	08/15/2013	5,134	5,744	610
67	Kraft Foods Group Inc	L/T	07/12/2013	2,412	3,815	1,403
391	Kraft Foods Group Inc	L/T	07/15/2013	15,432	22,201	6,769
6,000	Kroger Company	L/T	03/11/2013	132,319	184,830	52,511
76	Las Vegas Sands Corp	L/T	02/16/2013	3,420	4,077	657
65	Las Vegas Sands Corp	L/T	03/14/2013	2,925	3,545	620
35	Las Vegas Sands Corp	L/T	05/15/2013	1,575	2,004	429
38	Las Vegas Sands Corp	L/T	06/17/2013	1,710	1,854	144
169	Las Vegas Sands Corp	L/T	07/15/2013	7,605	9,288	1,683
25	Las Vegas Sands Corp	L/T	08/15/2013	1,125	1,404	278
49	Lauder Estee Cos Cl A	L/T	02/16/2013	2,521	3,003	482
90	Lauder Estee Cos Cl A	L/T	03/14/2013	4,630	5,713	1,083
33	Lauder Estee Cos Cl A	L/T	05/15/2013	1,698	2,332	634
32	Lauder Estee Cos Cl A	L/T	06/17/2013	1,646	2,051	405
151	Lauder Estee Cos Cl A	L/T	07/15/2013	7,768	9,995	2,226
23	Lauder Estee Cos Cl A	L/T	08/15/2013	1,183	1,533	349
25	Liberty Global Inc Cl A	L/T	06/17/2013	1,769	1,733	(37)
54	Liberty Global Inc Cl A	L/T	07/15/2013	3,822	4,338	516
11	Liberty Global Inc Cl A	L/T	08/15/2013	779	831	52
667	Lifelock Inc	L/T	07/15/2013	5,946	7,356	1,410
67	Ligand Pharmaceuticals Inc	L/T	05/15/2013	1,285	1,803	518
190	Ligand Pharmaceuticals Inc	L/T	07/15/2013	3,644	7,728	4,084
124	Ligand Pharmaceuticals Inc	L/T	09/16/2013	2,378	5,813	3,435
33	Lilly Eli & Co	L/T	08/15/2013	1,675	1,739	64
67	Lincoln National Corp.	L/T	08/15/2013	2,042	2,896	854
18	Lululemon Athletica Inc	L/T	04/15/2013	1,278	1,311	33
30	Lululemon Athletica Inc	L/T	06/17/2013	2,131	1,835	(296)
113	Lululemon Athletica Inc	L/T	07/15/2013	8,025	7,799	(226)
21	Lululemon Athletica Inc	L/T	08/15/2013	1,491	1,470	(21)
1,400	Luminex Corp	L/T	07/12/2013	45,434	32,289	(13,145)
493	LVMH Moet Hennessy Louis	L/T	07/15/2013	11,015	17,673	6,659
287	Mack-Cali Realty Corp	L/T	04/15/2013	8,203	8,043	(160)
46	Macy's Inc	L/T	08/15/2013	1,781	2,062	281
1,084	MAN SE ADR	L/T	08/15/2013	9,589	12,211	2,622
8,000	Masco Corp.	L/T	09/24/2013	133,906	179,054	45,148

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125	Mastec Inc	L/T	04/15/2013	1,675	3,408	1,732
6	Mastercard Inc Cl A	L/T	03/14/2013	2,585	3,058	473
5	Mastercard Inc Cl A	L/T	06/17/2013	2,205	2,811	606
25	Mastercard Inc Cl A	L/T	07/15/2013	11,024	14,911	3,888
6	Mastercard Inc Cl A	L/T	08/15/2013	2,646	3,789	1,144
125,000	McCormick 5 25% mat 09/01/2013	L/T	09/16/2013	126,869	125,000	(1,869)
35	McDermott Int'l Inc	L/T	08/15/2013	344	254	(90)
54	Medtronic Inc	L/T	02/16/2013	2,127	2,421	294
27	Medtronic Inc	L/T	03/14/2013	1,064	1,216	152
163	Medtronic Inc.	L/T	05/15/2013	6,421	7,657	1,236
119	MercadoLibre Inc	L/T	02/16/2013	10,941	9,996	(945)
328	Merck & Co	L/T	01/14/2013	14,550	14,100	(450)
46	MetLife Inc	L/T	08/15/2013	1,952	2,195	243
2,315	Metropcs Communications Inc	L/T	04/15/2013	20,940	27,471	6,532
110	Michael Kors Hldgs Ltd	L/T	06/17/2013	5,945	6,579	634
182	Michael Kors Hldgs Ltd	L/T	07/15/2013	9,836	11,799	1,963
27	Michael Kors Hldgs Ltd	L/T	08/15/2013	1,490	1,942	453
24	Michael Kors Hldgs Ltd	L/T	11/14/2013	1,324	1,856	532
420	Micron Technology Inc	L/T	12/14/2012	2,499	2,634	135
190	Micron Technology Inc	L/T	04/15/2013	1,130	1,779	649
261	Micron Technology Inc	L/T	06/17/2013	1,553	3,125	1,572
125	Micron Technology Inc	L/T	08/15/2013	880	1,711	830
500	MicroSoft Corp	L/T	04/22/2013	16,563	15,170	(1,392)
375	Mitsubishi Corp Spon ADR	L/T	10/14/2013	16,221	15,199	(1,022)
66	Mohawk Industries Inc.	L/T	07/15/2013	6,947	7,552	604
8	Mohawk Industries Inc	L/T	08/15/2013	842	987	145
1,000	Mondelez Int'l Inc	L/T	07/12/2013	20,436	30,182	9,746
202	Mondelez Int'l Inc	L/T	08/15/2013	5,668	6,213	545
40	Monster Beverage Corp	L/T	06/17/2013	2,450	2,230	(220)
117	Monster Beverage Corp	L/T	07/15/2013	7,166	7,356	190
285	Morgan Stanley	L/T	01/14/2013	5,735	6,460	724
170	Morgan Stanley	L/T	07/15/2013	3,447	4,196	749
378	National Beverage Corp	L/T	12/14/2012	5,666	6,546	880
365	National Retail PPTYS Inc	L/T	08/15/2013	11,337	11,271	(66)
27	Nestle Spon ADR	L/T	04/15/2013	1,689	1,886	197
46	NetApp Inc	L/T	01/14/2013	1,769	1,492	(277)
86	NetApp Inc.	L/T	03/14/2013	3,307	2,885	(422)
39	NetApp Inc.	L/T	04/15/2013	1,500	1,326	(174)
244	NetApp Inc	L/T	05/15/2013	9,383	8,964	(419)
71	NetApp Inc.	L/T	07/15/2013	2,730	2,936	206
61	NetApp Inc	L/T	08/15/2013	2,346	2,532	186
127	NetApp Inc	L/T	10/14/2013	4,884	5,150	267
2,783	New World Development Ltd	L/T	02/16/2013	8,271	9,504	1,233
63	Nextera Energy Inc	L/T	07/15/2013	4,508	5,056	548
461	Nii Holdings Inc	L/T	08/15/2013	4,067	2,834	(1,233)

