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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2012

Open to Public
Inspection

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning 12-01-2012 , and ending 11-30-2013

Name of foundation TREFFERT FAMILY FOUNDATION INC		A Employer identification number 20-3995376
Number and street (or P O box number if mail is not delivered to street address) 3415 GATEWAY ROAD		B Telephone number (see instructions) (262) 790-4960
City or town, state, and ZIP code BROOKFIELD, WI 53045		C If exemption application is pending, check here <input checked="" type="checkbox"/> <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input checked="" type="checkbox"/> <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input checked="" type="checkbox"/> <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input checked="" type="checkbox"/> <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input checked="" type="checkbox"/> <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 3,207,874	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	1,072,999			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	19	19		
	4 Dividends and interest from securities.	42,901	42,901		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	666,849			
	b Gross sales price for all assets on line 6a <u>1,953,811</u>				
	7 Capital gain net income (from Part IV, line 2)		666,849		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	555	555		
	12 Total. Add lines 1 through 11	1,783,323	710,324		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	23,400	23,400		0
	c Other professional fees (attach schedule)				
	17 Interest	254	254		0
	18 Taxes (attach schedule) (see instructions)	2,551	879		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	2,718	2,718		0
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	28,923	27,251		0
	25 Contributions, gifts, grants paid.	0			0
	26 Total expenses and disbursements. Add lines 24 and 25	28,923	27,251		0
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	1,754,400			
	b Net investment income (if negative, enter -0-)		683,073		
	c Adjusted net income (if negative, enter -0-)				

Part III Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing	31,289	719,626	719,626
2	Savings and temporary cash investments			
3	Accounts receivable ►			
	Less allowance for doubtful accounts ►			
4	Pledges receivable ►			
	Less allowance for doubtful accounts ►			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
7	Other notes and loans receivable (attach schedule) ►			
	Less allowance for doubtful accounts ►			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)			
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	1,067,405	2,143,138	2,488,248
14	Land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
15	Other assets (describe ►)			
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,098,694	2,862,764	3,207,874
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ►)			
23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	1,738	1,738	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	3,912,581	3,922,251	
29	Retained earnings, accumulated income, endowment, or other funds	-2,815,625	-1,061,225	
30	Total net assets or fund balances (see page 17 of the instructions)	1,098,694	2,862,764	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,098,694	2,862,764	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,098,694
2	Enter amount from Part I, line 27a	2	1,754,400
3	Other increases not included in line 2 (itemize) ►	3	9,670
4	Add lines 1, 2, and 3	4	2,862,764
5	Decreases not included in line 2 (itemize) ►	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,862,764

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
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1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
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a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
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a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	(i) If gain, also enter in Part I, line 7 (j) If (loss), enter -0- in Part I, line 7	2	666,849
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			

If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8

3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Yes No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	0	1,145,642	0 000000
2010	50,000	1,149,447	0 043499
2009	1,010,000	1,628,109	0 620352
2008	125,000	1,091,136	0 114560
2007	124,316	2,856,066	0 043527

2 Total of line 1, column (d).	2	0 821938
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 164388
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	2,840,255
5 Multiply line 4 by line 3.	5	466,904
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	6,831
7 Add lines 5 and 6.	7	473,735
8 Enter qualifying distributions from Part XII, line 4.	8	0

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1	1	13,661
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2.	3	13,661
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	13,661
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	840
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	840
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	12,821
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	Yes	No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
1c	Did the foundation file Form 1120-POL for this year?.	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year		
	(1) On the foundation <input type="checkbox"/> \$ <u>0</u> (2) On foundation managers <input type="checkbox"/> \$ <u>0</u>		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ <u>0</u>		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	Yes	No
	<i>If "Yes," attach a detailed description of the activities.</i>		
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	Yes	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	Yes	No
	<i>If "Yes," attach the statement required by General Instruction T.</i>		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either		
	● By language in the governing instrument, or		
	● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	Yes	No
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> WI		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	Yes	No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	Yes	No

Part VII-A Statements Regarding Activities (continued)

11		No
12		No
13	Yes	

Website address ► N/A

14	The books are in care of ► VOGEL CONSULTING LLC	Telephone no ► (262) 790-4960
	Located at ► 3415 GATEWAY ROAD BROOKFIELD WI	ZIP +4 ► 53045

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ►	15
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16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16
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See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ►

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ► Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ► Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ► Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ► Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ► Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ► Yes <input checked="" type="checkbox"/> No		
1b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . Organizations relying on a current notice regarding disaster assistance check here. ►		
1c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ► Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ► Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012).		
3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		No
4b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
Organizations relying on a current notice regarding disaster assistance check here. ►				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			
If "Yes" to 6b, file Form 8870.				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		7b	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM W TREFFERT	PRESIDENT/SECRETARY 0 50	0	0	0
W283N3820 YORKSHIRE TRCE PEWAUKEE, WI 53072				
SELMA P TREFFERT	VICE-PRESIDENT/TREASURER 1 00	0	0	0
W283N3820 YORKSHIRE TRCE PEWAUKEE, WI 53072				
DAWN MYERS	DIRECTOR 0 50	0	0	0
W283N3820 YORKSHIRE TRCE PEWAUKEE, WI 53072				
TODD TREFFERT	DIRECTOR 0 50	0	0	0
W283N3820 YORKSHIRE TRCE PEWAUKEE, WI 53072				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE"

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and

1	
2	
All other program-related investments See page 24 of the instructions	
3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	2,653,468
b	Average of monthly cash balances.	1b	230,040
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,883,508
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,883,508
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	43,253
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,840,255
6	Minimum investment return. Enter 5% of line 5.	6	142,013

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	142,013
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	13,661
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	13,661
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	128,352
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	128,352
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	128,352

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	0
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the	3a	
a	Suitability test (prior IRS approval required).	3b	
b	Cash distribution test (attach the required schedule).	4	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	5	
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	6	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.		

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				128,352
2 Undistributed income, if any, as of the end of 2012			0	
a Enter amount for 2011 only.				
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.	812,576			
d From 2010.				
e From 2011.				
f Total of lines 3a through e.	812,576			
4 Qualifying distributions for 2012 from Part XII, line 4 ► \$ _____ 0			0	
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				0
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	128,352			128,352
6 Enter the net total of each column as indicated below:	684,224			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	684,224			
10 Analysis of line 9				
a Excess from 2008.				
b Excess from 2009.	684,224			
c Excess from 2010.				
d Excess from 2011.				
e Excess from 2012.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers

2. Information regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed
- b** The form in which applications should be submitted and information and materials they should include
- c** Any submission deadlines
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Total			► 3a	0
b Approved for future payment				
Total			► 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
3	TO ALLOW FOR INCREASE OF CONTRIBUTIONS TO PUBLIC CHARITIES
4	TO ALLOW FOR INCREASE OF CONTRIBUTIONS TO PUBLIC CHARITIES
7	TO ALLOW FOR INCREASE OF CONTRIBUTIONS TO PUBLIC CHARITIES
8	TO ALLOW FOR INCREASE OF CONTRIBUTIONS TO PUBLIC CHARITIES

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization or

(1) Cash.

(2) Other assets.

Other transactions

(1) Sales of assets to a noncharitable exempt organization. . .

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment,

(4) Reimbursement arrangements

c. Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
1a(1)		No
1a(2)		No
1b(1)		No
1b(2)		No
1b(3)		No
1b(4)		No
1b(5)		No
1b(6)		No
1c		No

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations?

