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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning DEC 1, 2012, and ending NOV 30, 2013

Name of foundation  
**THE 'DAMMANN FUND INC.  
C/O ENGEL & DAVIS, LLP**

Number and street (or P O box number if mail is not delivered to street address)  
**1700 BROADWAY 41ST FLOOR**

City or town, state, and ZIP code  
**NEW YORK, NY 10019**

Room/suite

A Employer identification number  
**13-6089896**

B Telephone number  
**(212) 9564118**

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐  
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)  
**\$ 7,779,491.** (Part I, column (d) must be on cash basis)

J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify)

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) |                                                                                              | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|----------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1                                                                                                                                                  | Contributions, gifts, grants, etc., received                                                 |                                    |                           | N/A                     |                                                             |
| 2                                                                                                                                                  | Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B |                                    |                           |                         |                                                             |
| 3                                                                                                                                                  | Interest on savings and temporary cash investments                                           | 31.                                | 31.                       |                         | STATEMENT 1                                                 |
| 4                                                                                                                                                  | Dividends and interest from securities                                                       | 175,972.                           | 175,972.                  |                         | STATEMENT 2                                                 |
| 5a                                                                                                                                                 | Gross rents                                                                                  |                                    |                           |                         |                                                             |
| b                                                                                                                                                  | Net rental income or (loss)                                                                  |                                    |                           |                         |                                                             |
| 6a                                                                                                                                                 | Net gain or (loss) from sale of assets not on line 10                                        | 489,954.                           |                           |                         |                                                             |
| b                                                                                                                                                  | Gross sales price for all assets on line 6a                                                  | 3,271,724.                         |                           |                         |                                                             |
| 7                                                                                                                                                  | Capital gain net income (from Part IV, line 2)                                               |                                    | 489,954.                  |                         |                                                             |
| 8                                                                                                                                                  | Net short-term capital gain                                                                  |                                    |                           |                         |                                                             |
| 9                                                                                                                                                  | Income modifications                                                                         |                                    |                           |                         |                                                             |
| 10a                                                                                                                                                | Gross sales less returns and allowances                                                      |                                    |                           |                         |                                                             |
| b                                                                                                                                                  | Less Cost of goods sold                                                                      |                                    |                           |                         |                                                             |
| c                                                                                                                                                  | Gross profit or (loss)                                                                       |                                    |                           |                         |                                                             |
| 11                                                                                                                                                 | Other income                                                                                 | 1,366.                             | 1,366.                    |                         | STATEMENT 3                                                 |
| 12                                                                                                                                                 | Total. Add lines 1 through 11                                                                | 667,323.                           | 667,323.                  |                         |                                                             |
| 13                                                                                                                                                 | Compensation of officers, directors, trustees, etc                                           | 0.                                 | 0.                        |                         | 0.                                                          |
| 14                                                                                                                                                 | Other employee salaries and wages                                                            |                                    |                           |                         |                                                             |
| 15                                                                                                                                                 | Pension plans, employee benefits                                                             |                                    |                           |                         |                                                             |
| 16a                                                                                                                                                | Legal fees                                                                                   | 27,495.                            | 13,748.                   |                         | 13,747.                                                     |
| b                                                                                                                                                  | Accounting fees                                                                              | 11,990.                            | 5,995.                    |                         | 5,995.                                                      |
| c                                                                                                                                                  | Other professional fees                                                                      | 39,660.                            | 19,830.                   |                         | 19,830.                                                     |
| 17                                                                                                                                                 | Interest                                                                                     | 5,038.                             | 5,038.                    |                         | 0.                                                          |
| 18                                                                                                                                                 | Taxes                                                                                        | 13,357.                            | 0.                        |                         | 0.                                                          |
| 19                                                                                                                                                 | Depreciation and depletion                                                                   |                                    |                           |                         |                                                             |
| 20                                                                                                                                                 | Occupancy                                                                                    |                                    |                           |                         |                                                             |
| 21                                                                                                                                                 | Travel, conferences, and meetings                                                            | 1,584.                             | 792.                      |                         | 792.                                                        |
| 22                                                                                                                                                 | Printing and publications                                                                    |                                    |                           |                         |                                                             |
| 23                                                                                                                                                 | Other expenses                                                                               | 8,357.                             | 4,179.                    |                         | 4,178.                                                      |
| 24                                                                                                                                                 | Total operating and administrative expenses. Add lines 13 through 23                         | 107,481.                           | 49,582.                   |                         | 44,542.                                                     |
| 25                                                                                                                                                 | Contributions, gifts, grants paid                                                            | 411,375.                           |                           |                         | 411,375.                                                    |
| 26                                                                                                                                                 | Total expenses and disbursements. Add lines 24 and 25                                        | 518,856.                           | 49,582.                   |                         | 455,917.                                                    |
| 27                                                                                                                                                 | Subtract line 26 from line 12:                                                               |                                    |                           |                         |                                                             |
| a                                                                                                                                                  | Excess of revenue over expenses and disbursements                                            | 148,467.                           |                           |                         |                                                             |
| b                                                                                                                                                  | Net investment income (if negative, enter -0-)                                               |                                    | 617,741.                  |                         |                                                             |
| c                                                                                                                                                  | Adjusted net income (if negative, enter -0-)                                                 |                                    |                           | N/A                     |                                                             |

THE DAMMANN FUND INC.  
C/O ENGEL & DAVIS, LLP

Form 990-PF (2012)

13-6089896 Page 2

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

|                             |     |                                                                                           | Beginning of year | End of year    |                       |
|-----------------------------|-----|-------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                             |     |                                                                                           | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1   | Cash - non-interest-bearing                                                               | 30,757.           | 63,297.        | 63,297.               |
|                             | 2   | Savings and temporary cash investments                                                    | 152,270.          | 151,129.       | 151,129.              |
|                             | 3   | Accounts receivable ▶                                                                     |                   |                |                       |
|                             |     | Less: allowance for doubtful accounts ▶                                                   |                   |                |                       |
|                             | 4   | Pledges receivable ▶                                                                      |                   |                |                       |
|                             |     | Less: allowance for doubtful accounts ▶                                                   |                   |                |                       |
|                             | 5   | Grants receivable                                                                         |                   |                |                       |
|                             | 6   | Receivables due from officers, directors, trustees, and other disqualified persons        |                   |                |                       |
|                             | 7   | Other notes and loans receivable ▶                                                        |                   |                |                       |
|                             |     | Less: allowance for doubtful accounts ▶                                                   |                   |                |                       |
|                             | 8   | Inventories for sale or use                                                               |                   |                |                       |
|                             | 9   | Prepaid expenses and deferred charges                                                     |                   |                |                       |
|                             | 10a | Investments - U.S. and state government obligations                                       |                   |                |                       |
|                             | b   | Investments - corporate stock STMT 9                                                      | 2,763,715.        | 3,267,005.     | 7,183,714.            |
|                             | c   | Investments - corporate bonds STMT 10                                                     | 785,153.          | 398,931.       | 381,351.              |
| Liabilities                 | 11  | Investments - land, buildings, and equipment basis ▶                                      |                   |                |                       |
|                             |     | Less accumulated depreciation ▶                                                           |                   |                |                       |
|                             | 12  | Investments - mortgage loans                                                              |                   |                |                       |
|                             | 13  | Investments - other                                                                       |                   |                |                       |
|                             | 14  | Land, buildings, and equipment: basis ▶                                                   |                   |                |                       |
|                             |     | Less accumulated depreciation ▶                                                           |                   |                |                       |
|                             | 15  | Other assets (describe ▶)                                                                 |                   |                |                       |
|                             | 16  | <b>Total assets</b> (to be completed by all filers)                                       | 3,731,895.        | 3,880,362.     | 7,779,491.            |
|                             | 17  | Accounts payable and accrued expenses                                                     |                   |                |                       |
|                             | 18  | Grants payable                                                                            |                   |                |                       |
| Net Assets or Fund Balances | 19  | Deferred revenue                                                                          |                   |                |                       |
|                             | 20  | Loans from officers, directors, trustees, and other disqualified persons                  |                   |                |                       |
|                             | 21  | Mortgages and other notes payable                                                         |                   |                |                       |
|                             | 22  | Other liabilities (describe ▶)                                                            |                   |                |                       |
|                             | 23  | <b>Total liabilities</b> (add lines 17 through 22)                                        | 0.                | 0.             |                       |
|                             |     | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>                   |                   |                |                       |
|                             |     | and complete lines 24 through 26 and lines 30 and 31.                                     |                   |                |                       |
|                             | 24  | Unrestricted                                                                              |                   |                |                       |
|                             | 25  | Temporarily restricted                                                                    |                   |                |                       |
|                             | 26  | Permanently restricted                                                                    |                   |                |                       |
|                             |     | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> |                   |                |                       |
|                             |     | and complete lines 27 through 31.                                                         |                   |                |                       |
|                             | 27  | Capital stock, trust principal, or current funds                                          | 3,731,895.        | 3,880,362.     |                       |
|                             | 28  | Paid-in or capital surplus, or land, bldg., and equipment fund                            | 0.                | 0.             |                       |
|                             | 29  | Retained earnings, accumulated income, endowment, or other funds                          | 0.                | 0.             |                       |
|                             | 30  | <b>Total net assets or fund balances</b>                                                  | 3,731,895.        | 3,880,362.     |                       |
|                             | 31  | <b>Total liabilities and net assets/fund balances</b>                                     | 3,731,895.        | 3,880,362.     |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|   |                                                                                                                                                               |   |            |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 3,731,895. |
| 2 | Enter amount from Part I, line 27a                                                                                                                            | 2 | 148,467.   |
| 3 | Other increases not included in line 2 (itemize) ▶                                                                                                            | 3 | 0.         |
| 4 | Add lines 1, 2, and 3                                                                                                                                         | 4 | 3,880,362. |
| 5 | Decreases not included in line 2 (itemize) ▶                                                                                                                  | 5 | 0.         |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                         | 6 | 3,880,362. |

Form 990-PF (2012)

