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Form 990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 12-01-2012 , and ending 11-30-2013

Name of foundation EARNEST & DORTHY BARROW FOUNDATION MUSEUM		A Employer identification number  75-1550896	
Number and street (or P O box number if mail is not delivered to street address) 331 W AVENUE B		B Telephone number (see instructions)  (325) 469-3788	
City or town, state, and ZIP code SAN ANGELO, TX 76903		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 4,727,302		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments	4,714	4,714	4,714	
	4 Dividends and interest from securities. . . . .	41,464	41,464	41,464	
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	138,851			
	b Gross sales price for all assets on line 6a _____ 138,851				
	7 Capital gain net income (from Part IV, line 2) . . . .		138,851		
	8 Net short-term capital gain . . . . .			761	
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .	179,384			
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	364,413	185,029	46,939	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages . . . . .	43,760			43,760
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	11,906	3,572		8,334
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions)	32,291			32,291
	19 Depreciation (attach schedule) and depletion . . .	6,317			
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	99,837	17,087		82,750
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	194,111	20,659		167,135
	25 Contributions, gifts, grants paid . . . . .	600			600
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	194,711	20,659		167,735
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	169,702			
	b <b>Net investment income</b> (if negative, enter -0-)		164,370		
	c <b>Adjusted net income</b> (if negative, enter -0-)			46,939	

Part II



Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	306,358	150,825	150,825
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .	247		
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	583,794	574,506	744,942
	c	Investments—corporate bonds (attach schedule). . . . .	388,013	566,921	567,356
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	1,228,404	948,290	960,681
	14	Land, buildings, and equipment basis ▶ _____ 152,570 Less accumulated depreciation (attach schedule) ▶ 93,620	65,267	58,950	1,409,395
15	Other assets (describe ▶ _____)	894,103	894,103	894,103	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,466,186	3,193,595	4,727,302	
Liabilities	17	Accounts payable and accrued expenses . . . . .	758	864	
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)		3,040	
	23	Total liabilities (add lines 17 through 22) . . . . .	758	3,904	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	2,510,721	2,234,984	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	954,707	954,707	
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	3,465,428	3,189,691	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	3,466,186	3,193,595		

Part III

Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	3,465,428
2	Enter amount from Part I, line 27a . . . . .	2	169,702
3	Other increases not included in line 2 (itemize) ▶ _____ 	3	25,481
4	Add lines 1, 2, and 3 . . . . .	4	3,660,611
5	Decreases not included in line 2 (itemize) ▶ _____ 	5	470,920
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	3,189,691

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	138,851
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . }		3	761

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	204,882	1,542,253	0 13285
2010	171,021	1,425,724	0 11995
2009	150,205	1,308,992	0 11475
2008	151,865	1,128,078	0 13462
2007	59,480	2,195,519	0 02709
2 Total of line 1, column (d). . . . .			2 0 52926
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .			3 0 10585
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5. . . . .			4 1,760,345
5 Multiply line 4 by line 3. . . . .			5 186,338
6 Enter 1% of net investment income (1% of Part I, line 27b). . . . .			6 1,644
7 Add lines 5 and 6. . . . .			7 187,982
8 Enter qualifying distributions from Part XII, line 4. . . . .			8 167,735

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	3,287
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2. . . . .		3	3,287
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	3,287
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	247	
b		Exempt foreign organizations—tax withheld at source . . . . .	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld . . . . .	6d		
7		Total credits and payments Add lines 6a through 6d. . . . .		7	247
8		Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	1
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	3,041
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	
11		Enter the amount of line 10 to be Credited to 2013 estimated tax	Refunded	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?. . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation  \$ _____ (2) On foundation managers  \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers  \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?. . . . .	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none"><li>By language in the governing instrument, or</li><li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .</li></ul>	6		No
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)  TX _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .	9	Yes	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶OLIVER RAINEY & WOJTEK LLP Telephone no ▶(325) 942-6713 Located at ▶2909 SHERWOOD WAY SAN ANGELO TX ZIP +4 ▶76901			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?. . . . .	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	2b		No
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i> ). . . . .	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

No

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . .		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 OPERATION AND MAINTENANCE OF THE EARNEST AND DOROTHY BARROW FOUNDATION MUSEUM IN EOLA, TEXAS. THE MUSEUM WAS FOUNDED TO DISPLAY EARNEST & DOROTHY BARROW'S EXTENSIVE COLLECTION OF MEMORABILIA FROM THE EARLY DAYS OF THE CONCHO VALLEY.	167,735
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3. . . . .	



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	1,575,982
b	Average of monthly cash balances. . . . .	1b	211,170
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	1,787,152
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	1,787,152
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	26,807
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,760,345
6	Minimum investment return. Enter 5% of line 5. . . . .	6	88,017

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	
2a	Tax on investment income for 2012 from Part VI, line 5. . . . .	2a	
b	Income tax for 2012 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	167,735
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	167,735
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	167,735
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only. . . . .				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007. . . . .				
b From 2008. . . . .				
c From 2009. . . . .				
d From 2010. . . . .				
e From 2011. . . . .				
f Total of lines 3a through e. . . . .				
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ _____				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2012 distributable amount. . . . .				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013. . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a. . . . .				
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				
d Excess from 2011. . . .				
e Excess from 2012. . . .				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☒ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

Tax year	Prior 3 years				(e) Total
(a) 2012	(b) 2011	(c) 2010	(d) 2009		
46,939	42,877			89,816	
39,898	36,445			76,343	
167,735	205,188	171,320	150,414	694,657	
167,735	205,188	171,320	150,414	694,657	
58,678	51,408	47,524	43,633	201,243	

b

85% of line 2a

c

Qualifying distributions from Part XII, line 4 for each year listed.

d

Amounts included in line 2c not used directly for active conduct of exempt activities.

e

Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.

3

Complete 3a, b, or c for the alternative test relied upon.

a

"Assets" alternative test—enter

(1)

Value of all assets

(2)

Value of assets qualifying under section 4942(j)(3)(B)(i)

b

"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c

"Support" alternative test—enter

(1)

Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).