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

* * * * *

2014-03-13

* * * * *

May the IRS discuss this return with the preparer shown below (see instr. 12) Yes No

Signature of officer or trustee		Date	Title	(see instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Paid Preparer Use Only	Print/Type preparer's name		Preparer's Signature	Date	PTIN
	RHONA E VOGEL		RHONA E VOGEL	Check if self-employed <input checked="" type="checkbox"/>	P00365916
	Firm's name <input checked="" type="checkbox"/>		VOGEL CONSULTING LLC		Firm's EIN <input checked="" type="checkbox"/> 39-2038214
	Firm's address <input checked="" type="checkbox"/>		3415 GATEWAY ROAD BROOKFIELD, WI 53045		Phone no (262) 790-4960

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
FROM SKYLANDS QUEST K-1 (NET SHORT-TERM CAPITAL GAINS)	P	2012-01-01	2012-11-30
FROM SKYLANDS QUEST K-1 (NET LONG-TERM CAPITAL GAINS)	P	2009-11-30	2012-11-30
290 SH DOLE FOOD CO INC	D	2012-09-21	2013-08-28
83 918 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2012-12-17	2013-08-28
60 572 SH PIMCO TOTAL RETURN FUND CL D	P	2012-12-12	2013-08-28
55 456 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2012-12-17	2013-08-28
44 46 SH PIMCO TOTAL RETURN FUND CL D	P	2012-12-12	2013-08-28
46 25 SH PIMCO TOTAL RETURN FUND CL D	P	2012-12-27	2013-08-28
14 16 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2013-05-15	2013-08-28
4 677 SH PERMANENT PORTFOLIO	P	2012-12-05	2013-06-20
14 207 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2013-04-15	2013-08-28
14 289 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2013-03-15	2013-08-28
31 303 SH DODGE & COX INCOME FUND	P	2012-09-25	2013-08-28
14 145 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2013-02-15	2013-08-28
14 187 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2013-01-15	2013-08-28
11 709 SH PIMCO TOTAL RETURN FUND CL D	P	2012-11-30	2013-08-28
13 696 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2012-10-15	2013-08-28
13 728 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2012-11-15	2013-08-28
3 508 SH PERMANENT PORTFOLIO	P	2012-12-05	2013-06-20
27 836 SH DODGE & COX INCOME FUND	P	2013-03-26	2013-08-28
11 43 SH PIMCO TOTAL RETURN FUND CL D	P	2012-10-31	2013-08-28
27 365 SH DODGE & COX INCOME FUND	P	2012-12-19	2013-08-28
13 67 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2012-09-17	2013-08-28
12 049 SH PIMCO TOTAL RETURN FUND CL D	P	2013-04-30	2013-08-28
9 411 SH PIMCO TOTAL RETURN FUND CL D	P	2012-08-31	2013-08-28
7 582 SH PIMCO TOTAL RETURN FUND CL D	P	2012-09-28	2013-08-28
24 768 SH HUSSMAN STRATEGIC GROWTH	P	2012-12-31	2013-06-20
14 81 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2013-06-17	2013-08-28
14 893 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2013-07-15	2013-08-28
10 051 SH PIMCO TOTAL RETURN FUND CL D	P	2013-03-28	2013-08-28

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9 078 SH PIMCO TOTAL RETURN FUND CL D	P	2012-12-31	2013-08-28
7 723 SH PIMCO TOTAL RETURN FUND CL D	P	2013-02-28	2013-08-28
9 939 SH PIMCO TOTAL RETURN FUND CL D	P	2013-05-31	2013-08-28
6 684 SH PIMCO TOTAL RETURN FUND CL D	P	2013-01-31	2013-08-28
15 187 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2013-08-15	2013-08-28
8 614 SH PIMCO TOTAL RETURN FUND CL D	P	2013-07-31	2013-08-28
6 853 SH PIMCO TOTAL RETURN FUND CL D	P	2013-06-28	2013-08-28
0 555 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2012-12-17	2013-08-28
4 323 SH ARBITRAGE FUND CLASS R	P	2012-12-18	2013-06-20
11 799 SH ARBITRAGE FUND CLASS R	P	2012-12-18	2013-06-20
26 191 SH DODGE & COX INCOME FUND	P	2013-06-25	2013-08-28
3 281 SH JORDAN OPPORTUNITY FUND	P	2012-12-28	2013-06-20
41 522 SH IVY ASSET STRATEGY FUND CL Y	P	2012-12-13	2013-06-20
40 SH MARRIOTT INTL INC CL A	D	2012-12-06	2013-08-28
8 SH WESTLAKE CHEMICAL CORP	D	2012-09-06	2013-08-28
30 SH LYONDELLBASELL INDs FCCLASS A	D	2012-09-06	2013-08-28
50 SH NOBLE ENERGY INC	D	2012-12-10	2013-08-28
370 SH MARRIOTT INTL INC CL A	D	2012-11-01	2013-08-28
39 SH VIACOM INC CL B NEW	D	2012-11-19	2013-08-28
360 SH MONDELEZ INTL INC CL A	D	2012-10-10	2013-08-28
70 SH FACEBOOK INC CLASS A	D	2012-11-02	2013-08-28
330 SH MARRIOTT INTL INC CL A	D	2012-11-19	2013-08-28
100 SH TIME WARNER INC NEW	D	2012-11-19	2013-08-28
61 SH VIACOM INC CL B NEW	D	2012-11-15	2013-08-28
190 SH TIME WARNER INC NEW	D	2012-11-19	2013-08-28
180 SH LYONDELLBASELL INDs FCCLASS A	D	2012-09-06	2013-08-28
140 SH WESTLAKE CHEMICAL CORP	D	2012-09-06	2013-08-28
149 SH VIACOM INC CL B NEW	D	2012-11-15	2013-08-28
171 SH VIACOM INC CL B NEW	D	2012-11-19	2013-08-28
330 SH FACEBOOK INC CLASS A	D	2012-10-25	2013-08-28

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400 SH FACEBOOK INC CLASS A	D	2012-10-24	2013-08-28
550 SH FACEBOOK INC CLASS A	D	2012-11-19	2013-08-28
730 SH FACEBOOK INC CLASS A	D	2012-10-24	2013-08-28
630 SH FACEBOOK INC CLASS A	D	2012-11-13	2013-08-28
89 SH APPLE INC	D	2007-03-02	2013-10-21
100 SH CAMPBELL SOUP COMPANY	D	2011-10-10	2013-08-28
100 SH CAMPBELL SOUP COMPANY	D	2011-10-10	2013-08-28
45 SH CAMPBELL SOUP COMPANY	D	2011-10-10	2013-08-28
100 SH CAMPBELL SOUP COMPANY	D	2011-10-10	2013-08-28
99 SH CONTINENTAL RESOURCES	D	2010-10-05	2013-08-28
390 SH VALEANT PHARMA INTL F	D	2012-05-30	2013-08-28
100 SH CAMPBELL SOUP COMPANY	D	2011-10-10	2013-08-28
260 SH JPMORGAN CHASE & CO	D	2009-02-06	2013-08-28
45 SH JPMORGAN CHASE & CO	D	2010-12-10	2013-08-28
10 SH JPMORGAN CHASE & CO	D	2010-12-10	2013-08-28
430 SH ACE LIMITED NEW F	D	2011-11-18	2013-08-28
80 SH ACE LIMITED NEW F	D	2012-06-29	2013-08-28
650 SH PFIZER INCORPORATED	D	2012-05-30	2013-08-28
05 SH DEAN FOODS CO NEW	D	2007-05-18	2013-08-28
106 SH MC DONALDS CORP	D	2007-03-02	2013-08-28
462783 SH THE WHITEWAVE FOODS CO CLASS B	D	2007-05-18	2013-08-28
10368354 SH THE WHITEWAVE FOODS CO CLASS B	D	2007-08-28	2013-08-28
9168864 SH THE WHITEWAVE FOODS CO CLASS B	D	2010-12-10	2013-08-28
223 SH THE WHITEWAVE FOODS CO CLASS B	D	2010-12-10	2013-08-28
99 SH MC DONALDS CORP	D	2007-03-02	2013-08-28
100 SH CONTINENTAL RESOURCES	D	2010-10-05	2013-08-28
600 SH MERCK & CO INC NEW	D	2011-06-13	2013-08-28
130 SH MERCK & CO INC NEW	D	2012-01-24	2013-08-28
35 SH MERCK & CO INC NEW	D	2012-05-02	2013-08-28
1341 SH BANK OF AMERICA CORP	D	2009-03-10	2013-08-28

