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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2012

Open to public inspection

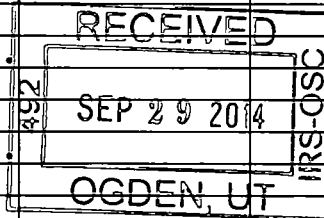
For calendar year 2012 or tax year beginning DEC 1, 2012, and ending NOV 30, 2013

Name of foundation <b>THE HERBERT K. YEE AND INEZ F. YEE FDTN</b>		A Employer identification number <b>68-0344964</b>
Number and street (or P O box number if mail is not delivered to street address) <b>1301 NORMANDY LANE</b>		B Telephone number <b>916-443-4271</b>
City or town, state, and ZIP code <b>SACRAMENTO, CA 95822</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>1,625,459.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		82,785.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		65.	65.		STATEMENT 1
4 Dividends and interest from securities		27,935.	27,935.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<7,663.>			
b Gross sales price for all assets on line 6a	157,172.				
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		103,122.	28,000.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees	STMT 3	3,550.	0.		3,550.
c Other professional fees					
17 Interest					
18 Taxes	STMT 4	335.	0.		35.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses	STMT 5	17.	17.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		3,902.	17.		3,585.
25 Contributions, gifts, grants paid		46,829.			46,829.
26 Total expenses and disbursements. Add lines 24 and 25		50,731.	17.		50,414.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		52,391.			
b Net investment income (if negative, enter -0-)			27,983.		
c Adjusted net income (if negative, enter -0-)				N/A	

ENVELOPE SEP 23 2014 POSTMARK DATE

SCANNED OCT 06 2014 Operating and Administrative Expenses

P  
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**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	16,162.	49,876.	49,876.
	2 Savings and temporary cash investments	77,678.	239,105.	239,105.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons	4,406.		
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	1,010,901.	872,557.	1,336,478.
	c Investments - corporate bonds			
<b>Liabilities</b>	11 Investments - land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 <b>Total assets</b> (to be completed by all filers)	1,109,147.	1,161,538.	1,625,459.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
<b>Net Assets or Fund Balances</b>	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.		
<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b>	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,109,147.	1,161,538.	
	30 <b>Total net assets or fund balances</b>	1,109,147.	1,161,538.	
31 <b>Total liabilities and net assets/fund balances</b>	1,109,147.	1,161,538.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,109,147.
2 Enter amount from Part I, line 27a	2	52,391.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,161,538.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,161,538.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a 4,205 SHARES OF MICROSOFT</b>			<b>11/06/13</b>
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 157,172.</b>		<b>164,835.</b>	<b>&lt;7,663.&gt;</b>
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			<b>&lt;7,663.&gt;</b>
b			
c			
d			
e			

<b>2 Capital gain net income or (net capital loss)</b>	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	<b>&lt;7,663.&gt;</b>
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b> If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		<b>3</b>	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	57,522.	1,218,372.	.047212
2010	21,800.	1,076,942.	.020243
2009	21,343.	964,261.	.022134
2008	22,390.	821,756.	.027247
2007	39,250.	913,880.	.042949

<b>2 Total of line 1, column (d)</b>	<b>2</b>	<b>.159785</b>
<b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>	<b>3</b>	<b>.031957</b>
<b>4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5</b>	<b>4</b>	<b>1,423,605.</b>
<b>5 Multiply line 4 by line 3</b>	<b>5</b>	<b>45,494.</b>
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>	<b>6</b>	<b>280.</b>
<b>7 Add lines 5 and 6</b>	<b>7</b>	<b>45,774.</b>
<b>8 Enter qualifying distributions from Part XII, line 4</b>	<b>8</b>	<b>50,414.</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	280.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	280.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	280.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	147.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	1,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,147.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	867.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <input checked="" type="checkbox"/> 867. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>N/A</b>	13	X	
14	The books are in care of ► <b>MACIAS GINI &amp; O'CONNELL LLP</b> Telephone no. ► <b>916-928-4600</b> Located at ► <b>3000 S STREET, STE. 300, SACRAMENTO, CA</b> ZIP+4 ► <b>95816</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here - and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ► <b>2011</b> , , ,	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part VIII****Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3	0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	1,293,180.
b Average of monthly cash balances	1b	152,104.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	1,445,284.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	1,445,284.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	21,679.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,423,605.
6 Minimum investment return. Enter 5% of line 5	6	71,180.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	71,180.
2a Tax on investment income for 2012 from Part VI, line 5	2a	280.
b Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	280.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	70,900.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	70,900.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	70,900.

