Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052 **2012** **Open to Public Inspection**

For calendar year 2012 or tax year beginning 12/01 , 2012, and ending 11/30,2013

Name of foundation: THE EUGENE GARFIELD FOUNDATION 0706-03-4/5

A Employer identification number: 23-2553258

Number and street (or P O. box number if mail is not delivered to street address): GLENMEDE TRUST CO 1650 MARKET ST, STE 1200 — Room/suite:

B Telephone number (see instructions): 215- 419-6000

City or town, state, and ZIP code: PHILADELPHIA, PA 19103-7391

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 3,694,264.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1 Contributions, gifts, grants, etc , received (attach schedule)				
2 Check ▶ [X] if the foundation is **not** required to attach Sch B				
3 Interest on savings and temporary cash investments			N/A	
4 Dividends and interest from securities	110,863.	110,863.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	291,935.			
b Gross sales price for all assets on line 6a 1,826,546.				
7 Capital gain net income (from Part IV, line 2)		291,935.		
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	-3,696.	-3,696.		STMT 1
12 **Total.** Add lines 1 through 11	399,102.	399,102.		
Operating and Administrative Expenses 13 Compensation of officers, directors, trustees, etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	3,000.	NONE	NONE	3,000.
c Other professional fees (attach schedule)	14,902.	14,902.		
17 Interest				
18 Taxes (attach schedule) (see instructions)	3,500.			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) STMT 2	1,564.			1,564.
24 **Total operating and administrative expenses.** Add lines 13 through 23	22,966.	14,902.	NONE	4,564.
25 Contributions, gifts, grants paid	761,500.			761,500.
26 **Total expenses and disbursements** Add lines 24 and 25	784,466.	14,902.	NONE	766,064.
27 Subtract line 26 from line 12:				
a **Excess of revenue over expenses and disbursements**	-385,364.			
b **Net investment income** (if negative, enter -0-)		384,200.		
c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash - non-interest-bearing	287.	4.	4.
2	Savings and temporary cash investments	417,131.	16,525.	16,525.
3	Accounts receivable ▶ UNSETTLED TRADE			
	Less: allowance for doubtful accounts ▶	34,223.	11,620.	11,620.
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U.S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule)			
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule)	3,122,981.	3,161,099.	3,666,115.
14	Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	3,574,622.	3,189,248.	3,694,264.
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
27	Capital stock, trust principal, or current funds	3,557,571.	3,180,927.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	17,051.	8,321.	
30	**Total net assets or fund balances** (see instructions)	3,574,622.	3,189,248.	
31	**Total liabilities and net assets/fund balances** (see instructions)	3,574,622.	3,189,248.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,574,622.
2	Enter amount from Part I, line 27a	2	-385,364.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,189,258.
5	Decreases not included in line 2 (itemize) ▶ ROUNDING	5	10.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,189,248.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,826,546.		1,534,611.	291,935.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				291,935.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	291,935.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2011	141,000.	3,904,400.	0.036113
2010	207,200.	3,990,596.	0.051922
2009	264,507.	3,822,377.	0.069200
2008	379,508.	3,576,345.	0.106116
2007	253,200.	4,795,943.	0.052795

2 **Total** of line 1, column (d)	2	0.316146
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.063229
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	3,615,494.
5 Multiply line 4 by line 3	5	228,604.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,842.
7 Add lines 5 and 6	7	232,446.
8 Enter qualifying distributions from Part XII, line 4	8	766,064.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	3,842.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	3,842.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	3,842.
6	Credits/Payments:		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 4,052.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: NONE		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	4,052.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	210.
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ 210. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ -0- (2) On foundation managers ▶ $ -0-			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ -0-			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ PENNSYLVANIA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ THE GLENMEDE TRUST COMPANY, N.A. Telephone no. ▶ (215)419-6000

