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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2012

Open to public inspection

For calendar year 2012 or tax year beginning **DEC 1, 2012**, and ending **NOV 30, 2013**

Name of foundation GOLDRING FAMILY FOUNDATION C/O TRUDI BRIEDE		A Employer identification number 72-6022666
Number and street (or P.O. box number if mail is not delivered to street address) 524 METAIRIE ROAD	Room/suite	B Telephone number 504-837-1500
City or town, state, and ZIP code METAIRIE, LA 70005		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 85,082,259.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		1,099,500.			
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		270.	270.		STATEMENT 1
4 Dividends and interest from securities		1,221,847.	1,221,847.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		6,894,054.			
b Gross sales price for all assets on line 6a	39,763,335.				
7 Capital gain net income (from Part IV, line 2)			6,894,054.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		9,215,671.	8,116,171.	0.	
13 Compensation of officers, directors, trustees, etc		200,000.	100,000.	20,000.	80,000.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees	STMT 3	370.	185.	37.	148.
b Accounting fees	STMT 4	40,857.	20,429.	4,086.	16,343.
c Other professional fees	STMT 5	6,000.	3,000.	600.	2,400.
17 Interest		59,079.	59,079.	0.	0.
18 Taxes	STMT 6	11,519.	6,545.	995.	3,980.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		2,075.	1,038.	208.	830.
22 Printing and publications					
23 Other expenses	STMT 7	324,610.	308,953.	290.	1,157.
24 Total operating and administrative expenses. Add lines 13 through 23		644,510.	499,229.	26,216.	104,858.
25 Contributions, gifts, grants paid		3,395,932.			3,395,932.
26 Total expenses and disbursements. Add lines 24 and 25		4,040,442.	499,229.	26,216.	3,500,790.
27 Subtract line 26 from line 12.		5,175,229.			
a Excess of revenue over expenses and disbursements			7,616,942.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				0.	

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LHA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	25,386.	1,020,371.	1,020,371.
	2 Savings and temporary cash investments	2,537,361.	2,573,431.	2,573,431.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 186,481.			
	Less: allowance for doubtful accounts ▶ 0.	1,520,043.	186,481.	186,481.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	89,120.	1,377.	1,377.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	38,199,878.	39,714,145.	54,900,600.
	c Investments - corporate bonds STMT 9	8,017,898.	11,378,606.	12,108,061.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	10,577,405.	10,795,933.	14,291,938.
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	60,967,091.	65,670,344.	85,082,259.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ DUE TO OTHERS)	351,502.	0.	
23 Total liabilities (add lines 17 through 22)	351,502.	0.		
Foundations that follow SFAS 117, check here ☐				
24 Unrestricted				
25 Temporarily restricted				
26 Permanently restricted				
Foundations that do not follow SFAS 117, check here ☒				
27 Capital stock, trust principal, or current funds	0.	0.		
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	60,615,589.	65,670,344.		
30 Total net assets or fund balances	60,615,589.	65,670,344.		
31 Total liabilities and net assets/fund balances	60,967,091.	65,670,344.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	60,615,589.
2 Enter amount from Part I, line 27a	2	5,175,229.
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED APPRECIATION	3	143,903.
4 Add lines 1, 2, and 3	4	65,934,721.
5 Decreases not included in line 2 (itemize) ▶ NON DEDUCTIBLE EXPENSES	5	264,377.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	65,670,344.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a INVESTMENT (SEE SCHEDULE)	P	01/01/13	12/31/13
b INVESTMENT (SEE SCHEDULE)	P	01/01/12	12/31/13
c CAPITAL GAIN DISTRIBUTIONS (SEE SCHEDULE)	P	01/01/12	12/31/13
d INVESTMENT - K-1 (SEE SCHEDULE)	P	01/01/13	12/31/13
e INVESTMENT - K-1 (SEE SCHEDULE)	P	01/01/12	12/31/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 14,633,979.		13,318,216.	1,322,011.
b 24,982,387.		19,575,271.	5,425,074.
c 23,358.			23,358.
d 36,759.			36,759.
e 86,852.			86,852.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,322,011.
b			5,425,074.
c			23,358.
d			36,759.
e			86,852.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	6,894,054.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	3,554,901.	69,678,489.	.051019
2010	4,354,685.	67,874,677.	.064158
2009	3,838,444.	62,362,899.	.061550
2008	4,048,709.	55,663,660.	.072735
2007	4,565,163.	75,085,959.	.060799

2 Total of line 1, column (d)	2	.310261
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.062052
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	76,389,371.
5 Multiply line 4 by line 3	5	4,740,113.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	76,169.
7 Add lines 5 and 6	7	4,816,282.
8 Enter qualifying distributions from Part XII, line 4	8	4,816,790.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.FDNCENTER.ORG	13	X	
14	The books are in care of ► WM. GOLDRING Telephone no. ► 504-837-1500 Located at ► 524 METAIRIE ROAD, METAIRIE, LA ZIP+4 ► 70005			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		200,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 DONATIONS FOR EDUCATIONAL INSTITUTIONS AND RELATED ACTIVITIES	493,350.
2 DONATIONS FOR JEWISH CAUSES	770,449.
3 DONATIONS FOR ARTS, CULTURE, HUMANITIES	682,100.
4 DONATIONS FOR HUMAN SERVICES	442,700.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	73,690,221.
b	Average of monthly cash balances	1b	3,862,440.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	77,552,661.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	77,552,661.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,163,290.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	76,389,371.
6	Minimum investment return. Enter 5% of line 5	6	3,819,469.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,819,469.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	76,169.
b	Income tax for 2012. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	76,169.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,743,300.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	3,743,300.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,743,300.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,500,790.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	1,316,000.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,816,790.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	76,169.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,740,621.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				3,743,300.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010	980,669.			
e From 2011	3,177,867.			
f Total of lines 3a through e	4,158,536.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ \$	4,816,790.			
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions) **	4,816,790.			
d Applied to 2012 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	3,743,300.			3,743,300.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,232,026.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	1,316,000.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	3,916,026.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012	3,916,026.			

** SEE STATEMENT 13

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N/A

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Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			ANIMAL RELATED	14,600.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			ARTS, CULTURE, HUMANITIES	682,100.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			CIVIL RIGHT, SOCIAL ACTION, ADVOCACY	38,500.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			COMMUNITY IMPROVEMENT, CAPACITY BUILDING	53,000.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			CRIME, LEGAL RELATED	35,600.
Total	SEE CONTINUATION SHEET(S)			3a 3,395,932.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☒ Yes ☐ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
WOLDENBERG FOUNDATION	501(C)(3)	SEE STATEMENT 14

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

of which preparer has any knowledge

**CHIEF FINANCIAL
OFFICER**

May the IRS discuss this return with the preparer shown below (see instr 1)?

☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature _____

Date _____

Check ☐ self-employed

PTIN

D. TROY BOUCHER

D. TROY BOUCHER

10/14/14

P00323119

Firm's name ▶ MALCOLM M. DIENES, L.L.C.

Firm's EIN ▶ 72-0440297

Firm's address ▶ 701 METAIRIE RD STE 2A301
METAIRIE, LA 70005

Phone no. 504.588.9288

GOLDRING FAMILY FOUNDATION
C/O TRUDI BRIEDE

72-6022666

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			DISEASES, DISORDERS	126,100.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			EDUCATIONAL INSTITUTIONS & RELATED ACTIVITIES	493,350.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			EMPLOYMENT, JOB RELATED	12,500.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			ENVIRONMENTAL QUALITY, PROTECTION, BEAUTIFICATION	10,000.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			FOOD, AGRICULTURE & NUTRITION	31,000.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			GRANTMAKING, PHILANTHROPY, VOLUNTARISM	6,900.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			HEALTH-GENERAL & REHABILITATION	401,283.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			HEALTH-MENTAL & CRISIS INTERVENTION	1,000.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			HOUSING, SHELTER	38,000.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			HUMAN SERVICES	442,700.
Total from continuation sheets				2,572,132.

GOLDRING FAMILY FOUNDATION
C/O TRUDI BRIEDE

72-6022666

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			JEWISH CAUSES	770,449.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			MEDICAL RESEARCH	9,900.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			RECREATION, SPORTS, LEISURE, ATHLETICS	2,000.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			RELIGION	200.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			YOUTH DEVELOPMENT	125,250.
SEE SCHEDULE 524 METAIRIE ROAD METAIRIE, LA 70005			SCHOLARSHIP PROGRAM	101,500.
Total from continuation sheets				

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization

GOLDRING FAMILY FOUNDATION
C/O TRUDI BRIEDE

Employer identification number

72-6022666

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization

GOLDRING FAMILY FOUNDATION
C/O TRUDI BRIEDE

Employer identification number

72-6022666

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	WOLDENBERG FOUNDATION 524 METAIRIE ROAD METAIRIE, LA 70005	\$ 60,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
2	JEFFREY GOLDRING 524 METAIRIE ROAD METAIRIE, LA 70005	\$ 1,000,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
3	SAZERAC COMPANY, INC 3850 N CAUSEWAY BLVD #1695 METAIRIE, LA 70002	\$ 39,500.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

GOLDRING FAMILY FOUNDATION
C/O TRUDI BRIEDE

72-6022666

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
INVESTMENTS	270.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	270.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
INVESTMENTS	1,221,847.	0.	1,221,847.
TOTAL TO FM 990-PF, PART I, LN 4	1,221,847.	0.	1,221,847.

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	370.	185.	37.	148.
TO FM 990-PF, PG 1, LN 16A	370.	185.	37.	148.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	40,857.	20,429.	4,086.	16,343.
TO FORM 990-PF, PG 1, LN 16B	40,857.	20,429.	4,086.	16,343.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING	6,000.	3,000.	600.	2,400.
TO FORM 990-PF, PG 1, LN 16C	6,000.	3,000.	600.	2,400.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES W/H ON DIVIDENDS	1,570.	1,570.	0.	0.
PAYROLL TAXES	9,949.	4,975.	995.	3,980.
TO FORM 990-PF, PG 1, LN 18	11,519.	6,545.	995.	3,980.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK SERVICE CHARGES	140.	70.	14.	56.
CONTINUING EDUCATION	1,045.	523.	105.	418.
DEPRECIATION PER K-1	4.	2.	0.	2.
DUES	1,225.	613.	123.	490.
INVESTMENT FEES	304,202.	304,202.	0.	0.
MISCELLANEOUS	3,304.	3,304.	0.	0.
OFFICE EXPENSE	477.	239.	48.	191.
LOSS ON INVESTMENTS	14,213.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 23	324,610.	308,953.	290.	1,157.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVEST-CORP STOCKS-SEE SCHEDULE	39,714,145.	54,900,600.
TOTAL TO FORM 990-PF, PART II, LINE 10B	39,714,145.	54,900,600.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVEST-CORP BONDS-SEE SCHEDULE	11,378,606.	12,108,061.
TOTAL TO FORM 990-PF, PART II, LINE 10C	11,378,606.	12,108,061.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVEST-OTHER ASSETS-SEE SCHEDULE	COST	10,795,933.	14,291,938.
TOTAL TO FORM 990-PF, PART II, LINE 13		10,795,933.	14,291,938.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
WILLIAM GOLDRING 524 METAIRIE ROAD METAIRIE, LA 70005	PRESIDENT 0.00	0.	0.	0.
JEFFREY GOLDRING 524 METAIRIE ROAD METAIRIE, LA 70005	BOARD MEMBER 0.00	0.	0.	0.
DIANE FRANCO 524 METAIRIE ROAD METAIRIE, LA 70005	BOARD MEMBER 0.00	0.	0.	0.
TRUDI BRIEDE 524 METAIRIE ROAD METAIRIE, LA 70005	ACCOUNTANT 16.00	0.	0.	0.
COLEMAN ADLER 524 METAIRIE ROAD METAIRIE, LA 70005	BOARD MEMBER 0.00	0.	0.	0.
ALAN FRANCO 524 METAIRIE ROAD METAIRIE, LA 70005	BOARD MEMBER 0.00	0.	0.	0.
PAUL FINE 524 METAIRIE ROAD METAIRIE, LA 70005	BOARD MEMBER 20.00	200,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		200,000.	0.	0.

FORM 990-PF

EXPLANATION OF CASH SET-ASIDE
PART XII, LINE 3B

STATEMENT 12

PRIOR YEAR EXCESS DISTRIBUTIONS

FORM 990-PF

ELECTION UNDER REGULATIONS SECTION
53.4942(A)-3(D)(2) TO TREAT
EXCESS QUALIFYING DISTRIBUTIONS
AS DISTRIBUTIONS OUT OF CORPUS

STATEMENT 13

53.4942(A)-3(D)(2) ELECTION.

THE FOUNDATION ELECTS UNDER REG SECTION 53.4942(A)-3(D)(2) TO TREAT THE DISTRIBUTION AS MADE OUT OF THE CORPUS. THE FOUNDATION MANAGER (WITHIN THE MEANING OF SECTION 4946(B)(1)) DECLARES THE FOUNDATION IS MAKING THE ELECTION UNDER THIS SUBPARAGRAPH, AND THE DISTRIBUTION IS BEING MADE OUT OF THE CORPUS.

990-PF

AFFILIATION WITH TAX-EXEMPT ORGANIZATIONS
PART XVII, LINE 2, COLUMN (C)

STATEMENT 14

NAME OF AFFILIATED OR RELATED ORGANIZATION

WOLDENBERG FOUNDATION

DESCRIPTION OF RELATIONSHIP WITH AFFILIATED OR RELATED ORGANIZATION

COMMON DIRECTORS

GOLDRING FAMILY FOUNDATION
PART II - BALANCE SHEETS
November 30, 2013

72-6022666

	11/30/13	
	End of Year	
	Book Value	Fair Market Value
Cash & Temporary Investments:		
Bessemer Trust	\$ 89,130	\$ 89,130
Eagle Capital Management	23,177	23,177
Scholarship Account	1,340	1,340
Systematic	427,329	427,329
US Trust	160,626	160,626
US Trust - Excelsior	51,775	51,775
Villere	1,821,394	1,821,394
Whitney Operating	1,019,031	1,019,031
Total	\$ 3,593,802	\$ 3,593,802
Prepaid Expenses & Receivables:		
Meridian Note Receivable	\$ 136,481	\$ 136,481
Other Receivable	50,000	50,000
Prepaid Taxes	1,377	1,377
Total	\$ 187,858	\$ 187,858
Investments - Corporate Stocks:		
Eagle Management	\$ 704,998	\$ 662,112
Systematic	15,374,681	17,589,991
US Trust	6,301,031	8,969,596
Villere	17,333,435	27,678,901
Total	\$ 39,714,145	\$ 54,900,600
Investments - Corporate Bonds:		
US Trust	\$ 2,149,869	\$ 2,523,872
US Trust - Excelsior	3,033,228	2,917,049
Villere	6,195,509	6,667,140
Total	\$ 11,378,606	\$ 12,108,061
Investments - Other Assets:		
Arden Endowment	\$ 1,500,000	\$ 3,639,908
Arden PropPartner	1,820,000	2,058,425
Bessemer Trust Venture Capital	141,808	141,808
Canyon Agassi	465,353	465,353
Canyon Value	1,250,000	2,394,925
IDA Planning	1,005,587	1,005,587
Jewel Funds	3,903,327	3,903,327
MHF Special Investments	129,063	129,063
Opus Capital Ventures	136,934	169,681
Protarga	100,000	40,000
WP Real Estate Fund IV	37,636	37,636
WP Real Estate Fund V	204,688	204,688
WPG Corporate Development V	7,747	7,747
WPG Venture VI (Lightspeed)	93,790	93,790
Total	\$ 10,795,933	\$ 14,291,938
Total Assets	\$ 65,670,344	\$ 85,082,259

**GOLDRING FAMILY FOUNDATION
RECAP OF LONG-TERM & SHORT-TERM
CAPITAL GAINS AND LOSSES
November 30, 2013**

72-6022666

	11/30/13
Short-term Capital Gain/(Loss) Distribution:	Amount
Lightspeed Venture Partners VI, LP	238
MHF Special Investments	299
Old Westbury Venture Capital Fund II, LLC	93
The Jewel QP Fund, LP	36,129
Total	\$ 36,759

	Amount
Long-term Capital Gain/(Loss) Distribution:	
Lightspeed Venture Partners VI, LP	(2,490)
MHF Special Investments	5,241
Old Westbury Venture Capital Fund II, LLC	34,909
The Jewel QP Fund, LP	87,592
WP Real Estate Fund IV	(20,193)
WP Real Estate Fund V	14,267
WPG VA IV Liquidating Trust	(32,474)
Total	\$ 86,852

