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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052
2012
Open to public Inspection

For calendar year 2012 or tax year beginning DEC 1, 2012 , and ending NOV 30, 2013

Name of foundation: LAWSON-FIERBAUGH FOUNDATION

A Employer identification number: 62-6207011

Number and street (or P O box number if mail is not delivered to street address) / Room/suite: 110 PIEDMONT AVE, SUITE 300

B Telephone number: 276.466.8400

City or town, state, and ZIP code: BRISTOL, VA 24201

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OGDEN, UT

C If exemption application is pending, check here ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 249,185.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

SCANNED MAR 27 2014

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received			N/A	
2 Check ▶ ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	6,296.	6,296.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	312.			
b Gross sales price for all assets on line 6a 26,034.				
7 Capital gain net income (from Part IV, line 2)		312.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	70.	70.		STATEMENT 2
12 **Total** Add lines 1 through 11	6,678.	6,678.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	3,600.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees STMT 3	1,975.	0.		0.
c Other professional fees STMT 4	1,571.	1,571.		0.
17 Interest				
18 Taxes STMT 5	165.	0.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses				
24 **Total operating and administrative expenses** Add lines 13 through 23	7,311.	1,571.		0.
25 Contributions, gifts, grants paid	13,550.			13,550.
26 **Total expenses and disbursements** Add lines 24 and 25	20,861.	1,571.		13,550.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	<14,183.>			
b **Net investment income** (if negative, enter -0-)		5,107.		
c **Adjusted net income** (if negative, enter -0-)			N/A	

13

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	3,518.	4,758.	4,758.
	2 Savings and temporary cash investments	371.	4,633.	4,633.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 6	208,710.	189,025.	239,794.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	212,599.	198,416.	249,185.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	212,599.	198,416.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances**	212,599.	198,416.	
	31 **Total liabilities and net assets/fund balances**	212,599.	198,416.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	212,599.
2 Enter amount from Part I, line 27a	2	<14,183.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	198,416.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	198,416.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	.5150 SHARES CALVERT SHORT DURATION	P	12/17/12	12/17/12
b	120.1120 SHARES CALVERT SHORT DURATION	P	03/02/09	12/17/12
c	SEE STATEMENT A	P		
d	SEE STATEMENT A	P		
e	CAPITAL GAINS DIVIDENDS			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	9.		9.	0.
b	1,991.		1,849.	142.
c	626.		648.	<22.>
d	22,799.		23,216.	<417.>
e	609.			609.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				0.
b				142.
c				<22.>
d				<417.>
e				609.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 312.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	11,250.	239,807.	.046913
2010	15,800.	253,232.	.062393
2009	17,950.	259,643.	.069133
2008	21,409.	257,032.	.083293
2007	20,075.	391,476.	.051280

2	**Total** of line 1, column (d)	2	.313012
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.062602
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	244,654.
5	Multiply line 4 by line 3	5	15,316.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	51.
7	Add lines 5 and 6	7	15,367.
8	Enter qualifying distributions from Part XII, line 4	8	13,550.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	102.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	102.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-		5	102.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a 160.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	160.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 **Tax due**. If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	58.
11 Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ 58.	**Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TN, VA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			

14 The books are in care of ▶ MARK M. LAWSON Telephone no. ▶ 276-466-8400
Located at ▶ 110 PIEDMONT AVENUE, BRISTOL, VA ZIP+4 ▶ 24201

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

		Line	Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOSEPH W. LAWSON II 110 PIEDMONT AVENUE, SUITE 300 BRISTOL, VA 24201	TRUSTEE 0.00	0.	0.	0.
MARK M. LAWSON 110 PIEDMONT AVENUE, SUITE 300 BRISTOL, VA 24201	TRUSTEE 0.50	3,600.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

