Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 12/01 **, 2012, and ending** 11/30, **20** 13

Name of foundation: SUSAN COOK HOUSE - EDUCATIONAL TRUST R67817008

A Employer identification number: 37-6087675

Number and street (or P O box number if mail is not delivered to street address): 10 S DEARBORN IL1-0117

Room/suite:

B Telephone number (see instructions): 866- 888-5157

City or town, state, and ZIP code: CHICAGO, IL 60603

C If exemption application is pending, check here ▶ [ ]

D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 4,505,735.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) ______________ *(Part I, column (d) must be on cash basis.)*

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received (attach schedule)				
	2 Check ▶ [X] if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	52,084.	52,084.		STMT 1
	5a Gross rents	79,394.	79,394.		
	b Net rental income or (loss) 79,394.				
	6a Net gain or (loss) from sale of assets not on line 10	114,702.			
	b Gross sales price for all assets on line 6a 266,080.				
	7 Capital gain net income (from Part IV, line 2)		114,702.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	2,221,625.	2,221,625.		STMT 2
	12 **Total** Add lines 1 through 11	2,467,805.	2,467,805.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	24,980.	18,735.		6,245.
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule) STMT 3				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) STMT 4	9,021.	9,021.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 5	2,015.	2,015.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings		NONE	NONE	
	22 Printing and publications		NONE	NONE	
	23 Other expenses (attach schedule) STMT 6	16,400.	14,923.		1,477.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	52,416.	44,694.	NONE	7,722.
	25 Contributions, gifts, grants paid	255,066.			255,066.
	26 **Total expenses and disbursements** Add lines 24 and 25	307,482.	44,694.	NONE	262,788.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	2,160,323.			
	b **Net investment income** (if negative, enter -0-)		2,423,111.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1 Cash - non-interest-bearing			
2 Savings and temporary cash investments	190,278.	435,403.	435,403.
3 Accounts receivable ▶			
Less allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7 Other notes and loans receivable (attach schedule) ▶			
Less allowance for doubtful accounts ▶ NONE			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10 a Investments - U S and state government obligations (attach schedule)			
b Investments - corporate stock (attach schedule)	885,739.	1,965,951.	2,337,028.
c Investments - corporate bonds (attach schedule)	537,101.	1,007,045.	1,009,517.
11 Investments - land, buildings, and equipment basis ▶ 1.			
Less accumulated depreciation (attach schedule) ▶	164,593.	1.	13,333.
12 Investments - mortgage loans			
13 Investments - other (attach schedule)	271,887.	709,640.	710,454.
14 Land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	2,049,598.	4,118,040.	4,505,735.
Liabilities 17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances **Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
27 Capital stock, trust principal, or current funds	2,049,598.	4,118,040.	
28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 **Total net assets or fund balances** (see instructions)	2,049,598.	4,118,040.	
31 **Total liabilities and net assets/fund balances** (see instructions)	2,049,598.	4,118,040.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,049,598.
2 Enter amount from Part I, line 27a	2	2,160,323.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	1,683.
4 Add lines 1, 2, and 3	4	4,211,604.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	93,564.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,118,040.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	266,078.		243,462.	22,616.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				22,616.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	22,618.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	225,734.	3,757,190.	0.060081
2010	183,833.	4,724,237.	0.038913
2009	160,593.	3,843,122.	0.041787
2008	157,960.	3,210,858.	0.049196
2007	171,330.	3,228,341.	0.053071

2	**Total** of line 1, column (d)	2	0.243048
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.048610
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	4,249,421.
5	Multiply line 4 by line 3	5	206,564.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	24,231.
7	Add lines 5 and 6	7	230,795.
8	Enter qualifying distributions from Part XII, line 4	8	262,788.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1 . . . Date of ruling or determination letter ________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	24,231.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	24,231.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	24,231.
6	Credits/Payments.		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 8,679.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 28,440.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	37,119.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	12,888.
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 12,888. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		X

Website address ▶ n/a

14 The books are in care of ▶ SEE STATEMENT 9 Telephone no ▶

Located at ▶ ZIP+4 ▶

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

					Yes	No
1a	During the year did the foundation (either directly or indirectly)					
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes	☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?			1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐					
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?			1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):					
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes	☒ No			
	If "Yes," list the years ▶					
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)			2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶					
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*			3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?			4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?			4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** — No: X

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** — No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JPMorgan Chase Bank, NA 10 S Dearborn St. Fl 21, Chicago, IL 60603	trustee 4	34,001.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services . . . ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	3,606,769.
b	Average of monthly cash balances	1b	707,364.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	4,314,133.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	4,314,133.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	64,712.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,249,421.
6	**Minimum investment return.** Enter 5% of line 5	6	212,471.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	212,471.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	24,231.		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	24,231.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	188,240.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	188,240.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	188,240.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	262,788.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	262,788.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	24,231.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	238,557.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7				188,240.
2	Undistributed income, if any, as of the end of 2012				
a	Enter amount for 2011 only			181,136.	
b	Total for prior years 20 10 , 20____, 20____		NONE		
3	Excess distributions carryover, if any, to 2012.				
a	From 2007 — NONE				
b	From 2008 — NONE				
c	From 2009 — NONE				
d	From 2010 — NONE				
e	From 2011 — NONE				
f	**Total** of lines 3a through e	NONE			
4	Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 262,788.				
a	Applied to 2011, but not more than line 2a			181,136.	
b	Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c	Treated as distributions out of corpus (Election required - see instructions)	NONE			
d	Applied to 2012 distributable amount				81,652.
e	Remaining amount distributed out of corpus	NONE			
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b	Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d	Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e	Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f	Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				106,588.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	NONE			
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	NONE			
10	Analysis of line 9				
a	Excess from 2008 — NONE				
b	Excess from 2009 — NONE				
c	Excess from 2010 — NONE				
d	Excess from 2011 — NONE				
e	Excess from 2012 — NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

SEE STATEMENT 10

b The form in which applications should be submitted and information and materials they should include·

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines.

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 18				255,066.
Total . . . . ▶ **3a**				255,066.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	52,084.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property			15	79,394.	
6 Net rental income or (loss) from personal property					
7 Other investment income			18	2,221,625.	
8 Gain or (loss) from sales of assets other than inventory			18	114,702.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				2,467,805.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 2,467,805.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Anthony Ward | 04/08/2014 | ▶

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2012

Name of estate or trust: SUSAN COOK HOUSE - EDUCATIONAL TRUST

Employer identification number: 37-6087675

Note: *Form 5227 filers need to complete **only** Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	21,404.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	( )
5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	21,404.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS					923.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	291.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	( )
12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	1,214.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D (Form 1041) 2012**

JSA
2F1210 2 000

Part III Summary of Parts I and II

Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			21,404.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			1,214.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			-346.
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			22,618.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18		
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20	Add lines 18 and 19	20		
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	21		
22	Subtract line 21 from line 20. If zero or less, enter -0-	22		
23	Subtract line 22 from line 17. If zero or less, enter -0-	23		
24	Enter the **smaller** of the amount on line 17 or $2,400	24		
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23	25		
26	Subtract line 25 from line 24	26		
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22	27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29	Subtract line 28 from line 27	29		
30	Multiply line 29 by 15% (.15)		30	
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)		31	
32	Add lines 30 and 31		32	
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)		33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)		34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: SUSAN COOK HOUSE - EDUCATIONAL TRUST