**Part XII** Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	50,414.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	50,414.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	280.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	50,134.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
<b>1</b> Distributable amount for 2012 from Part XI, line 7				70,900.
<b>2</b> Undistributed income, if any, as of the end of 2012				
<b>a</b> Enter amount for 2011 only			55,563.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2012:				
<b>a</b> From 2007				
<b>b</b> From 2008				
<b>c</b> From 2009				
<b>d</b> From 2010				
<b>e</b> From 2011				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2012 from Part XII, line 4: ▶ \$ 50,414.				
<b>a</b> Applied to 2011, but not more than line 2a			50,414.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2012 distributable amount				0.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			5,149.	
<b>f</b> Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				70,900.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2008				
<b>b</b> Excess from 2009				
<b>c</b> Excess from 2010				
<b>d</b> Excess from 2011				
<b>e</b> Excess from 2012				



**Part XV** Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ACC NURSING HOME FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	120.
ALZHEIMER'S ASSOCIATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	20.
AMERICAN CANCER SOCIETY 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	25.
AMERICAN DIABETES ASSOCIATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	15.
AMERICAN HEART ASSOCIATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	50.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			<b>46,829.</b>
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				<b>0.</b>





**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

**2012**

Name of the organization

Employer identification number

THE HERBERT K. YEE AND INEZ F. YEE FDTN

68-0344964

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization	Employer identification number
<b>THE HERBERT K. YEE AND INEZ F. YEE FDTN</b>	<b>68-0344964</b>

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	<u>HERBERT &amp; INEZ YEE</u> <u>1301 NORMANDY LANE</u> <u>SACRAMENTO, CA 95822</u>	\$ <u>82,785.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



Employer identification number

68-0344964

[illegible]

Name of organization	Employer identification number
THE HERBERT K. YEE AND INEZ F. YEE FDTN	68-0344964

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMERICAN LEGION POST 696 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	200.
AMERICAN LUNG ASSOCIATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	12.
AMERICAN RED CROSS 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	200.
ARTHRITIS FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	20.
ASIAN COMMUNITY CENTER 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	100.
ASIAN FOOD CENTER 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	492.
CALIFORNIA MUSEUM 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	5,000.
CALIFORNIA STATE RAILROAD MUSEUM FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	750.
CAPITOL LIONS CLUB 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	165.
CHEVO FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	3,800.
<b>Total from continuation sheets</b>				<b>46,599.</b>

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHINESE CONFUCUS CHURCH 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	12,650.
CHINESE NEW YEAR CULTURE ASSOCIATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	500.
CHINESE UNITED METHODIST CHURCH 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	711.
DR.SUN YET MEMORIAL MUSEUM 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	180.
EISENHOWER MEDICAL CENTER 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	50.
FIDDLETOWN PRESERVATION SOCIETY 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	20.
HONG KONG CANCER SOCIETY 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	100.
INTERNATIONAL COLLEGE OF DENTISTRY FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	100.
KVIE 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	55.
MARCH OF DIMES 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	12.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MEALS ON WHEELS 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	35.
NATIONAL CANCER RESEARCH CENTER 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	22.
PIERRE FAUCH DENTAL ACADEMY 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	20.
RONALD REGAN PRESIDENTIAL FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	50.
SACRAMENTO CITY ZOO FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	5,000.
SACRAMENTO COUNTY AMERICAN CANCER SOCIETY 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	50.
SACRAMENTO DISTRICT DENTAL SOCIETY 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	5,000.
SACRAMENTO YEE FUNG JOY FAMILY ASSOCIATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	845.
STOCKTON YEE FUNG JOY BENEVOLENT ASSOCIATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	100.
SUSAN KOMEN RACE FOR THE CURE 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	25.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SUTTER MEDICAL FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	5,045.
UNITED STATES OLYMPIC COMMITTEE 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	20.
UNIVERSITY OF CALIFORNIA 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	1,000.
UNIVERSITY OF THE PACIFIC 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	3,050.
VFW 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	70.
WORLD WAR II VETERAN COMMITTEE 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	100.
YEE FUNG JOY ASSOCIATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	100.
YOUTH DEVELOPMENT NETWORK 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	800.
CHINESE AMERICAN COUNCIL OF SACRAMENTO 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	50.
SPRING EMPLOYEE SCHOLARSHIP FUND 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	100.
<b>Total from continuation sheets</b>				

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MERRILL LYNCH	43.
OTHER	22.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	65.