Part IV

Capital Gains and Losses for Tax on Investment Income

|                                                                                                                                    |  |  |                                                  |                                      |                                  |
|------------------------------------------------------------------------------------------------------------------------------------|--|--|--------------------------------------------------|--------------------------------------|----------------------------------|
| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.) |  |  | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
| 1a SEE ATTACHMENT SCHEDULE                                                                                                         |  |  | P                                                | VARIOUS                              | 11/30/13                         |
| b SEE ATTACHMENT SCHEDULE                                                                                                          |  |  | P                                                | VARIOUS                              | 11/30/13                         |
| c                                                                                                                                  |  |  |                                                  |                                      |                                  |
| d                                                                                                                                  |  |  |                                                  |                                      |                                  |
| e                                                                                                                                  |  |  |                                                  |                                      |                                  |

|                       |                                            |                                                 |                                              |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
| a 1,997,196.          |                                            | 1,951,392.                                      | 45,804.                                      |
| b 1,274,528.          |                                            | 830,378.                                        | 444,150.                                     |
| c                     |                                            |                                                 |                                              |
| d                     |                                            |                                                 |                                              |
| e                     |                                            |                                                 |                                              |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

|                           |                                      |                                                 |                                                                                                 |
|---------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
| a                         |                                      |                                                 | 45,804.                                                                                         |
| b                         |                                      |                                                 | 444,150.                                                                                        |
| c                         |                                      |                                                 |                                                                                                 |
| d                         |                                      |                                                 |                                                                                                 |
| e                         |                                      |                                                 |                                                                                                 |

2 Capital gain net income or (net capital loss)

If gain, also enter in Part I, line 7

If (loss), enter -0- in Part I, line 7

2

489,954.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter -0- in Part I, line 8

3

N/A

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

|                                                                      |                                          |                                              |                                                             |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
| 2011                                                                 | 370,265.                                 | 6,229,842.                                   | .059434                                                     |
| 2010                                                                 | 331,150.                                 | 5,994,640.                                   | .055241                                                     |
| 2009                                                                 | 328,300.                                 | 5,983,068.                                   | .054872                                                     |
| 2008                                                                 | 300,075.                                 | 5,638,941.                                   | .053215                                                     |
| 2007                                                                 | 295,525.                                 | 5,425,916.                                   | .054465                                                     |

2 Total of line 1, column (d)

2

.277227

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3

.055445

4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5

4

7,240,661.

5 Multiply line 4 by line 3

5

401,458.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6

6,177.

7 Add lines 5 and 6

7

407,635.

8 Enter qualifying distributions from Part XII, line 4

8

455,917.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## THE DAMMANN FUND INC.

C/O ENGEL &amp; DAVIS, LLP

13-6089896

Page 4

Form 990-PF (2012)

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                        |    |        |        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|--------|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |    |        |        |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                           |    | 1      | 6,177. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                                                                                             |    |        |        |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                            |    | 2      | 0.     |
| 3 Add lines 1 and 2                                                                                                                                                                                                                    |    | 3      | 6,177. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                          |    | 4      | 0.     |
| 5 <b>Tax based on investment income</b> Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                        |    | 5      | 6,177. |
| 6 Credits/Payments:                                                                                                                                                                                                                    |    |        |        |
| a 2012 estimated tax payments and 2011 overpayment credited to 2012                                                                                                                                                                    | 6a | 7,280. |        |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                                | 6b |        |        |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                  | 6c | 1,500. |        |
| d Backup withholding erroneously withheld                                                                                                                                                                                              | 6d |        |        |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                  | 7  | 8,780. |        |
| 8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                             | 8  |        |        |
| 9 <b>Tax due</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>                                                                                                                                           | 9  |        |        |
| 10 <b>Overpayment</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>                                                                                                                              | 10 | 2,603. |        |
| 11 Enter the amount of line 10 to be. <b>Credited to 2013 estimated tax</b> <input checked="" type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>                                                                               | 11 | 0.     |        |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                           | Yes | No |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                   |     | X  |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |     | X  |
| 1c Did the foundation file <b>Form 1120-POL</b> for this year?                                                                                                                                                                                                                                                                            |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.                                                                                            |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.                                                                                                                                                         |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                           |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                              |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                            |     | X  |
| b If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?                                                                                                                                                                                                                                                                 |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by <b>General Instruction T</b>                                                                                                                                                               |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?      | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year?<br>If "Yes," complete <b>Part II, col (c), and Part XV</b>                                                                                                                                                                                              | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> <b>NY</b>                                                                                                                                                                                       |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <b>General Instruction G</b> ? If "No," attach explanation                                                                                                                     | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete <b>Part XIV</b>                                                                             |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                     |     | X  |

N/A

Form 990-PF (2012)

**Part VII-A Statements Regarding Activities** (continued)

|    |                                                                                                                                                                                                                                                                                                                                   |    |     |         |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|---------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                           | 11 |     | X       |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                          | 12 |     | X       |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► <b>WWW.THEDAMMANNFUND.COM</b>                                                                                                                                                            | 13 | X   |         |
| 14 | The books are in care of ► <b>ENGEL &amp; DAVIS, LLP</b> Telephone no. ► <b>212-262-9154</b><br>Located at ► <b>521 FIFTH AVE, 31ST FL, NEW YORK, NY</b> ZIP+4 ► <b>10175</b>                                                                                                                                                     |    |     |         |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year<br>► 15 N/A                                                                                                                                   |    |     |         |
| 16 | At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ► | 16 | Yes | No<br>X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Yes                                                                 | No   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|------|
| 1a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                     |      |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                       | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                             | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                     | N/A                                                                 | 1b   |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?                                                                                                                                                                                                                                                                                                        |                                                                     | 1c X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                 |                                                                     |      |
| a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?<br>If "Yes," list the years ►                                                                                                                                                                                                                                                                                                                | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.)                                                                                                                                                                        | N/A                                                                 | 2b   |
| c If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.<br>►                                                                                                                                                                                                                                                                                                                                                                   |                                                                     |      |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012) | N/A                                                                 | 3b   |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                               |                                                                     | 4a X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?                                                                                                                                                                                                                                                              |                                                                     | 4b X |

Form 990-PF (2012)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address      | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| PENELOPE JOHNSTON         | PRES. - DIR.                                              |                                           |                                                                       |                                       |
| 87 INDIAN HEAD ROAD       |                                                           |                                           |                                                                       |                                       |
| RIVERSIDE, CT 06878       | 40.00                                                     | 0.                                        | 0.                                                                    | 0.                                    |
| CHRISTOPHER M. KRAMER     | V.P. - DIR.                                               |                                           |                                                                       |                                       |
| 1650 GARTH GATE LANE      |                                                           |                                           |                                                                       |                                       |
| CHARLOTTESVILLE, VA 22901 | 1.00                                                      | 0.                                        | 0.                                                                    | 0.                                    |
| DANIEL KRAMER             | DIR                                                       |                                           |                                                                       |                                       |
| 294 WEST 4TH ST           |                                                           |                                           |                                                                       |                                       |
| NEW YORK, NY 10014        | 1.00                                                      | 0.                                        | 0.                                                                    | 0.                                    |
|                           |                                                           |                                           |                                                                       |                                       |
|                           |                                                           |                                           |                                                                       |                                       |
|                           |                                                           |                                           |                                                                       |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

Form 990-PF (2012)

## Part VIII

**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

**Total** number of others receiving over \$50,000 for professional services

C

|                                                                            |                                                |
|----------------------------------------------------------------------------|------------------------------------------------|
| Total number of officers receiving over \$50,000 for professional services |                                                |
| <b>Part IX-A</b>                                                           | <b>Summary of Direct Charitable Activities</b> |

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

## Expenses

|   |     |  |
|---|-----|--|
| 1 | N/A |  |
| 2 |     |  |
| 3 |     |  |
| 4 |     |  |

|                  |                                               |
|------------------|-----------------------------------------------|
| <b>Part IX-B</b> | <b>Summary of Program-Related Investments</b> |
|------------------|-----------------------------------------------|

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

|   |                                                          |  |
|---|----------------------------------------------------------|--|
| 1 | N/A                                                      |  |
|   |                                                          |  |
|   |                                                          |  |
| 2 |                                                          |  |
|   |                                                          |  |
|   |                                                          |  |
|   | All other program-related investments. See instructions. |  |
| 3 |                                                          |  |
|   |                                                          |  |
|   |                                                          |  |

**Total.** Add lines 1 through 3

0.

Form **990-PF** (2012)

**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                             |    |            |
|---|-------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |            |
| a | Average-monthly fair market value of securities                                                             | 1a | 7,086,421. |
| b | Average of monthly cash balances                                                                            | 1b | 264,504.   |
| c | Fair market value of all other assets                                                                       | 1c |            |
| d | <b>Total</b> (add lines 1a, b, and c)                                                                       | 1d | 7,350,925. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.         |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                        | 2  | 0.         |
| 3 | Subtract line 2 from line 1d                                                                                | 3  | 7,350,925. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 110,264.   |
| 5 | <b>Net value of noncharitable-use assets</b> Subtract line 4 from line 3. Enter here and on Part V, line 4  | 5  | 7,240,661. |
| 6 | <b>Minimum investment return</b> Enter 5% of line 5                                                         | 6  | 362,033.   |

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                           |    |          |
|----|-----------------------------------------------------------------------------------------------------------|----|----------|
| 1  | Minimum investment return from Part X, line 6                                                             | 1  | 362,033. |
| 2a | Tax on investment income for 2012 from Part VI, line 5                                                    | 2a | 6,177.   |
| b  | Income tax for 2012. (This does not include the tax from Part VI.)                                        | 2b |          |
| c  | Add lines 2a and 2b                                                                                       | 2c | 6,177.   |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | 3  | 355,856. |
| 4  | Recoveries of amounts treated as qualifying distributions                                                 | 4  | 0.       |
| 5  | Add lines 3 and 4                                                                                         | 5  | 355,856. |
| 6  | Deduction from distributable amount (see instructions)                                                    | 6  | 0.       |
| 7  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 355,856. |

