Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 12/1/2012 **, and ending** 11/30/2013

Name of foundation: THE ROGER JAMES CHARITABLE FOUNDATION

A Employer identification number: 26-1586251

Number and street (or P O box number if mail is not delivered to street address): P O BOX 1501, NJ2-130-03-31

Room/suite:

B Telephone number (see instructions): 609-274-6834

City or town, state, and ZIP code: PENNINGTON NJ 08534-1501

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 9,935,333

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	227,894	210,372		
	5 a Gross rents				
	b Net rental income or (loss)				
	6 a Net gain or (loss) from sale of assets not on line 10	485,782			
	b Gross sales price for all assets on line 6a 3,313,753				
	7 Capital gain net income (from Part IV, line 2)		485,782		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	713,676	696,154	0	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	82,797	33,119		49,678
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	9,156	197		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	25			25
	24 **Total operating and administrative expenses.** Add lines 13 through 23	91,978	33,316	0	49,703
	25 Contributions, gifts, grants paid	472,708			472,708
	26 **Total expenses and disbursements** Add lines 24 and 25	564,686	33,316	0	522,411
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	148,990			
	b **Net investment income** (if negative, enter -0-)		662,838		
	c **Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	2	2	2
	2	Savings and temporary cash investments	651,195	252,494	252,494
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments—U S and state government obligations (attach schedule)	2,474,049	2,555,266	2,557,999
	b	Investments—corporate stock (attach schedule)	3,656,880	3,453,850	4,605,810
	c	Investments—corporate bonds (attach schedule)	1,714,109	2,445,007	2,519,028
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	77,059		
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	8,573,294	8,706,619	9,935,333
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	8,573,294	8,706,619	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	8,573,294	8,706,619	
	31	**Total liabilities and net assets/fund balances** (see instructions)	8,573,294	8,706,619	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,573,294
2	Enter amount from Part I, line 27a	2	148,990
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	2,649
4	Add lines 1, 2, and 3	4	8,724,933
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	18,314
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,706,619

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached Statement			
b	See Attached Statement			
c	See Attached Statement			
d	See Attached Statement			
e	See Attached Statement			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				0
b				0
c				0
d				0
e				0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				0
b				0
c				0
d				0
e				0

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	485,782
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	505,419	9,152,376	0 055223
2010	504,905	8,981,528	0.056216
2009	498,542	8,643,524	0 057678
2008	863,204	8,289,026	0.104138
2007	557,873	9,913,487	0 056274

2	**Total** of line 1, column (d)	2	0 329529
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 065906
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	9,327,304
5	Multiply line 4 by line 3	5	614,725
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	6,628
7	Add lines 5 and 6	7	621,353
8	Enter qualifying distributions from Part XII, line 4	8	522,411

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	13,257
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	13,257
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	13,257
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 8,959		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	8,959
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	4,298
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	0
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶	**Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ WA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ MLTC, A DIVISION OF BANK OF AMERICA, N A Telephone no ▶ 609-274-6834

Located at ▶ 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ▶ 08534-1501

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form **1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20_____ , 20_____ , 20_____ , 20_____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20_____ , 20_____ , 20_____ , 20_____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MLTC, A DIVISION OF BANK AMERICA, N.A P O Box 1501, NJ2-130-03-31 Pennington, NJ	TRUSTEE 2.00	82,797		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	9,066,499
b	Average of monthly cash balances			1b	402,845
c	Fair market value of all other assets (see instructions)			1c	
d	**Total** (add lines 1a, b, and c)			1d	9,469,344
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e			
2	Acquisition indebtedness applicable to line 1 assets			2	
3	Subtract line 2 from line 1d			3	9,469,344
4	Cash deemed held for charitable activities Enter 1 ½ % of line 3 (for greater amount, see instructions)			4	142,040
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	9,327,304
6	**Minimum investment return.** Enter 5% of line 5			6	466,365

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	466,365
2a	Tax on investment income for 2012 from Part VI, line 5	2a	13,257		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	13,257
3	Distributable amount before adjustments Subtract line 2c from line 1			3	453,108
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	453,108
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	453,108

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	522,411
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	522,411
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	522,411

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7				453,108
2	Undistributed income, if any, as of the end of 2012				
a	Enter amount for 2011 only			0	
b	Total for prior years 20____, 20____, 20____				
3	Excess distributions carryover, if any, to 2012				
a	From 2007 82,691				
b	From 2008 452,889				
c	From 2009 72,132				
d	From 2010 65,873				
e	From 2011 56,759				
f	**Total** of lines 3a through e	730,344			
4	Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 522,411				
a	Applied to 2011, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2012 distributable amount				453,108
e	Remaining amount distributed out of corpus	69,303			
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	799,647			
b	Prior years' undistributed income Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see instructions				
e	Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f	Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	82,691			
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	716,956			
10	Analysis of line 9				
a	Excess from 2008 452,889				
b	Excess from 2009 72,132				
c	Excess from 2010 65,873				
d	Excess from 2011 56,759				
e	Excess from 2012 69,303				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

ROGER JAMES

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Attached Statement				
Total . . . . ▶ 3a				472,708
b *Approved for future payment* NONE				
Total . . . . ▶ 3b				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	227,894	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	485,782	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		713,676	0

13 Total. Add line 12, columns (b), (d), and (e) 13 713,676

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	Not Applicable

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		Not Applicable	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Edward R K 2/4/2014 Trustee

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
DONALD J ROMAN		2/4/2014		P00364310
Firm's name ► PricewaterhouseCoopers, LLP			Firm's EIN ► 13-4008324	
Firm's address ► 600 GRANT STREET, PITTSBURGH, PA 15219			Phone no 412-355-6000	

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name				
CAT ADOPTION TEAM				
Street 14175 SW GALBREATH DR				
City SHERWOOD	**State** OR	**Zip Code** 971470	**Foreign Country**	
Relationship NONE	**Foundation Status** 509 (a)(1) - PUBLIC CHARITY			
Purpose of grant/contribution GENERAL OPERATING				**Amount** 94,542

Name				
BEST FRIENDS ANIMAL SOCIETY				
Street 5001 ANGEL CANYON ROAD				
City KANAB	**State** UT	**Zip Code** 84741	**Foreign Country**	
Relationship NONE	**Foundation Status** 509 (a)(1) - PUBLIC CHARITY			
Purpose of grant/contribution GENERAL OPERATING				**Amount** 94,542

Name				
BENTON FRANKLIN HUMANE SOCIETY				
Street 8610 W GAGE BLVD 401				
City KENNEWICK	**State** WA	**Zip Code** 99336	**Foreign Country**	
Relationship NONE	**Foundation Status** 509 (a)(2) - PUBLIC CHARITY			
Purpose of grant/contribution GENERAL OPERATING				**Amount** 94,542

Name				
FERAL CAT SPAY/NEUTER PROJECT				
Street 4001 198TH AVE SW SUITE 3				
City LYNWOOD	**State** WA	**Zip Code** 98036	**Foreign Country**	
Relationship NONE	**Foundation Status** 509 (a)(1) - PUBLIC CHARITY			
Purpose of grant/contribution GENERAL OPERATING				**Amount** 94,541

Name				
FERAL CAT COALITION OF OREGON				
Street PO BOX 82734				
City PORTLAND	**State** OR	**Zip Code** 97282	**Foreign Country**	
Relationship NONE	**Foundation Status** 509 (a)(1) - PUBLIC CHARITY			
Purpose of grant/contribution GENERAL OPERATING				**Amount** 94,541

Name				
Street				
City	**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**			
Purpose of grant/contribution				**Amount**

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	0
Short Term CG Distributions	0

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Capital Gains/Losses	3,313,753	2,827,971	485,782
Other sales	0	0	0

	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	X	EXXON MOBIL CORP COM	30231G102			3/17/2008		8/8/2013	29,967	28,339				0	1,628
2	X	FEDERAL HOME LN MTG COR	3134A4UK8			5/8/2013		11/15/2013	17,000	17,410				0	-410
3	X	FEDERAL HOME LN MTG COR	3134A4UK8			7/8/2008		11/15/2013	227,000	227,000				0	0
4	X	FEDERAL HOME LN MTG COR	3134A4UK8			9/13/2013		11/15/2013	5,000	5,038				0	-38
5	X	FLUOR CORP NEW DEL CON	343412102			5/10/2011		12/11/2012	10,086	12,570				0	-2,484
6	X	FLUOR CORP NEW DEL CON	343412102			5/9/2011		12/11/2012	12,359	15,289				0	-2,930
7	X	FLUOR CORP NEW DEL CON	343412102			5/11/2011		12/12/2012	5,984	7,413				0	-1,429
8	X	FLUOR CORP NEW DEL CON	343412102			5/10/2011		12/12/2012	14,640	18,311				0	-3,671
9	X	FLUOR CORP NEW DEL CON	343412102			5/11/2011		12/13/2012	16,769	21,089				0	-4,320
10	X	FLUOR CORP NEW DEL CON	343412102			5/12/2011		12/13/2012	3,148	3,897				0	-749
11	X	FOREST LABS INC	345838106			8/25/2008		1/9/2013	5,770	5,798				0	-28
12	X	FOREST LABS INC	345838106			3/17/2008		1/9/2013	74	76				0	-2
13	X	FOREST LABS INC	345838106			8/25/2008		1/29/2013	6,799	6,950				0	-151
14	X	FOREST LABS INC	345838106			8/25/2008		1/30/2013	6,802	6,950				0	-148
15	X	FOREST LABS INC	345838106			8/25/2008		1/31/2013	6,843	6,987				0	-144
16	X	FOREST LABS INC	345838106			8/25/2008		2/1/2013	6,843	6,987				0	-144
17	X	FOREST LABS INC	345838106			8/25/2008		2/4/2013	6,813	7,024				0	-211
18	X	FOREST LABS INC	345838106			8/25/2008		2/5/2013	4,516	4,646				0	-130
19	X	FOREST LABS INC	345838106			5/22/2009		2/5/2013	2,601	1,663				0	938
20	X	FOREST LABS INC	345838106			5/22/2009		2/6/2013	6,783	4,389				0	2,394
21	X	FOREST LABS INC	345838106			5/22/2009		2/7/2013	3,298	2,148				0	1,150
22	X	GAP INC DELAWARE	364760108			10/26/2009		12/21/2012	22,878	16,504				0	6,374
23	X	GAP INC DELAWARE	364760108			4/15/2008		12/21/2012	1,379	827				0	552
24	X	GAP INC DELAWARE	364760108			7/26/2010		12/21/2012	2,068	1,245				0	823
25	X	GAP INC DELAWARE	364760108			7/26/2010		12/26/2012	17,998	11,114				0	6,884
26	X	GAP INC DELAWARE	364760108			7/26/2010		12/27/2012	15,893	9,849				0	6,044
27	X	GAP INC DELAWARE	364760108			4/24/2012		12/27/2012	5,754	5,191				0	563
28	X	GAP INC DELAWARE	364760108			4/23/2012		12/27/2012	8,921	8,131				0	790
29	X	GAP INC DELAWARE	364760108			4/24/2012		12/28/2012	5,819	5,246				0	573
30	X	GAP INC DELAWARE	364760108			4/25/2012		12/28/2012	4,479	4,101				0	378
31	X	GENERAL ELEC CAP CORP	36962G4H4			7/26/2011		1/8/2013	17,000	17,000				0	0
32	X	GENERAL ELEC CAP CORP	36962G4H4			1/11/2010		1/8/2013	152,000	152,000				0	0
33	X	GOLDMAN SACHS GROUP IN(	38141G104			9/24/2012		1/9/2013	5,746	5,016				0	730
34	X	GOLDMAN SACHS GROUP IN(	38141G104			9/24/2012		5/8/2013	5,118	3,967				0	1,151
35	X	GOLDMAN SACHS GROUP IN(	38141G104			9/25/2012		9/13/2013	986	696				0	290
36	X	GOLDMAN SACHS GROUP IN(	38141G104			9/24/2012		9/13/2013	5,259	3,733				0	1,526
37	X	GOLDMAN SACHS GROUP IN(	38141G104			9/25/2012		10/10/2013	40,925	30,297				0	10,628
38	X	GOOGLE INC CL A	38259P508			6/25/2012		1/9/2013	6,590	5,048				0	1,542
39	X	GOOGLE INC CL A	38259P508			6/25/2012		5/8/2013	9,557	6,170				0	3,387
40	X	GOOGLE INC CL A	38259P508			6/25/2012		9/13/2013	6,227	3,926				0	2,301
41	X	HONEYWELL INTL INC DEL	438516106			3/17/2008		12/11/2012	9,093	8,171				0	922
42	X	HONEYWELL INTL INC DEL	438516106			5/22/2009		12/12/2012	11,685	6,067				0	5,618
43	X	HONEYWELL INTL INC DEL	438516106			3/17/2008		12/12/2012	7,872	7,115				0	757
44	X	INTL BUSINESS MACHINES	459200101			2/21/2013		8/8/2013	5,267	5,563				0	-296
45	X	INTL BUSINESS MACHINES	459200101			2/22/2013		8/8/2013	26,333	28,030				0	-1,697
46	X	INTL BUSINESS MACHINES	459200101			2/20/2013		8/8/2013	8,652	9,229				0	-577
47	X	INTL PAPER CO	460146103			10/22/2012		1/9/2013	3,717	3,456				0	261
48	X	INTL PAPER CO	460146103			10/22/2012		9/13/2013	8,393	6,498				0	1,895
49	X	INTL PAPER CO	460146103			10/23/2012		10/10/2013	9,110	7,698				0	1,412
50	X	INTL PAPER CO	460146103			10/22/2012		10/10/2013	27,725	23,776				0	3,949
51	X	JPMORGAN CHASE & CO	46625H100			6/8/2012		1/9/2013	1,591	1,167				0	424
52	X	JPMORGAN CHASE & CO	46625H100			6/7/2012		1/9/2013	4,592	3,365				0	1,227
53	X	JPMORGAN CHASE & CO	46625H100			6/8/2012		5/8/2013	7,679	5,134				0	2,545
54	X	JPMORGAN CHASE & CO	46625H100			6/11/2012		9/13/2013	5,314	3,380				0	1,934
55	X	JPMORGAN CHASE & CO	46625H100			6/8/2012		9/13/2013	737	467				0	270
56	X	HONEYWELL INTL INC DEL	438516106			2/4/2008		12/11/2012	4,640	4,537				0	103
57	X	HONEYWELL INTL INC DEL	438516106			1/22/2008		12/11/2012	28,456	24,773				0	3,683
58	X	KLA TENCOR CORP PV$0 001	482480100			8/28/2012		5/23/2013	9,428	8,844				0	584
59	X	KLA TENCOR CORP PV$0 001	482480100			8/28/2012		5/24/2013	6,555	6,170				0	385
60	X	KLA TENCOR CORP PV$0 001	482480100			8/29/2012		5/24/2013	3,113	2,977				0	136
61	X	KLA TENCOR CORP PV$0 001	482480100			8/29/2012		5/28/2013	4,451	4,178				0	273
62	X	KLA TENCOR CORP PV$0 001	482480100			8/30/2012		5/28/2013	5,396	4,967				0	429
63	X	KLA TENCOR CORP PV$0 001	482480100			8/30/2012		5/29/2013	2,279	2,099				0	180
64	X	KLA TENCOR CORP PV$0 001	482480100			8/31/2012		5/29/2013	7,616	7,039				0	577
65	X	KLA TENCOR CORP PV$0 001	482480100			9/4/2012		5/30/2013	7,816	7,207				0	609
66	X	KLA TENCOR CORP PV$0 001	482480100			8/31/2012		5/30/2013	56	51				0	5
67	X	L BRANDS INC	501797104			6/3/2010		4/29/2013	5,854	3,115				0	2,739
68	X	L BRANDS INC	501797104			6/2/2010		4/29/2013	19,365	9,905				0	9,460

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	0
Short Term CG Distributions	0

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Capital Gains/Losses	3,313,753	2,827,971	485,782
Other sales	0	0	0

