Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 12/01 **, 2012, and ending** 11/30 **, 20**13

Name of foundation: ANDERSEN CORPORATE FOUNDATION

A Employer identification number: 41-6020912

Number and street (or P O box number if mail is not delivered to street address): 342 FIFTH AVENUE NORTH

Room/suite: 200

B Telephone number (see instructions): (651) 439-1557

City or town, state, and ZIP code: BAYPORT, MN 55003

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 47,962,474.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) ____
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants etc, received (attach schedule)				
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	97.	97.		
	4 Dividends and interest from securities	571,490.	571,490.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,359,038.			
	b Gross sales price for all assets on line 6a 9,241,712.				
	7 Capital gain net income (from Part IV, line 2)		2,359,038.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) ATCH 1	538,739.	538,739.		
	12 **Total.** Add lines 1 through 11	3,469,364.	3,469,364.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 2	31,000.	15,500.		15,500.
	c Other professional fees (attach schedule) *	350,188.	181,152.		169,036.
	17 Interest				
	18 Taxes (attach schedule) (see instructions) ATCH 4	73,488.	26,668.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 5	4,531.			4,531.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	459,207.	223,320.		189,067.
	25 Contributions, gifts, grants paid	2,200,600.			2,200,600.
	26 **Total expenses and disbursements** Add lines 24 and 25	2,659,807.	223,320.	0	2,389,667.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	809,557.			
	b **Net investment income** (if negative, enter -0-)		3,246,044.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash - non-interest-bearing	27,531.	39,064.	39,064.
2	Savings and temporary cash investments	258,820.	222,855.	222,855.
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule) ATCH 6	32,874,466.	35,611,861.	35,611,861.
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule)	10,188,902.	12,088,694.	12,088,694.
14	Land, buildings and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	43,349,719.	47,962,474.	47,962,474.
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted	43,349,719.	47,962,474.	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [ ]			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	43,349,719.	47,962,474.	
31	**Total liabilities and net assets/fund balances** (see instructions)	43,349,719.	47,962,474.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	43,349,719.
2	Enter amount from Part I, line 27a	2	809,557.
3	Other increases not included in line 2 (itemize) ▶ ATCH 7	3	3,803,198.
4	Add lines 1, 2, and 3	4	47,962,474.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	47,962,474.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	2,359,038.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	2,085,785.	42,161,491.	0.049471
2010	2,016,749.	43,166,153.	0.046721
2009	1,842,264.	40,834,366.	0.045116
2008	2,326,930.	36,287,961.	0.064124
2007	2,433,034.	47,885,421.	0.050809

2	**Total** of line 1, column (d)	2	0.256241
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.051248
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	45,446,252.
5	Multiply line 4 by line 3	5	2,329,030.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	32,460.
7	Add lines 5 and 6	7	2,361,490.
8	Enter qualifying distributions from Part XII, line 4	8	2,389,667.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

		Line	Amount
1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	32,460.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	32,460.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	32,460.
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 51,800.		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	51,800.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	19,340.
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 19,340. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0 (2) On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MN,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.SRINC.BIZ/FOUNDATIONS/ANDERSEN-CORPORATE

14 The books are in care of ▶ SUSAN ROEDER Telephone no ▶ (651)264-7432

Located at ▶ 100 FOURTH AVENUE NORTH BAYPORT, MN ZIP+4 ▶ 55003

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X	
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to
- **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No
- **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . . ☐ Yes ☒ No
- **(3)** Provide a grant to an individual for travel, study, or other similar purposes? . . . . . ☐ Yes ☒ No
- **(4)** Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) . . . . . ☐ Yes ☒ No
- **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . **5b** — No: X

Organizations relying on a current notice regarding disaster assistance check here . . . . . N/A ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . **6b** — No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . . **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 8		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0	0	0

Total number of other employees paid over $50,000 . . . . . ▶

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
ATCH 9		178,036.
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	45,483,940.
b	Average of monthly cash balances	1b	654,387.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	46,138,327.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	46,138,327.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	692,075.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	45,446,252.
6	**Minimum investment return.** Enter 5% of line 5	6	2,272,313.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	2,272,313.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	32,460.		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	32,460.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	2,239,853.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	2,239,853.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	2,239,853.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	2,389,667.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,389,667.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	32,460.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,357,207.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7				2,239,853.
2	Undistributed income, if any, as of the end of 2012				
a	Enter amount for 2011 only			2,024,855.	
b	Total for prior years 20 10 ,20 09 ,20 08				
3	Excess distributions carryover, if any, to 2012				
a	From 2007				
b	From 2008				
c	From 2009				
d	From 2010				
e	From 2011				
f	**Total** of lines 3a through e				
4	Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 2,389,667.				
a	Applied to 2011, but not more than line 2a			2,024,855.	
b	Applied to undistributed income of prior years (Election required - see instructions)				
c	Treated as distributions out of corpus (Election required - see instructions)				
d	Applied to 2012 distributable amount				364,812.
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount - see instructions				
e	Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f	Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				1,875,041.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	0			
10	Analysis of line 9				
a	Excess from 2008				
b	Excess from 2009				
c	Excess from 2010				
d	Excess from 2011				
e	Excess from 2012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

ATCH 10

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHMENT 15

c Any submission deadlines:

ATCH 11

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHMENT 15

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHMENT 13				2,200,600.
Total ▶ **3a**				2,200,600.
b *Approved for future payment*				
SEE ATTACHMENT 14				231,000.
Total ▶ **3b**				231,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	97.	
4 Dividends and interest from securities			14	571,490.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	2,359,038.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 12				538,739.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				3,469,364.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 3,469,364.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of.			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ [signature] 4-11-14 ▶ Vice President

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
KELLI ARCHIBALD	Kelli H. Archibald	04/10/14		P00180332
Firm's name ▶ ERNST & YOUNG U.S. LLP			Firm's EIN ▶ 34-6565596	
Firm's address ▶ 2 NORTH CENTRAL AVE, SUITE 2300 PHOENIX, AZ 85004			Phone no 602-322-3000	

JSA
2E1493 1 000
45803F 7258

ANDERSEN CORPORATE FOUNDATION 41-6020912

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE ATTACHMENT A - PROPERTY TYPE: SECURI					VAR	VAR
9,241,712.		6,882,674.					2,359,038.	
TOTAL GAIN(LOSS)							2,359,038.	

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Andersen Corporate Foundation
FEIN: 41-6020912
FYE: November 30, 2013

FORM 990PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

	Proceeds	Cost	Gain/(Loss)
Cash and Equivalents	4,504,836	4,504,836	0
Equity Securities:			
Intl Alpha LP	18,917	14,870	4,047
S&P 500 Index Fund	2,953,604	1,739,195	1,214,409
Flow-through of net capital gains/losses from investment in			
International Alpha Common Trust Fund	0	121,324	(121,324)
S&P 500 Index Fund	367,013	0	367,013
Global Asset Allocation:			
GMO Strategic Opportunities Alloc	456,145	60,069	396,076
Wellington CTF Opportunistic Portfolio	61,732	55,135	6,597
Flow-through of net capital gains/losses from investment in Wellington CTF Opportunistic Portfolio	479,197	0	479,197
Debt Securities			
Black Rock	400,000	387,245	12,755
Security Litigation Settlement Proceeds	268	0	268
Total Sales And Maturities	**9,241,712**	**6,882,674**	**2,359,038**

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FLOW-THROUGH OF OTHER INCOME FROM:		
INVESTMENT IN SSGA S&P 500	260,939.	260,939.
INT'L ALPHA COMM TRUST FUND, LLC	141,879.	141,879.
WELLINGTON	135,921.	135,921.
TOTALS	538,739.	538,739.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
AUDIT AND TAX SERVICE - ERNST & YOUNG LLP	22,000.	11,000.		11,000.
ACCOUNTING SERVICE - SRI	9,000.	4,500.		4,500.
TOTALS	31,000.	15,500.		15,500.