**Part XII** Qualifying Distributions (see instructions)

|   |                                                                                                                                   |    |          |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |    |          |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 455,917. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 0.       |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |          |
| 3 | Amounts set aside for specific charitable projects that satisfy the:                                                              |    |          |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |          |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |          |
| 4 | <b>Qualifying distributions</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                  | 4  | 455,917. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 6,177.   |
| 6 | <b>Adjusted qualifying distributions</b> Subtract line 5 from line 4                                                              | 6  | 449,740. |

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2012)

**Part XIII** **Undistributed Income** (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus   | (b)<br>Years prior to 2011 | (c)<br>2011 | (d)<br>2012     |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|----------------------------|-------------|-----------------|
| <b>1</b> Distributable amount for 2012 from Part XI, line 7                                                                                                                       |                 |                            |             | <b>355,856.</b> |
| <b>2</b> Undistributed income, if any, as of the end of 2012                                                                                                                      |                 |                            |             |                 |
| <b>a</b> Enter amount for 2011 only                                                                                                                                               |                 |                            | <b>0.</b>   |                 |
| <b>b</b> Total for prior years:                                                                                                                                                   |                 | <b>0.</b>                  |             |                 |
| <b>3</b> Excess distributions carryover, if any, to 2012:                                                                                                                         |                 |                            |             |                 |
| <b>a</b> From 2007                                                                                                                                                                | <b>24,946.</b>  |                            |             |                 |
| <b>b</b> From 2008                                                                                                                                                                | <b>19,613.</b>  |                            |             |                 |
| <b>c</b> From 2009                                                                                                                                                                | <b>32,221.</b>  |                            |             |                 |
| <b>d</b> From 2010                                                                                                                                                                | <b>34,509.</b>  |                            |             |                 |
| <b>e</b> From 2011                                                                                                                                                                | <b>66,021.</b>  |                            |             |                 |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | <b>177,310.</b> |                            |             |                 |
| <b>4</b> Qualifying distributions for 2012 from Part XII, line 4: <b>► \$ 455,917.</b>                                                                                            |                 |                            |             |                 |
| <b>a</b> Applied to 2011, but not more than line 2a                                                                                                                               |                 |                            | <b>0.</b>   |                 |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |                 | <b>0.</b>                  |             |                 |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions)                                                                                            | <b>0.</b>       |                            |             |                 |
| <b>d</b> Applied to 2012 distributable amount                                                                                                                                     |                 |                            |             | <b>355,856.</b> |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | <b>100,061.</b> |                            |             |                 |
| <b>5</b> Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | <b>0.</b>       |                            |             | <b>0.</b>       |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |                 |                            |             |                 |
| <b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                        | <b>277,371.</b> |                            |             |                 |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |                 | <b>0.</b>                  |             |                 |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |                 | <b>0.</b>                  |             |                 |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |                 | <b>0.</b>                  |             |                 |
| <b>e</b> Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |                 |                            | <b>0.</b>   |                 |
| <b>f</b> Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013                                                              |                 |                            |             | <b>0.</b>       |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)                                                     | <b>0.</b>       |                            |             |                 |
| <b>8</b> Excess distributions carryover from 2007 not applied on line 5 or line 7                                                                                                 | <b>24,946.</b>  |                            |             |                 |
| <b>9</b> Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a                                                                                              | <b>252,425.</b> |                            |             |                 |
| <b>10</b> Analysis of line 9:                                                                                                                                                     |                 |                            |             |                 |
| <b>a</b> Excess from 2008                                                                                                                                                         | <b>19,613.</b>  |                            |             |                 |
| <b>b</b> Excess from 2009                                                                                                                                                         | <b>32,221.</b>  |                            |             |                 |
| <b>c</b> Excess from 2010                                                                                                                                                         | <b>34,509.</b>  |                            |             |                 |
| <b>d</b> Excess from 2011                                                                                                                                                         | <b>66,021.</b>  |                            |             |                 |
| <b>e</b> Excess from 2012                                                                                                                                                         | <b>100,061.</b> |                            |             |                 |

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- | Tax year | Prior 3 years |          |          | (e) Total |
|----------|---------------|----------|----------|-----------|
| (a) 2012 | (b) 2011      | (c) 2010 | (d) 2009 |           |
|          |               |          |          |           |

- (4) Gross investment income

2012.05070 THE DAMMANN FUND INC. C/O E 200143 1

Part XV
 Supplementary Information (continued)

| 3 Grants and Contributions Paid During the Year or Approved for Future Payment |                                                                                                           |                                |                                  |          |
|--------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|----------|
| Recipient                                                                      | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount   |
| Name and address (home or business)                                            |                                                                                                           |                                |                                  |          |
| a Paid during the year                                                         |                                                                                                           |                                |                                  |          |
| SEE ATTACHED SCHEDULE                                                          |                                                                                                           |                                |                                  | 411,375. |
|                                                                                |                                                                                                           |                                |                                  |          |
|                                                                                |                                                                                                           |                                |                                  |          |
|                                                                                |                                                                                                           |                                |                                  |          |
|                                                                                |                                                                                                           |                                |                                  |          |
|                                                                                |                                                                                                           |                                |                                  |          |
|                                                                                |                                                                                                           |                                |                                  |          |
|                                                                                |                                                                                                           |                                |                                  |          |
| Total                                                                          |                                                                                                           |                                | ▶ 3a                             | 411,375. |
| b Approved for future payment                                                  |                                                                                                           |                                |                                  |          |
| NONE                                                                           |                                                                                                           |                                |                                  |          |
|                                                                                |                                                                                                           |                                |                                  |          |
|                                                                                |                                                                                                           |                                |                                  |          |
|                                                                                |                                                                                                           |                                |                                  |          |
|                                                                                |                                                                                                           |                                |                                  |          |
| Total                                                                          |                                                                                                           |                                | ▶ 3b                             | 0.       |

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

| Enter gross amounts unless otherwise indicated                       | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income |
|----------------------------------------------------------------------|---------------------------|---------------|--------------------------------------|---------------|---------------------------------------------|
|                                                                      | (a)<br>Business<br>code   | (b)<br>Amount | (c)<br>Exclu-<br>sion<br>code        | (d)<br>Amount |                                             |
| <b>1</b> Program service revenue:                                    |                           |               |                                      |               |                                             |
| <b>a</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>b</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>c</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>d</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>e</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>f</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>g</b> Fees and contracts from government agencies                 |                           |               |                                      |               |                                             |
| <b>2</b> Membership dues and assessments                             |                           |               |                                      |               |                                             |
| <b>3</b> Interest on savings and temporary cash<br>investments       |                           |               | 14                                   | 31.           |                                             |
| <b>4</b> Dividends and interest from securities                      |                           |               |                                      |               | 175,972.                                    |
| <b>5</b> Net rental income or (loss) from real estate:               |                           |               |                                      |               |                                             |
| <b>a</b> Debt-financed property                                      |                           |               |                                      |               |                                             |
| <b>b</b> Not debt-financed property                                  |                           |               |                                      |               |                                             |
| <b>6</b> Net rental income or (loss) from personal<br>property       |                           |               |                                      |               |                                             |
| <b>7</b> Other investment income                                     |                           |               | 15                                   | 1,366.        |                                             |
| <b>8</b> Gain or (loss) from sales of assets other<br>than inventory |                           |               |                                      |               | 489,954.                                    |
| <b>9</b> Net income or (loss) from special events                    |                           |               |                                      |               |                                             |
| <b>10</b> Gross profit or (loss) from sales of inventory             |                           |               |                                      |               |                                             |
| <b>11</b> Other revenue:                                             |                           |               |                                      |               |                                             |
| <b>a</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>b</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>c</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>d</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>e</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>12</b> Subtotal. Add columns (b), (d), and (e)                    |                           | 0.            |                                      | 1,397.        | 665,926.                                    |
| <b>13</b> Total. Add line 12, columns (b), (d), and (e)              |                           |               |                                      | 13            | 667,323.                                    |

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |   |                                                                                                                                                                                                                                                                                                                                                                                              | Yes   | No |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                          |       |    |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                           |       |    |
|   | (1) Cash                                                                                                                                                                                                                                                                                                                                                                                     | 1a(1) | X  |
|   | (2) Other assets                                                                                                                                                                                                                                                                                                                                                                             | 1a(2) | X  |
| b | Other transactions:                                                                                                                                                                                                                                                                                                                                                                          |       |    |
|   | (1) Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                   | 1b(1) | X  |
|   | (2) Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                             | 1b(2) | X  |
|   | (3) Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                         | 1b(3) | X  |
|   | (4) Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                               | 1b(4) | X  |
|   | (5) Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                 | 1b(5) | X  |
|   | (6) Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                       | 1b(6) | X  |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                             | 1c    | X  |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A                      |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)?

