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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545 0052

2012

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning Dec 1, 2012, and ending Nov 30, 2013

Name of foundation

JAMES E. ROBISON FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

17 GREENBRIAR CIRCLE

Room/suite

City or town

ARMONK

State ZIP code

NY 10504

G Check all that apply

☐ Initial return☐ Final return☐ Address change☐ Initial Return of a former public charity☐ Amended return☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, column (c), line 16)

\$ 2,489,961.

J Accounting method

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

A Employer identification number

13-6075171

B Telephone number (see the instructions)

(914) 273-3121

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc. received (att sch)

0.

2 Ck ☒ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

0.

0.

0.

4 Dividends and interest from securities

58,254.

58,254.

58,254.

5a Gross rents

b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

27,120.

b Gross sales price for all assets on line 6a 280,715.

7 Capital gain net income (from Part IV, line 2)

27,120.

8 Net short-term capital gain

21,849.

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

0.

0.

0.

12 Total. Add lines 1 through 11

85,374.

85,374.

80,103.

13 Compensation of officers, directors, trustees, etc

0.

0.

0.

14 Other employee salaries and wages

0.

0.

0.

15 Pension plans, employee benefits

0.

0.

0.

16a Legal fees (attach schedule)

0.

0.

0.

b Accounting fees (attach sch) L-16b Stmt

19,500.

9,750.

9,750.

9,750.

c Other prof fees (attach sch) L-16c Stmt

13,916.

13,916.

13,916.

0.

17 Interest

171.

171.

171.

0.

18 Taxes (attach schedule)(see instrs) See Line 18 Stmt

4,142.

142.

0.

0.

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

See Line 23 Stmt

636.

77.

77.

559.

24 Total operating and administrative expenses. Add lines 13 through 23

38,365.

24,056.

23,914.

10,309.

25 Contributions, gifts, grants paid

136,700.

136,700.

26 Total expenses and disbursements. Add lines 24 and 25

175,065.

24,056.

23,914.

147,009.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

-89,691.

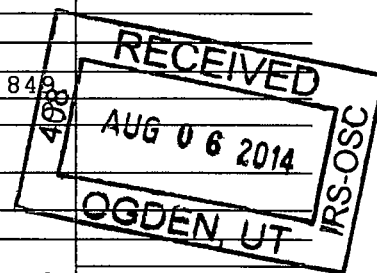
b Net investment income (if negative, enter -0)

61,318.

c Adjusted net income (if negative, enter -0)

56,189.

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16

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash — non-interest-bearing		103,766.	121,303.	121,303.
	2	Savings and temporary cash investments		8,591.	23,048.	23,048.
	3	Accounts receivable				
		Less allowance for doubtful accounts				
	4	Pledges receivable				
		Less allowance for doubtful accounts				
	5	Grants receivable		0.		
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)		0.		
	7	Other notes and loans receivable (attach sch)	0.			
		Less allowance for doubtful accounts	0.	0.	0.	0.
	8	Inventories for sale or use		0.	0.	0.
	9	Prepaid expenses and deferred charges		0.	0.	0.
	10a	Investments — U.S. and state government obligations (attach schedule)		0.	0.	0.
	b	Investments — corporate stock (attach schedule) L-10b Stmt		1,178,764.	1,107,184.	1,578,805.
	c	Investments — corporate bonds (attach schedule) L-10c Stmt		814,437.	764,332.	766,805.
	LIABILITIES	11	Investments — land, buildings, and equipment basis			
		Less accumulated depreciation (attach schedule)		0.		
12		Investments — mortgage loans				
13		Investments — other (attach schedule)		0.		
14		Land, buildings, and equipment basis	0.			
		Less accumulated depreciation (attach schedule)	0.	0.	0.	0.
15		Other assets (describe)		0.		
16		Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)		2,105,558.	2,015,867.	2,489,961.
17		Accounts payable and accrued expenses		0.		
18		Grants payable		0.		
FUND ASSETS	19	Deferred revenue		0.		
	20	Loans from officers, directors, trustees, & other disqualified persons		0.		
	21	Mortgages and other notes payable (attach schedule)		0.		
	22	Other liabilities (describe)		0.		
	23	Total liabilities (add lines 17 through 22)		0.		
	24	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.	<input type="checkbox"/>			
	25	Unrestricted				
FUND ASSETS	26	Temporarily restricted				
	27	Permanently restricted				
	28	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	<input checked="" type="checkbox"/>			
	29	Capital stock, trust principal, or current funds		0.		
	30	Paid-in or capital surplus, or land, building, and equipment fund		0.		
	31	Retained earnings, accumulated income, endowment, or other funds		2,105,558.	2,015,867.	
	32	Total net assets or fund balances (see instructions)		2,105,558.	2,015,867.	
33	Total liabilities and net assets/fund balances (see instructions)		2,105,558.	2,015,867.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,105,558.
2	Enter amount from Part I, line 27a	2	-89,691.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	2,015,867.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	2,015,867.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a COUNTRYWIDE SECURITY LITIGATION	P	Various	01/02/13
b 150 SH ANHEUSER BUSH INBEV SA	P	11/19/10	12/19/12
c 600 SH CENTURYLINK	P	03/26/12	11/19/13
d 800 SH HEINZ HJ CO	P	various	06/10/13
e See Columns (a) thru (d)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 834.		0.	834.
b 13,174.		8,615.	4,559.
c 19,060.		23,599.	-4,539.
d 58,000.		38,432.	19,568.
e See Columns (e) thru (h)		182,949.	6,698.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a 0.	0.	0.	834.
b			4,559.
c			-4,539.
d 0.	0.	0.	19,568.
e See Columns (i) thru (l)	0.	0.	6,698.