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Qty	Item Description	Purchased	Date Sold	Sales Price	Basis	Gain/(Loss)
60	Nike Inc	L/T	03/14/2013	2,813	3,581	767
53	Nike Inc	L/T	06/17/2013	2,485	3,164	679
1,200	Nike Inc	L/T	07/12/2013	13,697	76,420	62,723
192	Nike Inc	L/T	07/15/2013	9,003	12,006	3,003
28	Nike Inc	L/T	08/15/2013	1,382	1,804	422
66	Noble Corp	L/T	08/15/2013	2,096	2,497	401
20	Nordson Corp	L/T	04/15/2013	834	1,324	491
30	Norfolk Southern Corp	L/T	08/15/2013	2,121	2,182	61
20	Nortel Networks Corp	L/T	07/12/2013	7,813	0	(7,813)
28	Novartis AG Spon ADR	L/T	04/15/2013	1,681	2,028	347
70	Novartis AG Spon ADR	L/T	10/14/2013	4,240	5,176	936
45	Nuveen Mortgage Opportunity Term Fd	L/T	07/12/2013	1,115	1,103	(12)
53	NXP Semiconductors	L/T	12/14/2012	1,310	1,338	28
41	NXP Semiconductors	L/T	02/16/2013	1,013	1,227	213
1,456	OAQ Gazprom Level 1	L/T	02/16/2013	19,227	12,803	(6,424)
224	On Semiconductor Corp	L/T	04/15/2013	1,477	1,663	186
655	Orica Ltd Ord AUD	L/T	10/14/2013	17,322	12,653	(4,669)
216	Orthofix Int'l NV ORD	L/T	08/15/2013	8,327	4,994	(3,333)
46	Outerwall (Coinstar Inc)	L/T	07/15/2013	2,332	2,894	562
107	Outerwall (Coinstar Inc)	L/T	09/16/2013	5,424	6,308	884
39	Parker Hannifin Corp	L/T	08/15/2013	3,733	3,975	242
32	Parker Hannifin Corp	L/T	10/14/2013	3,063	3,460	397
68	Parker Hannifin Corp	L/T	11/14/2013	6,510	7,921	1,411
26	Patterson Companies Inc	L/T	03/14/2013	490	948	458
53	Patterson Companies Inc	L/T	04/15/2013	998	1,966	968
138	Pennantpark Invst Corp	L/T	04/15/2013	1,432	1,528	96
118	Penney J C Co Inc	L/T	08/15/2013	1,946	1,591	(355)
100,000	Pepsico 4 65% mat 02/15/2013	L/T	02/14/2013	100,201	100,000	(201)
263	Performant Financial Corp	L/T	08/15/2013	2,649	2,842	193
11	Philip Morris Int'l	L/T	08/15/2013	1,001	931	(71)
24	Polo Ralph Lauren Corp	L/T	02/16/2013	3,370	4,218	848
8	Polo Ralph Lauren Corp	L/T	04/15/2013	1,123	1,327	204
11	Polo Ralph Lauren Corp	L/T	06/17/2013	1,545	1,835	290
20	Polo Ralph Lauren Corp	L/T	07/15/2013	2,809	3,620	811
22	Polo Ralph Lauren Corp	L/T	08/15/2013	3,090	3,774	684
7	Polo Ralph Lauren Corp	L/T	09/16/2013	983	1,142	159
150	Praxair Inc	L/T	05/15/2013	16,259	17,071	812
23	Precision Castparts Corp	L/T	05/15/2013	4,357	4,833	476
12	Precision Castparts Corp	L/T	06/17/2013	2,273	2,505	232
56	Precision Castparts Corp	L/T	07/15/2013	10,608	12,600	1,992
6	Priceline com Inc	L/T	12/14/2012	3,323	3,956	634
5	Priceline com Inc	L/T	06/17/2013	2,769	3,967	1,198
18	Priceline com Inc	L/T	07/15/2013	9,968	16,201	6,233
2	Priceline com Inc	L/T	08/15/2013	1,108	1,874	766
66	Progressive Corp Ohio	L/T	06/17/2013	1,506	1,590	84

Tocker Foundation
Form 990-PF;Part IV Capital Gains & Losses
December 2012 through November 2013