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
GRANT CONSULTING - SRI	169,036.		169,036.
TRUST FEES AND EXPENSES	181,152.	181,152.	
TOTALS	350,188.	181,152.	169,036.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	26,668.	26,668.
EXCISE TAXES	46,820.	
TOTALS	73,488.	26,668.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
DIRECTOR LIABILITY INSURANCE	3,240.	3,240.
OTHER EXPENSES	1,291.	1,291.
TOTALS	4,531.	4,531.

ANDERSEN CORPORATE FOUNDATION
FEIN: 41-6020912
FYE: November 30, 2012

FORM 990PF, PART II

LINE 10B - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
Nortel Networks Corp	5	5
Total Domestic Common Stocks	5	5
Black Rock Total Return II	3,450,266	3.450.266
Total Mutual Funds - Fixed Income	3,450,266	3,450,266
S&P 500 Index Fund	12,075,808	12,075,808
Total Mutual Funds - Equity	12,075,808	12,075,808
Intl Alpha Ctf (Zvia) LP	5,529,805	5,529,805
Total Partnerships - Joint Ventures	5,529,805	5,529,805
Wellington Trust Multiple Collective	6,296,628	6,296,628
GMO Strategic Opportunities Alloc 3	5,806,222	5,806,222
GMO Multi-Strategy Fund	2,453,127	2,453,127
Total Collective Funds	14,555,977	14,555,977
Total	35,611,861	35,611,861

LINE 13 - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING BOOK VALUE
Permal Fixed Income Holdings (Erisa) Ltd	7,340,337	7,340,337
Pimco All Asset Fund Instl	4,748,357	4,748,357
Total Alternative Strategies	12,088,694	12,088,694
Total	12,088,694	12,088,694

ATTACHMENT 7

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
UNREALIZED GAIN ON INVESTMENTS		3,803,198.
	TOTAL	3,803,198.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
KEITH OLSON 100 FOURTH AVENUE N. BAYPORT, MN 55003	PRESIDENT 1.00	0	0	0
SUSAN ROEDER 100 FOURTH AVENUE N. BAYPORT, MN 55003	VP GRANTS ADMIN/SECRETARY 1.00	0	0	0
PHIL DONALDSON 100 FOURTH AVENUE N. BAYPORT, MN 55003	TREASURER 1.00	0	0	0
LAURIE BAUER 100 FOURTH AVENUE N. BAYPORT, MN 55003	DIRECTOR 1.00	0	0	0
JAY LUND 100 FOURTH AVENUE N. BAYPORT, MN 55003	DIRECTOR 1.00	0	0	0
JERRY REDMOND 100 FOURTH AVENUE N. BAYPORT, MN 55003	DIRECTOR 1.00	0	0	0
	GRAND TOTALS	0	0	0

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 9

NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
SRI 342 FIFTH AVE. NORTH STE 200 BAYPORT, MN 55003	GRANT CONSULT/ACCTG.	178,036.
TOTAL COMPENSATION		178,036.

ATTACHMENT 10

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

CHLOETTE HALEY,PROGRAM OFFICER
342 FIFTH AVENUE NORTH, SUITE 200
BAYPORT, MN 55003
651-275-4450

ATTACHMENT 11

990PF, PART XV - SUBMISSION DEADLINES

4/15, 7/15, 10/15 AND 12/15 FOR FYE 11/30/2013
2/15, 6/15 AND 10/15 BEGINNING FYE 11/30/2014

ANDERSEN CORPORATE FOUNDATION 41-6020912

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 12

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
INCOME FROM SSGA			14	260,939.	
INT'L ALPHA COMM TRUST FUND			14	141,879.	
INCOME FROM WELLINGTON			14	135,921.	
TOTALS				538,739.	

Andersen Corporate Foundation 990 Report by Program Area

Grants Paid December 1, 2012 - November 30, 2013
FYE 2012-13

Recipient and/or Purpose	Tax Status	Amount Paid FYE 2012-13
ACF\Affordable Housing		
The Arc of Minnesota 800 Transfer Road, Suite 7A St. Paul, MN 55114 *Housing Access Program Washington County*	501(c)(3) 509(a)(2)	$4,000
Greater Des Moines Habitat for Humanity 2200 E. Euclid Des Moines, IA 50317 *HFH "It Starts at Home" Campaign*	501(c)(3) 509(a)(1)	$20,000
Marion Shelter Program Inc. 326 West Fairground Street Marion, OH 43302 *general operating support*	501(c)(3) 509(a)(1)	$7,000
Minnesota Home Ownership Center 1000 Payne Avenue, Suite 200 St. Paul, MN 55130 *general operating support*	501(c)(3) 509(a)(1)	$5,000
St. Croix Valley Habitat for Humanity 116 East Elm St. River Falls, WI 54022 *Eco Village project*	501(c)(3) 509(a)(2)	$35,000
St. Croix Valley Habitat for Humanity 116 East Elm St. River Falls, WI 54022 *Eco Village project*	501(c)(3) 509(a)(2)	$35,000
Solid Ground 3521 Century Avenue North White Bear Lake, MN 55110-5656 *general operating support*	501(c)(3) 509(a)(1)	$8,000
Twin Cities Habitat for Humanity Inc. 3001 Fourth Street S E. Minneapolis, MN 55414 *general operating support*	501(c)(3) 509(a)(1) Group Exemption #8545	$75,000
Twin Cities Habitat for Humanity Inc. 3001 Fourth Street S.E. Minneapolis, MN 55414 *Headquarters Building*	501(c)(3) 509(a)(1) Group Exemption #8545	$25,000

Andersen Corporate Foundation 990 Report by Program Area

Grants Paid December 1, 2012 - November 30, 2013
FYE 2012-13

Recipient and/or Purpose	Tax Status	Amount Paid FYE 2012-13
Twin Cities Habitat for Humanity Inc.	501(c)(3)	$25,000
3001 Fourth Street S.E.	509(a)(1)	
Minneapolis, MN 55414	Group	
Headquarters Building	Exemption #8545	
Two Rivers Community Land Trust	501(c)(3)	$25,000
P.O. Box 25451	509(a)(1)	
Woodbury, MN 55125		
general operating support and housing development funds		
Total ACF\Affordable Housing (11 items)		**$264,000**
ACF\Civic		
Animal Humane Society	501(c)(3)	$7,500
845 Meadow Lane North	509(a)(1)	
Golden Valley, MN 55422		
general operating support		
Bayport Public Library Foundation	501(c)(3)	$38,500
582 North Fourth Street	509(a)(1)	
Bayport, MN 55003		
general operating support for Bayport Public Library		
Charities Review Council of Minnesota, Inc.	501(c)(3)	$1,500
2334 University Avenue West, Suite 150	509(a)(1)	
Saint Paul, MN 55114		
general operating support		
Duck Soup Players, Inc.	501(c)(3)	$3,500
P.O. Box 219	509(a)(1)	
Marine On St. Croix, MN 55047		
general operating support		
Minnesota Children's Museum	501(c)(3)	$34,000
10 West Seventh Street	509(a)(1)	
St. Paul, MN 55102		
Capital for Museum expansion and renovations		
Minnesota Children's Museum	501(c)(3)	$20,000
10 West Seventh Street	509(a)(1)	
St. Paul, MN 55102		
Earth World Gallery		
Minnesota Historical Society	501(c)(3)	$5,000
345 Kellogg Boulevard West	509(a)(1)	
St. Paul, MN 55102-1906		
Unsolicited gift of Appreciation		
Minnesota Historical Society	501(c)(3)	$5,000
345 Kellogg Boulevard West	509(a)(1)	
St. Paul, MN 55102-1906		
general operating support		