**GOLDRING FAMILY FOUNDATION
INTEREST AND DIVIDEND INCOME
FROM FORMS K-1
November 30, 2013**

72-6022666

	11/30/13
	Amount
Interest Income:	
IDA Planning LLC	2
Lightspeed Venture Partners VI, LP	67
MHF Special Investments	2,341
Old Westbury Venture Capital Fund II, LLC	274
The Jewel QP Fund, LP	94,615
WP Real Estate Fund IV	240
WP Real Estate Fund V	340
WPG Corporate Development Assoc. V, LLC	247
WPG VA IV Liquidating Trust	13
Total	\$ 98,139

	Amount
Dividend Income:	
MHF Special Investments	1,844
Old Westbury Venture Capital Fund II, LLC	612
The Jewel QP Fund, LP	9,203
Total	\$ 11,659

**GOLDRING FAMILY FOUNDATION
ALLOCATION BETWEEN NII & ADMIN
November 30, 2013**

72-6022666

	<u>11/30/13 Total</u>	<u>Net Investment Income</u>	<u>Adjusted Net Income</u>	<u>Disbursements for Charitable Purposes</u>
Per Trudi Briede				
Time spent:				
Net Investment Income	50%			
Adjusted Net Income	10%			
Disbursements for Charitable Purposes	40%			
Expenses:				
Bank Charges	\$ 140	\$ 70	\$ 14	\$ 56
Accounting Fees	40,857	20,429	4,086	16,343
Consulting Fees	6,000	3,000	600	2,400
Continuing Education	1,045	523	105	418
Depreciation per K-1s	4	2	0	2
Dues	1,225	613	123	490
Foreign Taxes	1,570	1,570	-	-
Interest Expense	43	43	-	-
Investment Interest Expense	59,036	59,036	-	-
Investment Fees	304,202	304,202	-	-
Legal Fees	370	185	37	148
Miscellaneous K-1s	3,304	3,304	-	-
Office Expense	477	239	48	191
Payroll	200,000	100,000	20,000	80,000
Payroll Taxes	9,949	4,975	995	3,980
Travel	2,075	1,038	208	830
Subtotal	\$ 630,297	\$ 499,226	\$ 26,214	\$ 104,857
Non-Deductible Expenses	264,377	264,377	-	-
Total Expenses	<u>\$ 894,674</u>	<u>\$ 763,603</u>	<u>\$ 26,214</u>	<u>\$ 104,857</u>

Golding Family Foundation**Grants by Type****FYE 11/30/13**

Charity Type/Name	City/State	Date	Amount
Animal Related			
Audubon Institute	New Orleans, LA	05/15/13	\$ 10,000
L.I.F.E. House for Animals	Frankfort, KY	08/20/13	1,500
Louisiana SPCA	New Orleans, LA	12/13/12	100
Puppy Rescue Mission	Celina, TX	02/07/13	3,000
Animal Related Total			\$ 14,600
Arts, Culture, Humanities			
American Italian Renaissance Foundation	New Orleans, LA	12/17/12	\$ 5,000
Artist, Inc.	New Orleans, LA	10/01/13	5,000
Arts Council of New Orleans	New Orleans, LA	08/07/13	1,000
Contemporary Arts Center	New Orleans, LA	04/16/13	25,000
Fine Arts of Bardstown Society	Bardstown, KY	09/18/13	2,500
Frankfort Arts Foundation	Frankfort, KY	08/20/13	2,500
Greater New Orleans Youth Orchestra (GNOYO)	New Orleans, LA	04/16/13	2,500
Jefferson Performing Arts Society	Metairie, LA	09/24/13	7,500
Kentucky Historical Society	Frankfort, KY	08/20/13	5,000
Longue Vue House & Gardens	New Orleans, LA	06/20/13	1,000
Louisiana Endowment for the Humanities	New Orleans, LA	01/31/13	5,000
Louisiana Museum Foundation	New Orleans, LA	05/09/13	10,000
Louisiana Museum Foundation	New Orleans, LA	10/29/13	10,000
Louisiana Philharmonic Orchestra	New Orleans, LA	06/20/13	5,000
National World War II Museum Inc.	New Orleans, LA	12/04/12	12,500
National World War II Museum Inc.	New Orleans, LA	04/16/13	500
National World War II Museum Inc.	New Orleans, LA	05/08/13	187,500
National World War II Museum Inc.	New Orleans, LA	08/07/13	200
National World War II Museum Inc.	New Orleans, LA	09/24/13	200
National World War II Museum Inc.	New Orleans, LA	10/31/13	10,000
New Orleans Jazz & Heritage Foundation	New Orleans, LA	01/31/13	100,000
New Orleans Jazz & Heritage Foundation	New Orleans, LA	04/08/13	5,000
New Orleans Jazz & Heritage Foundation	New Orleans, LA	05/02/13	4,700
New Orleans Jazz Orchestra	New Orleans, LA	01/31/13	10,000
New Orleans Museum of Art	New Orleans, LA	06/26/13	10,000
New Orleans Museum of Art	New Orleans, LA	11/20/13	10,000
New Orleans Opera Association	New Orleans, LA	09/24/13	4,000
Norman Dixon Annual Fund	New Orleans, LA	12/17/12	25,000
Ogden Museum of Southern Art	New Orleans, LA	12/01/12	10,000
Ogden Museum of Southern Art	New Orleans, LA	12/11/12	10,000
Ogden Museum of Southern Art	New Orleans, LA	01/15/13	10,000
Ogden Museum of Southern Art	New Orleans, LA	04/08/13	20,000
Ogden Museum of Southern Art	New Orleans, LA	04/08/13	10,000
Ogden Museum of Southern Art	New Orleans, LA	05/02/13	10,000
Ogden Museum of Southern Art	New Orleans, LA	06/01/13	10,000
Ogden Museum of Southern Art	New Orleans, LA	07/15/13	10,000
Ogden Museum of Southern Art	New Orleans, LA	08/07/13	10,000
Ogden Museum of Southern Art	New Orleans, LA	09/09/13	10,000
Ogden Museum of Southern Art	New Orleans, LA	10/01/13	10,000
Ogden Museum of Southern Art	New Orleans, LA	10/09/13	20,000
Ogden Museum of Southern Art	New Orleans, LA	11/13/13	10,000
Old Bardstown Village	Bardstown, KY	09/18/13	5,000

Goldring Family Foundation**Grants by Type****FYE 11/30/13**

Charity Type/Name	City/State	Date	Amount
PhotoNola	New Orleans, LA	07/23/13	2,000
Preservation Kentucky, Inc.	Frankfort, KY	08/20/13	5,000
Roots of Music	New Orleans, LA	01/31/13	25,000
Southern Food and Beverage Museum	New Orleans, LA	09/24/13	1,000
Stephen Foster Drama Association	Bardstown, KY	09/18/13	5,000
Theatre Workshop Of Owensboro	Owensboro, KY	10/09/13	2,500
Troy Andrews Foundation	New Orleans, LA	04/16/13	10,000
WWOZ FM Community Radio	New Orleans, LA	04/16/13	5,000
WYES Producers Circle	New Orleans, LA	02/19/13	5,000
Arts, Culture, Humanities Total			\$ 682,100

Civil Rights, Social Action, Advocacy

Anti-Defamation League	Metairie, LA	12/17/12	\$ 7,500
Bureau of Governmental Research	New Orleans, LA	04/16/13	5,000
Louisiana State Police/Troop B	Kenner, LA	03/28/13	1,000
Public Affairs Research Council of Louisiana	Baton Rouge, LA	04/16/13	5,000
Southern Institute for Education & Research	New Orleans, LA	04/29/13	20,000
Civil Rights, Social Action, Advocacy Total			\$ 38,500

Community Improvement, Capacity Building

Bardstown Historic Development Corporation	Bardstown, KY	09/18/13	\$ 2,500
CBNO/MAC	New Orleans, LA	06/26/13	500
Downtown Frankfort	Frankfort, KY	08/20/13	1,000
Fast Forward Restart	New Orleans, LA	09/24/13	5,000
Idea Village	New Orleans, LA	04/16/13	10,000
Louisiana Cultural Economy Foundation	New Orleans, LA	04/11/13	3,000
New Orleans Business Alliance	New Orleans, LA	04/16/13	10,000
One Community Church	Plano, TX	09/18/13	2,000
Preservation Resource Center	New Orleans, LA	04/03/13	5,000
The Lens	New Orleans, LA	09/26/13	4,000
Urban League of Greater New Orleans	New Orleans, LA	03/28/13	5,000
Urban League of Greater New Orleans	New Orleans, LA	06/26/13	5,000
Community Improvement, Capacity Building Total			\$ 53,000

Crime, Legal Related

Crime Stoppers	Metairie, LA	05/15/13	\$ 5,000
Louisiana Civil Service League	New Orleans, LA	02/05/13	600
Metropolitan Crime Commission of New Orleans	New Orleans, LA	04/16/13	10,000
New Orleans Police and Justice Foundation	New Orleans, LA	03/21/13	5,000
New Orleans Police and Justice Foundation	New Orleans, LA	04/29/13	10,000
New Orleans Police and Justice Foundation	New Orleans, LA	11/21/13	5,000
Crime, Legal Related Total			\$ 35,600

Diseases, Disorders

American Cancer Society	New Orleans, LA	10/22/13	\$ 100
Bridge House/Grace House	New Orleans, LA	01/31/13	25,000
Bridge House/Grace House	New Orleans, LA	04/29/13	70,000
NO/Aids Taskforce	New Orleans, LA	02/18/13	15,000
NO/Aids Taskforce	New Orleans, LA	06/20/13	7,500
NO/Aids Taskforce	New Orleans, LA	11/20/13	7,500

Golding Family Foundation**Grants by Type****FYE 11/30/13**

Charity Type/Name	City/State	Date	Amount
Special Olympics	Hammond, LA	04/29/13	1,000
Diseases, Disorders Total			\$ 126,100
<i>Educational Institutions & Related Activities</i>			
4.0 Schools	New Orleans, LA	09/24/13	\$ 15,000
Breakthrough New Orleans	New Orleans, LA	04/29/13	5,000
Choice Foundation	New Orleans, LA	09/24/13	10,000
Christopher Briede Memorial Fund	New Orleans, LA	04/03/13	500
En Classe USA	Rye, NY	10/22/13	5,000
FirstLine Schools	New Orleans, LA	04/29/13	12,500
Foundation for Science & Mathematics Education	New Orleans, LA	04/16/13	15,000
Good Shepherd School	New Orleans, LA	09/24/13	10,000
Honore' Center for Undergraduate Student Achieve.	New Orleans, LA	10/29/13	2,500
Jefferson Dollars for Scholars	Metairie, LA	11/27/13	2,500
KIPP New Orleans	New Orleans, LA	04/29/13	166,650
New Orleans Center for Creative Arts (NOCCA)	New Orleans, LA	06/20/13	60,000
New Schools for New Orleans	New Orleans, LA	04/29/13	100,000
Posse Foundation	New Orleans, LA	09/24/13	12,500
St. Augustine March 100	New Orleans, LA	12/13/12	5,000
St. Katherine Drexel Prep School	New Orleans, LA	08/15/13	10,000
St. Michael Special School	New Orleans, LA	11/20/13	1,000
Stephens College	Columbia, MO	04/16/13	5,000
Thornhill Learning Center	Frankfort, KY	08/20/13	5,000
Tulane Athletics	New Orleans, LA	07/30/13	200
Tulane University - Office of Development	New Orleans, LA	11/20/13	25,000
Xavier University	New Orleans, LA	04/16/13	25,000
Educational Institutions & Related Activities Total			\$ 493,350
<i>Employment, Job Related</i>			
Greater New Orleans Development Foundation	New Orleans, LA	12/17/12	\$ 12,500
Employment, Job Related Total			\$ 12,500
<i>Environmental Quality, Protection, Beautification</i>			
Jefferson Beautification, Inc.	Jefferson, LA	05/21/13	\$ 1,000
Kentucky Fish & Wildlife Foundation	Frankfort, KY	08/20/13	5,000
Lake Pontchartrain Basin Foundation	Metairie, LA	07/30/13	1,000
Save the Oaks	New Orleans, LA	04/11/13	3,000
Environmental Quality, Protection, Beautification Total			\$ 10,000
<i>Food, Agriculture & Nutrition</i>			
Meals on Wheels - Council on Aging	New Orleans, LA	01/31/13	\$ 5,000
Pennies for the Bread & Abbey	St. Benedict, LA	09/24/13	1,000
Second Harvesters Food Bank	New Orleans, LA	01/31/13	25,000
Second Harvesters Food Bank	New Orleans, LA	01/31/13	(25,000)
Second Harvesters Food Bank	New Orleans, LA	09/09/13	25,000
Food, Agriculture & Nutrition Total			\$ 31,000
<i>Grantmaking, Philanthropy, Voluntarism</i>			
Community Foundation of San Joaquin	Stockton, CA	10/29/13	\$ 1,000
John Besh Foundation	New Orleans, LA	08/07/13	5,900

Golding Family Foundation**Grants by Type****FYE 11/30/13**

Charity Type/Name	City/State	Date	Amount
Grantmaking, Philanthropy, Voluntarism Total			\$ 6,900
<i>Health-General & Rehabilitation</i>			
Children's Health Fund	New York, NY	10/31/13	\$ 25,000
Children's Hospital	New Orleans, LA	03/21/13	2,950
Hospice of the Bluegrass	Lexington, KY	08/20/13	5,000
New Orleans Speech & Hearing Center	New Orleans, LA	09/24/13	20,000
Ruth Fertel/Tulane Community Health Center	New Orleans, LA	04/29/13	333,333
Save A Child's Heart	Potomac, MD	04/29/13	10,000
Touro Infirmary Foundation	New Orleans, LA	06/26/13	5,000
Health-General & Rehabilitation Total			\$ 401,283
<i>Health-Mental & Crisis Intervention</i>			
LSUHSC Dept. of Psychiatry	New Orleans, LA	05/02/13	\$ 500
National Alliance for the Mentally Ill (NAMI)	New Orleans, LA	05/23/13	500
Health-Mental & Crisis Intervention Total			\$ 1,000
<i>Housing, Shelter</i>			
Boulware Center Mission	Owensboro, KY	10/09/13	\$ 5,000
Coalition for Committed Christians	Frankfort, KY	08/20/13	5,000
Ozanam Inn	New Orleans, LA	04/16/13	10,000
Pitino Shelter, Inc.	Owensboro, KY	10/09/13	5,000
Re/Shelter	Snowflake, AZ	02/07/13	3,000
Resource Office for Social Ministries, Inc.	Frankfort, KY	08/20/13	5,000
St. Benedict's Shelter	Owensboro, KY	10/09/13	5,000
Housing, Shelter Total			\$ 38,000
<i>Human Services</i>			
American Diabetes Association	Baton Rouge, LA	04/29/13	\$ 500
American Eagle Foundation	Pigeon Forge, TN	02/07/13	3,000
American Fallen Warrior Memorial Foundation	Lawrence, KS	02/07/13	30,000
American Heart Association - New Orleans	Metairie, LA	05/29/13	2,000
American Red Cross - Frankfort	Frankfort, KY	08/20/13	5,000
American Red Cross Evansville-Wabash Valley	Owensboro, KY	10/09/13	1,000
ARC of Greater New Orleans	New Orleans, LA	04/16/13	5,000
Bardstown @ Home	Bardstown, KY	09/18/13	2,500
CASA Franklin County	Frankfort, KY	08/20/13	3,000
Catholic Charities Association	New Orleans, LA	09/24/13	50,000
Communicare	Bardstown, KY	09/18/13	5,000
Covenant House	New Orleans, LA	12/17/12	50,000
Covenant House	New Orleans, LA	04/29/13	30,000
Emeril Lagasse Foundation	New Orleans, LA	12/17/12	10,000
Emeril Lagasse Foundation	New Orleans, LA	10/21/13	25,000
Evansville ARC	Evansville, IN	10/09/13	1,000
Family Center of Hope	New Orleans, LA	11/13/13	5,000
Family Service of Greater New Orleans	New Orleans, LA	10/29/13	1,000
Fore Kids	Avondale, LA	09/09/13	5,000
Franklin County Council on Aging	Frankfort, KY	08/20/13	5,000
Franklin County Council on Family Abuse	Frankfort, KY	08/20/13	5,000
Franklin County Women's Shelter	Frankfort, KY	08/20/13	5,000