Located at ▶ 1650 MARKET ST STE 1200, PHILADELPHIA, PA ZIP+4 ▶ 19103-7391

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	N/A
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X (No)
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE SCHEDULE ATTACHED		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,567,050.
b	Average of monthly cash balances	1b	103,502.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	3,670,552.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	3,670,552.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	55,058.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,615,494.
6	**Minimum investment return.** Enter 5% of line 5	6	180,775.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	180,775.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	3,842.		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	3,842.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	176,933.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	176,933.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	176,933.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	766,064.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	766,064.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	3,842.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	762,222.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					176,933.
2 Undistributed income, if any, as of the end of 2012:					
a Enter amount for 2011 only				NONE	
b Total for prior years: 20____,20____,20____			NONE		
3 Excess distributions carryover, if any, to 2012:					
a From 2007	NONE				
b From 2008	NONE				
c From 2009	NONE				
d From 2010	124,205.				
e From 2011	141,000.				
f **Total** of lines 3a through e		265,205.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 766,064.					
a Applied to 2011, but not more than line 2a				NONE	
b Applied to undistributed income of prior years (Election required - see instructions)			NONE		
c Treated as distributions out of corpus (Election required - see instructions)		NONE			
d Applied to 2012 distributable amount					176,933.
e Remaining amount distributed out of corpus		589,131.			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		NONE			NONE
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5		854,336.			
b Prior years' undistributed income. Subtract line 4b from line 2b			NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions			NONE		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instructions				NONE	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)		NONE			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)		NONE			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		854,336.			
10 Analysis of line 9:					
a Excess from 2008	NONE				
b Excess from 2009	NONE				
c Excess from 2010	124,205.				
d Excess from 2011	141,000.				
e Excess from 2012	589,131.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

DR. EUGENE GARFIELD

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

SEE STATEMENT 3

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE SCHEDULE ATTACHED	N/A	PUBLIC CHA	FOR GENERAL PURPOSES	761,500.
Total . . . . . . ▶ **3a**				761,500.
b *Approved for future payment*				
N/A				
Total . . . . . . ▶ **3b**				-0-

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	110,863.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	-3,696.	
8 Gain or (loss) from sales of assets other than inventory			18	291,935.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				399,102.	

13 Total. Add line 12, columns (b), (d), and (e) . . . . . 13 399,102.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Eugene Garfield | 2/11/14 | President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
FRANCIS X. MEHAFFEY	Francis X. Mehaffey	2/6/14		P01072411
Firm's name ▶ THE GLENMEDE TRUST COMPANY, N.A.			Firm's EIN ▶ 32-0274136	
Firm's address ▶ 1650 MARKET STREET, SUITE 1200 PHILADELPHIA, PA 19103-7391			Phone no 215-419-6000	

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2013

ATTACHMENTS PAGE 1 FORM 990-PF
PART I ANALYSIS OF REVENUE AND EXPENSES

		COLUMN A	COLUMN B	COLUMN D
REVENUE:				
LINE 4	DIVIDENDS AND INTEREST FROM SECURITIES - DIVIDENDS AND TAXABLE INTEREST	110,863	110,863	
LINE 11	OTHER INCOME - PARTNERSHIP INCOME PER K-1	-3,696	-3,696	
EXPENSES:				
LINE 16b	ACCOUNTING FEES - THE GLENMEDE TRUST COMPANY, N A 11/30/2012 TAX PREPARATION FEE	3,000	0	3,000
LINE 16c	OTHER PROFESSIONAL FEES - THE GLENMEDE TRUST COMPANY, N A INVESTMENT MANAGEMENT FEE	14,902	14,902	0
LINE 18	TAXES - EXCISE TAX PAYMENTS	3,500	0	0
LINE 23	OTHER EXPENSES - REIMBURSE TRUSTEE FOR MEETING EXPENSE	1,564	0	1,564