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12-05-12

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	245,204.
b	Average of monthly cash balances	1b	3,176.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	248,380.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	248,380.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,726.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	244,654.
6	**Minimum investment return.** Enter 5% of line 5	6	12,233.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	12,233.
2a	Tax on investment income for 2012 from Part VI, line 5 2a 102.		
b	Income tax for 2012. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	102.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	12,131.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	12,131.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	12,131.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	13,550.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	13,550.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	13,550.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				12,131.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years: _____, _____, _____		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007 938.				
b From 2008 8,639.				
c From 2009 5,064.				
d From 2010 3,293.				
e From 2011				
f Total of lines 3a through e	17,934.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► $ 13,550.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				12,131.
e Remaining amount distributed out of corpus	1,419.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	19,353.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	938.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	18,415.			
10 Analysis of line 9:				
a Excess from 2008 8,639.				
b Excess from 2009 5,064.				
c Excess from 2010 3,293.				
d Excess from 2011				
e Excess from 2012 1,419.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

SEE STATEMENT 7

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
GIRLS INC. 613 HIGHLAND AVENUE BRISTOL, VA 24201	NONE	501(C)(3)	GENERAL PURPOSES OF DONEE	350.
HEALING HANDS HEALTH CENTER, INC. 210 MEMORIAL DRIVE BRISTOL, TN 37620	NONE	501(C)(3)	GENERAL PURPOSES OF DONEE	2,000.
BARTER THEATRE P.O. BOX 867 ABINGDON, VA 24212	NONE	501(C)(3)	GENERAL PURPOSES OF DONEE	1,000.
CHRISTIAN SCIENCE SOCIETY 1749 KING COLLEGE ROAD BRISTOL, TN 37620	NONE	501(C)(3)	GENERAL PURPOSES OF DONEE	3,000.
LINER MINISTRIES 2699 BOYDS CREEK HIGHWAY SEVIERVILLE, TN 37876	NONE	501(C)(3)	GENERAL PURPOSES OF DONEE	500.
Total SEE CONTINUATION SHEET(S)			▶ 3a	13,550.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	6,296.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	70.	
8 Gain or (loss) from sales of assets other than inventory			18	312.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		6,678.	0.

13 **Total**. Add line 12, columns (b), (d), and (e) 13 6,678.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date: 3/20/14 — Title: TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
C. STANLEY BOWLES II	C. Stanley Bowles II / C. STANLEY BOWLES	03/18/14		P00543413

Firm's name ▶ BROWN, EDWARDS & COMPANY, L.L.P. — Firm's EIN ▶ 54-0504608

Firm's address ▶ 1969 LEE HIGHWAY BRISTOL, VA 24201 — Phone no. 276.466.5248

223622
12-05-12

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ANTIOCH BAPTIST CHURCH 929 PHIL'S CREEK ROAD, ROUTE 4 CHAPEL HILL, NC 27516	NONE	501(C)(3)	GENERAL PURPOSES OF DONEE	500.
SULLINS ACADEMY 22218 SULLINS ACADEMY DR BRISTOL, VA 24202	NONE	PRIVATE SCHOOL	GENERAL PURPOSES OF DONEE	5,000.
THE PRINCIPIA 13201 SLAYTON ROAD ST LOUIS, MO 63131-1099	NONE	PRIVATE SCHOOL	GENERAL PURPOSES OF DONEE	1,000.
WONDER KIDS THERAPEUTIC EQUESTRIAN CENTER, INC. PO BOX 17023 BRISTOL, VA 20209	NONE	501(C)(3)	GENERAL PURPOSES OF DONEE	200.
Total from continuation sheets				6,700.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MERRILL LYNCH	6,905.	609.	6,296.
TOTAL TO FM 990-PF, PART I, LN 4	6,905.	609.	6,296.

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME	70.	70.	
TOTAL TO FORM 990-PF, PART I, LINE 11	70.	70.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION	1,975.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	1,975.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	1,571.	1,571.		0.
TO FORM 990-PF, PG 1, LN 16C	1,571.	1,571.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES	165.	0.		0.
TO FORM 990-PF, PG 1, LN 18	165.	0.		0.