2 Capital gain net income or (net capital loss)	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	27,120.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	— [If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8]	3	21,849.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☐ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	133,943.	2,230,758.	0.060044
2010	160,866.	2,297,909.	0.070005
2009	178,899.	2,322,941.	0.077014
2008	99,572.	2,151,411.	0.046282
2007	175,624.	2,838,145.	0.061880

2 Total of line 1, column (d)	2	0.315225
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.063045
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	2,324,204.
5 Multiply line 4 by line 3	5	146,529.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	613.
7 Add lines 5 and 6	7	147,142.
8 Enter qualifying distributions from Part XII, line 4	8	147,009.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1			
Date of ruling or determination letter <u>10/20/70</u> (attach copy of letter if necessary — see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,226.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,226.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	1,226.
6 Credits/Payments			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6 a	5,000.	
b Exempt foreign organizations — tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c	0.	
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d	7	5,000.	
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,774.	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax <u>3,774.</u> Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <u>\$</u> (2) On foundation managers <u>\$</u>		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <u>\$</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) See States Registered In		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>DORIS STANLEY</u> Telephone no <u>(914) 273-3121</u> Located at <u>17 GREENBRIAR CIRCLE</u> <u>ARMONK</u> <u>NY</u> ZIP + 4 <u>10504-1353</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country <u></u>				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1 a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1 b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20</u> <u></u> <u>20</u> <u></u> <u>20</u> <u></u> <u>20</u> <u></u>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> <u></u> <u>20</u> <u></u> <u>20</u> <u></u> <u>20</u> <u></u>		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3 b	N/A
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

7 b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MOLLY DAVIES 976 SHAW HILL ROAD STOWE VT 05672	PRESIDENT 2.00	0.	0.	0.
DORIS STANLEY 17 GREENBRIAR CIRCLE ARMONK NY 10405	SECRETARY/TREASURER/MAN 20.00	19,500.	0.	0.
ANNABEL DAVIES MOYNIHAN 1553 GREGG HILL ROAD WATERBURY CENTER VT 05677	VICE PRESIDENT 0.00	0.	0.	0.
See Information about Officers, Directors, Trustees, Etc.		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	NONE

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	NONE

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	2,255,391.
b	Average of monthly cash balances	1 b	104,207.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	2,359,598.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,359,598.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	35,394.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,324,204.
6	Minimum investment return. Enter 5% of line 5	6	116,210.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	116,210.
2 a	Tax on investment income for 2012 from Part VI, line 5	2 a	1,226.
b	Income tax for 2012 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	1,226.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	114,984.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	114,984.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	114,984.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	147,009.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	147,009.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	147,009.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

BAA

Form 990-PF (2012)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				114,984.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years: 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2012				
a From 2007	55,198.			
b From 2008	106,076.			
c From 2009	115,893.			
d From 2010	161,174.			
e From 2011	134,342.			
f Total of lines 3a through e	572,683.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 147,009.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2012 distributable amount				
e Remaining amount distributed out of corpus	147,009.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	114,984.			114,984.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	604,708.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	604,708.			
10 Analysis of line 9				
a Excess from 2008	46,290.			
b Excess from 2009	115,893.			
c Excess from 2010	161,174.			
d Excess from 2011	134,342.			
e Excess from 2012	147,009.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling:

b Check box to indicate whether the foundation is a private operating foundation described in section