	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquistion Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improve-ments	Depreciation	Adjustments	Net Gain or Loss
69	X	L BRANDS INC	501797104			6/3/2010		4/30/2013	12,925	6,895				0	6,030
70	X	LIMITED BRANDS INC	532716107			6/2/2010		1/9/2013	3,519	2,048				0	1,471
71	X	LOWE'S COMPANIES INC	548661107			4/29/2013		9/13/2013	4,124	3,374				0	750
72	X	MARATHON OIL CORP	565849106			8/15/2011		12/17/2012	23,241	20,760				0	2,481
73	X	MARATHON OIL CORP	565849106			7/6/2009		12/17/2012	21,860	12,035				0	9,825
74	X	MARATHON OIL CORP	565849106			8/22/2011		1/14/2013	2,943	2,356				0	587
75	X	MARATHON OIL CORP	565849106			8/15/2011		1/14/2013	21,529	18,456				0	3,073
76	X	MARATHON OIL CORP	565849106			8/22/2011		1/15/2013	23,945	19,283				0	4,662
77	X	MASTERCARD INC	57636Q104			12/11/2012		1/9/2013	6,857	6,268				0	589
78	X	MASTERCARD INC	57636Q104			12/11/2012		9/13/2013	9,322	6,750				0	2,572
79	X	MCKESSON CORPORATION C	58155Q103			3/9/2009		1/9/2013	3,841	1,485				0	2,356
80	X	MCKESSON CORPORATION C	58155Q103			3/9/2009		5/8/2013	9,239	3,126				0	6,113
81	X	MERCK AND CO INC SHS	58933Y105			6/25/2012		1/9/2013	2,925	2,756				0	169
82	X	MERCK AND CO INC SHS	58933Y105			6/25/2012		9/13/2013	8,737	7,308				0	1,429
83	X	MICROSOFT CORP	594918104			2/4/2008		1/9/2013	373	429				0	-56
84	X	MICROSOFT CORP	594918104			3/17/2008		1/9/2013	4,979	5,374				0	-395
85	X	MICROSOFT CORP	594918104			11/9/2009		5/8/2013	3,244	2,835				0	409
86	X	MICROSOFT CORP	594918104			3/17/2008		5/8/2013	2,086	1,811				0	275
87	X	MICROSOFT CORP	594918104			11/9/2009		8/8/2013	28,926	25,975				0	2,951
88	X	MICROSOFT CORP	594918104			11/16/2009		8/8/2013	5,283	4,878				0	405
89	X	NRG ENERGY INC	629377508			1/2/2008		1/9/2013	4,115	7,922				0	-3,807
90	X	NRG ENERGY INC	629377508			5/22/2009		1/14/2013	4,072	3,365				0	707
91	X	NRG ENERGY INC	629377508			8/10/2009		1/14/2013	15,010	18,464				0	-3,454
92	X	NRG ENERGY INC	629377508			1/2/2008		1/14/2013	11,519	21,785				0	-10,266
93	X	NRG ENERGY INC	629377508			3/17/2008		1/14/2013	3,491	6,054				0	-2,563
94	X	NRG ENERGY INC	629377508			8/11/2009		1/15/2013	4,737	5,837				0	-1,100
95	X	NRG ENERGY INC	629377508			8/10/2009		1/15/2013	3,353	4,094				0	-741
96	X	NEWS CORP CL A	65248E104			8/30/2010		1/9/2013	3,980	1,889				0	2,091
97	X	NEWS CORP CL A	65248E104			8/30/2010		5/8/2013	3,379	1,326				0	2,053
98	X	NEW NEWSCORP LLC SHS	65249B109			10/4/2012		7/16/2013	8	3				0	5
99	X	NEW NEWSCORP LLC SHS	65249B109			10/4/2012		7/18/2013	3,422	2,540				0	882
100	X	NEW NEWSCORP LLC SHS	65249B109			8/31/2010		7/18/2013	5,683	2,119				0	3,564
101	X	NEW NEWSCORP LLC SHS	65249B109			8/30/2010		7/18/2013	5,761	2,143				0	3,618
102	X	NIKE INC CL B	654106103			12/11/2012		1/9/2013	3,359	3,177				0	182
103	X	NIKE INC CL B	654106103			12/11/2012		9/13/2013	5,944	4,368				0	1,576
104	X	NIKE INC CL B	654106103			12/11/2012		9/18/2013	11,817	8,588				0	3,229
105	X	NIKE INC CL B	654106103			12/12/2012		9/19/2013	2,936	2,091				0	845
106	X	NIKE INC CL B	654106103			12/11/2012		9/19/2013	14,468	10,276				0	4,192
107	X	NIKE INC CL B	654106103			12/12/2012		9/20/2013	12,950	9,359				0	3,591
108	X	NIKE INC CL B	654106103			12/12/2012		9/23/2013	22,438	16,228				0	6,210
109	X	ORACLE CORP $0 01 DEL	68389X105			10/31/2012		1/9/2013	3,867	3,493				0	374
110	X	ORACLE CORP $0 01 DEL	68389X105			10/31/2012		5/8/2013	3,224	3,025				0	199
111	X	ORACLE CORP $0 01 DEL	68389X105			10/31/2012		9/13/2013	3,917	3,743				0	174
112	X	PFIZER INC	717081103			8/13/2012		1/9/2013	4,800	4,339				0	461
113	X	PFIZER INC	717081103			8/13/2012		9/13/2013	11,243	9,394				0	1,849
114	X	PHILIP MORRIS INTL INC	718172109			10/17/2011		1/9/2013	4,893	3,848				0	1,045
115	X	PHILIP MORRIS INTL INC	718172109			10/17/2011		5/8/2013	6,675	4,793				0	1,882
116	X	PHILIP MORRIS INTL INC	718172109			10/17/2011		9/13/2013	7,881	6,076				0	1,805
117	X	RAYTHEON CO DELAWARE N	755111507			1/22/2008		1/9/2013	5,582	5,738				0	-156
118	X	RAYTHEON CO DELAWARE N	755111507			1/22/2008		9/13/2013	7,089	5,440				0	1,649
119	X	RAYTHEON CO DELAWARE N	755111507			1/22/2008		10/21/2013	16,997	13,509				0	3,488
120	X	RAYTHEON CO DELAWARE N	755111507			1/22/2008		10/22/2013	19,071	15,063				0	4,008
121	X	RAYTHEON CO DELAWARE N	755111507			3/17/2008		10/22/2013	2,876	2,430				0	446
122	X	RAYTHEON CO DELAWARE N	755111507			5/22/2009		10/23/2013	17,276	9,810				0	7,466
123	X	RAYTHEON CO DELAWARE N	755111507			3/17/2008		10/23/2013	10,519	8,763				0	1,756
124	X	ROSS STORES INC COM	778296103			5/4/2009		1/9/2013	3,513	1,204				0	2,309
125	X	ROSS STORES INC COM	778296103			10/20/2008		1/9/2013	1,700	444				0	1,256
126	X	ROSS STORES INC COM	778296103			5/4/2009		9/13/2013	6,706	1,864				0	4,842
127	X	WHFIT - TRUST ASSET SALE	78463V107			10/14/2010		12/11/2012	29	24				0	5
128	X	SPDR GOLD TRUST	78463V107			10/14/2010		3/7/2013	87,788	76,788				0	11,000
129	X	SUNCOR ENERGY INC NEW	867224107			1/14/2013		9/13/2013	4,101	3,882				0	219
130	X	SUNTRUST BKS INC COM	867914103			1/14/2013		9/13/2013	3,418	2,908				0	510
131	X	TERADATA CORP DEL	88076W103			11/13/2012		1/9/2013	3,442	3,483				41	0
132	X	TERADATA CORP DEL	88076W103			11/13/2012		9/13/2013	3,354	3,677				0	-323
133	X	3M COMPANY	88579Y101			6/25/2012		1/9/2013	3,377	3,008				0	369
134	X	3M COMPANY	88579Y101			6/25/2012		5/8/2013	3,891	3,094				0	797
135	X	3M COMPANY	88579Y101			6/25/2012		9/13/2013	6,626	4,813				0	1,813
136	X	TIME WARNER CABLE INC	88732J207			9/17/2012		1/9/2013	3,995	3,784				0	211

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount		Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Long Term CG Distributions	0		Capital Gains/Losses	3,313,753	2,827,971	485,782
Short Term CG Distributions	0		Other sales	0	0	0

	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
137	X	TIME WARNER CABLE INC	88732J207			9/17/2012		5/8/2013	3,643	3,415				0	228
138	X	TIME WARNER CABLE INC	88732J207			9/18/2012		6/3/2013	1,992	1,944				0	48
139	X	TIME WARNER CABLE INC	88732J207			9/17/2012		6/3/2013	8,442	8,213				0	229
140	X	TIME WARNER CABLE INC	88732J207			9/18/2012		6/4/2013	10,483	10,185				0	298
141	X	TIME WARNER CABLE INC	88732J207			9/19/2012		6/5/2013	6,827	6,790				0	37
142	X	TIME WARNER CABLE INC	88732J207			9/18/2012		6/5/2013	3,367	3,333				0	34
143	X	TIME WARNER CABLE INC	88732J207			9/24/2012		6/6/2013	1,691	1,729				0	-38
144	X	TIME WARNER CABLE INC	88732J207			9/20/2012		6/6/2013	6,670	6,632				0	38
145	X	TIME WARNER CABLE INC	88732J207			9/19/2012		6/6/2013	2,067	2,046				0	21
146	X	TIME WARNER CABLE INC	88732J207			9/25/2012		8/5/2013	7,363	6,031				0	1,332
147	X	TIME WARNER CABLE INC	88732J207			9/24/2012		8/5/2013	17,181	14,122				0	3,059
148	X	TIME WARNER CABLE INC	88732J207			10/4/2012		8/5/2013	24,194	20,574				0	3,620
149	X	TIME WARNER CABLE INC	88732J207			10/4/2012		8/6/2013	3,545	3,081				0	464
150	X	TRAVELERS COS INC	89417E109			1/22/2008		1/9/2013	5,324	3,320				0	2,004
151	X	TRAVELERS COS INC	89417E109			1/22/2008		9/13/2013	5,419	2,997				0	2,422
152	X	TWENTY-FIRST CENTURY	90130A101			8/30/2010		9/13/2013	7,446	2,549				0	4,897
153	X	TWENTY-FIRST CENTURY	90130A101			8/31/2010		10/10/2013	33	11				0	22
154	X	TWENTY-FIRST CENTURY	90130A101			8/30/2010		10/10/2013	41,067	13,965				0	27,102
155	X	US BANCORP (NEW)	902973304			7/23/2012		1/9/2013	3,453	3,467				14	0
156	X	US BANCORP (NEW)	902973304			7/23/2012		9/13/2013	7,823	7,034				0	789
157	X	UNITED CONTL HLDGS INC	910047109			1/14/2013		9/13/2013	5,050	4,113				0	937
158	X	U S TREASURY BOND	912810QU5			2/24/2012		1/9/2013	49,873	49,143				0	730
159	X	U S TREASURY NOTE	912828LK4			7/26/2011		6/6/2013	16,427	16,321				0	106
160	X	U S TREASURY NOTE	912828LK4			5/8/2013		6/6/2013	6,160	6,171				0	-11
161	X	U S TREASURY NOTE	912828LK4			9/17/2009		6/6/2013	81,107	78,855				0	2,252
162	X	U S TREASURY NOTE	912828NZ9			10/28/2010		6/27/2013	91,610	90,031				0	1,579
163	X	U S TREASURY NOTE	912828NZ9			7/26/2011		6/27/2013	16,286	16,029				0	257
164	X	U S TREASURY NOTE	912828RC6			8/25/2011		5/13/2013	96,923	92,085				0	4,838
165	X	UNITEDHEALTH GROUP INC	91324P102			12/13/2010		1/9/2013	11,182	7,963				0	3,219
166	X	U S TREASURY NOTE	912828QF0			5/27/2011		6/27/2013	96,516	93,822				0	2,694
167	X	U S TREASURY NOTE	912828RC6			10/26/2012		5/13/2013	83,375	83,543				0	-168
168	X	UNITEDHEALTH GROUP INC	91324P102			12/13/2010		2/20/2013	9,677	6,474				0	3,203
169	X	UNITEDHEALTH GROUP INC	91324P102			1/3/2011		2/20/2013	1,168	779				0	389
170	X	UNITEDHEALTH GROUP INC	91324P102			12/14/2010		2/20/2013	30,866	20,438				0	10,428
171	X	UNITEDHEALTH GROUP INC	91324P102			1/3/2011		2/21/2013	22,078	14,833				0	7,245
172	X	UNITEDHEALTH GROUP INC	91324P102			1/3/2011		2/22/2013	23,134	15,723				0	7,411
173	X	VALERO ENERGY CORP NEW	91913Y100			11/14/2011		1/9/2013	3,976	2,840				0	1,136
174	X	VALERO ENERGY CORP NEW	91913Y100			11/14/2011		5/8/2013	3,591	2,090				0	1,501
175	X	VALERO ENERGY CORP NEW	91913Y100			11/14/2011		9/13/2013	5,851	3,730				0	2,121
176	X	VERIZON COMMUNICATNS C(	92343V104			7/28/2009		1/9/2013	3,396	2,317				0	1,079
177	X	VERIZON COMMUNICATNS C(	92343V104			4/6/2009		1/9/2013	2,923	2,092				0	831
178	X	VERIZON COMMUNICATNS C(	92343V104			7/28/2009		4/25/2013	26,167	14,546				0	11,621
179	X	VERIZON COMMUNICATNS C(	92343V104			7/28/2009		5/1/2013	1,061	587				0	474
180	X	VERIZON COMMUNICATNS C(	92343V104			2/13/2012		5/1/2013	21,211	15,301				0	5,910
181	X	VERIZON COMMUNICATNS C(	92343V104			2/14/2012		5/1/2013	4,030	2,888				0	1,142
182	X	VERIZON COMMUNICATNS C(	92343V104			5/8/2012		5/2/2013	20,513	15,901				0	4,612
183	X	VERIZON COMMUNICATNS C(	92343V104			5/7/2012		5/2/2013	11,542	8,925				0	2,617
184	X	VERIZON COMMUNICATNS C(	92343V104			2/14/2012		5/2/2013	7,240	5,244				0	1,996
185	X	WSTN DIGITAL CORP DEL	958102105			2/19/2008		1/9/2013	10,415	7,123				0	3,292
186	X	WSTN DIGITAL CORP DEL	958102105			2/19/2008		1/14/2013	29,301	19,790				0	9,511
187	X	WSTN DIGITAL CORP DEL	958102105			3/17/2008		1/14/2013	9,002	6,132				0	2,870
188	X	WSTN DIGITAL CORP DEL	958102105			5/22/2009		1/15/2013	6,664	3,698				0	2,966
189	X	WSTN DIGITAL CORP DEL	958102105			3/17/2008		1/15/2013	22,035	14,910				0	7,125
190	X	INGERSOLL-RAND PLC	G47791101			11/13/2012		1/9/2013	4,703	4,433				0	270
191	X	INGERSOLL-RAND PLC	G47791101			11/13/2012		5/8/2013	3,436	2,863				0	573
192	X	INGERSOLL-RAND PLC	G47791101			11/13/2012		9/13/2013	4,433	3,232				0	1,201
193	X	ACTIVISION BLIZZARD INC	00507V109			8/16/2011		5/8/2013	6,235	4,471				0	1,764
194	X	ACTIVISION BLIZZARD INC	00507V109			11/14/2011		8/8/2013	14,413	10,579				0	3,834
195	X	ACTIVISION BLIZZARD INC	00507V109			8/16/2011		8/8/2013	12,614	7,855				0	4,759
196	X	ACTIVISION BLIZZARD INC	00507V109			11/14/2011		8/9/2013	8,952	6,721				0	2,231
197	X	ACTIVISION BLIZZARD INC	00507V109			11/15/2011		8/9/2013	14,110	10,116				0	3,994
198	X	AGILENT TECHNOLOGIES INC	00846U101			8/27/2012		5/8/2013	4,356	3,841				0	515
199	X	ALTERA CORP COM	021441100			11/8/2010		1/14/2013	23,711	23,185				0	526
200	X	ALTERA CORP COM	021441100			11/9/2010		1/15/2013	6,775	6,683				0	92
201	X	ALTERA CORP COM	021441100			11/8/2010		1/15/2013	9,170	9,071				0	99
202	X	AMERICAN INTERNATIONAL	026874784			9/17/2012		5/8/2013	3,560	2,790				0	770
203	X	AMERICAN INTERNATIONAL	026874784			9/17/2012		9/13/2013	4,440	3,138				0	1,302
204	X	AMGEN INC COM PV $0 0001	031162100			11/3/2008		1/9/2013	2,740	1,902				0	838

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount		Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Long Term CG Distributions	0		Capital Gains/Losses	3,313,753	2,827,971	485,782
Short Term CG Distributions	0		Other sales	0	0	0