Andersen Corporate Foundation 990 Report by Program Area

Grants Paid December 1, 2012 - November 30, 2013
FYE 2012-13

Recipient and/or Purpose	Tax Status	Amount Paid FYE 2012-13
Ordway Center for the Performing Arts	501(c)(3)	$7,500
345 Washington Street	509(a)(1)	
Saint Paul, MN 55102-1495		
general operating support		
The Phipps Center for the Arts	501(c)(3)	$12,000
109 Locust Street	509(a)(1)	
Hudson, WI 54016		
general operating support		
The St. Croix Valley Chamber Chorale, Inc.	501(c)(3)	$3,500
P O. Box 352	509(a)(2)	
Stillwater, MN 55082		
general operating support		
The Saint Paul Chamber Orchestra	501(c)(3)	$20,000
Third Floor	509(a)(1)	
The Hamm Building		
408 St. Peter Street		
St. Paul, MN 55102-1497		
general operating support		
Washington County Historical Society	501(c)(3)	$5,000
P.O. Box 167	509(a)(1)	
Stillwater, MN 55082-0167		
general operating support		
Total ACF\Civic (13 items)		**$163,000**
ACF\Education & Youth Development		
Big Brothers Big Sisters of the Greater Twin Cities	501(c)(3)	$6,000
2550 University Avenue West, Suite 410 North	509(a)(1)	
St. Paul, MN 55114		
general operating support in Washington County		
Boy Scouts of America, Chippewa Valley Council	501(c)(3)	$2,000
710 South Hastings Way	509(a)(1)	
Eau Claire, WI 54701	Group	
Empowered Leadership	Exemption #1761	
Boy Scouts of America, Northern Star Council	501(c)(3)	$50,000
393 Marshall Avenue	509(a)(1)	
St. Paul, MN 55102-1717	Group	
general operating support	exemption #1761	
Boy Scouts of America, Northern Star Council	501(c)(3)	$10,000
393 Marshall Avenue	509(a)(1)	
St. Paul, MN 55102-1717	Group	
Fred C. Andersen Scout Camp Trading Post renovations	exemption #1761	
Carpenter St. Croix Valley Nature Center	501(c)(3)	$17,000
12805 St. Croix Trail	Private	
Hastings, MN 55033	Operating	
general operating support	Foundation	

Andersen Corporate Foundation 990 Report by Program Area

Grants Paid December 1, 2012 - November 30, 2013
FYE 2012-13

Recipient and/or Purpose	Tax Status	Amount Paid FYE 2012-13
Como Zoo & Conservatory Society d/b/a Como Friends 1225 Estabrook Drive St. Paul, MN 55103 *general operating support*	501(c)(3) 509(a)(1)	$7,500
Conservation Corps Minnesota 60 Plato Blvd. E, Suite 210 Saint Paul, MN 55107 *Summer Youth Corps - SCV*	501(c)(3) 509(a)(2)	$5,000
Girl Scouts of Minnesota and Wisconsin River Valleys 400 South Robert Street St Paul, MN 55107 *Centennial Celebration project support*	501(c)(3) 509(a)(1)	$15,000
Girl Scouts of Minnesota and Wisconsin River Valleys 400 South Robert Street St. Paul, MN 55107 *Centennial Celebration project support*	501(c)(3) 509(a)(1)	$15,000
Girl Scouts of Minnesota and Wisconsin River Valleys 400 South Robert Street St. Paul, MN 55107 *general operating support*	501(c)(3) 509(a)(1)	$50,000
Great River Greening 35 West Water Street, Suite 201 St. Paul, MN 55107 *Environmental Restoration Projects/SCV*	501(c)(3) 509(a)(1)	$8,000
Hamline University Center for Global Environmental Education MS-A1760 1536 Hewitt Avenue St. Paul, MN 55104 *2014 St. Croix Valley Rivers Institute*	501(c)(3) 509(a)(1)	$20,000
Independent School District 834 1875 South Greeley Street Stillwater, MN 55082 *SAHS STEM Programs*	Municipality/ Government	$10,000
Iowa State University Foundation 2505 University Boulevard Ames, IA 50010-2230 *Science Bound*	501(c)(3) 509(a)(1)	$10,000
Junior Achievement of the Heartland, Inc. 800 12th Avenue Moline, IL 61265 *Thomas Jefferson Midde School program*	501(c)(3) 509(a)(1) Group Exemption #1116	$5,600
Junior Achievement of Wisconsin, Inc. - Northwest District Mailbox 10, Suite 204 505 Dewey Street South Eau Claire, WI 54701 *general operating support*	501(c)(3) 509(a)(1) Group Exemption #1116	$2,000

Andersen Corporate Foundation 990 Report by Program Area

Grants Paid December 1, 2012 - November 30, 2013
FYE 2012-13

Recipient and/or Purpose	Tax Status	Amount Paid FYE 2012-13
Junior Achievement of the Upper Midwest, Inc. 1800 White Bear Avenue North Maplewood, MN 55109 *JA BizTown and STEM Programming*	501(c)(3) 509(a)(1) Group Exemption #1116	$15,000
Kinship of Polk County, Inc. PO Box 68 Balsam Lake, WI 54810 *general operating support*	501(c)(3) 509(a)(1)	$5,000
Lakes Area Youth Service Bureau, Inc. 244 North Lake Street Forest Lake, MN 55025 *general operating support*	501(c)(3) 509(a)(1)	$4,500
Minnesota Council on Economic Education 1994 Buford Avenue St Paul, MN 55108 *general operating support*	501(c)(3) 509(a)(2)	$15,000
Minnesota Zoo Foundation 13000 Zoo Boulevard Apple Valley, MN 55124 *Zoomobile for SCV Schools*	501(c)(3) 509(a)(1)	$5,000
Dubuque County Historical Society 350 East 3rd Street Dubuque, IA 52001 *Turtles: Secrets of the Shell*	501(c)(3) 509(a)(2)	$5,000
New Richmond Area Centre 428 South Starr Avenue New Richmond, WI 54017 *YOUth and Families Initiative*	501(c)(3) 509(a)(2)	$6,000
North Branch School District 138 38705 Grand Ave. North Branch, MN 55056 *Project Lead the Way at North Branch Area High School*	Municipality/ Government	$10,000
Boy Scouts of America, Northeast Iowa Council PO Box 732 Dubuque, IA 52004 *general operating support/Dubuque, IA Chapter*	501(c)(3) 509(a)(1)	$2,000
The Partnership Plan for Stillwater Area Schools 1875 South Greeley Street Stillwater, MN 55082 *Fabrication Lab Phase II*	501(c)(3) 509(a)(1)	$20,000
Rutgers University Foundation 120 Albany St., Tower II, Suite 250 New Brunswick, NJ 08901 *NJ Governor's School of Engineering/Technology*	501(c)(3) 509(a)(1)	$10,000