Employer identification number: 37-6087675

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 167.668 JPMORGAN SHORT DUR FUND	05/11/2012	02/05/2013	1,841.00	1,844.00	-3.00
335.689 PARNASSUS EQTY INC	05/11/2012	02/05/2013	10,541.00	9,359.00	1,182.00
365.99 CAPITAL PRIVATE CLI	08/13/2012	04/18/2013	3,788.00	3,451.00	337.00
196.926 JPM MID CAP CORE F	05/11/2012	04/18/2013	3,844.00	3,462.00	382.00
620.212 PRIMECAP ODYSSEY S	05/11/2012	04/18/2013	10,990.00	9,359.00	1,631.00
320.2 INV BALANCED-RISK AL	06/28/2012	04/29/2013	4,153.00	3,951.00	202.00
151.104 THE ARBITRAGE FUND	05/11/2012	04/29/2013	1,916.00	1,979.00	-63.00
160.943 ARTISAN INTL VALUE	08/13/2012	04/29/2013	5,324.00	4,434.00	890.00
800.602 BLACKROCK FDS HI Y INSTL*	05/11/2012	04/29/2013	6,653.00	6,229.00	424.00
635.9 CAPITAL PRIVATE CLIE	08/13/2012	04/29/2013	6,855.00	6,158.00	697.00
364.697 COLUMBIA ASIA PAX	05/11/2012	04/29/2013	4,938.00	4,267.00	671.00
750.742 DOUBLELINE TOTAL R	05/11/2012	04/29/2013	8,596.00	8,431.00	165.00
148.234 DREYFUS/LAUREL FDS	05/11/2012	04/29/2013	2,308.00	2,092.00	216.00
374.489 EATON VANCE FLOATI	07/20/2012	04/29/2013	4,213.00	4,093.00	120.00
453.706 EATON VANCE MUT FD	05/11/2012	04/29/2013	4,469.00	4,458.00	11.00
155.587 GATEWAY TR GATEWAY	05/11/2012	04/29/2013	4,386.00	4,209.00	177.00
179.714 HARTFORD CAPITAL A FUND	05/11/2012	04/29/2013	7,043.00	5,641.00	1,402.00
85. ISHARES MISCI EAFE IND	05/11/2012	04/29/2013	5,224.00	4,358.00	866.00
41. ISHARES TR S & P MIDCA FD	10/18/2012	04/29/2013	4,692.00	4,186.00	506.00
408.333 JPM EX-G4 CURR STR	05/11/2012	04/29/2013	4,214.00	4,067.00	147.00
301.906 JPM GLOBAL RES ENH SEL	04/18/2013	04/29/2013	4,752.00	4,571.00	181.00
398.651 JPM TR I MLT SC IN SEL	05/11/2012	04/29/2013	4,138.00	4,030.00	108.00
393.088 JPM INFLATION MGD SEL	05/11/2012	04/29/2013	4,265.00	4,226.00	39.00
460.199 JPM TAX AWARE EQUI	05/11/2012	04/29/2013	10,175.00	8,629.00	1,546.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www irs gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: SUSAN COOK HOUSE - EDUCATIONAL TRUST

Employer identification number: 37-6087675

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 223.889 JPM MID CAP CORE F	05/11/2012	04/29/2013	4,536.00	3,936.00	600.00
185.37 JPMORGAN EMERGING M FUND	02/05/2013	04/29/2013	4,384.00	4,428.00	-44.00
112.806 JPMORGAN CHINA REG	02/05/2013	04/29/2013	2,211.00	2,225.00	-14.00
352.794 JPMORGAN STRATEGIC OPPTYS FUND SEL	05/11/2012	04/29/2013	4,230.00	4,092.00	138.00
431.814 JPMORGAN EQUITY IN	05/11/2012	04/29/2013	5,022.00	4,301.00	721.00
171.743 JPMORGAN LARGE CAP	05/11/2012	04/29/2013	4,443.00	4,136.00	307.00
1228.935 JPMORGAN SHORT DU FUND	05/11/2012	04/29/2013	13,506.00	13,518.00	-12.00
403.667 JPMORGAN MARKET EX INDEX FUND	05/11/2012	04/29/2013	4,844.00	4,247.00	597.00
323.341 MFS INTL VALUE-I	05/11/2012	04/29/2013	10,376.00	8,426.00	1,950.00
184.779 MATTHEWS PACIFIC T FUND	05/11/2012	04/29/2013	4,771.00	4,037.00	734.00
897.27 NEUBERGER BERMAN MU	05/11/2012	04/29/2013	11,503.00	9,406.00	2,097.00
173.879 PIMCO UNCONSTRAINE	11/19/2012	04/29/2013	2,017.00	2,034.00	-17.00
553.889 PIMCO COMMODITYPL	05/11/2012	04/29/2013	5,910.00	5,777.00	133.00
688.326 RIDGEWORTH SEIX FL FD I*	05/11/2012	04/29/2013	6,250.00	6,112.00	138.00
76. SPDR TR UNIT SER 1	05/11/2012	04/29/2013	12,057.00	10,310.00	1,747.00
24. SPDR GOLD TRUST	05/11/2012	04/29/2013	3,401.00	3,684.00	-283.00
181.931 JPMORGAN REALTY IN	05/11/2012	04/29/2013	2,356.00	2,105.00	251.00
566.181 VANGUARD FXD INCM CORP*	05/11/2012	04/29/2013	5,826.00	5,781.00	45.00
101. VANGUARD EMERGING MAR	05/11/2012	04/29/2013	4,348.00	4,087.00	261.00
46. VANGUARD EUROPEAN ETF	09/17/2012	04/29/2013	2,342.00	2,232.00	110.00
54.025 JPMORGAN EMERGING M FUND	02/05/2013	08/01/2013	1,188.00	1,291.00	-103.00
11. VANGUARD EMERGING MARK	06/06/2013	08/01/2013	438.00	449.00	-11.00
70.387 VANGUARD FXD INCM S CORP*	07/03/2013	08/13/2013	688.00	688.00	
11.589 DREYFUS/LAUREL FDS	06/06/2013	09/09/2013	159.00	169.00	-10.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www irs gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: SUSAN COOK HOUSE - EDUCATIONAL TRUST

Employer identification number: 37-6087675

Part I — Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 18.814 JPMORGAN REALTY INC	06/06/2013	09/23/2013	224.00	232.00	-8.00
7. ISHARES TR S & P MIDCAP FD	04/18/2013	11/11/2013	898.00	772.00	126.00
33.561 JPM GLOBAL RES ENH SEL	04/18/2013	11/11/2013	584.00	508.00	76.00
12.552 JPMORGAN LARGE CAP	06/06/2013	11/11/2013	371.00	330.00	41.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b 21,404.00

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: SUSAN COOK HOUSE - EDUCATIONAL TRUST