	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
205	X	AMGEN INC COM PV $0 0001	031162100			11/3/2008		1/14/2013	3,735	2,638				0	1,097
206	X	AMGEN INC COM PV $0 0001	031162100			11/15/2010		1/14/2013	16,241	10,244				0	5,997
207	X	APPLE INC	037833100			5/3/2010		1/9/2013	11,466	5,855				0	5,611
208	X	APPLE INC	037833100			5/4/2010		5/8/2013	1,859	1,037				0	822
209	X	APPLE INC	037833100			5/3/2010		5/8/2013	8,366	4,790				0	3,576
210	X	APPLE INC	037833100			5/5/2010		8/8/2013	18,935	10,420				0	8,515
211	X	APPLE INC	037833100			5/4/2010		8/8/2013	24,939	14,004				0	10,935
212	X	APPLE INC	037833100			6/4/2012		8/8/2013	7,389	8,915				0	-1,526
213	X	CF INDS HLDGS INC	125269100			2/6/2012		12/17/2012	39,588	36,279				0	3,309
214	X	CF INDS HLDGS INC	125269100			2/6/2012		12/18/2012	23,961	21,880				0	2,081
215	X	CST BRANDS INC SHS	12646R105			11/28/2011		5/13/2013	28	14				0	14
216	X	CST BRANDS INC SHS	12646R105			11/14/2011		5/15/2013	1,501	837				0	664
217	X	CST BRANDS INC SHS	12646R105			11/15/2011		5/15/2013	2,610	1,473				0	1,137
218	X	CST BRANDS INC SHS	12646R105			11/28/2011		5/15/2013	4,013	1,953				0	2,060
219	X	CVS CAREMARK CORP	126650100			7/9/2012		1/9/2013	3,210	3,030				0	180
220	X	CVS CAREMARK CORP	126650100			7/9/2012		5/8/2013	4,270	3,456				0	814
221	X	CVS CAREMARK CORP	126650100			7/9/2012		9/13/2013	6,972	5,539				0	1,433
222	X	CA INC	12673P105			2/4/2008		12/11/2012	3,322	3,872				0	-550
223	X	CA INC	12673P105			1/22/2008		12/11/2012	14,151	13,635				0	516
224	X	CA INC	12673P105			3/17/2008		12/11/2012	66	66				0	0
225	X	CA INC	12673P105			3/17/2008		12/12/2012	9,826	9,879				0	-53
226	X	CA INC	12673P105			5/22/2009		12/12/2012	7,694	6,080				0	1,614
227	X	CA INC	12673P105			5/22/2009		12/13/2012	4,916	3,908				0	1,008
228	X	CA INC	12673P105			3/12/2012		12/13/2012	6,467	8,080				0	-1,613
229	X	CA INC	12673P105			3/13/2012		12/13/2012	6,117	7,743				0	-1,626
230	X	CA INC	12673P105			3/13/2012		12/14/2012	1,879	2,378				0	-499
231	X	CA INC	12673P105			3/14/2012		12/14/2012	1,224	1,544				0	-320
232	X	CAPITAL ONE FINL	14040H105			4/5/2010		1/9/2013	5,976	4,109				0	1,867
233	X	CAPITAL ONE FINL	14040H105			4/7/2010		4/29/2013	11,522	8,718				0	2,804
234	X	CAPITAL ONE FINL	14040H105			4/5/2010		4/29/2013	14,445	10,786				0	3,659
235	X	CAPITAL ONE FINL	14040H105			4/6/2010		4/29/2013	26,999	20,360				0	6,639
236	X	CHEVRON CORP	166764100			12/19/2007		1/9/2013	13,995	11,622				0	2,373
237	X	CHEVRON CORP	166764100			12/19/2007		1/14/2013	21,873	17,706				0	4,167
238	X	CHEVRON CORP	166764100			1/2/2008		1/14/2013	6,394	5,363				0	1,031
239	X	CHEVRON CORP	166764100			1/2/2008		9/13/2013	10,452	7,903				0	2,549
240	X	CHEVRON CORP	166764100			1/2/2008		10/10/2013	35,663	29,071				0	6,592
241	X	CHUBB CORP	171232101			3/17/2008		1/9/2013	4,206	2,722				0	1,484
242	X	CHUBB CORP	171232101			3/17/2008		5/8/2013	4,297	2,375				0	1,922
243	X	CHUBB CORP	171232101			3/17/2008		9/13/2013	5,066	2,870				0	2,196
244	X	CITIGROUP INC COM NEW	172967424			6/12/2012		1/9/2013	5,338	3,457				0	1,881
245	X	CITIGROUP INC COM NEW	172967424			6/12/2012		5/8/2013	10,181	5,680				0	4,501
246	X	CITIGROUP INC COM NEW	172967424			6/12/2012		9/13/2013	5,098	2,771				0	2,327
247	X	COCA COLA COM	191216100			9/10/2012		5/8/2013	3,345	2,990				0	355
248	X	COCA COLA COM	191216100			9/10/2012		9/13/2013	3,172	3,104				0	68
249	X	COMCAST CORP NEW CL A	20030N101			9/24/2012		1/9/2013	5,191	4,933				0	258
250	X	COMCAST CORP NEW CL A	20030N101			9/24/2012		5/8/2013	5,240	4,421				0	819
251	X	COMCAST CORP NEW CL A	20030N101			9/24/2012		9/13/2013	7,406	6,175				0	1,231
252	X	DISNEY (WALT) CO COM STK	254687106			12/11/2012		1/9/2013	4,875	4,735				0	140
253	X	DISNEY (WALT) CO COM STK	254687106			12/11/2012		9/13/2013	8,273	6,231				0	2,042
254	X	DISCOVER FINL SVCS	254709108			5/23/2011		1/14/2013	17,531	10,860				0	6,671
255	X	DISCOVER FINL SVCS	254709108			5/17/2011		1/14/2013	18,763	11,909				0	6,854
256	X	DISCOVER FINL SVCS	254709108			5/23/2011		9/13/2013	4,316	2,093				0	2,223
257	X	DISH NETWORK CORPATION	25470M109			8/1/2011		12/11/2012	13,040	10,518				0	2,522
258	X	DISH NETWORK CORPATION	25470M109			7/19/2011		12/11/2012	3,278	2,790				0	488
259	X	DISH NETWORK CORPATION	25470M109			7/20/2011		12/11/2012	15,029	12,977				0	2,052
260	X	DISH NETWORK CORPATION	25470M109			8/2/2011		12/12/2012	3,127	2,476				0	651
261	X	DISH NETWORK CORPATION	25470M109			8/1/2011		12/12/2012	12,656	10,221				0	2,435
262	X	DOVER CORP	260003108			5/14/2008		1/9/2013	5,641	4,569				0	1,072
263	X	DOVER CORP	260003108			5/27/2008		1/9/2013	1,007	785				0	222
264	X	DOVER CORP	260003108			5/27/2008		9/13/2013	5,244	3,088				0	2,156
265	X	DR PEPPER SNAPPLE GROUF	26138E109			7/19/2010		1/9/2013	1,552	1,322				0	230
266	X	DR PEPPER SNAPPLE GROUF	26138E109			7/14/2010		1/9/2013	1,369	1,183				0	186
267	X	DR PEPPER SNAPPLE GROUF	26138E109			7/19/2010		9/13/2013	4,310	3,734				0	576
268	X	E M C CORPORATION MASS	268648102			10/31/2012		1/9/2013	3,481	3,554				0	-73
269	X	EXXON MOBIL CORP COM	30231G102			3/17/2008		1/9/2013	9,584	9,440				0	144
270	X	EXXON MOBIL CORP COM	30231G102			3/17/2008		5/8/2013	8,865	8,401				0	464
271	X	EXXON MOBIL CORP COM	30231G102			5/22/2009		8/8/2013	32,513	24,420				0	8,093

Part I, Line 18 (990-PF) - Taxes

		9,156	197	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	197	197		
2	ESTIMATED EXCISE PAYMENTS	8,959			

Part I, Line 23 (990-PF) - Other Expenses

		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Chantable Purposes
		25	0	0	25
	Descnption				
1	STATE AG FEES	25	0		25

Part II, Line 10b (990-PF) - Investments - Corporate Stock

			Num Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
				3,656,880	3,453,850	0	4,605,810
	Description						
1					3,453,850		4,605,810

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

	Description	Interest Rate	Maturity Date	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
				1,714,109	2,445,007	0	2,519,028
1					2,445,007		2,519,028

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	COST BASIS ADJUSTMENT	1	1,226
2	PURCHASE OF ACCRUED INTEREST C/O FROM PY	2	115
3	FEDERAL EXCISE TAX REFUND	3	1,308
4	Total	4	2,649

Line 5 - Decreases not included in Part III, Line 2

1	BOND AMORTIZATION	1	17,290
2	PURCHASE OF ACCRUED INTEREST C/O TO NEXT YEAR	2	1,024
3	Total	3	18,314

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	0
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
						3,313,753	0	55	2,828,026	485,782	0	0	0	485,782
1	EXXON MOBIL CORP COM	30231G102		3/17/2008	8/8/2013	29,967		0	28,339	1,628	0	0	0	1,628
2	FEDERAL HOME LN MTG CORP	3134A4UK8		5/8/2013	11/15/2013	17,000		0	17,410	-410	0	0	0	-410
3	FEDERAL HOME LN MTG CORP	3134A4UK8		7/8/2008	11/15/2013	227,000		0	227,000	0	0	0	0	0
4	FEDERAL HOME LN MTG CORP	3134A4UK8		9/13/2013	11/15/2013	5,000		0	5,038	-38	0	0	0	-38
5	FLUOR CORP NEW DEL COM	343412102		5/10/2011	12/11/2012	10,086		0	12,570	-2,484	0	0	0	-2,484
6	FLUOR CORP NEW DEL COM	343412102		5/9/2011	12/11/2012	12,359		0	15,289	-2,930	0	0	0	-2,930
7	FLUOR CORP NEW DEL COM	343412102		5/11/2011	12/12/2012	5 984		0	7,413	-1,429	0	0	0	-1,429
8	FLUOR CORP NEW DEL COM	343412102		5/10/2011	12/12/2012	14,640		0	18,311	-3,671	0	0	0	-3,671
9	FLUOR CORP NEW DEL COM	343412102		5/11/2011	12/13/2012	16,769		0	21,089	-4,320	0	0	0	-4,320
10	FLUOR CORP NEW DEL COM	343412102		5/12/2011	12/13/2012	3,148		0	3,897	-749	0	0	0	-749
11	FOREST LABS INC	345838106		8/25/2008	1/9/2013	5,770		0	5,798	-28	0	0	0	-28
12	FOREST LABS INC	345838106		3/17/2008	1/9/2013	74		0	76	-2	0	0	0	-2
13	FOREST LABS INC	345838106		8/25/2008	1/29/2013	6,799		0	6,950	-151	0	0	0	-151
14	FOREST LABS INC	345838106		8/25/2008	1/30/2013	6,802		0	6,950	-148	0	0	0	-148
15	FOREST LABS INC	345838106		8/25/2008	1/31/2013	6,843		0	6,987	-144	0	0	0	-144
16	FOREST LABS INC	345838106		8/25/2008	2/1/2013	6,843		0	6,987	-144	0	0	0	-144
17	FOREST LABS INC	345838106		8/25/2008	2/4/2013	6,813		0	7,024	-211	0	0	0	-211
18	FOREST LABS INC	345838106		8/25/2008	2/5/2013	4,516		0	4,646	-130	0	0	0	-130
19	FOREST LABS INC	345838106		5/22/2009	2/5/2013	2,601		0	1,663	938	0	0	0	938
20	FOREST LABS INC	345838106		5/22/2009	2/6/2013	6,783		0	4,389	2,394	0	0	0	2,394
21	FOREST LABS INC	345838106		5/22/2009	2/7/2013	3 298		0	2,148	1,150	0	0	0	1,150
22	GAP INC DELAWARE	364760108		10/26/2009	12/21/2012	22,878		0	16,504	6,374	0	0	0	6,374
23	GAP INC DELAWARE	364760108		4/15/2008	12/21/2012	1,379		0	827	552	0	0	0	552
24	GAP INC DELAWARE	364760108		7/26/2010	12/21/2012	2,068		0	1,245	823	0	0	0	823
25	GAP INC DELAWARE	364760108		7/26/2010	12/26/2012	17,998		0	11,114	6,884	0	0	0	6,884
26	GAP INC DELAWARE	364760108		7/26/2010	12/27/2012	15,893		0	9,849	6,044	0	0	0	6,044
27	GAP INC DELAWARE	364760108		4/24/2012	12/27/2012	5,754		0	5,191	563	0	0	0	563
28	GAP INC DELAWARE	364760108		4/23/2012	12/27/2012	8,921		0	8,131	790	0	0	0	790
29	GAP INC DELAWARE	364760108		4/24/2012	12/28/2012	5,819		0	5,246	573	0	0	0	573
30	GAP INC DELAWARE	364760108		4/25/2012	12/28/2012	4,479		0	4,101	378	0	0	0	378
31	GENERAL ELEC CAP CORP	36962G4H4		7/26/2011	1/8/2013	17,000		0	17,000	0	0	0	0	0
32	GENERAL ELEC CAP CORP	36962G4H4		1/11/2010	1/8/2013	152,000		0	152,000	0	0	0	0	0
33	GOLDMAN SACHS GROUP INC	38141G104		9/24/2012	1/9/2013	5,746		0	5,016	730	0	0	0	730
34	GOLDMAN SACHS GROUP INC	38141G104		9/24/2012	5/8/2013	5,118		0	3,967	1,151	0	0	0	1,151
35	GOLDMAN SACHS GROUP INC	38141G104		9/25/2012	9/13/2013	986		0	696	290	0	0	0	290
36	GOLDMAN SACHS GROUP INC	38141G104		9/24/2012	9/13/2013	5,259		0	3,733	1,526	0	0	0	1 526
37	GOLDMAN SACHS GROUP INC	38141G104		9/25/2012	10/10/2013	40,925		0	30,297	10,628	0	0	0	10,628
38	GOOGLE INC CL A	38259P508		6/25/2012	1/9/2013	6,590		0	5,048	1,542	0	0	0	1,542
39	GOOGLE INC CL A	38259P508		6/25/2012	5/8/2013	9,557		0	6,170	3,387	0	0	0	3,387
40	GOOGLE INC CL A	38259P508		6/25/2012	9/13/2013	6,227		0	3,926	2,301	0	0	0	2,301
41	HONEYWELL INTL INC DEL	438516106		3/17/2008	12/11/2012	9,093		0	8,171	922	0	0	0	922
42	HONEYWELL INTL INC DEL	438516106		5/22/2009	12/12/2012	11,685		0	6,067	5,618	0	0	0	5 618
43	HONEYWELL INTL INC DEL	438516106		3/17/2008	12/12/2012	7,872		0	7,115	757	0	0	0	757
44	INTL BUSINESS MACHINES	459200101		2/21/2013	8/8/2013	5,267		0	5,563	-296	0	0	0	-296
45	INTL BUSINESS MACHINES	459200101		2/22/2013	8/8/2013	26,333		0	28,030	-1,697	0	0	0	-1,697
46	INTL BUSINESS MACHINES	459200101		2/20/2013	8/8/2013	8,652		0	9,229	-577	0	0	0	-577
47	INTL PAPER CO	460146103		10/22/2012	1/9/2013	3,717		0	3,456	261	0	0	0	261
48	INTL PAPER CO	460146103		10/22/2012	9/13/2013	8,393		0	6,498	1,895	0	0	0	1,895
49	INTL PAPER CO	460146103		10/23/2012	10/10/2013	9,110		0	7,698	1,412	0	0	0	1,412
50	INTL PAPER CO	460146103		10/22/2012	10/10/2013	27,725		0	23,776	3,949	0	0	0	3,949
51	JPMORGAN CHASE & CO	46625H100		6/8/2012	1/9/2013	1,591		0	1,167	424	0	0	0	424
52	JPMORGAN CHASE & CO	46625H100		6/7/2012	1/9/2013	4,592		0	3,365	1,227	0	0	0	1,227
53	JPMORGAN CHASE & CO	46625H100		6/8/2012	5/8/2013	7,679		0	5,134	2,545	0	0	0	2,545
54	JPMORGAN CHASE & CO	46625H100		6/11/2012	9/13/2013	5,314		0	3,380	1,934	0	0	0	1,934
55	JPMORGAN CHASE & CO	46625H100		6/8/2012	9/13/2013	737		0	467	270	0	0	0	270
56	HONEYWELL INTL INC DEL	438516106		2/4/2008	12/11/2012	4,640		0	4,537	103	0	0	0	103
57	HONEYWELL INTL INC DEL	438516106		1/22/2008	12/11/2012	28,456		0	24,773	3,683	0	0	0	3,683
58	KLA TENCOR CORP PV$0 001	482480100		8/28/2012	5/23/2013	9,428		0	8,844	584	0	0	0	584
59	KLA TENCOR CORP PV$0 001	482480100		8/28/2012	5/24/2013	6,555		0	6,170	385	0	0	0	385
60	KLA TENCOR CORP PV$0 001	482480100		8/29/2012	5/24/2013	3,113		0	2,977	136	0	0	0	136
61	KLA TENCOR CORP PV$0 001	482480100		8/29/2012	5/28/2013	4,451		0	4,178	273	0	0	0	273
62	KLA TENCOR CORP PV$0 001	482480100		8/30/2012	5/28/2013	5,396		0	4,967	429	0	0	0	429
63	KLA TENCOR CORP PV$0 001	482480100		8/30/2012	5/29/2013	2,279		0	2,099	180	0	0	0	180
64	KLA TENCOR CORP PV$0 001	482480100		8/31/2012	5/29/2013	7,616		0	7,039	577	0	0	0	577
65	KLA TENCOR CORP PV$0 001	482480100		9/4/2012	5/30/2013	7,816		0	7,207	609	0	0	0	609
66	KLA TENCOR CORP PV$0 001	482480100		8/31/2012	5/30/2013	56		0	51	5	0	0	0	5
67	L BRANDS INC	501797104		6/3/2010	4/29/2013	5,854		0	3,115	2,739	0	0	0	2,739
68	L BRANDS INC	501797104		6/2/2010	4/29/2013	19,365		0	9,905	9,460	0	0	0	9,460
69	L BRANDS INC	501797104		6/3/2010	4/30/2013	12,925		0	6,895	6,030	0	0	0	6,030
70	LIMITED BRANDS INC	532716107		6/2/2010	1/9/2013	3,519		0	2,048	1,471	0	0	0	1,471
71	LOWE'S COMPANIES INC	548661107		4/29/2013	9/13/2013	4,124		0	3,374	750	0	0	0	750
72	MARATHON OIL CORP	565849106		8/15/2011	12/17/2012	23,241		0	20,760	2,481	0	0	0	2,481
73	MARATHON OIL CORP	565849106		7/6/2009	12/17/2012	21,860		0	12,035	9,825	0	0	0	9,825
74	MARATHON OIL CORP	565849106		8/22/2011	1/14/2013	2,943		0	2,356	587	0	0	0	587
75	MARATHON OIL CORP	565849106		8/15/2011	1/14/2013	21,529		0	18,456	3,073	0	0	0	3,073
76	MARATHON OIL CORP	565849106		8/22/2011	1/15/2013	23,945		0	19,283	4,662	0	0	0	4,662
77	MASTERCARD INC	57636Q104		12/11/2012	1/9/2013	6,857		0	6,268	589	0	0	0	589
78	MASTERCARD INC	57636Q104		12/11/2012	9/13/2013	9,322		0	6,750	2,572	0	0	0	2,572
79	MCKESSON CORPORATION COM	58155Q103		3/9/2009	1/9/2013	3,841		0	1,485	2,356	0	0	0	2,356
80	MCKESSON CORPORATION COM	58155Q103		3/9/2009	5/8/2013	9,239		0	3,126	6,113	0	0	0	6,113
81	MERCK AND CO INC SHS	58933Y105		6/25/2012	1/9/2013	2,925		0	2,756	169	0	0	0	169
82	MERCK AND CO INC SHS	58933Y105		6/25/2012	9/13/2013	8,737		0	7,308	1,429	0	0	0	1,429
83	MICROSOFT CORP	594918104		2/4/2008	1/9/2013	373		0	429	-56	0	0	0	-56
84	MICROSOFT CORP	594918104		3/17/2008	1/9/2013	4,979		0	5,374	-395	0	0	0	-395