Andersen Corporate Foundation 990 Report by Program Area

Grants Paid December 1, 2012 - November 30, 2013
FYE 2012-13

Recipient and/or Purpose	Tax Status	Amount Paid FYE 2012-13
St. Catherine University 2004 Randolph Avenue, F-12 St. Paul, MN 55105 *Elementary Education STEM Graduate Certificate Program*	501(c)(3) 509(a)(1) Group Exemption #0928	$52,000
St. Catherine University 2004 Randolph Avenue, F-12 St. Paul, MN 55105 *Elementary Education STEM Graduate Certificate Program*	501(c)(3) 509(a)(1) Group Exemption #0928	$50,000
St. Croix ArtBarn P.O. Box 37 1040 Oak Ridge Drive Osceola, WI 54020 *general operating support*	501(c)(3) 509(a)(1)	$5,000
School District of Menomonie Area Administrative Service Center 215 Pine Avenue NE Menomonie, WI 54751 *Project Lead the Way program*	Municipality/ Government	$5,000
School District of Somerset 639 Sunrise Drive Somerset, WI 54025 *Project Lead the Way*	Municipality/ Government	$10,000
Science Museum of Minnesota 120 West Kellogg Boulevard St. Paul, MN 55102 *Generation XYZ Initiative "Because Science is Essential" capital campaign*	501(c)(3) 509(a)(1)	$100,000
Science Museum of Minnesota 120 West Kellogg Boulevard St Paul, MN 55102 *Generation XYZ Initiative "Because Science is Essential" capital campaign*	501(c)(3) 509(a)(1)	$100,000
YMCA of Greater Twin Cities 2125 E. Hennepin Ave. Minneapolis, MN 55413 *YMCA Camp and Branch programs for St. Croix Valley and east metro areas*	501(c)(3) 509(a)(2)	$50,000
Young Life St. Croix Valley 1151 Parkwood Lane Stillwater, MN 55082 *general operating support*	501(c)(3) 509(a)(1)	$10,000
Youth Action Hudson, Inc. 901 4th Street, Suite 207 Hudson, WI 54016 *general operating support*	501(c)(3) 509(a)(1)	$7,000

Andersen Corporate Foundation 990 Report by Program Area

Grants Paid December 1, 2012 - November 30, 2013
FYE 2012-13

Recipient and/or Purpose	Tax Status	Amount Paid FYE 2012-13
Youth Service Bureau, Inc.	501(c)(3)	$5,000
Historic Courthouse	509(a)(1)	
101 West Pine Street		
Stillwater, MN 55082		
general operating support		
Total ACF\Education & Youth Development (38 items)		**$724,600**
ACF\Health & Safety		
American Diabetes Association, Inc.	501(c)(3)	$3,500
Minnesota Area	509(a)(1)	
5100 Gamble Drive, Suite 394		
St. Louis Park, MN 55416		
Camp Needlepoint/Daypoint		
American Red Cross of Central New Jersey	501(c)(3)	$20,000
707 Alexander Road, Suite 101	509(a)(1)	
Princeton, NJ 08540		
general operating support		
American Red Cross of Western Wisconsin	501(c)(3)	$12,000
3728 Spooner Avenue	509(a)(1)	
Altoona, WI 54720		
emergency preparedness and health education		
American Red Cross, St. Croix Valley Chapter	501(c)(3)	$60,000
White Pine Building	509(a)(1)	
342 Fifth Avenue North, Suite 150		
Bayport, MN 55003-1201		
general operating support for the St. Croix Valley Chapter		
Blue Ridge Hospice	501(c)(3)	$5,000
333 W. Cork Street, Suite 405	509(a)(1)	
Winchester, VA 22601		
Patient Care Fund		
Camp Albrecht Acres of the Midwest, Inc.	501(c)(3)	$10,000
18437 Sherrill Road	509(a)(1)	
Sherrill, IA 52073		
general operating support		
Canvas Health	501(c)(3)	$20,000
7066 Stillwater Boulevard N.	509(a)(1)	
Oakdale, MN 55128		
general operating support		
Children's Dental Services	501(c)(3)	$17,000
636 Broadway Street NE	509(a)(1)	
Minneapolis, MN 55413		
general operating support-Washington County		
Chippewa Valley Free Clinic	501(c)(3)	$5,000
836 Richard Drive	509(a)(1)	
Eau Claire, WI 54701		
general operating support		

Andersen Corporate Foundation 990 Report by Program Area

Grants Paid December 1, 2012 - November 30, 2013

FYE 2012-13

Recipient and/or Purpose	Tax Status	Amount Paid FYE 2012-13
Courage Kenny Foundation 3915 Golden Valley Road Golden Valley, MN 55422 *Courage Kenny St. Croix operating support*	501(c)(3) 509(a)(3) Type I	$45,000
Crescent Community Health Center 1778 Elm Street, Suite A Dubuque, IA 52001 *On-site Digital Radiology*	501(c)(3) 509(a)(1)	$10,000
Free Clinic of Pierce and St. Croix Counties, Inc. 1687 East Division Street PO Box 745 River Falls, WI 54022 *general operating support*	501(c)(3) 509(a)(1)	$5,000
Camps of Courage and Friendship 10509 108th Street NW Annandale, MN 55302 *Financial Assistance for St. Croix Valley Families and Transition costs*	501(c)(3) 509(a)(1)	$5,000
Gilda's Club Twin Cities 3400 West 66th Place, Suite 320 Edina, MN 55435-2073 *Clubhouse capital campaign*	501(c)(3) 509(a)(1)	$17,000
Gilda's Club Twin Cities 3400 West 66th Place, Suite 320 Edina, MN 55435-2073 *Clubhouse capital campaign*	501(c)(3) 509(a)(1)	$16,000
Hillcrest Family Services 2005 Asbury Road Dubuque, IA 52001 *School Based Mental Health Services*	501(c)(3) 509(a)(1)	$5,000
Lakeview Foundation 927 Churchill Street West Stillwater, MN 55082 *Parish Nursing Program ($17,000) Alice Anderson Scholarships ($15,000)*	501(c)(3) 509(a)(3) Type II	$32,000
Leukemia & Lymphoma Society of America, Inc. Minnesota Chapter 1711 Broadway St NE Minneapolis, MN 55413 *Patient Financial Aid program*	501(c)(3) 509(a)(1)	$3,500

Andersen Corporate Foundation 990 Report by Program Area

Grants Paid December 1, 2012 - November 30, 2013
FYE 2012-13

Recipient and/or Purpose	Tax Status	Amount Paid FYE 2012-13
Minnesota Safety Council 474 Concordia Avenue Saint Paul, MN 55103-2430 *Building Expansion Capital*	501(c)(3)	$25,000
Page County Sheriff's Office Crime Prevention Division 108 S Court Street Luray, VA 22835 *Crime Prevention Educational Outreach*	Municipality/ Government Board of Supervisors of Page County	$3,000
Page Memorial Hospital 200 Memorial Drive Luray, VA 22835 *Come Grow With Us! Capital Campaign*	501(c)(3) 509(a)(1)	$35,000
Regions Hospital Foundation 640 Jackson Street St. Paul, MN 55101-2595 *Campaign to Transform Mental Health Care*	501(c)(3) 509(a)(1)	$50,000
Regions Hospital Foundation 640 Jackson Street St. Paul, MN 55101-2595 *unsolicited support for Campaign to Transform Mental Health*	501(c)(3) 509(a)(1)	$55,000
Sight & Hearing Association 1246 University Avenue W, Suite 226 St. Paul, MN 55104-4125 *Preschool Screening program*	501(c)(3) 509(a)(1)	$7,500
Southside Community Health Services 4243 4th Avenue South Minneapolis, MN 55409-2113 *St Croix Family Medical Clinic*	501(c)(3) 509(a)(2)	$15,000
Special Olympics Minnesota 100 Washington Avenue South, Suite 550 Minneapolis, MN 55401 *St. Croix Valley Lumberjacks and Young Athletes*	501(c)(3) 509(a)(2)	$10,000
St. Croix Therapy, Inc. 742 Sterbenz Drive Hudson, WI 54016 *therapy-related equipment*	501(c)(3) 509(a)(2) Formerly Avanti Center, Inc.	$7,500
Total ACF\Health & Safety (27 items)		**$499,000**