Goldring Family Foundation**Grants by Type****FYE 11/30/13**

Charity Type/Name	City/State	Date	Amount
Friends of Wickland	Bardstown, KY	09/18/13	5,000
Funny Bones Improv	New Orleans, LA	12/13/12	5,000
Funny Bories Improv	New Orleans, LA	09/24/13	5,000
Gordon Gift of Life Foundation	Cincinnati, OH	12/17/12	10,000
Jefferson Council on Aging, Inc.	Metairie, LA	04/16/13	2,500
Joey's Hope	Kenner, LA	05/29/13	2,500
Kings Center	Frankfort, KY	08/20/13	3,000
Kingsley House	New Orleans, LA	04/29/13	15,000
Lambeth House Foundation	New Orleans, LA	07/15/13	1,000
Legacy Donor Foundation	Metairie, LA	05/15/13	5,000
Lighthouse Louisiana	New Orleans, LA	03/18/13	1,000
Lighthouse Louisiana	New Orleans, LA	09/24/13	20,000
Magnolia School	Jefferson, LA	12/31/12	1,000
Make A Wish Foundation	Metairie, LA	11/20/13	2,500
Neblett Community Center	Owensboro, KY	10/09/13	2,000
Nelson County Community Clinic	Bardstown, KY	09/18/13	5,000
New Life Center	Bardstown, KY	09/18/13	5,000
New Orleans Musicians Assistance Foundation	New Orleans, LA	12/17/12	5,000
New Orleans Women's Shelter	New Orleans, LA	04/29/13	25,000
Operation Hope	Concord, VA	02/07/13	3,000
Poydras Home	New Orleans, LA	06/20/13	1,000
Project Lazarus	New Orleans, LA	05/23/13	10,000
Puzzle Pieces	Owensboro, KY	10/09/13	2,000
Rotary Club	Metairie, LA	08/15/13	500
Small Steps In Speech	Collingswood, NJ	02/07/13	3,000
St. Elijah Antiochian Orthodox Christian Church	Oklahoma City, OK	08/07/13	200
Sunshine Center	Frankfort, KY	08/20/13	5,000
Texas Equusearch	Dickinson, TX	02/07/13	3,000
Times Picayune Doll & Toy Fund	New Orleans, LA	11/20/13	1,000
Trinity Counseling and Training Center	New Orleans, LA	06/26/13	1,000
United Way - Frankfort	Frankfort, KY	08/20/13	1,000
United Way of Greater New Orleans	New Orleans, LA	11/20/13	25,000
United Way of the Ohio Valley	Owensboro, KY	10/09/13	4,500
Volunteers of America	New Orleans, LA	09/24/13	10,000
YMCA - Frankfort	Frankfort, KY	08/20/13	3,000
Human Services Total			\$ 442,700

Jewish Causes

American Israel Education Foundation	Washington, DC	04/29/13	\$ 35,000
Avodah New Orleans	New Orleans, LA	04/29/13	20,000
Chabad Lubavitch of Louisiana	New Orleans, LA	04/29/13	7,500
Friends of Israel Defense Forces	New York, NY	08/29/13	1,800
Gates of Prayer	Metairie, LA	01/31/13	35,100
Gates of Prayer	Metairie, LA	06/27/13	30,000
Harold Grinspoon Foundation	West Springfield, MA	01/31/13	25,000
Hillel Foundation	New Orleans, LA	09/24/13	25,000
Institute of Southern Jewish Life	Jackson, MS	02/19/13	50,000
Jewish Children's Regional Service	Metairie, LA	12/13/12	30,000
Jewish Children's Regional Service	Metairie, LA	11/20/13	60,000
Jewish Community Center	New Orleans, LA	01/31/13	33,333
Jewish Community Center	New Orleans, LA	07/22/13	100

Goldring Family Foundation**Grants by Type****FYE 11/30/13**

Charity Type/Name	City/State	Date	Amount
Jewish Endowment Foundation	New Orleans, LA	01/31/13	3,000
Jewish Endowment Foundation	New Orleans, LA	09/09/13	84,650
Jewish Family Services	Metairie, LA	10/22/13	100
Jewish Family Services	Metairie, LA	11/20/13	25,000
Jewish Federation of Greater New Orleans	Metairie, LA	08/07/13	148,500
Jewish Federation of North America	New York, NY	12/17/12	25,000
Jewish Institute for National Security Affairs	Washington, DC	02/19/13	9,700
Jewish Outreach Institute	New York, NY	07/16/13	15,000
Moishe House	Oakland, CA	04/16/13	5,000
Pensacola Jewish Federation	Pensacola, FL	11/20/13	5,000
Temple Sinai	New Orleans, LA	04/16/13	20,000
Temple Sinai	New Orleans, LA	06/20/13	66,666
World Jewish Congress Foundation	New York, NY	04/29/13	10,000
Jewish Causes Total			\$ 770,449

Medical Research

Cancer Association of Greater New Orleans	New Orleans, LA	06/26/13	\$ 200
Cancer Association of Greater New Orleans	New Orleans, LA	09/24/13	5,000
Michael J Fox Foundation	Covington, LA	03/20/13	2,500
OI Foundation	Philadelphia, PA	11/13/13	200
Secret Gardens Tour	New Orleans, LA	06/26/13	1,000
Susan G. Komen Breast Cancer Foundation	Metairie, LA	10/09/13	1,000
Medical Research Total			\$ 9,900

Recreation, Sports, Leisure, Athletics

Friends of City Park	New Orleans, LA	04/01/13	\$ 2,000
Recreation, Sports, Leisure, Athletics Total			\$ 2,000

Religion

Blessed Father Seelos Center	New Orleans, LA	01/15/13	\$ 200
Religion Total			\$ 200

Youth Development

Baton Rouge Area Foundation	Baton Rouge, LA	10/01/13	\$ 2,500
Baton Rouge Basketball & Volleyball Assoc	Baton Rouge, LA	07/30/13	5,000
Big Brothers/Big Sisters of Central Ohio	Columbus, OH	02/05/13	500
Boy Scouts of America of Southeast Louisiana	Metairie, LA	05/15/13	5,000
Boys Hope/Girls Hope	New Orleans, LA	09/26/13	5,000
Camp Dream Street Mississippi	Metairie, LA	04/16/13	10,000
City Year	New Orleans, LA	09/24/13	15,000
Cliff Hagan Boys & Girls Club	Owensboro, KY	10/09/13	3,000
Davis Academy	Atlanta, GA	04/16/13	1,000
East Bank Friends of Recreation	Metairie, LA	07/16/13	1,000
Girls First	New Orleans, LA	04/16/13	5,000
Girls, Inc.	Owensboro, KY	10/09/13	3,000
Goodfellows Club of Owensboro	Owensboro, KY	10/09/13	1,500
Junior Achievement of Greater New Orleans	New Orleans, LA	04/29/13	10,000
Junior Achievement of Western Kentucky	Colorado Springs, CO	10/09/13	1,000
Kidsmart	New Orleans, LA	04/29/13	7,500
Liberty's Kitchen	New Orleans, LA	09/24/13	10,000

Goldring Family Foundation**Grants by Type****FYE 11/30/13**

Charity Type/Name	City/State	Date	Amount
Mandeville High School	Mandeville, LA	10/24/13	250
National Guard Youth Foundation	New Orleans, LA	06/26/13	1,000
Reconcile New Orleans	New Orleans, LA	09/24/13	15,000
The Cinderella Project	Baton Rouge, LA	08/29/13	5,000
YMCA of Northwest Florida	Pensacola, FL	03/18/13	500
Young Leadership Council	New Orleans, LA	07/15/13	10,000
Youth Empowerment Project	New Orleans, LA	01/31/13	7,500
Youth Development Total			\$ 125,250
Total			\$ 3,294,432

Golding Family Foundation
Scholarships
November 30,2013

Name	Date	Check Number	Amount
Barlow, Elissia	01/29/2013	3238	\$ 1,750
Beckwith, Sean	07/29/2013	3267	1,750
Brey, Jessica	01/29/2013	3249	1,750
Buuck, Eden	07/29/2013	3268	1,750
Byrd, Hallie	07/29/2013	3263	1,750
Castin, Ashleigh	01/29/2013	3242	1,750
Castin, Ashleigh	07/29/2013	3269	1,750
Clayton, McKinley	01/29/2013	3250	1,750
Crawford, Christina	07/29/2013	3278	1,750
Custodio, Wilfredo	01/29/2013	3251	1,750
Custodio, Wilfredo	07/29/2013	3279	1,750
Fields, Devin	01/29/2013	3243	1,750
Francois, Ruby	01/29/2013	3244	1,750
Francois, Ruby	07/29/2013	3270	1,750
Galentine, Bethane	01/29/2013	3240	1,750
Galentine, Bethane	07/29/2013	3265	1,750
Gregory, Mitchell	01/29/2013	3239	1,750
Gregory, Mitchell	07/29/2013	3264	1,750
Haydon, Levi	07/29/2013	3280	1,750
Humphrey, Nicholas	01/29/2013	3252	1,750
Humphrey, Nicholas	07/29/2013	3281	1,750
Istre, Colin	01/29/2013	3241	1,750
Istre, Colin	07/29/2013	3266	1,750
Jacob, Kirk	07/29/2013	3282	1,750
Jacob, Shelby	01/29/2013	3253	1,750
Jacob, Shelby	07/29/2013	3283	1,750
Khang Le, Remi	04/03/2013	3262	1,750
Kirtley, Alyssa	07/29/2013	3284	1,750
Ledet, Patrick	01/29/2013	3248	1,750
Lee, Emily	07/29/2013	3277	1,750
Lee, Jon	07/29/2013	3285	1,750
Long, Jordan	07/29/2013	3271	1,750
Long, Katie Michelle	01/29/2013	3245	1,750
Long, Katie Michelle	07/29/2013	3272	1,750
MacLeod, Shayla	07/29/2013	3286	1,750
Masson, Miranda	01/29/2013	3254	1,750
Michel, Jeanne	01/29/2013	3246	1,750
Michel, Jeanne	07/29/2013	3273	1,750
Natale, Sarah	07/29/2013	3287	1,750
Peterson, Jensine	01/29/2013	3255	-
Peterson, Jensine	02/21/2013	3261	1,750

Goldring Family Foundation
Scholarships
November 30,2013

Name	Date	Check Number	Amount
Pierce, Adam	01/29/2013	3256	1,750
Pierce, Adam	07/29/2013	3288	1,750
Pilet, Kory	07/29/2013	3274	1,750
Porche, Alexis	07/29/2013	3275	-
Porche, Alexis	08/15/2013	3297	1,750
Prescott, Jessica	07/29/2013	3289	1,750
Raley, David	01/29/2013	3257	1,750
Raley, David	07/29/2013	3290	1,750
Raley, Martha	01/29/2013	3258	1,750
Raley, Martha	07/29/2013	3291	1,750
Rynne, Colleen	07/29/2013	3292	1,750
Rynne, Timothy	01/29/2013	3259	1,750
Rynne, Timothy	07/29/2013	3293	1,750
Shrum, Caroline	02/05/2013	3260	1,750
Shrum, Caroline	07/29/2013	3294	1,750
Smith, Tanner	07/29/2013	3295	1,750
Streelman, Matt	01/29/2013	3247	1,750
Wilkes, Ashlyn	07/29/2013	3276	1,750
Wyant, Paige	07/29/2013	3296	1,750
Total Scholarships			\$ 101,500

Goldring Family Foundation
Realized Gains/Losses
Fiscal Year Ended 11/30/13

Symbol	Number of Shares	Purchase Date	Purchase Price	Sale Date	Proceeds	Realized Gain/Loss	Term
Short Term Capital Gains/(Losses)							
LNKD	260 000	5/8/2012	29,255	2/1/2013	32,210	2,955	Short
LNKD	370.000	5/8/2012	41,632	2/8/2013	54,652	13,020	Short
KORS	420.000	3/9/2012	21,155	2/13/2013	25,736	4,581	Short
EMC	760.000	1/29/2013	18,316	5/10/2013	17,649	(667)	Short
YUM	90 000	1/29/2013	5,854	7/12/2013	6,376	522	Short
YUM	280.000	2/8/2013	18,326	7/12/2013	19,835	1,510	Short
EW	80.000	6/11/2013	5,522	9/23/2013	5,535	13	Short
EW	420 000	2/8/2013	36,580	9/23/2013	29,059	(7,520)	Short
EW	460 000	2/6/2013	39,829	9/23/2013	31,827	(8,002)	Short
NVO	170.000	6/12/2013	28,524	11/13/2013	29,222	699	Short
QCOM	190.000	1/7/2013	12,152	11/21/2013	13,364	1,212	Short
EW	290 000		28,279	12/13/12	26,192	(2,087)	Short
FB	900.000		21,016	12/13/12	25,100	4,084	Short
GILD	323 000		18,868	12/13/12	24,092	5,224	Short
LNKD	300 000		33,756	12/13/12	33,563	(193)	Short
YUM	467.000		29,602	12/13/12	31,583	1,981	Short
KORS	590.000		29,716	12/13/12	29,228	(488)	Short
KORS	60 000		3,022	12/13/12	2,972	(50)	Short
CONN	2000.000	1/7/2013	58,174	10/4/2013	106,674	48,500	Short
OAS	2000.000	1/28/2013	72,104	10/4/2013	104,238	32,134	Short
PXP	22700 000	06/01/12	828,035	12/10/12	1,010,654	182,619	Short
URI	922.000	5/1/2012	41,558	1/2/2013	44,603	3,045	Short
MPC	458.000	6/21/2012	19,020	1/4/2013	28,135	9,115	Short
MPC	727.000	6/19/2012	29,820	1/4/2013	44,659	14,839	Short
WY	105.000	7/10/2012	2,376	1/4/2013	3,075	700	Short
WY	852 000	7/5/2012	19,374	1/4/2013	24,955	5,581	Short
PIR	1625 000	5/15/2012	27,073	1/7/2013	33,050	5,976	Short
PIR	2118 000	7/17/2012	35,062	1/7/2013	43,076	8,014	Short
URI	560 000	5/1/2012	25,241	1/7/2013	26,959	1,718	Short
SNA	555.000	4/26/2012	34,860	1/8/2013	43,693	8,833	Short
AGCO	311.000	7/5/2012	14,408	1/9/2013	15,626	1,218	Short
AGCO	325.000	1/10/2012	16,172	1/9/2013	16,330	158	Short
CRL	1029.000	4/10/2012	36,335	1/9/2013	40,040	3,705	Short
FEIC	683.000	6/21/2012	33,902	1/11/2013	39,594	5,692	Short
FEIC	250.000	6/22/2012	12,032	1/11/2013	14,493	2,461	Short
HFC	120.000	2/16/2012	4,158	1/15/2013	5,588	1,430	Short
HFC	297.000	2/13/2012	9,691	1/15/2013	13,831	4,140	Short
PPG	84.000	6/20/2012	8,901	1/16/2013	11,527	2,626	Short
PPG	201 000	4/24/2012	20,473	1/16/2013	27,582	7,108	Short
PPG	220 000	4/19/2012	22,050	1/16/2013	30,189	8,138	Short
WY	1799.000	7/10/2012	40,701	1/16/2013	54,618	13,917	Short
THO	1804 000	10/17/2012	68,269	1/24/2013	75,212	6,943	Short
FEIC	329 000	6/22/2012	15,834	1/25/2013	21,046	5,212	Short
FEIC	13.000	7/10/2012	621	1/25/2013	832	211	Short
PPG	203 000	10/5/2012	23,944	1/25/2013	29,289	5,345	Short
PPG	285 000	6/20/2012	30,199	1/25/2013	41,121	10,922	Short
SIRO	689.000	3/1/2012	34,666	1/25/2013	46,270	11,605	Short
URI	402 000	5/1/2012	18,120	1/25/2013	20,462	2,342	Short
ASH	300 000	6/14/2012	19,476	1/29/2013	23,493	4,017	Short
ASH	335 000	6/22/2012	22,691	1/29/2013	26,234	3,544	Short
ASH	354 000	6/25/2012	24,078	1/29/2013	27,722	3,644	Short
ASH	358.000	1/30/2012	22,698	1/29/2013	28,035	5,337	Short
HAIN	313 000	10/15/2012	18,845	1/29/2013	17,310	(1,535)	Short
FEIC	360 000	7/10/2012	17,195	1/30/2013	21,780	4,585	Short
BRCM	318 000	7/19/2012	10,255	1/31/2013	10,437	182	Short
BRCM	1989 000	6/27/2012	65,595	1/31/2013	65,279	(316)	Short
EXPE	700.000	11/7/2012	41,510	1/31/2013	45,306	3,795	Short
DLR	315.000	6/21/2012	23,410	2/1/2013	21,414	(1,996)	Short
DLR	881.000	6/27/2012	64,259	2/1/2013	59,891	(4,369)	Short
MDC	648 000	6/13/2012	17,125	2/1/2013	26,184	9,059	Short
BRCM	842 000	7/19/2012	27,153	2/4/2013	27,324	171	Short
EXPE	494 000	11/7/2012	29,295	2/4/2013	32,553	3,259	Short