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15 SH BANK OF AMERICA CORP	D	2011-05-20	2013-08-28
100 SH U S G CORPORATION NEW	D	2012-05-02	2013-08-28
2750 SH FIFTH THIRD BANCORP	D	2011-10-18	2013-08-28
400 SH FIFTH THIRD BANCORP	D	2012-06-29	2013-08-28
320 SH FIFTH THIRD BANCORP	D	2012-08-07	2013-08-28
1165 SH YAHOO INC	D	2012-07-11	2013-08-28
260 SH YAHOO INC	D	2012-07-11	2013-08-28
675 SH YAHOO INC	D	2012-07-25	2013-08-28
120 SH U S G CORPORATION NEW	D	2012-05-02	2013-08-28
95 SH CONTINENTAL RESOURCES	D	2010-06-16	2013-08-28
5 SH CONTINENTAL RESOURCES	D	2012-05-30	2013-08-28
1 SH CONTINENTAL RESOURCES	D	2010-06-16	2013-08-28
94 SH CONTINENTAL RESOURCES	D	2010-06-16	2013-08-28
6 SH CONTINENTAL RESOURCES	D	2010-10-05	2013-08-28
385 SH AOL INC	D	2011-04-07	2013-08-28
200 SH U S G CORPORATION NEW	D	2012-05-02	2013-08-28
200 SH U S G CORPORATION NEW	D	2012-05-02	2013-08-28
400 SH U S G CORPORATION NEW	D	2012-05-02	2013-08-28
10 SH CATERPILLAR INC	D	2007-03-02	2013-08-28
70 SH CATERPILLAR INC	D	2007-08-28	2013-08-28
170 SH WYNDHAM WORLDWIDE CORP	D	2011-11-01	2013-08-28
30 SH WYNDHAM WORLDWIDE CORP	D	2012-08-08	2013-08-28
200 SH WYNDHAM WORLDWIDE CORP	D	2011-10-13	2013-08-28
8 SH WYNDHAM WORLDWIDE CORP	D	2011-11-01	2013-08-28
200 SH WYNDHAM WORLDWIDE CORP	D	2011-10-13	2013-08-28
115 SH AGRIMIUM INC F	D	2008-11-12	2013-08-28
130 SH NOBLE ENERGY INC	D	2010-11-16	2013-08-28
20 SH NOBLE ENERGY INC	D	2011-12-08	2013-08-28
100 SH NOBLE ENERGY INC	D	2010-11-16	2013-08-28
200 SH NOBLE ENERGY INC	D	2010-11-16	2013-08-28

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200 SH WYNDHAM WORLDWIDE CORP	D	2011-10-13	2013-08-28
200 SH LYONDELLBASELL INDS FCLASS A	D	2012-08-07	2013-08-28
460 SH COMCAST CORP NEW CL A	D	2009-06-11	2013-08-28
7 SH DEAN FOODS CO NEW	D	2007-05-18	2013-08-28
142 5 SH DEAN FOODS CO NEW	D	2007-08-28	2013-08-28
432 5 SH DEAN FOODS CO NEW	D	2010-12-10	2013-08-28
200 SH LYONDELLBASELL INDS FCLASS A	D	2012-08-07	2013-08-28
630 SH MARRIOTT INTL INC CL A	D	2011-10-13	2013-08-28
180 SH MARRIOTT INTL INC CL A	D	2011-11-01	2013-08-28
230 SH MARRIOTT INTL INC CL A	D	2011-11-10	2013-08-28
80 SH MARRIOTT INTL INC CL A	D	2012-08-08	2013-08-28
70 SH LYONDELLBASELL INDS FCLASS A	D	2012-08-07	2013-08-28
40 SH NOBLE ENERGY INC	D	2011-03-22	2013-08-28
60 SH NOBLE ENERGY INC	D	2011-12-08	2013-08-28
335 SH UNITED THERAPEUTICS CORP	D	2012-05-30	2013-08-28
85 SH COCA COLA COMPANY	D	2007-03-02	2013-08-28
200 SH COCA COLA COMPANY	D	2007-03-02	2013-08-28
40 SH COCA COLA COMPANY	D	2007-03-02	2013-08-28
300 SH COCA COLA COMPANY	D	2009-04-29	2013-08-28
3233 058 SH DODGE & COX INCOME FUND	P	2010-02-05	2013-08-28
39 578 SH DODGE & COX INCOME FUND	P	2010-03-26	2013-08-28
39 819 SH DODGE & COX INCOME FUND	P	2010-06-25	2013-08-28
39 67 SH DODGE & COX INCOME FUND	P	2010-09-27	2013-08-28
41 933 SH DODGE & COX INCOME FUND	P	2010-12-21	2013-08-28
35 835 SH DODGE & COX INCOME FUND	P	2011-03-28	2013-08-28
34 555 SH DODGE & COX INCOME FUND	P	2011-06-27	2013-08-28
35 351 SH DODGE & COX INCOME FUND	P	2011-09-27	2013-08-28
37 243 SH DODGE & COX INCOME FUND	P	2011-12-20	2013-08-28
35 188 SH DODGE & COX INCOME FUND	P	2012-03-27	2013-08-28
32 833 SH DODGE & COX INCOME FUND	P	2012-06-26	2013-08-28

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3741 577 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2010-08-10	2013-08-28
14 799 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2010-08-18	2013-08-28
14 719 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2010-09-15	2013-08-28
14 477 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2010-10-15	2013-08-28
14 751 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2010-11-15	2013-08-28
52 489 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2010-12-15	2013-08-28
15 086 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2011-01-18	2013-08-28
15 07 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2011-02-15	2013-08-28
15 364 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2011-03-15	2013-08-28
14 861 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2011-04-15	2013-08-28
15 084 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2011-05-16	2013-08-28
15 07 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2011-06-15	2013-08-28
15 101 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2011-07-15	2013-08-28
15 296 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2011-08-15	2013-08-28
15 811 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2011-09-15	2013-08-28
16 248 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2011-10-17	2013-08-28
16 48 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2011-11-15	2013-08-28
25 918 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2011-12-15	2013-08-28
81 988 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2011-12-15	2013-08-28
17 242 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2012-01-17	2013-08-28
16 627 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2012-02-15	2013-08-28
16 694 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2012-03-15	2013-08-28
17 04 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2012-04-16	2013-08-28
17 604 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2012-05-15	2013-08-28
17 648 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2012-06-15	2013-08-28
17 333 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2012-07-16	2013-08-28
17 053 SH TEMPLETON GLOBAL BOND FUND ADV CL	P	2012-08-15	2013-08-28
100 SH CATERPILLAR INC	D	2007-03-02	2013-08-28
100 SH CATERPILLAR INC	D	2007-03-02	2013-08-28
3 23885 SH THE WHITEWAVE FOODS CO	D	2007-05-18	2013-08-28