ACF\Human Services

Recipient and/or Purpose	Tax Status	Amount Paid FYE 2012-13
180 Turning Lives Around, Inc. 1 Bethany Road, Building 3, Suite 42 Hazlet, NJ 07730 *2nd Floor Youth Helpline Middlesex County*	501(c)(3) 509(a)(1)	$7,000

Andersen Corporate Foundation 990 Report by Program Area

Grants Paid December 1, 2012 - November 30, 2013
FYE 2012-13

Recipient and/or Purpose	Tax Status	Amount Paid FYE 2012-13
American Red Cross, St. Croix Valley Chapter White Pine Building 342 Fifth Avenue North, Suite 150 Bayport, MN 55003-1201 *Unsolicited disaster relief for Oklahoma tornado*	501(c)(3) 509(a)(1)	$25,000
American Red Cross, St. Croix Valley Chapter White Pine Building 342 Fifth Avenue North, Suite 150 Bayport, MN 55003-1201 *for International Services*	501(c)(3) 509(a)(1)	$25,000
Amery Area Senior Citizens, Inc. 608 Harriman Avenue South Amery, WI 54001 *general operating support*	501(c)(3) 509(a)(1)	$3,000
Anshe Emeth Community Development Corporation 222 Livingston Avenue New Brunswick, NJ 08901 *general operating support*	501(c)(3) 509(a)(1)	$20,000
Arc Greater Twin Cities 2446 University Avenue West, Suite 110 St. Paul, MN 55114-1740 *general operating support*	501(c)(3) 509(a)(2)	$6,500
The Arc of Dunn County, Inc. 2602 Hils Court Menomonie, WI 54751 *general operating support*	501(c)(3) 509(a)(1) Group Exemption #1843	$2,000
Basic Needs Inc. of South Washington County d/b/a Stone Soup Thrift Shop 950 3rd Street, Suite 101 St. Paul Park, MN 55071 *unsolicited basic needs support*	501(c)(3) 509(a)(1)	$2,000
Basic Needs Inc. of South Washington County d/b/a Stone Soup Thrift Shop 950 3rd Street, Suite 101 St. Paul Park, MN 55071 *general operating support*	501(c)(3) 509(a)(1)	$7,500
Bidwell Riverside Center 1203 Hartford Ave. Des Moines, IA 50315 *unsolicited basic needs support*	501(c)(3) 509(a)(1)	$2,500
Bolton Refuge House, Inc. 807 S. Farwell Street PO Box 482 Eau Claire, WI 54702 *general operating support*	501(c)(3) 509(a)(1)	$3,500

Andersen Corporate Foundation 990 Report by Program Area

Grants Paid December 1, 2012 - November 30, 2013
FYE 2012-13

Recipient and/or Purpose	Tax Status	Amount Paid FYE 2012-13
The Bridge to Hope, Inc.	501(c)(3)	$3,500
P O. Box 700	509(a)(1)	
Menomonie, WI 54751		
general operating support		
Children and Families of Iowa	501(c)(3)	$10,000
1111 University Avenue	509(a)(1)	
Des Moines, IA 50314		
Teens in Transition		
Christian Food Cupboard of Hudson	501(c)(3)	$5,000
St. Patrick's Catholic Church	509(a)(1)	
1500 Vine Street		
Hudson, WI 54016		
unsolicited basic needs support		
Community Referral Agency, Inc.	501(c)(3)	$5,000
P.O. Box 365	509(a)(1)	
Milltown, WI 54858		
general operating support		
Community Thread	501(c)(3)	$31,000
2300 Orleans Street West	509(a)(1)	
Stillwater, MN 55082		
general operating support and the Bayport Senior Center		
CLUES	501(c)(3)	$10,000
797 East Seventh Street	509(a)(1)	
St. Paul, MN 55106		
general operating support		
Concerned Citizens Against Violence Against Women, Inc.	501(c)(3)	$5,000
330 Barks Road West	509(a)(1)	
PO Box 875		
Marion, OH 43301-0875		
general operating support		
Council on Domestic Violence for Page County, Inc.	501(c)(3)	$7,500
216 West Main Street	509(a)(1)	
Luray, VA 22835		
general operating support		
Dubuque Food Pantry	501(c)(3)	$5,000
1598 Jackson Street	509(a)(1)	
Dubuque, IA 52004		
unsolicited basic needs support		
DuRide	501(c)(3)	$7,000
2728 Ashbury Road	509(a)(1)	
Dubuque, IA 52001		
general operating support		
Elijah's Promise	501(c)(3)	$6,000
211 Livingston Avenue	509(a)(1)	
New Brunswick, NJ 08901		
unsolicited basic needs support		

Andersen Corporate Foundation 990 Report by Program Area

Grants Paid December 1, 2012 - November 30, 2013
FYE 2012-13

Recipient and/or Purpose	Tax Status	Amount Paid FYE 2012-13
Family Pathways	501(c)(3)	$7,500
1575 First Avenue East	509(a)(1)	
PO Box 272		
Cambridge, MN 55008-0272		
Senior Services program		
Family Resource Center St. Croix Valley	501(c)(3)	$4,000
857 Main Street	509(a)(1)	
P. O. Box 2087		
Baldwin, WI 54002		
general operating support		
FamilyMeans	501(c)(3)	$45,000
1875 Northwestern Avenue South	509(a)(1)	
Stillwater, MN 55082		
general operating support		
Food Bank of Iowa	501(c)(3)	$2,500
2220 E. 17th Street	509(a)(1)	
Des Moines, IA 50316		
unsolicited basic needs support		
Friends in Need Food Shelf	501(c)(3)	$5,000
PO Box 6	509(a)(1)	
Cottage Grove, MN 55016		
unsolicited basic needs support		
The Salvation Army/Grace Place	501(c)(3)	$5,000
203 Church Hill Rd	509(a)(1)	
Somerset, WI 54025		
unsolicited basic needs support		
HOPE Adoption and Family Services International, Inc.	501(c)(3)	$5,000
5850 Omaha Avenue N.	509(a)(2)	
Oak Park Heights, MN 55082		
general operating support		
Hope Ministries	501(c)(3)	$10,000
PO Box 862	509(a)(1)	
Des Moines, IA 50304		
Meals for Hope		
Interfaith Caregivers of Polk County, Inc.	501(c)(3)	$5,000
PO Box 426	509(a)(1)	
Balsam Lake, WI 54810		
general operating support		
International Rescue Committee	501(c)(3)	$20,000
263 West 38th Street, 6th Floor	509(a)(1)	
New York, NY 10018		
Core Resettlement Programs		