(2)

Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3)

Largest amount of support from an exempt organization

(4)

Gross investment income

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail of the person to whom applications should be addressed

DANIEL WILDE  
331 W AVENUE B  
SAN ANGELO, TX 76903  
(325) 469-3788

b

The form in which applications should be submitted and information and materials they should include

WRITTEN LETTER TO THE PRESIDENT OF THE EARNEST & DOROTHY BARROW FOUNDATION

c

Any submission deadlines

NO DEADLINE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

DONATIONS ARE RESTRICTED TO ORGANIZATIONS THAT PROMOTE WEST TEXAS CULTURE AND RANCHING HERITAGE

Form 990-PF (2012)

### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2012)

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments			14	4,714	
<b>4</b> Dividends and interest from securities. . . . .			14	41,464	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	138,851	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory. . .					
<b>11</b> Other revenue <b>a</b> See Additional Data Table					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e). . . . .				338,088	26,325
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . .			<b>13</b>		<b>364,413</b>

[illegible]

<p><b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p><b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of</p> <p><b>(1)</b> Cash. . . . .</p> <p><b>(2)</b> Other assets. . . . .</p> <p><b>b</b> Other transactions</p> <p><b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .</p> <p><b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .</p> <p><b>(3)</b> Rental of facilities, equipment, or other assets. . . . .</p> <p><b>(4)</b> Reimbursement arrangements. . . . .</p> <p><b>(5)</b> Loans or loan guarantees. . . . .</p> <p><b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .</p> <p><b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .</p> <p><b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received</p>		<b>Yes</b>	<b>No</b>
<b>1a(1)</b>			<b>No</b>
<b>1a(2)</b>			<b>No</b>
<b>1b(1)</b>			<b>No</b>
<b>1b(2)</b>			<b>No</b>
<b>1b(3)</b>			<b>No</b>
<b>1b(4)</b>			<b>No</b>
<b>1b(5)</b>			<b>No</b>
<b>1b(6)</b>			<b>No</b>
<b>1c</b>			<b>No</b>

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.		
	<div style="border-bottom: 1px solid black; height: 1.2em; margin-bottom: 5px;"></div> Signature of officer or trustee	<div style="border-bottom: 1px solid black; height: 1.2em; margin-bottom: 5px;"></div> Date	<div style="border-bottom: 1px solid black; height: 1.2em; margin-bottom: 5px;"></div> Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ **Yes** ☐ **No**

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN P00183885
	Jeffrey J Bozeman CPA	Jeffrey J Bozeman CPA			
	Firm's name <input type="checkbox"/>	Oliver Rainey & Wojtek LLP 2909 Sherwood Way Suite 300		Firm's EIN <input type="checkbox"/>	
	Firm's address <input type="checkbox"/>	San Angelo, TX 769013558		Phone no (325) 942-6713	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARGIE CALHOUN	Director 0 00	0		
331 W AVENUE B SAN ANGELO,TX 76903				
DON PIWONKA	Director 0 00	0		
331 W AVENUE B SAN ANGELO,TX 76903				
PAUL STIPANOVIC	Treasurer 0 00	0		
331 W AVENUE B SAN ANGELO,TX 76903				
BRAD HARALSON	Secretary 0 00	0		
331 W AVENUE B SAN ANGELO,TX 76903				
DANIEL WILDE	President 0 00	0		
331 W AVENUE B SAN ANGELO,TX 76903				

Form 990PF Part XVI-A Line 11 - Other revenue:

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See the instructions )
11 Other revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a SALE OF RAISED LIVESTOCK					4,686
b OTHER INCOME					73
c OIL & GAS ROYALTIES			15	152,612	
d LAND LEASE			16	447	
e CROP INSURANCE					14,159
f CROP INCOME					7,407



**TY 2012 Accounting Fees Schedule**

**Name:** EARNEST & DORTHY BARROW FOUNDATION  
MUSEUM

**EIN:** 75-1550896

**Software ID:** 12000229

**Software Version:** 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	11,906	3,572	0	8,334

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

**Name:** EARNEST & DORTHY BARROW FOUNDATION  
MUSEUM

**EIN:** 75-1550896

**Software ID:** 12000229

**Software Version:** 2012v2.0

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
2012 NEW HOLLAND 30 HP TR	2012-05-15	15,600	3,120	53	32 00 %	4,992			
ROOF - GARY'S HOUSE	2011-06-20	5,030	267	85	3 64 %	183			
HOT WATER HEATER	2010-02-05	498	308	57	10 93 %	54			
3 HEIFERS	2010-06-17	1,904	1,321	53	12 24 %	233			
RANCH FEEDER	2010-11-22	2,660	1,750	53	13 68 %	364			
TRACTOR TIRE	2010-10-05	1,269	899	35	29 17 %	370			
AIR COMPRESSOR	2010-08-01	927	504	57	13 02 %	121			

**TY 2012 Investments Corporate  
Bonds Schedule**

**Name:** EARNEST & DORTHY BARROW FOUNDATION  
MUSEUM

**EIN:** 75-1550896

**Software ID:** 12000229

**Software Version:** 2012v2.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
FIXED INCOME INVESTMENTS	566,921	567,356

**TY 2012 Investments Corporate  
Stock Schedule**

**Name:** EARNEST & DORTHY BARROW FOUNDATION  
MUSEUM

**EIN:** 75-1550896

**Software ID:** 12000229

**Software Version:** 2012v2.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITIES	574,506	744,942

**TY 2012 Investments - Other Schedule**

**Name:** EARNEST & DORTHY BARROW FOUNDATION  
MUSEUM

**EIN:** 75-1550896

**Software ID:** 12000229

**Software Version:** 2012v2.0

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
OIL & GAS INTEREST	FMV	534,141	534,141
REAL ESTATE	AT COST	207,778	217,106
COMPLEMENTARY STRATEGIES	AT COST	206,371	209,434