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a 'Assets' alternative test – enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c 'Support' alternative test – enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc. Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV | Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ABBOTT HOUSE 100 NORTH BROADWAY IRVINGTON NY 10533 MOSAIC COMMUNITYDEVELOPMEENT PO BOX3451 OMAHA NE 68103 DANSPLACE 131 EAST 10TH STR. NEW YORK NY 10003 LA MAMA EXPERIMENTAL THEATRE 74 A EAST 4TH STREET NEW YORK NY 10003 HALEAKALA INC. 512 WEST 19TH STREET NEW YORK NY 10011 VERMONT LAND TRUST 8 BALEY AVENUE MONTPELIER VT 05602 THE WOOSTER GROUP PO BOX 654 NEW YORK NY 10013 THE FRESH AIR FUND 633 3RD AVENUE NEW YORK NY 10017 PUBLICOLOR 149 MADISON AVENUE -201 NEW YORK NY 10016 See Line 3a statement		501-C3 501C-3 501C-3 501C-3 501C-3 501-C3 501-C3 501-C3 501-C3	DISABLED POPULATION PRODUCE EDUCATIONAL FILM GENERAL SUPPORT & PROGRAM SERVICE GENERAL SUPPORT GENERAL SUPPORT LAND CONSERVATION GENERAL SUPPORT GENERAL SUPPORT GENERAL SUPPORT	8,000. 3,000. 5,000. 1,500. 15,000. 3,000. 3,000. 500. 500. 97,200.
Total			▶ 3a	136,700.
b Approved for future payment				
Total			▶ 3b	

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
FEDERAL EXCISE TAXES	4,000.			
FOREIGN TAXES	142.	142.	0.	0.
Total	4,142.	142.	0.	0.

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
LEGAL ADVERTISING	38.	38.	38.	0.
FILING FEES	559.	0.	0.	559.
DEPOSIT FEES	39.	39.	39.	0.
Total	636.	77.	77.	559.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
100 SH INTEL COR	P	07/15/09	07/01/13
100,000 JP MORGAN MEDIUM TERM NOTES	P	10/20/10	09/30/13
200 SH LOWES COMPANIES INC	P	Various	12/19/12
500 SH TEVA PHARMACEUTICALS	P	Various	12/19/12
2,200 SH USD O/S BANK SGD1	P	Various	11/19/13

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
26,329.		20,860.	5,469.
100,000.		100,218.	-218.
6,874.		5,298.	1,576.
19,412.		25,210.	-5,798.
37,032.		31,363.	5,669.
Total		182,949.	6,698.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (column (h) gain minus column (k), but not less than -0-) or losses (from column (h))
			5,469.
			-218.
0.	0.	0.	1,576.
			-5,798.
0.	0.	0.	5,669.
Total	0.	0.	6,698.

Form 990-PF, Page 4, Part VII-A, Line 8a

States Registered In

NY - New York

DE - Delaware

Form 990-PF, Page 6, Part VIII, Line 1

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person <input checked="" type="checkbox"/> Business <input type="checkbox"/> APRIL DAVIES TAYLOR SHAW HILL ROAD STOWE VT 05672	ASSISTANT SECRETARY 0.00	0.	0.	0.
Person <input checked="" type="checkbox"/> Business <input type="checkbox"/> MICHAEL A. FOLEY 142 RUSSELL STREET CARLISLE MA 01741	TRUSTEE/DIRECTOR 0.00	0.	0.	0.

Total

0. 0. 0.