FORM 990-PF OTHER INVESTMENTS STATEMENT 6

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
AMERICAN GROWTH FUNDS 1106 SH	COST	31,341.	49,476.
JOHN HANCOCK 991 SH	COST	10,759.	23,885.
JP MORGAN MID CAP 197 SH	COST	4,423.	7,026.
OPPENHEIMER MAIN STREET 263 SH	COST	4,961.	8,289.
CALVERT SHORT DURATION, 1631 SH	COST	25,759.	26,799.
IVY ASSET STRATEGY, 1060 SH	COST	20,238.	33,245.
PIMCO TOTAL RETURN FUND, 1241 SH	COST	14,117.	13,501.
TRANSAMERICA SHORT TERM, 3574 SH	COST	35,167.	36,741.
DELEWARE DIVERSIFIED, 874 SH	COST	8,386.	7,789.
FRANKLIN HIGH INCOME, 2957 SH	COST	5,881.	6,240.
HUSSMAN STRATEGIC TOTAL, 837 SH	COST	10,570.	9,333.
PIMCO ALL ASSET,	COST	0.	0.
TEMPLETON GLOBAL BOND, 450 SH	COST	6,073.	5,855.
WELLS FARGO ADV EMERGING, 245 SH	COST	4,968.	5,481.
PIMCO REAL RETURN, 547 SH	COST	6,382.	6,134.
TOTAL TO FORM 990-PF, PART II, LINE 13		189,025.	239,794.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 7
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MR. MARK M LAWSON
110 PIEDMONT AVENUE, SUITE 300
BRISTOL, VA 24201

TELEPHONE NUMBER

276-466-8400

FORM AND CONTENT OF APPLICATIONS

ANY FORM WILL BE ACCEPTED. THE SPECIFIC CHARITABLE USE PURPOSE S/B STATED.

ANY SUBMISSION DEADLINES

END OF FISCAL YEAR.

RESTRICTIONS AND LIMITATIONS ON AWARDS

ONLY THAT THE FUNDS ARE USED IN A CHARITABLE, 501(C)(3) MANNER OR LIKEWISE TO NEEDY INDIVIDUALS, ORGANIZATIONS OF DISASTER RELIEF, OR VICTIMS OF TRAGEDY

LAWSON-FIERBAUGH FOUNDATION
#62-6207011
TAX YEAR: 2012
STATEMENT A

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
TRANSAMERICA SHORT TERM BOND FUND I		*CUSIP Number*	*893962134*					
.5370	Sale	04/26/13	04/29/13	5 54	5.54	0 00	0.00	
DELAWARE DIVERSIFIED INCOME FUND INSTL CL		*CUSIP Number*	*246248587*					
7230	Sale	04/22/13	04/29/13	6.79	6 77	0.00	0 02	
CALVERT SHORT DURATION INCOME FUND CL Y		*CUSIP Number*	*131582561*					
4620	Sale	04/26/13	04/29/13	7.71	7 70	0.00	0.01	
8330	Sale	06/28/13	06/28/13	13 64	13 76	0.00	(0.12)	
	Security Subtotal			***21.35***	***21.46***	***0.00***	***(0.11)***	
PIMCO REAL RETURN FUND CL P		*CUSIP Number*	*72201M636*					
0110	Sale	03/28/13	04/29/13	0 13	0 13	0 00	0.00	
.6210	Sale	06/28/13	06/28/13	6 95	7.26	0 00	(0 31)	
6070	Sale	09/30/13	10/22/13	6 89	6.86	0 00	0 03	
	Security Subtotal			***13.97***	***14.25***	***0.00***	***(0.28)***	