Qty	Item Description	Purchased	Date Sold	Sales Price	Basis	Gain/(Loss)
260	Progressive Corp Ohio	L/T	07/15/2013	5,935	6,748	813
34	Prosperity Bancshares Inc	L/T	04/15/2013	1,268	1,540	272
100,000	Prudential Fin'l 2 75% mat 1/14/2013	L/T	01/15/2013	101,809	100,000	(1,809)
35	Qualcomm Inc.	L/T	04/15/2013	1,968	2,257	289
284	Qualcomm Inc	L/T	07/15/2013	15,968	18,349	2,381
40	Qualcomm Inc	L/T	08/15/2013	2,249	2,666	417
48	Qualcomm Inc	L/T	09/16/2013	2,699	3,316	618
148	Qualys Inc	L/T	04/15/2013	1,845	1,681	(164)
158	Qualys Inc	L/T	07/15/2013	1,970	2,470	500
182	Realogy Hldgs Corp	L/T	11/14/2013	9,217	8,306	(911)
132	Regal Beloit Corp	L/T	05/15/2013	6,670	8,696	2,026
149	Regis Corp Minn	L/T	04/15/2013	2,604	2,583	(21)
667	Regis Corp Minn	L/T	09/16/2013	11,655	9,896	(1,759)
264	Ricoh Ltd	L/T	05/15/2013	15,831	15,458	(373)
5,000	Robert Half Int'l	L/T	04/24/2013	122,426	165,542	43,116
70	Roper Inds Inc	L/T	12/14/2012	6,355	7,799	1,444
410	RPM International Inc	L/T	02/14/2013	11,916	12,335	419
145	Ryder System Inc	L/T	02/16/2013	5,729	8,405	2,676
64	SabMiller PLC	L/T	06/17/2013	2,783	3,210	427
174	SabMiller PLC	L/T	07/15/2013	7,566	8,348	782
57	Salesforce com Inc.	L/T	06/17/2013	1,899	2,066	167
278	Salesforce com Inc	L/T	07/15/2013	9,261	12,015	2,754
49	Salesforce com Inc	L/T	08/15/2013	1,632	2,133	500
30	Salix Pharmaceuticals Ltd	L/T	07/15/2013	1,438	1,942	504
81	Salix Pharmaceuticals Ltd	L/T	08/15/2013	4,350	5,808	1,458
243	Sampo Oyj ADR	L/T	06/17/2013	3,338	4,921	1,582
114	Sampo Oyj ADR	L/T	07/15/2013	1,566	2,485	919
200	Sanofi ADR	L/T	07/12/2013	6,903	10,428	3,524
1,000	SAP AG Spon ADR	L/T	07/12/2013	31,584	74,873	43,289
266	SAP AG Spon ADR	L/T	08/15/2013	16,743	20,127	3,384
39	Schlumberger LTD	L/T	03/14/2013	2,660	2,952	293
51	Schlumberger LTD	L/T	04/15/2013	3,478	3,685	207
22	Schlumberger LTD	L/T	05/15/2013	1,500	1,683	183
80	Schlumberger LTD	L/T	07/15/2013	5,456	6,553	1,097
10	Schlumberger LTD	L/T	08/15/2013	682	805	123
123	Scottish & Southern Energy	L/T	07/15/2013	2,492	3,033	541
628	Scottish & Southern Energy	L/T	11/14/2013	12,726	13,876	1,150
90	Service Now Inc	L/T	04/15/2013	2,632	3,906	1,274
173	Service Now Inc	L/T	07/15/2013	5,263	7,727	2,464
17	Service Now Inc	L/T	08/15/2013	517	770	252
260	Service Source Int'l	L/T	08/15/2013	2,212	3,246	1,034
16	Sherwin Williams Co	L/T	06/17/2013	1,358	2,754	1,396
50	Sherwin Williams Co.	L/T	07/15/2013	4,243	8,641	4,398
8	Sherwin Williams Co	L/T	08/15/2013	835	1,382	547
1,000	Silicon Laboratories	L/T	07/12/2013	21,894	44,947	23,053

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Form 990-PF;Part IV Capital Gains & Losses
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Qty	Item Description	Purchased	Date Sold	Sales Price	Basis	Gain/(Loss)
1,875	Sirius XM Radio Inc.	L/T	07/15/2013	5,585	6,944	1,359
76	Skyworks Solutions Inc	L/T	02/16/2013	2,091	1,805	(286)
596	Skyworks Solutions Inc	L/T	11/14/2013	15,528	15,317	(212)
4,624	SMA Relationship Trust Series G	L/T	02/16/2013	44,404	41,847	(2,556)
2,837	SMA Relationship Trust Series G	L/T	05/15/2013	27,174	26,725	(450)
4,123	SMA Relationship Trust Series G	L/T	06/17/2013	39,492	37,272	(2,220)
31,423	SMA Relationship Trust Series G	L/T	07/15/2013	300,985	292,234	(8,751)
2,248	SMA Relationship Trust Series S	L/T	04/15/2013	20,903	24,571	3,667
18	Solera Holdings Inc.	L/T	03/14/2013	509	1,032	523
43	Solera Holdings Inc	L/T	04/15/2013	1,216	2,313	1,097
27	Solera Holdings Inc	L/T	08/15/2013	764	1,512	748
445	Southern Company	L/T	11/15/2013	20,103	18,802	(1,301)
82	Spirit Airlines Inc	L/T	08/15/2013	1,979	2,536	557
695	Spirit Airlines Inc	L/T	09/16/2013	16,772	22,665	5,893
43	SS&C Technologies Hldgs Inc	L/T	04/15/2013	710	1,219	508
60	SS&C Technologies Hldgs Inc	L/T	07/15/2013	991	2,215	1,224
52	SS&C Technologies Hldgs Inc	L/T	11/14/2013	859	2,035	1,176
1,375	Standard & Poors Dep Rcpts Unit Ser 1	L/T	01/14/2013	179,639	205,174	25,535
174	Standard & Poors Dep Rcpts Unit Ser 1	L/T	04/15/2013	23,112	27,197	4,086
427	Standard & Poors Dep Rcpts Unit Ser 1	L/T	06/17/2013	56,716	68,958	12,242
609	Standard & Poors Dep Rcpts Unit Ser 1	L/T	08/15/2013	80,927	103,930	23,003
25	Starbucks Corp	L/T	08/15/2013	1,510	1,769	259
730	Steel Dynamics Inc.	L/T	04/15/2013	9,751	10,381	630
94	Steris Corp	L/T	02/16/2013	2,609	3,686	1,077
1,224	Summit Hotel Properties	L/T	04/15/2013	10,045	12,179	2,134
133	Symantec Corp	L/T	03/14/2013	1,986	3,139	1,154
117	Symantec Corp	L/T	08/15/2013	2,001	3,056	1,056
100,000	Sysco 4 2% due 2/15/2014	L/T	02/14/2013	101,053	100,000	(1,053)
577	Tangoe Inc com	L/T	01/14/2013	9,871	7,467	(2,404)
24	Telenor ASA ADR	L/T	04/15/2013	963	1,594	631
114	Telenor ASA ADR	L/T	11/14/2013	4,674	8,459	3,785
64	Tenneco Inc	L/T	04/15/2013	1,690	2,280	590
38	Teradata Corp	L/T	02/16/2013	2,198	2,538	340
23	Teradata Corp	L/T	04/15/2013	1,335	1,148	(187)
38	Teradata Corp	L/T	06/17/2013	2,205	1,835	(370)
160	Teradata Corp	L/T	07/15/2013	9,284	9,319	35
193	Teradata Corp	L/T	10/14/2013	11,199	8,093	(3,105)
153	Teva Pharmaceuticals Ind	L/T	03/14/2013	6,686	6,139	(546)
78	Teva Pharmaceuticals Ind	L/T	07/15/2013	3,375	3,029	(346)
616	Teva Pharmaceuticals Ind	L/T	11/14/2013	26,459	22,619	(3,840)
41,044	TIFF - Multi Asset Fund	L/T	08/23/2013	610,337	693,636	83,299
47	Time Warner Inc	L/T	08/15/2013	2,135	2,894	759
251	Tokio Marine Holdings Inc.	L/T	10/14/2013	6,089	8,203	2,114
217	Tokyo Gas Co Ltd	L/T	12/14/2012	3,951	4,252	301
675	Tokyo Gas Co Ltd	L/T	10/14/2013	12,447	14,561	2,114