Golding Family Foundation
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Symbol	Number of Shares	Purchase Date	Purchase Price	Sale Date	Proceeds	Realized Gain/Loss	Term
EXPE	31.000	11/7/2012	1,838	2/6/2013	2,034	196	Short
EXPE	509.000	12/4/2012	30,576	2/6/2013	33,399	2,823	Short
EXPE	646.000	11/16/2012	36,919	2/6/2013	42,388	5,469	Short
URI	130.000	5/8/2012	5,278	2/6/2013	6,754	1,476	Short
URI	173.000	5/1/2012	7,798	2/6/2013	8,987	1,190	Short
URI	488.000	5/4/2012	20,444	2/6/2013	25,352	4,907	Short
FEIC	431.000	7/10/2012	20,586	2/7/2013	26,311	5,725	Short
FEIC	849.000	7/17/2012	39,978	2/7/2013	51,829	11,851	Short
HFC	549.000	2/16/2012	19,024	2/8/2013	30,590	11,566	Short
NEOLDDD	1630.000	7/20/2012	60,375	2/8/2013	64,226	3,851	Short
CRL	675.000	4/27/2012	23,951	2/11/2013	27,933	3,982	Short
CRL	994.000	4/10/2012	35,100	2/11/2013	41,133	6,034	Short
NEOLDDD	319.000	7/20/2012	11,816	2/12/2013	12,324	508	Short
NEOLDDD	614.000	8/21/2012	23,730	2/12/2013	23,721	(10)	Short
NEOLDDD	631.000	1/24/2013	23,976	2/12/2013	24,378	402	Short
NEOLDDD	812.000	8/7/2012	31,262	2/12/2013	31,370	108	Short
NEOLDDD	910.000	7/31/2012	33,985	2/12/2013	35,156	1,172	Short
SJM	442.000	9/17/2012	38,489	2/12/2013	39,536	1,047	Short
SJM	868.000	8/21/2012	73,185	2/12/2013	77,642	4,457	Short
THO	144.000	10/17/2012	5,449	2/12/2013	5,432	(17)	Short
THO	515.000	11/29/2012	19,996	2/12/2013	19,428	(568)	Short
THO	605.000	10/24/2012	22,559	2/12/2013	22,823	264	Short
THO	669.000	12/21/2012	25,749	2/12/2013	25,237	(512)	Short
THO	670.000	11/16/2012	27,195	2/12/2013	25,275	(1,921)	Short
GNC	1230.000	4/24/2012	44,562	2/14/2013	48,764	4,202	Short
RVBD	534.000	10/31/2012	9,858	2/14/2013	8,465	(1,393)	Short
RVBD	855.000	10/9/2012	19,690	2/14/2013	13,553	(6,137)	Short
RVBD	1033.000	9/27/2012	24,121	2/14/2013	16,375	(7,746)	Short
RVBD	1080.000	10/23/2012	25,696	2/14/2013	17,120	(8,576)	Short
RVBD	1416.000	10/26/2012	32,167	2/14/2013	22,446	(9,721)	Short
RVBD	3002.000	10/4/2012	69,902	2/14/2013	47,586	(22,315)	Short
RVBD	3186.000	9/26/2012	72,163	2/14/2013	50,503	(21,660)	Short
CPN	1402.000	1/15/2013	26,372	3/1/2013	25,718	(654)	Short
CPN	2027.000	1/23/2013	39,033	3/1/2013	37,182	(1,850)	Short
WDC	1603.000	1/16/2013	72,406	3/8/2013	75,647	3,242	Short
VLO	1982.000	12/17/2012	67,406	3/13/2013	86,745	19,340	Short
CF	104.000	12/13/2012	21,021	3/20/2013	20,486	(535)	Short
HP	629.000	12/12/2012	34,501	3/26/2013	38,208	3,707	Short
WDC	123.000	1/16/2013	5,556	3/26/2013	6,058	502	Short
WDC	481.000	1/29/2013	22,246	3/26/2013	23,690	1,444	Short
WDC	1089.000	1/23/2013	51,623	3/26/2013	53,635	2,012	Short
AVY	660.000	12/17/2012	22,466	3/27/2013	28,195	5,729	Short
GPS	638.000	1/7/2013	20,244	3/27/2013	22,358	2,115	Short
GPS	2423.000	1/4/2013	77,766	3/27/2013	84,913	7,146	Short
VLO	829.000	12/17/2012	28,193	4/2/2013	35,494	7,301	Short
AVY	703.000	12/17/2012	23,930	4/5/2013	28,983	5,053	Short
AVY	651.000	12/17/2012	22,160	4/10/2013	27,953	5,794	Short
FNF	415.000	12/31/2012	9,781	4/23/2013	11,014	1,233	Short
DAL	1515.000	12/20/2012	18,029	4/24/2013	24,937	6,908	Short
KLAC	663.000	1/29/2013	36,869	4/26/2013	34,259	(2,610)	Short
KLAC	1244.000	2/26/2013	67,281	4/26/2013	64,282	(2,999)	Short
KLAC	1473.000	1/25/2013	83,470	4/26/2013	76,115	(7,355)	Short
BMS	1124.000	1/11/2013	38,643	5/3/2013	44,584	5,941	Short
FNF	860.000	2/21/2013	21,901	5/7/2013	22,226	325	Short
FNF	959.000	1/17/2013	24,933	5/7/2013	24,785	(148)	Short
FNF	1026.000	12/31/2012	24,181	5/7/2013	26,516	2,335	Short
FNF	1153.000	1/30/2013	29,079	5/7/2013	29,799	720	Short
BMS	945.000	1/11/2013	32,489	5/9/2013	37,354	4,865	Short
BMS	1069.000	2/1/2013	38,782	5/9/2013	42,256	3,474	Short
CST	93.777	12/17/2012	2,368	5/10/2013	2,788	420	Short
CST	216.223	12/24/2012	5,439	5/10/2013	6,428	989	Short
CFN	2339.000	2/19/2013	77,257	5/16/2013	78,836	1,579	Short
CXW	0.693	4/11/2013	28	5/21/2013	27	(1)	Short

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Symbol	Number of Shares	Purchase Date	Purchase Price	Sale Date	Proceeds	Realized Gain/Loss	Term
MU	7011.000	3/8/2013	63,169	5/30/2013	83,570	20,401	Short
CST	0.441	12/24/2012	11	6/6/2013	14	3	Short
GCI	1627.000	1/9/2013	30,756	6/13/2013	40,960	10,204	Short
TEX	2585.000	1/28/2013	85,564	6/17/2013	74,884	(7,201)	Short
TEX	354.000	2/1/2013	11,752	6/17/2013	10,255	(1,498)	Short
GCI	895.000	1/9/2013	16,918	6/18/2013	22,357	5,438	Short
GCI	1240.000	1/9/2013	23,440	6/19/2013	30,063	6,622	Short
IP	138.000	1/4/2013	5,641	6/28/2013	6,134	493	Short
IP	863.000	1/3/2013	34,913	6/28/2013	38,361	3,448	Short
IP	1921.000	12/21/2012	75,647	6/28/2013	85,389	9,742	Short
SYMC	1065.000	3/12/2013	26,146	6/28/2013	23,687	(2,459)	Short
SYMC	1638.000	3/22/2013	40,393	6/28/2013	36,431	(3,962)	Short
SYMC	2326.000	3/7/2013	57,053	6/28/2013	51,733	(5,320)	Short
SYMC	2682.000	3/5/2013	65,358	6/28/2013	59,651	(5,707)	Short
DAL	1379.000	12/20/2012	16,410	7/1/2013	26,797	10,387	Short
TW	452.000	2/15/2013	29,920	7/1/2013	37,129	7,209	Short
TW	452.000	2/15/2013	29,920	7/1/2013	37,129	7,209	Short
VLO	844.000	12/17/2012	26,336	7/1/2013	29,037	2,702	Short
VLO	1950.000	12/24/2012	60,608	7/1/2013	67,088	6,480	Short
PTEN	462.000	2/27/2013	10,838	7/2/2013	9,353	(1,485)	Short
PTEN	4358.000	2/14/2013	105,691	7/2/2013	88,231	(17,461)	Short
PTEN	4358.000	2/14/2013	105,691	7/2/2013	88,231	(17,461)	Short
CXW	1827.000	4/11/2013	74,035	7/3/2013	57,995	(16,040)	Short
DAL	2213.000	12/20/2012	26,335	7/5/2013	42,223	15,889	Short
TW	264.000	2/15/2013	17,476	7/5/2013	21,401	3,926	Short
TW	264.000	2/15/2013	17,476	7/5/2013	21,401	3,926	Short
MU	693.000	3/13/2013	6,625	7/8/2013	9,771	3,146	Short
MU	2224.000	3/8/2013	20,038	7/8/2013	31,358	11,320	Short
AXLL	374.000	2/5/2013	20,465	7/9/2013	15,637	(4,828)	Short
AXLL	439.000	1/25/2013	21,333	7/9/2013	18,355	(2,978)	Short
AXLL	506.000	4/3/2013	28,303	7/9/2013	21,157	(7,146)	Short
AXLL	679.000	4/1/2013	41,039	7/9/2013	28,390	(12,649)	Short
AXLL	1661.000	1/24/2013	82,336	7/9/2013	69,449	(12,887)	Short
PTEN	639.000	2/27/2013	14,991	7/9/2013	13,091	(1,900)	Short
PTEN	659.000	3/15/2013	16,750	7/9/2013	13,501	(3,250)	Short
PTEN	1281.000	3/14/2013	32,019	7/9/2013	26,244	(5,775)	Short
PTEN	1559.000	3/7/2013	36,481	7/9/2013	31,939	(4,542)	Short
CFN	143.000	2/19/2013	4,723	7/10/2013	5,376	653	Short
CFN	1167.000	3/1/2013	38,439	7/10/2013	43,876	5,437	Short
CFN	1192.000	2/20/2013	39,658	7/10/2013	44,816	5,157	Short
IP	238.000	1/17/2013	10,001	7/12/2013	11,332	1,332	Short
IP	811.000	1/4/2013	33,153	7/12/2013	38,615	5,462	Short
ATML	8794.000	6/3/2013	70,436	7/17/2013	67,990	(2,445)	Short
INGR	589.000	1/15/2013	40,599	7/17/2013	37,104	(3,496)	Short
INGR	896.000	1/4/2013	60,140	7/17/2013	56,443	(3,697)	Short
PTEN	296.000	3/15/2013	7,524	7/17/2013	6,136	(1,387)	Short
PTEN	1081.000	4/25/2013	23,705	7/17/2013	22,410	(1,295)	Short
PTEN	1115.000	3/22/2013	26,341	7/17/2013	23,115	(3,227)	Short
PTEN	1516.000	3/21/2013	36,625	7/17/2013	31,428	(5,197)	Short
TRW	505.000	5/16/2013	31,699	7/17/2013	34,264	2,565	Short
BSX	3861.000	1/29/2013	28,069	7/18/2013	36,640	8,571	Short
ANF	1065.000	2/11/2013	53,343	7/22/2013	52,611	(732)	Short
IP	638.000	1/24/2013	27,266	7/24/2013	30,145	2,879	Short
IP	776.000	2/28/2013	34,555	7/24/2013	36,665	2,110	Short
IP	961.000	1/17/2013	40,380	7/24/2013	45,406	5,026	Short
CLGX	332.000	12/31/2012	8,918	7/25/2013	9,019	101	Short
CXW	68.693	4/23/2013	2,469	7/25/2013	2,280	(189)	Short
CXW	282.307	4/11/2013	11,440	7/25/2013	9,370	(2,070)	Short
CXW	971.000	4/11/2013	39,573	7/25/2013	32,227	(7,346)	Short
EVR	1910.000	2/7/2013	74,466	7/29/2013	86,047	11,582	Short
ANF	458.000	2/20/2013	23,650	7/30/2013	22,507	(1,143)	Short
ANF	500.000	2/11/2013	25,044	7/30/2013	24,571	(473)	Short
ANF	795.000	2/12/2013	40,055	7/30/2013	39,067	(987)	Short

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CLGX	610.000	2/22/2013	15,209	7/30/2013	16,986	1,776	Short
CLGX	1127.000	12/31/2012	30,273	7/30/2013	31,381	1,108	Short
GNC	739.000	12/13/2012	25,108	7/30/2013	38,584	13,477	Short
ATML	2121.000	6/3/2013	16,988	7/31/2013	16,374	(614)	Short
ATML	2947.000	6/5/2013	24,254	7/31/2013	22,750	(1,503)	Short
ATML	3670.000	6/7/2013	29,484	7/31/2013	28,332	(1,152)	Short
IGT	2295.000	5/22/2013	41,792	8/1/2013	42,984	1,192	Short
BDX	1447.000	2/6/2013	127,216	8/2/2013	146,617	19,401	Short
THC	1819.000	7/3/2013	80,037	8/6/2013	77,713	(2,324)	Short
BDX	356.000	2/6/2013	31,299	8/7/2013	35,474	4,175	Short
BDX	498.000	2/20/2013	43,950	8/7/2013	49,623	5,673	Short
CLGX	298.000	2/22/2013	7,430	8/7/2013	8,238	808	Short
CLGX	1279.000	4/15/2013	32,398	8/7/2013	35,359	2,961	Short
NWL	875.000	12/11/2012	19,590	8/8/2013	23,300	3,710	Short
R	1160.000	4/23/2013	63,358	8/9/2013	70,748	7,390	Short
NRG	4089.000	2/20/2013	98,749	8/13/2013	103,923	5,174	Short
UNM	2962.000	3/27/2013	82,559	8/13/2013	88,479	5,920	Short
ZION	2.000	6/12/2013	56	8/15/2013	57	1	Short
ZION	3174.000	5/29/2013	89,816	8/15/2013	90,962	1,146	Short
MGA	283.000	1/17/2013	15,251	8/16/2013	22,897	7,646	Short
NRG	262.000	2/20/2013	6,327	8/20/2013	6,877	550	Short
NRG	2987.000	2/28/2013	71,628	8/20/2013	78,408	6,780	Short
NWL	316.000	12/19/2012	6,867	8/20/2013	8,132	1,266	Short
NWL	955.000	12/11/2012	21,381	8/20/2013	24,577	3,196	Short
AVT	1225.000	1/28/2013	43,465	8/21/2013	47,912	4,447	Short
ICLR	2285.000	5/3/2013	75,092	8/21/2013	88,571	13,479	Short
SPLS	1649.000	2/20/2013	22,410	8/22/2013	23,053	643	Short
SPLS	1839.000	2/19/2013	26,243	8/22/2013	25,709	(534)	Short
SPLS	5543.000	2/19/2013	81,147	8/22/2013	77,490	(3,657)	Short
AVT	1035.000	1/28/2013	36,724	8/23/2013	40,771	4,048	Short
NWL	656.000	12/19/2012	14,255	8/23/2013	16,605	2,350	Short
NWL	1370.000	1/9/2013	30,562	8/23/2013	34,677	4,115	Short
CXW	527.693	5/20/2013	20,527	8/29/2013	17,700	(2,828)	Short
CXW	624.000	5/30/2013	22,474	8/29/2013	20,930	(1,544)	Short
CXW	706.307	4/23/2013	25,386	8/29/2013	23,691	(1,696)	Short
CXW	804.000	4/29/2013	28,976	8/29/2013	26,967	(2,009)	Short
HUN	1548.000	2/12/2013	28,343	8/29/2013	27,144	(1,200)	Short
TEX	1922.000	2/1/2013	63,808	9/4/2013	54,876	(8,933)	Short
TEX	73.000	2/1/2013	2,424	9/5/2013	2,155	(269)	Short
TEX	192.000	4/5/2013	5,773	9/5/2013	5,668	(106)	Short
TEX	677.000	3/22/2013	23,987	9/5/2013	19,985	(4,002)	Short
AET	341.000	4/18/2013	18,569	9/6/2013	22,264	3,695	Short
AVT	316.000	1/28/2013	11,212	9/6/2013	12,425	1,213	Short
BBY	436.000	8/23/2013	15,373	9/6/2013	16,280	907	Short
BSX	2666.000	1/29/2013	19,382	9/6/2013	30,605	11,223	Short
CHK	535.000	6/27/2013	11,085	9/6/2013	13,990	2,905	Short
DAL	675.000	12/20/2012	8,033	9/6/2013	13,844	5,812	Short
DOV	233.000	7/22/2013	19,955	9/6/2013	20,532	576	Short
EMN	152.000	7/31/2013	12,173	9/6/2013	11,690	-	Short
EVR	41.000	2/8/2013	1,610	9/6/2013	1,966	356	Short
EVR	154.000	2/7/2013	6,004	9/6/2013	7,386	1,382	Short
FNSR	2952.000	7/26/2013	55,478	9/6/2013	70,000	14,522	Short
GNRC	748.000	5/29/2013	29,014	9/6/2013	30,271	1,257	Short
GPK	1331.000	7/16/2013	11,397	9/6/2013	11,233	-	Short
HBAN	1579.000	7/29/2013	13,516	9/6/2013	13,327	-	Short
HES	499.000	1/10/2013	28,148	9/6/2013	38,293	10,145	Short
LEA	193.000	6/28/2013	11,688	9/6/2013	13,741	2,053	Short
LRCX	250.000	4/29/2013	11,440	9/6/2013	12,360	920	Short
LRY	293.000	4/5/2013	11,914	9/6/2013	10,012	-	Short
MAN	545.000	7/2/2013	30,275	9/6/2013	36,781	6,506	Short
MGA	200.000	1/17/2013	10,778	9/6/2013	16,276	5,497	Short
MGM	996.000	7/1/2013	15,156	9/6/2013	18,266	3,111	Short
MU	2294.000	3/13/2013	21,930	9/6/2013	34,822	12,892	Short