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72 80168 SH THE WHITEWAVE FOODS CO	D	2007-08-28	2013-08-28
220 95948 SH THE WHITEWAVE FOODS CO	D	2010-12-10	2013-08-28
320 SH WESTLAKE CHEMICAL CORP	D	2012-08-07	2013-08-28
3874 202 SH PIMCO TOTAL RETURN FUND CL D	P	2010-02-05	2013-08-28
6 186 SH PIMCO TOTAL RETURN FUND CL D	P	2010-02-26	2013-08-28
8 691 SH PIMCO TOTAL RETURN FUND CL D	P	2010-03-31	2013-08-28
8 894 SH PIMCO TOTAL RETURN FUND CL D	P	2010-04-30	2013-08-28
8 169 SH PIMCO TOTAL RETURN FUND CL D	P	2010-05-28	2013-08-28
9 08 SH PIMCO TOTAL RETURN FUND CL D	P	2010-06-30	2013-08-28
9 613 SH PIMCO TOTAL RETURN FUND CL D	P	2010-07-30	2013-08-28
8 815 SH PIMCO TOTAL RETURN FUND CL D	P	2010-08-31	2013-08-28
9 048 SH PIMCO TOTAL RETURN FUND CL D	P	2010-09-30	2013-08-28
9 956 SH PIMCO TOTAL RETURN FUND CL D	P	2010-10-29	2013-08-28
10 487 SH PIMCO TOTAL RETURN FUND CL D	P	2010-11-30	2013-08-28
135 789 SH PIMCO TOTAL RETURN FUND CL D	P	2010-12-08	2013-08-28
62 089 SH PIMCO TOTAL RETURN FUND CL D	P	2010-12-08	2013-08-28
12 276 SH PIMCO TOTAL RETURN FUND CL D	P	2010-12-31	2013-08-28
10 109 SH PIMCO TOTAL RETURN FUND CL D	P	2011-01-31	2013-08-28
10 541 SH PIMCO TOTAL RETURN FUND CL D	P	2011-02-28	2013-08-28
10 901 SH PIMCO TOTAL RETURN FUND CL D	P	2011-03-31	2013-08-28
10 882 SH PIMCO TOTAL RETURN FUND CL D	P	2011-04-29	2013-08-28
10 972 SH PIMCO TOTAL RETURN FUND CL D	P	2011-05-31	2013-08-28
10 531 SH PIMCO TOTAL RETURN FUND CL D	P	2011-06-30	2013-08-28
9 773 SH PIMCO TOTAL RETURN FUND CL D	P	2011-07-29	2013-08-28
9 182 SH PIMCO TOTAL RETURN FUND CL D	P	2011-08-31	2013-08-28
10 721 SH PIMCO TOTAL RETURN FUND CL D	P	2011-09-30	2013-08-28
10 654 SH PIMCO TOTAL RETURN FUND CL D	P	2011-10-31	2013-08-28
11 756 SH PIMCO TOTAL RETURN FUND CL D	P	2011-11-30	2013-08-28
25 767 SH PIMCO TOTAL RETURN FUND CL D	P	2011-12-28	2013-08-28
11 454 SH PIMCO TOTAL RETURN FUND CL D	P	2011-12-30	2013-08-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
10 702 SH PIMCO TOTAL RETURN FUND CL D	P	2012-01-31	2013-08-28
9 942 SH PIMCO TOTAL RETURN FUND CL D	P	2012-02-29	2013-08-28
12 451 SH PIMCO TOTAL RETURN FUND CL D	P	2012-03-30	2013-08-28
11 434 SH PIMCO TOTAL RETURN FUND CL D	P	2012-04-30	2013-08-28
12 684 SH PIMCO TOTAL RETURN FUND CL D	P	2012-05-31	2013-08-28
11 212 SH PIMCO TOTAL RETURN FUND CL D	P	2012-06-29	2013-08-28
8 794 SH PIMCO TOTAL RETURN FUND CL D	P	2012-07-31	2013-08-28
405 SH THE SOUTHERN COMPANY	D	2010-06-09	2013-08-28
160 SH NOBLE ENERGY INC	D	2011-03-22	2013-08-28
165 SH SANOFI ADR FSPONSORED ADR 2 ADR REP 1 ORD	D	2008-03-14	2013-08-28
45 SH SANOFI ADR FSPONSORED ADR 2 ADR REP 1 ORD	D	2010-12-10	2013-08-28
68 SH AVALONBAY CMNTYS INC REIT	D	2008-11-12	2013-08-28
2 SH AVALONBAY CMNTYS INC REIT	D	2009-02-01	2013-08-28
35 SH AVALONBAY CMNTYS INC REIT	D	2010-12-10	2013-08-28
790 SH 21ST CENT FOX CL A	D	2012-05-10	2013-08-28
540 SH 21ST CENT FOX CL A	D	2012-05-17	2013-08-28
520 SH 21ST CENT FOX CL A	D	2012-05-18	2013-08-28
115 SH ALLSTATE CORPORATION	D	2008-12-17	2013-08-28
275 SH ALLSTATE CORPORATION	D	2009-09-08	2013-08-28
55 SH ALLSTATE CORPORATION	D	2012-02-22	2013-08-28
21 SH VIACOM INC CL B NEW	D	2011-08-19	2013-08-28
244 SH VIACOM INC CL B NEW	D	2011-09-30	2013-08-28
35 SH VIACOM INC CL B NEW	D	2011-11-04	2013-08-28
33 SH CITIGROUP INC NEW	D	2009-08-10	2013-08-28
440 SH CITIGROUP INC NEW	D	2011-10-10	2013-08-28
150 SH VERIZON COMMUNICATIONS	D	2012-01-24	2013-08-28
100 SH VERIZON COMMUNICATIONS	D	2012-01-24	2013-08-28
197 SH NEWS CORP NEW CL A	D	2012-05-10	2013-08-28
135 SH NEWS CORP NEW CL A	D	2012-05-17	2013-08-28
130 SH NEWS CORP NEW CL A	D	2012-05-18	2013-08-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
100 SH KEYCORP INC NEW	D	2011-10-20	2013-08-28
82 SH KEYCORP INC NEW	D	2011-10-20	2013-08-28
3800 SH KEYCORP INC NEW	D	2011-10-20	2013-08-28
200 SH VERIZON COMMUNICATIONS	D	2012-01-24	2013-08-28
100 SH TIME WARNER INC NEW	D	2012-05-24	2013-08-28
100 SH TIME WARNER INC NEW	D	2012-05-24	2013-08-28
100 SH TIME WARNER INC NEW	D	2012-05-24	2013-08-28
100 SH TIME WARNER INC NEW	D	2012-05-24	2013-08-28
200 SH VERIZON COMMUNICATIONS	D	2012-01-24	2013-08-28
110 SH TIME WARNER INC NEW	D	2012-05-24	2013-08-28
18 SH KEYCORP INC NEW	D	2011-10-20	2013-08-28
100 SH KEYCORP INC NEW	D	2012-06-29	2013-08-28
100 SH KEYCORP INC NEW	D	2012-06-29	2013-08-28
100 SH KEYCORP INC NEW	D	2012-06-29	2013-08-28
60 SH KEYCORP INC NEW	D	2012-08-07	2013-08-28
110 SH KEYCORP INC NEW	D	2012-06-29	2013-08-28
470 SH KEYCORP INC NEW	D	2012-08-07	2013-08-28
100 SH KEYCORP INC NEW	D	2012-06-29	2013-08-28
40 SH KEYCORP INC NEW	D	2011-10-20	2013-08-28
60 SH KEYCORP INC NEW	D	2012-06-29	2013-08-28
100 SH KEYCORP INC NEW	D	2011-10-20	2013-08-28
100 SH KEYCORP INC NEW	D	2011-10-20	2013-08-28
100 SH KEYCORP INC NEW	D	2011-10-20	2013-08-28
100 SH KEYCORP INC NEW	D	2011-10-20	2013-08-28
100 SH KEYCORP INC NEW	D	2011-10-20	2013-08-28