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Qty	Item Description	Purchased	Date Sold	Sales Price	Basis	Gain/(Loss)
15	Toyota Motor Corp New	L/T	04/15/2013	1,255	1,683	428
432	Ultra Petroleum Corp	L/T	02/16/2013	19,474	7,773	(11,701)
27	Union Pacific Corp	L/T	04/15/2013	2,889	3,858	969
30	Union Pacific Corp	L/T	06/17/2013	3,210	4,661	1,451
56	Union Pacific Corp	L/T	07/15/2013	5,992	8,926	2,934
8	Union Pacific Corp	L/T	08/15/2013	856	1,241	385
30	Unisource Energy Corp.	L/T	04/15/2013	1,117	1,490	373
185	Unisource Energy Corp	L/T	06/17/2013	6,889	8,750	1,862
145	United Technologies Corp	L/T	01/16/2013	11,853	12,525	672
73	United Technologies Corp	L/T	04/15/2013	6,213	6,701	488
37	United Technologies Corp	L/T	06/17/2013	3,149	3,376	227
113	United Technologies Corp	L/T	07/15/2013	9,618	11,861	2,243
18	United Technologies Corp	L/T	08/15/2013	1,532	1,833	301
145	United Technologies Corp	L/T	08/15/2013	11,935	14,934	2,999
207	Unitedhealth Group Inc.	L/T	04/15/2013	9,980	12,851	2,871
29	Unitedhealth Group Inc	L/T	06/17/2013	1,398	1,864	466
72	Unitedhealth Group Inc	L/T	07/15/2013	3,471	5,169	1,698
131	Unitedhealth Group Inc	L/T	08/15/2013	6,316	9,494	3,178
77	Unitedhealth Group Inc	L/T	10/14/2013	3,713	5,578	1,865
28	Unitedhealth Group Inc	L/T	11/14/2013	1,379	1,928	549
95	US Bancorp	L/T	07/15/2013	2,703	3,445	742
75	US Bancorp	L/T	08/15/2013	2,288	2,766	478
75,000	US Bancorp 2 125% mat 2/15/2013	L/T	02/14/2013	74,595	75,000	405
29	Vail Resorts Inc.	L/T	03/14/2013	1,273	1,791	519
27	Vail Resorts Inc	L/T	04/15/2013	1,185	1,611	426
30	Vail Resorts Inc	L/T	07/15/2013	1,317	1,891	574
309	Validus Holdings LTD	L/T	08/15/2013	7,942	10,822	2,880
6,775	Vanguard MSCI EAFE	L/T	12/14/2012	221,900	239,937	18,038
884	Vanguard MSCI EAFE	L/T	01/14/2013	29,172	31,965	2,793
2,942	Vanguard MSCI EAFE	L/T	02/16/2013	99,004	106,779	7,775
320	Vanguard MSCI EAFE	L/T	04/15/2013	10,769	11,754	985
3,090	Vanguard MSCI EAFE	L/T	05/15/2013	106,434	118,267	11,834
5,088	Vanguard MSCI EAFE	L/T	06/17/2013	175,254	182,666	7,412
186	Verisign Inc	L/T	01/14/2013	8,351	7,129	(1,223)
175	Vermilion Energy Inc	L/T	01/16/2013	8,179	9,023	844
52	Viacom Inc New Cl B	L/T	07/15/2013	2,515	3,772	1,257
30	Viacom Inc New Cl B	L/T	08/15/2013	1,618	2,355	738
30	VISA Inc	L/T	12/14/2012	2,714	4,443	1,730
50	VISA Inc.	L/T	02/16/2013	4,523	7,922	3,399
17	VISA Inc	L/T	03/14/2013	1,538	2,662	1,124
7	VISA Inc	L/T	04/15/2013	633	1,144	511
23	VISA Inc	L/T	05/15/2013	2,080	4,155	2,075
22	VISA Inc	L/T	06/17/2013	1,990	3,903	1,913
118	VISA Inc	L/T	07/15/2013	10,674	22,697	12,024
33	VISA Inc	L/T	08/15/2013	2,985	5,838	2,853