**TY 2012 Land, Etc. Schedule**

**Name:** EARNEST & DORTHY BARROW FOUNDATION  
MUSEUM

**EIN:** 75-1550896

**Software ID:** 12000229

**Software Version:** 2012v2.0

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Miscellaneous	19,358	13,472	5,886	5,886
Land	1,345		1,345	1,351,790
Improvements	38,303	38,303		
Buildings	55,800	29,363	26,437	26,437
Machinery and Equipment	27,787	12,482	15,305	15,305
Furniture and Fixtures	9,977		9,977	9,977

**TY 2012 Other Assets Schedule**

**Name:** EARNEST & DORTHY BARROW FOUNDATION  
MUSEUM

**EIN:** 75-1550896

**Software ID:** 12000229

**Software Version:** 2012v2.0

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
RAILROAD CABOOSE	4,350	4,350	4,350
MUSEUM CONTENTS	703,192	703,192	703,192
FIGURINE COLLECTION	3,760	3,760	3,760
CAROUSEL	2,500	2,500	2,500
BYNUM GUN ETC	250	250	250
BARROW JEWELRY & FURNITURE	122,651	122,651	122,651
BARBED WIRE COLLECTION	7,650	7,650	7,650
ARROWHEAD COLLECTION	7,250	7,250	7,250
ANTIQUE PIANO	10,000	10,000	10,000
ANTIQUE ORGAN	17,500	17,500	17,500
ANTIQUE NICKELODEON	2,000	2,000	2,000
1935 MORRIS AUTO	7,000	7,000	7,000
1934 FORD TRUCK	6,000	6,000	6,000

**TY 2012 Other Decreases Schedule**

**Name:** EARNEST & DORTHY BARROW FOUNDATION  
MUSEUM

**EIN:** 75-1550896

**Software ID:** 12000229

**Software Version:** 2012v2.0

Description	Amount
OIL & GAS INTEREST FMV ADJUSTMENT	467,411
FOREIGN TAXES	222
FEDERAL EXCISE TAX	3,287



## TY 2012 Other Expenses Schedule

**Name:** EARNEST & DORTHY BARROW FOUNDATION  
MUSEUM

**EIN:** 75-1550896

**Software ID:** 12000229

**Software Version:** 2012v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UTILITIES	3,901			3,901
TELEPHONE	366			366
RANCH UTILITIES	702			702
RANCH TELEPHONE	820			820
RANCH SUPPLES	6,964			6,964
RANCH REPAIRS & MAINTENANCE	575			575
RANCH MEALS & ENTERTAINMENT	294			294
RANCH DUES & SUBSCRIPTIONS	151			151
RANCH AUTO EXPENSE	11,482			11,482
POSTAGE	46			46
OTHER FEES	800			800
OFFICE EXPENSES	187			187
MEALS & ENTERTAINMENT	1,440			1,440
INVESTMENT FEES	17,087	17,087		
INSURANCE	11,368			11,368
FEED, MINERAL & SEED	3,047			3,047
EMPLOYEE BENEFITS	14,216			14,216
DUES & SUBSCRIPTION	1,074			1,074
DIRECTOR'S MEETING	359			359
DEPLETION	22,892			22,892
CONTRACT LABOR	342			342
BANK FEES	162			162
ADVERTISING	1,562			1,562

**TY 2012 Other Income Schedule**

**Name:** EARNEST & DORTHY BARROW FOUNDATION  
MUSEUM

**EIN:** 75-1550896

**Software ID:** 12000229

**Software Version:** 2012v2.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
SALE OF RAISED LIVESTOCK	4,686		
OTHER INCOME	73		
OIL & GAS ROYALTIES	152,612		
LAND LEASE	447		
CROP INSURANCE	14,159		
CROP INCOME	7,407		

**TY 2012 Other Increases Schedule**

**Name:** EARNEST & DORTHY BARROW FOUNDATION  
MUSEUM

**EIN:** 75-1550896

**Software ID:** 12000229

**Software Version:** 2012v2.0

Description	Amount
STATUTORY DEPLETION	22,892
PRIOR PERIOD ADJUSTMENT	1,283
BASIS ADJUSTMENT	1,306

## TY 2012 Other Liabilities Schedule

**Name:** EARNEST & DORTHY BARROW FOUNDATION  
MUSEUM

**EIN:** 75-1550896

**Software ID:** 12000229

**Software Version:** 2012v2.0

Description	Beginning of Year - Book Value	End of Year - Book Value
FIT PAYABLE		3,040

**TY 2012 Taxes Schedule**

**Name:** EARNEST & DORTHY BARROW FOUNDATION  
MUSEUM

**EIN:** 75-1550896

**Software ID:** 12000229

**Software Version:** 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROPERTY TAXES	22,121			22,121
PRODUCTION TAXES	6,764			6,764
PAYROLL TAXES	3,406			3,406