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MERRILL LYNCH	27,935.	0.	27,935.
TOTAL TO FM 990-PF, PART I, LN 4	27,935.	0.	27,935.

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,550.	0.		3,550.
TO FORM 990-PF, PG 1, LN 16B	3,550.	0.		3,550.

## FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CA TAX	10.	0.		10.
FEDERAL TAX	300.	0.		0.
OTHER FILING FEES	25.	0.		25.
TO FORM 990-PF, PG 1, LN 18	335.	0.		35.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	10.	10.		0.	
OTHER FEES	7.	7.		0.	
TO FORM 990-PF, PG 1, LN 23	17.	17.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
INVESTMENTS	580,781.		860,476.	
MUTUAL FUNDS	291,776.		476,002.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	872,557.		1,336,478.	



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FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	7
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
HERBERT YEE 1301 NORMANDY LANE SACRAMENTO, CA 95822	PRESIDENT & DIRECTOR 2.00	0.	0.	0.
INEZ YEE 1301 NORMANDY LANE SACRAMENTO, CA 95822	V. PRES & DIRECTOR 2.00	0.	0.	0.
ALAN YEE 1301 NORMANDY LANE SACRAMENTO, CA 95822	SECRETARY & DIRECTOR 2.00	0.	0.	0.
ROBERT RANDALL YEE 1301 NORMANDY LANE SACRAMENTO, CA 95822	DIRECTOR 2.00	0.	0.	0.
DOUGLAS YEE 1301 NORMANDY LANE SACRAMENTO, CA 95822	DIRECTOR 2.00	0.	0.	0.
H. WESLEY YEE 1301 NORMANDY LANE SACRAMENTO, CA 95822	DIRECTOR 2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

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FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	8
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## NAME OF MANAGER

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HERBERT YEE  
INEZ YEE

The Herbert K. Yee and Inez F. Yee Foundation  
EIN 68-0344964  
Detail Statement - Corporate Stocks Investments  
Merrill Lynch