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/13
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
Cash & Reserves									
28204	GLENMEDE FUND INC-GOVT CASH PORTFOLIO (GTGXX)		1.00	28,204	1.00	28,204		0	0.00 *
4+	Cash		0.99	4	1.00	4		0	0.00
Cash & Reserves Total				28,208		28,208		0	0.00
Fixed Income									
U S Government Obligations									
50000	US TREASURY N/B DTD 5/15/2004 4.75% 5/15/2014 (912828CJ7)	Aaa	0.99	49,250	102.09	51,045	1,795	2,375	4.65
35000	US TREASURY N/B DTD 11/30/2011 1.375% 11/30/2018 (912828RT9)	Aaa	1.02	35,819	100.13	35,044	775-	481	1.37
	Total			85,069		86,089	1,020	2,856	3.32
U S Government Agencies									
10000	FHLB DTD 5/27/2004 5.25% 6/18/2014 (3133X7FK5)	Aaa	1.12	11,186	102.77	10,277	908-	525	5.11
40000	FHLB DTD 5/3/2006 5.375% 5/18/2016 (3133XFJF4)	Aaa	1.16	46,508	112.14	44,857	1,651-	2,150	4.79
45000	FNMA DTD 6/15/2006 5.375% 7/15/2016 (31359MS61)	Aaa	1.12	50,580	112.11	50,447	133-	2,419	4.79

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
55000	FHLB DTD 10/19/2007 5% 11/17/2017 (3133XMQ87)	Aaa	1.19	65,592	115.56	63,560	2,033-	2,750	4.33	#
10000	FHLMC DTD 6/13/2008 4.875% 6/13/2018 (3137EABP3)	Aaa	1.17	11,650	115.31	11,531	119-	488	4.23	
	Total			185,516		180,672	4,844-	8,332	4.61	
Corporate Bonds - Industrial										
35000	UNITED TECHNOLOGIES CORP DTD 4/29/2005 4.875% 5/1/2015 (913017BH1)	A2	1.10	38,388	106.18	37,162	1,226-	1,706	4.59	
40000	JOHNSON & JOHNSON DTD 8/16/2007 5.55% 8/15/2017 (478160AQ7)	Aaa	1.11	44,571	115.73	46,293	1,722	2,220	4.80	
25000	PEPSICO INC DTD 10/24/2008 7.9% 11/1/2018 (713448BJ6)	A1	1.27	31,737	127.30	31,826	89	1,975	6.21	
25000	CATERPILLAR INC DTD 12/5/2008 7.9% 12/15/2018 (149123BQ3)	A2	1.30	32,423	127.46	31,864	559-	1,975	6.20	
35000	IBM CORP DTD 11/1/1989 8.375% 11/1/2019 (459200AG6)	Aa3	1.38	48,403	133.20	46,620	1,783-	2,931	6.29	
	Total			195,522		193,765	1,758-	10,807	5.58	

Corporate Bonds - Finance

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
20000	WACHOVIA BANK NA DTD 10/21/2004 4.8% 11/1/2014 (92976GAB7)	A1	1.07	21,492	103.95	20,790	702-	960	4.62
20000	BLACKROCK INC DTD 9/17/2007 6.25% 9/15/2017 (09247XAC5)	A1	1.18	23,667	116.76	23,352	315-	1,250	5.35
35000	HONEYWELL INTERNATIONAL DTD 2/29/2008 5.3% 3/1/2018 (438516AX4)	A2	1.07	37,522	114.95	40,231	2,709	1,855	4.61
35000	ORACLE CORP DTD 4/9/2008 5.75% 4/15/2018 (68389XAC9)	A1	1.10	38,502	116.88	40,909	2,407	2,013	4.92
30000	JOHN DEERE CAP DTD 7/12/2011 3.9% 7/12/2021 (24422ERE1)	A2	1.08	32,370	105.10	31,529	841-	1,170	3.71
	Total			153,553		156,811	3,258	7,248	4.62
Corporate Bonds - Utilities									
10000	CISCO SYS INC SR NT DTD 3/16/2011 3.15% 3/14/2017 (17275RAK8)	A1	1.08	10,763	106.86	10,686	77-	315	2.95
	Total			10,763		10,686	77-	315	2.95