Employer identification number: 37-6087675

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1. SPDR GOLD TRUST	05/11/2012	06/06/2013	135.00	154.00	-19.00
1. SPDR GOLD TRUST	05/11/2012	06/06/2013	135.00	154.00	-19.00
3. SPDR GOLD TRUST	05/11/2012	06/06/2013	406.00	461.00	-55.00
1. SPDR GOLD TRUST	05/11/2012	06/06/2013	135.00	154.00	-19.00
158.154 BLACKROCK FDS HI Y INSTL*	05/11/2012	06/26/2013	1,251.00	1,230.00	21.00
150.502 RIDGEWORTH SEIX FL FD I*	05/11/2012	06/26/2013	1,350.00	1,336.00	14.00
133.198 JPM EX-G4 CURR STR	05/11/2012	07/19/2013	1,316.00	1,325.00	-9.00
52. VANGUARD EMERGING MARK	05/11/2012	08/01/2013	2,069.00	2,104.00	-35.00
323.508 VANGUARD FXD INCM CORP*	05/11/2012	08/13/2013	3,161.00	3,286.00	-125.00
72.357 DREYFUS/LAUREL FDS	05/11/2012	09/09/2013	991.00	1,021.00	-30.00
87.732 JPMORGAN REALTY INC	05/11/2012	09/23/2013	1,042.00	1,015.00	27.00
10. SPDR GOLD TRUST	05/11/2012	10/25/2013	1,299.00	1,535.00	-236.00
7.6 HARTFORD CAPITAL APPRE	05/11/2012	11/11/2013	350.00	239.00	111.00
87.352 JPMORGAN LARGE CAP	05/11/2012	11/11/2013	2,585.00	2,103.00	482.00
48.962 NEUBERGER BERMAN MU	10/18/2012	11/11/2013	731.00	548.00	183.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b 291.00

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS AND INTEREST	5,291.	5,291.
DIVIDEND AND INTEREST- SHARED	46,793.	46,793.
TOTAL	52,084.	52,084.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
COOPERATIVE DIVIDEND	533.	533.
SUNDRY INCOME	6.	6.
SUNDRY INCOME	38.	38.
LONG TERM CAP GAIN TIC FARM PROPERTY WIL	2,221,048.	2,221,048.
TOTALS	2,221,625.	2,221,625.

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
LEGAL FEES	
TOTALS	

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FARM MANAGEMENT FEE	9,021.	9,021.
TOTALS	9,021.	9,021.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAX PAID- MAIN	1,462.	1,462.
FOREIGN TAX PAID- JOINT	553.	553.
TOTALS	2,015.	2,015.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
IL AG FEE	15.		15.
SCHOLARSHIP AWARD CEREMONY	1,462.		1,462.
FARM EXPENSES	14,923.	14,923.	
TOTALS	16,400.	14,923.	1,477.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
NON TAXABLE DISTRIBUTIONS	1,509.
RETURN OF CHARITBALE DISTRIBUTIONS	84.
NON TAX ABLE DISTRIBUTIONS	90.
TOTAL	1,683.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
REDUCTION OF FARM SAL PROCEEDS OUT OF POCKET PAYMENTS	41,579.
BASIS ADJUSTMENT REAL ESTATE	51,985.
TOTAL	93,564.

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF
==

NAME: JPMorgan Chase Bank, NA

ADDRESS: 10 S DEARBORN ST. FL 21 IL1-0117
CHICAGO, IL 60603

TELEPHONE NUMBER: (866)888-5157

RECIPIENT NAME:
JPMorgan Chase Bank, NA- Heather Smith
ADDRESS:
East Old State Capital Plaza, 3rd
Springfield, IL 62701
RECIPIENT'S PHONE NUMBER: 217-525-9737
FORM, INFORMATION AND MATERIALS:
obtain application form and provide information about
plans for higher education and career goals.
see attached for supplement
SUBMISSION DEADLINES:
none
awards are limited to residents of Sangamon
RESTRICTIONS OR LIMITATIONS ON AWARDS:
county Illinois

RECIPIENT NAME:
Springfield IL African-Am
History Museum
ADDRESS:
521 E WASHINGTON ST 2ND FL
Springfield, IL 62703
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT: EXHIBIT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY

RECIPIENT NAME:
Sangamon County Community Foundatio
ADDRESS:
205 SOUTH FIFTH STREET STE 390
Springfield, IL 62701
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT: COMMUNITY FOUNDATION SCHOLARSHIP
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 10,000.

RECIPIENT NAME:
Benedictine University
ADDRESS:
5700 COLLEGE ROAD
Lisle, IL 60532
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT; POLYMEREASE CHAIN REACTION MACHINE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 22,338.

RECIPIENT NAME:
Abraham Lincoln Presidential Library
ADDRESS:
500 E MADISON STREET
Springfiled, IL 62701
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT: CIVIL WAR EXHIBIT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY

RECIPIENT NAME:
Illinois Audubon Society
ADDRESS:
P.O. BOX 2547
Springfield, IL 62708
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT; ADAMS WILDLIFE SANCTUARY
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY

RECIPIENT NAME:
Trinity Lutheran Church
ADDRESS:
220 S SECOND STREET
Springfield, IL 62701
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT; CLASS ROOM FOR SCHOOL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 12,953.

RECIPIENT NAME:
Big Brothers Big Sisters of the Cap
ADDRESS:
444 S GRAND AVE W
Springfield, IL 62704
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT; BIG FRIENDS READING PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 6,000.

RECIPIENT NAME:
springfield high schools library gr
ADDRESS:
101 SOUTH LEWIS STREET
Springfield, IL 62704
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT: SCHOOL LIBRARY SCHEDULE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY

RECIPIENT NAME:
Springfield Area Arts Council
ADDRESS:
420 SOUTH 6TH STREET
Springfield, IL 62701
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRNAT; ARTS IN EDUCATION COUNCIL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 18,341.

RECIPIENT NAME:
Springfield Area Reading is Fundame
is Fundemental
ADDRESS:
P.O. BOX 9606
Springfield, IL 62791
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT: CAMPERSHIPS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 20,000.

RECIPIENT NAME:
Lutheran High School Asso
of Springfield
ADDRESS:
3500 W WASHINGTON ST
Springfield, IL 62711
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT: SERVER UPGRADE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 9,114.

RECIPIENT NAME:
Sagamon Valley Youth Symphony
ADDRESS:
420 S 6TH STREET
Springfield, IL 62701
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT: ORCHESTRA EDUCATION
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 8,500.

RECIPIENT NAME:
Illinois Symphony Orchestra
ADDRESS:
524 1/2 EAST CAPITAL DRIVE
Springfield, IL 62701
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT; STEP BY STEP MUSIC EDUCATION
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 10,000.

RECIPIENT NAME:
Lincoln Land Community
College Foundation
ADDRESS:
P.O. BOX 19256
Springfield, IL 62794
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT: SIM PAD UPGRADE FOR MANIKINS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 10,800.

RECIPIENT NAME:
M. E. R.C.Y. Communities Inc
ADDRESS:
108 EAST COOK STREET
Springfield, IL 62704
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT: LEARNING AND GROWTH
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 28,500.

RECIPIENT NAME:
Riverton CU School DIstrict
ADDRESS:
P.O. BOX 1010 OLD ROUTE 36
Riverton, IL 62561
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT: LIBRARY TO ADDRESS LITERACY
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 5,000.

RECIPIENT NAME:
Pawnee School district 11
ADDRESS:
810 4TH STREET
Pawnee, IL 62558
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT: LIBRARY
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 3,000.