40 SH TIME WARNER INC NEW	D	2012-05-24	2013-08-28
70 SH TIME WARNER INC NEW	D	2012-06-04	2013-08-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
100 SH EBAY INC	D	2011-09-27	2013-08-28
30 SH EBAY INC	D	2011-09-27	2013-08-28
70 SH EBAY INC	D	2011-09-30	2013-08-28
100 SH EBAY INC	D	2011-09-30	2013-08-28
100 SH EBAY INC	D	2011-09-27	2013-08-28
5 SH MONDELEZ INTL INC CL A	D	2009-10-14	2013-08-28
110 SH MONDELEZ INTL INC CL A	D	2010-12-10	2013-08-28
185 SH MONDELEZ INTL INC CL A	D	2011-04-07	2013-08-28
300 SH MONDELEZ INTL INC CL A	D	2009-10-14	2013-08-28
125 SH EXXON MOBIL CORPORATION	D	2010-03-08	2013-08-28
55 SH EXXON MOBIL CORPORATION	D	2010-06-09	2013-08-28
10 SH EBAY INC	D	2011-09-30	2013-08-28
51 SH VIACOM INC CL B NEW	D	2012-05-24	2013-08-28
10 SH VIACOM INC CL B NEW	D	2012-02-27	2013-08-28
41 SH VIACOM INC CL B NEW	D	2012-05-17	2013-08-28
149 SH VIACOM INC CL B NEW	D	2012-05-24	2013-08-28
29 SH VIACOM INC CL B NEW	D	2011-08-19	2013-08-28
12 SH VIACOM INC CL B NEW	D	2011-09-06	2013-08-28
159 SH VIACOM INC CL B NEW	D	2012-05-17	2013-08-28
100 SH VIACOM INC CL B NEW	D	2011-05-17	2013-08-28
370 SH EBAY INC	D	2011-09-30	2013-08-28
330 SH EBAY INC	D	2011-10-05	2013-08-28
295 SH NOVARTIS A G SPON ADR	D	2012-05-02	2013-08-28
80 SH MONDELEZ INTL INC CL A	D	2011-04-07	2013-08-28
400 SH DOLE FOOD CO INC	D	2010-06-09	2013-08-28
185 SH DOLE FOOD CO INC	D	2010-06-09	2013-08-28
760 SH DOLE FOOD CO INC	D	2011-12-08	2013-08-28
805 SH DOLE FOOD CO INC	D	2012-07-11	2013-08-28
100 SH PLUM CREEK TIMBER CO REIT	D	2012-08-21	2013-08-28
200 SH DOLE FOOD CO INC	D	2010-06-09	2013-08-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
500 SH TIME WARNER INC NEW	D	2012-06-04	2013-08-28
110 SH DOLE FOOD CO INC	D	2010-06-16	2013-08-28
465 SH DOLE FOOD CO INC	D	2010-06-09	2013-08-28
35 SH DOLE FOOD CO INC	D	2010-06-16	2013-08-28
100 SH PLUM CREEK TIMBER CO REIT	D	2012-08-21	2013-08-28
55 SH INTL BUSINESS MACHINES	D	2007-03-02	2013-08-28
55 SH INTL BUSINESS MACHINES	D	2007-03-02	2013-08-28
31 SH INTL BUSINESS MACHINES	D	2007-08-28	2013-08-28
80 SH UDR INC REIT	D	2009-02-06	2013-08-28
500 SH UDR INC REIT	D	2009-02-06	2013-08-28
100 SH PLUM CREEK TIMBER CO REIT	D	2009-06-11	2013-08-28
45 SH PLUM CREEK TIMBER CO REIT	D	2010-12-10	2013-08-28
55 SH PLUM CREEK TIMBER CO REIT	D	2012-08-21	2013-08-28
60 SH PLUM CREEK TIMBER CO REIT	D	2009-06-11	2013-08-28
15 SH PLUM CREEK TIMBER CO REIT	D	2010-12-10	2013-08-28
100 SH PLUM CREEK TIMBER CO REIT	D	2009-06-11	2013-08-28
0 5 SH NEWS CORP NEW CL A	D	2012-05-10	2013-07-01
910 224 SH JORDAN OPPORTUNITY FUND	P	2010-12-09	2013-06-20
938 289 SH JORDAN OPPORTUNITY FUND	P	2012-06-06	2013-06-20
1747 446 SH HUSSMAN STRATEGIC GROWTH	P	2008-11-14	2013-06-20
0 116 SH PERMANENT PORTFOLIO	P	2010-12-08	2013-06-20
11 955 SH PERMANENT PORTFOLIO	P	2010-12-09	2013-06-20
2 127 SH PERMANENT PORTFOLIO	P	2011-12-07	2013-06-20
2 978 SH PERMANENT PORTFOLIO	P	2011-12-07	2013-06-20
285 897 SH PERMANENT PORTFOLIO	P	2012-06-06	2013-06-20
745 659 SH DWS DISCIPLINED MKT NEUTRAL FD S	D	2012-06-06	2013-06-20
379 069 SH IVY ASSET STRATEGY FUND CL Y	P	2010-12-09	2013-06-20
0 799 SH IVY ASSET STRATEGY FUND CL Y	P	2010-12-09	2013-06-20
672 243 SH IVY ASSET STRATEGY FUND CL Y	P	2012-06-06	2013-06-20
197 317 SH ARBITRAGE FUND CLASS R	P	2010-02-05	2013-06-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
93 313 SH ARBITRAGE FUND CLASS R	P	2010-12-09	2013-06-20
0 002 SH ARBITRAGE FUND CLASS R	P	2010-12-16	2013-06-20
6 286 SH ARBITRAGE FUND CLASS R	P	2010-12-16	2013-06-20
0 055 SH ARBITRAGE FUND CLASS R	P	2011-12-16	2013-06-20
6 783 SH ARBITRAGE FUND CLASS R	P	2011-12-16	2013-06-20
266 041 SH ARBITRAGE FUND CLASS R	P	2012-06-06	2013-06-20
9 SH HEINZ H J CO	D	2007-03-02	2013-06-10
86 SH HEINZ H J CO	D	2007-03-02	2013-06-10
125 SH HEINZ H J CO	D	2007-08-28	2013-06-10
0 59282 SH THE WHITEWAVE FOODS CO	D	2007-05-18	2013-05-24
0 8292 SH THE WHITEWAVE FOODS CO CLASS B	D	2007-05-18	2013-05-24
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,568			15,568
35,387			35,387
3,963		4,143	-180
1,058		1,108	-50
646		688	-42
699		732	-33
474		505	-31
493		520	-27
178		193	-15
212		226	-14
179		192	-13
180		192	-12
421		433	-12
178		190	-12
179		190	-11
125		136	-11
173		184	-11
173		184	-11
159		170	-11
374		385	-11
122		132	-10
368		378	-10
172		182	-10
129		137	-8
100		108	-8
81		88	-7
259		265	-6
187		193	-6
188		194	-6
107		113	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
97		102	-5
82		87	-5
106		110	-4
71		75	-4
191		195	-4
92		93	-1
73		74	-1
7		7	0
54		54	0
147		147	0
352		351	1
46		42	4
1,109		1,059	50
1,597		1,422	175
809		568	241
2,066		1,476	590
3,084		2,091	993
14,775		13,669	1,106
3,107		1,971	1,136
11,018		9,841	1,177
2,827		1,511	1,316
13,178		11,683	1,495
6,101		4,509	1,592
4,860		3,034	1,826
11,593		8,568	3,025
12,395		8,856	3,539
14,157		10,120	4,037
11,871		7,411	4,460
13,624		8,642	4,982
13,329		7,505	5,824