LAWSON-FIERBAUGH FOUNDATION
#62-6207011
TAX YEAR: 2012
STATEMENT A

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PIMCO TOTAL RETURN FUND CL P		*CUSIP Number*	*72201M552*					
3220	Sale	06/28/13	06/28/13	3.46	3 48	0.00	(0 02)	
PIMCO ALL ASSET ALL AUTHORITY FUND CL P		*CUSIP Number*	*72201M438*					
1.0000	Sale	12/27/12	10/22/13	10 51	11.15	0.00	(0.64)	
25 0000	Sale	12/27/12	10/22/13	262 75	279.50	0.00	(16.75)	
1 0000	Sale	12/31/12	10/22/13	10 51	11 16	0.00	(0.65)	
6 0000	Sale	12/31/12	10/22/13	63.06	66.53	0.00	(3.47)	
7 0000	Sale	03/21/13	10/22/13	73.57	76 51	0.00	(2.94)	
1 0000	Sale	06/20/13	10/22/13	10.51	10 74	0 00	(0 23)	
6.0000	Sale	06/20/13	10/22/13	63 06	61 38	0.00	1.68	
7120	Sale	09/19/13	10/22/13	7 48	7.30	0.00	0 18	
7.0000	Sale	09/19/13	10/22/13	73 58	72.24	0.00	1 34	
	Security Subtotal			*575.03*	*596.51*	*0.00*	*(21.48)*	
Covered Short Term Capital Gains and Losses Subtotal				**626.14**	**648.01**	**0.00**	**(21.87)**	
NET SHORT TERM CAPITAL GAINS AND LOSSES				**626.14**	**648.01**	**0.00**	**(21.87)**	

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PIMCO ALL ASSET ALL AUTHORITY FUND CL P		*CUSIP Number*	*72201M438*					
7 0000	Sale	03/22/12	10/22/13	73.57	74 06	0 00	(0 49)	
4 0000	Sale	06/21/12	10/22/13	42 04	41 40	0.00	0 64	
1 0000	Sale	09/20/12	10/22/13	10.51	10.48	0 00	0 03	
6.0000	Sale	09/20/12	10/22/13	63 06	66 90	0 00	(3.84)	
	Security Subtotal			*189.18*	*192.84*	*0.00*	*(3.66)*	
Covered Long Term Capital Gains and Losses Subtotal				**189.18**	**192.84**	**0.00**	**(3.66)**	

LAWSON-FIERBAUGH FOUNDATION
#62-6207011
TAX YEAR: 2012
STATEMENT A

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed		Gain or (Loss)	Remarks
NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)									
TRANSAMERICA SHORT TERM BOND FUND I		*CUSIP Number*	*893962134*						
72.0000	Sale	05/07/09	04/29/13	743.76	694.04	0 00		49.72	
0670	Sale	05/07/09	04/29/13	0.70	0 65	0 00		0.05	
	Security Subtotal			*744.46*	*694.69*	*0.00*		*49.77*	
DELAWARE DIVERSIFIED INCOME FUND INSTL CL		*CUSIP Number*	*246248587*						
.0640	Sale	09/22/10	04/29/13	0.61	0 63	0 00		(0.02)	
1 0000	Sale	09/22/10	04/29/13	9.40	9.69	0.29	(W)	0.00	
1.0000	Sale	09/22/10	04/29/13	9.40	9.69	0 29	(W)	0 00	
2.0000	Sale	09/22/10	04/29/13	18 80	19 33	0 53	(W)	0.00	
2.0000	Sale	09/22/10	04/29/13	18 80	19.33	0.53	(W)	0 00	
73 0000	Sale	09/22/10	04/29/13	686 20	704 25	0 00		(18.05)	
	Security Subtotal			*743.21*	*762.92*	*1.64*		*(18.07)*	
CALVERT SHORT DURATION INCOME FUND CL Y		*CUSIP Number*	*131582561*						
44 0000	Sale	03/02/09	04/29/13	735.24	677 18	0 00		58.06	
.4210	Sale	03/02/09	04/29/13	7.04	6 48	0.00		0 56	
101.0000	Sale	03/02/09	06/28/13	1,653.37	1,554 43	0.00		98 94	
	Security Subtotal			*2,395.65*	*2,238.09*	*0.00*		*157.56*	
PIMCO REAL RETURN FUND CL P		*CUSIP Number*	*72201M636*						
60 0000	Sale	04/11/11	04/29/13	741 60	691.80	0.00		49.80	
6690	Sale	04/11/11	04/29/13	8 27	7.72	0.00		0.55	
1 0000	Sale	04/11/11	06/28/13	11.20	11 53	0 33	(W)	0.00	
1.0000	Sale	04/11/11	06/28/13	11 20	11 53	0 33	(W)	0 00	
146.0000	Sale	04/11/11	06/28/13	1,635 20	1,683 38	0 00		(48 18)	
2180	Sale	04/11/11	06/28/13	2.45	2 52	0 00		(0.07)	
0970	Sale	04/11/11	10/22/13	1.11	0.91	0 00		0 20	
1.0000	Sale	04/11/11	10/22/13	11 37	11 53	0 16	(W)	0.00	
1 0000	Sale	04/11/11	10/22/13	11.37	11 53	0 16	(W)	0.00	
525.0000	Sale	04/11/11	10/22/13	5,969 25	6,053.25	0 00		(84 00)	
	Security Subtotal			*8,403.02*	*8,485.70*	*0.98*		*(81.70)*	