Form 990-PF, Page 11, Part XV, line 3a

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a <i>Paid during the year</i>				
THE FENN SCHOOL				Person or <input type="checkbox"/>
516 MONUMENT STREET				Business <input type="checkbox"/>
CONCORD MA 01742		501-C3	GENERAL SUPPORT	500.
HELEN DAY ART CENTER				Person or <input type="checkbox"/>
90 POND STREET				Business <input type="checkbox"/>
STOWE VT 05672		501-C3	GENERAL SUPPORT	2,000.
DOCTORS WITHOUT BORDERS				Person or <input type="checkbox"/>
P. O. BOX 5023				Business <input type="checkbox"/>
HAGERSTOWN MD 21741		501-C3	GENERAL SUPPORT	1,500.
ISSUE PROJECT ROOM				Person or <input type="checkbox"/>
22BOERUM PLACE- GROUND FL.				Business <input type="checkbox"/>
BROOKLYN NY 11201		501-C3	GENERAL SUPPORT	3,000.
DANCE NEW AMSTERDAM INC.				Person or <input type="checkbox"/>
280 BROADWAY -2ND FLOOR				Business <input type="checkbox"/>
NEW YORK NY 10007		501-C3	GENERAL SUPPORT	2,500.
CATAMOUNT FILM & ARTS				Person or <input type="checkbox"/>
115 EASTERN AVENUE				Business <input type="checkbox"/>
ST. JOHNSBURY VT 05819		501-C3	GENERAL SUPPORT	2,000.
FRACTURED ATLAS				Person or <input type="checkbox"/>
248 WEST 35TH STREET - F				Business <input type="checkbox"/>
NEW YORK NY 10001		501-C3	GENERAL SUPPORT	3,000.
THIN MAN DANCE				Person or <input type="checkbox"/>
140 SECOND AVENUE #501				Business <input type="checkbox"/>
NEW YORK NY 10003		501-C3	GENERAL SUPPORT	8,000.
NEW YORK LIFE ARTS				Person or <input type="checkbox"/>
219 WEST 19TH STREET				Business <input type="checkbox"/>
NEW YORK NY 10011		501-C3	GENERAL SUPPORT	2,500.
PLANNED PARENTHOOD				Person or <input type="checkbox"/>
128 LAKESIDE AVENUE SUITE 301				Business <input type="checkbox"/>
BURLINGTON VT 05401		501-C3	GENERAL SUPPORT	1,000.
MOVEMENT RESEARCH				Person or <input type="checkbox"/>
55 AVENUE C				Business <input type="checkbox"/>
NEW YORK NY 10009		501-C3	MR FESTIVAL	3,000.
BROOKLYN ACADEMY OF MUSIC				Person or <input type="checkbox"/>
30 LAFAYETTE AVENUE				Business <input type="checkbox"/>
BROOKLYN NY 11217		501-C3	GENERAL SUPPORT	1,000.
POPULATION CONTRAL INTERNATIONAL				Person or <input type="checkbox"/>
777 UNITED NATIONS PLAZA 5TH FL.				Business <input type="checkbox"/>
NEW YORK NY 10017		501-C3	GENERAL SUPPORT	1,000.
DOUG VARONE DANCERS				Person or <input type="checkbox"/>
260 WEST BROADWAY				Business <input type="checkbox"/>
NEW YORK NY 10013		501-C3	GENERAL SUPPORT	2,000.
826 MICHIGAN				Person or <input type="checkbox"/>
115 EAST LIBERTY STREET				Business <input type="checkbox"/>
ANN ARBOR MI 48104-2109		501-C3	GENERAL SUPPORT	2,000.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
DEEP LISTENING INSTITUTE				Person or <input type="checkbox"/>
PO BOX 1956				Business <input type="checkbox"/>
KINGSTON NY 12402-1956		501-C3	GENERAL SUPPORT	1,000.
STREB INC.				Person or <input type="checkbox"/>
51 NORTH STREET				Business <input type="checkbox"/>
BROOKLYN NY 11211		501-C3	GENERAL SUPPORT	1,500.
PICK UP PERFORMANCE				Person or <input type="checkbox"/>
440 W. 34TH STREET 5 B				Business <input type="checkbox"/>
NEW YORK NY 10001		501C-3	GENERAL SUPPORT	1,000.
VERMONT COMMUNITY FOUNDATION				Person or <input type="checkbox"/>
PO BOX 30				Business <input type="checkbox"/>
MIDDLEBURY VT 05753		501-C3	WOMEN'S FUND	1,200.
OTHER MINDS				Person or <input type="checkbox"/>
333 VALENCIA STREET				Business <input type="checkbox"/>
SAN FRANCISCO CA 94103-3552		501-C3	GENERAL SUPPORT	1,000.
CHEZ BUSHWICK				Person or <input type="checkbox"/>
304 BEGUM STREET # 23				Business <input type="checkbox"/>
NEW YORK NY 11206		501-C3	GENERAL SUPPORT	500.
CONTACT QUARTERLY				Person or <input type="checkbox"/>
PO BOX 603				Business <input type="checkbox"/>
NORTHAMPTON MA 01061		501-C3	GENERAL SUPPORT	1,500.
FLYNN THEATRE				Person or <input type="checkbox"/>
153 MAIN STREET				Business <input type="checkbox"/>
BURLINGTON VT 05401		501-C3	GENERAL SUPPORT	6,000.
RIVER ARTS				Person or <input type="checkbox"/>
PO BOX 829				Business <input type="checkbox"/>
MORRISVILLE VT 05661		501-C3	GENERAL SUPPORT	3,500.
ROULETTE EINSTEIN				Person or <input type="checkbox"/>
228 WEST BROADWAY				Business <input type="checkbox"/>
NEW YORK NY 10013-2456		501-C3	GENERAL SUPPORT	1,500.
HUMAN FUTURE DANCE CORP.				Person or <input type="checkbox"/>
140-42 SECOND AVENUE - 404			GENERAL SUPPORT &	Business <input type="checkbox"/>
NEW YORK NY 10003		501-C3	ARTIST FEES	2,500.
THE HOUSE FOUNDATION				Person or <input type="checkbox"/>
260 WEST BROADWAY - SUITE 2				Business <input type="checkbox"/>
NEW YORK NY 10013		501-C3	GENERAL SUPPORT	3,500.
ELECTRONIC ARTS INTERMIX				Person or <input type="checkbox"/>
535 W. 22ND. STREET - 5TH FL.				Business <input type="checkbox"/>
NEW YORK NY 10011		501-C3	GENERAL SUPPORT	2,000.
NEW YORK LIFE ARTS				Person or <input type="checkbox"/>
219 W. 19TH STREET				Business <input type="checkbox"/>
NEW YORK NY 10011		501-C3	GENERAL SUPPORT	2,500.
THE FRESH AIR FUND				Person or <input type="checkbox"/>
633 THIRD AVENUE				Business <input type="checkbox"/>
NEW YORK NY 10164-3981		501-C3	GENERAL SUPPORT	500.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
STOWE LAND TRUST				Person or <input type="checkbox"/>
PO BOX 284				Business <input type="checkbox"/>
STOWE VT 05672		501-C3	GENERAL SUPPORT	2,000.
UNIVERSITY OF VERMONT				Person or <input type="checkbox"/>
106 HIGH POINT CTR.				Business <input type="checkbox"/>
COLECHESTER VT 05446		501-C3	ISLAND POND PROJECT	3,000.
HELEN DAY ART CENTER				Person or <input type="checkbox"/>
PO BOX 411				Business <input type="checkbox"/>
STOWE VT 05672-0411		501-C3	GENERAL SUPPORT	5,000.
GOOD FUN D				Person or <input type="checkbox"/>
4856 MOUNTAIN ROAD				Business <input type="checkbox"/>
STOWE VT 05672		501-C3	GENERAL SUPPORT	3,000.
GREEN MOUNTAIN PERFORMING ARTS				Person or <input type="checkbox"/>
37 COMMERCIAL DRIVE				Business <input type="checkbox"/>
WATERBURY VT 05676		501-C3	GENERAL SUPPORT	5,000.
PING CHONG & CO.				Person or <input type="checkbox"/>
47 GREAT JONES STREET				Business <input type="checkbox"/>
NEW YORK NY 10012		501-C3	GENERAL SUPPORT	1,000.
I LAND				Person or <input type="checkbox"/>
140 2ND AVENUE # 404				Business <input type="checkbox"/>
NEW YORK NY 10003		501-C3	GENERAL SUPPORT	1,500.
PERFORMANCE SPACE 122				Person or <input type="checkbox"/>
67 WEST STREET - UNIT 315				Business <input type="checkbox"/>
BROOKLYN NY 11222		501-C3	GENERAL SUPPORT	1,000.
HELEN DAY ART CENTER				Person or <input type="checkbox"/>
PO BOX 411				Business <input type="checkbox"/>
STOWE VT 05672-0411		501-C3	GENERAL SUPPORT	2,000.
ABBOTT HOUSE				Person or <input type="checkbox"/>
100 NORTH BROADWAY				Business <input type="checkbox"/>
IRVINGTON NY 10533		501-C3	DISABLED POPULATION	8,000.
BARYSHNIKOV ART CENTER				Person or <input type="checkbox"/>
450 WEST 37TH STREET - 501				Business <input type="checkbox"/>
NEW YORK NY 10018		501-C3	GENERAL SUPPORT	1,000.