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Symbol	Number of Shares	Purchase Date	Purchase Price	Sale Date	Proceeds	Realized Gain/Loss	Term
OCR	545 000	1/9/2013	20,937	9/6/2013	30,094	9,158	Short
OI	339 000	7/11/2013	10,021	9/6/2013	9,990	-	Short
RAD	4850 000	7/17/2013	14,841	9/6/2013	16,926	2,085	Short
SWFT	1214 000	7/16/2013	20,637	9/6/2013	23,078	2,441	Short
TW	106 000	2/15/2013	7,017	9/6/2013	9,033	2,016	Short
TW	106.000	2/15/2013	7,017	9/6/2013	9,033	2,016	Short
UNM	33 000	3/27/2013	920	9/6/2013	1,007	88	Short
UNM	266.000	3/28/2013	7,529	9/6/2013	8,121	592	Short
WHR	184 000	3/27/2013	21,219	9/6/2013	24,059	2,840	Short
WLL	190.000	2/14/2013	9,636	9/6/2013	9,796	160	Short
WLL	190 000	2/14/2013	9,636	9/6/2013	9,796	160	Short
WWWW	1176 000	8/9/2013	31,351	9/6/2013	34,327	2,976	Short
XXRX	1851 000	3/1/2013	15,196	9/6/2013	18,584	3,388	Short
TW	580.000	2/15/2013	38,393	9/9/2013	52,408	14,015	Short
TW	580.000	2/15/2013	38,393	9/9/2013	52,408	14,015	Short
CHK	1141 000	6/27/2013	23,641	9/10/2013	30,065	6,424	Short
HES	6 000	1/10/2013	338	9/10/2013	465	126	Short
IGT	164 000	5/29/2013	3,044	9/10/2013	3,367	323	Short
IGT	1475.000	5/22/2013	26,860	9/10/2013	30,278	3,418	Short
RAD	5166 000	7/17/2013	15,808	9/10/2013	18,701	2,893	Short
TW	223 000	2/15/2013	14,762	9/10/2013	20,334	5,572	Short
TW	223.000	2/15/2013	14,762	9/10/2013	20,334	5,572	Short
WLL	519 000	2/14/2013	26,322	9/10/2013	27,302	980	Short
WLL	519.000	2/14/2013	26,322	9/10/2013	27,302	980	Short
MGA	259.000	1/17/2013	13,958	9/12/2013	20,739	6,781	Short
EVR	547.000	2/8/2013	21,484	9/18/2013	28,348	6,865	Short
SWFT	860 000	7/16/2013	14,619	9/18/2013	16,793	2,174	Short
TW	74 000	3/12/2013	5,007	9/18/2013	7,251	2,244	Short
TW	224 000	2/15/2013	14,828	9/18/2013	21,949	7,121	Short
TW	224.000	2/15/2013	14,828	9/18/2013	21,949	7,121	Short
AVY	180 000	12/17/2012	6,127	9/19/2013	8,031	1,904	Short
AVY	561.000	1/8/2013	20,570	9/19/2013	25,031	4,461	Short
AVY	575 000	1/30/2013	22,117	9/19/2013	25,656	3,539	Short
AVY	592 000	2/4/2013	22,759	9/19/2013	26,414	3,655	Short
RAD	11256 000	7/17/2013	34,443	9/19/2013	47,162	12,718	Short
CIT	1379 000	7/23/2013	69,341	9/23/2013	64,660	(4,680)	Short
UNM	1221 000	3/28/2013	34,558	9/23/2013	37,024	2,466	Short
MU	140 000	3/28/2013	1,399	9/24/2013	2,363	965	Short
MU	1058.000	3/13/2013	10,114	9/24/2013	17,860	7,746	Short
NUE	837 000	7/10/2013	37,514	9/24/2013	42,071	4,556	Short
TRW	609.000	5/16/2013	38,227	9/24/2013	43,250	5,023	Short
TRW	759 000	6/3/2013	47,439	9/24/2013	53,903	6,464	Short
WLL	632 000	2/14/2013	32,053	9/25/2013	36,731	4,678	Short
WLL	632.000	2/14/2013	32,053	9/25/2013	36,731	4,678	Short
SWFT	1566.000	7/16/2013	26,621	9/26/2013	31,304	4,683	Short
MHK	440 000	2/13/2013	47,023	10/1/2013	58,265	11,242	Short
MHK	440 000	2/13/2013	47,023	10/1/2013	58,265	11,242	Short
TW	364 000	3/12/2013	24,630	10/1/2013	40,400	15,770	Short
FITB	962 000	12/12/2012	14,131	10/2/2013	17,316	3,185	Short
JAZZ	132 000	3/28/2013	7,528	10/2/2013	11,941	4,413	Short
WHR	298.000	3/27/2013	34,365	10/2/2013	42,453	8,087	Short
CBG	3483.000	2/8/2013	83,603	10/8/2013	77,284	(6,318)	Short
CBG	1056 000	2/15/2013	25,735	10/8/2013	23,432	(2,303)	Short
EMN	31.000	8/8/2013	2,540	10/8/2013	2,363	(177)	Short
EMN	152 000	8/8/2013	12,937	10/8/2013	11,584	(1,353)	Short
EMN	964 000	7/31/2013	77,199	10/8/2013	73,470	(3,730)	Short
IGT	2041 000	5/29/2013	37,881	10/8/2013	37,146	(735)	Short
TRN	397 000	6/13/2013	15,238	10/8/2013	17,476	2,238	Short
TRN	466 000	12/17/2012	16,365	10/8/2013	20,513	4,148	Short
TSO	1374 000	6/12/2013	78,754	10/9/2013	56,746	(22,008)	Short
ICLR	317 000	5/3/2013	10,418	10/10/2013	12,316	1,898	Short
ICLR	773 000	5/16/2013	25,710	10/10/2013	30,032	4,322	Short
ICLR	1161 000	5/7/2013	37,315	10/10/2013	45,106	7,791	Short

Goldring Family Foundation
Realized Gains/Losses
Fiscal Year Ended 11/30/13

Symbol	Number of Shares	Purchase Date	Purchase Price	Sale Date	Proceeds	Realized Gain/Loss	Term
TW	159.000	3/14/2013	10,819	10/11/2013	18,594	7,775	Short
TW	160.000	3/12/2013	10,827	10/11/2013	18,711	7,884	Short
WHR	241.000	3/27/2013	27,792	10/14/2013	31,354	3,562	Short
WHR	285.000	4/1/2013	33,688	10/14/2013	37,079	3,391	Short
EVR	13 000	2/8/2013	511	10/16/2013	638	128	Short
EVR	1344 000	2/26/2013	52,703	10/16/2013	65,981	13,278	Short
RYL	1865 000	3/25/2013	79,672	10/16/2013	71,959	(7,713)	Short
DOV	469.000	7/22/2013	40,061	10/17/2013	40,170	109	Short
DOV	804.000	7/22/2013	68,858	10/17/2013	68,862	4	Short
CBG	1787.000	2/8/2013	42,894	10/21/2013	40,568	(2,326)	Short
CBG	68 000	2/15/2013	1,657	10/21/2013	1,544	(113)	Short
HES	422.000	1/23/2013	24,404	10/23/2013	34,491	10,088	Short
HES	652.000	1/23/2013	37,841	10/23/2013	53,290	15,449	Short
HES	865 000	1/10/2013	48,794	10/23/2013	70,699	21,906	Short
ZION	817 000	6/24/2013	22,568	10/23/2013	22,750	182	Short
ZION	1250.000	6/14/2013	34,310	10/23/2013	34,808	498	Short
ZION	1620.000	6/18/2013	43,710	10/23/2013	45,111	1,401	Short
ZION	1754 000	6/12/2013	48,982	10/23/2013	48,842	(140)	Short
NXPI	111 000	2/1/2013	3,403	10/24/2013	4,516	1,112	Short
ACM	2682 000	7/24/2013	92,486	10/25/2013	86,003	(6,482)	Short
EMN	141 000	8/8/2013	11,553	10/25/2013	10,925	(628)	Short
EMN	370 000	8/28/2013	27,980	10/25/2013	28,669	689	Short
EMN	391.000	8/13/2013	31,538	10/25/2013	30,296	(1,242)	Short
EMN	427 000	8/8/2013	34,400	10/25/2013	33,085	(1,315)	Short
TSN	2797 000	1/18/2013	61,419	10/28/2013	79,210	17,791	Short
DAN	2375 000	10/24/2013	54,534	10/30/2013	44,673	(9,860)	Short
TRN	306.000	6/18/2013	11,849	10/31/2013	15,243	3,393	Short
TRN	326 000	6/13/2013	12,513	10/31/2013	16,239	3,726	Short
JAZZ	162.000	5/8/2013	8,974	11/4/2013	14,655	5,680	Short
JAZZ	463.000	3/28/2013	26,404	11/4/2013	41,883	15,479	Short
OCR	2.000	1/16/2013	77	11/4/2013	111	33	Short
OCR	1503 000	1/9/2013	57,739	11/4/2013	83,264	25,524	Short
EME	701.000	3/26/2013	29,592	11/6/2013	25,921	(3,672)	Short
EME	1162 000	7/25/2013	48,391	11/6/2013	42,967	(5,424)	Short
EME	2199 000	12/14/2012	74,065	11/6/2013	81,311	7,246	Short
EME	457 000	1/29/2013	16,978	11/6/2013	16,898	(79)	Short
EME	570 000	1/7/2013	19,995	11/6/2013	21,077	1,082	Short
JAZZ	491.000	5/8/2013	27,199	11/7/2013	46,266	19,067	Short
CBG	822 000	3/6/2013	20,491	11/8/2013	18,224	(2,267)	Short
CBG	988 000	2/15/2013	24,078	11/8/2013	21,905	(2,173)	Short
MHK	132.000	2/13/2013	14,107	11/8/2013	17,648	3,541	Short
MHK	132.000	2/13/2013	14,107	11/8/2013	17,648	3,541	Short
AGCO	643.000	3/19/2013	34,439	11/12/2013	36,895	2,456	Short
NRG	296.000	3/6/2013	7,285	11/12/2013	8,133	849	Short
NRG	884.000	2/28/2013	21,198	11/12/2013	24,290	3,092	Short
RYL	111.000	3/25/2013	4,742	11/12/2013	4,028	(714)	Short
RYL	866 000	4/3/2013	34,817	11/12/2013	31,427	(3,390)	Short
RYL	1014.000	3/26/2013	42,546	11/12/2013	36,798	(5,748)	Short
NRG	834.000	3/6/2013	20,525	11/13/2013	22,251	1,726	Short
MRVL	4864 000	7/8/2013	57,679	11/14/2013	66,101	8,422	Short
AVT	844 000	1/28/2013	29,947	11/15/2013	34,807	4,860	Short
AVT	937 000	2/4/2013	33,591	11/15/2013	38,642	5,051	Short
NRG	599 000	3/6/2013	14,741	11/15/2013	16,127	1,386	Short
NRG	1310 000	3/12/2013	33,182	11/15/2013	35,269	2,087	Short
NRG	1402 000	4/12/2013	38,167	11/15/2013	37,746	(420)	Short
RE	424.000	8/16/2013	58,981	11/18/2013	66,444	7,462	Short
TW	175.000	4/8/2013	12,312	11/19/2013	20,032	7,720	Short
TW	446 000	3/14/2013	30,348	11/19/2013	51,053	20,705	Short
MRVL	167 000	7/31/2013	2,140	11/20/2013	2,194	55	Short
MRVL	2343.000	7/8/2013	27,784	11/20/2013	30,787	3,002	Short
MU	2535.000	3/28/2013	25,325	11/20/2013	47,353	22,029	Short
TW	465.000	4/8/2013	32,716	11/21/2013	51,996	19,280	Short
XXR	6314.000	3/1/2013	51,835	11/21/2013	69,705	17,871	Short

Goldring Family Foundation
Realized Gains/Losses
Fiscal Year Ended 11/30/13

Symbol	Number of Shares	Purchase Date	Purchase Price	Sale Date	Proceeds	Realized Gain/Loss	Term
AGCO	296 000	3/19/2013	15,854	11/22/2013	16,992	1,139	Short
AGCO	1238.000	5/1/2013	64,747	11/22/2013	71,070	6,323	Short
XXR	1547 000	3/1/2013	12,700	11/22/2013	17,048	4,348	Short
XXR	2312 000	3/11/2013	20,068	11/22/2013	25,478	5,410	Short
JBLU	2826.000	10/24/2013	21,498	11/25/2013	25,484	3,985	Short
RE	210 000	8/16/2013	29,213	11/25/2013	33,112	3,900	Short
TRN	598 000	6/18/2013	23,157	11/25/2013	30,651	7,494	Short
TRN	155.000	8/7/2013	6,223	11/25/2013	7,945	1,721	Short
STJ	1409 000	7/17/2013	72,709	11/26/2013	81,819	9,110	Short
AVT	568.000	3/1/2013	19,769	11/27/2013	22,728	2,960	Short
AVT	739 000	2/4/2013	26,493	11/27/2013	29,571	3,078	Short
JBLU	4837.000	10/24/2013	36,797	11/27/2013	42,400	5,603	Short
MEOH	169 000	10/9/2013	8,874	11/27/2013	10,424	1,550	Short
TSN	289 000	1/28/2013	6,500	11/27/2013	9,112	2,612	Short
TSN	712.000	1/18/2013	15,635	11/27/2013	22,449	6,814	Short
TAP	1656 000		72,906	12/03/12	68,646	(4,260)	Short
TAP	669.000		29,643	12/03/12	27,732	(1,911)	Short
TAP	424.000		19,203	12/03/12	17,576	(1,627)	Short
PXP	1787.000		69,916	12/05/12	80,029	10,113	Short
PXP	458.000		17,857	12/05/12	20,511	2,654	Short
PXP	1222 000		49,464	12/05/12	54,726	5,262	Short
PXP	879 000		35,146	12/05/12	39,365	4,219	Short
CMA	204 000		6,181	12/06/12	5,885	(295)	Short
CMA	1589.000		48,376	12/06/12	45,843	(2,532)	Short
CYT	281 000		17,500	12/07/12	19,442	1,943	Short
SNA	239 000		15,012	12/10/12	18,761	3,749	Short
CMA	1056 000		32,915	12/14/12	30,371	(2,544)	Short
CMA	1423 000		41,733	12/14/12	40,927	(806)	Short
CMA	1008 000		30,540	12/14/12	28,991	(1,549)	Short
PIR	307.000		5,115	12/17/12	6,047	932	Short
PXP	1163 000		46,183	12/18/12	53,869	7,686	Short
PXP	140.000		5,257	12/18/12	6,485	1,228	Short
PXP	8 000		320	12/18/12	371	51	Short
NUS	725 000		30,849	12/19/12	30,885	37	Short
NUS	864 000		37,503	12/19/12	36,807	(697)	Short
URI	799 000		36,217	12/20/12	35,791	(427)	Short
URI	349 000		15,731	12/20/12	15,633	(97)	Short
PXP	623.000		23,278	12/21/12	28,564	5,286	Short
PXP	858 000		32,449	12/21/12	39,339	6,890	Short
PXP	728 000		27,337	12/21/12	33,379	6,042	Short
HLF	370.000		21,029	12/21/12	10,255	(10,774)	Short
CYT	387 000		23,524	12/31/12	26,495	2,971	Short
CYT	454.000		26,693	12/31/12	31,082	4,388	Short
CYT	105 000		6,539	12/31/12	7,189	650	Short
HFC	1168.000		38,110	12/01/12	52,703	14,593	Short
HFC	539.000		18,458	12/01/12	24,321	5,863	Short
NUS	700 000		37,768	12/01/12	40,358	2,590	Short

Total Short Term Capital Gain/(Loss)	\$	13,318,216	\$	14,633,979	\$	1,322,011
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Long Term Capital Gains/(Losses)