	FY 11/12		FY 11/13	
	Book Value	FMV	Book Value	FMV
960 shares Aegon	44,383 17	5,510 40	44,383.17	8,524 80
38 shares Aegon (Stock Split 104 100)	1,756 83	218 12	1,756 83	337 44
30 shares Aegon (stock dividend)	126 57	172 20	126 57	266 40
24 shares Aegon (stock dividend)	126 20	137 76	126 20	213 12
24 shares Aegon (stock dividend)	-	-	149 16	195 36
24 shares Aegon (stock dividend)	-	-	156 45	186 48
24 Alcatel (Lucent)	10,048 00	26 40	10,048.00	103 44
139 AT&T (Bell South)	4,994 06	4,744 07	4,994 06	4,894 19
27 AOL Inc (stock dividend 12/12/09)	-	1,013 04	-	1,203 66
250 Time Warner Inc (exchg 4/2/09) 98	20,686 95	11,825 00	20,686 95	16,427 50
50 Time Warner Inc (exchg 4/2/09) 99	5,100 69	2,365 00	5,100 69	3285 5
62 Time Warner Cable Inc (dividend 4/2/09) 98	6,816 04	5,883 18	6,816 04	8569 64
13 Time Warner Cable Inc (dividend 4/2/09) 99	1,680 60	1,233 57	1,680 60	1796 86
968 Bank of America	117 00	9,544 48	117 00	15,313 76
83 Citigroup, Inc com new (exchange 5/9/2011)	28,391 00	2,869 31	28,391 00	4,392 36
400 Discover Finl Svcs (Stk Div)	-	16,644 00	-	21,320 00
100 Exxon Mobil Corp	4,221 00	8,814 00	4,221.00	9,348 00
1 Fairpoint (stock div 4/04/08)	28 00	-	28.00	-
1,100 Ford Motor	187 00	12,595 00	187 00	18,788 00
24 Frontier Communications (stock dividend 07/08/10)	-	115 44	-	112 32
600 General Electric	28,124 60	12,678 00	28,124 60	15,996 00
900 JP Morgan Chase	43,398 00	36,972 00	43,398 00	51,498 00
6 LSI Corp (Agere)	103 70	40 44	103 70	48 42
325 Microsoft	4,695 80	8,649 88	-	-
2000 Microsoft	86,750 00	53,230 00	-	-
300 Microsoft	20,484 00	7,984 50	-	-
740 Microsoft	50,527 20	19,695 10	-	-
170 Microsoft (3,930 sh \$179,771 80)	481 29	4,524 55	-	-
670 Microsoft (Stock Split 2 1) 3930 originally	1,896 85	17,832 05	-	-
3930-670 sold 2013 Microsoft (Stock Split 2 1)	9,229 46	86,764 90	9,229 46	124,303 80
1300 Microsoft (12/02 Contrib)	35,282 00	34,599 50	35,282 00	49,569 00
1300 Microsoft (Stock Split 2 1)	35,282 00	34,599 50	35,282.00	49,569 00
2380 Microsoft	50,456 00	63,343.70	50,456 00	90,749 40
2000 Microsoft	42,400 00	53,230 00	42,400.00	76,260 00
1000 Mitsubishi	7,680 00	4,610 00	7,680 00	6,490 00
800 Morgan Stanley	63,400 00	13,496 00	63,400 00	25,040 00
400 Nokia	12,826 80	1,304 00	12,826 80	3,224 00
500 Oracle	10,032 50	16,087 50	10,032 50	17,645 00
20 PGE	418 75	819 00	418 75	807 40
48 Procter & Gamble	2,106 25	3,351 84	2,106 25	4,042 56
91 Supervalu	3,285 10	216 58	3,285 10	586 95
10 Travelers Property B	568 10	708 20	568 10	907 40
13 Travelers Property A	253 80	920 66	253 80	1,179 62
1 Visteon Corp Common Stock	-	50 10	-	78 64
1 WT10 Visteon Corp	-	5 90	-	22 70
100 Verizon	6,159 75	4,412 00	6,159 75	4,962 00
87 Vodafone (exchange 7/31/06)	4,900 00	2,244 17	4,900 00	3,226 83
Wal-Mart Stores, Inc	59,760 00	72,020 00	59,760 00	81,010 00
2000 Wells Fargo (2 1 split 8/14/06)	100 00	66,020 00	100 00	35,149 50
336 Wells Fargo	9,860 00	11,091 36	9,860 00	88,040 00
730 Comcast	-	-	26,185 00	14,790 72
<b>Subtotal Equities</b>	<b>719,125.06</b>	<b>715,212.40</b>	<b>580,780.53</b>	<b>860,475.77</b>
11,987 Invesco Charter Funds (aka AIM Charter) (Exchg 4/13/06)	151,200 05	215,286 52	151,200 05	269,827 37
190 Invesco Charter Funds (aka AIM Charter) (Journ Entry 12/22/06)	3,081 80	3,412 40	3,081 80	4,276 90
2,583 Invesco Charter Funds (aka AIM Charter) (Journ Entry 12/22/06)	41,896 26	46,390 68	41,896 26	58,143 33
35 AIM Invesco Charter Funds (aka Charter) (Journ Entry 7/7/08)	576 10	635 42	576 10	796 40
695 Columbia Seligman (Seligman Comm) (12/21/06)	19,933 00	24,323 03	19,933 00	26,582 89
1,583 Putnam Multi Cap Growth (exchange 9/28/10)	75,088 44	87,867 50	75,088 44	116,375 35
<b>Subtotal Mutual Funds</b>	<b>291,775.65</b>	<b>377,915.55</b>	<b>291,775.65</b>	<b>476,002.24</b>
<b>Grand Total</b>	<b>1,010,900.71</b>	<b>1,093,127.95</b>	<b>872,556.18</b>	<b>1,336,478.01</b>

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions	
<b>Type or print</b> Name of exempt organization or other filer, see instructions <b>THE HERBERT K. YEE AND INEZ F. YEE FDTN</b>	Employer identification number (EIN) or <b>68-0344964</b>
Number, street, and room or suite no. If a P.O. box, see instructions <b>1301 NORMANDY LANE</b>	Social security number (SSN)
City, town or post office, state, and ZIP code. For a foreign address, see instructions <b>SACRAMENTO, CA 95822</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

**0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

**MACIAS GINI & O'CONNELL LLP**

- The books are in the care of **3000 S STREET, STE. 300 - SACRAMENTO, CA 95816**  
 Telephone No. **916-928-4600** FAX No.

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until **OCTOBER 15, 2014**
- 5 For calendar year , or other tax year beginning **DEC 1, 2012**, and ending **NOV 30, 2013**
- 6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return  
☐ Change in accounting period
- 7 State in detail why you need the extension  
**ADDITIONAL TIME REQUESTED TO GATHER NEEDED INFORMATION NECESSARY TO FILE AN ACCURATE RETURN.**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	1,000.
8b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	1,000.
8c <b>Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0.

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  Title **PRESIDENT**

Date

Form 8868 (Rev. 1-2013)