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Qty	Item Description	Purchased	Date Sold	Sales Price	Basis	Gain/(Loss)		
44	VMWare	L/T	06/17/2013	3,810	2,875	(935)		
179	VMWare	L/T	07/15/2013	15,502	14,693	(809)		
20	VMWare	L/T	08/15/2013	1,732	1,693	(39)		
47	Vodafone Group PLC	L/T	04/15/2013	1,231	1,397	167		
423	Volkswagen AG	L/T	04/15/2013	12,792	16,920	4,129		
100,000	Walgreen Co 4.875% mat 8/01/2013	L/T	08/15/2013	101,583	100,000	(1,583)		
650	Wal-Mart Stores	L/T	04/22/2013	32,319	50,132	17,814		
125,000	Wal-Mart Stores 4 55% mat 5/1/2013	L/T	05/28/2013	129,655	125,000	(4,655)		
67	Waste Management	L/T	03/14/2013	2,393	2,565	172		
69	Waste Management	L/T	07/15/2013	2,513	2,761	248		
70	Waste Management	L/T	08/15/2013	2,639	2,967	328		
540	Waste Mgmt Inc	L/T	12/17/2012	17,677	18,102	424		
137	Wellpoint Inc	L/T	03/14/2013	7,362	8,569	1,207		
139	Wells Fargo & Co.	L/T	01/14/2013	3,868	4,876	1,008		
57	Wells Fargo & Co.	L/T	08/15/2013	1,796	2,413	617		
189	Wells Fargo & Co	L/T	10/14/2013	5,956	8,019	2,063		
100,000	Wells Fargo 4 375% mat 1/31/2013	L/T	01/15/2013	105,637	100,000	(5,637)		
50,000	Wyeth 5 5% mat 03/15/2013	L/T	12/10/2012	50,826	50,570	(256)		
6,356	Xstrat PLC	L/T	05/15/2013	22,922	20,572	(2,350)		
40	YUM! Brands Inc	L/T	08/15/2013	2,960	2,914	(46)		
Total Capital Gain/(Loss)				8,525,170	9,633,239	1,108,069		
Capital Gain Dist Capital Gain Distributions				L/T	Various	-	79,222	79,222
				8,525,170	9,712,462	1,187,291		

Tocker Foundation
Grants Contributions Paid
990 PF Part XV, Supplementary Information

Recipient	Relationship	Status	Purpose	Amount
Alba Public Library & Museum 109 E Holley Alba, TX 75410	Not Individual	Public Library	ARSL Stipend	300
Total Alba Public Library & Museum				300
Anti-Defamation League 11940 Jollyville Rd , Suite 309-S Austin, TX 78759	Not Individual	Public Charity	Jurisprudence	10,000
Total Anti-Defamation League				10,000
Austin Children's Shelter P O Box 684213 Austin, TX 78768	Not Individual	Public Charity	General	4,000
Total Austin Children's Shelter				4,000
Austin County Library 6730 Railroad Street Wallis, TX 77485	Not Individual	Public Library	Computers, Books & Children's Furniture	10,310
Total Austin County Library				10,310
Austin History Center PO Box 2287, Austin, TX 78768	Not Individual	Public Library	General	125
Total Austin Public Library Friends Foundation				125
Austin Public Library Friends Foundation P O Box 13201 Austin, TX 78711	Not Individual	Public Charity	General	2,500
Total Austin Public Library Friends Foundation				2,500
Aytz Chaim Foundation 3004 Belmont Cir Austin, TX 78703	Not Individual	Public Charity	Collection Enhancement Program	5,000
Total Aytz Chaim Foundation				5,000
B.J. Hill Library P O Box 217 Holland, TX 76534	Not Individual	Public Library	Furniture & Audio Books	10,000
Total B J Hill Library				10,000
Bailey H. Dunlap Memorial 450 S Main Street La Feria, TX 78559	Not Individual	Public Library	Furniture & Shelving	24,722
Total Bailey H Dunlap Memorial				24,722
Bastrop Public Library 1100 Church St Bastrop, TX 78602	Not Individual	Public Library	Texas Library Conference Stipend	1,598
Total Bastrop Public Library				1,598
Bellville Public Library 12 West Palm St Bellville, TX 77418	Not Individual	Public Library	Summer Reading Club	600
Total Bellville Public Library				600
Bertha Voyer Memorial Library P O Box 47 Honey Grove, TX 75446	Not Individual	Public Library	ESL & GED Programming	30,000
Total Bertha Voyer Memorial Library				30,000
Blanco Co. So. Library District 1118 Main Blanco, TX 78606	Not Individual	Public Library	Library Automation & Furniture	9,549
			Additional Exp due to update circulation #	1,000
Total Blanco Co So Library District				10,549

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Grants Contributions Paid
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Recipient	Relationship	Status	Purpose	Amount
BookSpring RIF Austin & CAROR P O Box 143147 Austin, TX 78714	Not Individual	Public Charity	Books	5,000
Total BookSpring				5,000
Bowie Public Library 301 W Walnut Hill St Bowie, TX 76230	Not Individual	Public Library	Furniture & Shelving	47,638
Total Bowie Public Library				47,638
Buna Public Library Hwy 62 South Buna, TX 77612	Not Individual	Public Library	Books, DVD's & Audio	5,000
Total Buna Public Library				5,000
Burkburnett Library 215 E 4th St Burkburnett, TX 76354-3446	Not Individual	Public Library	Computers (2)	3,115
Total Burkburnett Library				3,115
Carl & Mary Welhausen Library 810 Front St Yoakum, TX 77995	Not Individual	Public Library	Library Automation	9,435
Total Carl & Mary Welhausen Library				9,435
Casis Elementary School 2710 Exposition Blvd Austin, TX 78703	Not Individual	Public School	General	1,000
Total Casis Elementary School				1,000
Chabad of Austin 4205 Venado Dr Austin, TX 78731 4205 Venado Dr Austin, TX 78731	Not Individual	Religious Org	Memory of Rabbi Moshe Greenberg School Playscape & assembly	500 8,646
Total Chabad of Austin				9,146
Children's Medical Center Foundation 4900 Mueller Blvd Austin, TX 78723	Not Individual	Public Charity	DVD Cleaner for Library	2,065
Total Children's Medical Center Foundation				2,065
Childress Public Library 117 Ave B N E Childress, TX 79201	Not Individual	Public Library	Books & Furniture	6,635
Total Childress Public Library				6,635
Claud H. Gilmer Memorial Library P O Box 157 Rocksprings, TX 78880-0157	Not Individual	Public Library	Texas Library Conference Stipend	380
Total Claud H Gilmer Memorial Library				380
Clyde Public Library 306 North First Street Clyde, TX 79510-4729	Not Individual	Public Library	Texas Library Conference Stipend	1,107
Total Clyde Public Library				1,107
Cochran Co. Love Memorial Library 318 S Main Morton, TX 79346	Not Individual	Public Library	Library Automation	4,800
Total Cochran Co Love Memorial Library				4,800
Coleman Public Library 402 Commercial Ave Coleman, TX 76834	Not Individual	Public Library	Furniture & Shelving	36,062
Total Coleman Public Library				36,062