EOG	270.000	7/6/2010	27,451	1/2/2013	32,857	5,406	Long
AGN	20 000	4/21/2010	1,266	1/7/2013	1,961	695	Long
AGN	40.000	3/31/2010	2,611	1/7/2013	3,922	1,311	Long
BIIB	50.000	4/26/2011	5,096	1/7/2013	7,300	2,204	Long
CRM	90.000	3/14/2011	11,236	1/7/2013	15,104	3,869	Long
EOG	10.000	7/6/2010	1,017	1/7/2013	1,258	241	Long
EOG	100 000	8/6/2010	9,670	1/7/2013	12,582	2,912	Long
AMZN	150 000	5/10/2010	19,759	1/8/2013	40,055	20,296	Long
LVS	510 000	8/19/2011	21,148	1/8/2013	25,999	4,851	Long
V	290.000	9/22/2011	25,240	1/8/2013	45,674	20,434	Long
CELG	180.000	6/7/2010	9,773	1/24/2013	17,564	7,791	Long
LVS	330 000	8/19/2011	13,684	1/24/2013	17,354	3,670	Long

Goldring Family Foundation
Realized Gains/Losses
Fiscal Year Ended 11/30/13

Symbol	Number of Shares	Purchase Date	Purchase Price	Sale Date	Proceeds	Realized Gain/Loss	Term
LVS	1040.000	8/19/2011	43,126	1/29/2013	53,496	10,371	Long
BIIB	81.000	4/26/2011	8,349	2/6/2013	13,015	4,665	Long
BIIB	89.000	4/26/2011	10,554	2/6/2013	14,300	3,746	Long
NVO	50.000	3/30/2010	3,909	2/11/2013	8,221	4,312	Long
NVO	107.000	1/28/2010	7,364	2/11/2013	17,593	10,228	Long
NVO	130.000	5/6/2010	9,980	2/11/2013	21,375	11,394	Long
NVO	243.000	3/31/2010	18,850	2/11/2013	39,954	21,104	Long
KORS	420.000	1/7/2012	22,365	2/13/2013	25,736	3,371	Long
LNKD	230.000	5/8/2012	25,880	2/28/2013	38,695	12,815	Long
LNKD	270.000	5/8/2012	30,380	3/6/2013	47,263	16,882	Long
LULU	270.000	12/7/2012	19,617	3/20/2013	17,188	(2,429)	Long
LULU	620.000	7/2/2012	35,695	3/20/2013	39,469	3,775	Long
LULU	830.000	12/5/2011	41,815	3/20/2013	52,838	11,023	Long
LULU	1490.000	6/1/2011	66,262	3/20/2013	94,854	28,592	Long
CELG	60.000	6/7/2010	3,258	4/3/2013	7,068	3,811	Long
CELG	130.000	6/7/2010	7,059	4/8/2013	15,066	8,008	Long
GILD	590.000	8/3/2012	17,232	4/12/2013	30,490	13,257	Long
CELG	250.000	6/7/2010	13,574	4/15/2013	30,549	16,975	Long
ALXN	90.000	12/10/2010	3,427	4/16/2013	8,641	5,214	Long
ALXN	210.000	10/22/2010	7,048	4/16/2013	20,163	13,115	Long
BIIB	150.000	4/26/2011	15,462	4/16/2013	30,142	14,680	Long
ALXN	340.000	5/31/2011	16,040	4/19/2013	31,163	15,123	Long
ALXN	430.000	12/10/2010	16,374	4/19/2013	39,413	23,039	Long
ALXN	640.000	12/17/2010	26,748	4/19/2013	58,661	31,913	Long
GILD	400.000	8/3/2012	11,683	4/24/2013	20,748	9,065	Long
LVS	360.000	8/22/2011	14,951	5/9/2013	20,460	5,509	Long
LVS	420.000	8/19/2011	17,416	5/9/2013	23,870	6,454	Long
EMC	570.000	11/1/2011	13,680	5/10/2013	13,237	(443)	Long
EMC	920.000	3/11/2011	24,564	5/10/2013	21,365	(3,199)	Long
EMC	930.000	6/14/2011	25,296	5/10/2013	21,597	(3,699)	Long
EMC	990.000	9/28/2012	27,106	5/10/2013	22,991	(4,116)	Long
EMC	179.000	3/15/2010	3,357	5/10/2013	4,157	800	Long
EMC	420.000	5/28/2010	7,862	5/10/2013	9,754	1,891	Long
EMC	800.000	6/28/2010	15,456	5/10/2013	18,578	3,122	Long
EMC	1010.000	6/25/2010	19,129	5/10/2013	23,455	4,326	Long
EMC	1011.000	3/31/2010	18,319	5/10/2013	23,478	5,159	Long
EMC	2680.000	5/24/2010	49,078	5/10/2013	62,237	13,160	Long
CELG	120.000	6/7/2010	6,516	5/13/2013	15,213	8,698	Long
KORS	170.000	1/7/2012	9,053	6/3/2013	10,512	1,459	Long
KORS	270.000	3/9/2012	13,599	6/3/2013	16,696	3,097	Long
EL	330.000	1/7/2011	13,294	6/4/2013	22,259	8,965	Long
YUM	810.000	1/31/2012	51,343	6/4/2013	55,756	4,412	Long
YUM	670.000	1/31/2012	42,469	6/11/2013	48,045	5,576	Long
EL	910.000	1/7/2011	36,658	6/12/2013	62,152	25,494	Long
YUM	500.000	1/31/2012	31,694	6/13/2013	35,772	4,079	Long
BIDU	40.000	9/1/2010	3,295	6/17/2013	3,880	585	Long
BIDU	60.000	12/1/2010	6,402	6/17/2013	5,821	(582)	Long
LVS	200.000	8/22/2011	8,306	6/17/2013	11,425	3,118	Long
BIIB	201.000	4/26/2011	20,719	6/18/2013	42,235	21,516	Long
BIIB	279.000	4/26/2011	26,403	6/18/2013	58,625	32,222	Long
FTI	400.000	5/21/2010	11,677	7/11/2013	22,989	11,313	Long
ALXN	80.000	5/31/2011	3,774	7/12/2013	9,106	5,332	Long
ALXN	120.000	5/30/2012	11,162	7/12/2013	13,660	2,497	Long
YUM	340.000	6/19/2012	22,803	7/12/2013	24,086	1,283	Long
YUM	350.000	9/28/2012	22,843	7/12/2013	24,794	1,951	Long
YUM	577.000	1/31/2012	36,574	7/12/2013	40,875	4,300	Long
YUM	693.000	1/31/2012	43,927	7/12/2013	49,092	5,165	Long
LNKD	180.000	5/8/2012	20,254	7/16/2013	34,478	14,224	Long
LNKD	110.000	5/8/2012	12,377	7/19/2013	21,958	9,581	Long
BIDU	40.000	12/13/2010	4,339	7/25/2013	5,029	690	Long
BIDU	180.000	12/3/2010	19,613	7/25/2013	22,629	3,016	Long
BIDU	200.000	12/1/2010	21,342	7/25/2013	25,144	3,802	Long
EL	440.000	2/25/2011	20,479	7/25/2013	28,732	8,252	Long

Golding Family Foundation
Realized Gains/Losses
Fiscal Year Ended 11/30/13

Symbol	Number of Shares	Purchase Date	Purchase Price	Sale Date	Proceeds	Realized Gain/Loss	Term
EL	520.000	6/15/2011	25,297	7/25/2013	33,955	8,658	Long
EL	1000.000	2/8/2011	46,086	7/25/2013	65,299	19,213	Long
EL	260.000	1/7/2011	10,474	7/25/2013	16,978	6,504	Long
FB	1480.000	10/24/2012	34,560	7/25/2013	51,461	16,901	Long
FB	1070.000	10/24/2012	24,986	7/30/2013	39,108	14,122	Long
QCOM	520.000	3/30/2010	22,121	8/20/2013	34,678	12,558	Long
LVS	210.000	9/19/2012	9,761	8/26/2013	11,900	2,139	Long
LVS	450.000	8/22/2011	18,689	8/26/2013	25,501	6,812	Long
LVS	660.000	6/19/2012	30,553	8/26/2013	37,401	6,847	Long
LVS	660.000	9/28/2012	30,678	8/26/2013	37,401	6,723	Long
LVS	740.000	10/3/2011	27,395	8/26/2013	41,934	14,539	Long
LVS	1010.000	11/1/2011	48,481	8/26/2013	57,235	8,753	Long
KORS	160.000	3/9/2012	8,059	8/30/2013	11,795	3,736	Long
FB	2220.000	10/24/2012	51,840	9/9/2013	97,189	45,348	Long
KORS	400.000	3/9/2012	20,146	9/9/2013	30,301	10,155	Long
EW	430.000	10/9/2012	37,745	9/23/2013	29,751	(7,994)	Long
EW	650.000	8/24/2012	64,671	9/23/2013	44,973	(19,698)	Long
EW	1390.000	6/14/2012	135,545	9/23/2013	96,173	(39,372)	Long
FB	680.000	10/24/2012	15,879	10/24/2013	35,614	19,735	Long
GILD	180.000	8/3/2012	5,257	10/24/2013	12,585	7,328	Long
GILD	1250.000	8/3/2012	36,509	11/1/2013	89,161	52,652	Long
PCLN	30.000	5/31/2011	15,404	11/6/2013	31,676	16,273	Long
QCOM	173.000	3/30/2010	7,359	11/11/2013	11,686	4,327	Long
QCOM	280.000	12/10/2010	13,750	11/11/2013	18,914	5,164	Long
QCOM	627.000	3/31/2010	26,353	11/11/2013	42,355	16,002	Long
NVO	180.000	5/16/2011	22,089	11/13/2013	30,941	8,852	Long
NVO	200.000	6/14/2011	25,041	11/13/2013	34,379	9,339	Long
NVO	50.000	5/28/2010	3,901	11/13/2013	8,595	4,694	Long
NVO	80.000	5/6/2010	6,142	11/13/2013	13,752	7,610	Long
NVO	250.000	12/7/2010	26,036	11/13/2013	42,974	16,938	Long
QCOM	520.000	12/10/2010	25,536	11/15/2013	37,450	11,914	Long
QCOM	180.000	3/11/2011	9,716	11/15/2013	12,964	3,247	Long
CELG	240.000	6/7/2010	13,031	11/18/2013	36,704	23,673	Long
CELG	60.000	6/7/2010	3,258	11/21/2013	9,495	6,237	Long
EOG	30.000	8/6/2010	2,901	11/21/2013	5,043	2,142	Long
EOG	30.000	8/17/2010	2,848	11/21/2013	5,043	2,194	Long
PCLN	10.000	5/31/2011	5,135	11/21/2013	11,568	6,434	Long
QCOM	710.000	3/11/2011	38,326	11/21/2013	49,941	11,615	Long
QCOM	130.000	9/28/2012	8,151	11/21/2013	9,144	993	Long
QCOM	390.000	6/18/2012	22,175	11/21/2013	27,432	5,257	Long
QCOM	450.000	6/14/2011	25,092	11/21/2013	31,652	6,560	Long
ALXN	270.000		9,061	12/13/12	25,196	16,135	Long
AGN	252.000		16,448	12/13/12	23,315	6,866	Long
AMZN	138.000		18,758	12/13/12	34,612	15,855	Long
BIDU	150.000		12,357	12/26/12	14,994	2,638	Long
BIDU	314.000		22,987	12/13/12	29,952	6,965	Long
BIDU	200.000		14,642	12/26/12	19,992	5,351	Long
BIIB	180.000		18,554	12/13/12	27,178	8,623	Long
CELG	330.000		17,918	12/13/12	26,274	8,356	Long
CTSH	340.000		16,203	12/13/12	24,405	8,202	Long
EMC	1124.000		21,078	12/13/12	27,549	6,471	Long
EOG	10.000		1,017	12/13/12	1,186	170	Long
EOG	230.000		24,327	12/13/12	27,287	2,959	Long
FTI	642.000		18,741	12/13/12	26,649	7,908	Long
BEN	50.000		6,503	12/13/12	6,325	(178)	Long
BEN	21.000		2,471	12/13/12	2,657	185	Long
BEN	106.000		12,335	12/13/12	13,410	1,074	Long
GOOG	8.000		4,649	12/13/12	5,604	955	Long
GOOG	31.000		19,240	12/13/12	21,715	2,475	Long
LVS	776.000		32,178	12/13/12	35,315	3,136	Long
EL	400.000		16,113	12/13/12	23,895	7,782	Long
LULU	350.000		15,565	12/13/12	26,088	10,523	Long
LULU	29.000		1,111	12/13/12	2,162	1,051	Long

Golding Family Foundation
Realized Gains/Losses
Fiscal Year Ended 11/30/13

Symbol	Number of Shares	Purchase Date	Purchase Price	Sale Date	Proceeds	Realized Gain/Loss	Term
NVO	150.000		10,324	12/13/12	24,295	13,971	Long
PCP	110 000		15,351	12/13/12	20,072	4,721	Long
PCP	32 000		4,105	12/13/12	5,839	1,735	Long
PCLN	20 000		10,269	12/13/12	12,224	1,955	Long
PCLN	18 000		8,401	12/13/12	11,002	2,601	Long
QCOM	465 000		19,781	12/13/12	29,076	9,295	Long
CRM	140 000		12,116	12/13/12	23,225	11,110	Long
CRM	30.000		3,352	12/13/12	4,977	1,624	Long
CRM	200 000		20,802	12/13/12	33,248	12,446	Long
CRM	140 000		17,478	12/13/12	23,274	5,796	Long
CRM	19 000		1,636	12/13/12	3,152	1,516	Long
CRM	120.000		13,410	12/13/12	19,949	6,539	Long
V	210 000		18,278	12/13/12	30,924	12,646	Long
V	170.000		14,796	12/13/12	25,013	10,217	Long
V	1300 000	01/01/11	91,515	12/05/12	192,255	100,740	Long
DDD	3850 000	6/28/2007	33,688	1/16/2013	240,308	206,620	Long
SJM	2700 000	2/17/2012	198,001	2/20/2013	247,726	49,725	Long
NOG	9000.000	5/12/2011	186,827	5/10/2013	120,963	(65,865)	Long
DDD	938 000	6/28/2007	5,472	5/21/2013	45,351	39,880	Long
SD	24700 000	10/11/2010	131,503	6/12/2013	117,244	(14,259)	Long
CTCT	2600 000	8/8/2011	42,879	7/2/2013	42,273	(606)	Long
CTCT	6900 000	6/20/2011	160,408	7/2/2013	112,187	(48,221)	Long
SN	7700 000	12/14/2011	169,400	7/8/2013	186,356	16,956	Long
CCL	5700.000	1/23/2012	177,555	7/24/2013	210,106	32,551	Long
EEFT	2000.000	7/6/2008	38,500	7/29/2013	73,185	34,685	Long
DDD	1461 000	6/28/2007	8,523	11/12/2013	111,879	103,356	Long
BEAV	1000 000	4/28/2010	29,095	10/4/2013	75,284	46,189	Long
BEAV	2000 000	4/28/2010	58,191	7/9/2013	130,095	71,905	Long
BEAV	3000 000	4/28/2010	87,286	9/18/2013	223,108	135,822	Long
CCL	26200 000	2/13/2012	804,529	7/24/2013	965,752	161,223	Long
CTCT	11700 000	6/20/2011	271,997	7/3/2013	191,644	(80,353)	Long
CTCT	12200 000	8/8/2011	201,202	7/3/2013	199,834	(1,369)	Long
CTCT	20400 000	6/20/2011	474,251	7/2/2013	331,684	(142,567)	Long
DDD	98 000	5/16/2002	417	10/4/2013	5,284	4,867	Long
DDD	1825.000	5/16/2002	7,756	7/2/2013	82,665	74,909	Long
DDD	1902 000	5/28/2002	8,142	10/4/2013	102,551	94,409	Long
DDD	4125.000	5/16/2002	17,531	5/21/2013	199,440	181,909	Long
DDD	6000.000	5/28/2002	25,685	11/12/2013	459,462	433,777	Long
DDD	16500 000	5/16/2002	105,187	1/16/2013	1,029,890	924,703	Long
LMNX	2000 000	10/9/2000	56,652	7/2/2013	42,404	(14,247)	Long
LMNX	8000 000	10/9/2000	226,606	10/4/2013	159,832	(66,774)	Long
NOG	39400 000	5/12/2011	817,889	5/10/2013	529,548	(288,341)	Long
POOL	2000 000	5/7/2010	46,838	10/4/2013	109,432	62,594	Long
POOL	4000.000	5/7/2010	93,676	7/9/2013	216,502	122,827	Long
SD	34900.000	10/17/2011	244,995	6/12/2013	165,660	(79,334)	Long
SD	75000 000	3/18/2011	811,335	6/12/2013	356,004	(455,331)	Long
SN	17000.000	12/14/2011	374,000	7/2/2013	405,441	31,441	Long
SN	22000 000	12/14/2011	484,000	7/5/2013	523,371	39,371	Long
US02586JAG13	500000.000	6/17/2010	500,000	9/16/2013	500,000	-	Long
V	5700.000	01/01/11	401,257	12/05/12	842,963	441,706	Long
Israel Bond		04/28/10	200,000	09/18/13	200,000	-	Long
M	710 000	8/4/2011	19,042	1/3/2013	26,461	7,419	Long
M	3323 000	7/11/2011	98,259	1/3/2013	123,845	25,587	Long
CDNS	695 000	11/1/2011	7,610	1/8/2013	9,443	1,833	Long
CDNS	1679 000	9/27/2011	16,650	1/8/2013	22,813	6,163	Long
CDNS	3150 000	10/11/2011	31,454	1/8/2013	42,800	11,346	Long
AGCO	1404 000	1/6/2012	67,161	1/9/2013	70,544	3,384	Long
KBR	2544 000	6/1/2011	94,867	1/9/2013	79,284	(15,584)	Long
HTZ	1241.000	7/19/2011	19,074	1/10/2013	21,643	2,568	Long
HTZ	2144.000	7/11/2011	33,531	1/10/2013	37,391	3,859	Long
CBL	3984.000	7/11/2011	74,614	1/14/2013	82,708	8,094	Long
DFS	2934 000	7/11/2011	78,454	1/16/2013	114,991	36,537	Long
TGI	1342 000	7/11/2011	67,433	1/17/2013	90,360	22,927	Long