RECIPIENT NAME:
Springfield Art Association of Edwa
ADDRESS:
700 N 4TH STREET
Springfiled, IL 62702
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT SCHOLARSHIPS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 1,637.

RECIPIENT NAME:
Illinois State Museum
ADDRESS:
502 SOUTH SPRING STREET
Spingfield, IL 62706
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT: MACLEAN PLAY MUSEUM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 3,222.

RECIPIENT NAME:
Diocese of Springfield- Blessed Sac
ADDRESS:
P.O. BOX 1667
Springfield, IL 62705
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT; TECHNOLOGY AND SPANISH INSTRUCTION
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 27,699.

RECIPIENT NAME:
Diocese of Springfield- St Agnes
School
ADDRESS:
251 N AMOS AVE.
Springfield, IL 72702
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT; FOCUS ON SOCIAL STUDIES
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 22,340.

RECIPIENT NAME:
Diocese of Springfield- Cathedral School
ADDRESS:
815 SOUTH 6TH STREET
Springfield, IL 62703
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT; PLAY GROUND COVERING
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 6,622.

RECIPIENT NAME:
Diocese of Springfield- Sacred Heart Griffin
ADDRESS:
1200 WEST WASHINGTON
Springfield, IL 62702
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT CHROMEBOOK LEARNING LAB
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 4,000.

RECIPIENT NAME:
Susan cook house scholars
ADDRESS:
ONE OLD STATE CAPITOL PLAZA THRID F
Springfield, IL 62701
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP- SCHEDULE ATTACHED
FOUNDATION STATUS OF RECIPIENT:
INDIVIDUAL
AMOUNT OF GRANT PAID .... 25,000.

TOTAL GRANTS PAID: 255,066.

Susan Cook House Trusts- joint farm account
NE 1/4 9-17-4, Williamsville, IL 62693

Gross sales price		$ 3,660,000 00
less expenses of sale reduces proceeds		
Brokerage fee	$	(91,498 00)
Seller Comm Property Liability In	$	(200 00)
Tax Stamps deed	$	(5,375 75)
Title Insurance	$	(4,375 00)
Title Ins Registration	$	(12 00)
Net Proceeds		$ 3,558,539 25
less expenses of sale		
Advirtising	$	(4,000 00)
Attorney's fees	$	(27,928 66)
Trustee fee for sale	$	(17,788 16)
Engineering fees	$	(12,658 10)
Net Proceeds		$ 3,496,164 33
Cost		-164591 95
Long Term Capital Gains		$ 3,331,572 38

66.66% to Educational Trust
33.34% to Presbyterian Home

Susan Cook House Charitable Trust R67817008

EIN 37-6087675

Form 990-PF Year 11-30-2013

Scholarship Grants :

Duke University for Natalie Knox Box 90937 Durham, NC 27708	$10,000.00
Loyola University –Chicago for Sophia Matos Sullivan Center 6339 North Sheridan Road Chicago, IL 60660	$ 1,000.00
Southern Illinois University for Zoey Koester 1263 Lincoln Dr., Student Services, Fl2 Carbondale, IL 62901	$ 1,000.00
University of Chicago- for Osni A. Kamel Rosenwald Hall 1101 E 58th Street Chicago, IL 60637	$ 5,000.00
University of Illinois Ashley E. Hollinshead Student Services Arc. 620 E. John St. MC-303 Champaign, IL 61820	$ 1,000.00
University of Illinois at Urbana-Ch for Ryan Kirby Student Services Arc. 620 E. John St.,MC-303 Champaign, IL 61820	$ 1,500.00
University of Illinois at Urbana-Ch for Noah Flynn Student Services Arc. 620 E. John St., MC-303 Champaign, IL 61820	$ 1,000.00
Vanderbilt University for Jennifer Chao Financial Aid 2305 West End Ave. Nashville, TN 37203	$ 2,500.00

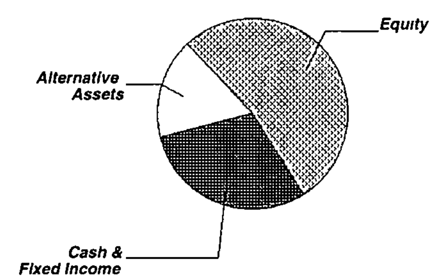
Western Illinois University for Benjamin A. Pearce Undergraduate admissions Sherman Hall 115 1 University Circle Macomb, IL 61455	$ 1,000 00
Wheaton College for Miriam Agamah Student Services Building, 501 College Ave. Wheaton, IL 60187	$ 1,000.00
TOTAL Scholarship grants	$25,000.00

Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	73,041 69	71,824 32	(1,217 37)	992 39	53%
Alternative Assets	20,837 13	23,172 83	2,335 70	419 88	17%
Cash & Fixed Income	42,278 86	42,439.34	160 48	867 24	30%
Market Value	**$136,157.68**	**$137,436.49**	**$1,278.81**	**$2,279.51**	**100%**

Asset Allocation



INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	20,160 78	23,987 29	3,826 51
Accruals	28 46	20.06	(8.40)
Market Value	**$20,189.24**	**$24,007.35**	**$3,818.11**

Portfolio Activity	PRINCIPAL Current Period Value	PRINCIPAL Year-to-Date Value	INCOME Current Period Value	INCOME Year-to-Date Value
Beginning Market Value	**136,157.68**	**323,461.98**	**20,160.78**	**19,747.00**
Additions			3,691 58	254,983 61
Withdrawals & Fees		(211,520 87)		(255,629 20)
Net Additions/Withdrawals	**$0.00**	**($211,520.87)**	**$3,691.58**	**($645.59)**
Income	21 00	434 69	134 93	4,885 88
Change In Investment Value	1,257 81	25,060 69		
Ending Market Value	**$137,436.49**	**$137,436.49**	**$23,987.29**	**$23,987.29**
Accruals	--	--	20 06	20 06
Market Value with Accruals	**--**	**--**	**$24,007.35**	**$24,007.35**

Account Summary CONTINUED

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	155 29	5,254 72
Interest Income	0 64	12 51
Taxable Income	**$155.93**	**$5,267.23**
Tax-Exempt Income		53 34
Tax-Exempt Income		**$53.34**

	Current Period Value	Year-to-Date Value
LT Capital Gain Distributions	126 99	915 80
ST Realized Gain/Loss	243 03	21,363 70
LT Realized Gain/Loss	775 31	289 52
Realized Gain/Loss	**$1,145.33**	**$22,569.02**

	To-Date Value
Unrealized Gain/Loss	**$13,130.80**

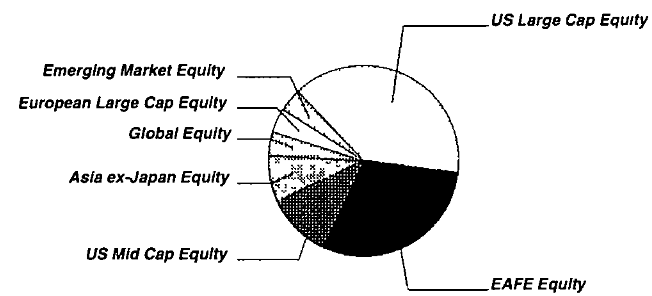
Cost Summary	Cost
Equity	58,619 11
Cash & Fixed Income	42,437 34
Total	**$101,056.45**