☒ Yes ☐ No

Signature of officer or trustee

Date \_\_\_\_\_

OFFICER

Title

Print/Type preparer's name \_\_\_\_\_

Preparer's signature

Date \_\_\_\_\_

Check ☐ self-employed

|      |
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| PTIN |
|------|

**Paid  
Preparer  
Use Only**

MELVIN SCHREIBER

  
SCHREIBER, LLP

5/5/14

P01318415

Firm's name ► MOSES AND SCHREIBER, LLP

|              |            |
|--------------|------------|
| Firm's EIN ▶ | 13-1971216 |
|--------------|------------|

Firm's address ► 3000 MARCUS AVE, #1W5  
LAKE SUCCESS, NY 11042

Phone no. (516) 352-7700

Form **990-PF** (2012)

# The Dammann Fund, Inc.

FY '13 Gifts (REV)

December 1, 2012 - November 30, 2013

5/3/2014

| Organization<br>Project Title                                          | Grant<br>Amount | Paid<br>To Date | Type<br>Status | Grant<br>Date |
|------------------------------------------------------------------------|-----------------|-----------------|----------------|---------------|
| <u>Johnston/Swartchild Family</u>                                      |                 |                 |                |               |
| Atlantic Salmon Federation (U.S.)<br>New Canaan, CT                    | \$1,500 00      | \$1,500 00      | Cash Grants    | 11/21/2013    |
| Boston Children's Chorus<br>Boston, MA                                 | \$500 00        | \$500 00        | Cash Grants    | 11/21/2013    |
| Brooklyn Animal Rescue Coalition (BARC Shelter)<br>Brooklyn, NY        | \$250 00        | \$250 00        | Cash Grants    | 11/21/2013    |
| Caramoor Center for Music & the Arts Inc.<br>Katonah, NY               | \$4,650 00      | \$4,650 00      | Cash Grants    | 5/17/2013     |
| City of Hope<br>Duarte, CA<br><i>Tim Nesvig Fellowship Program</i>     | \$1,500 00      | \$1,500 00      | Cash Grants    | 11/21/2013    |
| Columbia University Department of Neurological Surgery<br>New York, NY | \$1,000 00      | \$1,000 00      | Cash Grants    | 11/21/2013    |
| Family Services of Westchester<br>Port Chester, NY                     | \$1,000 00      | \$1,000 00      | Cash Grants    | 11/21/2013    |
| Greenwich Adult Day Center (GADC)<br>Cos Cob, CT                       | \$250 00        | \$250 00        | Cash Grants    | 11/21/2013    |
| Georgetown University<br>Washington, DC<br><i>Katherine Penna '94</i>  | \$1,000 00      | \$1,000 00      | Cash Grants    | 11/21/2013    |

| Organization<br>Project Title                                                 | Grant<br>Amount | Paid Type<br>To Date Status | Grant<br>Date |
|-------------------------------------------------------------------------------|-----------------|-----------------------------|---------------|
| Greenwich Hospital (GHA Corp.)<br>Greenwich, CT<br><i>The Hospice Program</i> | \$250 00        | \$250 00 Cash Grants        | 11/21/2013    |
| Jewish Home Lifecare<br>New York, NY                                          | \$500 00        | \$500 00 Cash Grants        | 11/21/2013    |
| Lincoln Center Theater<br>New York, NY                                        | \$2,500 00      | \$2,500 00 Cash Grants      | 11/21/2013    |
| Mental Health News Education, Inc.<br>Effort, PA                              | \$250 00        | \$250 00 Cash Grants        | 11/21/2013    |
| The Metropolitan Museum of Art<br>New York, NY                                | \$550 00        | \$550 00 Cash Grants        | 11/21/2013    |
| Museum of Modern Art<br>New York, NY                                          | \$1,200 00      | \$1,200 00 Cash Grants      | 11/21/2013    |
| Brain & Behavior Research Foundation<br>Great Neck, New York                  | \$1,500 00      | \$1,500 00 Cash Grants      | 11/21/2013    |
| ONS Foundation for Clinical Research & Education, Inc.<br>Greenwich, CT       | \$1,000 00      | \$1,000 00 Cash Grants      | 11/21/2013    |
| Pathways, Inc.<br>Greenwich, CT                                               | \$1,000 00      | \$1,000 00 Cash Grants      | 11/21/2013    |
| The Performing Arts Center<br>Purchase, NY                                    | \$1,000 00      | \$1,000 00 Cash Grants      | 11/21/2013    |
| Planned Parenthood of Connecticut, Inc.<br>New Haven, CT                      | \$2,000 00      | \$2,000 00 Cash Grants      | 11/21/2013    |
| Royal Family Productions, Inc.<br>New York, NY                                | \$5,000 00      | \$5,000 00 Cash Grants      | 11/19/2013    |

| Organization<br>Project Title                                               | Grant<br>Amount | Paid Type<br>To Date Status | Grant<br>Date |
|-----------------------------------------------------------------------------|-----------------|-----------------------------|---------------|
| Royal Family Productions, Inc.<br>New York, NY                              | \$2,500 00      | \$2,500 00 Cash Grants      | 11/29/2013    |
| Rye Country Day School<br>Rye, NY<br><i>Penelope D Johnston, '59</i>        | \$250 00        | \$250 00 Cash Grants        | 11/21/2013    |
| Scripps Foundation for Medicine and Science<br>La Jolla, California         | \$1,000 00      | \$1,000 00 Cash Grants      | 11/21/2013    |
| Skidmore College<br>Saratoga Springs, NY<br><i>50th Reunion Commitment</i>  | \$10,000 00     | \$10,000 00 Cash Grants     | 5/10/2013     |
| Skidmore College<br>Saratoga Springs, NY<br><i>Penelope D Johnston, '63</i> | \$30,000 00     | \$30,000 00 Cash Grants     | 11/21/2013    |
| Stamford Hospital<br>Stamford, CT                                           | \$1,500 00      | \$1,500 00 Cash Grants      | 11/21/2013    |
| Thirteen/WNET New York<br>New York, NY                                      | \$250 00        | \$250 00 Cash Grants        | 11/21/2013    |
| Wellspring<br>Bethlehem, CT                                                 | \$500 00        | \$500 00 Cash Grants        | 11/21/2013    |
| WNYC<br>New York, NJ                                                        | \$500 00        | \$500 00 Cash Grants        | 11/21/2013    |
| World Learning, Inc.<br>Brattleboro, VT                                     | \$250 00        | \$250 00 Cash Grants        | 11/21/2013    |
| <i>Total Johnston/Swartchild Family<br/>(31 items)</i>                      |                 | \$75,150 00                 |               |
| <b><u>Joint Gifts - Mental Health</u></b>                                   |                 |                             |               |

| Organization<br>Project Title                                                                                              | Grant<br>Amount | Paid Type<br>To Date Status | Grant<br>Date |
|----------------------------------------------------------------------------------------------------------------------------|-----------------|-----------------------------|---------------|
| The Association for Rehabilitative Case Management & Housing<br>(ACMH)<br>New York, NY<br><i>Care Coordination Service</i> | \$5,000 00      | \$5,000 00 Cash Grants      | 11/12/2013    |
| Association of Community Employment Programs<br>New York, NY<br><i>Project Comeback</i>                                    | \$5,000 00      | \$5,000 00 Cash Grants      | 11/12/2013    |
| Bowery Residents' Committee, Inc. (BRC)<br>New York, NY<br><i>Hand Up Fund</i>                                             | \$5,000 00      | \$5,000 00 Cash Grants      | 11/12/2013    |
| Brooklyn Community Housing and Services, Inc.<br>Brooklyn, NY<br><i>Model SRO Program</i>                                  | \$5,000 00      | \$5,000 00 Cash Grants      | 11/12/2013    |
| Brooklyn Community Services, Inc.<br>Brooklyn, NY<br><i>Peer Care Navigators</i>                                           | \$5,000 00      | \$5,000 00 Cash Grants      | 11/12/2013    |
| Care for the Homeless<br>New York, New York<br><i>Susan's Place</i>                                                        | \$2,500 00      | \$2,500 00 Cash Grants      | 11/12/2013    |
| CASES (Center for Alternative Structuring & Employment<br>Services)<br>New York, NY<br><i>Housing Case Manager</i>         | \$7,500 00      | \$7,500 00 Cash Grants      | 11/12/2013    |
| The Fortune Society<br>Long Island City, NY<br><i>The Better Living Center (BLC)</i>                                       | \$5,000 00      | \$5,000 00 Cash Grants      | 11/12/2013    |
| Goddard Riverside Community Center<br>New York, NY<br><i>TOP Opportunities (TOPOP)</i>                                     | \$10,000 00     | \$10,000 00 Cash Grants     | 11/12/2013    |

| Organization<br>Project Title                                                                                          | Grant<br>Amount | Paid Type<br>To Date Status | Grant<br>Date |
|------------------------------------------------------------------------------------------------------------------------|-----------------|-----------------------------|---------------|
| Gracie Square Hospital, Inc.<br>New York, NY<br><i>Geriatric Psychiatric Program</i>                                   | \$5,000 00      | \$5,000 00 Cash Grants      | 11/12/2013    |
| Greenwich House<br>New York, NY<br><i>Senior Health and Consultation Center</i>                                        | \$5,000 00      | \$5,000 00 Cash Grants      | 11/12/2013    |
| Health Advocates for Older People<br>New York, NY<br><i>Holding It All Together Program</i>                            | \$5,000 00      | \$5,000 00 Cash Grants      | 11/12/2013    |
| Henry Street Settlement<br>New York, NY<br><i>Community Consultation Center</i>                                        | \$7,500 00      | \$7,500 00 Cash Grants      | 11/12/2013    |
| New York Foundling<br>New York, NY<br><i>Mental Health Clinician for Mental Health Services Program</i>                | \$5,000 00      | \$5,000 00 Cash Grants      | 11/12/2013    |
| Riverdale Mental Health Association<br>Bronx, NY<br><i>Innovative Services for Seniors</i>                             | \$5,000 00      | \$5,000 00 Cash Grants      | 11/12/2013    |
| Transitional Services for New York, Inc.<br>Whitestone, NY<br><i>Renovation for Residential Facilities</i>             | \$5,000 00      | \$5,000 00 Cash Grants      | 11/12/2013    |
| Urban Pathways, Inc.<br>New York, NY<br><i>Wellness Specialist for Home, Health &amp; Mental Health at Safe Havens</i> | \$7,500 00      | \$7,500 00 Cash Grants      | 11/12/2013    |
| Total Joint Gifts - Mental Health<br>(17 items)                                                                        |                 | \$95,000 00                 |               |