Other Taxable Bond Mutual Funds

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/13
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
3433+	GLENMEDE FUND INC-CORE FIXED INCOME PORTFOLIO (GTCGX)		11.68	40,099	11.17	38,349	1,750-	1,044	2.72	#
5090+	TEMPLETON GLOBAL BOND FD-AD (TGBAX)		13.52	68,813	13.02	66,268	2,545-	3,700	5.58	#
4816+	BLACKROCK FLOATING RATE INCOME DTD 10/1/2012 (BFRIX)		10.40	50,091	10.50	50,573	482	1,957	3.87	#
14189+	LEGG MASON BW GLOBAL OP BD-IS DTD 6/1/2009 (GOBSX)		11.02	156,369	10.78	152,953	3,416-	5,604	3.66	#
17032+	TIAA CREF HIGH YIELD FUND - IN DTD 5/1/2012 (TIHYX)		9.78	166,631	10.45	177,982	11,352	10,128	5.69	#
	Total			482,003		486,125	4,122	22,433	4.61	
Fixed Income Total				1,112,426		1,114,148	1,722	51,991	4.67	
Equities										
Basic Industries										
280	DANAHER CORP (DHR)		64.02	17,925	74.80	20,944	3,019	28	0.13	#
285	EMERSON ELECTRIC CO. (EMR)		56.59	16,128	66.99	19,092	2,964	490	2.57	
425	EXPEDITORS INTL WASH INC (EXPD)		39.53	16,800	43.44	18,462	1,662	255	1.38	#
270	FLUOR CORP (NEW) (FLR)		66.59	17,978	77.81	21,009	3,030	173	0.82	#
125	HUBBELL (HARVEY) INC CLASS B NON-VTG (HUB.B)		96.54	12,068	107.91	13,489	1,420	250	1.85	
205	LYONDELLBASELL INDUSTRIES NV (LYB)		69.76	14,300	77 18	15,822	1,522	492	3 11	#

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
175	PARKER-HANNIFIN CORP. (PH)		89.62	15,684	117.84	20,622	4,938	315	1.53	#
245	RAYTHEON COMPANY (RTN)		70.71	17,325	88.68	21,727	4,402	539	2.48	#
	Total			128,208		151,167	22,956	2,542	1.68	
Consumer Discretionary										
205	BED BATH & BEYOND INC (BBBY)		60.09	12,319	78.03	15,996	3,677	0	0.00	#
370	DOLLAR TREE INC (DLTR)		43.62	16,141	55.65	20,591	4,449	0	0.00	#
325	GARTNER GROUP CLASS A (IT)		54.50	17,713	64.65	21,011	3,298	0	0.00	#
270	HANESBRANDS INC (HBI)		52.34	14,131	70.10	18,927	4,796	216	1.14	#
285	HOME DEPOT INC. (HD)		40.35	11,500	80.67	22,991	11,490	445	1.93	#
875	INTERNATIONAL GAME TECHNOLOGY (IGT)		17.54	15,346	17.49	15,304	43-	385	2.52	#
275	ROSS STORES INC (ROST)		63.00	17,326	76.46	21,027	3,700	187	0.89	#
280	SCRIPPS NETWORKS INTERAC (SNI)		70.81	19,827	74.59	20,885	1,059	168	0.80	#
	Total			124,303		156,732	32,426	1,401	0.89	
Consumer Staples										
560	ALTRIA GROUP INC (MO)		35.22	19,725	36.98	20,709	984	1,075	5.19	
405	GENERAL MILLS INC. (GIS)		48.94	19,822	50.43	20,424	603	616	3.01	
205	KIMBERLY CLARK CORP. (KMB)		96.55	19,793	109.16	22,378	2,585	664	2.97	#
275	PEPSICO INC. (PEP)		84.83	23,329	84.46	23,227	102-	624	2.69	#
290	WAL MART STORES INC. (WMT)		77.85	22,577	81.01	23,493	916	545	2.32	#
	Total			105,246		110,231	4,985	3,524	3.20	
Energy										
265	CHEVRON CORP (CVX)		81.57	21,615	122.44	32,447	10,832	1,060	3.27	#
280	HALLIBURTON CO. (HAL)		42.58	11,922	52.68	14,750	2,828	168	1.14	