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	31,842 15	28,695 99	(3,146 16)	21%
US Mid Cap Equity	8,774 33	8,026 06	(748 27)	6%
EAFE Equity	19,608 58	21,336 35	1,727 77	16%
European Large Cap Equity	1,249 16	2,753 28	1,504 12	2%
Asia ex-Japan Equity	5,444.67	5,412 95	(31 72)	4%
Emerging Market Equity	2,825 80	2,829 40	3 60	2%
Global Equity	3,297.00	2,770 29	(526 71)	2%
Total Value	**$73,041.69**	**$71,824.32**	**($1,217.37)**	**53%**

Market Value/Cost	Current Period Value
Market Value	71,824 32
Tax Cost	58,619 11
Unrealized Gain/Loss	13,205 21
Estimated Annual Income	992 39
Accrued Dividends	3 46
Yield	1 38%

Asset Categories



Equity as a percentage of your portfolio - 53 %

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
HARTFORD CAPITAL APPREC-I 416649-30-9 ITHI X	47 64	88 831	4,231 91	3,293 07	938 84	30 73	0 73%
JPM EQUITY INCOME FD - SEL FUND 3128 4812C0-49-8 HLIE X	13 06	221 180	2,888 61	2,252 14	636 47	57 28 3.46	1 98%
JPM TAX AWARE EQUITY FD - INSTL FUND 1236 4812A1-65-4 JPDE X	25.85	229 646	5,936 35	4,413 26	1,523 09	84 05	1 42%
NEUBERGER BER MU/C OPP-INS 64122Q-30-9 NMUL X	15 28	454 916	6,951.12	5,413 71	1,537 41	61.41	0.88%
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	181 00	48 000	8,688 00	6,970 07	1,717.93	162 86	1 87%
Total US Large Cap Equity			**$28,695.99**	**$22,342.25**	**$6,353.74**	**$396.33** **$3.46**	**1.38%**
US Mid Cap Equity							
ISHARES CORE S&P MID-CAP ETF 464287-50-7 IJH	130.43	21 000	2,739 03	2,369 14	369 89	38 45	1 40%
JPM MID CAP CORE FD - SEL FUND 2426 48121L-75-9 JMRS X	23 66	111 551	2,639 30	1,961 07	678 23	16 84	0.64%

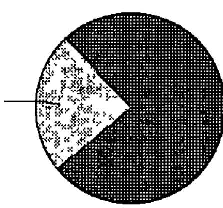
	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div	Yield
US Mid Cap Equity							
JPM MKT EXP ENH INDEX FD - SEL FUND 3708 4812C1-63-7 PGMI X	13 98	189 394	2,647.73	1,992 42	655 31	26 70	1 01%
Total US Mid Cap Equity			**$8,026.06**	**$6,322.63**	**$1,703.43**	**$81.99**	**1.02%**
EAFE Equity							
ARTISAN INTL VALUE FUND-INV 04314H-88-1 ARTK X	36 03	68 785	2,478 32	1,895.02	583 30	63.48	2.56%
CAPITAL PRIVATE CLIENT SVCS CAP NON US EQT 14042Y-60-1 CNUS X	11 79	528 756	6,234 03	5,480 71	753 32	26.43	0 42%
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	66 24	83 000	5,497.92	4,775 37	722 55	146 16	2 66%
MFS INTL VALUE-I 55273E-82-2 MINI X	35 59	200 227	7,126 08	5,409.46	1,716 62	102.91	1.44%
Total EAFE Equity			**$21,336.35**	**$17,560.56**	**$3,775.79**	**$338.98**	**1.59%**
European Large Cap Equity							
VANGUARD FTSE EUROPE ETF 922042-87-4 VGK	57 36	48 000	2,753 28	2,532 05	221 23	85 58	3 11%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
Asia ex-Japan Equity							
COLUMBIA ASIA PAX X-JPN-R5 19763P-57-2 TAPR X	13 78	231 706	3,192.91	2,788 64	404 27	59 31	1 86%
MATTHEWS PACIFIC TIGER FD-IS 577130-83-4 MIPT X	25 40	87.403	2,220 04	1,909 75	310 29	17 65	0 80%
Total Asia ex-Japan Equity			**$5,412.95**	**$4,698.39**	**$714.56**	**$76.96**	**1.43%**
Emerging Market Equity							
JPM CHINA REGION FD - SEL FUND 3810 4812A3-52-8 JCHS X	21 37	67 645	1,445 57	1,330 34	115 23	3 38	0 23%
JPM EMERG MRKT EQUITY FD - INSTL FUND 1389 4812A0-63-1 JMIE X	23 08	59 958	1,383 83	1,423.86	(40 03)	9 17	0 66%
Total Emerging Market Equity			**$2,829.40**	**$2,754.20**	**$75.20**	**$12.55**	**0.44%**
Global Equity							
JPM GLOBAL RES ENH INDEX FD - SEL FUND 3457 46637K-51-3 JEIT X	17 73	156 249	2,770 29	2,409 03	361 26		

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	16,942 99	17,708 99	766 00	13%
Hard Assets	3,894 14	5,463 84	1,569 70	4%
Total Value	**$20,837.13**	**$23,172.83**	**$2,335.70**	**17%**

Asset Categories



Alternative Assets as a percentage of your portfolio - 17 %

Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
EATON VANCE FLOATING-RATE ADVANTAGE I 277923-63-7 EIFA X	11 19	196 691	2,200 97	2,149 83
EATON VANCE GLOBAL MACRO-I 277923-72-8 EIGM X	9 40	264 599	2,487 23	2,621.16

Hedge Funds

	Price	Quantity	Estimated Value	Cost
EQUINOX FDS TR EQNX CB STGY I 29446A-81-9 EBSI X	10 60	180 325	1,911 45	1,888 00
GATEWAY FUND-Y 367829-88-4 GTEY X	28 78	136 397	3,925 51	3,791 59
INV BALANCED-RISK ALLOC-Y 00141V-69-7 ABRY X	12.92	204 629	2,643.81	2,534 73
PIMCO UNCONSTRAINED BOND-P 72201M-45-3 PUCP X	11 21	288 205	3,230 78	3,296 35
THE ARBITRAGE FUND-I 03875R-20-5 ARBN X	12 87	101 728	1,309 24	1,327 51
Total Hedge Funds			**$17,708.99**	**$17,609.17**

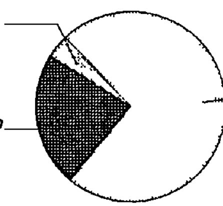
Hard Assets

	Price	Quantity	Estimated Value	Cost	Est. Annual Income Accrued Income
PIMCO COMMODITYPL STRAT-P 72201P-16-7 PCLP X	10 49	520 862	5,463 84	5,640 07	36 98

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	11,065 22	10,112 29	(952 93)	7%
US Fixed Income	29,891 76	31,023 77	1,132 01	22%
Foreign Exchange & Non-USD Fixed Income	1,321 88	1,303 28	(18 60)	1%
Total Value	**$42,278.86**	**$42,439.34**	**$160.48**	**30%**