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,158		9,521	6,637
22,216		12,873	9,343
29,486		17,376	12,110
25,447		12,606	12,841
46,521		8,593	37,928
4,478		3,345	1,133
4,478		3,345	1,133
2,015		1,505	510
4,478		3,345	1,133
9,385		4,915	4,470
37,620		18,789	18,831
4,478		3,345	1,133
13,219		6,956	6,263
2,288		1,879	409
508		417	91
37,862		29,712	8,150
7,044		5,977	1,067
18,332		14,484	3,848
9		15	-6
10,170		4,752	5,418
88		125	-37
1,983		2,347	-364
1,753		671	1,082
4,264		1,632	2,632
9,498		4,438	5,060
9,483		4,965	4,518
28,261		21,520	6,741
6,123		5,108	1,015
1,649		1,393	256
19,006		6,397	12,609

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
213		177	36
2,338		1,838	500
50,464		31,179	19,285
7,340		5,429	1,911
5,872		4,608	1,264
31,353		18,431	12,922
6,997		4,282	2,715
18,166		10,559	7,607
2,806		2,206	600
9,011		4,994	4,017
474		381	93
95		53	42
8,915		4,942	3,973
569		298	271
13,138		5,996	7,142
4,676		3,677	999
4,676		3,677	999
9,353		7,354	1,999
822		641	181
5,756		5,287	469
9,897		5,702	4,195
1,747		1,566	181
11,644		6,340	5,304
466		268	198
11,644		6,340	5,304
9,959		3,855	6,104
8,017		5,287	2,730
1,233		961	272
6,167		4,067	2,100
12,334		8,134	4,200

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,645		6,340	5,305
13,772		9,449	4,323
19,362		6,872	12,490
132		213	-81
2,681		3,653	-972
8,136		3,585	4,551
13,772		9,449	4,323
25,157		18,219	6,938
7,188		5,372	1,816
9,184		6,742	2,442
3,195		3,006	189
4,820		3,307	1,513
2,467		1,945	522
3,700		2,884	816
24,046		15,109	8,937
3,268		1,998	1,270
7,690		4,701	2,989
1,538		940	598
11,536		6,509	5,027
43,452		42,500	952
532		517	15
535		524	11
533		530	3
564		553	11
482		475	7
464		463	1
475		468	7
501		493	8
473		478	-5
441		447	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
47,157		50,000	-2,843
187		198	-11
186		199	-13
182		199	-17
186		200	-14
662		702	-40
190		204	-14
190		203	-13
194		205	-11
187		206	-19
190		207	-17
190		208	-18
190		209	-19
193		209	-16
199		210	-11
205		210	-5
208		211	-3
327		318	9
1,033		1,005	28
217		217	0
210		218	-8
210		220	-10
215		220	-5
222		222	0
222		221	1
218		223	-5
215		224	-9
8,223		6,406	1,817
8,223		6,406	1,817
62		91	-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,398		1,715	-317
4,242		1,683	2,559
32,360		22,342	10,018
41,338		42,500	-1,162
66		68	-2
93		96	-3
95		99	-4
87		91	-4
97		102	-5
103		110	-7
94		102	-8
97		105	-8
106		116	-10
112		120	-8
1,449		1,467	-18
662		671	-9
131		133	-2
108		110	-2
112		115	-3
116		119	-3
116		120	-4
117		121	-4
112		116	-4
104		108	-4
98		101	-3
114		116	-2
114		116	-2
125		127	-2
275		279	-4
122		125	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
114		119	-5
106		111	-5
133		138	-5
122		128	-6
135		143	-8
120		127	-7
94		101	-7
16,905		13,436	3,469
9,867		7,778	2,089
8,064		5,932	2,132
2,199		1,501	698
8,486		3,963	4,523
250		109	141
4,368		4,015	353
24,911		14,491	10,420
17,028		9,500	7,528
16,397		9,030	7,367
5,502		3,390	2,112
13,157		8,004	5,153
2,631		1,753	878
1,673		887	786
19,440		10,090	9,350
2,789		1,436	1,353
1,609		1,318	291
21,460		11,595	9,865
6,984		5,729	1,255
4,656		3,819	837
3,091		1,869	1,222
2,118		1,228	890
2,040		1,168	872

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,170		687	483
959		563	396
44,467		26,096	18,371
9,312		7,639	1,673
6,101		3,507	2,594
6,101		3,507	2,594
6,101		3,507	2,594
6,101		3,507	2,594
9,312		7,639	1,673
6,712		3,858	2,854
211		124	87
1,170		782	388
1,170		782	388
1,170		782	388
1,170		782	388
702		502	200
1,287		861	426
5,499		3,929	1,570
1,170		782	388
468		275	193
702		469	233
1,170		687	483
1,170		687	483
1,170		687	483
1,170		687	483
1,170		687	483
1,170		687	483
2,440		1,403	1,037
4,271		2,421	1,850

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,069		3,360	1,709
1,521		1,008	513
3,548		2,155	1,393
5,069		3,079	1,990
5,069		3,360	1,709
153		85	68
3,366		2,196	1,170
5,662		3,805	1,857
9,181		5,100	4,081
11,054		8,419	2,635
4,864		3,417	1,447
507		308	199
4,063		2,498	1,565
797		478	319
3,266		1,949	1,317
11,871		7,297	4,574
2,310		1,225	1,085
956		535	421
12,668		7,557	5,111
7,967		4,984	2,983
18,756		11,392	7,364
16,728		9,823	6,905
21,649		16,204	5,445
2,448		1,645	803
5,465		3,870	1,595
2,527		1,790	737
10,383		7,021	3,362
10,998		7,217	3,781
4,436		4,108	328
2,732		1,935	797

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
30,506		17,295	13,211
1,503		1,181	322
6,353		4,498	1,855
478		376	102
4,436		4,108	328
9,974		5,139	4,835
9,974		5,139	4,835
5,622		3,551	2,071
1,829		859	970
11,431		5,371	6,060
4,436		3,432	1,004
1,996		1,679	317
2,440		2,259	181
2,661		2,059	602
665		560	105
4,436		3,432	1,004
8		5	3
12,753		11,300	1,453
13,146		11,300	1,846
18,243		20,952	-2,709
5		5	0
542		535	7
96		101	-5
135		142	-7
12,951		13,400	-449
7,300		6,972	328
10,121		9,200	921
21		19	2
17,949		15,791	2,158
2,461		2,500	-39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,164		1,200	-36
			0
78		79	-1
1		1	0
85		87	-2
3,318		3,400	-82
653		413	240
6,235		3,944	2,291
9,063		5,684	3,379
11		17	-6
14		22	-8
5,241			5,241

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

**(l) Gains (Col (h) gain minus
col (k), but not less than -0-) or
Losses (from col (h))**

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			15,568
			35,387
			-180
			-50
			-42
			-33
			-31
			-27
			-15
			-14
			-13
			-12
			-12
			-12
			-11
			-11
			-11
			-11
			-11
			-10
			-10
			-10
			-8
			-8
			-7
			-6
			-6
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

**(l) Gains (Col (h) gain minus
col (k), but not less than -0-) or
Losses (from col (h))**

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			-5
			-5
			-4
			-4
			-4
			-1
			-1
			0
			0
			0
			1
			4
			50
			175
			241
			590
			993
			1,106
			1,136
			1,177
			1,316
			1,495
			1,592
			1,826
			3,025
			3,539
			4,037
			4,460
			4,982
			5,824

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

**(I) Gains (Col (h) gain minus
col (k), but not less than -0-) or
Losses (from col (h))**

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			6,637
			9,343
			12,110
			12,841
			37,928
			1,133
			1,133
			510
			1,133
			4,470
			18,831
			1,133
			6,263
			409
			91
			8,150
			1,067
			3,848
			-6
			5,418
			-37
			-364
			1,082
			2,632
			5,060
			4,518
			6,741
			1,015
			256
			12,609