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	0
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
						3,313,753	0	55	2,828,026	485,782	0	0	0	485,782
85	MICROSOFT CORP	594918104		11/9/2009	5/8/2013	3 244		0	2,835	409	0	0	0	409
86	MICROSOFT CORP	594918104		3/17/2008	5/8/2013	2,086		0	1,811	275	0	0	0	275
87	MICROSOFT CORP	594918104		11/9/2009	8/8/2013	28,926		0	25,975	2,951	0	0	0	2,951
88	MICROSOFT CORP	594918104		11/16/2009	8/8/2013	5,283		0	4,878	405	0	0	0	405
89	NRG ENERGY INC	629377508		1/2/2008	1/9/2013	4,115		0	7,922	-3,807	0	0	0	-3,807
90	NRG ENERGY INC	629377508		5/22/2009	1/14/2013	4,072		0	3,365	707	0	0	0	707
91	NRG ENERGY INC	629377508		8/10/2009	1/14/2013	15,010		0	18,464	-3,454	0	0	0	-3,454
92	NRG ENERGY INC	629377508		1/2/2008	1/14/2013	11 519		0	21,785	-10,266	0	0	0	-10,266
93	NRG ENERGY INC	629377508		3/17/2008	1/14/2013	3 491		0	6,054	-2,563	0	0	0	-2,563
94	NRG ENERGY INC	629377508		8/11/2009	1/15/2013	4,737		0	5,837	-1,100	0	0	0	-1,100
95	NRG ENERGY INC	629377508		8/10/2009	1/15/2013	3,353		0	4,094	-741	0	0	0	-741
96	NEWS CORP CL A	65248E104		8/30/2010	1/9/2013	3,980		0	1,889	2,091	0	0	0	2,091
97	NEWS CORP CL A	65248E104		8/30/2010	5/8/2013	3,379		0	1,326	2,053	0	0	0	2,053
98	NEW NEWSCORP LLC SHS	65249B109		10/4/2012	7/16/2013	8		0	3	5	0	0	0	5
99	NEW NEWSCORP LLC SHS	65249B109		10/4/2012	7/18/2013	3,422		0	2,540	882	0	0	0	882
100	NEW NEWSCORP LLC SHS	65249B109		8/31/2010	7/18/2013	5,683		0	2,119	3,564	0	0	0	3,564
101	NEW NEWSCORP LLC SHS	65249B109		8/30/2010	7/18/2013	5,761		0	2,143	3,618	0	0	0	3,618
102	NIKE INC CL B	654106103		12/11/2012	1/9/2013	3,359		0	3,177	182	0	0	0	182
103	NIKE INC CL B	654106103		12/11/2012	9/13/2013	5,944		0	4,368	1,576	0	0	0	1,576
104	NIKE INC CL B	654106103		12/11/2012	9/18/2013	11,817		0	8,588	3,229	0	0	0	3,229
105	NIKE INC CL B	654106103		12/12/2012	9/19/2013	2,936		0	2,091	845	0	0	0	845
106	NIKE INC CL B	654106103		12/11/2012	9/19/2013	14,468		0	10,276	4,192	0	0	0	4 192
107	NIKE INC CL B	654106103		12/12/2012	9/20/2013	12,950		0	9,359	3,591	0	0	0	3,591
108	NIKE INC CL B	654106103		12/12/2012	9/23/2013	22,438		0	16,228	6,210	0	0	0	6,210
109	ORACLE CORP $0 01 DEL	68389X105		10/31/2012	1/9/2013	3,867		0	3,493	374	0	0	0	374
110	ORACLE CORP $0 01 DEL	68389X105		10/31/2012	5/8/2013	3,224		0	3,025	199	0	0	0	199
111	ORACLE CORP $0 01 DEL	68389X105		10/31/2012	9/13/2013	3,917		0	3,743	174	0	0	0	174
112	PFIZER INC	717081103		8/13/2012	1/9/2013	4 800		0	4,339	461	0	0	0	461
113	PFIZER INC	717081103		8/13/2012	9/13/2013	11,243		0	9,394	1,849	0	0	0	1 849
114	PHILIP MORRIS INTL INC	718172109		10/17/2011	1/9/2013	4,893		0	3,848	1,045	0	0	0	1,045
115	PHILIP MORRIS INTL INC	718172109		10/17/2011	5/8/2013	6,675		0	4,793	1,882	0	0	0	1,882
116	PHILIP MORRIS INTL INC	718172109		10/17/2011	9/13/2013	7,881		0	6,076	1,805	0	0	0	1,805
117	RAYTHEON CO DELAWARE NEW	755111507		1/22/2008	1/9/2013	5,582		0	5,738	-156	0	0	0	-156
118	RAYTHEON CO DELAWARE NEW	755111507		1/22/2008	9/13/2013	7,089		0	5,440	1,649	0	0	0	1,649
119	RAYTHEON CO DELAWARE NEW	755111507		1/22/2008	10/21/2013	16,997		0	13,509	3,488	0	0	0	3,488
120	RAYTHEON CO DELAWARE NEW	755111507		1/22/2008	10/22/2013	19,071		0	15,063	4,008	0	0	0	4 008
121	RAYTHEON CO DELAWARE NEW	755111507		3/17/2008	10/22/2013	2,876		0	2,430	446	0	0	0	446
122	RAYTHEON CO DELAWARE NEW	755111507		5/22/2009	10/23/2013	17,276		0	9,810	7,466	0	0	0	7,466
123	RAYTHEON CO DELAWARE NEW	755111507		3/17/2008	10/23/2013	10,519		0	8,763	1,756	0	0	0	1,756
124	ROSS STORES INC COM	778296103		5/4/2009	1/9/2013	3,513		0	1,204	2,309	0	0	0	2,309
125	ROSS STORES INC COM	778296103		10/20/2008	1/9/2013	1,700		0	444	1 256	0	0	0	1,256
126	ROSS STORES INC COM	778296103		5/4/2009	9/13/2013	6,706		0	1,864	4,842	0	0	0	4,842
127	WHFIT - TRUST ASSET SALE	78463V107		10/14/2010	12/11/2012	29		0	24	5	0	0	0	5
128	SPDR GOLD TRUST	78463V107		10/14/2010	3/7/2013	87,788		0	76,788	11,000	0	0	0	11,000
129	SUNCOR ENERGY INC NEW	867224107		1/14/2013	9/13/2013	4,101		0	3,882	219	0	0	0	219
130	SUNTRUST BKS INC COM	867914103		1/14/2013	9/13/2013	3,418		0	2,908	510	0	0	0	510
131	TERADATA CORP DEL	88076W103		11/13/2012	1/9/2013	3,442		41	3,483	0	0	0	0	0
132	TERADATA CORP DEL	88076W103		11/13/2012	9/13/2013	3,354		0	3,677	-323	0	0	0	-323
133	3M COMPANY	88579Y101		6/25/2012	1/9/2013	3,377		0	3,008	369	0	0	0	369
134	3M COMPANY	88579Y101		6/25/2012	5/8/2013	3,891		0	3,094	797	0	0	0	797
135	3M COMPANY	88579Y101		6/25/2012	9/13/2013	6,626		0	4,813	1,813	0	0	0	1,813
136	TIME WARNER CABLE INC	88732J207		9/17/2012	1/9/2013	3,995		0	3,784	211	0	0	0	211
137	TIME WARNER CABLE INC	88732J207		9/17/2012	5/8/2013	3,643		0	3,415	228	0	0	0	228
138	TIME WARNER CABLE INC	88732J207		9/18/2012	6/3/2013	1,992		0	1,944	48	0	0	0	48
139	TIME WARNER CABLE INC	88732J207		9/17/2012	6/3/2013	8,442		0	8,213	229	0	0	0	229
140	TIME WARNER CABLE INC	88732J207		9/18/2012	6/4/2013	10,483		0	10,185	298	0	0	0	298
141	TIME WARNER CABLE INC	88732J207		9/19/2012	6/5/2013	6,827		0	6,790	37	0	0	0	37
142	TIME WARNER CABLE INC	88732J207		9/18/2012	6/5/2013	3,367		0	3,333	34	0	0	0	34
143	TIME WARNER CABLE INC	88732J207		9/24/2012	6/6/2013	1,691		0	1,729	-38	0	0	0	-38
144	TIME WARNER CABLE INC	88732J207		9/20/2012	6/6/2013	6,670		0	6,632	38	0	0	0	38
145	TIME WARNER CABLE INC	88732J207		9/19/2012	6/6/2013	2,067		0	2,046	21	0	0	0	21
146	TIME WARNER CABLE INC	88732J207		9/25/2012	8/5/2013	7,363		0	6,031	1,332	0	0	0	1,332
147	TIME WARNER CABLE INC	88732J207		9/24/2012	8/5/2013	17,181		0	14,122	3,059	0	0	0	3,059
148	TIME WARNER CABLE INC	88732J207		10/4/2012	8/5/2013	24,194		0	20,574	3,620	0	0	0	3,620
149	TIME WARNER CABLE INC	88732J207		10/4/2012	8/6/2013	3,545		0	3,081	464	0	0	0	464
150	TRAVELERS COS INC	89417E109		1/22/2008	1/9/2013	5,324		0	3,320	2,004	0	0	0	2,004
151	TRAVELERS COS INC	89417E109		1/22/2008	9/13/2013	5,419		0	2,997	2,422	0	0	0	2,422
152	TWENTY-FIRST CENTURY	90130A101		8/30/2010	9/13/2013	7,446		0	2,549	4,897	0	0	0	4,897
153	TWENTY-FIRST CENTURY	90130A101		8/31/2010	10/10/2013	33		0	11	22	0	0	0	22
154	TWENTY-FIRST CENTURY	90130A101		8/30/2010	10/10/2013	41,067		0	13,965	27,102	0	0	0	27,102
155	US BANCORP (NEW)	902973304		7/23/2012	1/9/2013	3,453		14	3,467	0	0	0	0	0
156	US BANCORP (NEW)	902973304		7/23/2012	9/13/2013	7,823		0	7,034	789	0	0	0	789
157	UNITED CONTL HLDGS INC	910047109		1/14/2013	9/13/2013	5,050		0	4,113	937	0	0	0	937
158	U S TREASURY BOND	912810QU5		2/24/2012	1/9/2013	49,873		0	49,143	730	0	0	0	730
159	U S TREASURY NOTE	912828LK4		7/26/2011	6/6/2013	16,427		0	16,321	106	0	0	0	106
160	U S TREASURY NOTE	912828LK4		5/8/2013	6/6/2013	6,160		0	6,171	-11	0	0	0	-11
161	U S TREASURY NOTE	912828LK4		9/17/2009	6/6/2013	81,107		0	78,855	2,252	0	0	0	2,252
162	U S TREASURY NOTE	912828NZ9		10/28/2010	6/27/2013	91,610		0	90,031	1,579	0	0	0	1,579
163	U S TREASURY NOTE	912828NZ9		7/26/2011	6/27/2013	16 286		0	16,029	257	0	0	0	257
164	U S TREASURY NOTE	912828RC6		8/25/2011	5/13/2013	96,923		0	92,085	4,838	0	0	0	4,838
165	UNITEDHEALTH GROUP INC	91324P102		12/13/2010	1/9/2013	11,182		0	7,963	3,219	0	0	0	3,219
166	U S TREASURY NOTE	912828QF0		5/27/2011	6/27/2013	96,516		0	93,822	2 694	0	0	0	2,694
167	U S TREASURY NOTE	912828RC6		10/26/2012	5/13/2013	83,375		0	83,543	-168	0	0	0	-168
168	UNITEDHEALTH GROUP INC	91324P102		12/13/2010	2/20/2013	9,677		0	6,474	3,203	0	0	0	3,203