Andersen Corporate Foundation 990 Report by Program Area

Grants Paid December 1, 2012 - November 30, 2013

FYE 2012-13

Recipient and/or Purpose	Tax Status	Amount Paid FYE 2012-13
Lifeworks Services, Inc.	501(c)(3)	$5,000
2965 Lone Oak Drive	509(a)(1)	
Suite 160		
Eagan, MN 55121		
general operating support for Washington County		
Love INC of Marion County Ohio	501(c)(3)	$5,000
PO Box 996	509(a)(1)	
Marion, OH 43302		
general operating support		
Merrick, Inc.	501(c)(3)	$10,000
3210 Labore Road	509(a)(1)	
Vadnais Heights, MN 55110		
Adult Day Services/Memory Care ($10k) and baler for recycling program ($5k)		
Midwest Special Services	501(c)(3)	$10,000
900 Ocean Street	509(a)(1)	
St. Paul, MN 55106		
Washington County Creative Options Center		
Neighborhood House	501(c)(3)	$15,000
179 Robie Street East	509(a)(1)	
St. Paul, MN 55107-2360		
general operating support		
Neighborhood House	501(c)(3)	$5,000
179 Robie Street East	509(a)(1)	
St. Paul, MN 55107-2360		
unsolicited basic needs support		
New Brunswick Tomorrow	501(c)(3)	$10,000
390 George Street, 2nd Floor	509(a)(1)	
New Brunswick, NJ 08901		
Parent/Infant Care Center (PIC-C)		
Opening Doors	501(c)(3)	$10,000
1561 Jackson Street	509(a)(1)	
Dubuque, IA 52001	Group	
general operating support	Exemption #0928	
Operation H.E.L.P. Inc.	501(c)(3)	$5,000
PO Box 1134	509(a)(1)	
Hudson, WI 54016		
unsolicited basic needs support for emergency funds		
Operation H.E.L.P. Inc.	501(c)(3)	$8,000
PO Box 1134	509(a)(1)	
Hudson, WI 54016		
general operating support		
Page One of Page County, Inc.	501(c)(3)	$5,000
42 West Main Street	509(a)(1)	
Luray, VA 22835		
unsolicited basic needs support		

Andersen Corporate Foundation 990 Report by Program Area

Grants Paid December 1, 2012 - November 30, 2013
FYE 2012-13

Recipient and/or Purpose	Tax Status	Amount Paid FYE 2012-13
Page One of Page County, Inc. 42 West Main Street Luray, VA 22835 *Family Assistance program*	501(c)(3) 509(a)(1)	$17,000
Riverview Center 2600 Dodge Street Dubuque, IA 52003 *general operating support*	501(c)(3) 509(a)(1)	$5,000
St. Croix Valley SART 1343 North Main Street River Falls, WI 54022 *general operating support*	501(c)(3) 509(a)(1)	$5,000
St. Paul's Episcopal Church 197 East Center Street Marion, OH 43302 *unsolicited basic needs support*	Religious	$4,000
St. Paul's Episcopal Church 197 East Center Street Marion, OH 43302 *St. Paul's Community Food Pantry*	Religious	$5,000
Second Harvest Heartland 1140 Gervais Avenue St. Paul, MN 55109 *general operating support*	501(c)(3) 509(a)(2)	$10,000
Second Harvest Heartland 1140 Gervais Avenue St. Paul, MN 55109 *unsolicited basic needs support*	501(c)(3) 509(a)(2)	$10,000
Stepping Stones of Dunn County 1602 Stout Road Menomonie, WI 54751 *unsolicited basic needs support*	501(c)(3) 509(a)(1)	$5,000
Stepping Stones of Dunn County 1602 Stout Road Menomonie, WI 54751 *general operating support*	501(c)(3) 509(a)(1)	$12,000
Store To Door 1935 West County Road B2, Suite 250 Roseville, MN 55113 *general operating support-Washington Co.*	501(c)(3) 509(a)(2)	$7,000
Think Small 10 Yorkton Court St. Paul, MN 55117-1065 *general operating support*	501(c)(3) 509(a)(1)	$5,000

Andersen Corporate Foundation 990 Report by Program Area

Grants Paid December 1, 2012 - November 30, 2013

FYE 2012-13

Recipient and/or Purpose	Tax Status	Amount Paid FYE 2012-13
Tubman 3111 First Avenue South Minneapolis, MN 55408 *general operating support*	501(c)(3) 509(a)(1)	$7,000
Turningpoint for Victims of Domestic and Sexual Violence, Inc. P.O. Box 304 River Falls, WI 54022 *general operating support*	501(c)(3) 509(a)(1)	$7,000
Valley Outreach 1911 Curve Crest Boulevard West Stillwater, MN 55082 *general operating support*	501(c)(3) 509(a)(1)	$15,000
Valley Outreach 1911 Curve Crest Boulevard West Stillwater, MN 55082 *unsolicited food shelf support*	501(c)(3) 509(a)(1)	$5,000
WesleyLife 5508 NW 88th Street Johnston, IA 50131 *Meals on Wheels*	501(c)(3) 509(a)(3) Type I	$5,000
West Central Wisconsin Community Action Agency, Inc. 525 Second Street PO Box 308 Glenwood City, WI 54013 *general operating support-Dunn Co.*	501(c)(3) 509(a)(1)	$10,000
Amherst H. Wilder Foundation 451 Lexington Parkway North St. Paul, MN 55104 *Adult Day Health Services*	501(c)(3) 509(a)(1)	$20,000
Total ACF\Human Services (61 items)		**$550,000**
Grand Totals (150 items)		**$2,200,600**

Andersen Corporate Foundation
FEIN: 41-6020912
FYE: November 30, 2013

Form 990, Part XV, Line 3b - Grants and Contributions Approved for Future Payment

Organization and/or Purpose	Tax Status	Grant Amount
ACF\Education & Youth Development		
Minnesota Children's Museum	501(c)(3)	$66,000
10 West Seventh Street	509(a)(1)	
St. Paul, MN 55102		
Campaign for Musuem Renovation & Expansion		
Page Memorial Hospital	501(c)(3)	$65,000
200 Memorial Drive	509(a)(1)	
Luray, VA 22835		
Come Grow With Us! Capital Campaign		
ACF\Health & Safety		
Regions Hospital Foundation	501(c)(3)	$100,000
640 Jackson St	509(a)(1)	
Saint Paul , MN 55101		
Campaign to Transform Mental Health Care		
Grand Total (3 items)		$231,000

Andersen® CORPORATE FOUNDATION

Throughout Andersen's 100 plus-year history, philanthropy and community support have been a priority.

The legacy continues today through the work of Andersen Corporation's giving categories.

- Andersen Corporate Giving
- Andersen Corporate Foundation

Note: Our address has changed to include the suite number. Please update your database(s) and send all future correspondence to the complete address:

Andersen Corporate Foundation
White Pine Building
342 Fifth Avenue North, Suite 200
Bayport, MN 55003-4502

Mission

To better people's lives and strengthen communities, focusing primarily where Andersen employees live and work.

How To Apply

We recommend you review all of our materials prior to submitting an application.

Questions? Contact Chloette Haley, Program Officer

(651) 275-4450

E-mail: andersencorpfdn@srinc.biz

Forms:

Andersen Corporate Foundation Application Cover Form and Checklist (DOC)

Andersen Corporate Foundation Application Cover Form and Checklist (PDF)

Deadlines and Meeting Dates

HEADQUARTERS DEADLINES AND FOUNDATION MEETING DATES

- Deadlines for submission of headquarter proposals are October 15, February 15 and June 15. When deadlines fall on a weekend or holiday, the deadline will be the following working day.
- Proposals must be received in the Foundation's office (not postmarked) on or before the deadline date. Hand delivered applications must be received in the Foundation's office by 5:00 p.m. on the day of the deadline. No faxed or emailed applications (except by invitation only) please.
- Board meeting dates for request consideration: March, July and November respectively.