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

**(I) Gains (Col (h) gain minus
col (k), but not less than -0-) or
Losses (from col (h))**

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			36
			500
			19,285
			1,911
			1,264
			12,922
			2,715
			7,607
			600
			4,017
			93
			42
			3,973
			271
			7,142
			999
			999
			1,999
			181
			469
			4,195
			181
			5,304
			198
			5,304
			6,104
			2,730
			272
			2,100
			4,200

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

**(l) Gains (Col (h) gain minus
col (k), but not less than -0-) or
Losses (from col (h))**

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			5,305
			4,323
			12,490
			-81
			-972
			4,551
			4,323
			6,938
			1,816
			2,442
			189
			1,513
			522
			816
			8,937
			1,270
			2,989
			598
			5,027
			952
			15
			11
			3
			11
			7
			1
			7
			8
			-5
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

**(l) Gains (Col (h) gain minus
col (k), but not less than -0-) or
Losses (from col (h))**

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			-2,843
			-11
			-13
			-17
			-14
			-40
			-14
			-13
			-11
			-19
			-17
			-18
			-19
			-16
			-11
			-5
			-3
			9
			28
			0
			-8
			-10
			-5
			0
			1
			-5
			-9
			1,817
			1,817
			-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

**(l) Gains (Col (h) gain minus
col (k), but not less than -0-) or
Losses (from col (h))**

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			-317
			2,559
			10,018
			-1,162
			-2
			-3
			-4
			-4
			-5
			-7
			-8
			-8
			-10
			-8
			-18
			-9
			-2
			-2
			-3
			-3
			-4
			-4
			-4
			-3
			-2
			-2
			-2
			-4
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

**(l) Gains (Col (h) gain minus
col (k), but not less than -0-) or
Losses (from col (h))**

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			-5
			-5
			-5
			-6
			-8
			-7
			-7
			3,469
			2,089
			2,132
			698
			4,523
			141
			353
			10,420
			7,528
			7,367
			2,112
			5,153
			878
			786
			9,350
			1,353
			291
			9,865
			1,255
			837
			1,222
			890
			872

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

**(I) Gains (Col (h) gain minus
col (k), but not less than -0-) or
Losses (from col (h))**

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			483
			396
			18,371
			1,673
			2,594
			2,594
			2,594
			2,594
			1,673
			2,854
			87
			388
			388
			388
			388
			200
			426
			1,570
			388
			193
			233
			483
			483
			483
			483
			483
			483
			483
			1,037
			1,850

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

**(I) Gains (Col (h) gain minus
col (k), but not less than -0-) or
Losses (from col (h))**

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,709
			513
			1,393
			1,990
			1,709
			68
			1,170
			1,857
			4,081
			2,635
			1,447
			199
			1,565
			319
			1,317
			4,574
			1,085
			421
			5,111
			2,983
			7,364
			6,905
			5,445
			803
			1,595
			737
			3,362
			3,781
			328
			797

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

**(I) Gains (Col (h) gain minus
col (k), but not less than -0-) or
Losses (from col (h))**

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			13,211
			322
			1,855
			102
			328
			4,835
			4,835
			2,071
			970
			6,060
			1,004
			317
			181
			602
			105
			1,004
			3
			1,453
			1,846
			-2,709
			0
			7
			-5
			-7
			-449
			328
			921
			2
			2,158
			-39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-36
			0
			-1
			0
			-2
			-82
			240
			2,291
			3,379
			-6
			-8
			5,241

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

► Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2012**Name of the organization**

TREFFERT FAMILY FOUNDATION INC

Employer identification number

20-3995376

Organization type (check one)**Filers of:****Section:**

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33^{1/3}% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year ► \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization TREFFERT FAMILY FOUNDATION INC			Employer identification number 20-3995376
Part I Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	See Additional Data Table	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization

TREFFERT FAMILY FOUNDATION INC

Employer identification number

20-3995376

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	See Additional Data Table	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____

Name of organization
TREFFERT FAMILY FOUNDATION INC

Employer identification number
20-3995376

Part III *Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year.* Complete columns (a) through (e) and the following line entry
For organizations completing Part III, enter the total of *exclusively religious, charitable, etc., contributions of \$1,000 or less* for the year (Enter this information once See instructions) ► \$
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	

Additional Data

Software ID:
Software Version:
EIN: 20-3995376
Name: TREFFERT FAMILY FOUNDATION INC

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	WILLIAM SELMA TREFFERT REVOCABLE LI 230 AUDUBON BLVD NAPLES, FL 34110	\$ 10,825	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
2	WILLIAM SELMA TREFFERT REVOCABLE LI 230 AUDUBON BLVD NAPLES, FL 34110	\$ 7,681	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
3	WILLIAM SELMA TREFFERT REVOCABLE LI 230 AUDUBON BLVD NAPLES, FL 34110	\$ 27,770	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
4	WILLIAM SELMA TREFFERT REVOCABLE LI 230 AUDUBON BLVD NAPLES, FL 34110	\$ 33,699	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
5	WILLIAM SELMA TREFFERT REVOCABLE LI 230 AUDUBON BLVD NAPLES, FL 34110	\$ 61,393	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
6	WILLIAM SELMA TREFFERT REVOCABLE LI 230 AUDUBON BLVD NAPLES, FL 34110	\$ 40,460	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
7	WILLIAM SELMA TREFFERT REVOCABLE LI 230 AUDUBON BLVD NAPLES, FL 34110	\$ 41,474	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
8	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>59,428</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
9	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>36,788</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
10	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>51,532</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
11	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>59,388</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
12	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>32,795</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
13	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>25,897</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
14	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>35,093</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
15	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>32,294</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
16	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>7,348</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
17	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>11,322</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
18	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>12,984</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
19	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>5,881</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
20	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>7,944</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
21	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>6,456</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
22	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>12,764</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
23	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>18,493</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
24	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>20,250</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
25	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>6,719</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
26	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>15,588</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
27	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>31,705</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
28	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>9,921</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
29	WILLIAM SELMA TREFFERT REVOCABLE LI 230 AUDUBON BLVD NAPLES, FL 34110 _____	\$ 13,544	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
30	WILLIAM SELMA TREFFERT REVOCABLE LI 230 AUDUBON BLVD NAPLES, FL 34110 _____	\$ 9,127	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
31	WILLIAM SELMA TREFFERT REVOCABLE LI 230 AUDUBON BLVD NAPLES, FL 34110 _____	\$ 13,914	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
32	WILLIAM SELMA TREFFERT REVOCABLE LI 230 AUDUBON BLVD NAPLES, FL 34110 _____	\$ 12,927	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
33	WILLIAM SELMA TREFFERT REVOCABLE LI 230 AUDUBON BLVD NAPLES, FL 34110 _____	\$ 9,004	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
34	WILLIAM SELMA TREFFERT REVOCABLE LI 230 AUDUBON BLVD NAPLES, FL 34110 _____	\$ 22,672	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
35	WILLIAM SELMA TREFFERT REVOCABLE LI 230 AUDUBON BLVD NAPLES, FL 34110 _____	\$ 27,699	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
36	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>32,804</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
37	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>16,062</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
38	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>21,443</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
39	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>14,357</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
40	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>13,252</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
41	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>6,085</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
42	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD NAPLES, FL 34110 	\$ <u>18,578</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
43	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD <u>NAPLES, FL 34110</u> <u> </u>	\$ <u>14,963</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
44	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD <u>NAPLES, FL 34110</u> <u> </u>	\$ <u>18,626</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
45	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD <u>NAPLES, FL 34110</u> <u> </u>	\$ <u>24,545</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
46	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD <u>NAPLES, FL 34110</u> <u> </u>	\$ <u>11,678</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
47	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD <u>NAPLES, FL 34110</u> <u> </u>	\$ <u>33,091</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
48	<u>WILLIAM SELMA TREFFERT REVOCABLE LI</u> 230 AUDUBON BLVD <u>NAPLES, FL 34110</u> <u> </u>	\$ <u>14,736</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	89 SH APPLE INC (AAPL)	\$ 45,861	2007-03-02
3	1273 SH CBS CORP NEW CL B	\$ 47,496	2011-09-30
4	1110 SH EBAY INC COM (EBAY)	\$ 56,027	2011-10-05
5	2710 SH FACEBOOK INC (FB)	\$ 72,574	2012-11-13
6	3470 SH FIFTH THIRD BANCORP COM	\$ 52,588	2011-10-18
7	5940 SH KEYCORP NEW COM	\$ 50,282	2011-10-20
8	1860 SH MARRIOTT INTERNATIONAL INC (MAR)	\$ 68,708	2011-10-13
9	1850 SH NEWS CORP CL A (NWSA)	\$ 46,148	2012-05-18
10	1410 SH TIME WARNER INC NEW (TWX)	\$ 66,961	2012-06-04
11	1271 SH VIACOM INC CL B (VIAB)	\$ 67,319	2011-11-04
12	468 SH WESTLAKE CHEMICAL CORP (WLK)	\$ 37,276	2012-08-07
13	808 SH WYNDHAM WORLDWIDE CORP COM	\$ 42,727	2011-10-13
14	510 SH ACE LTD NPV (ACD)	\$ 40,823	2011-11-18
15	680 SH LYONDELLBASELL INDUSTRIES NV OR (LYB)	\$ 38,379	2012-08-07
16	210 SH SANOFI AVENTIS SPON ADR	\$ 9,893	2010-12-10
17	115 SH AGRIMIUM INC (AGU)	\$ 11,323	2008-11-12
18	445 SH ALLSTATE CORP COM (ALL)	\$ 17,909	2009-09-08
19	385 SH AOL INC (AOL)	\$ 11,614	2011-04-07
20	105 SH AVALONBAY CMNTYS INC COM (AVB)	\$ 14,293	2009-02-01
21	1356 SH BANK OF AMERICA CORP (BAC)	\$ 15,255	2009-03-10
22	473 SH CITIGROUP INC (C)	\$ 18,615	2011-10-10
23	280 SH CATERPILLAR INC DEL (CAT)	\$ 24,499	2007-03-02
24	400 SH CONTINENTAL RESOURCES (CLR)	\$ 29,392	2010-10-05
25	460 SH COMCAST CORPORATION (CMCSA)	\$ 17,149	2009-06-11
26	1165 SH DEAN FOODS CO NEW COM (DF)	\$ 19,077	2010-12-10
27	3250 SH DOLE FOOD COMPANY (DOLE)	\$ 37,229	2012-07-11
28	220 SH H J HEINZ CO (HNZ)	\$ 12,857	2007-08-28
29	141 SH INTERNATIONAL BUSINESS MACHINES CORP (IBM)	\$ 27,202	2007-03-02
30	315 SH JPMORGAN CHASE & CO COM (JPM)	\$ 13,817	2009-02-06
31	625 SH COCA COLA CO (KO)	\$ 22,928	2009-04-29