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	0
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
						3,313,753	0	55	2,828,026	485,782	0	0	0	485,782
169	UNITEDHEALTH GROUP INC	91324P102		1/3/2011	2/20/2013	1,168		0	779	389	0	0	0	389
170	UNITEDHEALTH GROUP INC	91324P102		12/14/2010	2/20/2013	30,866		0	20,438	10,428	0	0	0	10,428
171	UNITEDHEALTH GROUP INC	91324P102		1/3/2011	2/21/2013	22,078		0	14,833	7,245	0	0	0	7,245
172	UNITEDHEALTH GROUP INC	91324P102		1/3/2011	2/22/2013	23,134		0	15,723	7,411	0	0	0	7,411
173	VALERO ENERGY CORP NEW	91913Y100		11/14/2011	1/9/2013	3,976		0	2,840	1,136	0	0	0	1,136
174	VALERO ENERGY CORP NEW	91913Y100		11/14/2011	5/8/2013	3,591		0	2,090	1,501	0	0	0	1,501
175	VALERO ENERGY CORP NEW	91913Y100		11/14/2011	9/13/2013	5,851		0	3,730	2,121	0	0	0	2,121
176	VERIZON COMMUNICATNS COM	92343V104		7/28/2009	1/9/2013	3 396		0	2,317	1,079	0	0	0	1,079
177	VERIZON COMMUNICATNS COM	92343V104		4/6/2009	1/9/2013	2 923		0	2,092	831	0	0	0	831
178	VERIZON COMMUNICATNS COM	92343V104		7/28/2009	4/25/2013	26,167		0	14,546	11,621	0	0	0	11,621
179	VERIZON COMMUNICATNS COM	92343V104		7/28/2009	5/1/2013	1 061		0	587	474	0	0	0	474
180	VERIZON COMMUNICATNS COM	92343V104		2/13/2012	5/1/2013	21,211		0	15,301	5,910	0	0	0	5,910
181	VERIZON COMMUNICATNS COM	92343V104		2/14/2012	5/1/2013	4,030		0	2,888	1,142	0	0	0	1,142
182	VERIZON COMMUNICATNS COM	92343V104		5/8/2012	5/2/2013	20,513		0	15,901	4,612	0	0	0	4,612
183	VERIZON COMMUNICATNS COM	92343V104		5/7/2012	5/2/2013	11,542		0	8,925	2,617	0	0	0	2,617
184	VERIZON COMMUNICATNS COM	92343V104		2/14/2012	5/2/2013	7,240		0	5 244	1,996	0	0	0	1,996
185	WSTN DIGITAL CORP DEL	958102105		2/19/2008	1/9/2013	10,415		0	7,123	3,292	0	0	0	3,292
186	WSTN DIGITAL CORP DEL	958102105		2/19/2008	1/14/2013	29,301		0	19,790	9 511	0	0	0	9,511
187	WSTN DIGITAL CORP DEL	958102105		3/17/2008	1/14/2013	9,002		0	6,132	2 870	0	0	0	2,870
188	WSTN DIGITAL CORP DEL	958102105		5/22/2009	1/15/2013	6,664		0	3,698	2,966	0	0	0	2,966
189	WSTN DIGITAL CORP DEL	958102105		3/17/2008	1/15/2013	22,035		0	14,910	7,125	0	0	0	7,125
190	INGERSOLL-RAND PLC	G47791101		11/13/2012	1/9/2013	4 703		0	4,433	270	0	0	0	270
191	INGERSOLL-RAND PLC	G47791101		11/13/2012	5/8/2013	3,436		0	2,863	573	0	0	0	573
192	INGERSOLL-RAND PLC	G47791101		11/13/2012	9/13/2013	4,433		0	3,232	1,201	0	0	0	1,201
193	ACTIVISION BLIZZARD INC	00507V109		8/16/2011	5/8/2013	6,235		0	4,471	1,764	0	0	0	1,764
194	ACTIVISION BLIZZARD INC	00507V109		11/14/2011	8/8/2013	14,413		0	10,579	3,834	0	0	0	3,834
195	ACTIVISION BLIZZARD INC	00507V109		8/16/2011	8/8/2013	12,614		0	7,855	4 759	0	0	0	4,759
196	ACTIVISION BLIZZARD INC	00507V109		11/14/2011	8/9/2013	8 952		0	6,721	2,231	0	0	0	2,231
197	ACTIVISION BLIZZARD INC	00507V109		11/15/2011	8/9/2013	14 110		0	10 116	3,994	0	0	0	3,994
198	AGILENT TECHNOLOGIES INC	00846U101		8/27/2012	5/8/2013	4,356		0	3 841	515	0	0	0	515
199	ALTERA CORP COM	021441100		11/8/2010	1/14/2013	23,711		0	23 185	526	0	0	0	526
200	ALTERA CORP COM	021441100		11/9/2010	1/15/2013	6,775		0	6,683	92	0	0	0	92
201	ALTERA CORP COM	021441100		11/8/2010	1/15/2013	9,170		0	9,071	99	0	0	0	99
202	AMERICAN INTERNATIONAL	026874784		9/17/2012	5/8/2013	3,560		0	2,790	770	0	0	0	770
203	AMERICAN INTERNATIONAL	026874784		9/17/2012	9/13/2013	4,440		0	3,138	1,302	0	0	0	1,302
204	AMGEN INC COM PV $0 0001	031162100		11/3/2008	1/9/2013	2,740		0	1,902	838	0	0	0	838
205	AMGEN INC COM PV $0 0001	031162100		11/3/2008	1/14/2013	3,735		0	2,638	1,097	0	0	0	1,097
206	AMGEN INC COM PV $0 0001	031162100		11/15/2010	1/14/2013	16,241		0	10,244	5,997	0	0	0	5,997
207	APPLE INC	037833100		5/3/2010	1/9/2013	11,466		0	5,855	5,611	0	0	0	5,611
208	APPLE INC	037833100		5/4/2010	5/8/2013	1,859		0	1,037	822	0	0	0	822
209	APPLE INC	037833100		5/3/2010	5/8/2013	8,366		0	4,790	3,576	0	0	0	3,576
210	APPLE INC	037833100		5/5/2010	8/8/2013	18,935		0	10,420	8 515	0	0	0	8,515
211	APPLE INC	037833100		5/4/2010	8/8/2013	24 939		0	14,004	10,935	0	0	0	10 935
212	APPLE INC	037833100		6/4/2012	8/8/2013	7,389		0	8,915	-1,526	0	0	0	-1,526
213	CF INDS HLDGS INC	125269100		2/6/2012	12/17/2012	39 588		0	36,279	3,309	0	0	0	3,309
214	CF INDS HLDGS INC	125269100		2/6/2012	12/18/2012	23 961		0	21,880	2,081	0	0	0	2,081
215	CST BRANDS INC SHS	12646R105		11/28/2011	5/13/2013	28		0	14	14	0	0	0	14
216	CST BRANDS INC SHS	12646R105		11/14/2011	5/15/2013	1,501		0	837	664	0	0	0	664
217	CST BRANDS INC SHS	12646R105		11/15/2011	5/15/2013	2,610		0	1,473	1,137	0	0	0	1,137
218	CST BRANDS INC SHS	12646R105		11/28/2011	5/15/2013	4,013		0	1,953	2,060	0	0	0	2,060
219	CVS CAREMARK CORP	126650100		7/9/2012	1/9/2013	3,210		0	3,030	180	0	0	0	180
220	CVS CAREMARK CORP	126650100		7/9/2012	5/8/2013	4,270		0	3,456	814	0	0	0	814
221	CVS CAREMARK CORP	126650100		7/9/2012	9/13/2013	6,972		0	5,539	1,433	0	0	0	1,433
222	CA INC	12673P105		2/4/2008	12/11/2012	3,322		0	3,872	-550	0	0	0	-550
223	CA INC	12673P105		1/22/2008	12/11/2012	14,151		0	13,635	516	0	0	0	516
224	CA INC	12673P105		3/17/2008	12/11/2012	66		0	66	0	0	0	0	0
225	CA INC	12673P105		3/17/2008	12/12/2012	9,826		0	9,879	-53	0	0	0	-53
226	CA INC	12673P105		5/22/2009	12/12/2012	7,694		0	6,080	1,614	0	0	0	1,614
227	CA INC	12673P105		5/22/2009	12/13/2012	4 916		0	3,908	1,008	0	0	0	1,008
228	CA INC	12673P105		3/12/2012	12/13/2012	6,467		0	8,080	-1 613	0	0	0	-1,613
229	CA INC	12673P105		3/13/2012	12/13/2012	6,117		0	7,743	-1,626	0	0	0	-1,626
230	CA INC	12673P105		3/13/2012	12/14/2012	1,879		0	2,378	-499	0	0	0	-499
231	CA INC	12673P105		3/14/2012	12/14/2012	1,224		0	1,544	-320	0	0	0	-320
232	CAPITAL ONE FINL	14040H105		4/5/2010	1/9/2013	5,976		0	4,109	1,867	0	0	0	1,867
233	CAPITAL ONE FINL	14040H105		4/7/2010	4/29/2013	11 522		0	8,718	2,804	0	0	0	2,804
234	CAPITAL ONE FINL	14040H105		4/5/2010	4/29/2013	14,445		0	10 786	3,659	0	0	0	3,659
235	CAPITAL ONE FINL	14040H105		4/6/2010	4/29/2013	26,999		0	20,360	6,639	0	0	0	6,639
236	CHEVRON CORP	166764100		12/19/2007	1/9/2013	13 995		0	11,622	2 373	0	0	0	2,373
237	CHEVRON CORP	166764100		12/19/2007	1/14/2013	21,873		0	17,706	4,167	0	0	0	4,167
238	CHEVRON CORP	166764100		1/2/2008	1/14/2013	6,394		0	5,363	1,031	0	0	0	1,031
239	CHEVRON CORP	166764100		1/2/2008	9/13/2013	10,452		0	7,903	2,549	0	0	0	2,549
240	CHEVRON CORP	166764100		1/2/2008	10/10/2013	35,663		0	29,071	6,592	0	0	0	6,592
241	CHUBB CORP	171232101		3/17/2008	1/9/2013	4,206		0	2,722	1,484	0	0	0	1,484
242	CHUBB CORP	171232101		3/17/2008	5/8/2013	4,297		0	2,375	1,922	0	0	0	1,922
243	CHUBB CORP	171232101		3/17/2008	9/13/2013	5,066		0	2,870	2,196	0	0	0	2,196
244	CITIGROUP INC COM NEW	172967424		6/12/2012	1/9/2013	5,338		0	3,457	1,881	0	0	0	1,881
245	CITIGROUP INC COM NEW	172967424		6/12/2012	5/8/2013	10,181		0	5,680	4,501	0	0	0	4,501
246	CITIGROUP INC COM NEW	172967424		6/12/2012	9/13/2013	5,098		0	2,771	2,327	0	0	0	2,327
247	COCA COLA COM	191216100		9/10/2012	5/8/2013	3,345		0	2,990	355	0	0	0	355
248	COCA COLA COM	191216100		9/10/2012	9/13/2013	3,172		0	3,104	68	0	0	0	68
249	COMCAST CORP NEW CL A	20030N101		9/24/2012	1/9/2013	5,191		0	4,933	258	0	0	0	258
250	COMCAST CORP NEW CL A	20030N101		9/24/2012	5/8/2013	5 240		0	4,421	819	0	0	0	819
251	COMCAST CORP NEW CL A	20030N101		9/24/2012	9/13/2013	7,406		0	6,175	1,231	0	0	0	1,231
252	DISNEY (WALT) CO COM STK	254687106		12/11/2012	1/9/2013	4,875		0	4,735	140	0	0	0	140

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	0
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
						3,313,753	0	55	2,828,026	485,782	0	0	0	485,782
253	DISNEY (WALT) CO COM STK	254687106		12/11/2012	9/13/2013	8 273		0	6,231	2,042	0	0	0	2,042
254	DISCOVER FINL SVCS	254709108		5/23/2011	1/14/2013	17,531		0	10,860	6,671	0	0	0	6,671
255	DISCOVER FINL SVCS	254709108		5/17/2011	1/14/2013	18,763		0	11,909	6,854	0	0	0	6,854
256	DISCOVER FINL SVCS	254709108		5/23/2011	9/13/2013	4,316		0	2,093	2 223	0	0	0	2,223
257	DISH NETWORK CORPATION	25470M109		8/1/2011	12/11/2012	13,040		0	10,518	2,522	0	0	0	2,522
258	DISH NETWORK CORPATION	25470M109		7/19/2011	12/11/2012	3,278		0	2,790	488	0	0	0	488
259	DISH NETWORK CORPATION	25470M109		7/20/2011	12/11/2012	15,029		0	12,977	2,052	0	0	0	2,052
260	DISH NETWORK CORPATION	25470M109		8/2/2011	12/12/2012	3,127		0	2 476	651	0	0	0	651
261	DISH NETWORK CORPATION	25470M109		8/1/2011	12/12/2012	12,656		0	10,221	2,435	0	0	0	2,435
262	DOVER CORP	260003108		5/14/2008	1/9/2013	5,641		0	4,569	1,072	0	0	0	1,072
263	DOVER CORP	260003108		5/27/2008	1/9/2013	1,007		0	785	222	0	0	0	222
264	DOVER CORP	260003108		5/27/2008	9/13/2013	5,244		0	3,088	2 156	0	0	0	2,156
265	DR PEPPER SNAPPLE GROUP	26138E109		7/19/2010	1/9/2013	1,552		0	1,322	230	0	0	0	230
266	DR PEPPER SNAPPLE GROUP	26138E109		7/14/2010	1/9/2013	1,369		0	1,183	186	0	0	0	186
267	DR PEPPER SNAPPLE GROUP	26138E109		7/19/2010	9/13/2013	4,310		0	3,734	576	0	0	0	576
268	E M C CORPORATION MASS	268648102		10/31/2012	1/9/2013	3,481		0	3,554	-73	0	0	0	-73
269	EXXON MOBIL CORP COM	30231G102		3/17/2008	1/9/2013	9,584		0	9,440	144	0	0	0	144
270	EXXON MOBIL CORP COM	30231G102		3/17/2008	5/8/2013	8,865		0	8,401	464	0	0	0	464
271	EXXON MOBIL CORP COM	30231G102		5/22/2009	8/8/2013	32,513		0	24,420	8,093	0	0	0	8,093

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

										82,797	0	0
	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	MLTC, A DIVISION OF BANK AME		P O Box 1501, NJ2-130-03-31	Pennington	NJ	08534-1501		TRUSTEE	2 00	82,797		0
2												

Part VI, Line 6a (990-PF) - Estimated Tax Payments

		Date		Amount
1	Credit from prior year return		1	0
2	First quarter estimated tax payment		2	
3	Second quarter estimated tax payment		3	
4	Third quarter estimated tax payment		4	
5	Fourth quarter estimated tax payment		5	
6	Other payments		6	8,959
7	Total		7	8,959

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.42	0 42		.42		
+ISA BANK OF AMERICA +FDIC INSURED NOT SIPC COVERED	86,723.00	86,723.00	1.0000	86,723.00	17	.02
TOTAL		86,723.42		86,723.42	17	.02

GOVERNMENT AND AGENCY SECURITIES [1] Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ FEDERAL NATL MTG ASSOC *NOTES 02.375% APR 11 2016* *MOODY'S: AAA S&P: AA+ CUSIP: 3135G0BA0* *ORIGINAL UNIT/TOTAL COST: 106.7490/202,823.10*	08/02/12	190,000	198,269.36	104.4460	198,447.40	178.04	601.67	4,513	2.27
Δ FEDERAL NATL MTG ASSOC *ORIGINAL UNIT/TOTAL COST: 105.7251/8,458.01*	05/08/13	8,000	8,372.30	104.4460	8,355.68	(16 62)	25.33	190	2.27
Subtotal		*198,000*	*206,641.66*		*206,803.08*	*161.42*	*627.00*	*4,703*	*2.27*
Δ U.S. TREASURY NOTE *2.000% APR 30 2016 02.000% APR 30 2016* *MOODY'S: AAA S&P: *** CUSIP: 912828QF0* *ORIGINAL UNIT/TOTAL COST: 101.5081/85,266.84*	05/27/11	84,000	84,636.50	103.8830	87,261.72	2,625.22	134.58	1,680	1.92
Δ U.S. TREASURY NOTE *ORIGINAL UNIT/TOTAL COST: 104.8519/13,630.75*	05/08/13	13,000	13,514.75	103.8830	13,504.79	(9.96)	20.83	260	1.92
Δ U.S. TREASURY NOTE *ORIGINAL UNIT/TOTAL COST: 103.3283/3,099.85*	09/13/13	3,000	3,092 29	103.8830	3,116.49	24 20	4 81	60	1.92
Subtotal		*100,000*	*101,243.54*		*103,883.00*	*2,639.46*	*160.22*	*2,000*	*1.92*
Δ U.S. TREASURY NOTE *1.000% OCT 31 2016 01.000% OCT 31 2016* *MOODY'S: AAA S&P: *** CUSIP: 912828RM4* *ORIGINAL UNIT/TOTAL COST: 100.6445/155,999.03*	11/17/11	155,000	155,595.10	101.2890	156,997.95	1,402.85	124.17	1,550	.98

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ROGER JAMES CHARITABLE FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

GOVERNMENT AND AGENCY SECURITIES [1] (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ U.S. TREASURY NOTE *ORIGINAL UNIT/TOTAL COST: 101.9104/5,095.52*	05/08/13	5,000	5,080.52	101.2890	5,064 45	(16.07)	4.01	50	.98
Subtotal		*160,000*	*160,675.62*		*162,062.40*	*1,386.78*	*128.18*	*1,600*	*.98*
Δ FEDERAL NATL MTG ASSOC *NOTES 05.375% JUN 12 2017* *MOODY'S: AAA S&P: AA+ CUSIP: 31398ADM1* *ORIGINAL UNIT/TOTAL COST: 104.8500/84,928.50*	12/26/07	81,000	82,674.88	115.5420	93,589 02	10,914.14	2,019.66	4,354	4.65
Δ FEDERAL NATL MTG ASSOC *ORIGINAL UNIT/TOTAL COST: 107.0890/107,089.00*	01/03/08	100,000	103,005.49	115 5420	115,542.00	12,536.51	2,493.40	5,375	4.65
Δ FEDERAL NATL MTG ASSOC *ORIGINAL UNIT/TOTAL COST: 117.5570/12,931.28*	07/26/11	11,000	12,191.67	115.5420	12,709.62	517.95	274 27	592	4.65
Δ FEDERAL NATL MTG ASSOC *ORIGINAL UNIT/TOTAL COST: 119.0611/13,096.73*	05/08/13	11,000	12,818.62	115.5420	12,709.62	(109.00)	274 27	592	4.65
Δ FEDERAL NATL MTG ASSOC *ORIGINAL UNIT/TOTAL COST: 114.5950/10,313.55*	09/13/13	9,000	10,244.72	115.5420	10,398.78	154.06	224.41	484	4.65
Subtotal		*212,000*	*220,935.38*		*244,949.04*	*24,013.66*	*5,286.01*	*11,397*	*4.65*
Δ FEDERAL HOME LN MTG CORP *NOTES 01.000% JUN 29 2017* *MOODY'S: AAA S&P: AA+ CUSIP: 3137EADH9* *ORIGINAL UNIT/TOTAL COST: 100.2920/223,651.16*	11/18/13	223,000	223,646.72	100.1860	223,414.78	(231.94)	929.17	2,230	.99
Δ U.S. TREASURY NOTE *1.875% SEP 30 2017 01.875% SEP 30 2017* *MOODY'S: AAA S&P: *** CUSIP: 912828PA2* *ORIGINAL UNIT/TOTAL COST: 106.2660/110,516.65*	08/02/12	104,000	108,871.58	103.5630	107,705.52	(1,166.06)	321.43	1,950	1.81
Δ U.S. TREASURY NOTE *ORIGINAL UNIT/TOTAL COST: 105.4105/4,216.42*	05/08/13	4,000	4,189.57	103 5630	4,142.52	(47.05)	12.36	75	1.81

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

GOVERNMENT AND AGENCY SECURITIES [1] (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ U.S. TREASURY NOTE *ORIGINAL UNIT/TOTAL COST: 101.9886/3,059.66*	09/13/13	3,000	3,056.77	103 5630	3,106.89	50.12	9.27	57	1.81
Subtotal		*111,000*	*116,117.92*		***114,954.93***	*(1,162.99)*	*343.06*	***2,082***	*1.81*
Δ U.S. TREASURY NOTE *3.500% MAY 15 2020 03.500% MAY 15 2020* *MOODY'S: AAA S&P: *** CUSIP: 912828ND8* *ORIGINAL UNIT/TOTAL COST: 102.1097/159,291.25*	06/11/10	156,000	158,261.35	110.0000	171,600.00	13,338.65	211.16	5,460	3.18
Δ U.S. TREASURY NOTE *ORIGINAL UNIT/TOTAL COST: 106.1371/10,613.71*	07/26/11	10,000	10,464.47	110 0000	11,000.00	535.53	13.54	350	3 18
Δ U S TREASURY NOTE *ORIGINAL UNIT/TOTAL COST: 115.6449/12,720.94*	05/08/13	11,000	12,591 55	110.0000	12,100.00	(491.55)	14.89	385	3.18
Δ U.S. TREASURY NOTE *ORIGINAL UNIT/TOTAL COST: 108.1840/14,063.92*	09/13/13	13,000	14,034 17	110.0000	14,300.00	265.83	17 60	455	3.18
Subtotal		*190,000*	*195,351.54*		***209,000.00***	*13,648.46*	*257.19*	***6,650***	*3.18*
U.S TREASURY NOTE *2.625% AUG 15 2020 02.625% AUG 15 2020* *MOODY'S: AAA S&P: *** CUSIP: 912828NT3*	10/28/10	89,000	88,544.92	104.1170	92,664.13	4,119 21	672.94	2,337	2.52
Δ U.S. TREASURY NOTE *ORIGINAL UNIT/TOTAL COST: 109.5980/6,575.88*	05/08/13	6,000	6,533 95	104.1170	6,247.02	(286.93)	45 37	158	2.52
Δ U.S. TREASURY NOTE *ORIGINAL UNIT/TOTAL COST: 102.1957/7,153.70*	09/13/13	7,000	7,149.61	104.1170	7,288.19	138.58	52.93	184	2.52
Subtotal		*102,000*	*102,228.48*		***106,199.34***	*3,970.86*	*771.24*	***2,679***	*2.52*
Δ U.S. TREASURY NOTE *2.000% FEB 15 2022 02.000% FEB 15 2022* *MOODY'S: AAA S&P: *** CUSIP: 912828SF8* *ORIGINAL UNIT/TOTAL COST: 100.7543/256,923.49*	02/27/12	255,000	256,610.95	97.0000	247,350.00	(9,260.95)	1,469.02	5,100	2.06