Account Statement For:  
BARROW, EARNEST & DORTHY FNDN TR-IMA

Period Covered December 1, 2012 - November 30, 2013

Account Number 71484800

## REALIZED GAIN/LOSS DETAIL

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
02/28/13	35.000	AFFILIATED MANAGERS GROUP, INC COM 8 0000 UNITS ACQUIRED ON 07/02/10 27 0000 UNITS ACQUIRED ON 10/12/11	- \$2,840.02 479.42 2,360.60	\$5,017.40	\$0.00	\$2,177.38
04/04/13	26.000	AFFILIATED MANAGERS GROUP, INC COM 26 0000 UNITS ACQUIRED ON 07/02/10	- 1,558.10 1,558.10	3,943.33	0.00	2,385.23
07/19/13	8.000	AFFILIATED MANAGERS GROUP, INC COM 8 0000 UNITS ACQUIRED ON 07/02/10	- 479.42 479.42	1,395.51	0.00	916.09
04/04/13	31.000	AFLAC INC 17 0000 UNITS ACQUIRED ON 10/31/06 14 0000 UNITS ACQUIRED ON 11/10/08	- 1,421.63 767.55 654.08	1,606.86	0.00	185.23
07/19/13	22.000	AFLAC INC 22 0000 UNITS ACQUIRED ON 10/31/06	- 993.30 993.30	1,298.07	0.00	304.77
04/04/13	53.000	ANHEUSER-BUSCH INBEV SPN ADR 19 0000 UNITS ACQUIRED ON 09/02/11 34 0000 UNITS ACQUIRED ON 12/01/11	- 3,068.79 1,034.68 2,034.11	5,255.90	0.00	2,187.11
07/19/13	14.000	ANHEUSER-BUSCH INBEV SPN ADR 14 0000 UNITS ACQUIRED ON 09/02/11	- 762.39 762.39	1,277.54	0.00	515.15
04/04/13	56.000	APACHE CORP 36 0000 UNITS ACQUIRED ON 04/16/12 20.0000 UNITS ACQUIRED ON 02/07/12	- 5,423.72 3,338.61 2,085.11	4,288.39	- 581.79	- 553.54
07/19/13	14.000	APACHE CORP 14 0000 UNITS ACQUIRED ON 10/06/09	- 1,332.74 1,332.74	1,148.02	0.00	- 184.72
04/04/13	16.000	APPLE INC 5 0000 UNITS ACQUIRED ON 01/29/13 11 0000 UNITS ACQUIRED ON 11/10/08	- 3,348.45 2,293.44 1,055.01	6,886.68	- 141.35	3,679.58
07/19/13	7.000	APPLE INC 3 0000 UNITS ACQUIRED ON 11/21/08 4 0000 UNITS ACQUIRED ON 11/10/08	- 630.00 246.36 383.64	3,005.48	0.00	2,375.48
10/15/13	1,671.330	AQR MANAGED FUTURES STR-I #15213 1,671 3300 UNITS ACQUIRED ON 07/17/13	- 16,964.00 16,964.00	16,696.59	- 267.41	0.00
04/02/13	3,134.796	ARTIO GLOBAL HIGH INCOME-I #1525 3,134 7960 UNITS ACQUIRED ON 09/20/11	- 30,000.00 30,000.00	31,692.79	0.00	1,692.79
07/18/13	240.000	ARTISAN INTERNATIONAL FUND #661 240 0000 UNITS ACQUIRED ON 10/31/06	- 7,087.20 7,087.20	6,520.80	0.00	- 566.40





Account Statement For:  
BARROW, EARNEST & DORTHY FNDN TR-IMA

Period Covered December 1, 2012 - November 30, 2013

Account Number 71484800

**REALIZED GAIN/LOSS DETAIL** (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
10/15/13	69.000	ARTISAN INTERNATIONAL FUND #661 69 0000 UNITS ACQUIRED ON 10/31/06	- 2,037.57 2,037.57	1,985.13	0.00	- 52.44
10/15/13	41.000	ARTISAN MID CAP FUND-INS #1333 41 0000 UNITS ACQUIRED ON 04/02/13	- 1,726.92 1,726.92	2,080.34	353.42	0.00
04/04/13	69.000	BERKSHIRE HATHAWAY INC 29 0000 UNITS ACQUIRED ON 01/25/10 20 0000 UNITS ACQUIRED ON 07/11/11 20.0000 UNITS ACQUIRED ON 08/12/11	- 4,950.20 2,010.81 1,509.55 1,429.84	7,128.98	0.00	2,178.78
07/19/13	21.000	BERKSHIRE HATHAWAY INC 21 0000 UNITS ACQUIRED ON 04/11/12	- 1,662.05 1,662.05	2,457.68	0.00	795.63
04/04/13	40.000	BHP BILLITON LIMITED - ADR 40.0000 UNITS ACQUIRED ON 04/13/11	- 3,991.89 3,991.89	2,718.34	0.00	- 1,273.55
07/19/13	13.000	BHP BILLITON LIMITED - ADR 13 0000 UNITS ACQUIRED ON 12/10/10	- 1,168.05 1,168.05	809.22	0.00	- 358.83
04/02/13	4,703.488	BLACKROCK INTL BOND PTF-INST #325 4,703 4880 UNITS ACQUIRED ON 03/30/11	- 49,998.08 49,998.08	45,247.55	0.00	- 4,750.53
04/04/13	45.000	BOEING CO 45 0000 UNITS ACQUIRED ON 03/17/11	- 3,065.12 3,065.12	3,833.46	0.00	768.34
07/19/13	15.000	BOEING CO 15.0000 UNITS ACQUIRED ON 03/17/11	- 1,021.71 1,021.71	1,565.22	0.00	543.51
04/04/13	70.000	CAPITAL ONE FINANCIAL CORP 14.0000 UNITS ACQUIRED ON 10/08/07 56 0000 UNITS ACQUIRED ON 06/12/07	- 5,485.06 1,001.70 4,483.36	3,744.22	0.00	- 1,740.84
07/19/13	19.000	CAPITAL ONE FINANCIAL CORP 7 0000 UNITS ACQUIRED ON 09/01/09 12 0000 UNITS ACQUIRED ON 10/08/07	- 1,110.37 251.77 858.60	1,261.95	0.00	151.58
04/04/13	69.000	CELANESE CORP 69 0000 UNITS ACQUIRED ON 06/13/11	- 3,210.46 3,210.46	2,949.00	0.00	- 261.46
07/19/13	16.000	CELANESE CORP 16 0000 UNITS ACQUIRED ON 06/13/11	- 744.45 744.45	754.85	0.00	10.40
04/04/13	53.000	CHEVRON CORP 30 0000 UNITS ACQUIRED ON 12/01/05 7 0000 UNITS ACQUIRED ON 11/10/08 16 0000 UNITS ACQUIRED ON 02/07/12	- 3,966.31 1,738.50 516.32 1,711.49	6,325.57	0.00	2,359.26