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
32	765 SH LENNAR CORP (LEN)	\$ 29,472	2011-06-13
33	205 SH MCDONALDS CORP (MCD)	\$ 18,326	2007-03-02
34	1040 SH MONDELEZ INTERNATIONAL INC	\$ 26,728	2009-10-14
35	765 SH MERCK & CO INC (MRK)	\$ 31,770	2011-06-13
36	380 SH NOBLE ENERGY INC (NBL)	\$ 38,781	2010-11-16
37	295 SH NOVARTIS AG (NVS)	\$ 18,547	2012-05-02
38	575 SH PLUM CREEK TIMBER CO INC (PCL)	\$ 25,478	2009-06-11
39	650 SH PFIZER INC (PFE)	\$ 16,318	2012-05-30
40	405 SH SOUTHERN COMPANY (SO)	\$ 17,496	2010-06-09
41	580 SH UDR INC (UDR)	\$ 13,790	2009-02-06
42	1020 SH USG CORP COM NEW (USG)	\$ 28,376	2012-05-02
43	335 SH UNITED THERAPEUTICS CORP (UTHR)	\$ 17,529	2012-05-30
44	390 SH VALEANT PHARMACEUTICALS INTL (VRX)	\$ 23,669	2012-05-30
45	650 SH VERIZON COMMUNICATIONS (VZ)	\$ 28,262	2012-01-24
46	180 SH EXXON MOBIL CORP (XOM)	\$ 15,671	2010-06-09
47	2100 SH YAHOO INC COM	\$ 40,992	2012-07-25
48	445 SH CAMPBELL SOUP CO (CPB)	\$ 15,771	2011-10-10

TY 2012 Accounting Fees Schedule

Name: TREFFERT FAMILY FOUNDATION INC

EIN: 20-3995376

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	23,400	23,400		0

TY 2012 Investments - Other Schedule

Name: TREFFERT FAMILY FOUNDATION INC**EIN:** 20-3995376

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SKYLANDS QUEST LLC	FMV	275,176	330,108
SCHWAB- KAYNE ANDERSEN MLP	FMV	243,806	285,799
SCHWAB- TEMPLETON GLOBAL BOND	FMV	0	0
SCHWAB- DODGE & COX INCOME	FMV	0	0
SCHWAB- PIMCO TOTAL RETURN FUND	FMV	0	0
SCHWAB- ALTERNATIVE MUTUAL FUND	FMV	150,920	166,508
SCHWAB- HARBOR INTERNATIONAL	FMV	111,507	129,666
SCHWAB- VANGUARD INTERNATIONAL GROWTH	FMV	82,238	102,600
T ROWE PRICE GROWTH STOCK	FMV	160,140	207,171
FMI LARGE CAP	FMV	164,151	199,707
DIAMOND HILL	FMV	160,108	198,349
DRIEHAUS ACTIVE INCOME	FMV	168,342	164,953
EAGLE MID CAP GROWTH A	FMV	62,500	68,626
HOMESTEAD SMALL COMPANY STOCK	FMV	62,500	67,865
VANGUARD SHORT-TERM INVESTMENT GRADE ADMIRAL	FMV	158,106	159,507
EATON VANCE GLOBAL MACRO ABSOLUTE RETURN	FMV	151,419	150,625
GATEWAY	FMV	150,560	154,861
INDIVIDUAL STOCKS	FMV	41,665	101,903

TY 2012 Other Expenses Schedule

Name: TREFFERT FAMILY FOUNDATION INC

EIN: 20-3995376

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	72	72		0
FROM SKYLANDS QUEST K-1 (OTHER PORTFOLIO DEDUCTIONS)	2,636	2,636		0
FILING FEES	10	10		0

TY 2012 Other Income Schedule

Name: TREFFERT FAMILY FOUNDATION INC**EIN:** 20-3995376

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FROM SKYLANDS QUEST K-1 (ROYALTIES)	66	66	66
FROM SKYLANDS QUEST K-1 (OTHER PORTFOLIO INCOME)	489	489	489

TY 2012 Other Increases Schedule

Name: TREFFERT FAMILY FOUNDATION INC

EIN: 20-3995376

Description	Amount
ADJUSTMENT TO CAPITAL DUE TO RETURN OF CAPITAL FROM KAYNE ANDERSON	9,670

TY 2012 Taxes Schedule

Name: TREFFERT FAMILY FOUNDATION INC**EIN:** 20-3995376

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	879	879		0
EXCISE TAXES	1,672	0		0