Asset Categories



Cash & Fixed Income as a percentage of your portfolio - 30 %

Market Value/Cost	Current Period Value
Market Value	42,439 34
Tax Cost	42,437 34
Unrealized Gain/Loss	2.00
Estimated Annual Income	867 24
Accrued Interest	16 60
Yield	2 04 %

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months[1]	42,439.34	100%

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date Some bonds may be called, or paid in full, before their stated maturity

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	10,112 29	23%
Mutual Funds	31,023.77	74%
Other	1,303 28	3%
Total Value	**$42,439.34**	**100%**

Note [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	1 00	10,112 29	10,112 29	10,112 29		3 03	0 03 % [1]
US Fixed Income							
JPM MULTI SECTOR INCOME FD - SEL FUND 2130 48121A-29-0	10.23	254 00	2,598 44	2,571 18	27 26	74 42	2.86 %
DOUBLELINE TOTAL RET BD-I 258620-10-3	10 91	497 97	5,432 85	5,574 17	(141 32)	279.85	5 15 %
JPM STRAT INCOME OPPORT FD - SEL FUND 3844 4812A4-35-1	11 90	239.55	2,850 68	2,795 48	55.20	77 13 4 79	2 71 %
JPM SHORT DURATION BOND FD - SEL FUND 3133 4812C1-33-0	10 93	1,118.79	12,228 41	12,260 08	(31.67)	117 47 8 95	0 96 %
JPM INFLATION MGD BOND FD - SEL FUND 2037 48121A-56-3	10 46	259 82	2,717 70	2,782 88	(65 18)	31 17 2 86	1 15 %
RIDGEWORTH SEIX FLOATING-I 76628T-67-8	9 05	280 19	2,535 73	2,497 20	38 53	107 31	4 23 %

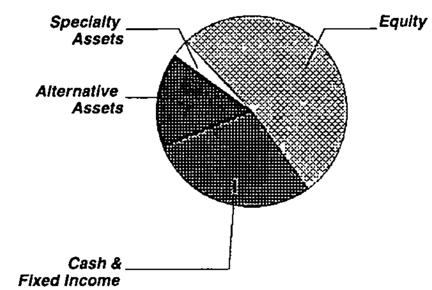
	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
US Fixed Income							
BLACKROCK HIGH YIELD BOND 091929-63-8	8 31	320 09	2,659 96	2,516 87	143 09	161 32	6 06 %
Total US Fixed Income			**$31,023.77**	**$30,997.86**	**$25.91**	**$848.67** **$16.60**	**2.73 %**
Foreign Exchange & Non-USD Fixed Income							
JPM EX-G4 CURR STR FD - SEL FUND 2420 46636U-30-6	9.81	132 85	1,303 28	1,327 19	(23 91)	15.54	1 19 %

Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	3,346,523 64	3,397,805 39	51,281.75	36,588 55	53%
Alternative Assets	1,030,522 29	1,030,921 08	398 79	15,666 05	16%
Cash & Fixed Income	1,942,757 35	1,943,029.56	272 21	38,506 17	29%
Specialty Assets	20,001.00	20,001 00	0 00		2%
Market Value	**$6,339,804.28**	**$6,391,757.03**	**$51,952.75**	**$90,760.77**	**100%**

Asset Allocation



INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	2,149 64	1,555 80	(593 84)
Accruals	1,637 96	1,150 54	(487 42)
Market Value	**$3,787.60**	**$2,706.34**	**($1,081.26)**

Account Summary CONTINUED

	PRINCIPAL		INCOME	
Portfolio Activity	Current Period Value	Year-to-Date Value	Current Period Value	Year-to-Date Value
Beginning Market Value	**6,339,804.28**	**2,417,285.02**	**2,149.64**	**0.00**
Additions		3,540,235 37	2,062 93	18,036 47
Withdrawals & Fees	(4,125.87)	(37,047 41)	(7,600 31)	(85,132 17)
Net Additions/Withdrawals	**($4,125.87)**	**$3,503,187.96**	**($5,537.38)**	**($67,095.70)**
Income	754 66	3,109.74	4,943 54	68,651 50
Change In Investment Value	55,323.96	468,174 31		
Ending Market Value	**$6,391,757.03**	**$6,391,757.03**	**$1,555.80**	**$1,555.80**
Accruals	--	--	1,150 54	1,150 54
Market Value with Accruals	**--**	**--**	**$2,706.34**	**$2,706.34**

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	5,689 72	68,877 43
Interest Income	8 48	164.56
Taxable Income	**$5,698.20**	**$69,041.99**
Tax-Exempt Income		2,719 25
Tax-Exempt Income		**$2,719.25**

	Current Period Value	Year-to-Date Value
LT Capital Gain Distributions	4,563 57	14,952 31
ST Realized Gain/Loss		(22,194 92)
LT Realized Gain/Loss		85,720 58
Realized Gain/Loss	**$4,563.57**	**$78,477.97**

	To-Date Value
Unrealized Gain/Loss	**$541,847.00**

Account Summary CONTINUED

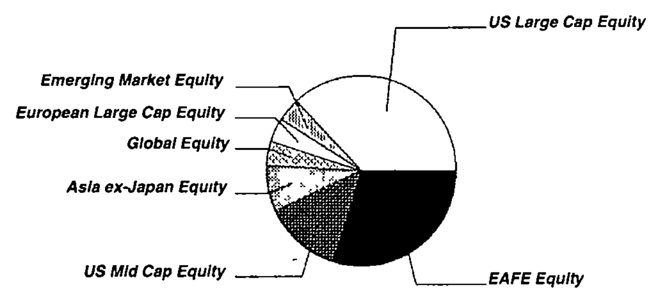
Cost Summary	Cost
Equity	2,860,998 44
Cash & Fixed Income	1,939,324 58
Total	**$4,800,323.02**

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	1,262,780 66	1,300,741 32	37,960 66	20%
US Mid Cap Equity	419,604 83	427,162 94	7,558 11	7%
EAFE Equity	1,009,935 30	1,012,280 69	2,345 39	16%
European Large Cap Equity	126,335 50	127,626 00	1,290 50	2%
Asia ex-Japan Equity	262,756 26	260,670 36	(2,085 90)	4%
Emerging Market Equity	130,554 75	131,979 01	1,424.26	2%
Global Equity	134,556 34	137,345 07	2,788 73	2%
Total Value	**$3,346,523.64**	**$3,397,805.39**	**$51,281.75**	**53%**

Market Value/Cost	Current Period Value
Market Value	3,397,805 39
Tax Cost	2,860,998 44
Unrealized Gain/Loss	536,806 95
Estimated Annual Income	36,588 55
Yield	1 07%