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Realized Gains/Losses
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Symbol	Number of Shares	Purchase Date	Purchase Price	Sale Date	Proceeds	Realized Gain/Loss	Term
DFS	1399 000	7/11/2011	37,409	1/18/2013	53,918	16,509	Long
SLM	1360.000	10/6/2011	17,476	1/18/2013	22,560	5,084	Long
SLM	1900 000	9/14/2011	25,536	1/18/2013	31,518	5,982	Long
SLM	4075 000	7/11/2011	66,678	1/18/2013	67,597	920	Long
AWK	1398.000	7/11/2011	41,523	1/22/2013	53,389	11,866	Long
CDNS	1874.000	11/1/2011	20,520	1/23/2013	26,273	5,753	Long
HME	217.000	9/20/2011	12,589	1/23/2013	13,753	1,164	Long
HME	254 000	10/6/2011	13,832	1/23/2013	16,098	2,266	Long
HME	347 000	11/7/2011	19,701	1/23/2013	21,992	2,292	Long
PVH	280 000	7/11/2011	19,059	1/23/2013	32,703	13,644	Long
VCLK	3074 000	7/11/2011	53,600	1/24/2013	62,813	9,213	Long
AWK	1414.000	7/11/2011	41,998	1/25/2013	52,745	10,747	Long
CDNS	706.000	11/1/2011	7,731	1/25/2013	9,968	2,238	Long
CDNS	846 000	12/13/2011	8,922	1/25/2013	11,945	3,024	Long
ASH	334 000	1/10/2012	20,061	1/29/2013	26,156	6,095	Long
ASH	343.000	1/11/2012	20,277	1/29/2013	26,861	6,584	Long
ASH	448.000	1/5/2012	26,270	1/29/2013	35,083	8,814	Long
HAIR	889.000	7/11/2011	29,335	1/29/2013	49,165	19,830	Long
CDNS	1397 000	12/13/2011	14,732	2/6/2013	19,111	4,378	Long
KBR	798 000	6/1/2011	29,758	2/6/2013	24,873	(4,885)	Long
NI	667.000	3/17/2010	10,589	2/7/2013	17,919	7,330	Long
NI	1734.000	2/3/2010	26,342	2/7/2013	46,585	20,242	Long
NI	829 000	3/17/2010	13,161	2/8/2013	22,180	9,019	Long
DFT	2998 000	7/11/2011	78,596	2/12/2013	70,724	(7,872)	Long
KBR	1762 000	6/1/2011	65,706	2/13/2013	55,229	(10,477)	Long
KBR	1762.000	6/1/2011	65,705	2/13/2013	55,229	(10,476)	Long
GNC	1230 000	4/24/2012	44,562	2/14/2013	48,764	4,202	Long
RVBD	534 000	10/31/2012	9,858	2/14/2013	8,465	(1,393)	Long
RVBD	855 000	10/9/2012	19,690	2/14/2013	13,553	(6,137)	Long
RVBD	1033 000	9/27/2012	24,121	2/14/2013	16,375	(7,746)	Long
RVBD	1080 000	10/23/2012	25,696	2/14/2013	17,120	(8,576)	Long
RVBD	1416.000	10/26/2012	32,167	2/14/2013	22,446	(9,721)	Long
RVBD	3002 000	10/4/2012	69,902	2/14/2013	47,586	(22,315)	Long
RVBD	3186.000	9/26/2012	72,163	2/14/2013	50,503	(21,660)	Long
VCLK	1060 000	3/29/2011	21,422	2/14/2013	27,011	5,589	Long
VCLK	1060 000	3/29/2011	21,422	2/14/2013	27,011	5,589	Long
CRL	309 000	4/27/2012	10,964	2/15/2013	12,510	1,546	Long
CRL	1340 000	10/5/2012	53,667	2/15/2013	54,251	584	Long
GNC	568.000	4/24/2012	20,578	2/15/2013	23,184	2,605	Long
CLGX	1662 000	11/9/2012	40,180	2/19/2013	46,746	6,566	Long
PKI	359.000	2/28/2012	9,756	2/19/2013	12,172	2,416	Long
PKI	2112 000	2/21/2012	56,728	2/19/2013	71,606	14,878	Long
SIRO	420 000	3/1/2012	21,132	2/19/2013	30,418	9,287	Long
DLPH	2336 000	4/25/2012	71,948	2/20/2013	91,844	19,896	Long
NI	936 000	3/17/2010	14,860	2/20/2013	25,431	10,571	Long
HAIR	15.000	10/15/2012	903	2/21/2013	852	(51)	Long
HAIR	379 000	11/2/2012	22,261	2/21/2013	21,537	(724)	Long
HAIR	389 000	10/18/2012	23,461	2/21/2013	22,105	(1,356)	Long
HAIR	454 000	11/5/2012	26,179	2/21/2013	25,799	(380)	Long
KBR	2056 000	6/1/2011	76,669	2/21/2013	62,957	(13,712)	Long
HFC	395 000	2/16/2012	13,688	2/26/2013	21,440	7,752	Long
HTZ	269 000	7/19/2011	4,135	2/26/2013	5,141	1,007	Long
HTZ	1382.000	10/19/2011	14,912	2/26/2013	26,413	11,502	Long
NI	1062 000	3/17/2010	16,860	2/26/2013	28,599	11,739	Long
CDNS	117 000	12/13/2011	1,234	2/27/2013	1,659	425	Long
CDNS	2750 000	12/21/2011	27,968	2/27/2013	39,002	11,034	Long
CMS	1361.000	7/11/2011	26,950	2/27/2013	35,766	8,817	Long
SIRO	61 000	3/2/2012	3,012	2/27/2013	4,285	1,273	Long
SIRO	336 000	3/1/2012	16,905	2/27/2013	23,600	6,695	Long
HFC	8.000	2/17/2012	271	2/28/2013	450	179	Long
HFC	830 000	2/16/2012	28,761	2/28/2013	46,654	17,893	Long
VCLK	380.000	3/29/2011	7,680	2/28/2013	10,115	2,435	Long
VCLK	591 000	4/11/2011	11,788	2/28/2013	15,731	3,943	Long

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Realized Gains/Losses
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Symbol	Number of Shares	Purchase Date	Purchase Price	Sale Date	Proceeds	Realized Gain/Loss	Term
BMS	613.000	11/27/2012	20,641	3/1/2013	22,904	2,263	Long
CPN	1328.000	8/3/2012	22,434	3/1/2013	24,360	1,927	Long
CPN	2745.000	7/25/2012	47,745	3/1/2013	50,353	2,609	Long
CPN	2766.000	7/27/2012	48,128	3/1/2013	50,738	2,610	Long
PKI	217.000	6/5/2012	5,534	3/1/2013	7,463	1,929	Long
PKI	980.000	2/28/2012	26,631	3/1/2013	33,702	7,071	Long
CCE	1223.000	6/20/2012	33,565	3/4/2013	43,403	9,839	Long
CDNS	495.000	12/21/2011	5,034	3/4/2013	6,979	1,945	Long
CDNS	2048.000	4/26/2012	24,474	3/4/2013	28,876	4,403	Long
ALL	898.000	2/8/2012	27,963	3/5/2013	42,286	14,323	Long
ETN	922.000	11/30/2012	47,857	3/5/2013	58,034	10,177	Long
ETN	2.000	11/30/2012	104	3/6/2013	124	20	Long
ETN	288.000	11/30/2012	14,949	3/6/2013	17,827	2,878	Long
ETN	420.000	11/30/2012	21,800	3/6/2013	25,998	4,197	Long
FL	823.000	8/22/2011	15,151	3/6/2013	28,994	13,843	Long
HTZ	1749.000	10/19/2011	18,872	3/6/2013	35,574	16,702	Long
FL	1414.000	8/22/2011	26,032	3/7/2013	49,925	23,893	Long
CMS	594.000	7/11/2011	11,762	3/8/2013	15,943	4,181	Long
CDNS	705.000	5/14/2012	7,656	3/11/2013	10,194	2,538	Long
CDNS	1695.000	5/9/2012	19,014	3/11/2013	24,509	5,495	Long
CDNS	1857.000	4/26/2012	22,191	3/11/2013	26,852	4,660	Long
CDNS	1865.000	5/11/2012	20,552	3/11/2013	26,967	6,415	Long
CDNS	2304.000	6/7/2012	24,492	3/11/2013	33,315	8,824	Long
PPL	948.000	1/4/2012	27,208	3/11/2013	28,610	1,402	Long
PPL	1782.000	11/3/2011	53,567	3/11/2013	53,780	213	Long
HFC	259.000	2/22/2012	9,213	3/12/2013	14,215	5,003	Long
HFC	580.000	2/17/2012	19,625	3/12/2013	31,834	12,208	Long
EGN	2852.000	7/11/2011	162,334	3/13/2013	137,064	(25,271)	Long
HFC	83.000	2/28/2012	2,718	3/13/2013	4,469	1,751	Long
HFC	361.000	2/22/2012	12,841	3/13/2013	19,438	6,597	Long
HTZ	491.000	12/5/2011	5,930	3/13/2013	10,282	4,352	Long
HTZ	1134.000	10/19/2011	12,236	3/13/2013	23,747	11,511	Long
CCE	841.000	6/20/2012	23,081	3/14/2013	30,225	7,144	Long
PPL	676.000	1/12/2012	18,989	3/15/2013	20,390	1,402	Long
PPL	1775.000	1/4/2012	50,944	3/15/2013	53,540	2,596	Long
CF	106.000	7/24/2012	20,640	3/20/2013	20,880	239	Long
CF	119.000	7/25/2012	23,236	3/20/2013	23,440	204	Long
CF	141.000	11/9/2012	27,922	3/20/2013	27,774	(148)	Long
HTZ	1946.000	12/5/2011	23,502	3/20/2013	41,515	18,013	Long
PKI	623.000	6/5/2012	15,887	3/20/2013	21,770	5,884	Long
PKI	727.000	10/24/2012	20,617	3/20/2013	25,404	4,787	Long
PKI	955.000	9/5/2012	26,393	3/20/2013	33,371	6,978	Long
ETN	640.000	11/30/2012	33,220	3/22/2013	40,223	7,004	Long
FL	1348.000	8/22/2011	24,817	3/22/2013	45,172	20,355	Long
FL	953.000	11/10/2011	21,204	3/26/2013	31,717	10,513	Long
FL	1085.000	8/22/2011	19,975	3/26/2013	36,110	16,136	Long
HP	1384.000	12/5/2012	74,847	3/26/2013	84,070	9,223	Long
BMS	513.000	11/27/2012	17,274	3/27/2013	20,221	2,948	Long
PVH	257.000	6/27/2012	19,990	3/28/2013	27,662	7,672	Long
PVH	1053.000	7/11/2011	71,675	3/28/2013	113,338	41,663	Long
CCE	391.000	6/20/2012	10,731	4/1/2013	14,158	3,427	Long
CCE	1051.000	9/28/2012	32,703	4/1/2013	38,056	5,353	Long
CCE	1081.000	10/5/2012	34,635	4/1/2013	39,142	4,507	Long
STI	800.000	10/12/2012	23,252	4/3/2013	21,640	(1,613)	Long
STI	4909.000	10/10/2012	149,676	4/3/2013	132,785	(16,891)	Long
TGI	94.000	7/11/2011	4,723	4/8/2013	7,361	2,638	Long
TGI	453.000	7/11/2011	22,762	4/8/2013	35,475	12,713	Long
LNC	2683.000	7/11/2011	73,736	4/9/2013	84,015	10,279	Long
ALL	112.000	2/10/2012	3,445	4/10/2013	5,561	2,116	Long
ALL	825.000	2/8/2012	25,690	4/10/2013	40,960	15,271	Long
FIS	645.000	6/28/2012	21,491	4/10/2013	25,374	3,882	Long
FIS	710.000	6/28/2012	23,657	4/10/2013	28,215	4,558	Long
BRCM	32.000	8/3/2012	1,104	4/11/2013	1,077	(27)	Long

Goldring Family Foundation
Realized Gains/Losses
Fiscal Year Ended 11/30/13

Symbol	Number of Shares	Purchase Date	Purchase Price	Sale Date	Proceeds	Realized Gain/Loss	Term
BRCM	121 000	7/19/2012	3,902	4/11/2013	4,072	170	Long
BRCM	806.000	7/26/2012	26,831	4/11/2013	27,123	292	Long
CMS	757.000	7/11/2011	14,990	4/11/2013	21,461	6,471	Long
FIS	66 000	7/18/2012	2,200	4/11/2013	2,671	471	Long
FIS	589 000	6/28/2012	19,625	4/11/2013	23,836	4,211	Long
FNF	4874 000	11/20/2012	111,949	4/11/2013	127,653	15,703	Long
GNC	207 000	4/24/2012	7,500	4/11/2013	8,975	1,475	Long
GNC	371.000	4/26/2012	14,834	4/11/2013	16,085	1,252	Long
NI	786 000	3/17/2010	12,478	4/12/2013	23,962	11,483	Long
NWL	2340 000	10/11/2012	45,886	4/12/2013	60,066	14,180	Long
ETN	635.000	11/30/2012	32,960	4/16/2013	36,987	4,027	Long
CNL	503 000	3/8/2012	19,522	4/17/2013	23,552	4,030	Long
BMS	757 000	11/27/2012	25,489	4/23/2013	30,779	5,289	Long
FNF	1794.000	11/20/2012	41,206	4/23/2013	47,612	6,406	Long
FL	299 000	11/10/2011	6,653	4/25/2013	9,708	3,055	Long
FL	794 000	11/18/2011	17,897	4/25/2013	25,779	7,882	Long
GNC	323 000	4/30/2012	12,437	4/26/2013	14,278	1,841	Long
GNC	518.000	4/26/2012	20,711	4/26/2013	22,898	2,187	Long
RJF	143 000	4/2/2009	2,737	4/29/2013	5,747	3,010	Long
RJF	181.000	9/29/2010	4,663	4/29/2013	7,275	2,611	Long
RJF	986 000	8/4/2010	27,145	4/29/2013	39,629	12,484	Long
RJF	1493 000	7/23/2008	45,970	4/29/2013	60,006	14,036	Long
BMS	268 000	11/27/2012	9,024	5/3/2013	10,630	1,606	Long
FL	289.000	11/18/2011	6,514	5/3/2013	10,170	3,656	Long
FL	445 000	11/21/2011	9,866	5/3/2013	15,660	5,795	Long
URI	446.000	5/9/2012	18,966	5/3/2013	24,568	5,603	Long
URI	472.000	5/8/2012	19,163	5/3/2013	26,001	6,837	Long
FL	202.000	11/30/2011	4,713	5/6/2013	7,081	2,368	Long
FL	496 000	11/21/2011	10,996	5/6/2013	17,387	6,391	Long
HFC	22 000	3/28/2012	711	5/7/2013	1,125	414	Long
HFC	506 000	2/28/2012	16,572	5/7/2013	25,876	9,305	Long
TSN	3670.000	11/27/2012	70,464	5/7/2013	89,397	18,933	Long
VCLK	936 000	4/11/2011	18,669	5/8/2013	25,077	6,407	Long
RJF	358 000	10/21/2011	10,459	5/13/2013	15,121	4,662	Long
RJF	710.000	9/29/2010	18,292	5/13/2013	29,989	11,696	Long
GNC	314 000	4/30/2012	12,259	5/15/2013	14,290	2,032	Long
GNC	333 000	4/30/2012	12,823	5/15/2013	15,155	2,332	Long
EGN	591.000	7/11/2011	33,639	5/16/2013	30,197	(3,443)	Long
MDC	71 000	6/13/2012	1,876	5/17/2013	2,765	889	Long
MDC	1816 000	8/3/2012	57,760	5/17/2013	70,733	12,973	Long
VR	711 000	4/11/2012	22,247	5/17/2013	26,093	3,846	Long
JAZZ	501.000	11/2/2012	27,400	5/23/2013	31,112	3,712	Long
RJF	757 000	11/16/2011	22,135	5/24/2013	32,174	10,040	Long
RJF	1240.000	10/21/2011	36,227	5/24/2013	52,703	16,476	Long
FL	836.000	11/30/2011	19,505	5/28/2013	28,424	8,919	Long
TGI	354 000	7/11/2011	17,788	5/29/2013	27,337	9,549	Long
TGI	369 000	6/7/2012	21,756	5/29/2013	28,495	6,740	Long
FL	137 000	11/30/2011	3,196	6/3/2013	4,681	1,485	Long
FL	522 000	6/26/2012	15,352	6/3/2013	17,836	2,484	Long
FL	849.000	5/8/2012	24,808	6/3/2013	29,008	4,201	Long
TGI	135.000	6/7/2012	7,959	6/3/2013	10,441	2,481	Long
TGI	184 000	6/13/2012	10,790	6/3/2013	14,230	3,440	Long
NWL	175 000	10/12/2012	3,446	6/6/2013	4,593	1,147	Long
NWL	1427 000	10/11/2012	27,983	6/6/2013	37,451	9,468	Long
URI	705 000	5/9/2012	29,979	6/7/2013	35,636	5,657	Long
URI	799.000	5/23/2012	28,056	6/7/2013	40,387	12,331	Long
URI	558.000	5/15/2012	22,716	6/7/2013	28,206	5,489	Long
VCLK	198.000	7/11/2011	3,452	6/10/2013	4,982	1,529	Long
VCLK	498.000	4/11/2011	9,933	6/10/2013	12,530	2,597	Long
VCLK	630.000	7/18/2011	11,161	6/10/2013	15,851	4,691	Long
VCLK	1820 000	9/27/2011	31,101	6/10/2013	45,793	14,692	Long
ETN	1204.000	11/30/2012	62,494	6/11/2013	78,128	15,634	Long
BRCM	452.000	8/15/2012	15,680	6/12/2013	15,110	(570)	Long