Total

97,200.

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DORIS STANLEY	ACCOUNTING & MANAGMENT	19,500.	9,750.		9,750.

Form 990-PF, Page 1, Part I

Continued

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Total		<u>19,500.</u>	<u>9,750.</u>		<u>9,750.</u>

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UNITED STATES TRUST	FINANCIAL MANAGEMENT	13,916.	13,916.		0.
Total		<u>13,916.</u>	<u>13,916.</u>		<u>0.</u>

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
500 SH ACE LTD.	36,788.	51,390.
500 SH BAXTER INTL INC	23,120.	34,225.
300 SH CHEVRON CORP	23,721.	36,732.
1,100 SH CISCO SYS INC	25,822.	23,375.
1,800 SH CORNING INC	31,313.	30,744.
500 SH DISCOVER FINL SVCS	25,754.	26,650.
1,000 SH EMC CORP	18,008.	23,850.
800 SH EMERSON ELEC CO	30,338.	40,194.
2,000 SH GENERAL ELEC CO	31,954.	53,320.
25 SH GOOGLE INC	12,982.	26,490.
600 SH HONEYWELL INTL INC	25,864.	53,106.
200 SH INTERNATIONAL BUSINESS MACHS	27,048.	35,936.
1,000 SH JP MORGAN CHASE & CO	36,484.	57,220.
300 SH KRAFT FOODS GROUP INC	13,151.	15,936.
800 SH LOWES COS INC	17,496.	37,984.
300 SH MEAD JOHNSON NUTRITION CO.	16,569.	25,353.
1,700 SH MICROSOFT CORP	43,369.	64,821.
900 SH MONDELEZ INTL INC	24,082.	30,177.
300 SH OCCIDENTAL PETE CORP DEL	24,292.	28,488.
750 SH PEPSICO INC	48,753.	63,345.
1,500 SH PFIZER INC	29,480.	47,595.
200 SH PROCTOR & GAMBLE CO	12,220.	16,844.
300 SH QUALCOM INC	17,397.	22,074.
500 SH SCHLUMBERGER LTD	36,090.	44,210.
1,500 SH SPECTRA ENERGY CORP	33,687.	50,325.
1,000 SH ST JUDE MED INC	38,324.	58,420.
500 SH STATE STREET CORP	20,016.	36,305.
600 SH TARGET CORP	29,427.	38,358.
1,000 SH TIME WARNER	34,881.	65,710.
400 SH UNITED PARCEL SVC INC	28,129.	40,952.