**Joint Gifts - Teen Parenthood**

| Organization<br>Project Title                                                                                                     | Grant<br>Amount | Paid Type<br>To Date Status | Grant<br>Date |
|-----------------------------------------------------------------------------------------------------------------------------------|-----------------|-----------------------------|---------------|
| <b>Big Brothers/Big Sisters of New York City, Inc.</b><br>New York, NY<br><i>Young Mothers Mentoring Program</i>                  | \$7,500 00      | Cash Grants                 | 11/12/2013    |
| <b>Children, Youth &amp; Family Services, Inc.</b><br>Charlottesville, VA<br><i>REAL Dads Project</i>                             | \$10,000 00     | Cash Grants                 | 11/12/2013    |
| <b>Day One NY, Inc.</b><br>New York, NY<br><i>Support for Young Parents Who Are Survivors of Intimate Partner Violence</i>        | \$7,500 00      | Cash Grants                 | 11/12/2013    |
| <b>Forestdale</b><br>Forest Hills, NY<br><i>Attachment and Biobehavioral Catch-Up</i>                                             | \$10,000 00     | Cash Grants                 | 11/12/2013    |
| <b>Mercy Center</b><br>Bronx, NY<br><i>Teen Parenting Program</i>                                                                 | \$7,500 00      | Cash Grants                 | 11/12/2013    |
| <b>Mercy Learning Center</b><br>Bridgeport, CT<br><i>Educational Programs for Young Mothers and Their Preschool-aged Children</i> | \$7,500 00      | Cash Grants                 | 11/12/2013    |
| <b>The Mount Sinai Hospital</b><br>New York, NY<br><i>Teen Parenting Program</i>                                                  | \$10,000 00     | Cash Grants                 | 11/12/2013    |
| <b>Youth at Risk</b><br>New York, New York<br><i>Women to Women (W2W) Program</i>                                                 | \$10,000 00     | Cash Grants                 | 11/12/2013    |

| Organization<br>Project Title                                                                                   | Grant<br>Amount | Paid Type<br>To Date Status | Grant<br>Date |
|-----------------------------------------------------------------------------------------------------------------|-----------------|-----------------------------|---------------|
| Rise<br>New York, NY<br><i>Psychoeducation and Narrative Writing Group for Young Mothers<br/>in Foster Care</i> | \$2,500 00      | Cash Grants                 | 11/12/2013    |
| Room to Grow New York, Inc.<br>New York, NY<br><i>One-on-One Parenting Education</i>                            | \$5,000 00      | Cash Grants                 | 11/12/2013    |
| Union Settlement Association<br>New York, NY<br><i>Fatherhood Program</i>                                       | \$10,000 00     | Cash Grants                 | 11/12/2013    |
| Westchester Jewish Community Services<br>White Plains, NY<br><i>Young People Achieve (YPA) Program</i>          | \$10,000 00     | Cash Grants                 | 11/12/2013    |
| Young Parent Program of Milford, Inc.<br>Milford, CT<br><i>Pregnant and Parenting Program</i>                   | \$2,500 00      | Cash Grants                 | 11/12/2013    |
| <b>Total Joint Gifts - Teen Parenthood<br/>(13 items)</b>                                                       |                 | \$100,000 00                |               |
| <b><u>Kramer, Christopher &amp; Catherine</u></b>                                                               |                 |                             |               |
| American Diabetes Association<br>Alexandria, VA                                                                 | \$250 00        | Cash Grants                 | 6/12/2013     |
| American Friends Service Committee<br>Philadelphia, PA                                                          | \$250 00        | Cash Grants                 | 6/12/2013     |
| Ash Lawn Opera Festival<br>Charlottesville, VA                                                                  | \$250 00        | Cash Grants                 | 6/12/2013     |
| Ashley Barrasso Cancer Research Fund<br>Pittsburgh, PA                                                          | \$250 00        | Cash Grants                 | 6/12/2013     |

| Organization<br>Project Title                                   | Grant<br>Amount | Paid Type<br>To Date Status | Grant<br>Date |
|-----------------------------------------------------------------|-----------------|-----------------------------|---------------|
| Ballet Tech Foundation, Inc.<br>New York, NY                    | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| Bat Conservation International<br>Austin, TX                    | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| Blue Ridge Area Food Bank<br>Verona, VA                         | \$500 00        | \$500 00 Cash Grants        | 6/12/2013     |
| Brehm Preparatory School<br>Carbondale, IL                      | \$2,500 00      | \$2,500 00 Cash Grants      | 3/19/2013     |
| Charlottesville Chamber Music Festival<br>Charlottesville, VA   | \$1,000 00      | \$1,000 00 Cash Grants      | 6/12/2013     |
| Charlottesville City School Book Buddies<br>Charlottesville, VA | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| Charlottesville Free Clinic<br>Charlottesville, VA              | \$500 00        | \$500 00 Cash Grants        | 6/12/2013     |
| Charlottesville-Albemarle SPCA<br>Charlottesville, VA           | \$1,000 00      | \$1,000 00 Cash Grants      | 6/12/2013     |
| Chesapeake Bay Foundation<br>Baltimore, MD                      | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| Children, Youth & Family Services, Inc.<br>Charlottesville, VA  | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| Computers4Kids<br>Charlottesville, VA                           | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| Defenders of Wildlife<br>Merrifield, VA                         | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| Doctors Without Borders<br>Merrifield, VA                       | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |

| Organization<br>Project Title                                                 | Grant<br>Amount | Paid Type<br>To Date Status | Grant<br>Date |
|-------------------------------------------------------------------------------|-----------------|-----------------------------|---------------|
| Habitat for Humanity International<br>Americus, GA                            | \$250 00        | Cash Grants                 | 6/12/2013     |
| Harvard College<br>Cambridge, MA<br><i>Christopher M Kramer, '82</i>          | \$2,500 00      | Cash Grants                 | 3/19/2013     |
| Hospice of the Piedmont<br>Charlottesville, VA                                | \$250 00        | Cash Grants                 | 6/12/2013     |
| The Humane Society of the United States<br>Washington, DC                     | \$250 00        | Cash Grants                 | 6/12/2013     |
| Legal Aid Justice Center<br>Charlottesville, VA                               | \$250 00        | Cash Grants                 | 6/12/2013     |
| Live Arts<br>Charlottesville, VA                                              | \$250 00        | Cash Grants                 | 6/12/2013     |
| Mental Health Association of Charlottesville-Albemarle<br>Charlottesville, VA | \$250 00        | Cash Grants                 | 6/12/2013     |
| NAACP<br>Baltimore, MD                                                        | \$250 00        | Cash Grants                 | 6/12/2013     |
| National Parks Conservation Association<br>Washington, DC                     | \$250 00        | Cash Grants                 | 6/12/2013     |
| National Wildlife Federation<br>Merrifield, VA                                | \$250 00        | Cash Grants                 | 6/12/2013     |
| Natural Resources Defense Council<br>New York, New York                       | \$250 00        | Cash Grants                 | 6/12/2013     |
| The Nature Conservancy<br>Arlington, VA                                       | \$250 00        | Cash Grants                 | 6/12/2013     |

| Organization<br>Project Title                                                | Grant<br>Amount | Paid Type<br>To Date Status | Grant<br>Date |
|------------------------------------------------------------------------------|-----------------|-----------------------------|---------------|
| Oxfam America<br>Boston, MA                                                  | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| Paramount Theater<br>Charlottesville, VA                                     | \$1,000 00      | \$1,000 00 Cash Grants      | 6/12/2013     |
| Piedmont CASA, Inc.<br>Charlottesville, VA 22902                             | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| Piedmont Council of the Arts<br>Charlottesville, VA                          | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| Planned Parenthood Federation of America, Inc.<br>New York, NY               | \$1,000 00      | \$1,000 00 Cash Grants      | 6/12/2013     |
| Planned Parenthood of Blue Ridge<br>Charlottesville, VA                      | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| Rails to Trails Conservancy<br>Washington, DC                                | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| Ronald McDonald House Charities of Charlottesville<br>Charlottesville, VA    | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| Sexual Assault Resource Agency (SARA)<br>Charlottesville, VA                 | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| Shenandoah Shakespeare's American Shakespeare Center<br>Staunton, VA         | \$500 00        | \$500 00 Cash Grants        | 6/12/2013     |
| Sidwell Friends School<br>Washington, DC<br><i>Christopher M Kramer, '78</i> | \$2,500 00      | \$2,500 00 Cash Grants      | 3/19/2013     |
| Sierra Club<br>San Francisco, CA                                             | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |

| Organization<br>Project Title                                                                                                      | Grant<br>Amount | Paid Type<br>To Date Status | Grant<br>Date |
|------------------------------------------------------------------------------------------------------------------------------------|-----------------|-----------------------------|---------------|
| Smithsonian Institute<br>Washington, DC                                                                                            | \$250 00        | Cash Grants                 | 6/12/2013     |
| Southern Environmental Law Center<br>Charlottesville, VA                                                                           | \$250 00        | Cash Grants                 | 6/12/2013     |
| Southern Poverty Law Center<br>Montgomery, AL                                                                                      | \$250 00        | Cash Grants                 | 6/12/2013     |
| Special Olympics<br>Schenectady, NY                                                                                                | \$250 00        | Cash Grants                 | 6/12/2013     |
| TimeBanks USA<br>Washington, DC                                                                                                    | \$250 00        | Cash Grants                 | 6/12/2013     |
| Tuesday Evening Concert Series (TECS)<br>Charlottesville, VA                                                                       | \$750 00        | Cash Grants                 | 6/12/2013     |
| U.S. Committee for Refugees<br>Arlington, VA                                                                                       | \$250 00        | Cash Grants                 | 6/12/2013     |
| UC Davis Foundation<br>Davis, CA                                                                                                   | \$350 00        | Cash Grants                 | 3/19/2013     |
| United Jewish Fund of Charlottesville<br>Charlottesville, VA                                                                       | \$250 00        | Cash Grants                 | 6/12/2013     |
| United Negro College Fund<br>Richmond, VA                                                                                          | \$250 00        | Cash Grants                 | 6/12/2013     |
| United States Olympic Committee<br>Albert Lea, MN                                                                                  | \$250 00        | Cash Grants                 | 6/12/2013     |
| University of California at San Francisco<br>San Francisco, CA<br><i>Christopher M. Kramer, '86 &amp; Catherine C. Kramer, '86</i> | \$2,500 00      | Cash Grants                 | 3/19/2013     |

| Organization<br>Project Title                                     | Grant<br>Amount | Paid Type<br>To Date Status | Grant<br>Date |
|-------------------------------------------------------------------|-----------------|-----------------------------|---------------|
| University of Pennsylvania School of Medicine<br>Philadelphia, PA | \$2,500 00      | \$2,500 00 Cash Grants      | 3/19/2013     |
| University of Virginia Art Museum<br>Charlottesville, VA          | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| University of Virginia School of Medicine<br>Charlottesville, VA  | \$2,500 00      | \$2,500 00 Cash Grants      | 3/19/2013     |
| Virginia Center for the Creative Arts<br>Sweet Briar, VA          | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| Virginia Museum of Natural History - UVA<br>Martinsville, VA      | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| WMRA<br>Harrisonburg, VA                                          | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| World Learning, Inc.<br>Brattleboro, VT                           | \$1,000 00      | \$1,000 00 Cash Grants      | 6/12/2013     |
| World Wildlife Fund<br>Washington, DC                             | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| WYEP-FM<br>Pittsburgh, PA                                         | \$125 00        | \$125 00 Cash Grants        | 6/12/2013     |
| Yale Dramatic Association<br>New Haven, CT                        | \$250 00        | \$250 00 Cash Grants        | 6/12/2013     |
| Yale Parents Annual Fund<br>New Haven, Connecticut                | \$1,000 00      | \$1,000 00 Cash Grants      | 3/19/2013     |
| Total Kramer, Christopher & Catherine<br>(64 items)               |                 | \$35,225 00                 |               |