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**REALIZED GAIN/LOSS DETAIL** (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
07/09/13	27.000	CHEVRON CORP 27 0000 UNITS ACQUIRED ON 12/01/05	- 1,564.65 1,564 65	3,213.36	0.00	1,648.71
07/19/13	11.000	CHEVRON CORP 11 0000 UNITS ACQUIRED ON 12/01/05	- 637.45 637 45	1,363.06	0.00	725.61
04/04/13	273.000	CISCO SYSTEMS INC 13 0000 UNITS ACQUIRED ON 06/29/11 190 0000 UNITS ACQUIRED ON 02/24/11 70 0000 UNITS ACQUIRED ON 07/11/11	- 4,783.96 198 90 3,507 06 1,078 00	5,675.57	0.00	891.61
07/19/13	82.000	CISCO SYSTEMS INC 82 0000 UNITS ACQUIRED ON 05/21/12	- 1,368.41 1,368 41	2,101 62	0.00	733.21
04/04/13	75.000	CME GROUP INC 40 0000 UNITS ACQUIRED ON 08/16/12 35 0000 UNITS ACQUIRED ON 08/30/12	- 4,054.10 2,145.28 1,908 82	4,553.90	499.80	0.00
07/19/13	22.000	CME GROUP INC 22.0000 UNITS ACQUIRED ON 06/07/12	- 1,179.68 1,179 68	1,682.47	0.00	502.79
04/04/13	75.000	COACH INC 75 0000 UNITS ACQUIRED ON 01/09/13	- 4,372.50 4,372 50	3,748.52	- 623.98	0.00
07/19/13	24.000	COACH INC 24 0000 UNITS ACQUIRED ON 01/09/13	- 1,399.20 1,399 20	1,396.05	- 3.15	0.00
04/04/13	148.000	COMCAST CORP CLASS A 58 0000 UNITS ACQUIRED ON 03/06/09 33 0000 UNITS ACQUIRED ON 10/08/07 57 0000 UNITS ACQUIRED ON 11/10/08	- 2,450.09 709 91 787 71 952 47	6,102.12	0.00	3,652.03
07/19/13	36.000	COMCAST CORP CLASS A 36.0000 UNITS ACQUIRED ON 03/06/09	- 440 64 440 64	1,575.30	0.00	1,134.66
10/15/13	2,167.000	CREDIT SUISSE COMM RET ST-I #2156 2,167 0000 UNITS ACQUIRED ON 04/02/13	- 16,967.61 16,967 61	15,992.46	- 975.15	0.00
04/04/13	84.000	CVS/CAREMARK CORPORATION 65 0000 UNITS ACQUIRED ON 02/27/07 19 0000 UNITS ACQUIRED ON 10/08/07	- 2,795.25 2,051 40 743 85	4,568.66	0.00	1,773 41
07/19/13	25.000	CVS/CAREMARK CORPORATION 25 0000 UNITS ACQUIRED ON 02/27/07	- 789.00 789 00	1,518 95	0.00	729 95







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**REALIZED GAIN/LOSS DETAIL** (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
04/04/13	36.000	DIAGEO PLC - ADR 9 0000 UNITS ACQUIRED ON 04/15/09 10 0000 UNITS ACQUIRED ON 11/10/08 17 0000 UNITS ACQUIRED ON 09/01/09	- 2,078.84 424.89 596.60 1,057.35	4,518.98	0.00	2,440.14
07/19/13	10.000	DIAGEO PLC - ADR 10 0000 UNITS ACQUIRED ON 04/15/09	- 472.10 472.10	1,214.29	0.00	742.19
04/02/13	1,046.000	DIAMOND HILL LONG-SHORT FD CL I #11 1,046 0000 UNITS ACQUIRED ON 10/27/09	- 16,610.48 16,610.48	20,784.02	0.00	4,173.54
07/18/13	2,102.615	DIAMOND HILL LONG-SHORT FD CL I #11 2,102 6150 UNITS ACQUIRED ON 10/27/09	- 33,389.52 33,389.52	44,512.36	0.00	11,122.84
07/18/13	1,254.000	DODGE & COX INCOME FD COM #147 1,254 0000 UNITS ACQUIRED ON 11/22/11	- 16,640.58 16,640.58	16,991.70	0.00	351.12
07/18/13	214.000	DODGE & COX INT'L STOCK FD #1048 214 0000 UNITS ACQUIRED ON 04/02/13	- 7,693.30 7,693.30	8,209.04	515.74	0.00
10/15/13	84.000	DODGE & COX INT'L STOCK FD #1048 84 0000 UNITS ACQUIRED ON 04/02/13	- 3,019.80 3,019.80	3,472.56	452.76	0.00
10/15/13	1,794.000	DREYFUS EMG MKT DEBT LOC C-I #6083 1,794 0000 UNITS ACQUIRED ON 04/02/13	- 27,179.10 27,179.10	25,636.26	- 1,542.84	0.00
04/04/13	137.000	E M C CORP MASS 137 0000 UNITS ACQUIRED ON 02/27/07	- 1,909.33 1,909.33	3,224.92	0.00	1,315.59
07/19/13	41.000	E M C CORP MASS 41 0000 UNITS ACQUIRED ON 02/27/07	- 571.40 571.40	1,034.71	0.00	463.31
12/17/12	0.830	EATON CORP PLC 0 8300 UNITS ACQUIRED ON 11/30/12	- 43.08 43.08	43.43	0.35	0.00
04/04/13	62.000	EATON CORP PLC 62 0000 UNITS ACQUIRED ON 12/03/12	- 3,218.16 3,218.16	3,785.64	567.48	0.00
07/19/13	23.000	EATON CORP PLC 10 0000 UNITS ACQUIRED ON 12/03/12 13 0000 UNITS ACQUIRED ON 12/06/12	- 1,187.77 519.06 668.71	1,528.79	341.02	0.00
07/18/13	2,094.185	GATEWAY FUND - Y #1986 2,094 1850 UNITS ACQUIRED ON 04/02/13	- 58,700.00 58,700.00	58,888.48	188.48	0.00
04/04/13	146.000	GILEAD SCIENCES INC 146 0000 UNITS ACQUIRED ON 12/08/11	- 2,844.00 2,844.00	7,002.02	0.00	4,158.02