Goldring Family Foundation
Realized Gains/Losses
Fiscal Year Ended 11/30/13

Symbol	Number of Shares	Purchase Date	Purchase Price	Sale Date	Proceeds	Realized Gain/Loss	Term
BRCM	1998.000	8/3/2012	68,911	6/12/2013	66,792	(2,119)	Long
SIRO	204.000	5/30/2012	8,886	6/13/2013	13,629	4,743	Long
SIRO	323.000	3/2/2012	15,947	6/13/2013	21,579	5,633	Long
SIRO	398.000	3/6/2012	19,140	6/13/2013	26,590	7,450	Long
SIRO	621.000	4/23/2012	30,404	6/13/2013	41,489	11,085	Long
URI	676.000	7/23/2012	20,903	6/13/2013	33,403	12,500	Long
URI	1188.000	7/10/2012	42,224	6/13/2013	58,702	16,479	Long
URI	63.000	5/23/2012	2,212	6/13/2013	3,113	901	Long
HFC	690.000	5/15/2012	20,167	6/17/2013	30,740	10,574	Long
HFC	763.000	3/28/2012	24,675	6/17/2013	33,992	9,317	Long
HFC	1039.000	10/15/2012	38,568	6/17/2013	46,289	7,721	Long
SIRO	593.000	5/30/2012	25,830	6/17/2013	38,472	12,642	Long
SIRO	618.000	10/11/2012	36,038	6/17/2013	40,094	4,055	Long
EGN	269.000	8/3/2011	15,363	6/19/2013	15,021	(342)	Long
EGN	1002.000	7/11/2011	57,033	6/19/2013	55,954	(1,080)	Long
LNC	2736.000	7/11/2011	75,193	6/21/2013	97,790	22,597	Long
ETN	1288.000	11/30/2012	66,854	6/25/2013	79,800	12,946	Long
FIS	551.000	7/18/2012	18,364	6/25/2013	23,403	5,039	Long
FIS	733.000	8/14/2012	22,804	6/25/2013	31,133	8,329	Long
NWL	1818.000	10/12/2012	35,796	6/25/2013	46,512	10,716	Long
SJM	684.000	9/17/2012	59,562	6/25/2013	68,769	9,206	Long
VR	315.000	5/9/2012	10,329	6/26/2013	10,889	560	Long
VR	2703.000	4/11/2012	84,575	6/26/2013	93,438	8,863	Long
GNC	215.000	6/20/2012	7,944	6/27/2013	9,732	1,787	Long
GNC	582.000	5/8/2012	22,587	6/27/2013	26,343	3,756	Long
GNC	724.000	4/30/2012	28,265	6/27/2013	32,771	4,506	Long
LNC	1724.000	7/11/2011	47,380	7/8/2013	66,531	19,150	Long
R	284.000	2/23/2011	13,595	7/9/2013	17,254	3,659	Long
R	422.000	7/11/2011	24,143	7/9/2013	25,638	1,495	Long
R	295.000	12/8/2010	13,612	7/9/2013	17,922	4,310	Long
R	1833.000	10/5/2010	79,671	7/9/2013	111,360	31,689	Long
KEY	6151.000	7/11/2011	49,683	7/12/2013	70,920	21,236	Long
GNC	321.000	6/20/2012	11,861	7/15/2013	15,076	3,215	Long
GNC	436.000	7/23/2012	16,360	7/15/2013	20,477	4,117	Long
JAZZ	124.000	11/9/2012	6,033	7/15/2013	8,989	2,957	Long
JAZZ	794.000	11/2/2012	43,425	7/15/2013	57,562	14,137	Long
R	1154.000	7/11/2011	66,021	7/16/2013	70,104	4,083	Long
FITB	167.000	10/20/2011	1,897	7/18/2013	3,135	1,238	Long
FITB	3927.000	7/11/2011	48,084	7/18/2013	73,712	25,628	Long
TGI	244.000	6/13/2012	14,308	7/18/2013	20,509	6,200	Long
TGI	419.000	6/15/2012	23,609	7/18/2013	35,218	11,608	Long
TGI	439.000	7/6/2012	25,138	7/18/2013	36,899	11,760	Long
NWL	503.000	10/12/2012	9,904	7/19/2013	13,594	3,690	Long
NWL	939.000	11/7/2012	19,802	7/19/2013	25,377	5,575	Long
FIS	147.000	11/8/2012	5,033	7/23/2013	6,540	1,507	Long
FIS	402.000	8/14/2012	12,506	7/23/2013	17,885	5,378	Long
FIS	909.000	8/15/2012	28,224	7/23/2013	40,441	12,217	Long
CLGX	1364.000	11/9/2012	32,976	7/25/2013	37,055	4,080	Long
GNC	95.000	7/23/2012	3,565	7/26/2013	4,952	1,388	Long
GNC	523.000	11/7/2012	18,765	7/26/2013	27,264	8,499	Long
R	221.000	7/29/2011	12,504	7/26/2013	13,478	974	Long
R	224.000	7/11/2011	12,815	7/26/2013	13,661	846	Long
EGN	88.000	8/3/2011	5,026	7/29/2013	4,940	(86)	Long
EGN	419.000	10/7/2011	18,080	7/29/2013	23,522	5,443	Long
R	164.000	7/29/2011	9,279	7/29/2013	9,934	655	Long
R	230.000	8/2/2011	12,219	7/29/2013	13,932	1,712	Long
GNC	462.000	11/7/2012	16,577	7/30/2013	24,122	7,545	Long
EGN	211.000	5/1/2012	11,105	8/1/2013	13,658	2,553	Long
EGN	369.000	10/7/2011	15,922	8/1/2013	23,886	7,963	Long
EGN	378.000	1/17/2012	19,607	8/1/2013	24,468	4,862	Long
FIS	1974.000	11/8/2012	67,583	8/1/2013	86,231	18,647	Long
JAZZ	261.000	11/9/2012	12,698	8/5/2013	20,135	7,437	Long
JAZZ	377.000	11/15/2012	18,865	8/5/2013	29,084	10,219	Long

Goldring Family Foundation
Realized Gains/Losses
Fiscal Year Ended 11/30/13

Symbol	Number of Shares	Purchase Date	Purchase Price	Sale Date	Proceeds	Realized Gain/Loss	Term
EGN	382 000	5/1/2012	20,105	8/8/2013	25,209	5,103	Long
EGN	390.000	5/8/2012	18,415	8/8/2013	25,737	7,321	Long
NWL	691 000	11/7/2012	14,572	8/8/2013	18,400	3,828	Long
R	131 000	8/2/2011	6,960	8/9/2013	7,990	1,030	Long
NUE	858 000	10/21/2011	31,429	8/12/2013	41,498	10,069	Long
SJM	159 000	9/17/2012	13,846	8/12/2013	17,960	4,115	Long
SJM	162 000	9/25/2012	14,048	8/12/2013	18,299	4,251	Long
RF	11318.000	3/14/2012	69,493	8/13/2013	110,749	41,257	Long
KR	2429 000	11/30/2012	63,786	8/16/2013	92,219	28,433	Long
NXPI	916.000	9/17/2012	23,955	8/16/2013	32,314	8,359	Long
NUE	173.000	10/27/2011	6,729	8/20/2013	8,013	1,284	Long
NUE	771 000	10/21/2011	28,242	8/20/2013	35,713	7,471	Long
SJM	255.000	9/25/2012	22,113	8/20/2013	27,885	5,771	Long
SJM	369 000	9/26/2012	31,737	8/20/2013	40,351	8,614	Long
EGN	11.000	5/8/2012	519	8/21/2013	722	203	Long
EGN	587 000	6/29/2012	26,070	8/21/2013	38,541	12,471	Long
NXPI	657 000	9/17/2012	17,182	8/22/2013	23,272	6,090	Long
DFS	1264 000	7/11/2011	33,799	8/28/2013	59,661	25,862	Long
EGN	318 000	6/29/2012	14,123	8/28/2013	21,644	7,521	Long
EGN	470.000	10/1/2012	24,622	8/28/2013	31,990	7,367	Long
KEY	5317.000	7/11/2011	42,947	9/5/2013	62,552	19,605	Long
TRN	1194 000	12/7/2012	39,868	9/5/2013	51,651	11,783	Long
AGCO	234 000	7/5/2012	10,841	9/6/2013	13,452	2,612	Long
BMR	653 000	7/11/2011	12,878	9/6/2013	11,930	-	Long
BTU	1578.000	10/22/2012	45,588	9/6/2013	28,577	-	Long
DFS	188 000	7/11/2011	5,027	9/6/2013	9,152	4,125	Long
FITB	625 000	10/20/2011	7,100	9/6/2013	11,606	4,506	Long
JAZZ	29.000	11/15/2012	1,451	9/6/2013	2,550	1,099	Long
JAZZ	274 000	12/5/2012	14,127	9/6/2013	24,092	9,965	Long
LNC	587 000	7/11/2011	16,132	9/6/2013	25,792	9,660	Long
NUE	225.000	10/27/2011	8,752	9/6/2013	10,510	1,757	Long
NXPI	1045 000	9/17/2012	27,329	9/6/2013	40,859	13,530	Long
RF	824 000	4/5/2012	5,340	9/6/2013	7,902	2,563	Long
RF	895 000	3/14/2012	5,495	9/6/2013	8,583	3,088	Long
SWKS	1544 000	1/25/2012	33,348	9/6/2013	40,051	6,703	Long
FITB	2003 000	12/6/2012	28,782	9/9/2013	36,434	7,652	Long
FITB	2408.000	10/20/2011	27,355	9/9/2013	43,801	16,446	Long
LNC	110 000	7/11/2011	3,023	9/9/2013	4,807	1,784	Long
LNC	417.000	10/14/2011	7,464	9/9/2013	18,223	10,758	Long
SWKS	816 000	1/25/2012	17,624	9/11/2013	21,005	3,381	Long
NUE	485 000	10/27/2011	18,866	9/17/2013	24,146	5,281	Long
NUE	777.000	12/1/2011	30,644	9/17/2013	38,684	8,040	Long
NI	82 000	1/23/2012	1,894	9/18/2013	2,516	622	Long
NI	739 000	1/13/2012	16,841	9/18/2013	22,676	5,835	Long
NI	1957 000	3/17/2010	31,069	9/18/2013	60,051	28,982	Long
KEY	1921 000	7/11/2011	15,516	9/20/2013	21,957	6,440	Long
KEY	3830.000	10/20/2011	25,891	9/20/2013	43,776	17,885	Long
NI	184.000	2/22/2012	4,364	9/20/2013	5,553	1,189	Long
NI	948.000	1/23/2012	21,899	9/20/2013	28,610	6,711	Long
NUE	34 000	1/25/2012	1,493	9/20/2013	1,704	211	Long
NUE	740 000	12/1/2011	29,185	9/20/2013	37,088	7,903	Long
LNC	1184.000	10/14/2011	21,194	9/24/2013	49,282	28,089	Long
NUE	643 000	1/25/2012	28,234	9/24/2013	32,319	4,085	Long
NUE	743 000	7/5/2012	28,947	9/24/2013	37,346	8,399	Long
TRN	975 000	12/7/2012	32,555	9/25/2013	44,248	11,693	Long
NXPI	362 000	9/17/2012	9,467	9/26/2013	13,580	4,113	Long
FITB	1020.000	12/6/2012	14,657	10/2/2013	18,360	3,703	Long
JAZZ	166 000	12/5/2012	8,559	10/2/2013	15,016	6,457	Long
TRN	126.000	12/7/2012	4,207	10/8/2013	5,546	1,339	Long
NI	1143 000	3/1/2012	27,283	10/10/2013	34,802	7,518	Long
NI	1692 000	2/22/2012	40,133	10/10/2013	51,518	11,385	Long
SWKS	1245 000	3/6/2012	31,185	10/16/2013	31,038	(148)	Long
SWKS	1527.000	1/26/2012	33,242	10/16/2013	38,068	4,825	Long

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Symbol	Number of Shares	Purchase Date	Purchase Price	Sale Date	Proceeds	Realized Gain/Loss	Term
SWKS	62.000	5/23/2012	1,590	10/16/2013	1,546	(44)	Long
SWKS	156.000	1/25/2012	3,369	10/16/2013	3,889	520	Long
SWKS	273.000	6/5/2012	7,329	10/23/2013	6,456	(874)	Long
SWKS	1222.000	5/23/2012	31,340	10/23/2013	28,897	(2,443)	Long
NXPI	13 000	9/17/2012	340	10/24/2013	529	189	Long
NXPI	993.000	9/26/2012	23,572	10/24/2013	40,395	16,823	Long
TSN	119 000	11/27/2012	2,285	10/28/2013	3,370	1,085	Long
RF	5176 000	4/5/2012	33,540	11/7/2013	48,032	14,492	Long
RF	583.000	4/13/2012	3,650	11/7/2013	5,410	1,761	Long
AGCO	5 000	7/5/2012	232	11/8/2013	290	59	Long
AGCO	219.000	9/14/2012	10,297	11/8/2013	12,722	2,424	Long
AGCO	1051.000	9/13/2012	46,866	11/8/2013	61,052	14,185	Long
AGCO	303 000	9/14/2012	14,247	11/12/2013	17,386	3,139	Long
BDN	3655.000	11/10/2011	33,163	11/13/2013	48,357	15,194	Long
BTU	2154 000	10/22/2012	62,228	11/19/2013	41,374	(20,854)	Long
VR	446.000	5/23/2012	13,886	11/19/2013	17,331	3,445	Long
VR	585.000	5/9/2012	19,182	11/19/2013	22,733	3,551	Long
KRC	1763 000	3/5/2012	79,235	11/22/2013	89,819	10,584	Long
VR	655 000	5/23/2012	20,393	11/25/2013	25,755	5,362	Long
PIR	910.000		11,875	12/17/12	17,924	6,049	Long
PIR	5107 000		61,470	12/17/12	100,591	39,121	Long
HLF	503.000		27,273	12/21/12	13,942	(13,331)	Long
HLF	326 000		17,418	12/21/12	9,036	(8,382)	Long
HLF	441 000		24,393	12/21/12	12,223	(12,169)	Long
HLF	950.000		55,566	12/21/12	26,331	(29,235)	Long
Miscellaneous			-		79	79	
Total Long Term Capital Gain/(Loss)			\$ 19,575,271		\$ 24,982,387	\$ 5,425,074	
Total Capital Gain/(Loss)			\$ 32,893,487		\$ 39,616,367	\$ 6,747,085	
Capital Gain Distributions							
UST Excelsior Funds			-	12/15/12	23,358	23,358	
Total Long Term Capital Gain/(Loss)						\$ 23,358	
Total Capital Gain/(Loss)						\$ 6,770,443	