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ROGER JAMES CHARITABLE FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

GOVERNMENT AND AGENCY SECURITIES [1] (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ U.S. TREASURY NOTE	05/08/13	13,000	13,419.62	97.0000	12,610.00	(809.62)	74 89	260	2.06
ORIGINAL UNIT/TOTAL COST: 103.4300/13,445.91									
Δ U.S. TREASURY NOTE	06/06/13	123,000	123,981.61	97.0000	119,310.00	(4,671.61)	708.59	2,460	2.06
ORIGINAL UNIT/TOTAL COST: 100.8402/124,033.49									
U.S. TREASURY NOTE	06/27/13	221,000	215,838.46	97.0000	214,370.00	(1,468.46)	1,273.15	4,420	2.06
U.S TREASURY NOTE	09/13/13	41,000	38,876.49	97.0000	39,770 00	893.51	236.20	820	2.06
Subtotal		*653,000*	*648,727.13*		*633,410.00*	*(15,317.13)*	*3,761.85*	*13,060*	*2.06*
Δ U.S. TREASURY BOND	06/24/09	139,000	140,711.34	113.6560	157,981.84	17,270.50	1,801.71	6,255	3.95
4.500% FEB 15 2036 04.500% FEB 15 2036									
*MOODY'S: AAA S&P: *** CUSIP: 912810FT0*									
ORIGINAL UNIT/TOTAL COST: 101.3632/140,894.97									
Δ U.S. TREASURY BOND	07/26/11	15,000	15,717.20	113 6560	17,048.40	1,331.20	194 43	675	3.95
ORIGINAL UNIT/TOTAL COST: 105.0746/15,761.19									
Δ U.S. TREASURY BOND	05/08/13	21,000	27,073.02	113.6560	23,867.76	(3,205.26)	272.20	945	3.95
ORIGINAL UNIT/TOTAL COST: 129.4379/27,181.96									
Δ U.S TREASURY BOND	09/13/13	26,000	29,233 36	113 6560	29,550.56	317.20	337.01	1,170	3.95
ORIGINAL UNIT/TOTAL COST: 112.5082/29,252.14									
Subtotal		*201,000*	*212,734.92*		*228,448.56*	*15,713.64*	*2,605.35*	*9,045*	*3.95*
Δ U S. TREASURY BOND	02/24/12	218,000	218,624.39	88.2810	192,452.58	(26,171.81)	1,962.29	6,813	3.53
3.125% FEB 15 2042 03.125% FEB 15 2042									
*MOODY'S: AAA S&P: *** CUSIP: 912810QU5*									
ORIGINAL UNIT/TOTAL COST: 100.2972/218,648.07									
Δ U S. TREASURY BOND	09/10/12	79,000	83,801.23	88.2810	69,741.99	(14,059.24)	711.11	2,469	3.53
ORIGINAL UNIT/TOTAL COST: 106.2464/83,934.70									
Δ U.S TREASURY BOND	05/08/13	16,000	16,464.30	88 2810	14,124.96	(2,339.34)	144.02	500	3.53
ORIGINAL UNIT/TOTAL COST: 102.9378/16,470.06									

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

GOVERNMENT AND AGENCY SECURITIES [1] (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
U.S. TREASURY BOND	09/13/13	55,000	48,073.66	88.2810	48,554 55	480.89	495.07	1,719	3.53
Subtotal		*368,000*	*366,963.58*		***324,874.08***	*(42,089.50)*	*3,312.49*	***11,501***	*3.53*
TOTAL		2,518,000	2,555,266.49		**2,557,999.21**	2,732.72	18,181.76	**66,947**	2.62

CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
JPMORGAN CHASE & CO *SUBORDINATED GLB 05.125% SEP 15 2014* *MOODY'S: BAA1 S&P: A- CUSIP: 46625HBV1*	12/26/07	70,000	68,477.50	103.4740	72,431.80	3,954.30	737.43	3,588	4 95
JPMORGAN CHASE & CO	01/03/08	75,000	74,697.00	103.4740	77,605.50	2,908.50	790.10	3,844	4.95
JPMORGAN CHASE & CO	02/21/08	25,000	24,989.50	103.4740	25,868.50	879.00	263 37	1,282	4.95
Δ JPMORGAN CHASE & CO *ORIGINAL UNIT/TOTAL COST: 105.7610/9,518.49*	05/08/13	9,000	9,307.10	103.4740	9,312.66	5.56	94.81	462	4 95
Δ JPMORGAN CHASE & CO *ORIGINAL UNIT/TOTAL COST: 104.3510/4,174.04*	09/13/13	4,000	4,139 01	103.4740	4,138.96	(0.05)	42.14	205	4.95
Subtotal		*183,000*	*181,610.11*		***189,357.42***	*7,747.31*	*1,927.85*	***9,381***	*4.95*
CREDIT SUISSE FB USA INC *BANK GUARANTEED GLB 04.875% JAN 15 2015* *MOODY'S: A1 S&P: A CUSIP: 22541LAR4*	12/26/07	18,000	17,497.26	104.8320	18,869.76	1,372.50	326.62	878	4.65
CREDIT SUISSE FB USA INC	01/03/08	100,000	99,115.00	104.8320	104,832.00	5,717.00	1,814.58	4,875	4.65
Δ CREDIT SUISSE FB USA INC *ORIGINAL UNIT/TOTAL COST: 108.7210/18,482.57*	07/26/11	17,000	17,494.25	104.8320	17,821.44	327.19	308.48	829	4.65
Δ CREDIT SUISSE FB USA INC *ORIGINAL UNIT/TOTAL COST: 107.0360/9,633.24*	05/08/13	9,000	9,426 66	104.8320	9,434.88	8.22	163 31	439	4.65
Δ CREDIT SUISSE FB USA INC *ORIGINAL UNIT/TOTAL COST: 105.4730/3,164.19*	09/13/13	3,000	3,139.49	104.8320	3,144.96	5.47	54.44	147	4.65
Subtotal		*147,000*	*146,672.66*		***154,103.04***	*7,430.38*	*2,667.43*	***7,168***	*4.65*

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ROGER JAMES CHARITABLE FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
GENERAL ELEC CAP CORP	01/09/13	98,000	97,982.36	100.5550	98,543.90	561.54	383.83	980	.99
SER MTN 01.000% JAN 08 2016									
MOODY'S: A1 S&P: AA+ CUSIP: 36962G6R0									
Δ GENERAL ELEC CAP CORP	05/08/13	5,000	5,031.93	100.5550	5,027.75	(4.18)	19.58	50	.99
ORIGINAL UNIT/TOTAL COST: 100.8030/5,040.15									
Subtotal		***103,000***	***103,014.29***		***103,571.65***	***557.36***	***403.41***	***1,030***	***.99***
GOLDMAN SACHS GROUP INC	12/26/07	63,000	62,158.33	108.9820	68,658.66	6,500 33	1,254.57	3,371	4.90
GLB 05.350% JAN 15 2016									
MOODY'S: BAA1 S&P: A- CUSIP: 38141GEE0									
GOLDMAN SACHS GROUP INC	01/03/08	100,000	99,812.00	108.9820	108,982.00	9,170.00	1,991.39	5,350	4.90
Δ GOLDMAN SACHS GROUP INC	07/26/11	22,000	22,861.78	108.9820	23,976.04	1,114.26	438.11	1,177	4.90
ORIGINAL UNIT/TOTAL COST: 107.9130/23,740.86									
Subtotal		***185,000***	***184,832.11***		***201,616.70***	***16,784.59***	***3,684.07***	***9,898***	***4.90***
Δ VERIZON COMMUNICATIONS	08/25/11	184,000	187,779.15	104.4850	192,252.40	4,473.25	889.33	5,520	2.87
GLB 03.000% APR 01 2016									
MOODY'S: BAA1 S&P: BBB+ CUSIP: 92343VAY0									
ORIGINAL UNIT/TOTAL COST: 103.9410/191,251.44									
Δ VERIZON COMMUNICATIONS	05/08/13	8,000	8,384.93	104.4850	8,358.80	(26.13)	38 67	240	2.87
ORIGINAL UNIT/TOTAL COST: 105.9250/8,474.00									
Subtotal		***192,000***	***196,164.08***		***200,611.20***	***4,447.12***	***928.00***	***5,760***	***2.87***
Δ CHEVRON CORP	12/03/12	203,000	204,637.69	99.1320	201,237.96	(3,399.73)	1,083.21	2,241	1.11
GLB 01.104% DEC 05 2017									
MOODY'S: AA1 S&P: AA CUSIP: 166764AA8									
PAR CALL DATE: 11/05/17 PAR CALL PRICE: 100.00									
ORIGINAL UNIT/TOTAL COST. 101.0000/205,030.00									
CHEVRON CORP	09/13/13	5,000	4,871.10	99.1320	4,956.60	85.50	26.68	56	1.11
Subtotal		***208,000***	***209,508.79***		***206,194.56***	***(3,314.23)***	***1,109.89***	***2,297***	***1.11***

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ WELLS FARGO & COMPANY	01/09/13	98,000	98,363 42	100.0500	98,049.00	(314 42)	543.08	1,470	1.49
GLB 01.500% JAN 16 2018									
MOODY'S: A2 S&P: A+ CUSIP: 94974BFG0									
ORIGINAL UNIT/TOTAL COST: 100.4470/98,438.06									
Δ WELLS FARGO & COMPANY	05/08/13	5,000	5,016.93	100.0500	5,002.50	(14 43)	27.71	75	1.49
ORIGINAL UNIT/TOTAL COST: 100.3820/5,019.10									
WELLS FARGO & COMPANY	09/13/13	3,000	2,915.43	100.0500	3,001.50	86.07	16.62	45	1.49
Subtotal		***106,000***	***106,295.78***		***106,053.00***	***(242.78)***	***587.41***	***1,590***	***1.49***
AT&T INC	02/20/08	148,000	145,683.81	113.6500	168,202 00	22,518.19	2,668.11	8,140	4.83
GLB 05.500% FEB 01 2018									
MOODY'S: A3 S&P: A- CUSIP: 00206RAJ1									
Δ AT&T INC	07/26/11	10,000	10,946.93	113.6500	11,365.00	418 07	180.28	550	4 83
ORIGINAL UNIT/TOTAL COST: 114.2760/11,427.60									
Δ AT&T INC	05/08/13	12,000	13,953 01	113.6500	13,638.00	(315.01)	216.33	660	4.83
ORIGINAL UNIT/TOTAL COST: 118.3420/14,201.04									
Δ AT&T INC	09/13/13	10,000	11,172.38	113.6500	11,365.00	192.62	180 28	550	4.83
ORIGINAL UNIT/TOTAL COST: 112.2580/11,225.80									
Subtotal		***180,000***	***181,756.13***		***204,570.00***	***22,813.87***	***3,245.00***	***9,900***	***4.83***
Δ WELLS FARGO & COMPANY	11/18/13	105,000	105,907.18	100.3810	**105,400.05**	(507.13)	194.39	2,258	2.14
GLB 02.150% JAN 15 2019									
MOODY'S: A2 S&P· A+ CUSIP: 94974BFQ8									
ORIGINAL UNIT/TOTAL COST: 100.8680/105,911.40									
EST MKT PRICE AS OF 10/24/13									
CISCO SYSTEMS INC	02/24/09	145,000	142,361.00	113.8160	165,033.20	22,672.20	2,073.50	7,178	4.34
GLB 04.950% FEB 15 2019									
MOODY'S: A1 S&P: A+ CUSIP: 17275RAE2									

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ROGER JAMES CHARITABLE FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CISCO SYSTEMS INC	07/26/11	18,000	19,435.10	113.8160	20,486.88	1,051.78	257.40	891	4.34
ORIGINAL UNIT/TOTAL COST: 111.1360/20,004.48									
Δ CISCO SYSTEMS INC	05/08/13	9,000	10,513.25	113.8160	10,243 44	(269.81)	128 70	446	4.34
ORIGINAL UNIT/TOTAL COST: 118.5050/10,665.45									
Δ CISCO SYSTEMS INC	09/13/13	11,000	12,288 07	113.8160	12,519.76	231.69	157.30	545	4.34
ORIGINAL UNIT/TOTAL COST: 112.1310/12,334.41									
Subtotal		*183,000*	*184,597.42*		***208,283.28***	*23,685.86*	*2,616.90*	*9,060*	*4.34*
SHELL INTERNATIONAL FIN	09/17/09	153,000	152,213.58	111.2160	170,160.48	17,946.90	1,224 42	6,579	3.86
COMPANY GUARNT GLB 04.300% SEP 22 2019									
MOODY'S: AA1 S&P: AA< CUSIP: 822582AJ1									
Δ SHELL INTERNATIONAL FIN	07/26/11	14,000	14,775.22	111.2160	15,570.24	795 02	112.04	602	3.86
ORIGINAL UNIT/TOTAL COST: 107.4890/15,048.46									
Δ SHELL INTERNATIONAL FIN	05/08/13	12,000	13,784 24	111.2160	13,345.92	(438.32)	96.03	516	3.86
ORIGINAL UNIT/TOTAL COST: 116.2010/13,944.12									
Δ SHELL INTERNATIONAL FIN	09/13/13	9,000	9,779.13	111.2160	10,009.44	230.31	72.02	387	3.86
ORIGINAL UNIT/TOTAL COST: 108.9330/9,803.97									
Subtotal		*188,000*	*190,552.17*		***209,086.08***	*18,533.91*	*1,504.51*	*8,084*	*3.86*
CITIGROUP INC	11/17/11	101,000	96,675.18	105.6950	106,751.95	10,076.77	1,704.38	4,545	4.25
GLB 04.500% JAN 14 2022									
MOODY'S: BAA2 S&P: A- CUSIP: 172967FT3									
Δ CITIGROUP INC	09/13/13	4,000	4,109.92	105.6950	4,227 80	117.88	67.50	180	4.25
ORIGINAL UNIT/TOTAL COST: 102.8050/4,112.20									
Subtotal		*105,000*	*100,785.10*		***110,979.75***	*10,194.65*	*1,771.88*	*4,725*	*4.25*

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ROGER JAMES CHARITABLE FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ DEERE & COMPANY	06/12/12	190,000	190,809 17	94.6220	179,781.80	(11,027.37)	2,346.50	4,940	2.74
02.600% JUN 08 2022									
MOODY'S: A2 S&P: A CUSIP: 244199BE4									
PAR CALL DATE: 03/08/22 PAR CALL PRICE: 100.00									
ORIGINAL UNIT/TOTAL COST: 100.4900/190,931.00									
Δ DEERE & COMPANY	05/08/13	6,000	6,111.98	94.6220	5,677.32	(434.66)	74.10	156	2.74
ORIGINAL UNIT/TOTAL COST: 101.9740/6,118.44									
DEERE & COMPANY	09/13/13	22,000	20,368.26	94.6220	20,816.84	448.58	271.70	572	2.74
Subtotal		*218,000*	*217,289.41*		***206,275.96***	*(11,013.45)*	*2,692.30*	***5,668***	*2.74*
Δ ANHEUSER-BUSCH INBEV WOR	07/16/12	93,000	94,141.50	92.4690	85,996.17	(8,145.33)	865.42	2,325	2.70
COMPANY GUARNT GLB 02.500% JUL 15 2022									
MOODY'S· A3 S&P: A< CUSIP: 03523TBP2									
ORIGINAL UNIT/TOTAL COST: 101.4000/94,302.00									
ANHEUSER-BUSCH INBEV WOR	05/08/13	7,000	6,986 77	92.4690	6,472.83	(513.94)	65.14	175	2.70
ANHEUSER-BUSCH INBEV WOR	09/13/13	12,000	10,889.16	92.4690	11,096.28	207.12	111.67	300	2.70
Subtotal		*112,000*	*112,017.43*		***103,565.28***	*(8,452.15)*	*1,042.23*	***2,800***	*2.70*
APPLE INC	05/13/13	210,000	205,409.40	90.6320	190,327.20	(15,082.20)	364.00	5,040	2.64
GLB 02.400% MAY 03 2023									
MOODY'S: AA1 S&P: AA+ CUSIP: 037833AK6									
APPLE INC	09/13/13	21,000	18,594.66	90.6320	19,032.72	438.06	36.40	504	2.64
Subtotal		*231,000*	*224,004.06*		***209,359.92***	*(14,644.14)*	*400.40*	***5,544***	*2.64*
TOTAL		2,446,000	2,445,006.72		2,519,027.89	74,021.17	24,775.67	85,163	3.38