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**REALIZED GAIN/LOSS DETAIL** (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
07/19/13	25.000	GILEAD SCIENCES INC 25 0000 UNITS ACQUIRED ON 12/08/11	- 486.99 486 99	1,422.47	0.00	935.48
04/04/13	25.000	GOLDMAN SACHS GROUP INC 15 0000 UNITS ACQUIRED ON 12/10/10 10 0000 UNITS ACQUIRED ON 02/07/11	- 4,191.87 2,517 60 1,674.27	3,637.17	0.00	- 554.70
07/19/13	8.000	GOLDMAN SACHS GROUP INC 8 0000 UNITS ACQUIRED ON 12/10/10	- 1,342.72 1,342.72	1,281.11	0.00	- 61.61
04/04/13	6.000	GOOGLE INC 6 0000 UNITS ACQUIRED ON 01/24/12	- 3,477.25 3,477.25	4,793.41	0.00	1,316.16
07/19/13	2.000	GOOGLE INC 2 0000 UNITS ACQUIRED ON 06/07/12	- 1,164.28 1,164 28	1,832.79	0 00	668.51
04/04/13	40.000	HSBC - ADR 40 0000 UNITS ACQUIRED ON 02/25/13	- 2,182.54 2,182 54	2,118.35	- 64.19	0.00
04/02/13	3,897.883	HUSSMAN STRATEGIC GROWTH FUND #601 1,964.3950 UNITS ACQUIRED ON 10/08/07 1,933 4880 UNITS ACQUIRED ON 05/29/09	- 57,000.00 32,000 00 25,000 00	40,810.84	0.00	- 16,189.16
04/04/13	173.000	INTEL CORP 109 0000 UNITS ACQUIRED ON 10/08/07 64 0000 UNITS ACQUIRED ON 12/01/05	- 4,542.51 2,804 27 1,738 24	3,690.02	0 00	- 852.49
06/21/13	215.000	INTEL CORP 100 0000 UNITS ACQUIRED ON 02/27/07 23 0000 UNITS ACQUIRED ON 10/08/07 59 0000 UNITS ACQUIRED ON 11/10/08 33 0000 UNITS ACQUIRED ON 03/06/09	- 3,888.65 2,016 00 591 73 857 86 423 06	5,462.47	0.00	1,573.82
04/04/13	2,560.000	ISHARES GOLD TRUST 2,560.0000 UNITS ACQUIRED ON 05/07/08	- 22,035 46 22,035 46	39,704.97	0.00	17,669.51
04/04/13	874.000	ISHARES MSCI EAFE 300 0000 UNITS ACQUIRED ON 10/31/06 209 0000 UNITS ACQUIRED ON 12/27/06 365 0000 UNITS ACQUIRED ON 10/06/08	- 54,534.33 21,086 76 15,263 27 18,184 30	50,848.18	0.00	- 3,686.15
04/04/13	202.000	ISHARES RUSSELL 2000 VALUE INDEX FD 120 0000 UNITS ACQUIRED ON 02/27/07 82 0000 UNITS ACQUIRED ON 10/06/08	- 14,470.28 9,637 20 4,833 08	16,737.37	0.00	2,267.09





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DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
04/04/13	947.000	ISHARES TR MSCI EMERGING MARKETS 261.0000 UNITS ACQUIRED ON 02/27/07 442.0000 UNITS ACQUIRED ON 10/06/08 244.0000 UNITS ACQUIRED ON 11/10/08	- 27,790.20 9,480.39 12,278.76 6,031.05	40,066.76	0.00	12,276.56
04/04/13	690.000	ISHARES TR RUSSELL MIDCAP INDEX FD 200.0000 UNITS ACQUIRED ON 10/31/06 280.0000 UNITS ACQUIRED ON 06/12/07 210.0000 UNITS ACQUIRED ON 10/06/08	- 64,957.75 19,368.45 30,613.11 14,976.19	87,050.92	0.00	22,093.17
04/04/13	170.000	JOHNSON CONTROLS INC 125.0000 UNITS ACQUIRED ON 03/17/11 45.0000 UNITS ACQUIRED ON 07/11/11	- 6,901.41 5,045.02 1,856.39	5,845.11	0.00	- 1,056.30
07/19/13	46.000	JOHNSON CONTROLS INC 26.0000 UNITS ACQUIRED ON 03/12/12 20.0000 UNITS ACQUIRED ON 03/17/11	- 1,633.67 826.47 807.20	1,702.43	0.00	68.76
11/19/13	56.000	JOHNSON CONTROLS INC 56.0000 UNITS ACQUIRED ON 03/12/12	- 1,780.08 1,780.08	2,748.45	0.00	968.37
07/18/13	44.000	JP MORGAN MID CAP VALUE-I #758 44.0000 UNITS ACQUIRED ON 04/02/13	- 1,378.52 1,378.52	1,485.00	106.48	0.00
04/04/13	124.000	JPMORGAN CHASE & CO 79.0000 UNITS ACQUIRED ON 01/25/10 45.0000 UNITS ACQUIRED ON 10/13/08	- 4,924.71 3,099.96 1,824.75	5,920.87	0.00	996.16
07/19/13	43.000	JPMORGAN CHASE & CO 32.0000 UNITS ACQUIRED ON 05/29/09 10.0000 UNITS ACQUIRED ON 11/10/08 1.0000 UNITS ACQUIRED ON 01/25/10	- 1,566.22 1,158.08 368.90 39.24	2,364.24	0.00	798.02
07/18/13	27.000	KALMAR GR W/VAL SM CAP FD #2 27.0000 UNITS ACQUIRED ON 04/02/13	- 472.50 472.50	538.11	65.61	0.00
10/15/13	51.000	KALMAR GR W/VAL SM CAP FD #2 51.0000 UNITS ACQUIRED ON 04/02/13	- 892.50 892.50	1,113.33	220.83	0.00
04/02/13	156.000	KEELEY SMALL CAP VAL FD CL I #2251 156.0000 UNITS ACQUIRED ON 06/12/07	- 4,563.47 4,563.47	5,077.80	0.00	514.33
10/15/13	25.000	LAUDUS MONDRIAN INTL FI-INST #2940 25.0000 UNITS ACQUIRED ON 04/02/13	- 276.25 276.25	271.75	- 4.50	0.00
04/04/13	40.000	MCKESSON CORP 40.0000 UNITS ACQUIRED ON 11/07/12	- 3,791.94 3,791.94	4,311.11	519.17	0.00