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
240	HELMERICH & PAYNE INC. (HP)		63.30	15,193	77.00	18,480	3,287	480	2.60	#
200	NATIONAL OILWELL VARCO INC (NOV)		65.75	13,150	81.50	16,300	3,150	208	1.28	#
	Total			61,880		81,977	20,096	1,916	2.34	
Financials										
280	DISCOVER FINANCIAL SERVICES (DFS)		49.22	13,782	53.30	14,924	1,142	224	1.50	
413	FRANKLIN RESOURCES INC. (BEN)		38.15	15,755	55.39	22,876	7,121	165	0.72	#
535	JPMORGAN CHASE & CO (JPM)		50.35	26,936	57.22	30,613	3,677	813	2.66	#
530	PROGRESSIVE CORP OHIO (PGR)		25.17	13,340	27.93	14,803	1,462	151	1.02	#
300	STATE STREET CORP (STT)		61.51	18,454	72.61	21,783	3,329	312	1.43	#
155	T ROWE PRICE GROUP INC (TROW)		74.48	11,545	80.46	12,471	927	236	1.89	
195	TORCHMARK CORP (TMK)		59.44	11,591	76.00	14,820	3,229	133	0.89	
605	US BANCORP (USB)		21.27	12,867	39.22	23,728	10,861	557	2.35	#
620	WELLS FARGO CO (WFC)		27.33	16,942	44.02	27,292	10,351	744	2.73	#
	Total			141,212		183,310	42,100	3,335	1.82	
Health Care										
570	ABBOTT LABORATORIES (ABT)		33.54	19,115	38.19	21,768	2,653	502	2.30	#
455	ABBVIE INC COM (ABBV)		38.67	17,596	48.45	22,045	4,449	728	3.30	
215	ALLERGAN INC (AGN)		90.84	19,530	97.05	20,866	1,336	43	0.21	#
385	ASTRAZENECA PLC SPONSORED ADR (AZN)		49.10	18,904	57.19	22,018	3,114	1,078	4.90	#
355	MEDTRONIC INC. (MDT)		45.90	16,293	57.32	20,349	4,055	398	1.95	
475	PATTERSON COS INC (PDCO)		41.66	19,789	41.49	19,708	81-	304	1.54	#
280	STRYKER CORP. (SYK)		73.43	20,561	74.42	20,838	276	297	1.42	

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/13
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
	Total			131,788		147,592	15,802	3,350	2.27
Technology									
405	ACCENTURE PLC (ACN)		44.43	17,994	77.47	31,375	13,381	753	2.40 #
250	AMPHENOL CORP-CL A (APH)		58.04	14,510	85.00	21,250	6,740	200	0.94 #
73	APPLE INC. (AAPL)		46.18	3,371	556.07	40,593	37,222	891	2.19 #
1025	CISCO SYSTEMS (CSCO)		22.04	22,593	21.25	21,781	812-	697	3 20 #
165	COGNIZANT TECH SOLUTIONS CRP (CTSH)		63.64	10,501	93.89	15,492	4,990	0	0.00 #
340	MSCI INC (MSCI)		34.26	11,650	44.39	15,093	3,442	0	0.00 #
450	NETAPP INC (NTAP)		41.30	18,584	41.25	18,563	22-	270	1.45 #
885	ORACLE CORP (ORCL)		17.75	15,709	35.29	31,232	15,523	425	1.36 #
265	WESTERN DIGITAL CORP. (WDC)		63.68	16,876	75.04	19,886	3,010	318	1.60 #
	Total			131,788		215,265	83,475	3,554	1.65
U S Mutual Funds									
3813+	GLENMEDE FUND INC - ADVISOR SMALL CAP EQUITY PORTFOLIO (GTCSX)		17.25	65,763	26.98	102,867	37,104	446	0.43 *#
4107+	GLENMEDE FUND INC - US EMERGING GROWTH (GTGSX)		7.30	30,000	10.26	42,137	12,137	296	0.70 #
17315+	GLENMEDE FUND INC SECURED OPTIONS (GTSOX)		12.33	213,494	13.31	230,464	16,970	0	0.00 *#
2111+	HARBOR SMALL CAP VALUE - INSTI (HASCX)		11.81	24,934	29.69	62,662	37,727	456	0.73 #
1311+	ROYCE SPECIAL EQUITY FUND -IN (RSEIX)		17.95	23,538	26.74	35,058	11,520	595	1.70 #
	Total			357,729		473,188	115,459	1,793	0.38