NON-HEADQUARTERS DEADLINES AND FOUNDATION MEETING DATES

- Deadlines for submission of non-headquarter proposals are October 15 and February 15. When deadlines fall on a weekend or holiday, the deadline will be the following working day.
- Proposals must be received in the Andersen Enterprise office (not postmarked) on or before the deadline date. Hand delivered applications must be received in the Andersen Enterprises' office by 5:00 p.m. on the day of the deadline. No faxed or emailed applications (except by invitation only) please.
- Board meeting dates for request consideration: March and July respectively.

History

Andersen Corporation's legacy of supporting the community dates back to the company's start in 1903. In the early years, founders Hans and Sadie Andersen and later their children Molly, Herbert and Fred were active in the community, participation in the growth and success of the communities where they lived and worked. Always modest about their generosity, they often gave gifts anonymously.

Andersen Corporation business leaders founded The Bayport Foundation, now known as Andersen Corporate Foundation, as a private Minnesota nonprofit corporation in 1941, to help better the St. Croix Valley community where Andersen Corporation is headquartered. The corporation has provided funding to the Foundation throughout its history.

Today, Andersen Corporation is the world's largest manufacturer of windows, patio doors and storm doors. With more than 11,000 employees in North America, the Andersen Corporation includes the following businesses:

- Andersen Windows, Inc.

- Andersen Corporation, Dubuque Plant
- Andersen Corporation, Storm Door Divisions
- KML Windows
- Renewal by Andersen
- Silver Line by Andersen

Funding Priorities

General operating, capital and program funding is allocated to qualified nonprofit organizations that provide community, social and support services to better people's lives and strengthen communities, focusing primarily where Andersen employees live and work.

Geographic priorities for funding in the Headquarters area include primarily, Washington County, Minnesota and portions of Western Wisconsin. Secondarily, the East Metro area of St. Paul.

Andersen Corporate Foundation has expanded its giving to the many communities where Andersen has facilities and employees, including Menomonie, Wisconsin; Des Moines, Iowa; Dubuque, Iowa; Page County, Virginia; Marion, Ohio and North Brunswick, New Jersey. Requests for funding are submitted directly to the Regional Foundation Committees at the local Andersen Enterprise.

Non-Headquarter Andersen Enterprise Committees

These committees serve as an important part of the Foundation's commitment to communities in which Andersen employees live and work. Members are made up of Andersen employees from each community.

Organizations submit requests for funding directly to their local Andersen enterprise. Committee members are the first point of contact for regional requests. It is also the Committee's responsibility to make grant recommendations to the Andersen Corporate Foundation Board.

Non-Headquarter requests follow the same application process as those from the Headquarters region and must meet the same criteria and deadlines.

Program Focus Areas Descriptions

1. AFFORDABLE HOUSING:

Andersen Corporate Foundation supports organizations that provide affordable housing to low-income families.

Focus Area Description:

- Sustainable housing that does not convert to market-rate housing
- Owner-occupied/rental homes, apartments and assisted living
- Support services for emergency shelter and short term transitional housing

Funding: General operating preferred; projects and capital considered

2. HEALTH AND SAFETY:

Andersen Corporate Foundation supports organizations that promote safe and healthy environments, as well as organizations that seek to improve health through prevention and education programs, primarily for young people, senior citizens, and people in vulnerable situations.

Focus Area Description:

- Prevention/Outreach programs
- Programs and services that promote mental health, wellness, and safety
- Facilities and equipment
- Emergency preparedness
- Recreational programs for people with special needs

Funding: General operating preferred; projects and capital considered

3. EDUCATION AND YOUTH DEVELOPMENT:

Andersen Corporate Foundation supports organizations that offer intellectual and social opportunities, primarily for young people K-12.

Focus Area Description:

- Programs for public and private schools that are not funded in the general operating budget, and a responsible, independent group oversees allocation of the funds
- Programs that focus on science, technology, engineering and mathematics.
- Preservation of environmental quality
- After school programs, drug prevention, career planning

Funding: General operating preferred; projects and capital considered

4. HUMAN SERVICES:

Andersen Corporate Foundation contributes to organizations that enhance self-sufficiency for people living in poverty, senior citizens, and people with disabilities.

Focus Area Description:

- Basic needs of daily life, including food and transportation
- Skill development for people with disabilities and people living in poverty
- Services that help senior citizens maintain their independence
- New immigrant services
- National organizations providing emergency recovery services for communities suffering from natural disasters

Funding: General operating preferred; projects and capital considered

5. CIVIC SUPPORT:

Andersen Corporate Foundation provides support that builds, promotes, and preserves communities.

Focus Area Description:

- Community assets such as public radio or public television, and museums.
- Cultural organizations (performing arts, visual arts, and music) that make a significant contribution to the life of the community

Funding: Capital, projects, and general operating

Requirements

All grant recipients must meet these giving guidelines

Mission-consistent: the organization's programs and services are consistent with Andersen Corporate Foundation's mission and values

Program focus: the organization's purpose and programs fit within Andersen Corporate Foundation's defined program focus areas

Fiscally sound: the organization can demonstrate sound fiscal management and effective delivery of services

Registered nonprofit: nonprofit corporation is registered under section 501(c)(3) of the IRS Code in the United States or for Canadian Charities with the Canadian Revenue Agency

Preferences

Special consideration will be given to grant recipients in these situations:

Long-term presence: the community, or the surrounding area, is one where Andersen Corporation plans to have a long-term physical presence

Employee concentration: there is a concentration of employees who live or work in the community that is served by the organization

Active employees: Andersen employees are actively involved (sharing their time, talent, and/or financial contributions) with the organization applying for the grant

Prevention: prevention programs are preferred

Types of Funding

Operating grants: generally, other than for civic support, operating grants range from $2,000 to $20,000, or do not exceed 1% of the operating budget

Capital gifts: Andersen Corporate Foundation does not make lead gifts, and is generally one of many funders on a capital project

Duplicative services: Andersen Corporate Foundation avoids making grants to organizations that are in competition with each other to provide the same service to the community

Endowments: Andersen Corporate Foundation generally does not support endowments

Individuals: grants are not made to individuals

Research: funding is not granted to national research organizations

Andersen products: according to IRS rules, a request cannot specifically state that it is intended to be used for Andersen products

Links and IRS 990 PF Forms

Andersen Related Philanthropy Brochure A Legacy of Giving; Andersen-Related Foundations.

IRS 990 Form For Fiscal Year Ending 11/30/2011

IRS 990 Form For Fiscal Year Ending 11/30/2010

IRS 990 Form For Fiscal Year Ending 11/30/2009

Andersen. CORPORATE FOUNDATION

Application Form

Date

ORGANIZATION NAME (legal) | a/k/a

Address | City, State, Zip | Employer Identification Number (EIN)

Phone | Fax | Web site

Name of top paid staff | Title | Phone | E-mail

Name of contact person regarding this application | Title | Phone | E-mail

BRIEF ORGANIZATION DESCRIPTION:

If your nonprofit has completed an Accountability Wizard review through the Charities Review Council (www.smartgivers.org), please list the date of your final report ______________.