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DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
07/19/13	10.000	MCKESSON CORP 10 0000 UNITS ACQUIRED ON 11/07/12	- 947.98 947.98	1,179.52	231.54	0.00
04/04/13	125.000	MICROSOFT CORP 11 0000 UNITS ACQUIRED ON 11/10/08 105 0000 UNITS ACQUIRED ON 10/08/07 9 0000 UNITS ACQUIRED ON 08/26/08	- 3,603.31 237.05 3,120.47 245.79	3,558.92	0.00	- 44.39
07/19/13	30.000	MICROSOFT CORP 30 0000 UNITS ACQUIRED ON 11/10/08	- 646.50 646.50	1,085.98	0.00	439.48
04/04/13	65.000	MONSTER BEVERAGE CORP 55 0000 UNITS ACQUIRED ON 01/22/13 10 0000 UNITS ACQUIRED ON 11/15/12	- 3,094.97 2,649.86 445.11	3,095.89	0.92	0.00
07/19/13	18.000	MONSTER BEVERAGE CORP 18 0000 UNITS ACQUIRED ON 11/15/12	- 801.20 801.20	1,088.33	287.13	0.00
11/19/13	15.000	NATIONAL OILWELL INC COM 15 0000 UNITS ACQUIRED ON 07/05/13	- 1,071.21 1,071.21	1,248.96	177.75	0.00
04/04/13	74.000	NESTLE S.A. REGISTERED SHARES - ADR 74 0000 UNITS ACQUIRED ON 10/08/07	- 3,186.18 3,186.18	5,372.28	0.00	2,186.10
07/19/13	18.000	NESTLE S.A. REGISTERED SHARES - ADR 18 0000 UNITS ACQUIRED ON 10/08/07	- 775.02 775.02	1,223.55	0.00	448.53
02/01/13	115.000	NOVARTIS AG - ADR 88 0000 UNITS ACQUIRED ON 10/08/07 17 0000 UNITS ACQUIRED ON 11/10/08 10 0000 UNITS ACQUIRED ON 03/06/09	- 5,938.40 4,743.20 841.50 353.70	7,790.97	0.00	1,852.57
07/18/13	31.000	OPPENHEIMER DEVELOPING MKT-Y #788 31 0000 UNITS ACQUIRED ON 04/02/13	- 1,087.48 1,087.48	1,076.01	- 11.47	0.00
10/15/13	349.000	OPPENHEIMER DEVELOPING MKT-Y #788 349 0000 UNITS ACQUIRED ON 04/02/13	- 12,242.92 12,242.92	13,185.22	942.30	0.00
04/04/13	133.000	ORACLE CORPORATION 133 0000 UNITS ACQUIRED ON 06/04/10	- 3,047.25 3,047.25	4,301.42	0.00	1,254.17
07/19/13	37.000	ORACLE CORPORATION 37 0000 UNITS ACQUIRED ON 06/04/10	- 847.73 847.73	1,185.83	0.00	338.10
04/02/13	5,617.177	PIMCO EMERGING LOCAL BOND IS #332 5,617 1770 UNITS ACQUIRED ON 03/30/11	- 59,991.45 59,991.45	60,890.20	0.00	898.75
07/18/13	1,400.000	PIMCO TOTAL RET FD-INST #35 1,400 0000 UNITS ACQUIRED ON 04/02/13	- 15,750.00 15,750.00	15,148.00	- 602.00	0.00

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**REALIZED GAIN/LOSS DETAIL** (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
04/04/13	861.000	POWERSHARES DB COMMODITY INDEX 861 0000 UNITS ACQUIRED ON 08/13/09	- 20,095.65 20,095 65	23,504.86	0.00	3,409.21
04/02/13	3,078.818	PUTNAM FLOATING RATE INC FUND #1857 3,078 8180 UNITS ACQUIRED ON 10/06/08	- 25,000 00 25,000 00	27,709.36	0.00	2,709.36
04/04/13	72.000	SCHLUMBERGER LTD 32.0000 UNITS ACQUIRED ON 02/07/12 40 0000 UNITS ACQUIRED ON 11/04/10	- 5,567.55 2,545 99 3,021.56	5,322.45	0.00	- 245.10
07/19/13	16.000	SCHLUMBERGER LTD 16.0000 UNITS ACQUIRED ON 02/07/12	- 1,273 00 1,273 00	1,218.59	0.00	- 54.41
10/17/13	10.000	SPDR DJ WILSHIRE INTERNATIONAL REAL 10 0000 UNITS ACQUIRED ON 08/13/09	- 331.60 331 60	428.27	0.00	96.67
04/04/13	83.000	TARGET CORP 39 0000 UNITS ACQUIRED ON 12/01/05 44 0000 UNITS ACQUIRED ON 12/10/07	- 4,543.04 2,099 72 2,443.32	5,682.45	0.00	1,139.41
07/19/13	23.000	TARGET CORP 23 0000 UNITS ACQUIRED ON 12/01/05	- 1,238.30 1,238.30	1,657 13	0.00	418.83
04/02/13	5,716.804	TEMPLETON GLOBAL BOND FD-ADV #616 4,533 0920 UNITS ACQUIRED ON 08/20/07 1,183 7120 UNITS ACQUIRED ON 02/20/09	- 62,500.00 50,000 00 12,500 00	76,490.84	0.00	13,990.84
04/04/13	89.000	TEVA PHARMACEUTICAL INDUSTRIES - ADR 56 0000 UNITS ACQUIRED ON 04/20/10 33 0000 UNITS ACQUIRED ON 09/28/10	- 5,284 74 3,501 01 1,783 73	3,518.27	0.00	- 1,766.47
07/19/13	28.000	TEVA PHARMACEUTICAL INDUSTRIES - ADR 28 0000 UNITS ACQUIRED ON 11/04/09	- 1,424.08 1,424 08	1,095.34	0.00	- 328.74
08/22/13	97.000	TEVA PHARMACEUTICAL INDUSTRIES - ADR 32 0000 UNITS ACQUIRED ON 11/04/09 65 0000 UNITS ACQUIRED ON 04/13/11	- 4,848.33 1,627.52 3,220 81	3,848 74	0.00	- 999.59
04/04/13	68.000	THERMO FISHER SCIENTIFIC INC 68 0000 UNITS ACQUIRED ON 04/13/11	- 3,744 49 3,744 49	5,121.69	0.00	1,377.20
07/09/13	14.000	THERMO FISHER SCIENTIFIC INC 12 0000 UNITS ACQUIRED ON 07/09/09 2.0000 UNITS ACQUIRED ON 04/13/11	- 567.54 457 41 110 13	1,193.67	0.00	626.13
07/19/13	16.000	THERMO FISHER SCIENTIFIC INC 16 0000 UNITS ACQUIRED ON 07/09/09	- 609.87 609 87	1,397.04	0 00	787.17