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Continued

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
1,000 SH US BANCORP DEL	22,432.	39,220.
1,200 SH WASRE MGMT INC DEL	42,003.	54,816.
400 SH CELANESE CORP DEL	12,794.	22,452.
1,500 SH QUESTAR	26,022.	33,780.
500 SH XILINX	15,736.	22,215.
1,000 SH XYLEM	23,888.	34,560.
350 SH ANHEUSER BUSCH INBEV SA/NY	20,086.	35,739.
3,000 SH BOMBARDIER INC	16,090.	13,590.
400 SH NOBLE CORP PLC	14,887.	15,248.
150 SH RIO TINTO PLC	10,192.	7,958.
400 SH ROLLS-ROYCE HLDGS PLC	18,764.	40,460.
600 SH SUNCOR ENERGY INC	21,170.	20,808.
1,000 SH VODAPHONE GROUP PLC	24,350.	37,090.
500 SH VANGUARD FTSE EMERGING MKTS ETF	22,211.	20,740.
Total	<u>1,107,184.</u>	<u>1,578,805.</u>

Form 990-PF, Page 2, Part II, Line 10c
L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
100,000 METLIFE INC SR UNSECEC NT	101,928.	100,349.
100,000 GENERAL ELEC CAP CORP SR UNSECD MTN SER A	104,863.	103,253.
100,000 BERKSHIRE HATHAWAY INC DEL SR UNSECD NT	105,201.	103,336.
50,000 CITIGROUP INC SR UNSECD NT	49,900.	51,081.
50,000 US BANCORP SR MTN	50,841.	51,582.
100,000 HEWLTTT PACKARD CO SR UNSECD GLOBAL NT	100,222.	101,977.
100,000 DU PONT DE NEMOURS & CO SR NT	100,378.	102,528.
50,000 TORONTO DOMINION BK SR UNSECURED MEDIUM T NT	51,248.	52,100.
50,000 METLIFE UNSECD SR COMP DEB SER C STEP UP	50,112.	50,036.
50,000 BHP BILLINGTON FIN USA LTD	49,639.	50,563.
Total	<u>764,332.</u>	<u>766,805.</u>

Supporting Statement of

Form 990-PF, p1/Line 17(a)

Description	Amount
MET LIFE ACCRUED INTEREST PAID	171
Total	<u>171</u>

Supporting Statement of:

Form 990-PF, p1/Line 18(a)-2

Description	Amount
BELGIUM	71
CANADA	45.
ISRAEL	26.
Total	<u>142.</u>

Supporting Statement of

Form 990-PF, p1/Line 23(a)-2

Description	Amount
NEW YORK	250.
CT CORPORATION	284.
DELAWARE	25.
Total	<u>559.</u>

Supporting Statement of:

Form 990-PF, p2/Line 1(b)

Description	Amount
BANK OF AMERICA CHECKING ACCOUNT	121,303.
Total	<u>121,303.</u>

Supporting Statement of:

Form 990-PF, p2/Line 2(b)

Description	Amount
BANK OF AMERICA MONEY MARKET ACCOUNT	23,048.

Continued

Supporting Statement of

Form 990-PF, p2/Line 2(b)

Description	Amount
Total	<u>23,048.</u>

Supporting Statement of.

Form 990-PF, p8/Part X, Line 1a

Description	Amount
UNITED STATES TRUST COMPANY	<u>2,255,391.</u>
Total	<u>2,255,391.</u>

Supporting Statement of.

Form 990-PF, p8/Part X, Line 1b

Description	Amount
UNITED STATES TRUST COMPANY	<u>76,561.</u>
BANK OF AMERICA	<u>27,646.</u>
Total	<u>104,207.</u>

Supporting Statement of.

Form 990-PF, p12/Line 4 Column (b)

Description	Amount
ACE LTD	<u>1,000.</u>
ANHEUSER BUSCH INBEV SA/N	<u>855.</u>
BAXTER INTL INC	<u>940.</u>
BOMBARDIER INC	<u>297.</u>
CELANESE CORP	<u>210.</u>
CENTURY LINK INC	<u>1,407.</u>
CHEVRON CORP	<u>1,140.</u>
CISCO SYS INC	<u>455.</u>
CORNING INC	<u>684.</u>
EMC CORP	<u>200.</u>
EMERSON ELECTRIC CO	<u>984.</u>
GENERRAL ELECTRIC CO	<u>1,520.</u>
HEINZ H J CO	<u>824.</u>
HONEYWELL INTL INC	<u>984.</u>
INTEL CORP	<u>742.</u>
INTERNATIONAL BUSINESS MACHS	<u>720.</u>
JP MORGAN CHASE & CO	<u>1,360.</u>