LAWSON-FIERBAUGH FOUNDATION
#62-6207011
TAX YEAR: 2012
STATEMENT A

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed		Gain or (Loss)	Remarks
PIMCO TOTAL RETURN FUND CL P		*CUSIP Number*	*72201M552*						
1 0000	Sale	05/28/10	06/28/13	10.76	11.10	0 34	(W)	0.00	
1 0000	Sale	06/01/10	06/28/13	10.76	11.10	0.34	(W)	0.00	
2.0000	Sale	06/30/10	06/28/13	21 52	22.52	1 00	(W)	0.00	
2 0000	Sale	06/30/10	06/28/13	21 52	22 52	1.00	(W)	0.00	
1.0000	Sale	06/30/10	06/28/13	10 76	11.26	0 00		(0.50)	
5.0000	Sale	07/30/10	06/28/13	53 80	57.00	0 00		(3.20)	
1.0000	Sale	07/30/10	06/28/13	10.75	11.23	0 00		(0.48)	
141.0000	Sale	08/18/10	06/28/13	1,517.17	1,621.50	0 00		(104 33)	
6040	Sale	08/18/10	06/28/13	6.50	6 95	0 00		(0.45)	
	Security Subtotal			*1,663.54*	*1,775.18*	*2.68*		*(108.96)*	
PIMCO ALL ASSET ALL AUTHORITY FUND CL P		*CUSIP Number*	*72201M438*						
720.0000	Sale	08/18/10	10/22/13	7,567 20	7,992 00	0 00		(424.80)	
6.0000	Sale	09/16/10	10/22/13	63.06	65.87	0 00		(2 81)	
1.0000	Sale	09/16/10	10/22/13	10.50	11.06	0 00		(0 56)	
1.0000	Sale	12/08/10	10/22/13	10 51	10.88	0 00		(0 37)	
1 0000	Sale	12/08/10	10/22/13	10 51	10 94	0 00		(0 43)	
30.0000	Sale	12/31/10	10/22/13	315 30	316.79	0 00		(1.49)	
4.0000	Sale	03/17/11	10/22/13	42 04	42.64	0.00		(0 60)	
1 0000	Sale	03/17/11	10/22/13	10 51	10.74	0.00		(0.23)	
7.0000	Sale	06/16/11	10/22/13	73 57	75.80	0 00		(2 23)	
1.0000	Sale	06/16/11	10/22/13	10 51	10.70	0 00		(0.19)	
1.0000	Sale	09/15/11	10/22/13	10.51	10.77	0.00		(0 26)	
8.0000	Sale	09/15/11	10/22/13	84.08	84 87	0 00		(0.79)	
1.0000	Sale	12/28/11	10/22/13	10 51	10.23	0.00		0 28	
42.0000	Sale	12/28/11	10/22/13	441 42	418 73	0 00		22.69	
	Security Subtotal			*8,660.23*	*9,072.02*	*0.00*		*(411.79)*	
Noncovered Long Term Capital Gains and Losses Subtotal				22,610.11	23,028.60	5.30		(413.19)	
NET LONG TERM CAPITAL GAINS AND LOSSES				22,799.29	23,221.44	5.30		(416.85)	
TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES				23,425.43					
TOTAL REPORTED SALES PROCEEDS				23,425.43					