Tocker Foundation
Grants Contributions Paid
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Recipient	Relationship	Status	Purpose	Amount
Commerce Public Library PO Box 308 Commerce, TX 75428	Not Individual	Public Library	Books	5,000
Total Commerce Public Library				5,000
Conference of Southwest Foundations 624 N Good Latimer Expy , Suite 100 Dallas, TX 75204	Not Individual	Public Charity	General	6,500
Total Conference of Southwest Foundations				6,500
Congregation Beth Israel 3901 Shoal Creek Blvd Austin, TX 78756	Not Individual	Religious Org	General	2,500
Total Congregation Beth Israel				2,500
Cooke County Library 200 S Weaver St Gainsville, TX 76240-4790	Not Individual	Public Library	ARSL Stipend	300
Total Cooke County Library				300
Crosby Co. Library 114 West Aspen St Crosbyton, TX 79322	Not Individual	Public Library	Digitize Crosby Co Newspaper	17,350
Total Crosby Co Library				17,350
Cross Plains Public Library P O Box 333 Cross Plains, TX 76443	Not Individual	Public Library	Computer Laptops (2)	1,724
Total Cross Plains Public Library				1,724
CTLS 5555 N Lamar Blvd L-115 Austin, TX 78751	Not Individual	Public Charity	Library Consultant	37,500
Total CTLS				37,500
Daingerfield Public Library 207 Jefferson St Daingerfield, TX 75638	Not Individual	Public Library	ARSL Stipend	300
Total Daingerfield Public Library				300
Dimmit County Public Library 200 N 9th Street Carrizo Springs, TX 78834	Not Individual	Public Library	Library Automation	7,500
Total Dimmit County Public Library				7,500
Downsville Volunteer Fire Dept. 7701 S 3rd St Waco, TX 76706	Not Individual	Public Charity	General	2,500
Total Downsville Volunteer Fire Dept				2,500
Driscoll Public Library 202 E Hondo Ave Devine, TX 78016-3342	Not Individual	Public Library	Computers (2)	1,138
			Increase in Quote #13207	167
Total Driscoll Public Library				1,305
Dublin Public Library 206 W Blackjack Dublin, TX 76446	Not Individual	Public Library	SLMT SanAngelo, TX	255
Total Dublin Public Library				255
Eden Public Library P O Box 896 Eden, TX 76837	Not Individual	Public Library	Library Automation	4,800
Total Eden Public Library				4,800

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Recipient	Relationship	Status	Purpose	Amount
Elmer P & Jewel Ward Memorial Library P O Box 567 Centerville, TX 75833	Not Individual	Public Library	Library Automation	7,545
Total Elmer P & Jewel Ward Memorial Library				7,545
F. M. Richards Memorial Library 1106 S Blackburn Brady, TX 76825	Not Individual	Public Library	Texas Library Conference Stipend	1,634
Total F M Richards Memorial Library				1,634
Family Eldercare 1700 Rutherford Ln Austin, TX 78754	Not Individual	Public Charity	General	1,500
Total Family Eldercare				1,500
Fannie Brown Booth Library 619 Tenaha St Center, TX 75935	Not Individual	Public Library	Library Automation	7,300
			Addition of 5 yrs Content Cafe Extension	1,650
Total Fannie Brown Booth Library				8,950
Floyd County Library County Court House Floydada, TX 79235	Not Individual	Public Library	Texas Library Conference Stipend	1,547
			Books	2,495
Total Floyd County Library				4,042
Franklin County Library P O Box 579 Mt Vernon, TX 75457	Not Individual	Public Library	ARSL Stipend	300
Total Franklin County Library				300
Friench Simpson Memorial Library 705 E Fourth St Hallettsville, TX 77964-2828	Not Individual	Public Library	SLMT Portland, TX	130
Total Friench Simpson Memorial Library				130
Genevieve M. Hitchcock Public Library 8005 Barry Ave Hitchcock, TX 77563	Not Individual	Public Library	Library Automation	7,600
Total Genevieve M Hitchcock Public Library				7,600
Giddings Public Library 276 N Orange St Giddings, TX 78942	Not Individual	Public Library	iPads (2)	1,240
Total Giddings Public Library				1,240
Gladys Johnson Richie Public Library 626 W College Jacksboro, TX 76458	Not Individual	Public Library	Library Automation	8,100
Total Gladys Johnson Richie Public Library				8,100
Goliad Co. Library P O Box 1025 Goliad, TX 77963	Not Individual	Public Library	Library Automation	9,140
Total Goliad Co Library				9,140
Gonzales Public Library 415 Saint Matthew St Gonzales, TX 78629-4037	Not Individual	Public Library	Library Automation	10,800
Total Gonzales Public Library				10,800
Grand Saline Public Library 201 Pacific Ave Grand Saline, TX 75140	Not Individual	Public Library	Computers (2)	1,534
Total Grand Saline Public Library				1,534

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Receipient	Relationship	Status	Purpose	Amount
Grapeland Public Library 212 North Oak St Grapeland, TX 75844-0879	Not Individual	Public Library	Books & Shelving	8,115
Total Grapeland Public Library				8,115
Gullett Elementary School 6310 Treadwell Blvd Austin, Texas 78757	Not Individual	Public School	General	2,500
Total Gullett Elementary School				2,500
Hansford Co. Library 122 Main St Spearman, TX 79081	Not Individual	Public Library	Texas Library Conference Stipend	1,643
Total Hansford Co Library				1,643
Jackson County Memorial Library 411 North Wells Edna, TX 77957	Not Individual	Public Library	Books	3,702
			Furniture	2,831
Total Jackson County Memorial Library				6,533
Jasper Public Library 175 East Water Street Jasper, TX 75951	Not Individual	Public Library	SCLRT Librarian of the Year	1,000
Total Jasper Public Library				1,000
Jeff Davis County Library P O Box 1054 Ft Davis, TX 79734-1054	Not Individual	Public Library	Books	5,000
Total Jeff Davis County Library				5,000
Jewett Public Library PO Box 926 Jewett, Texas 75846	Not Individual	Public Library	Library Automation	11,652
Total Jewett Public Library				11,652
Jewish Community Assoc. of Austin 7300 Hart Ln Austin, TX 78731	Not Individual	Public Charity	General	540
			Jewish Book Fair	5,000
Total Jewish Community Assoc of Austin				5,540
Johnson City Library P O Box 332 Johnson City, TX 78636	Not Individual	Public Library	Books & Furniture	6,382
			SLMT Waco, TX	255
Total Johnson City Library				6,637
Jourdanton Community Library 1220 Simmons Ave Jourdanton, TX 78026-2896	Not Individual	Public Library	Library Automation	8,100
Total Jourdanton Community Library				8,100
Kerens Library, Friends of the 106 SE 2nd St Kerens, TX 75144	Not Individual	Public Charity	Digitization Kerens Tribune	2,210
Total Kerens Library, Friends of the				2,210
Khabele School 801 Rio Grande Austin, TX 78701	Not Individual	Public Charity	Fundraiser	150
			KI Invoice Reimbursement	32,096
Total Khabele School				32,246
KLRU-TV P O Box 7158 Austin, TX 78713-7158	Not Individual	Public Charity	General	2,500
Total KLRU-TV				2,500