Continued

Supporting Statement of:

Form 990-PF, p12/Line 4 Column (b)

Description	Amount
KRAFT FOODS GROUP INC	608.
LOWES COS INC	544.
MEAD JOHNSON NUTRITION CO	396.
MICROSOFT CORP	1,564.
MONDELEZ INTL INC	373.
NOBLE CORP	200.
OCCIDENTAL PETE CORP DEL	738.
PEPSICO INC	1,658.
PFIZER INC	1,410.
PROCTOR & GAMBLE CO	473.
QUALCOM INC	360.
QUESTAR CORP	1,050.
RIO TINTO PLC	264.
ROLLS-ROYCE HLDGS PLC	582.
SCHLUMBERGER LTD	606.
SPECTRA ENERGY CORP	1,830.
ST. JUDE MED INC	980.
STATE STR CORP	510.
TARGET CORP	906.
TEVA PHARMA	128.
TIME WARNER INC	1,123.
UNITED PARCEL SVC INC	972.
US BANCORP DEL	850.
UTD O/S BANK	1,222.
VANGUARD MSCI EMERGING MKTS EFT	680.
VODAFONE GROUP PLC	1,581.
WASTE MGMT INC DEL	1,740.
XILINX INC	485.
XYLEM INC	450.
BANK OF AMERICA MONEY MKT	48.
BERKSHIRE HATHAWAY INC DEL SR UNSECD NY 3.3%	3,200.
BHP BILLINGTON FIN USA LTD CO SR NT 1.625%	813.
CITIGROUP INC UNSECD NT 2.65%	1,325.
DUPONT E I DEMOURS SR NT 1.950%	1,950.
GENERAL ELEC CAP CORP SR UNSECD MTN 3.750%	3,750.
HEWLETT PACKARD CO SR UNSECD GLOBAL NT 2.125%	2,125.
JPMORGAN CHASE & CO MEDIUM TERM SR NT 1.650%	1,650.
METLIFE INC SR UNSECD NT 2.375%	2,375.
TORONTO DOMINION BK SR UNSECD MEDIM TERM BK NT 2.375%	1,188.
US BANCORP SR MTN 2.450%	1,225.
Total	58,254.

**AFFIDAVIT OF PUBLICATION
FROM**



CECILIA HERNANDEZ

being duly sworn says that he/she is the principal clerk of **THE JOURNAL**

NEWS, a newspaper published in the County of Westchester and the State of New York, and the notice of which the

annexed is a printed copy, was published in the newspaper area(s) on the date (s) below

Zone:
Westchester

Run Dates:
06/25/14

Signature

Sworn to before me, this 30 day of June 20 14

Notary Signature

LOLA M. HALL
Notary Public in the State of New York
No. 01614611-0001
County of Westchester
Commission Expires July 6, 2016

Legend

WESTCHESTER

Amawalk, Ardsley, Ardsley on Hudson, Armonk, Baldwin Place, Bedford, Bedford Hills, Brewster, Briardiff Manor, Bronxville, Buchanan, Carmel, Chappaqua, Cold Spring, Crompond, Cross River, Croton Falls, Croton on Hudson, Dobbs Ferry, Eastchester, Elmsford, Gamson, Goldens Bridge, Granite Springs, Greenburg, Harrison, Hartsdale, Hastings, Hastings on Hudson, Hawthorne, Irvington, Jefferson Valley, Katonah, Lake Peekskill, Larchmont, Lincolnale, Mahopac, Mahopac Falls, Mamaroneck, Millwood, Mohegan Lake, Montrose, Mount Kisco, Mount Vernon, New Rochelle, North Salem, Ossining, Patterson, Peekskill, Pelham, Pleasantville, Port Chester, Pound Ridge, Purchase, Purdys, Putnam Valley, Rye, Scarsdale, Shenorock, Shrub Oak, Somers, South Salem, Tarrytown, Thornwood, Tuckahoe, Valhalla, Verplanck, Waccabuc, White Plains, Yorktown Heights, Yonkers

ROCKLAND

Blauvelt, Congers, Garnerville, Haverstraw, Hillburn, Monsey, Nanuet, New City, Nyack, Orangeburg, Palisades, Pearl River, Piermont, Pomona, Sloatsburg, Sparkill, Spring Valley, Stony Point, Suffern, Tallman, Tappan, Thielis, Tomkins Cove, Valley Cottage, West Haverstraw, West Nyack

Ad Number: 0000027746

THE ANNUAL REPORT OF THE JAMES E. ROBISON FOUNDATION for the fiscal year ended November 30, 2013 is available at its principal office located at 17 Greenbriar Circle, Armonk, New York 10504, for inspection during regular business hours by any citizen who requests it within 180 days hereof. Principal Manager of the Foundation is MARTHA A. DAVIES, PRESIDENT.