TYPE OF SUPPORT:

☐ General Operating Support ☐ Project/Program* ☐ Capital ☐ Other ______________

***PROJECT NAME (for Project/Program requests only):**

FINANCIAL INFORMATION:

Amount Requested:

Total Organizational Budget

Project/Program Budget

Fiscal Year or Project/Program Duration:
Start Date: End Date:

BRIEF SUMMARY OF REQUEST:

PRIMARY FOUNDATION PROGRAM FOCUS AREA:

☐ Affordable Housing ☐ Health & Safety ☐ Educ. & Youth Dev. ☐ Human Services ☐ Civic

GEOGRAPHIC AREA SERVED BY REQUEST:

☐ Headquarters (Washington County, East Metro Area, or Western Wisconsin) List County ________________

☐ Dunn County, WI ☐ Des Moines, IA ☐ Dubuque, IA ☐ Luray, VA ☐ North Brunswick, NJ ☐ Marion, OH

DESCRIPTION OF THE POPULATION SERVED BY REQUEST:

Name and Title of top paid staff and/or Board Chair: ______________________________

Signature: ______________________________

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2013 Application Checklist

SUBMIT ONE UNBOUND COPY OF THE FOLLOWING IN THIS ORDER:

____ The request cover form. The request cover form and Foundation guidelines are available at our website www srinc.biz/bp/forms.html.
____ This checklist.
____ A cover letter signed by the top administrator of your organization including a specific monetary request amount.

ORGANIZATIONAL INFORMATION

____ Brief history of your organization and a description of its mission.
____ Current programs, activities, service statistics, strengths and accomplishments.
____ Your organization's relationship with similar organizations.
____ Number of board members, full-time paid staff, part-time paid staff, and volunteers.

PURPOSE OF GRANT

____ Situation, specific activities including geographic area served, timeframe and impact of activities
____ Long term funding strategies.

ATTACHMENTS

Financial

____ Organizational budget including revenue sources and expenses.
____ Project budget including revenue sources and expenses (not required for general operating requests).
____ Recent year-to-date financial statements (balance sheet or statement of activities and functional expenses).
____ Complete copy of your most recent Internal Revenue Service Form 990 **or** audit
____ Corporations and foundations solicited for funding including the amount(s) requested from each.

Other Supporting Materials

____ If you received past support, please indicate how those funds were utilized (including service statistics).
____ List of the Board of Directors and their affiliations
____ If your organization is designated 509(a)(3), legal documentation of the "Type" listed on your IRS 990 form, question #13 in Part IV, "Reason for Non-Private Foundation Status".
____ Description of any future plans your organization has for a capital or endowment campaign.
____ A list (or number) of Andersen Corporation employees involved in your project or organization.

IF THIS REQUEST IS FOR CAPITAL SUPPORT

____ Include projected operating budgets itemizing projected increases and list revenues that will generate the additional income.
____ Include a detailed fundraising plan.
____ Specify if your capital budget is an estimate or based on firm bids.
____ Indicate if land or a building has been purchased and by what entity, if construction has begun or when it is intended to begin, and if there are any zoning or environmental issues that need to be addressed.
____ Include a timeline illustrating the intended progression of the total project.

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2013 Application Submission Information

ABOUT REGIONAL FUNDING
General operating, capital and program funding is allocated to qualified nonprofit organizations that provide community, social and support services to **better people's lives and strengthen communities, focusing primarily where Andersen employees live and work.**

Geographic priorities for funding in the Headquarters area include primarily Washington County, Minnesota and portions of Western Wisconsin. Secondarily, the East Metro area of St. Paul.

Along with communities where Andersen has facilities and employees, including Menomonie, Wisconsin, Des Moines, Iowa; Dubuque, Iowa, Page County, Virginia, Marion, Ohio and North Brunswick, New Jersey. Requests for funding are submitted directly to the Regional Foundation Committees at the local Andersen Enterprise.

Regional Foundation Committees serve at Andersen Enterprises outside of the Corporate Headquarters to proactively identify local opportunities and make grant recommendations to the Andersen Corporate Foundation for support. Committee members serve as an important part of the Foundation's commitment to communities in which Andersen employees live and work. Members are made up of Andersen employees from each community.

Organizations submit requests for funding directly to their local Andersen enterprise. Committee members are the first point of contact for regional requests. It is also the Committee's responsibility to make grant recommendations to the Andersen Corporate Foundation Board.

Regional requests use the same forms and follow the same application process as those from the Headquarters region and must meet the same criteria and deadlines.

SEND YOUR COMPLETED PROPOSAL TO YOUR LOCAL ANDERSEN ENTERPRISE

Headquarters
Chloette Haley, 342 Fifth Avenue North, Suite 200, Bayport, MN 55003, 651-275-4450, alternate 651-264-7846

Andersen Menomonie Plant, *Dunn County, WI*
Kati Diaz, Andersen Plant, 201 Lookout Road, Menomonie, WI 54751 / 651-264-7179

EMCO Enterprises, Inc., *Des Moines, IA*
Brenda Lowden-Pearson, EMCO Enterprises, Inc., 2121 East Walnut Street, Des Moines, Iowa 50317 / 515-299-8820

Eagle Window and Door, *Dubuque, IA*
Ellen Neese, Eagle Window and Door, 2045 Kerper Boulevard Dubuque, IA 52001 / 563-556-2270 ext. 233

EMCO Enterprises, Inc., *Luray, VA*
Katie Montgomery, EMCO Enterprises, Inc., 31 Stony Brook Lane, Luray, VA 22835 / 540-843-7935

Silver Line Building Products, *North Brunswick, NJ and Marion, OH*
Aastha Manchanda, Silver Line Building Products, 1 Silver Line Drive, North Brunswick, NJ 08902-3397 / 732-435-1000

DEADLINES AND FOUNDATION MEETING DATES
- **Deadlines** for submission of proposals are April 15, July 15, October 15, and December 15. When deadlines fall on a weekend or holiday, the deadline will be the following working day.
- **Proposals must be received** in the Foundation's office **(not postmarked)** on or before the deadline date. Hand delivered applications must be received in the Foundation's office by 5 00 p.m. on the day of the deadline. No faxed or emailed applications (except by invitation only) please.
- **Board meeting dates** for request consideration July, November, January, and April respectively.

QUESTIONS? CONTACT
Chloette Haley
651-275-4450, alternate 651-264-7846
andersencorpfdn@srinc.biz

ANDERSEN CORPORATE FOUNDATION
FEIN: 41-6020912
FOR TAX YEAR ENDED: NOVEMBER 30, 2013

Statement Filed Pursuant to Treas. Reg. Section 1.6038B-1(c) & Temp. Reg. Section 1.6038B-1T(c)

1) Transferor:
Name: Andersen Corporate Foundation
EIN: 41-6020912
Address: 342 Fifth Avenue North, Suite 200
Bayport, MN 55003

2) Transfer:
(i) Transferee foreign corporation

Name. Permal Fixed Income Holdings (Erisa) Ltd
EIN: FOREIGNUS
Address: Craigmuir Chambers, PO Box 71
Road Town, Tortola
British Virgin Islands

Country of Incorporation: British Virgin Islands

(ii) Description of transfer:

Andersen Corporate Foundation transferred the following property to Permal Fixed Income Holdings (Erisa) Ltd

Date	Property Transferred	Property Received
05/1/2013	Cash of $1,000,000	Ordinary Shares

3) Consideration Received: See Above

4) Property Transferred:

(i)	Active Business Property:	Not Applicable
(ii)	Stock and Securities:	Not Applicable
(iii)	Depreciated Property:	Not Applicable
(iv)	Property to be Leased:	Not Applicable
(v)	Property to be Sold:	Not Applicable
(vi)	Transfers to FSC's:	Not Applicable
(vii)	Tainted Property:	Not Applicable
(viii)	Foreign Loss Branch:	Not Applicable
(ix)	Other Intangibles:	Not Applicable

5) Transfer of Foreign Branch with Previously Deducted Losses: Not Applicable

6) Asset transferred in an exchange described in I.R.C. Section 361(a) or (b): Not Applicable