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Recipient	Relationship	Status	Purpose	Amount
Krum Public Library 803 E McCart Street Krum, TX 76249	Not Individual	Public Library	Furniture & Shelving	32,561
Total Krum Public Library				32,561
La Joya Municipal Library 925 South Leo Ave La Joya, TX 78560	Not Individual	Public Library	Add Round Table	1,103
Total La Joya Municipal Library				1,103
Lago Vista Community Library P O Box 4967 Lago Vista, TX 78645	Not Individual	Public Library	Furniture & Shelving	50,000
Total Lago Vista Community Library				50,000
Lakehills Area Library P O Box 976 Lakehills, TX 78063	Not Individual	Public Library	Books	5,000
Total Lakehills Area Library				5,000
Lakeshore Library 7346 Hwy 261 Buchanan Dam, TX 78609-9751	Not Individual	Public Library	Books & Audio	5,000
Total Lakeshore Library				5,000
Liberty Hill Public Library P O Box 1072 Liberty Hill, TX 78642	Not Individual	Public Library	Books	4,873
Total Liberty Hill Public Library				4,873
Lindale Library P O Box 1535 Lindale, TX 75771	Not Individual	Public Library	Books	5,000
Total Lindale Library				5,000
Llano Co. Library System				
Kingsland Branch Library 125 W Polk St Kingsland, TX 78639-5908	Not Individual	Public Library	Books	5,000
			Texas Library Conference Stipend	1,597
Total Kingsland Branch Library				6,597
Llano Co. Library System - Other 102 East Haynie Llano, TX 78643	Not Individual	Public Library	Computer Server, Software & Furniture	12,296
			Texas Library Conference Stipend	1,561
Total Llano Co Library System - Other				13,857
Total Llano Co Library System				20,454
Lois Nelson Public Library Princeton Community Library 319 McKinney Princeton, TX 75407	Not Individual	Public Library	Furniture & Shelving	49,725
Total Lois Nelson Public Library				49,725
Lone Oak Area Public Library Box 501 Lone Oak, TX 75453	Not Individual	Public Library	Books & Furniture	3,115
Total Lone Oak Area Public Library				3,115
Lovett Memorial Library 302 North Main McLean, TX 79057	Not Individual	Public Library	Books & DVD's	5,000
Total Lovett Memorial Library				5,000

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Recipient	Relationship	Status	Purpose	Amount
Lucy Hill Patterson Memorial Library 201 Ackerman Street Rockdale, TX 76567	Not Individual	Public Library	Author Honorariums	1,000
Total Lucy Hill Patterson Memorial Library				1,000
Lytle Public Library P O Box 1332 Lytle, TX 78052	Not Individual	Public Library	Books	5,000
Total Lytle Public Library				5,000
Marble Falls Public Library 101 Main St Marble Falls, TX 78654	Not Individual	Public Library	Furniture & Shelving	50,000
Total Marble Falls Public Library				50,000
Marlin Public Library 301 Winter St Marlin, TX 76661	Not Individual	Public Library	Books	5,000
			ARLS Travel Stipend	300
Total Marlin Public Library				5,300
McMullen Memorial Library P O Box 849 Huntington, TX 75949	Not Individual	Public Library	WiFi Hot Spot	1,914
			Library Cart	743
			Furniture & Computers (2)	4,625
Total McMullen Memorial Library				7,282
Mineola Memorial Library 301 N Pacific Street Mineola, TX 75773	Not Individual	Public Library	Additional Digitizing Exp	51
			ARSL Stipend	300
Total Mineola Memorial Library				351
Motley County Library P O Box 557 Matador, TX 79244	Not Individual	Public Library	Computers (2), Furniture & Books	7,106
			SLMT Lubbock, TX	255
Total Motley County Library				7,361
Nat'l Ctr Missing & Exploited Children 8668 Spicewood Springs Rd #200 Austin, TX 78759	Not Individual	Public Charity	Texas Heroes for Children	1,200
Total Nat'l Ctr Missing & Exploited Children				1,200
Nellie Pederson Civic Library P O Box 231 Clifton, TX 76634	Not Individual	Public Library	Library Automation	6,100
			SLMT Conroe, TX	300
Total Nellie Pederson Civic Library				6,400
New Boston Public Library 127 N Ellis St New Boston, TX 75570	Not Individual	Public Library	ARLS Travel Stipend	300
Total New Boston Public Library				300
New Mexico Library Foundation 7423 Cielo Grande NE Albuquerque, NM 87109	Not Individual	Public Charity	Brooke Sheldon Memorial	5,000
Total New Mexico Library Foundation				5,000
New Milestones Foundation P O Box 3211 Austin, TX 78764-3548	Not Individual	Public Charity	General	10,000