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/13
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
International Mutual Funds										
3750	ISHARES MSCI EAFE INDEX FUND (EFA)		41.09	154,076	66.24	248,400	94,324	6,604	2.66	
1175	ISHARES MSCI EMERGING MKT IN (EEM)		22.07	25,932	42.35	49,761	23,829	904	1.82	
5662+	MATTHEWS PACIFIC TIGER FD - IS (MIPTX)		22.50	127,409	25.40	143,811	16,402	1,144	0.80	#
2889+	TWEEDY BROWNE GLOBAL VALUE FUND (TBGVX)		21.53	62,178	27.52	79,493	17,315	1,011	1.27	#
	Total			369,595		521,465	151,870	9,663	1.85	
Equities Total				1,551,749		2,040,927	489,169	31,078	1.52	
Other										
Alternative Assets										
10204+	AQR RISK PARITY FUND -I (AQRIX)		11.27	115,000	11.54	117,755	2,755	1,480	1.26	
7784+	DREYFUS GLOBAL REAL ESTATE SECURITIES FD (DRLIX)		8.35	65,000	8.32	64,766	234-	3,612	5.58	
22805+	PIMCO ALL ASSETS AUTH -IS (PAUIX)		10.46	238,610	10.27	234,210	4,399-	14,048	6.00	#
1923+	VAN ECK GLOBAL HARD ASSETS -I (GHAIX)		40.71	78,314	49.03	94,309	15,995	473	0.50	#
	Total			496,924		511,040	14,117	19,613	3.84	
Other Total				496,924		511,040	14,117	19,613	3.84	

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/13
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
-11679	Cash Payable			11,679-		11,679		0	0.00
11620	Cash Receivable			11,620		11,620		0	0.00
Grand Total				3,189,248		3,694,264	505,008	102,682	2.78

* AS A RESULT OF CAPITAL GAIN DISTRIBUTIONS, THE TAX COST COLUMN DOES NOT REFLECT ADJUSTED INVESTMENT.
\# INDICATES MULTIPLE TAX LOTS
\+ FRACTIONAL SHARE EXISTS

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2013

ATTACHMENTS PAGE 6 FORM 990-PF
PART VIII LIST OF OFFICERS, DIRECTORS, TRUSTEES, ETC.

NAME AND ADDRESS	TITLE	AVERAGE HOURS PER WEEK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCT
1. EUGENE GARFIELD C/O THE GLENMEDE TRUST COMPANY, N.A. 1650 MARKET STREET SUITE 1200 PHILADELPHIA, PA 19103-7391	PRESIDENT/ TREASURER/ CHAIRMAN	AS NEEDED	-0-	-0-	-0-
2. JOSHUA GARFIELD C/O THE GLENMEDE TRUST COMPANY, N.A. 1650 MARKET STREET SUITE 1200 PHILADELPHIA, PA 19103-7391	VICE PRESIDENT/ SECRETARY	AS NEEDED	-0-	-0-	-0-
3 ROBERT S BRAMSON C/O THE GLENMEDE TRUST COMPANY, N.A 1650 MARKET STREET SUITE 1200 PHILADELPHIA, PA 19103-7391	DIRECTOR	AS NEEDED	-0-	-0-	-0-
TOTAL			-0-	-0-	-0-

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2013

PART XV, ATTACHMENTS PAGE 10, FORM 990-PF

2a NAME, ADDRESS AND PHONE FOR APPLICATIONS
EUGENE GARFIELD
C/O THE GLENMEDE TRUST COMPANY, N.A.
1650 MARKET STREET, SUITE 1200
PHILADELPHIA, PA 19103
215-419-6000