Asset Categories



Equity as a percentage of your portfolio - 53 %

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
BARC CONT BUFF EQ SPX 04/30/14 80% CONTIN BARRIER- 0 5%CPN 15% CAP INITIAL LEVEL-04/12/13 SPX·1588 85 06741J-ZC-6	110 55	65,000 000	71,857 50	64,350 00	7,507.50		
BARC REN SPX 09/04/14 2 XLEV- 7 85%CAP- 15 70% MAXPYMT INITIAL LEVEL-08/16/13 SPX.1655.83 06741T-D4-6	107 12	95,000 000	101,764 00	94,050 00	7,714 00		
BBH CORE SELECT FUND-N 05528X-60-4 BBTE X	21 64	4,050 140	87,645 03	72,376 00	15,269 03	388.81	0 44%
FMI LARGE CAP FUND LARGE CAP FD 302933-20-5 FMIH X	21 69	3,084 522	66,903 28	33,590 45	33,312 83	604 56	0 90%
GS REN SPX 04/02/14 2 XLEV- 7 6%CAP- 15 2%MAXPYMT INITIAL LEVEL-03/15/13 SPX 1560.7 38141G-QN-7	112 76	65,000 000	73,294 00	64,350 00	8,944 00		
JPM INTREPID VALUE FD - SEL FUND 1136 4812A2-30-6 JPIV X	33 98	4,280 479	145,450 68	113,649 00	31,801 68	1,891.97	1 30%
JPM LARGE CAP GROWTH FD - SEL FUND 3118 4812C0-53-0 SEEG X	30 83	4,754 753	146,589 03	112,764 98	33,824 05	499 24	0 34%
JPM US LARGE CAP CORE PLUS FD - SEL FUND 1002 4812A2-38-9 JLPS X	29 44	4,325 353	127,338 39	81,104 47	46,233 92	730 98	0 57%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
NEUBERGER BER MU/C OPP-INS 64122Q-30-9 NMUL X	15 28	24,003 561	366,774 41	299,100 00	67,674 41	3,240 48	0 88%
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	181.00	625 000	113,125 00	100,172 82	12,952 18	2,120 62	1 87%
Total US Large Cap Equity			**$1,300,741.32**	**$1,035,507.72**	**$265,233.60**	**$9,476.66**	**0.73%**
US Mid Cap Equity							
ISHARES CORE S&P MID-CAP ETF 464287-50-7 IJH	130 43	1,075 000	140,212 25	107,426 55	32,785 70	1,968 32	1 40%
JPM MID CAP CORE FD - SEL FUND 2426 48121L-75-9 JMRS X	23 66	3,072 921	72,705 31	53,223 00	19,482 31	464 01	0 64%
JPM MKT EXP ENH INDEX FD - SEL FUND 3708 4812C1-63-7 PGMI X	13 98	10,395 007	145,322 20	95,104 41	50,217 79	1,465.69	1.01%
PRINCIPAL MIDCAP FUND-INS MIDCP GROWTH I 74253Q-74-7 PCBI X	20 43	3,373 626	68,923 18	61,400.00	7,523 18	637 61	0 93%
Total US Mid Cap Equity			**$427,162.94**	**$317,153.96**	**$110,008.98**	**$4,535.63**	**1.06%**
EAFE Equity							
ARTISAN INTL VALUE FUND-INV 04314H-88-1 ARTK X	36 03	2,471 874	89,061 62	61,228 44	27,833 18	2,281.53	2.56%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
EAFE Equity							
CAPITAL PRIVATE CLIENT SVCS CAP NON US EQT 14042Y-60-1 CNUS X	11 79	21,586 808	254,508 47	231,800 00	22,708 47	1,079 34	0 42 %
CS BREN MXEA 05/01/14 10%BUFFER-1 5 XLEV- 7 2%CAP 10 8%MAXRTRN INITIAL LEVEL-04/12/13 MXEA 1715 09 22546T-5K-3	105 79	65,000 000	68,763 50	64,350 00	4,413 50		
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	66 24	3,350 000	221,904 00	209,407.09	12,496 91	5,899 35	2 66 %
JPM INTL VALUE FD - INSTL FUND 1375 4812A0-57-3 JNUS X	15 42	7,300 588	112,575.07	107,290 23	5,284 84	2,438 39	2 17 %
MFS INTL VALUE-I 55273E-82-2 MINI X	35 59	5,508 697	196,054 53	162,925.00	33,129 53	2,831.47	1 44 %
SG BREN MXEA 04/02/14 10%BUFFER-1 5 XLEV- 7%CAP 10.5%MAXRTRN INITIAL LEVEL-03/15/13 MXEA.1713 66 78423E-GF-5	106 79	65,000 000	69,413 50	64,350 00	5,063 50		
Total EAFE Equity			**$1,012,280.69**	**$901,350.76**	**$110,929.93**	**$14,530.08**	**1.43 %**
European Large Cap Equity							
VANGUARD FTSE EUROPE ETF 922042-87-4 VGK	57.36	2,225 000	127,626 00	117,628 29	9,997 71	3,967 17	3 11 %

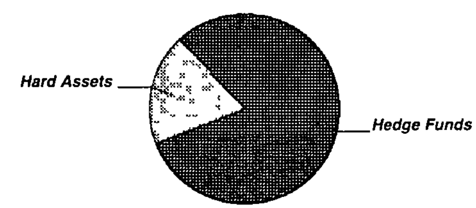
	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div.	Yield
Asia ex-Japan Equity							
ABERDEEN ASIA-PAC XJ-INST EQUITY FUND - INSTITUTIONAL SERVICE SHARES 003021-69-8 AAPI X	11 82	6,681 708	78,977.79	79,684 11	(706 32)	1,917 65	2.43%
COLUMBIA ASIA PAX X-JPN-R5 19763P-57-2 TAPR X	13 78	4,535 849	62,504 00	60,100 00	2,404.00	1,161 17	1 86%
GS REN MXASJ 04/02/14 2 XLEV- 8 74%CAP- 17 48%MAXPYMT INITIAL LEVEL-03/15/13 MXASJ 544 34 38141G-QR-8	104 30	65,000 000	67,793 70	64,350 00	3,443.70		
MATTHEWS PACIFIC TIGER FD-IS 577130-83-4 MIPT X	25 40	2,023 420	51,394 87	43,422 60	7,972 27	408 73	0 80%
Total Asia ex-Japan Equity			**$260,670.36**	**$247,556.71**	**$13,113.65**	**$3,487.55**	**1.34%**
Emerging Market Equity							
JPM CHINA REGION FD - SEL FUND 3810 4812A3-52-8 JCHS X	21 37	3,089 755	66,028 06	60,501 00	5,527 06	154 48	0 23%
OPPENHEIMER DEVELOPING MKT-Y 683974-50-5 ODVY X	37 58	1,754 948	65,950 95	60,300 00	5,650 95	436 98	0 66%
Total Emerging Market Equity			**$131,979.01**	**$120,801.00**	**$11,178.01**	**$591.46**	**0.44%**

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div.	Yield
Global Equity							
JPM GLOBAL RES ENH INDEX FD - SEL FUND 3457 46637K-51-3 JEIT X	17 73	7,746 479	137,345 07	121,000 00	16,345 07		

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	817,801 96	819,854 57	2,052 61	13%
Hard Assets	212,720 33	211,066 51	(1,653.82)	3%
Total Value	**$1,030,522.29**	**$1,030,921.08**	**$398.79**	**16%**

Asset Categories



Alternative Assets as a percentage of your portfolio - 16 %

Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
EQUINOX FDS TR EQNX CB STGY I 29446A-81-9 EBSI X	10 60	12,074 215	127,986 68	126,900 00
GATEWAY FUND-Y 367829-88-4 GTEY X	28 78	6,536.257	188,113 48	181,839.00