Kramer, Daniel

| Organization<br>Project Title                                  | Grant<br>Amount | Paid Type<br>To Date Status | Grant<br>Date |
|----------------------------------------------------------------|-----------------|-----------------------------|---------------|
| The Astor Home for Children<br>Rhinebeck, NY                   | \$500 00        | \$500 00 Cash Grants        | 5/23/2013     |
| Atlantic Theater Company<br>New York, NY                       | \$3,500 00      | \$3,500 00 Cash Grants      | 5/23/2013     |
| Bank Street College of Education<br>New York, NY               | \$500 00        | \$500 00 Cash Grants        | 5/23/2013     |
| BeingKind, Inc.<br>Guilford, NY                                | \$1,000 00      | \$1,000 00 Cash Grants      | 5/23/2013     |
| Berklee College of Music<br>Boston, MA                         | \$500 00        | \$500 00 Cash Grants        | 5/23/2013     |
| Big Apple Circus<br>Brooklyn, NY                               | \$500 00        | \$500 00 Cash Grants        | 5/23/2013     |
| Central Park Conservancy<br>New York, NY                       | \$1,000 00      | \$1,000 00 Cash Grants      | 5/23/2013     |
| Children's Aid Society<br>New York, NY                         | \$1,000 00      | \$1,000 00 Cash Grants      | 5/23/2013     |
| City Center of Music & Drama<br>New York, NY                   | \$1,000 00      | \$1,000 00 Cash Grants      | 5/23/2013     |
| Coalition for the Homeless<br>New York, NY                     | \$2,000 00      | \$2,000 00 Cash Grants      | 5/23/2013     |
| Colby College<br>Waterville, ME<br><i>Daniel R. Kramer '84</i> | \$1,000 00      | \$1,000 00 Cash Grants      | 5/23/2013     |
| Film Forum<br>New York, NY                                     | \$750 00        | \$750 00 Cash Grants        | 5/23/2013     |

| Organization<br>Project Title                                          | Grant<br>Amount | Paid Type<br>To Date Status | Grant<br>Date |
|------------------------------------------------------------------------|-----------------|-----------------------------|---------------|
| Food for Survival Food Bank<br>Bronx, NY                               | \$500 00        | \$500 00 Cash Grants        | 5/23/2013     |
| Fresh Air Fund<br>New York, NY                                         | \$500 00        | \$500 00 Cash Grants        | 5/23/2013     |
| Georgetown University<br>Washington, DC<br><i>Daniel R. Kramer '85</i> | \$1,500 00      | \$1,500 00 Cash Grants      | 5/23/2013     |
| Grand Street Settlement<br>New York, NY                                | \$2,000 00      | \$2,000 00 Cash Grants      | 5/23/2013     |
| Green Chimneys<br>Brewster, NY                                         | \$250 00        | \$250 00 Cash Grants        | 5/23/2013     |
| Greenwich House<br>New York, NY                                        | \$1,000 00      | \$1,000 00 Cash Grants      | 5/23/2013     |
| Guiding Eyes for the Blind, Inc.<br>Yorktown Heights, NY               | \$500 00        | \$500 00 Cash Grants        | 5/23/2013     |
| Henry Street Settlement<br>New York, NY                                | \$1,500 00      | \$1,500 00 Cash Grants      | 5/23/2013     |
| Hospital Audiences, Inc. (HAI)<br>New York, NY                         | \$250 00        | \$250 00 Cash Grants        | 5/23/2013     |
| Meals on Wheels<br>New York, NY                                        | \$1,000 00      | \$1,000 00 Cash Grants      | 5/23/2013     |
| National Black Child Development Institute, Inc.<br>Washington, D C    | \$500 00        | \$500 00 Cash Grants        | 5/23/2013     |
| The New Group<br>New York, New York                                    | \$1,500 00      | \$1,500 00 Cash Grants      | 5/23/2013     |

| Organization<br>Project Title                                          | Grant<br>Amount | Paid Type<br>To Date Status | Grant<br>Date |
|------------------------------------------------------------------------|-----------------|-----------------------------|---------------|
| New York Public Library<br>New York, NY                                | \$1,250 00      | Cash Grants                 | 5/23/2013     |
| Project Renewal<br>New York, NY                                        | \$1,000 00      | Cash Grants                 | 5/23/2013     |
| Quest Youth Organization, Inc.<br>Brooklyn, NY                         | \$1,500 00      | Cash Grants                 | 5/23/2013     |
| Salvation Army in Greater New York<br>New York, NY                     | \$500 00        | Cash Grants                 | 5/23/2013     |
| The Seeing Eye<br>Morristown, NJ                                       | \$1,000 00      | Cash Grants                 | 5/23/2013     |
| Sidwell Friends School<br>Washington, DC<br><i>Daniel R Kramer '80</i> | \$1,500 00      | Cash Grants                 | 5/23/2013     |
| Special Olympics<br>Schenectady, NY                                    | \$500 00        | Cash Grants                 | 5/23/2013     |
| St. John's Bread & Life<br>Brooklyn, New York                          | \$500 00        | Cash Grants                 | 5/23/2013     |
| Student/Sponsor Partnership, Inc.<br>New York, NY                      | \$2,000 00      | Cash Grants                 | 5/23/2013     |
| The Summer Camp<br>Bridgton, ME                                        | \$500 00        | Cash Grants                 | 5/23/2013     |
| Thirteen/WNET New York<br>New York, NY                                 | \$500 00        | Cash Grants                 | 5/23/2013     |
| U.S. Committee for Refugees<br>Arlington, VA                           | \$500 00        | Cash Grants                 | 5/23/2013     |

| Organization<br>Project Title                                                                                           | Grant<br>Amount | Paid Type<br>To Date Status | Grant<br>Date |
|-------------------------------------------------------------------------------------------------------------------------|-----------------|-----------------------------|---------------|
| Wildlife Conservation Society<br>Bronx, NY                                                                              | \$1,500 00      | Cash Grants<br>\$1,500 00   | 5/23/2013     |
| <b>Total Kramer, Daniel<br/>(37 items)</b>                                                                              |                 | \$37,000 00                 |               |
| <b><u>Legacy Gifts</u></b>                                                                                              |                 |                             |               |
| American Red Cross<br>Fairfax, VA<br><i>Philipines Relief Fund</i>                                                      | \$10,000 00     | Cash Grants<br>\$10,000 00  | 11/13/2013    |
| Family Services of Westchester<br>Port Chester, NY<br><i>The Center for All Ages - 2nd Installment of 3-year Pledge</i> | \$22,000 00     | Cash Grants<br>\$22,000 00  | 9/16/2013     |
| Family Services of Westchester<br>Port Chester, NY<br><i>Youth Programs</i>                                             | \$30,000 00     | Cash Grants<br>\$30,000 00  | 11/12/2013    |
| Harvard Law School<br>Cambridge, MA<br><i>Richard W Dammann, Class of '35</i>                                           | \$1,000 00      | Cash Grants<br>\$1,000 00   | 9/16/2013     |
| Jewish Home Lifecare<br>New York, NY<br><i>The Lester Eisner, Jr Center</i>                                             | \$1,000 00      | Cash Grants<br>\$1,000 00   | 9/16/2013     |
| New York City Housing Authority Symphony Orchestra<br>New York, NY<br><i>In Honor of Janet Wolfe</i>                    | \$1,000 00      | Cash Grants<br>\$1,000 00   | 9/16/2013     |
| Partnership for After School Programs (PASE)<br>New York, NY<br><i>In Memory of Alistair D Johnston</i>                 | \$1,000 00      | Cash Grants<br>\$1,000 00   | 9/16/2013     |

| Organization<br>Project Title                                                                   | Grant<br>Amount | Paid Type<br>To Date Status        | Grant<br>Date |
|-------------------------------------------------------------------------------------------------|-----------------|------------------------------------|---------------|
| Princeton University<br>Princeton, NJ<br><i>Richard W Dammann, Class of '32</i>                 | \$1,000 00      | Cash Grants                        | 9/16/2013     |
| Radcliffe Institute for Advanced Study<br>Cambridge, MA<br><i>Debora D Kramer, Class of '60</i> | \$1,000 00      | Cash Grants                        | 9/16/2013     |
| Smith College Alumnae Fund<br>Boston, MA<br><i>Marjorie S Dammann, Class of '34</i>             | \$1,000 00      | Cash Grants<br><i>Active Grant</i> | 9/16/2013     |
| Total Legacy Gifts<br>(10 items)                                                                | \$69,000 00     |                                    |               |
| Grand Total<br>(172 items)                                                                      | \$411,375 00    |                                    |               |

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                                         | AMOUNT |
|------------------------------------------------|--------|
| CHASE MONEY MARKET                             | 31.    |
| TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A | 31.    |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE                           | GROSS AMOUNT | CAPITAL GAINS<br>DIVIDENDS | COLUMN (A)<br>AMOUNT |
|----------------------------------|--------------|----------------------------|----------------------|
| TAURUS ASSET MANAGEMENT          | 33,163.      | 0.                         | 33,163.              |
| TAURUS ASSET MANAGEMENT          | 142,809.     | 0.                         | 142,809.             |
| TOTAL TO FM 990-PF, PART I, LN 4 | 175,972.     | 0.                         | 175,972.             |