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**REALIZED GAIN/LOSS DETAIL** (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
09/05/13	15.000	THERMO FISHER SCIENTIFIC INC 15 0000 UNITS ACQUIRED ON 07/09/09	- 571.76 571.76	1,330.53	0.00	758.77
04/04/13	99.000	TJX COS INC NEW 99 0000 UNITS ACQUIRED ON 06/29/11	- 2,577.56 2,577.56	4,639.04	0.00	2,061.48
07/19/13	22.000	TJX COS INC NEW 22 0000 UNITS ACQUIRED ON 06/29/11	- 572.79 572.79	1,145.30	0.00	572.51
04/04/13	69.000	TOTAL S.A. - ADR 69 0000 UNITS ACQUIRED ON 07/05/12	- 3,114.59 3,114.59	3,313.31	198.72	0.00
07/19/13	25.000	TOTAL S.A. - ADR 25 0000 UNITS ACQUIRED ON 07/05/12	- 1,128.48 1,128.48	1,273.17	0.00	144.69
04/04/13	61.000	UNION PACIFIC CORP 61 0000 UNITS ACQUIRED ON 05/14/10	- 4,561.84 4,561.84	8,537.98	0.00	3,976.14
07/09/13	16.000	UNION PACIFIC CORP 9 0000 UNITS ACQUIRED ON 08/25/10 7 0000 UNITS ACQUIRED ON 05/14/10	- 1,175.18 651.69 523.49	2,481.84	0.00	1,306.66
07/19/13	11.000	UNION PACIFIC CORP 11 0000 UNITS ACQUIRED ON 08/25/10	- 796.51 796.51	1,750.96	0.00	954.45
01/15/13	25,000.000	UNITED PARCEL SERVIC 4.500% 1/15/13 25,000 0000 UNITS ACQUIRED ON 11/12/08	- 25,376.75 25,376.75	25,000.00	0.00	- 376.75
04/04/13	46.000	UNITED PARCEL SERVICE-CL B 46 0000 UNITS ACQUIRED ON 08/12/11	- 3,005.01 3,005.01	3,879.18	0.00	874.17
07/19/13	13.000	UNITED PARCEL SERVICE-CL B 13 0000 UNITS ACQUIRED ON 08/12/11	- 849.24 849.24	1,113.82	0.00	264.58
04/04/13	60.000	UNITEDHEALTH GROUP INC 60 0000 UNITS ACQUIRED ON 10/08/07	- 2,869.20 2,869.20	3,459.04	0.00	589.84
07/19/13	22.000	UNITEDHEALTH GROUP INC 4 0000 UNITS ACQUIRED ON 03/13/08 18 0000 UNITS ACQUIRED ON 10/08/07	- 1,014.24 153.48 860.76	1,469.79	0.00	455.55
04/04/13	140.000	US BANCORP DEL NEW 140 0000 UNITS ACQUIRED ON 02/07/11	- 3,915.45 3,915.45	4,743.10	0.00	827.65
07/19/13	39.000	US BANCORP DEL NEW 39 0000 UNITS ACQUIRED ON 02/07/11	- 1,090.73 1,090.73	1,448.73	0.00	358.00
10/17/13	265.000	VANGUARD FTSE EMERGING MARKETS ETF 265 0000 UNITS ACQUIRED ON 04/01/13	- 11,283.70 11,283.70	11,193.49	- 90.21	0.00





Account Statement For.  
BARROW, EARNEST & DORTHY FNDN TR-IMA

Period Covered December 1, 2012 - November 30, 2013

Account Number 71484800

**REALIZED GAIN/LOSS DETAIL** *(continued)*

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
01/14/13	264.000	VODAFONE GROUP PLC NEW ADR 179 0000 UNITS ACQUIRED ON 06/12/07 26 0000 UNITS ACQUIRED ON 02/29/08 41 0000 UNITS ACQUIRED ON 11/10/08 18 0000 UNITS ACQUIRED ON 03/06/09	- 7,454.63 5,618.69 836.16 691.26 308.52	6,948.74	0.00	- 505.89
04/04/13	79.000	WALT DISNEY CO 72 0000 UNITS ACQUIRED ON 10/08/07 7 0000 UNITS ACQUIRED ON 12/01/11	- 2,797.80 2,545.10 252.70	4,467.36	0.00	1,669.56
07/19/13	29.000	WALT DISNEY CO 29 0000 UNITS ACQUIRED ON 10/08/07	- 1,025.11 1,025.11	1,888.79	0.00	863.68
04/04/13	50.000	3M CO 35 0000 UNITS ACQUIRED ON 12/17/10 15 0000 UNITS ACQUIRED ON 02/07/11	- 4,346.43 3,014.02 1,332.41	5,271.98	0.00	925.55
07/19/13	13.000	3M CO 13 0000 UNITS ACQUIRED ON 12/17/10	- 1,119.49 1,119.49	1,486.50	0.00	367.01
Total This Period			- \$999,360.38	\$1,134,531.57	\$761.46	\$134,409.73

Gain/Loss information is un-audited and should not be used for tax preparation, estate and/or retirement planning purposes