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
GOLDMAN SACHS TR STRG INCM INST 38145C-64-6 GSZI X	10 54	11,630 332	122,583 70	122,700 00
INV BALANCED-RISK ALLOC-Y 00141V-69-7 ABRY X	12 92	14,461.868	186,847 33	182,767 00
PIMCO UNCONSTRAINED BOND-P 72201M-45-3 PUCP X	11 21	11,929 314	133,727.61	138,265.00
THE ARBITRAGE FUND-I 03875R-20-5 ARBN X	12 87	4,708 296	60,595 77	60,677 01
Total Hedge Funds			**$819,854.57**	**$813,148.01**

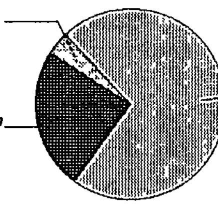
	Price	Quantity	Estimated Value	Cost	Est Annual Income / Accrued Income
Hard Assets					
PIMCO COMMODITYPL STRAT-P 72201P-16-7 PCLP X	10 49	17,206 817	180,499 51	186,738 00	1,221 68

	Price	Quantity	Estimated Value	Cost	Est Annual Income / Accrued Income
Hard Assets					
SG CONT BUFF EQ BRENT 08/12/14 LNKD TO CO1 80% BARRIER- 4 6%CPN 15 00%CAP INITIAL LEVEL-08/01/13 CO1 109 43 78423E-HT-4	101 89	30,000 000	30,567 00	29,700 00	
Total Hard Assets			**$211,066.51**	**$216,438.00**	**$1,221.68**

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	476,052 76	477,245 12	1,192 36	7%
US Fixed Income	1,404,660 50	1,404,350 80	(309 70)	21%
Foreign Exchange & Non-USD Fixed Income	62,044 09	61,433 64	(610 45)	1%
Total Value	**$1,942,757.35**	**$1,943,029.56**	**$272.21**	**29%**

Asset Categories



Market Value/Cost	Current Period Value
Market Value	1,943,029 56
Tax Cost	1,939,324 58
Unrealized Gain/Loss	3,704 98
Estimated Annual Income	38,506 17
Accrued Interest	1,150 54
Yield	1 98 %

Cash & Fixed Income as a percentage of your portfolio - 29 %

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months[1]	1,943,029 56	100%

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	477,245 12	24%
Mutual Funds	1,465,784 44	76%
Total Value	**$1,943,029.56**	**100%**

Note [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	1 00	477,245 12	477,245 12	477,245 12		143 17	0 03% [1]
US Fixed Income							
DODGE & COX INCOME FUND 256210-10-5	13 65	9,042 00	123,423 35	122,700 00	723 35	3,652 96	2 96%
JPM MULTI SECTOR INCOME FD - SEL FUND 2130 48121A-29-0	10 23	11,773 36	120,441 46	119,972 00	469 46	3,449 59	2 86%
DOUBLELINE TOTAL RET BD-I 258620-10-3	10 91	11,163.35	121,792 13	127,150 54	(5,358 41)	6,273 80	5 15%
JPM STRAT INCOME OPPORT FD - SEL FUND 3844 4812A4-35-1	11.90	10,098 58	120,173 09	114,102 48	6,070 61	3,251 74 201 97	2 71%
JPM CORE BOND FD - SEL FUND 3720 4812C0-38-1	11 64	10,519 03	122,441 52	121,600 00	841 52	3,313 49 273 49	2 71%
JPM HIGH YIELD FD - SEL FUND 3580 4812C0-80-3	8 20	5,923 14	48,569 76	45,856 28	2,713 48	2,991 18 236 93	6 16%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
US Fixed Income							
JPM SHORT DURATION BOND FD - SEL FUND 3133 4812C1-33-0	10 93	39,135 96	427,756.03	428,204 09	(448 06)	4,109 27 313 09	0 96%
JPM INFLATION MGD BOND FD - SEL FUND 2037 48121A-56-3	10 46	11,368 89	118,918.56	122,331 02	(3,412 46)	1,364 26 125.06	1 15%
RIDGEWORTH SEIX FLOATING-I 76628T-67-8	9 05	13,573 76	122,842 54	122,996 20	(153 66)	5,198 75	4 23%
BLACKROCK HIGH YIELD BOND 091929-63-8	8 31	9,385.36	77,992 36	74,409 00	3,583 36	4,730 22	6 06%
Total US Fixed Income			**$1,404,350.80**	**$1,399,321.61**	**$5,029.19**	**$38,335.26** **$1,150.54**	**2.73%**

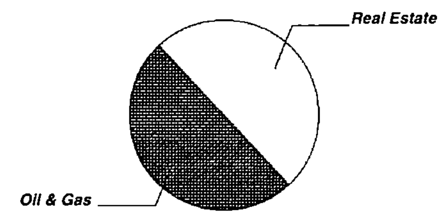
Foreign Exchange & Non-USD Fixed Income

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
JPM INTL CURR INCOME FD - SEL FUND 3831 4812A3-29-6	11 07	5,549 56	61,433 64	62,757 85	(1,324 21)	27 74	0 05%

Specialty Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Real Estate	20,000 00	20,000 00	0 00	1%
Oil & Gas	1.00	1.00	0 00	1%
Total Value	**$20,001.00**	**$20,001.00**	**$0.00**	**2%**

Asset Categories



Specialty Assets as a percentage of your portfolio - 2 %

Specialty Assets Detail

	Interest Type	Percentage of Ownership	Estimated Value	Estimated Cost
Real Estate				
160 acres WOODS, MN 56201 AEG-LAND Bearer 926R00-05-7	SURFACE-REAL ESTATE	100 00 %	20,000 00	1 00

	Estimated Value / Estimated Cost	Gross Income	Production Tax	Expenses	Net Income	Depletion	Net Income After Depletion
Oil & Gas							
SEC 3 ETC 17N 4W SAGAMON CO IL	1 00						
17N 4W 3	1 00						
SANGAMON, ILLINOIS							
4, 9 & 10							
MINERAL INTEREST							
Bearer							
997706-58-2							

Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Specialty Assets	2,615,400 00	0 00	(2,615,400 00)		
Market Value	**$2,615,400.00**	**$0.00**	**($2,615,400.00)**	**$0.00**	

INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	83,518 06	123,154 60	39,636 54
Market Value	**$83,518.06**	**$123,154.60**	**$39,636.54**

Portfolio Activity	PRINCIPAL Current Period Value	PRINCIPAL Year-to-Date Value	INCOME Current Period Value	INCOME Year-to-Date Value
Beginning Market Value	**2,615,400.00**	**2,615,400.00**	**83,518.06**	**83,518.06**
Additions	3,562,541 44	3,562,541 44		
Withdrawals & Fees	(3,562,541.44)	(3,562,541 44)	(80,315 96)	(80,315 96)
Securities Transferred Out	(2,615,400 00)	(2,615,400 00)	--	--
Net Additions/Withdrawals	**($2,615,400.00)**	**($2,615,400.00)**	**($80,315.96)**	**($80,315.96)**
Income			119,952 50	119,952 50
Ending Market Value	**$0.00**	**$0.00**	**$123,154.60**	**$123,154.60**

Account Summary CONTINUED

Tax Summary	Current Period Value	Year-to-Date Value
Interest Income	61 69	61 69
Taxable Income	**$61.69**	**$61.69**
Specialty Asset Income	119,890 81	119,890 81
Other Income & Receipts	**$119,890.81**	**$119,890.81**