## FORM 990-PF OTHER INCOME STATEMENT 3

| DESCRIPTION                           | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| MISCELLANEOUS INCOME                  | 1,366.                      | 1,366.                            |                               |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 1,366.                      | 1,366.                            |                               |

## FORM 990-PF LEGAL FEES STATEMENT 4

| DESCRIPTION                | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| LEGAL FEES                 | 27,495.                      | 13,748.                           |                               | 13,747.                       |
| TO FM 990-PF, PG 1, LN 16A | 27,495.                      | 13,748.                           |                               | 13,747.                       |

|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | ACCOUNTING FEES | STATEMENT | 5 |
|-------------|-----------------|-----------|---|

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING FEES              | 11,990.                      | 5,995.                            |                               | 5,995.                        |
| TO FORM 990-PF, PG 1, LN 16B | 11,990.                      | 5,995.                            |                               | 5,995.                        |

|             |                         |           |   |
|-------------|-------------------------|-----------|---|
| FORM 990-PF | OTHER PROFESSIONAL FEES | STATEMENT | 6 |
|-------------|-------------------------|-----------|---|

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| INVESTMENT ADVISORY FEE      | 39,660.                      | 19,830.                           |                               | 19,830.                       |
| TO FORM 990-PF, PG 1, LN 16C | 39,660.                      | 19,830.                           |                               | 19,830.                       |

|             |       |           |   |
|-------------|-------|-----------|---|
| FORM 990-PF | TAXES | STATEMENT | 7 |
|-------------|-------|-----------|---|

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| NYS DEPT OF LAW             | 250.                         | 0.                                |                               | 0.                            |
| FOREIGN TAXES               | 1,699.                       | 0.                                |                               | 0.                            |
| FEDERAL TAXES               | 11,408.                      | 0.                                |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 18 | 13,357.                      | 0.                                |                               | 0.                            |

|             |                |           |   |
|-------------|----------------|-----------|---|
| FORM 990-PF | OTHER EXPENSES | STATEMENT | 8 |
|-------------|----------------|-----------|---|

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| OFFICE EXPENSE              | 3,267.                       | 1,634.                            |                               | 1,633.                        |
| DUES                        | 3,590.                       | 1,795.                            |                               | 1,795.                        |
| CHRISTMAS EXPENSES          | 1,500.                       | 750.                              |                               | 750.                          |
| TO FORM 990-PF, PG 1, LN 23 | 8,357.                       | 4,179.                            |                               | 4,178.                        |

|              |                 |           |   |
|--------------|-----------------|-----------|---|
| FORM 990-PF. | CORPORATE STOCK | STATEMENT | 9 |
|--------------|-----------------|-----------|---|

| DESCRIPTION                             | BOOK VALUE | FAIR MARKET VALUE |
|-----------------------------------------|------------|-------------------|
| CORPORATE STOCKS                        | 3,267,005. | 7,183,714.        |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 3,267,005. | 7,183,714.        |

|             |                 |           |    |
|-------------|-----------------|-----------|----|
| FORM 990-PF | CORPORATE BONDS | STATEMENT | 10 |
|-------------|-----------------|-----------|----|

| DESCRIPTION                             | BOOK VALUE | FAIR MARKET VALUE |
|-----------------------------------------|------------|-------------------|
| CORP & TREASURY BONDS                   | 398,931.   | 381,351.          |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 398,931.   | 381,351.          |

The Dammann Fund Inc  
 EIN: 13-6089896

Capital Gains

12/1/2012 through 11/30/2013

3/27/2014

Page 1

| Account           | Security                | Shares      | Bought     | Sold       | Gross Proceeds | Cost Basis | Realized Gain/L.. |
|-------------------|-------------------------|-------------|------------|------------|----------------|------------|-------------------|
| <b>SHORT TERM</b> |                         |             |            |            |                |            |                   |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 11,024.020  | 10/15/2012 | 12/3/2012  | 11,024.02      | 11,024.02  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 18,677.800  | 11/7/2012  | 12/3/2012  | 18,677.80      | 18,677.80  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 169.950     | 11/9/2012  | 12/3/2012  | 169.95         | 169.95     | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 16,903.310  | 11/9/2012  | 12/21/2012 | 16,903.31      | 16,903.31  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 10,058.490  | 11/16/2012 | 12/21/2012 | 10,058.49      | 10,058.49  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 25,981.490  | 11/16/2012 | 1/29/2013  | 25,981.49      | 25,981.49  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 9,218.250   | 11/16/2012 | 1/30/2013  | 9,218.25       | 9,218.25   | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 24,228.780  | 11/16/2012 | 2/8/2013   | 24,228.78      | 24,228.78  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 18,464.890  | 11/19/2012 | 2/8/2013   | 18,464.89      | 18,464.89  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 27,769.910  | 11/19/2012 | 2/28/2013  | 27,769.91      | 27,769.91  | 0.00              |
| Dammann Fund      | GENERAL ELEC CAP CORP   | 100,000.000 | 7/16/2012  | 3/5/2013   | 114,500.00     | 108,000.00 | 6,500.00          |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 32,079.410  | 11/19/2012 | 3/8/2013   | 32,079.41      | 32,079.41  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 26,632.780  | 1/23/2013  | 3/8/2013   | 26,632.78      | 26,632.78  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 49,484.270  | 1/23/2013  | 3/8/2013   | 49,484.27      | 49,484.27  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 60,779.850  | 1/23/2013  | 3/12/2013  | 60,779.85      | 60,779.85  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 34,728.490  | 1/23/2013  | 4/8/2013   | 34,728.49      | 34,728.49  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 0.490       | 2/1/2013   | 4/8/2013   | 0.49           | 0.49       | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 5,259.920   | 2/13/2013  | 4/8/2013   | 5,259.92       | 5,259.92   | 0.00              |
| Dammann Fund      | Allscripts Healthcare   | 4,000.000   | 2/8/2013   | 4/15/2013  | 52,023.19      | 42,693.67  | 9,329.52          |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 51,221.030  | 2/13/2013  | 4/26/2013  | 51,221.03      | 51,221.03  | 0.00              |
| Dammann Fund      | PROGRESSIVE CORP OH     | 50,000.000  | 9/19/2012  | 4/26/2013  | 55,250.00      | 54,500.00  | 750.00            |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 883.010     | 2/13/2013  | 4/30/2013  | 883.01         | 883.01     | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 9,128.100   | 2/25/2013  | 4/30/2013  | 9,128.10       | 9,128.10   | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 56,751.890  | 2/25/2013  | 4/30/2013  | 56,751.89      | 56,751.89  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 24,640.810  | 2/25/2013  | 5/21/2013  | 24,640.81      | 24,640.81  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 9,479.200   | 2/25/2013  | 5/21/2013  | 9,479.20       | 9,479.20   | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 7,760.410   | 3/5/2013   | 5/21/2013  | 7,760.41       | 7,760.41   | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 32,760.390  | 4/16/2013  | 5/21/2013  | 32,760.39      | 32,760.39  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 19,262.800  | 4/16/2013  | 5/21/2013  | 19,262.80      | 19,262.80  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 31,324.480  | 4/16/2013  | 5/21/2013  | 31,324.48      | 31,324.48  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 22,027.670  | 4/16/2013  | 6/1/2013   | 22,027.67      | 22,027.67  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 29,092.600  | 4/16/2013  | 6/10/2013  | 29,092.60      | 29,092.60  | 0.00              |
| Dammann Fund      | Facebook Inc            | 1,000.000   | 2/28/2013  | 7/16/2013  | 25,447.15      | 27,769.91  | -2,322.76         |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 17,555.250  | 4/16/2013  | 7/17/2013  | 17,555.25      | 17,555.25  | 0.00              |
| Dammann Fund      | GENERAL TREAS PRIME M.. | 64,302.820  | 5/1/2013   | 7/17/2013  | 64,302.82      | 64,302.82  | 0.00              |
| Dammann Fund      | Tiffany & Co            | 500.000     | 12/6/2012  | 7/29/2013  | 38,950.99      | 29,187.35  | 9,763.64          |