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

Merrill Lynch
Wealth Management
Bank of America Corporation

ROGER JAMES CHARITABLE FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AETNA INC NEW	AET	09/18/13	186	66.7956	12,424.00	68.9300	12,820.98	396.98	149	1 16
		09/19/13	352	66.8398	23,527.61	68 9300	24,263.36	735.75	282	1.16
		09/20/13	226	65.1831	14,731 40	68.9300	15,578.18	846.78	181	1.16
Subtotal			*764*		*50,683.01*		*52,662.52*	*1,979.51*	*612*	*1.16*
AGILENT TECHNOLOGIES INC	A	08/27/12	461	37.5952	17,331.43	53.5700	24,695.77	7,364.34	244	.98
		08/28/12	312	37.1963	11,605.25	53 5700	16,713.84	5,108.59	165	.98
		08/29/12	312	37 2248	11,614.14	53.5700	16,713.84	5,099.70	165	.98
		08/30/12	72	37.1187	2,672.55	53 5700	3,857.04	1,184.49	39	.98
Subtotal			*1,157*		*43,223.37*		*61,980.49*	*18,757.12*	*613*	*.98*
AMERICAN INTERNATIONAL *GROUP INC*	AIG	09/17/12	406	34.8089	14,132.45	49.7500	20,198.50	6,066.05	163	.80
		09/18/12	579	34.5101	19,981.35	49.7500	28,805.25	8,823.90	232	.80
		09/19/12	142	34.5016	4,899.23	49.7500	7,064.50	2,165.27	57	.80
		09/20/12	143	33.8293	4,837.60	49.7500	7,114.25	2,276.65	58	.80
		09/21/12	212	33.7439	7,153.71	49.7500	10,547.00	3,393.29	85	.80
		09/24/12	75	33.8614	2,539.61	49.7500	3,731 25	1,191.64	30	.80
Subtotal			*1,557*		*53,543.95*		*77,460.75*	*23,916.80*	*625*	*.80*
AMGEN INC COM PV $0 0001	AMGN	11/15/10	463	54.7200	25,335.36	114.0800	52,819.04	27,483.68	871	1.64
		07/08/13	185	98.3640	18,197 34	114.0800	21,104.80	2,907.46	348	1.64
Subtotal			*648*		*43,532.70*		*73,923.84*	*30,391.14*	*1,219*	*1.64*
APPLE INC	AAPL	06/04/12	22	557.1436	12,257.16	556.0700	12,233.54	(23 62)	269	2.19
		06/25/12	40	573.0045	22,920.18	556.0700	22,242.80	(677.38)	489	2.19
		06/26/12	40	570.9185	22,836.74	556.0700	22,242.80	(593.94)	489	2.19
		06/27/12	39	574.7287	22,414.42	556.0700	21,686.73	(727.69)	476	2.19
		06/28/12	40	568 6857	22,747.43	556.0700	22,242.80	(504.63)	489	2.19
		06/29/12	42	580.3097	24,373 01	556.0700	23,354.94	(1,018.07)	513	2.19
Subtotal			*223*		*127,548.94*		*124,003.61*	*(3,545.33)*	*2,725*	*2.19*

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
APPLIED MATERIAL INC	AMAT	05/23/13	1,071	14.6129	15,650.52	17.3000	18,528.30	2,877.78	429	2.31
		05/24/13	1,047	14.5587	15,242.96	17.3000	18,113.10	2,870.14	419	2.31
		05/28/13	1,049	14.8977	15,627 69	17.3000	18,147.70	2,520.01	420	2.31
		05/29/13	168	14.8342	2,492.15	17.3000	2,906.40	414.25	68	2.31
Subtotal			*3,335*		*49,013.32*		*57,695.50*	*8,682.18*	*1,336*	*2.31*
BIOGEN IDEC INC	BIIB	06/11/13	193	222.1819	42,881.11	290.9700	56,157.21	13,276.10		
BORG WARNER INC COM	BWA	08/08/13	169	95.9337	16,212.80	107.1700	18,111.73	1,898.93	169	.93
		08/09/13	170	96.7097	16,440.65	107.1700	18,218.90	1,778.25	170	93
		08/12/13	152	97.3234	14,793.17	107.1700	16,289.84	1,496.67	152	.93
Subtotal			*491*		*47,446.62*		*52,620.47*	*5,173.85*	*491*	*.93*
CHEVRON CORP	CVX	01/02/08	525	94.0200	49,360.55	122.4400	64,281.00	14,920.45	2,100	3.26
		03/17/08	225	84.7600	19,071.00	122.4400	27,549.00	8,478.00	900	3.26
Subtotal			*750*		*68,431.55*		*91,830.00*	*23,398.45*	*3,000*	*3.26*
CHUBB CORP	CB	03/17/08	52	49.4228	2,569.99	96.4500	5,015.40	2,445.41	92	1.82
		01/12/09	730	45.0895	32,915.34	96.4500	70,408.50	37,493.16	1,285	1.82
		03/26/12	270	68 5598	18,511.15	96.4500	26,041.50	7,530.35	476	1.82
Subtotal			*1,052*		*53,996.48*		*101,465.40*	*47,468.92*	*1,853*	*1.82*
CISCO SYSTEMS INC COM	CSCO	08/08/13	2,365	26.2986	62,196.19	21.2500	50,256 25	(11,939.94)	1,609	3 20
		10/10/13	1,584	22.8714	36,228 30	21.2500	33,660.00	(2,568.30)	1,078	3 20
Subtotal			*3,949*		*98,424.49*		*83,916.25*	*(14,508.24)*	*2,687*	*3.20*
CITIGROUP INC COM NEW	C	06/12/12	1,043	27.3786	28,555.88	52.9200	55,195.56	26,639.68	42	.07
		06/13/12	269	27.8063	7,479.92	52.9200	14,235.48	6,755.56	11	07
		06/14/12	270	27 8295	7,513 99	52.9200	14,288.40	6,774.41	11	.07
		06/15/12	270	27.8824	7,528.25	52.9200	14,288.40	6,760.15	11	.07
		06/18/12	154	27 8466	4,288.39	52.9200	8,149.68	3,861.29	7	.07
		10/31/12	819	37.2394	30,499.15	52.9200	43,341.48	12,842.33	33	.07
Subtotal			*2,825*		*85,865.58*		*149,499.00*	*63,633.42*	*115*	*.07*

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Merrill Lynch Wealth Management
Bank of America Corporation

ROGER JAMES CHARITABLE FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
COCA COLA COM	KO	09/10/12	164	37.7892	6,197.43	40.1900	6,591.16	393.73	184	2.78
		09/11/12	326	37 7877	12,318.82	40.1900	13,101.94	783.12	366	2.78
		09/12/12	328	37.7107	12,369.14	40.1900	13,182.32	813 18	368	2.78
		09/13/12	281	38.1707	10,725.97	40.1900	11,293.39	567.42	315	2.78
Subtotal			*1,099*		*41,611.36*		*44,168.81*	*2,557.45*	*1,233*	*2.78*
COMCAST CORP NEW CL A	CMCSA	09/24/12	1,212	36.4789	44,212.43	49.8700	60,442.44	16,230.01	946	1.56
		10/04/12	1,530	36.5570	55,932.36	49 8700	76,301.10	20,368.74	1,194	1.56
		10/05/12	68	36.7892	2,501.67	49.8700	3,391 16	889.49	54	1.56
Subtotal			*2,810*		*102,646.46*		*140,134.70*	*37,488.24*	*2,194*	*1.56*
CVS CAREMARK CORP	CVS	07/09/12	164	47.2806	7,754 02	66.9600	10,981 44	3,227.42	148	1.34
		07/10/12	418	47.2596	19,754.55	66.9600	27,989.28	8,234.73	377	1.34
		07/11/12	264	46.9253	12,388.28	66.9600	17,677.44	5,289.16	238	1.34
		07/12/12	197	47.5175	9,360.95	66 9600	13,191.12	3,830.17	178	1.34
		07/13/12	181	48.0461	8,696.36	66.9600	12,119 76	3,423.40	163	1.34
		07/16/12	205	48 0354	9,847.26	66.9600	13,726.80	3,879.54	185	1.34
		09/24/12	487	48.1147	23,431.86	66.9600	32,609.52	9,177.66	439	1.34
Subtotal			*1,916*		*91,233.28*		*128,295.36*	*37,062.08*	*1,728*	*1.34*
DISCOVER FINL SVCS	DFS	05/23/11	775	24.5658	19,038.50	53.3000	41,307.50	22,269 00	620	1.50
		05/24/11	289	23.9226	6,913 66	53.3000	15,403.70	8,490.04	232	1.50
		05/06/13	454	46.2494	20,997.23	53.3000	24,198.20	3,200.97	364	1.50
Subtotal			*1,518*		*46,949.39*		*80,909.40*	*33,960.01*	*1,216*	*1.50*
DISNEY (WALT) CO COM STK	DIS	12/11/12	464	49.7850	23,100.24	70.5400	32,730.56	9,630.32	348	1.06
		12/12/12	476	49.8136	23,711.32	70.5400	33,577.04	9,865.72	357	1.06
		12/13/12	286	49.3146	14,104.00	70.5400	20,174.44	6,070.44	215	1.06
Subtotal			*1,226*		*60,915.56*		*86,482.04*	*25,566.48*	*920*	*1.06*
DOVER CORP	DOV	05/27/08	626	52 2751	32,724.27	90.7400	56,803.24	24,078.97	940	1.65

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
DOW CHEMICAL CO	DOW	10/21/13	444	41.3488	18,358.87	39.0600	17,342.64	(1,016.23)	569	3.27
		10/22/13	552	41.5950	22,960.44	39.0600	21,561.12	(1,399.32)	707	3.27
		10/23/13	415	41.2519	17,119.54	39.0600	16,209.90	(909.64)	532	3.27
Subtotal			***1,411***		***58,438.85***		***55,113.66***	***(3,325.19)***	***1,808***	***3.27***
DR PEPPER SNAPPLE GROUP *INC*	DPS	07/19/10	225	38 8356	8,738.01	48.2600	10,858.50	2,120.49	342	3.14
		07/20/10	179	39.3630	7,045.98	48.2600	8,638.54	1,592.56	273	3.14
		07/26/10	509	40.1233	20,422.81	48.2600	24,564.34	4,141.53	774	3.14
Subtotal			***913***		***36,206.80***		***44,061.38***	***7,854.58***	***1,389***	***3.14***
E M C CORPORATION MASS	EMC	10/31/12	593	24.4534	14,500.87	23.8500	14,143.05	(357.82)	238	1.67
		11/01/12	1,420	25.1340	35,690.28	23.8500	33,867.00	(1,823.28)	568	1.67
		11/02/12	291	25.0904	7,301.31	23.8500	6,940.35	(360.96)	117	1.67
		08/08/13	610	27.0839	16,521.18	23.8500	14,548.50	(1,972.68)	244	1.67
Subtotal			***2,914***		***74,013.64***		***69,498.90***	***(4,514.74)***	***1,167***	***1.67***
EXXON MOBIL CORP COM	XOM	05/22/09	70	68.7300	4,811.10	93.4800	6,543.60	1,732.50	177	2.69
		07/09/12	353	83.5396	29,489.48	93.4800	32,998.44	3,508.96	890	2.69
		07/10/12	360	83.5730	30,086.28	93.4800	33,652.80	3,566.52	908	2.69
		07/11/12	74	84.0258	6,217.91	93 4800	6,917.52	699.61	187	2.69
		07/12/12	45	84.0117	3,780.53	93.4800	4,206.60	426.07	114	2 69
		07/13/12	45	85.2102	3,834.46	93.4800	4,206.60	372.14	114	2.69
		07/16/12	37	85.0802	3,147.97	93.4800	3,458.76	310.79	94	2.69
		07/17/12	83	85.2720	7,077.58	93.4800	7,758.84	681.26	210	2.69
Subtotal			***1,067***		***88,445.31***		***99,743.16***	***11,297.85***	***2,694***	***2.69***
GENERAL ELECTRIC	GE	11/04/13	1,664	26.5012	44,098.00	26.6600	44,362.24	264 24	1,265	2.85

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ROGER JAMES CHARITABLE FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
GOLDMAN SACHS GROUP INC	GS	09/25/12	62	116.0187	7,193.16	168.9400	10,474.28	3,281.12	137	1.30
		12/11/12	118	118.9934	14,041.23	168.9400	19,934.92	5,893.69	260	1.30
		12/12/12	38	119 2228	4,530 47	168.9400	6,419 72	1,889.25	84	1.30
		12/13/12	33	118.7687	3,919.37	168.9400	5,575.02	1,655.65	73	1.30
		12/17/12	107	122.3455	13,090.97	168.9400	18,076.58	4,985.61	236	1.30
		12/18/12	61	126.7708	7,733 02	168.9400	10,305.34	2,572.32	135	1.30
Subtotal			*419*		*50,508.22*		*70,785.86*	*20,277.64*	*925*	*1.30*
GOOGLE INC CL A	GOOG	06/25/12	2	560.8350	1,121.67	1,059.5900	2,119 18	997.51		
		06/26/12	31	563.9800	17,483.38	1,059.5900	32,847.29	15,363.91		
		06/27/12	30	570.8606	17,125.82	1,059.5900	31,787.70	14,661.88		
		06/28/12	31	561.3558	17,402.03	1,059 5900	32,847.29	15,445.26		
		06/29/12	33	577.1436	19,045.74	1,059.5900	34,966 47	15,920.73		
		08/28/12	22	674.8759	14,847.27	1,059.5900	23,310.98	8,463.71		
		12/17/12	30	716.0603	21,481.81	1,059.5900	31,787.70	10,305.89		
Subtotal			*179*		*108,507.72*		*189,666.61*	*81,158.89*		
HALLIBURTON COMPANY	HAL	08/08/13	1,211	46.1211	55,852.77	52.6800	63,795.48	7,942.71	727	1 13
INGERSOLL-RAND PLC	IR	11/13/12	261	46.1140	12,035.76	71.4200	18,640.62	6,604.86	220	1.17
		11/14/12	490	45 6646	22,375.70	71.4200	34,995 80	12,620.10	412	1.17
		11/15/12	472	45.2987	21,380.99	71.4200	33,710.24	12,329.25	397	1.17
Subtotal			*1,223*		*55,792.45*		*87,346.66*	*31,554.21*	*1,029*	*1.17*
INTL PAPER CO	IP	10/23/12	35	36.9511	1,293.29	46.6500	1,632.75	339.46	49	3.00
		10/24/12	135	36.6379	4,946.12	46.6500	6,297.75	1,351.63	189	3.00
		12/17/12	568	38.0867	21,633.25	46.6500	26,497.20	4,863.95	796	3.00
		01/14/13	387	40.6128	15,717.19	46.6500	18,053.55	2,336.36	542	3.00
Subtotal			*1,125*		*43,589.85*		*52,481.25*	*8,891.40*	*1,576*	*3.00*

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
JPMORGAN CHASE & CO	JPM	06/11/12	100	33.4101	3,341.01	57.2200	5,722.00	2,380.99	152	2.65
		06/12/12	202	33.3199	6,730.62	57.2200	11,558.44	4,827.82	308	2.65
		06/13/12	240	34.3725	8,249.40	57.2200	13,732.80	5,483.40	365	2.65
		06/13/12	124	34.3725	4,262.19	57.2200	7,095.28	2,833.09	189	2.65
		06/14/12	625	34.4472	21,529.50	57.2200	35,762.50	14,233.00	950	2.65
		06/15/12	388	34.9079	13,544.30	57.2200	22,201.36	8,657.06	590	2.65
		06/25/12	59	35.1666	2,074.83	57.2200	3,375.98	1,301.15	90	2.65
		06/26/12	62	35.8408	2,222.13	57 2200	3,547.64	1,325.51	95	2.65
		06/27/12	58	36.3913	2,110.70	57.2200	3,318.76	1,208.06	89	2.65
		06/28/12	59	35.4630	2,092.32	57.2200	3,375.98	1,283 66	90	2.65
		06/29/12	63	35.9714	2,266.20	57.2200	3,604.86	1,338.66	96	2.65
		12/17/12	417	43.3356	18,070.95	57.2200	23,860.74	5,789.79	634	2.65
Subtotal			***2,397***		***86,494.15***		***137,156.34***	***50,662.19***	***3,648***	***2.65***
LOWE'S COMPANIES INC	LOW	04/29/13	586	38.2772	22,430.44	47.4800	27,823.28	5,392.84	422	1 51
		04/30/13	894	38.4925	34,412.38	47.4800	42,447.12	8,034.74	644	1 51
		06/21/13	889	39.3805	35,009.35	47.4800	42,209.72	7,200.37	641	1.51
Subtotal			***2,369***		***91,852.17***		***112,480.12***	***20,627.95***	***1,707***	***1.51***
MARATHON OIL CORP	MRO	02/20/13	291	35.1532	10,229.61	36.0400	10,487 64	258 03	222	2.10
		02/21/13	183	34.2949	6,275.97	36.0400	6,595.32	319.35	140	2.10
		02/22/13	876	34.5360	30,253.54	36.0400	31,571.04	1,317.50	666	2.10
Subtotal			***1,350***		***46,759.12***		***48,654.00***	***1,894.88***	***1,028***	***2.10***
MASTERCARD INC	MA	12/11/12	56	482.0958	26,997 37	760.8100	42,605.36	15,607.99	135	.31
		12/12/12	41	484.6319	19,869.91	760.8100	31,193.21	11,323.30	99	.31
		12/13/12	42	484.5102	20,349 43	760.8100	31,954.02	11,604.59	101	.31
		12/17/12	20	486.0590	9,721.18	760.8100	15,216 20	5,495.02	49	.31
		12/18/12	22	492.2150	10,828 73	760.8100	16,737.82	5,909.09	53	.31
Subtotal			***181***		***87,766.62***		***137,706.61***	***49,939.99***	***437***	***.31***