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Total New Milestones Foundation				10,000
Newark Public Library	Not Individual	Public Library		
P O Box 1219 Newark, TX 76071			Computer	1,109
			Quote above Application	599
			Furniture & Shelving	22,383
Total Newark Public Library				24,091
Newton County Public Library	Not Individual	Public Library		
P O Box 657 Newton, TX 75966-0657			Books	5,000
Total Newton County Public Library				5,000
Nocona Public Library	Not Individual	Public Library		
10 Cooke Street Nocona, TX 76255-2148			Library Automation	7,300
Total Nocona Public Library				7,300
Olton Branch Library	Not Individual	Public Library		
701 Main Olton, TX 79064			Spanish Language Story Time & Books	5,440
Total Olton Branch Library				5,440
Onalaska Public Library	Not Individual	Public Library		
352 S FM 372 Ste 103 Onalaska, TX 77360			Library Automation	9,150
Total Onalaska Public Library				9,150
Pearsall Public Library	Not Individual	Public Library		
200 East Trinity Street Pearsall, TX 78061-3351			Library Automation	5,160
Total Pearsall Public Library				5,160
Pittsburg-Camp County Library	Not Individual	Public Library		
613 Quitman St Pittsburg, TX 75686-1035			Computers	7,553
			ARSL Stipend	300
Total Pittsburg-Camp County Library				7,853
Port Isabel Public Library	Not Individual	Public Library		
213 Yturria St Port Isabel, TX 78578			Texas Library Conference Stipend	1,625
Total Port Isabel Public Library				1,625
Post Public Library	Not Individual	Public Library		
105 East Main Post, TX 79356			Furniture & Shelving	49,989
Total Post Public Library				49,989
Pottsboro Area Public Library	Not Individual	Public Library		
P O Box 477 Pottsboro, TX 75076			SLMT Wichita Falls, TX	255
			Books	5,000
			ARSL Stipend	300
Total Pottsboro Area Public Library				5,555
Quitman Public Library	Not Individual	Public Library		
202 E Goode St Quitman, TX 75783-2533			Texas Library Conference Stipend	1,329
Total Quitman Public Library				1,329
Rawson Saunders School	Not Individual	Public Charity		
2614 A Exposition Austin, TX 78703			Learning Ally Subscription	2,225

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Total Rawson Saunders School				2,225
Reber Memorial Library 193 N 4th St Raymondville, TX 78580	Not Individual	Public Library	Library Automation Texas Library Conference Stipend	11,200 1,689
Total Reber Memorial Library				12,889
Richland Hills Public Library 6724 Rena Dr Richland Hills, TX 76118	Not Individual	Public Library	Furniture & Shelving	38,037
Total Richland Hills Public Library				38,037
Robertson County Library 12198 Riley Green Rd Franklin, TX 77856	Not Individual	Public Library	Books Copier	5,000 6,522
Total Robertson County Library				11,522
Round Top Library P O Box 245 Round Top, TX 78954	Not Individual	Public Library	Computers (2)	2,160
Total Round Top Library				2,160
Safe Place Attn Development Dept P O Box 19454 Austin, TX 78760	Not Individual	Public Charity	Resource Center	15,876
Total Safe Place				15,876
Salado Public Library P O Box 1178 Salado, TX 76571	Not Individual	Public Library	Furniture & Shelving	48,160
Total Salado Public Library				48,160
Settlement Home 1600 Payton Gin Road Austin, TX 78758	Not Individual	Public Charity	General	1,500
Total Settlement Home				1,500
Shackelford County Library 402 N 2nd St Albany, TX 76430	Not Individual	Public Library	Library Automation	4,800
Total Shackelford County Library				4,800
St. Edwards University 3001 South Congress Ave Austin, TX 78704-6489	Not Individual	Public School	New Library	25,000
Total St Edwards University				25,000
Stamford Carnegie Library 600 E McHarg St Stamford, TX 79553-4310	Not Individual	Public Library	Library Automation	6,382
Total Stamford Carnegie Library				6,382
Sustainable Food Center P O Box 13323 Austin, TX 78711	Not Individual	Public Charity	New Training Facility	25,000
Total Sustainable Food Center				25,000
Sweetwater, County-City Library 206 Elm Street Sweetwater, TX 79556	Not Individual	Public Library	Digitization of News Papers	49,590
Total Sweetwater, County-City Library				49,590
Texas Book Festival	Not Individual	Public Charity		

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610 Brazos, Suite 200 Austin, TX 78701			Texas Book Festival	25,000
Total Texas Book Festival				25,000
Texas Library Association	Not Individual	Public Charity		
3355 Bee Cave Road, Suite 401 Austin, TX 78746-6763			SCLRT Librarian of the Year	1,000
			General	611
Total Texas Library Association				1,611
Tom Burnet Memorial Library	Not Individual	Public Library		
400 West Alameda Iowa Park, TX 76367-1616			Books	4,000
Total Tom Burnet Memorial Library				4,000
University of Texas	Not Individual	Public University		
College of Fine Arts				
College of Fine Arts 1 University Station D1400 Austin, TX 78712-0340			Collection Catalog	90,000
Total College of Fine Arts				90,000
Information School				
Information School Austin, TX 78712-1276			Sheldon Leadership Professorship	5,000
Total Information School				5,000
KUT Radio				
300 W Dean Keeton St STOP A0704 Austin, TX 78712			Studio Space	2,500
Total KUT Radio				2,500
Total University of Texas				97,500
University of Texas-Pan American	Not Individual	Public University		
1201 West University Dr Edinburg, TX 78539			Festival of International Books & Arts 2013	10,000
Total University of Texas-Pan American				10,000
Vidor Public Library	Not Individual	Public Library		
440 East Bolivar Vidor, TX 77662			Furniture & Shelving	64,478
Total Vidor Public Library				64,478
Waskom Public Library	Not Individual	Public Library		
P O Box 1187 Waskom, TX 75692			Books	4,000
P O Box 1187 Waskom, TX 75692			ARSL Stipend	300
Total Waskom Public Library				4,300
Weimar Public Library	Not Individual	Public Library		
#1 Jackson Square Weimar, TX 78962-2019			Computers (2)	2,120
#1 Jackson Square Weimar, TX 78962-2019			Texas Library Conference Stipend	1,625
Total Weimar Public Library				3,745
Whitehouse Community Library	Not Individual	Public Library		
107 Bascom Rd Whitehouse, TX 75791-3230			Texas Library Conference Stipend	1,614
Total Whitehouse Community Library				1,614
Whitesboro Public Library	Not Individual	Public Library		
308 W Main Whitesboro, TX 76273			ARSL Stipend	300
Total Whitesboro Public Library				300
Winters Public Library	Not Individual	Public Library		
120 N Main St Winters, TX 79567			Library Automation	6,200
Total Winters Public Library				6,200
TOTAL				1,350,218