3/27/2014

Capital Gains

12/1/2012 through 11/30/2013

Page 2

| Account                 | Security                   | Shares      | Bought     | Sold       | Gross Proceeds      | Cost Basis          | Realized Gain/L.. |
|-------------------------|----------------------------|-------------|------------|------------|---------------------|---------------------|-------------------|
| Dammann Fund            | GENERAL TREAS PRIME M...   | 9,986.330   | 5/1/2013   | 7/29/2013  | 9,986.33            | 9,986.33            | 0.00              |
| Dammann Fund            | GENERAL TREAS PRIME M.     | 24,717.570  | 5/1/2013   | 8/5/2013   | 24,717.57           | 24,717.57           | 0.00              |
| Dammann Fund            | GENERAL TREAS PRIME M..    | 993.280     | 5/1/2013   | 8/13/2013  | 993.28              | 993.28              | 0.00              |
| Dammann Fund            | GENERAL TREAS PRIME M..    | 39,301.660  | 5/31/2013  | 8/13/2013  | 39,301.66           | 39,301.66           | 0.00              |
| Dammann Fund            | GENERAL TREAS PRIME M..    | 2,404.220   | 7/16/2013  | 8/13/2013  | 2,404.22            | 2,404.22            | 0.00              |
| Dammann Fund            | GENERAL TREAS PRIME M...   | 59,401.990  | 7/16/2013  | 8/22/2013  | 59,401.99           | 59,401.99           | 0.00              |
| Dammann Fund            | GENERAL TREAS PRIME M...   | 7,921.810   | 7/29/2013  | 8/22/2013  | 7,921.81            | 7,921.81            | 0.00              |
| Dammann Fund            | GENERAL ELEC CAP CORP      | 100,000.000 | 3/5/2013   | 9/19/2013  | 105,000.00          | 106,375.00          | -1,375.00         |
| Dammann Fund            | BED BATH & BEYOND INC      | 400.000     | 11/27/2012 | 9/26/2013  | 29,620.52           | 23,634.33           | 5,986.19          |
| Dammann Fund            | GENERAL TREAS PRIME M..    | 73,637.540  | 7/29/2013  | 9/27/2013  | 73,637.54           | 73,637.54           | 0.00              |
| Dammann Fund            | GENERAL TREAS PRIME M..    | 35,293.620  | 7/29/2013  | 10/1/2013  | 35,293.62           | 35,293.62           | 0.00              |
| Dammann Fund            | GENERAL TREAS PRIME M...   | 41,144.150  | 8/13/2013  | 10/1/2013  | 41,144.15           | 41,144.15           | 0.00              |
| Dammann Fund            | GENERAL TREAS PRIME M...   | 8,855.850   | 8/13/2013  | 10/21/2013 | 8,855.85            | 8,855.85            | 0.00              |
| Dammann Fund            | GENERAL TREAS PRIME M.     | 19,311.550  | 9/16/2013  | 10/21/2013 | 19,311.55           | 19,311.55           | 0.00              |
| Dammann Fund            | BED BATH & BEYOND INC      | 1,000.000   | 11/27/2012 | 10/29/2013 | 76,644.92           | 59,085.82           | 17,559.10         |
| Dammann Fund            | GENERAL TREAS PRIME M...   | 10,434.380  | 9/16/2013  | 10/30/2013 | 10,434.38           | 10,434.38           | 0.00              |
| Dammann Fund            | Altera Corp                | 1,500.000   | 5/28/2013  | 11/1/2013  | 50,201.32           | 50,587.23           | -385.91           |
| Dammann Fund            | GENERAL TREAS PRIME M...   | 35,375.660  | 9/16/2013  | 11/14/2013 | 35,375.66           | 35,375.66           | 0.00              |
| Dammann Fund            | GENERAL TREAS PRIME M..    | 13,025.000  | 9/19/2013  | 11/14/2013 | 13,025.00           | 13,025.00           | 0.00              |
| Dammann Fund            | GENERAL TREAS PRIME M...   | 29,620.520  | 9/26/2013  | 11/14/2013 | 29,620.52           | 29,620.52           | 0.00              |
| Dammann Fund            | GENERAL TREAS PRIME M..    | 170,313.510 | 10/29/2013 | 11/14/2013 | 170,313.51          | 170,313.51          | 0.00              |
| Dammann Fund            | GENERAL TREAS PRIME M...   | 50,201.320  | 11/5/2013  | 11/14/2013 | 50,201.32           | 50,201.32           | 0.00              |
| Dammann Fund            | GENERAL TREAS PRIME M...   | 1,463.990   | 11/5/2013  | 11/14/2013 | 1,463.99            | 1,463.99            | 0.00              |
| Dammann Fund            | GENERAL TREAS PRIME M...   | 41,309.720  | 11/5/2013  | 11/26/2013 | 41,309.72           | 41,309.72           | 0.00              |
| Dammann Fund            | GENERAL TREAS PRIME M..    | 27,192.060  | 11/5/2013  | 11/29/2013 | 27,192.06           | 27,192.06           | 0.00              |
| <b>TOTAL SHORT TERM</b> |                            |             |            |            | <b>1,997,196.38</b> | <b>1,951,391.60</b> | <b>45,804.78</b>  |
| <b>LONG TERM</b>        |                            |             |            |            |                     |                     |                   |
| Dammann Fund            | WELLS FARGO & CO           | 45,000.000  | 12/6/2004  | 12/6/2012  | 51,300.00           | 45,468.00           | 5,832.00          |
| Dammann Fund            | WELLS FARGO & CO           | 20,000.000  | 9/16/2011  | 12/6/2012  | 22,800.00           | 20,700.00           | 2,100.00          |
| Dammann Fund            | COLGATE PALMOLIVE CO       | 500.000     | 2/16/1995  | 1/23/2013  | 53,999.63           | 7,827.53            | 46,172.10         |
| Dammann Fund            | EXXON MOBIL CORP           | 500.000     | 9/6/1990   | 1/23/2013  | 44,746.19           | 11,759.82           | 32,986.37         |
| Dammann Fund            | SCHLUMBERGER LTD           | 1,000.000   | 12/6/1990  | 1/23/2013  | 72,879.57           | 9,320.88            | 63,558.69         |
| Dammann Fund            | US Bancorp Del             | 2,000.000   | 2/2/2012   | 2/13/2013  | 57,363.96           | 51,255.31           | 6,108.65          |
| Dammann Fund            | BARCLAYS BK PLC 100%P      | 100,000.000 | 2/24/2011  | 2/25/2013  | 100,000.00          | 100,000.00          | 0.00              |
| Dammann Fund            | MICROSOFT CORP             | 1,000.000   | 4/16/1996  | 3/12/2013  | 27,808.72           | 6,561.88            | 21,246.84         |
| Dammann Fund            | Medtronic Inc. (Corp Bond) | 100,000.000 | 10/8/2010  | 4/15/2013  | 100,000.00          | 100,550.00          | -550.00           |
| Dammann Fund            | WAL MART STORES INC        | 100,000.000 | 4/1/2009   | 5/1/2013   | 100,000.00          | 105,850.00          | -5,850.00         |

Capital Gains

| Account         | Security                    | Shares     | Bought     | Sold       | Gross Proceeds | Cost Basis   | Realized Gain/L |
|-----------------|-----------------------------|------------|------------|------------|----------------|--------------|-----------------|
| Dammann Fund    | Tiffany & Co                | 500 000    | 5/24/2012  | 5/31/2013  | 39,301.66      | 28,583.26    | 10,718.40       |
| Dammann Fund    | Novartis AG                 | 500 000    | 2/13/2012  | 7/16/2013  | 36,359.06      | 28,346.29    | 8,012.77        |
| Dammann Fund    | Tiffany & Co                | 500 000    | 5/24/2012  | 7/29/2013  | 38,950.99      | 28,583.26    | 10,367.73       |
| Dammann Fund    | Tiffany & Co                | 500 000    | 6/18/2012  | 7/29/2013  | 38,950.99      | 27,665.31    | 11,285.68       |
| Dammann Fund    | New York ST Dorm Auth Lea.. | 50,000.000 | 12/23/2008 | 8/15/2013  | 50,000.00      | 52,997.00    | -2,997.00       |
| Dammann Fund    | General Mills Inc           | 2,000 000  | 12/28/2010 | 9/16/2013  | 96,491.78      | 71,347.18    | 25,144.60       |
| Dammann Fund    | COLGATE PALMOLIVE CO        | 500 000    | 2/16/1995  | 10/29/2013 | 31,278.00      | 3,913.77     | 27,364.23       |
| Dammann Fund    | EXXON MOBIL CORP            | 500 000    | 9/6/1990   | 10/29/2013 | 43,876.33      | 11,759.82    | 32,116.51       |
| Dammann Fund    | SCHLUMBERGER LTD            | 200 000    | 12/6/1990  | 10/29/2013 | 18,514.17      | 1,864.18     | 16,649.99       |
| Dammann Fund    | EXXON MOBIL CORP            | 500 000    | 9/6/1990   | 11/5/2013  | 44,756.31      | 11,759.82    | 32,996.49       |
| Dammann Fund    | SCHLUMBERGER LTD            | 500 000    | 12/6/1990  | 11/5/2013  | 46,654.53      | 4,660.44     | 41,994.09       |
| Dammann Fund    | eBay Inc                    | 1,000 000  | 10/28/2009 | 11/19/2013 | 52,904.08      | 23,723.10    | 29,180.98       |
| Dammann Fund    | eBay Inc                    | 1,000 000  | 11/25/2009 | 11/19/2013 | 52,904.08      | 23,068.42    | 29,835.66       |
| Dammann Fund    | SWISS RE CAPITAL I LP       | 50,000.000 | 10/16/2012 | 11/25/2013 | 52,687.50      | 52,812.50    | -125.00         |
| TOTAL LONG TERM |                             |            |            |            | 1,274,527.55   | 830,377.77   | 444,149.78      |
| OVERALL TOTAL   |                             |            |            |            | 3,271,723.93   | 2,781,769.37 | 489,954.56      |

# Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

## **Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns*

|                                                                                     |                                                                                                                             |                                                              |
|-------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|
| Type or print<br><br>File by the due date for filing your return. See instructions. | Name of exempt organization or other filer, see instructions<br><b>THE DAMMANN FUND INC.<br/>C/O ENGEL &amp; DAVIS, LLP</b> | Employer identification number (EIN) or<br><b>13-6089896</b> |
|                                                                                     | Number, street, and room or suite no. If a P.O. box, see instructions<br><b>1700 BROADWAY 41ST FLOOR</b>                    | Social security number (SSN)                                 |
|                                                                                     | City, town or post office, state, and ZIP code. For a foreign address, see instructions<br><b>NEW YORK, NY 10019</b>        |                                                              |

Enter the Return code for the return that this application is for (file a separate application for each return)

**04**

| Application Is For                       | Return Code | Application Is For       | Return Code |
|------------------------------------------|-------------|--------------------------|-------------|
| Form 990 or Form 990-EZ                  | 01          | Form 990-T (corporation) | 07          |
| Form 990-BL                              | 02          | Form 1041-A              | 08          |
| Form 4720 (individual)                   | 03          | Form 4720                | 09          |
| Form 990-PF                              | 04          | Form 5227                | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069                | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870                | 12          |

**ENGEL & DAVIS, LLP**

- The books are in the care of ► **521 FIFTH AVE, 31ST FL - NEW YORK, NY 10175**

Telephone No ► **212-262-9154**

FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **JULY 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☐ calendar year \_\_\_\_\_ or
- ☒ tax year beginning **DEC 1, 2012**, and ending **NOV 30, 2013**

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

|                                                                                                                                                                                              |           |    |               |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----|---------------|
| <b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                   | <b>3a</b> | \$ | <b>8,780.</b> |
| <b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | <b>3b</b> | \$ | <b>7,280.</b> |
| <b>c</b> <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.      | <b>3c</b> | \$ | <b>1,500.</b> |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.**

Form **8868** (Rev. 1-2013)