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ROGER JAMES CHARITABLE FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
MCKESSON CORPORATION COM	MCK	03/09/09	536	39.0111	20,910.00	165 8900	88,917.04	68,007.04	515	.57
		03/12/12	92	86.5093	7,958.86	165 8900	15,261.88	7,303.02	89	.57
		03/13/12	114	86.5226	9,863.58	165.8900	18,911.46	9,047 88	110	.57
		03/14/12	17	87.0958	1,480.63	165.8900	2,820.13	1,339.50	17	.57
Subtotal			*759*		*40,213.07*		***125,910.51***	*85,697.44*	*731*	*.57*
MEDTRONIC INC COM	MDT	08/05/13	390	55 3077	21,570.04	57.3200	22,354.80	784.76	437	1.95
		08/06/13	389	55 3025	21,512.71	57.3200	22,297 48	784.77	436	1.95
		08/08/13	162	55.5820	9,004.30	57.3200	9,285.84	281.54	182	1 95
Subtotal			*941*		*52,087.05*		***53,938.12***	*1,851.07*	*1,055*	*1.95*
MERCK AND CO INC SHS	MRK	06/25/12	138	39.8752	5,502.79	49.8300	6,876.54	1,373.75	243	3.53
		06/26/12	410	40.0323	16,413.28	49.8300	20,430.30	4,017.02	722	3.53
		06/27/12	385	40.6404	15,646.59	49.8300	19,184.55	3,537.96	678	3.53
		06/28/12	391	40.4332	15,809.42	49.8300	19,483.53	3,674.11	689	3.53
		06/29/12	418	41.4877	17,341 90	49.8300	20,828.94	3,487.04	736	3.53
		09/24/12	386	45 1141	17,414.08	49.8300	19,234.38	1,820.30	680	3.53
		01/14/13	481	43.3844	20,867.94	49.8300	23,968.23	3,100 29	847	3.53
Subtotal			*2,609*		*108,996.00*		***130,006.47***	*21,010.47*	*4,595*	*3.53*
↓ MICROSOFT CORP	MSFT	11/16/09	944	29.6860	28,023.59	38 1300	35,994.72	7,971.13	1,058	2.93
		11/17/09	185	29.9142	5,534.13	38.1300	7,054.05	1,519.92	208	2.93
		12/29/09	399	31.4477	12,547.67	38.1300	15,213.87	2,666.20	447	2.93
		12/30/09	227	31 0744	7,053.89	38.1300	8,655 51	1,601.62	255	2.93
		12/31/09	234	30.7882	7,204.46	38.1300	8,922.42	1,717.96	263	2.93
		01/04/10	189	31.0420	5,866.94	38.1300	7,206 57	1,339.63	212	2.93
		01/11/10	713	30 4077	21,680.76	38.1300	27,186.69	5,505.93	799	2.93
		03/12/12	260	32.1190	8,350.94	38.1300	9,913.80	1,562.86	292	2.93
		03/13/12	322	32.4675	10,454.54	38.1300	12,277.86	1,823.32	361	2.93
		03/14/12	50	32.7726	1,638.63	38.1300	1,906 50	267.87	56	2.93
Subtotal			*3,523*		*108,355.55*		***134,331.99***	*25,976.44*	*3,951*	*2.93*

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

EQUITIES (continued) *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
NETAPP INC	NTAP	08/08/13	682	42.3895	28,909 64	41.2500	28,132.50	(777.14)	410	1.45
		08/09/13	461	42.7131	19,690.74	41.2500	19,016.25	(674.49)	277	1.45
Subtotal			***1,143***		***48,600.38***		***47,148.75***	***(1,451.63)***	***687***	***1.45***
ORACLE CORP $0.01 DEL	ORCL	10/31/12	455	31.1303	14,164.33	35.2900	16,056.95	1,892.62	219	1.36
		11/01/12	1,513	31.4294	47,552.83	35.2900	53,393.77	5,840.94	727	1.36
		11/02/12	310	31.5013	9,765.43	35.2900	10,939.90	1,174 47	149	1 36
Subtotal			***2,278***		***71,482.59***		***80,390.62***	***8,908.03***	***1,095***	***1.36***
PACKAGING CORP AMERICA	PKG	02/20/13	194	39.8293	7,726.90	61.2600	11,884.44	4,157 54	311	2 61
		02/21/13	142	40.9064	5,808.72	61.2600	8,698.92	2,890.20	228	2.61
		02/22/13	195	41.8132	8,153.59	61.2600	11,945.70	3,792.11	312	2.61
		02/25/13	195	41.7388	8,139.07	61.2600	11,945.70	3,806 63	312	2.61
		02/26/13	195	41.6118	8,114.32	61.2600	11,945.70	3,831.38	312	2.61
		02/27/13	91	41 8797	3,811 06	61 2600	5,574.66	1,763.60	146	2.61
Subtotal			***1,012***		***41,753.66***		***61,995.12***	***20,241.46***	***1,621***	***2.61***
PFIZER INC	PFE	08/13/12	197	23.7829	4,685.25	31.7300	6,250 81	1,565.56	190	3.02
		08/14/12	774	24.0572	18,620 35	31.7300	24,559.02	5,938.67	744	3 02
		08/15/12	778	24.1329	18,775.40	31.7300	24,685.94	5,910.54	747	3.02
		08/16/12	780	24.0400	18,751.20	31.7300	24,749.40	5,998.20	749	3.02
		08/17/12	785	23 8630	18,732.46	31.7300	24,908.05	6,175.59	754	3.02
		09/24/12	841	24.7838	20,843.18	31.7300	26,684 93	5,841 75	808	3.02
Subtotal			***4,155***		***100,407.84***		***131,838.15***	***31,430.31***	***3,992***	***3.02***
PHILIP MORRIS INTL INC	PM	10/17/11	756	67.4467	50,989.78	85.5400	64,668.24	13,678.46	2,843	4.39
		01/03/12	248	79.3210	19,671 61	85.5400	21,213 92	1,542.31	933	4.39
		01/04/12	103	78.2218	8,056.85	85.5400	8,810.62	753.77	388	4.39
		03/12/12	84	84.9565	7,136.35	85.5400	7,185.36	49.01	316	4.39
		03/13/12	104	85.2366	8,864.61	85.5400	8,896.16	31.55	392	4.39
		03/14/12	15	85.4473	1,281.71	85.5400	1,283.10	1.39	57	4.39
Subtotal			***1,310***		***96,000.91***		***112,057.40***	***16,056.49***	***4,929***	***4.39***

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Merrill Lynch
Wealth Management
Bank of America Corporation

ROGER JAMES CHARITABLE FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
REGIONS FINL CORP	RF	10/10/13	2,115	9.5306	20,157 43	9.7300	20,578.95	421.52	254	1.23
		10/11/13	1,209	9.6321	11,645.21	9.7300	11,763.57	118.36	146	1.23
		10/14/13	1,722	9.7122	16,724.41	9.7300	16,755.06	30.65	207	1.23
Subtotal			*5,046*		*48,527.05*		*49,097.58*	*570.53*	*607*	*1.23*
ROSS STORES INC COM	ROST	05/04/09	972	19.3566	18,814.71	76.4600	74,319.12	55,504.41	661	.88
SCHLUMBERGER LTD	SLB	10/10/13	880	88.8522	78,190.02	88.4200	77,809.60	(380.42)	1,100	1.41
SUNCOR ENERGY INC NEW	SU	01/14/13	461	34.2965	15,810.73	34.6800	15,987.48	176.75	353	2.20
		01/15/13	577	34.3851	19,840.26	34.6800	20,010.36	170.10	441	2.20
		01/16/13	728	34.2671	24,946.45	34.6800	25,247.04	300 59	557	2 20
		01/17/13	486	34 5191	16,776.33	34.6800	16,854.48	78.15	372	2.20
		02/20/13	199	31.5823	6,284.88	34.6800	6,901.32	616.44	153	2.20
Subtotal			*2,451*		*83,658.65*		*85,000.68*	*1,342.03*	*1,876*	*2.20*
SUNTRUST BKS INC COM	STI	01/14/13	912	28.1687	25,689.94	36.2300	33,041.76	7,351.82	365	1.10
		01/15/13	580	28 5558	16,562.42	36.2300	21,013.40	4,450.98	233	1.10
Subtotal			*1,492*		*42,252.36*		*54,055.16*	*11,802.80*	*598*	*1.10*
TERADATA CORP DEL	TDC	11/13/12	211	64.4436	13,597.62	45.6400	9,630.04	(3,967.58)		
		11/14/12	322	64.2696	20,694.84	45.6400	14,696.08	(5,998.76)		
		11/15/12	64	62.1785	3,979.43	45.6400	2,920.96	(1,058.47)		
		11/18/12	54	66.5227	3,592.23✦	45.6400	2,464.56	(1,127.67)		
		01/14/13	159	65.7676	10,457.05	45.6400	7,256.76	(3,200.29)		
		01/15/13	107	65.8605	7,047.08	45.6400	4,883.48	(2,163.60)		
Subtotal			*917*		*59,368.25*		*41,851.88*	*(17,516.37)*		
TRAVELERS COS INC	TRV	01/22/08	73	46.0500	3,361.65	90.7400	6,624.02	3,262.37	146	2 20
		02/04/08	125	49.2800	6,160 00	90.7400	11,342.50	5,182.50	250	2.20
		03/17/08	225	46.2800	10,413.00	90.7400	20,416.50	10,003.50	450	2.20
		05/22/09	250	40.6100	10,152 50	90.7400	22,685.00	12,532.50	500	2.20
Subtotal			*673*		*30,087.15*		*61,068.02*	*30,980.87*	*1,346*	*2.20*

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
TRW AUTOMOTIVE HLDGS CRP	TRW	02/20/13	144	60.7543	8,748.62	77.6000	11,174.40	2,425 78		
		02/21/13	90	57.4942	5,174.48	77.6000	6,984.00	1,809.52		
		02/22/13	187	57.9365	10,834.14	77.6000	14,511.20	3,677.06		
		02/25/13	187	58.2647	10,895.50	77 6000	14,511 20	3,615.70		
		02/26/13	55	57 0994	3,140.47	77.6000	4,268.00	1,127.53		
Subtotal			*663*		*38,793.21*		*51,448.80*	*12,655.59*		
TWENTY-FIRST CENTURY	FOXA	08/31/10	1,467	11.0450	16,203.16	33 4900	49,129.83	32,926.67	367	.74
FOX INC CLASS A		10/04/12	884	22 1012	19,537.53	33.4900	29,605.16	10,067.63	221	.74
Subtotal			*2,351*		*35,740.69*		*78,734.99*	*42,994.30*	*588*	*.74*
UNITED CONTL HLDGS INC	UAL	01/14/13	514	25 9694	13,348.32	39.2500	20,174.50	6,826 18		
		01/15/13	677	25.8814	17,521.71	39 2500	26,572.25	9,050.54		
		01/16/13	199	26.1263	5,199.15	39.2500	7,810.75	2,611.60		
		01/29/13	121	24.1900	2,926.99	39 2500	4,749 25	1,822.26		
		01/30/13	122	24 0127	2,929.56	39.2500	4,788.50	1,858.94		
		01/31/13	122	24.1255	2,943 32	39.2500	4,788.50	1,845.18		
		02/01/13	122	24.3545	2,971.25	39.2500	4,788.50	1,817.25		
		02/04/13	122	24.2260	2,955.58	39.2500	4,788.50	1,832.92		
		02/05/13	148	24.3245	3,600.04	39.2500	5,809.00	2,208.96		
		02/06/13	109	25.1408	2,740.35	39.2500	4,278.25	1,537.90		
		02/07/13	54	25.6438	1,384.77	39.2500	2,119.50	734.73		
Subtotal			*2,310*		*58,521.04*		*90,667.50*	*32,146.46*		
US BANCORP (NEW)	USB	07/23/12	262	33.2761	8,718 36	39.2200	10,275.64	1,557.28	242	2.34
		07/24/12	408	33.3992	13,626.91	39 2200	16,001 76	2,374.85	376	2.34
		07/25/12	408	33.4379	13,642.70	39.2200	16,001.76	2,359.06	376	2.34
		07/26/12	455	33.7074	15,336.91	39.2200	17,845.10	2,508.19	419	2.34
		07/28/12	104	33.6009	3,494.50✦	39 2200	4,078.88	584.38	96	2.34
		10/31/12	589	33.2628	19,591.79	39.2200	23,100.58	3,508.79	542	2.34
		01/14/13	541	33.4639	18,104.02	39.2200	21,218.02	3,114.00	498	2.34
Subtotal			*2,767*		*92,515.19*		*108,521.74*	*16,006.55*	*2,549*	*2.34*

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ROGER JAMES CHARITABLE FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2013 - November 29, 2013

EQUITIES (continued) *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
VALERO ENERGY CORP NEW	VLO	11/14/11	158	22.4112	3,540.98	45.7200	7,223 76	3,682.78	143	1.96
		11/15/11	725	22.6847	16,446.42	45.7200	33,147.00	16,700.58	653	1.96
		11/28/11	1,107	19.5256	21,614.93	45.7200	50,612 04	28,997.11	997	1.96
Subtotal			*1,990*		*41,602.33*		*90,982.80*	*49,380.47*	*1,793*	*1.96*
3M COMPANY	MMM	06/25/12	8	85.8862	687.09	133.5100	1,068.08	380.99	21	1.90
		06/26/12	143	86.1125	12,314.10	133 5100	19,091 93	6,777.83	364	1.90
		06/27/12	134	87.3046	11,698.82	133.5100	17,890.34	6,191.52	341	1.90
		06/28/12	135	86.5266	11,681.10	133.5100	18,023.85	6,342.75	343	1.90
		06/29/12	145	89.2911	12,947.22	133.5100	19,358.95	6,411.73	369	1.90
		09/24/12	422	93.7368	39,556.93	133.5100	56,341.22	16,784.29	1,072	1.90
Subtotal			*987*		*88,885.26*		*131,774.37*	*42,889.11*	*2,510*	*1.90*
TOTAL					3,453,850.07		4,605,810.23	1,151,960.16	79,191	1.72
TOTAL PRINCIPAL INVESTMENTS					8,540,846.70		9,769,560.75	1,228,714.05	231,318	2.37

Notes

Δ Debt Instruments purchased at a premium show amortization θ Debt Instruments purchased at a discount show accretion

[1] Some agency securities are not backed by the full faith and credit of the United States government.

< Derived from Moody's and/or S & P ratings for other debt securities of this issuer and provided by a third party vendor.

✦Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

For Credit Ratings. S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF INCOME INVESTMENTS

CASH/MONEY ACCOUNTS *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Estimated Annual Income*	*Est. Annual Yield%*
CASH	1.86	1.86		1.86		

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF INCOME INVESTMENTS November 01, 2013 - November 29, 2013

CASH/MONEY ACCOUNTS (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
+ISA BA CA N.A. *+FDIC INSURED NOT SIPC COVERED*	46,183 00	46,183.00	1.0000	46,183.00	9	.02
+ISA FIA CARD SRVCS NA *+FDIC INSURED NOT SIPC COVERED*	4,445.00	4,445 00	1.0000	4,445.00	1	.02
+ISA BB&T COMPANY *+FDIC INSURED NOT SIPC COVERED*	24,601.00	24,601.00	1.0000	24,601.00	5	.02
+ISA PRIVATE BANK & TRUST *+FDIC INSURED NOT SIPC COVERED*	60,976.00	60,976.00	1.0000	60,976.00	12	02
+ISA BANK OF AMERICA *+FDIC INSURED NOT SIPC COVERED*	29,564.00	29,564.00	1.0000	29,564.00	6	.02
TOTAL		165,770 86		165,770.86	33	.02
TOTAL INCOME INVESTMENTS		165,770.86		165,770.86	33	.02

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL PRINCIPAL/INCOME INVESTMENTS	8,706,617.56	9,935,331.61	1,228,714.05	42,957.43	231,352	2 33

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Transaction Type	Quantity	Description	Income Cash	Principal Cash	Income Year To Date
11/21	Accrued Int		WELLS FARGO & COMPANY GLB 02.150% JAN 15 2019 BUY ACCRUED INT EXCD J.P MORGAN SECURIT C CUSIP NUM: 94974BFQ8	(144.23)		

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