2b THE FORM IN WHICH APPLICATIONS SHOULD BE SUBMITTED, ETC ,
IN WRITING, WITH NAME, ADDRESS & PURPOSE OF ORGANIZATION

2c NONE

2d NONE

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2013

PART XV, FORM 990-PF, PAGE 11

No.	Grantee Organization, City/State and Project Description	Grant Amount	Payment Date
1	**ACLU Foundation** New York, NY General Support - Civil/Human Rights	25,000.00	12/6/2012
2	**National Public Radio** Washington DC Public broadcasting	1,000.00	12/6/2012
3	**The American Philosophical Society** Philadelphia, PA Mellon Challenge to establish Eugene Garfield Library Research Fellowship	100,000.00	12/11/2012
4	**American Society for Information Science** Silver Spring, MD The History Fund of ASIST	2,000.00	12/10/2012
5	**World Food Forum/RHD** Philadelphia, PA Non-Profit Incubator Program	1,000.00	1/3/2012
6	**Opera Company of Philadelphia** Philadelphia, PA Sounds of Learning Program Age Group: Young Adults	15,000.00	1/3/2013

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2013

PART XV, FORM 990-PF, PAGE 11

No.	Grantee Organization, City/State and Project Description	Grant Amount	Payment Date
7	**Chemical Heritage Foundation** Philadelphia, PA Eugene Garfield Doctoral Dissertation Scholarship to the International Society for Scientometrics and Infometrics	3,000.00	1/29/2013
8	**Chemical Heritage Foundation** Philadelphia, PA In $100,000 increments to: Raquel & Arthur Seidel Fellowship in the History of Intellectual Property Noshir T. Mistry Fellowship in the History of Chemical Engineering Paul Otlet Fellowship in the History of Information Sciences	300,000.00	1/23/2013
9	**Bryn Mawr Film Institute** Bryn Mawr, PA Category: Arts & Culture	1,000.00	3/4/2013
10	**Medical Library Association** To establish The Eugene Garfield Fellowship Award	125,000.00	3/28/2013
11	**Drexel University DUCA Program** Philadelphia, PA For the DUCA-Program	100,000.00	6/20/2013
12	**Beta Phi Mu** Philadelphia, PA For six Eugene Garfield Fellowship awards in 2013	18,000.00	6/25/2013

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2013

PART XV, FORM 990-PF, PAGE 11

No.	Grantee Organization, City/State and Project Description	Grant Amount	Payment Date
13	**Philadelphia Orchestra** Philadelphia, PA Philadelphia Orchestra Endowment Fund, payment 8 of 10	2,500.00	6/28/2013
14	**The Flag Foundation** Sebastopol, CA Animal Messenger Sanctuary	500.00	7/24/2013
15	**Monell Chemical Senses Center** Philadelphia, PA Monell Annual Fund	2,000.00	8/23/2013
16	**New Horizons Senior Center** Narberth, PA Category: Supportive programs and services for senior citizens	2,000.00	8/23/2013
17	**Interfaith Hospitality Network of NE & NW Philadelphia (NPIHN)** Philadelphia, PA For General Purposes	500.00	8/23/2013
18	**The Global Giving Foundation** Washington DC For Project #14455	500.00	9/4/2013

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2013

PART XV, FORM 990-PF, PAGE 11

No.	Grantee Organization, City/State and Project Description	Grant Amount	Payment Date
19	**Kimmel Cancer Center at Jefferson** Philadelphia, PA 2013 Men's Event, Bronze Sponsorship	1,300.00	9/25/2013
20	**University of Illinois Foundation** Urbana, IL Paul Otlet Lecture in Library & Information Science, UIF Fund 770739	25,000.00	11/5/2013
21	**ALISE (Association for Library & Information Science Education)** Chicago, IL Centennial Fund for ALISE	3,200.00	11/13/2013
22	**ACLU** New York, NY General Support - civil/human rights	30,000.00	11/15/2013
23	**American Red Cross** Des Moines, IA Typhoon Haiyan Relief Fund	1,000.00	11/15/2013
24	**Doctors without Borders** Hagerstown, MD Typhoon Haiyan Relief Fund	1,000.00	11/15/2013

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2013

PART XV, FORM 990-PF, PAGE 11

No.	Grantee Organization, City/State and Project Description	Grant Amount	Payment Date
25	**Eldernet of Lower Merion** Bryn Mawr, PA For General Purpose	1,000.00	11/21/2013
	Grand Total	761,500.00	