27746

PART X					Line 1 b	Line 1 d
		FMV	Cash	Cash		
		Securities	United States	Bank of America		
		United States	United States	Checking	Total	Total
		Trust Co	Trust Co	Account	Cash	Cash and
		Management	Management			Securities
		Account	Account			
MINIMUM INVESTMENT RETURN						
December	Beginning	1,993,185	8,591	103,766	112,358	2,105,543
	Ending	2,144,601	49,794	28,566	78,360	2,222,961
	Average	2,068,893	29,193	66,166	95,359	2,164,252
January	Beginning	2,144,601	49,794	28,566	78,360	2,222,961
	Ending	2,218,858	52,825	23,375	76,200	2,295,058
	Average	2,181,730	51,309	25,971	77,280	2,259,010
February	Beginning	2,218,858	52,825	23,375	76,200	2,295,058
	Ending	2,245,395	24,280	36,875	61,155	2,306,550
	Average	2,232,127	38,553	30,125	68,678	2,300,805
March	Beginning	2,245,395	24,280	36,875	61,155	2,306,550
	Ending	2,284,714	29,147	33,375	62,522	2,347,236
	Average	2,265,055	26,713	35,125	61,839	2,326,894
April	Beginning	2,284,714	29,147	33,375	62,522	2,347,236
	Ending	2,314,146	30,211	28,875	59,086	2,373,232
	Average	2,299,430	29,679	31,125	60,804	2,360,234
May	Beginning	2,314,146	30,211	28,875	59,086	2,373,232
	Ending	2,339,130	31,403	27,875	59,278	2,398,408
	Average	2,326,638	30,807	28,375	59,182	2,385,820
June	Beginning	2,339,130	31,403	27,875	59,278	2,398,408
	Ending	2,258,435	91,816	24,375	116,191	2,374,626
	Average	2,298,783	61,609	26,125	87,735	2,386,518
July	Beginning	2,258,435	91,816	24,375	116,191	2,374,626
	Ending	2,322,507	93,697	5,875	99,572	2,422,079
	Average	2,290,471	92,756	15,125	107,881	2,398,352
August	Beginning	2,322,507	93,697	5,875	99,572	2,422,079
	Ending	2,267,136	96,052	4,875	100,927	2,368,063
	Average	2,294,821	94,875	5,375	100,250	2,395,071
September	Beginning	2,267,136	96,052	4,875	100,927	2,368,063
	Ending	2,213,489	201,485	2,591	204,076	2,417,565
	Average	2,240,312	148,769	3,733	152,502	2,392,814
October	Beginning	2,213,489	201,485	2,591	204,076	2,417,565
	Ending	2,282,333	202,207	2,553	204,760	2,487,093
	Average	2,247,911	201,846	2,572	204,418	2,452,329
November	Beginning	2,282,333	202,207	2,553	204,760	2,487,093
	Ending	2,354,717	23,048	121,303	144,351	2,499,068
	Average	2,318,525	112,628	61,928	174,556	2,493,081
Total of Averages		27,064,694	918,736	331,748	1,250,483	28,315,181
Average per Month		2,255,391	76,561	27,646	104,207	2,359,598

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

► **File a separate application for each return.**

- ☒ If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- ☐ If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	JAMES E. ROBISON FOUNDATION	13-6075171
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	17 GREENBRIAR CIRCLE	
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	ARMONK	NY 10504

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

☐ The books are in the care of ► **DORIS STANLEY**

Telephone No ► **(914) 273-3121** FAX No ► **(914) 273-6382**

- ☐ If the organization does not have an office or place of business in the United States, check this box
- ☒ If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **Jul 15**, 20 **14**, to file the exempt organization return for the organization named above. The extension is for the organization's return for

- ☐ calendar year 20 _____ or
- ☒ tax year beginning **Dec 1**, 20 **12**, and ending **Nov 30**, 20 **13**

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	5,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	5,000.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the extended due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	JAMES E. ROBISON FOUNDATION	13-6075171
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	17 GREENBRIAR CIRCLE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	ARMONK NY 10504	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of ▶ DORIS STANLEY
Telephone No ▶ (914) 273-3121 FAX No ▶ (914) 273-6382
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until Oct 15, 20 14
- 5 For calendar year _____, or other tax year beginning Dec 1, 20 12, and ending Nov 30, 20 13
- 6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension THE ACCOUNTING WORK IS NOT COMPLETE.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a \$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b \$	0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c \$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ D. Stanley Title ▶ TREASURER

Date ▶ 07/02/14

BAA

FIFZ0502 01/21/13

Form 8868 (Rev 1-2013)